



1 Nitrogen restricts future treeline advance in the sub-arctic

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9 **Abstract.** Arctic environmental change has induced shifts in high latitude plant community composition and stature
10 with implications for Arctic carbon cycling and energy exchange. Two major components of high latitude ecosystems
11 undergoing change is the advancement of trees into treeless tundra and the increased abundance and size of shrubs.
12 How future changes in key climatic and environmental drivers will affect distributions of major ecosystem types is an
13 active area of research. Dynamic Vegetation Models (DVMs) offer a way to investigate multiple and interacting drivers
14 of vegetation distribution and ecosystem function. We employed the LPJ-GUESS DVM over a subarctic landscape in
15 northern Sweden, Torneträsk. Using a highly resolved climate dataset we downscaled CMIP5 climate data from three
16 Global Climate Models and two 21st century future scenarios (RCP2.6 and RCP8.5) to investigate future impacts of
17 climate change on these ecosystems. We also performed three model experiments where we factorially varied drivers
18 (climate, nitrogen deposition and [CO₂]) to disentangle the effects of each on ecosystem properties and functions. We
19 found that treelines could advance by between 45 and 195 elevational meters in the landscape until the year 2100, de-
20 pending on the scenario. Temperature was a strong, but not the only, driver of vegetation change. Nitrogen availability
21 was identified as an important modulator of treeline advance. While increased CO₂ fertilisation drove productivity in-
22 creases it did not result in any range shifts of trees. Treeline advance was realistically simulated without any tempera-
23 ture dependence on growth, but biomass was overestimated. As nitrogen was identified as an important modulator of
24 treeline advance, we support the idea that accurately representing plant-soil interactions in models will be key to future
25 predictions Arctic vegetation change.

26 **Keywords:** Ecosystem model, forest-tundra ecotone, treeline, sub-Arctic, climate change impacts, ecosystem stability,
27 LPJ-GUESS, biogeophysical feedbacks.

28 1. Introduction

29 In recent decades, the Arctic has been observed to become greener (Epstein et al., 2012; Bhatt et al., 2010). Causes
30 include an increased growth and abundance of shrubs (Myers-Smith et al., 2011; Elmendorf et al., 2012; Forbes et al.,
31 2010), increased vegetation stature associated with a longer growing season, and poleward advance of the arctic treeline
32 (Björkman et al., 2018). Shrubs protruding through the snow and treeline advance will alter surface albedo and energy
33 exchange with potential feedback to the climate system (Chapin et al., 2005; Sturm, 2005; Serreze and Barry, 2011;
34 Zhang et al., 2013; Zhang et al., 2018). Warming and associated changes in high latitude ecosystems have implications



35 for carbon cycling through increased plant productivity and species shifts (Chapin et al., 2005; Zhang et al., 2014), but
36 also increased soil organic matter (SOM) decomposition with subsequent loss of carbon to the atmosphere. Studies of
37 the Arctic carbon balance have shown that the Arctic has been a weak sink in the past (Mcguire et al., 2009; Mcguire et
38 al., 2012; Bruhwiler et al., 2021; Virkkala et al., 2021), although uncertainty is substantial, and it is difficult to deter-
39 mine accurately the strength of this sink. How climate and environmental changes will affect the relative balance be-
40 tween the carbon uptake, i.e. photosynthesis, and release processes, i.e., autotrophic and heterotrophic respiration, will
41 determine whether the Arctic will be a source or a sink of carbon in the future.

42 Forest-tundra ecotones constitute one of the largest transition zones where abrupt changes in ecosystem functioning
43 occur (Hofgaard et al., 2012). While no generally accepted theory of what drives treeline advance has been put forward,
44 several alternative explanations exist. Firstly, direct effects of rising temperatures have been thoroughly discussed (e.g.,
45 Rees et al., 2020; Hofgaard et al., 2019; Körner, 2015; Chapin, 1983). On the global scale, treelines have been found to
46 correlate well with a 6-7°C mean growing season ground temperature (Körner and Paulsen, 2004) and could thus be
47 expected to shift with rising temperatures. A global study of alpine treeline advance in response to warming since 1900
48 shows that 52% of treelines had advanced while the other half was stationary (47%), with only occasional instances of
49 retreat (1%) (Harsch et al., 2009). Similar patterns have been observed on the circumarctic scale, although latitudinal
50 treelines might be expected to shift more slowly than elevational treelines due to dispersal constraints (Rees et al.,
51 2020). As trees close to the treeline often show ample storage of non-structural carbohydrates (Hoch and Körner, 2012)
52 it has been suggested that a minimum temperature requirement for wood formation, rather than productivity, constrains
53 treeline position (Körner, 2003, 2015; Körner et al., 2016).

54 Secondly, it has been hypothesised that indirect effects of warming might be equally or more important than direct
55 effects (Sullivan et al., 2015; Chapin, 1983). For example, rising temperatures and subsequently soil temperatures might
56 induce increased nitrogen mineralisation and plant nitrogen uptake (Chapin, 1983). Increased nitrogen uptake could in
57 turn enhance plant productivity and growth (Dusenge et al., 2019). Increased nitrogen uptake as a consequence of in-
58 creased soil temperatures or nitrogen fertilisation have been shown to increase seedling winter survival among moun-
59 tain birch (*Betula pubescens* ssp. *tortuosa*) seedlings (Weih and Karlsson, 1999; Karlsson and Weih, 1996).

60 Thirdly, experiments of elevated CO₂ often show increased plant productivity and biomass increase, especially in trees
61 (Ainsworth and Long, 2005). Terrestrial biosphere models generally agree with this pattern (Hickler et al., 2008; Smith
62 et al., 2014; Piao et al., 2013). Although difficult to measure in field experiments, treeline position seems unresponsive
63 to increased [CO₂] alone (Holtmeier and Broll, 2007). Whether treelines are responsive to increased productivity
64 through CO₂ fertilisation might yield insights into whether treelines are limited by their productivity, i.e., photosynthe-
65 sis, or ability to utilise assimilated carbon, i.e., wood formation. However, to what extent increased [CO₂] drives long-
66 term tree and shrub encroachment and growth remains poorly studied.

67 For treeline migration to occur, it is not only the growth and increased stature of established trees that is important, but
68 also the recruitment and survival of new individuals beyond the existing treeline (Holtmeier and Broll, 2007). Seedlings
69 of treeline species are sometimes observed above the treeline, especially in sheltered microhabitats (Hofgaard et al.,
70 2009; Sundqvist et al., 2008). However, these individuals are often display a stunted growth and can be up to a few



71 decades old although age declines with elevation (Hofgaard et al., 2009). The suitability of the tundra environment for
72 trees to establish and grow taller will thus be an important factor for the rate of treeline advance (Cairns and Moen,
73 2004). Interspecific competition and herbivory are known to be important modulators of range shifts of trees (Cairns
74 and Moen, 2004; Van Bogaert et al., 2011; Grau et al., 2012). For instance, the presence of shrubs has been shown to
75 limit tree seedling growth (Weih and Karlsson, 1999; Grau et al., 2012), likely as a consequence of competition with
76 tree seedlings for nitrogen. Thus, as a fourth factor, shrub-tree interactions are likely to be important when predicting
77 forest range shifts under future climates. Rising temperatures have been suggested as the dominant driver of increased
78 shrub growth, especially where soil moisture is not limiting (Myers-Smith et al., 2015; Myers-Smith et al., 2018). Fur-
79 thermore, a changed precipitation regime, especially increased winter snowfall, might promote establishment of trees
80 and shrubs through the insulating effects of snow cover with subsequent increases in seedling winter survival (Hallinger
81 et al., 2010).

82 A narrow focus on a single, e.g., summer temperature, or a few driving variables may lead to overestimations of treeline
83 advance in future projections (Hofgaard et al., 2019). Dynamic vegetation models (DVMs) offer a way to investigate
84 the influence of multiple and interacting drivers on vegetation and ecosystem processes. Model predictions may be
85 compared with observations of local treelines and ecotones to validate assumptions embedded in the models, and to
86 interpret causality in observed dynamics and patterns. DVMs also offer a way to extrapolate observable local phenome-
87 na to broader scales, such as that of circumpolar shifts in the forest-tundra ecotone and the responsible drivers. Here,
88 we examine a subarctic forest-tundra ecotone that has undergone spatial shifts over recent decades (Callaghan et al.,
89 2013), previously attributed to climate warming. Adopting a DVM incorporating a detailed description of vegetation
90 composition and stature and nitrogen cycle dynamics, we apply the model at high spatial resolution to compare ob-
91 served and predicted recent treeline dynamics, and project future vegetation change with implications for carbon bal-
92 ance and biogeophysical feedbacks. In addition, we conduct three model experiments to separate and interpret the im-
93 pact of driving factors (climate, nitrogen deposition, [CO₂]) on vegetation in a forest-tundra ecotone in Sweden's sub-
94 arctic north.

95 **2. Materials and Methods**

96 **2.1 Abisko**

97 Abisko Scientific Research station (ANS; 68°21' N, 18°49' E) has a long record of ecological and climate research. The
98 climate record dates back to 1913 and is still ongoing. The research station is situated in a rain shadow and is thus rela-
99 tively dry despite its proximity to the ocean (Callaghan et al., 2013). The research station is situated in the valley, close
100 to the lake Torneträsk. The forests in the lower parts of the valley consist mostly of mountain birch *Betula pubescens*
101 *spp. czerepanovii* which is also dominant at the treeline. Treeline elevation in the Abisko valley range between 600-800
102 m above sea level (a.s.l.) (Callaghan et al., 2013). Other tree types in lower parts of the valley are *Sorbus aucuparia*,
103 and *Populus tremula*, along with small populations of *Pinus sylvestris* which are assumed to be refugia species from
104 warmer periods during the Holocene (Berghlund et al., 1996). Soils are glaciofluvial till and sediments. A full summary
105 of previous studies and the environment around lake Torneträsk can be found in Callaghan et al. (2013).



106 Our study domain covers an area of approximately 85 km² and extends from Mount Njulla in the west to the mountain
107 Nissoncorru in the East (See Fig. 2). In the northern part of our study domain is the lake Torneträsk. The mean annual
108 temperature was -0.5 ± 0.9 °C for the 30-year period 1971-2000 (Fig. 1; Table 2) with January as the coldest month ($-$
109 10.2 ± 3.5 °C) and July as the warmest month (11.3 ± 1.4 °C). Mean annual precipitation was 323 ± 66 mm for the
110 same reference period. This reference period was chosen as it is the last one in the dataset by Yang et al. (2011).

111 2.2 Ecosystem model

112 We used the LPJ-GUESS DVM as the main tool for our study (Smith et al., 2001; Smith et al., 2014; Miller and Smith,
113 2012). LPJ-GUESS is one of the most ecologically detailed models of its class, suitable for regional and global-scale
114 studies of climate impacts on vegetation, employing an individual- and patch-based representation of vegetation com-
115 position and structure. It simulates the dynamics of plant populations and ecosystem carbon, nitrogen, and water ex-
116 changes in response to external climate forcing. Biogeophysical processes (e.g. soil hydrology and evapotranspiration)
117 and physiological processes (e.g. photosynthesis, respiration, carbon allocation) are both closely linked and represented
118 mechanistically. The competition between neighbouring plant individuals for light, water and nutrients, affecting indi-
119 vidual establishment, growth, and mortality, is modelled explicitly. Individuals of the same age co-occurring in a local
120 neighbourhood or patch and belonging to the same plant functional type (PFT; see below) are assumed identical to each
121 other. Decomposition of plant litter and cycling of soil nutrients are represented by a CENTURY-based soil biogeo-
122 chemistry module, applied at patch scale (Smith et al., 2014).

123 For this study we employed LPJ-GUESS version 4.0 (Smith et al. 2014), enhanced with Arctic-specific features (Miller
124 and Smith, 2012; Wania et al., 2009). The combined model incorporates an updated set of arctic PFTs (described be-
125 low), improved soil physics and a multi-layered dynamic snow scheme, allowing for simulation of permafrost and fro-
126 zen ground.

127 Vegetation in the model is represented by cohorts of individuals interacting in local communities or patches and belong-
128 ing to a number of PFTs that are distinguished by growth form (tree, shrub, herbaceous), life history strategies (shade
129 tolerant or intolerant), and phenology class (evergreen/summergreen). Herbaceous PFTs are represented as a dynamic,
130 aggregate cover of ground layer vegetation in each patch. In this study 11 PFTs were implemented (See Table S2.1 in
131 supplementary material for a description of included PFTs; see Table S2.2 in supplementary material for parameter
132 values associated with each PFT). Out of these, three were tree PFTs, boreal needle-leaved evergreen trees (BNE),
133 boreal shade-intolerant evergreen Tree (BINE) and boreal shade-intolerant broad-leaved summergreen tree (IBS). Cor-
134 responding tree species present in the Torneträsk region include *Picea abies* (BNE), *Pinus sylvestris* (BINE), *Betula*
135 *pubescens ssp. czerepanovii*, *Populus tremula* and *Sorbus aucuparia* (IBS). Following Wolf et al. (2008), shrub PFTs
136 with different stature were implemented as follows: tall summergreen and evergreen shrubs, corresponding to *Salix spp.*
137 (HSS) and *Juniperus communis* (HSE) and low summergreen and evergreen shrubs. The two latter corresponding to
138 species such as *Betula nana* (LSS) and *Empetrum nigrum* (LSE). We also include two prostrate shrubs and two herba-
139 ceous PFTs.



140 Gridcell vegetation and biogeophysical properties are calculated by averaging over a number of replicate patches, each
141 subject to the same climate forcing and intended to represent a random sample of the vegetation in the stand. Within
142 each patch, establishment, growth and mortality of individual tree or shrub cohorts are modelled annually (Smith et al.
143 2014). Establishment and mortality have both an abiotic (bioclimatic) and biotic (competition-mediated) component.
144 Vegetation dynamics, i.e. changes in the distribution and abundance of different PFTs in grid cells over time, are an
145 emergent outcome of the competition for resources between PFTs within an overall climate envelope determined by
146 bioclimatic limits for establishment and survival. Disturbance was accounted for by the occasional removal of all vege-
147 tation within a patch with an annual probability of one per 300 years, representing random events such as storms, ava-
148 lanches, insect outbreaks, and wind-throw. The study used three replicate patches within each 50x50m gridcell.

149 For summergreen PFTs we slightly modified the assumption of a fixed growing degree day (GDD) requirement for
150 establishment, using thawing degree days (TDD; degree days with a 0 °C basis; see Table S2.2) instead.

151 **2.3 Forcing data**

152 **2.3.1 Historic period**

153 A highly resolved (50x50m) temperature and radiation dataset using field measurements and a digital elevation model
154 (DEM) by Yang et al. (2011) provided climate input to the model simulations for the historic period (1913-2000). The
155 temperature in the lower parts of the Abisko valley in the dataset was influenced by the lake with milder winters and
156 less yearly variability as a result. At higher elevation, the temperature is more variable over the year and more depend-
157 ent on the different solar angles between seasons (see Fig. S1.1; supplementary materials).

158 Monthly precipitation input was obtained from the Abisko Scientific Research Station weather records. Precipitation
159 was randomly distributed over each month using probabilities from the CRUNCEP v.7 dataset (Wei et al., 2014). We
160 assumed that local differences in precipitation can be neglected for our study domain and thus the raw station data was
161 used as input to LPJ-GUESS for the historic period. Nitrogen deposition data for the historic and future simulations
162 were extracted from gridcell including Abisko in the dataset produced by Lamarque et al. (2013). Nitrogen deposition
163 was assumed to be distributed equally over the study domain.

164 Data of soil texture was extracted from the WISE soil dataset (Batjes, 2005) for the Abisko area and assumed to be
165 uniform across the study domain. Callaghan et al (2013) reports that the soils around the Torneträsk areas are mainly
166 glaciofluvial till and sediments. Clay and silt fractions vary between 20-50% in the area (Josefsson, 1990) with higher
167 fractions of clay and silt in the birch forest and a larger sand content in the heaths. In the absence of spatial information
168 on particle size distributions, the soil was prescribed as a sandy loam soil with approximately 43% sand and approxi-
169 mately equal fractions of silt and clay.

170 **2.3.2 Future simulations**

171 Future estimates of vegetation change were simulated for one low (RCP2.6) and one high (RCP8.5) emission scenario.
172 For each emission scenario, climate change projections from three global climate models (GCMs) that had contributed



173 to the CMIP5 GCM ensemble (Taylor et al., 2012) were used to investigate climate effects on vegetation dynamics. The
174 GCMs (MIROC-ESM-CHEM, HadGEM2-AO, GFDL-ESM2M) were selected to represent the largest spread, i.e.,
175 highest, lowest and near average, in modelled mean annual temperature for the reference period 2071-2100. Only mod-
176 els that had contributed with simulations for both RCP2.6 and RCP8.5 were used in the selection. Monthly climate data
177 needed as input to LPJ-GUESS (temperature, total precipitation, and shortwave radiation) was extracted for the gridcell
178 including ANS for each GCM.

179 The historic climate dataset by Yang et al (2011) was extended into the projection period (2001-2100) using the delta
180 change approach, as follows. For each gridcell monthly differences were calculated between the projection climate and
181 the dataset by Yang et al. (2011) for the last 30-year reference period in our historic dataset (1971-2000). For tempera-
182 ture, the difference was derived, while for precipitation and incoming shortwave radiation relative differences between
183 the two datasets were derived. The calculated monthly differences were then either added (temperature) to the GCM
184 outputs, or used to multiply (precipitation, radiation) the GCM outputs from 2001-2100, for each of the climate scenari-
185 os used. Forcing data of atmospheric [CO₂] for the two scenarios were collected from the CMIP5 project.

186 **2.4 Model experiments**

187 To investigate the possible drivers of future vegetation change we performed three model experiments. The model was
188 forced with changes to one category of input (driver) variables (climate, [CO₂], nitrogen deposition) at a time for a
189 projection period between the years 2001-2100. A full list of simulations can be found in Table S3 (supplementary
190 materials).

191 A control scenario with no climate trend (and with [CO₂] and nitrogen deposition held at their respective year 2000
192 values) was also created. We estimated the effect of the transient climate change, [CO₂] or nitrogen deposition scenarios
193 by subtracting model results for the last decade (2090-2100) in the no-trend scenario from those for the last decade
194 (2090-2100) of the respective transient scenario. To estimate how sensitive the model was to different factors, we per-
195 formed a Spearman rank correlation for each PFT in 50 m elevational bands over the forest-tundra ecotone. We chose
196 Spearman rank over Pearson since not all correlations were linear.

197 **2.4.1 Climate change**

198 To estimate the sensitivity to climate change the same scenarios as for the future simulations (section 2.3.2) were used
199 while [CO₂] and nitrogen deposition were held constant at their year 2000 value.

200 Climate anomalies without any trend were created by randomly sampling full years in the last decade (1990-2000) from
201 the climate station data. The climate dataset was then extended using this data. The resulting climate scenario had the
202 same inter-annual variability as the historic dataset and no trend for the years 2000-2100. This scenario was used to
203 investigate any lag-effects on vegetation change. This scenario also provided climate input for the nitrogen and [CO₂]
204 tests described below.



205 **2.4.2 CO₂**

206 For our projection simulations we used five different [CO₂] scenarios from the CMIP5 project. High (RCP8.5), medium
207 (RCP6.0; RCP4.5) and low (RCP2.6) emission scenarios were used.

208 **2.4.3 Nitrogen deposition**

209 Scenarios of nitrogen deposition were collected from the Lamarque et al. (2013) dataset. Since this dataset assumes a
210 decrease of nitrogen deposition after year 2000 we also added four scenarios where nitrogen deposition increased with
211 2, 5, 7.5 and 10 times the nitrogen deposition relative to the year 2000. These four scenarios were created to test the
212 isolated effect of nitrogen increase without any climate or [CO₂] change. The resulting additional loads of nitrogen in
213 these scenarios were 3.8, 9.75, 14.63 and 18.9 kgN ha⁻¹ yr⁻¹ respectively.

214 **2.5 Model evaluation**

215 We evaluated the model against a range of available observations in the Abisko area. Measurements of ecosystem
216 productivity from an eddy covariance (EC) tower were obtained for six non-consecutive years (Olsson et al., 2017).
217 Biomass and biomass change estimates were used to evaluate simulated biomass in the birch forest (Hedenås et al.,
218 2011). Surveys of historic vegetation change above the treeline were collected from Rundqvist et al. (2011). Leaf area
219 index (LAI) and evapotranspiration estimates were obtained from Ovhed and Holmgren (1996).

220 The two studies by Hedenås et al. (2011) and Rundqvist et al. (2011) documented evaluation measurements within our
221 projection period (year 2010). To compare biomass and vegetation change with these studies we extracted five year
222 multi-model averages around the year 2010 (2008-2012) from our projection simulations (section 2.3.2). These means
223 were used to calculate change in biomass and vegetation in our historic dataset.

224 To determine the local rates and heterogeneity of treeline migration a number of transects were selected within our
225 study domain (Fig. S1.2; supplementary material). A subsample of these were placed close to the transects used by Van
226 Bogaert et al. (2011). Results from the model evaluation are summarised in Table 1.

227 **2.6 Determination of domains in the forest-tundra ecotone**

228 In our analysis we distinguished between forest, treeline and shrub-tundra, defined as follows. Any gridcell containing
229 30% fractional projective cover or more of trees was classified as forest. This limit has been used by other studies in the
230 area (e.g., Van Bogaert et al., 2011) to determine the birch forest boundary. The treeline was then determined by first
231 selecting gridcells classified as forest. Any gridcell with 4 or more neighbours fulfilling the 30% cover condition crite-
232 ria was classified as belonging to the forest. The perimeter of the forest was then determined through sorting out
233 gridcells with 4 or 5 neighbours classified as forest. Gridcells with fewer or more neighbors were regarded as tundra or
234 forest, respectively. Gridcells below the treeline were classified as forest in the analysis and gridcells above the treeline
235 were classified as tundra.



236 **2.7 Presentation of results**

237 We present seasonal values for soil and air temperature. These are averages of DJF, MAM, JJA, and SON, referred to
238 as winter, spring, summer and autumn below. For the RCPs average values are presented with the ranges of the differ-
239 ent scenarios within each RCP given in parenthesis.

240 **3. Results**

241 **3.1 Historic vegetation shifts**

242 The dominating PFT in the forest and at the treeline was IBS which constituted 90% of the total LAI (Fig. 2a-3a). The
243 only other tree PFT present in the forest was BINE, which comprised a minor fraction of total LAI. However, in the
244 lower (warmer) parts of the landscape BINE comprised up to 20% of total LAI in a few gridcells. Forest understory was
245 mixed but consisted mostly of tall and low evergreen shrubs and grasses. Shrub tundra vegetation above the treeline
246 was more mixed but LSE dominated with 51% of total LAI. Grasses comprised an additional 25% of total LAI and IBS
247 was present close to the treeline where it comprised up to 5% of LAI in some gridcells.

248 Between the start and end of our historic (1913-2000) simulation the landscape scale treeline shifted upwards 67 eleva-
249 tional meters on average, corresponding to a rate of 0.83 m yr⁻¹. However, during the 20th century both a period (1913-
250 1940) with more rapid warming (0.8°C) and faster tree migration rate (1.23 m yr⁻¹) as well as a period (1940-1980) with
251 a cooling trend (-0.3°C) and stationary treeline occurred (Fig. 5). Between 1913-2000, the lower boundary of the
252 treeline shifted upwards 2 meters, while treeline upper boundaries shifted upwards 123 m. These shifts corresponded to
253 rates of 0.03 and 1.54 m yr⁻¹, respectively. Similar rates were also found in the transects established to test the heteroge-
254 neity of treeline migration (Fig. S1.2; Table S1.1; supplementary materials) where the average migration rate was 0.87
255 (0.54 - 1.25) m yr⁻¹.

256 During the 1913-2000 period, annual air temperature at the simulated treeline warmed from -2.0°C to -0.8°C. Warming
257 occurred throughout the year but was strongest in winter and spring where temperatures increased by 3.0°C and 1.4°C,
258 respectively. In contrast, both summer and autumn temperatures warmed by only 0.6°C. The resulting winter, spring,
259 summer, and autumn air temperatures at the treeline in 1990-2000 were -8.7°C, 3.3°C, 8.8°C, and -0.1°C, respectively.
260 The increases in air temperatures were also reflected in soil temperature increases of a similar magnitude, by 2.1°C
261 from -0.8°C to 1.3°C. Winter soil temperature increased with 3.7°C from -5.6°C in 1913 to -1.9°C in 2000. The warmer
262 soil temperatures resulted in a 4.8% increase in annual net nitrogen mineralisation rate in the treeline soils over the
263 same period. Combined with an increased nitrogen deposition load from 0.65 kg N ha⁻¹ in 1913 to 2.0 kg N ha⁻¹ in 2000
264 and an increased nitrogen fixation from 1.35 kg N ha⁻¹ to 1.76 kg N ha⁻¹, plant available nitrogen increased by 15.9%.

265 **3.2 Projected vegetation shifts**

266 During the 100 year projection period (2001-2100) treelines advanced between 45 (HadGEM2-AO-RCP2.6) and 195
267 (GFDL-ESM2M-RCP8.5) elevational meters in the different scenarios, corresponding to rates of 0.45 and 1.95 eleva-



268 tional meters yr^{-1} . Total LAI increased in the entire ecotone in both RCP2.6 and RCP8.5 compared to the historic (1990-
269 2000) values (Fig. 3b-c). The increase was more pronounced in RCP8.5, which also saw a large increase in low ever-
270 green shrubs (LSE) at the end of the century (2090-2100). While the forest was still dominated by IBS, evergreen trees
271 (BNE and BINE) increased and together comprised approximately 15% of total LAI. The fraction of evergreen trees in
272 the forest correlated well with the degree of warming in each scenario. Forest productivity (GPP) was mainly driven by
273 tree PFTs and increased by 50% (12% - 99%) for RCP2.6 and 177% (98% - 270%) for RCP8.5. Above the treeline, low
274 shrubs (LSS and LSE) contributed most to annual GPP increases, which increased by 33% (-12% - 67%) and 239%
275 (105% - 372%) in RCP2.6 and RCP8.5, respectively. The productivity increase translated into a biomass C increase of
276 the same magnitude in both the forest and above the treeline.

277 The average summer air temperature at the treeline between the last decade in the historic and projection periods in-
278 creased by 0.3°C and 6.7°C for the coldest (GFDL-ESM2M-RCP2.6) and warmest (MIROC-ESM2M-RCP8.5) GCM
279 scenario, respectively. The advance of the 6°C JJA soil temperature isohline was more rapid than the treeline advance
280 (Fig. 4). In the two warmest scenarios (MIROC-ESM2M-RCP8.5 and HadGEM2-AO-RCP8.5) summer soil tempera-
281 tures exceeded 6°C in the whole study domain. Treeline elevations in these scenarios only reached 745 and 660 m a.s.l.,
282 respectively. Treelines advanced almost twice as fast in RCP8.5 compared to RCP2.6, 1.55 (1.10 - 1.96) m yr^{-1} and 0.84
283 (0.44 - 1.16) m yr^{-1} , respectively.

284 3.3 Model experiments

285 A slight treeline advance at the end of the projection period (2090-2100) of approximately 11 elevational meters was
286 seen in the control simulation where all drivers were held constant. This revealed a lag from the historical period, likely
287 resulting from smaller trees that had established in the historic period that matured during the projection period.

288 3.3.1 Climate change

289 Treeline advance occurred in all climate change scenarios although the rate was not uniform throughout the projection
290 period (Fig. 5). When driven by climate change alone, migration rates were faster than the projection simulations where
291 nitrogen deposition and CO_2 were also changed (section 3.2). Treeline advance in climate change only scenarios ranged
292 between 60 elevational meters (HadGEM2-AO-RCP2.6) to 245 elevational meters (MIROC-ESM-CHEM-RCP8.5)
293 over the 100 year projection period.

294 Tree productivity was strongly enhanced by air temperature over the whole study domain (Fig. 6a). Other climate fac-
295 tors such as precipitation and net shortwave radiation also correlated with productivity, however, these correlations
296 were weaker (Fig. S1.5; S1.6; supplementary materials). Annual precipitation increased in all climate change scenarios
297 (Table 2). In the lower parts of the valley, the increased precipitation did not result in any increased soil moisture during
298 summer as losses through evapotranspiration driven by temperature exceeded the additional input. Spring and autumn
299 soil moisture increased in the forest, mainly because of earlier snowmelt and thawing ground in spring and a relatively
300 weaker evapotranspiration in autumn. Above the treeline, soil moisture increased as the lower temperatures and LAI did
301 not drive evapotranspiration as strongly as in the lower parts of the valley and the increased rain thus outweighed the
302 slightly increased evapotranspiration.



303 Increased tree productivity in the forest resulted in an increased LAI of 18-90%, which in absolute terms was equivalent
304 to an increased LAI of 0.3-1.5 m² m⁻². BNE appeared in the forest and dominated in a few gridcells. In most places
305 BNE constituted approximately 5% of total LAI. Tall shrub (HSE and HSS) productivity and LAI increased in the for-
306 est, however, the increase was negatively correlated with temperature, i.e., increase was highest in the coldest climate
307 change scenarios. Above the treeline, tall shrubs showed the opposite pattern, increasing by 8-50% to finally constitute
308 10-36% of total LAI.

309 Higher soil moisture content in spring and autumn favoured trees in the whole ecotone, while forest understory suffered
310 from earlier onset of the growing season with subsequent flushing of the leaf and light shading from taller competitors.
311 Although soil moisture in summers decreased in the forest, LAI and biomass carbon of summergreen shrubs were posi-
312 tively correlated with soil moisture. A higher soil moisture during summers in the wetter GCMs promoted summergreen
313 shrubs over evergreen shrubs in the whole ecotone. As an example, vegetation composition on the tundra above the
314 treeline differed between the two GCMs GFDL-ESM2M and MIROC-ESM-CHEM under RCP8.5, where the warmer
315 GCM showed a 52% biomass C increase in the tall evergreen shrub, HSE. The intermediately warmed scenario (GFDL-
316 ESM2M-RCP8.5) showed a more mixed increase of biomass carbon in HSE (20%) and HSS (24%). While annual tem-
317 perature differed with 3.9°C between the two scenarios, average annual precipitation only differed by 6.2 mm, yielding
318 a much (26%) lower JJA soil moisture in the warmest scenario (MIROC-ESM-CHEM-RCP8.5) compared to the colder
319 (GFDL-ESM2M-RCP8.5). A relatively higher soil moisture and subsequently lower water stress allow for taller plants
320 to establish.

321 Radiation correlated positively with the growth of tree PFTs, with spring and autumn radiation found to be especially
322 important for height and biomass increase (Fig. S1.7; supplementary materials). Increased radiation provided a competi-
323 tive advantage for taller trees and shrubs to shade out lower shrubs and grasses in the forest. Shrubs above the treeline
324 were also favoured by increased light.

325 Net nitrogen mineralisation, i.e., the difference between microbial nitrogen mineralisation and immobilisation, at the
326 treeline showed great variation between different climate change scenarios, ranging from a 4% decrease in one scenario
327 (GFDL-ESM2M-RCP8.5) to a 79% increase in the strongest warming scenario (MIROC-ESM-CHEM- RCP8.5). In
328 absolute terms, the latter increase corresponds to the nitrogen load in the 7.5x increased nitrogen deposition scenario.
329 Interestingly, despite very different plant available nitrogen and warming, the two scenarios displayed a similar result-
330 ing (2090-2100) treeline elevation (Fig. 5a).

331 3.3.2 CO₂

332 Productivity increase in most PFTs correlated well with a [CO₂] increase (Fig. 6b). Total GPP averaged over the forest
333 increased between 2-10% depending on the [CO₂] scenario, with the largest increase in RCP8.5 and smallest in RCP2.6.
334 The CO₂ fertilisation effect was not uniform within the landscape, but stronger towards the forest edge with increases
335 from 2% to 18% from the weakest to the strongest [CO₂] scenario. IBS NPP increased uniformly over the forest with
336 2.5-8.4% but decreased above the treeline. Thus, the productivity of the two dominant PFTs (IBS in the forest and LSE
337 above the treeline) was reinforced in their respective domains. The increased productivity translated into a 1-5% in-



338 crease in tree LAI in the forest while low shrub LAI increased with 24-77%. Likewise, increase in leaf area of low
339 shrubs was largest on the tundra under elevated [CO₂], which saw a 15-40% LAI increase in the low and high [CO₂]
340 scenario respectively. Above the treeline, the productivity of grasses and low shrubs responded strongly to the CO₂
341 fertilisation with a 350% increase in GPP for grasses and 150% increase for low shrubs. The additional litter fall pro-
342 duced by the increased leaf mass did not lead to any increase in N mineralisation. However, immobilisation of nitrogen
343 through increased uptake by microbes increased with 2-6% between the lowest and highest [CO₂] scenarios, yielding a
344 net reduction of plant available nitrogen. Furthermore, the productivity increase did not drive any range shift of the
345 forest, i.e., the treeline remained stationary in all [CO₂] scenarios (Fig. 5b).

346 3.3.3 Nitrogen deposition

347 Productivity of woody PFTs was in general positively correlated with nitrogen concentration in the different nitrogen
348 deposition scenarios. In contrast, productivity of grasses was negatively correlated (Fig. 6c) as they suffered in the light
349 competition with the trees. Annual GPP of trees (especially IBS) was positively correlated throughout the whole eco-
350 tone, however, the increase in GPP was larger towards the forest boundaries than in the lower parts the forest when
351 nitrogen was added. Nitrogen stressed plants in the model allocate more carbon to their roots at the expense of foliar
352 cover when they suffer a productivity reduction. In the two scenarios with decreasing nitrogen deposition (RCP2.6;
353 RCP8.5) there was an overall reduction in LAI in both the tundra and the forest of a magnitude 6-10%. The largest
354 reduction was seen in tree PFTs, which have the largest biomass and consequently could be assumed to have the highest
355 nitrogen demand, followed by tall shrubs. Low shrubs and grasses did however increase their LAI in the forest when
356 nitrogen input decreased resulting from a decreased light competition from trees. Above the treeline, LAI of low shrubs
357 and grasses PFTs also decreased with less nitrogen input.

358 In all scenarios with increasing nitrogen deposition there was an advancement of the treeline in the order of 10-85 ele-
359 vational meters with smallest (2x nitrogen deposition) having the smallest change in treeline elevation and vice versa
360 for largest input (10x nitrogen deposition) scenario (Fig. 5c). In the scenarios where nitrogen input was constant or
361 decreasing, the treeline remained stationary.

362 4. Discussion

363 In our simulations, rates of treeline advance were faster under climate change-only scenarios than when all drivers were
364 changing. This revealed nitrogen as a modulating environmental variable, as nitrogen deposition was prescribed to
365 decrease in both the RCP2.6 and RCP8.5 scenarios. In contrast to previous modelling studies of treeline advance (e.g.,
366 Paulsen and Körner, 2014), we include not only temperature dependence on vegetation change, but also the full nitro-
367 gen cycle and CO₂ fertilisation effects (Smith et al., 2014). Increased nitrogen deposition induced treeline advance,
368 further illustrating the importance of nitrogen dynamics in our results. In the elevated [CO₂] scenarios, higher produc-
369 tivity in all plants was induced, but productivity enhancements alone did not lead to significant treeline advance. How-
370 ever, enhancement of productivity in combination with an allocation shift to the plant canopies, enabled by a greater
371 nitrogen uptake, favoured taller plants over their shorter neighbours in the competition for light within the model. Field
372 experiments with nitrogen fertilisation have shown that mountain birch at the treeline displays additional growth after



373 nitrogen additions (Sveinbjörnsson et al., 1992). Furthermore, fertilisation with nitrogen improved birch seedling sur-
374 vival above the treeline (Grau et al., 2012), and is thus likely important for establishment and growth of new individuals
375 to form a new treeline. This pattern is in line with the hypothesis that productivity increase alone does not drive range
376 shifts of trees (Körner, 2015). As has also been pointed out by others (Hofgaard et al., 2019; Van Bogaert et al., 2011),
377 considering climate change or temperature alone in projections of treeline advance could potentially result in overesti-
378 mations of vegetation change. Our results clearly indicate the importance of nitrogen cycling when predicting future
379 Arctic vegetation shifts.

380 The treelines in our projection simulations advanced at similar rates to those experienced during the historic period,
381 resulting in a displacement of 45-195 elevational meters over the 100 year projection period. Some estimates based on
382 lake sediments in the Torneträsk region from the Holocene thermal maximum, when summer temperatures could have
383 been about 2.5°C warmer than present (Kullman and Kjällgren, 2006), indicate potential treeline elevations approxi-
384 mately 500m above present in the area in a warmer climate, although these elevations are likely overestimated
385 (Kullman, 2010). Macrofossil records from lakes in the area indicate that birch was present 300-400 meters above the
386 current treeline (Barnekow, 1999). Furthermore, pine might have occurred approximately 100-150 meters above its
387 present distribution (Berglund et al., 1996). IBS emerged as the dominant forest and treeline PFT in both our historic
388 and projection simulations, but with larger fractions of evergreen trees (BNE and BINE) at the end of the century
389 (2090-2100). Mountain birch, represented by IBS in our model, has historically dominated this area, even during warm-
390 er periods of the Holocene (Berglund et al., 1996), but with larger populations of pine (BINE) and spruce (BNE) than
391 present. Both pine and spruce have been found in high elevation lake pollen sediments, and can thus be assumed to have
392 grown in higher parts of the ecotone during warmer periods (Kullman, 2010). Treeline advance for the historic period in
393 our simulations is broadly consistent with observational studies from the Abisko region (Van Bogaert et al., 2011).
394 Temperature was a strong driver of tree productivity and growth in the whole ecotone. During our historic period rates
395 of treeline advance followed periods of stronger warming. However, other factors such as precipitation indirectly influ-
396 enced treeline advance through changes in vegetation composition and nitrogen mineralisation. This was illustrated by
397 the comparison of the GCMs GFDL-ESM2M and MIROC-ESM-CHEM under RCP8.5, where the more intermediately
398 warmed but wetter scenario had very similar resulting treeline elevation as the warmer scenario. While simulated
399 treeline position was too low compared to the treeline elevation reported by Callaghan et al. (2013), the correlation with
400 the globally observed 6-7°C ground temperature isoline (Körner and Paulsen, 2004) throughout the historic period gives
401 confidence in the model results. However, during our projection period the correlation between the treeline position and
402 the 6-7°C isoline weakened, revealing a fading or potential lag of the treeline-climate equilibrium that became stronger
403 with increased warming.

404 The model overestimated biomass carbon densities in the forest but captured historic rates of biomass carbon increase.
405 The overestimation was more severe closer to the forest boundaries as the model showed a weaker negative correlation
406 between biomass carbon and elevation than observed by Hedenås et al. (2011). Terrestrial biosphere models often over-
407 estimate biomass in high latitudes (Pugh et al., 2016; Leuzinger et al., 2013) and potentially lack processes that likely
408 limit growth close to low temperature boundaries. Examples of such processes are carbon costs of nitrogen acquisition
409 (Shi et al., 2016) and temperature limits on growth increment (Friend et al., 2019), i.e., decoupling of growth and pho-
410 tosynthesis. Additionally, the overestimation in our study can be partly attributed to lack of herbivory in the model.



411 Outbreaks of the moth *Epirrita autumnata* are known to limit productivity and reduce biomass of mountain birch in the
412 area in certain years (Olsson et al., 2017), however, this is likely not enough to explain our biomass carbon overestima-
413 tion. Simulated productivity and LAI were within the range of observations in the area (Rundqvist et al., 2011; Ovhed
414 and Holmgren, 1996; Olsson et al., 2017). Since growth and biomass increment in the model do not include a direct
415 temperature dependence, nor any decoupling of growth and productivity, we do not regard these mechanisms as neces-
416 sary to accurately predict treeline dynamics. However, they might be important to accurately predict forest biomass
417 towards their low temperature boundaries.

418 To assess the modelled heterogeneity of treeline advance, we established a number of transects close to observation
419 points in the landscape. Average treeline advance in the transects showed a somewhat faster and more homogenous
420 migration than reported (Van Bogaert et al., 2011). The model does not include historic anthropogenic disturbances,
421 topographic barriers, or insect herbivory, all of which have been documented as important for the heterogeneity of the
422 treeline advance rates and placement in the landscape (Van Bogaert et al., 2011; Emanuelsson, 1987). While overall
423 rates of advance were captured, local variations were consequently not possible to capture as these processes are not
424 implemented in the model.

425 A longer growing season favoured tree PFTs in the whole ecotone, which escaped early-season desiccation due to mild-
426 er winters and earlier spring thaw. Higher summer soil moisture in the more precipitation rich climate scenarios shifted
427 the ratio of summergreen to evergreen shrubs in favour of the summergreen shrubs, in line with observations
428 (Elmendorf et al., 2012). Conversely, drier scenarios yielded an increased abundance of evergreen shrubs, similar to
429 what has been observed in drier parts of the tundra heath in the Abisko region (Scharn et al., 2021). Within RCP8.5, the
430 warmest (MIROC-ESM-CHEM-RCP8.5) and coldest (GFDL-ESM2M-RCP8.5) scenario gave rise to very similar
431 treeline positions at the end of the projection period (2090-2100). The colder scenario had both higher soil moisture and
432 a greater abundance of summergreen shrubs. Higher soil moisture promoted a larger carbon allocation to the canopy,
433 and thus favoured the taller IBS tree PFT over tall shrubs (HSS). Increased shrub abundance and nutrient cycling have
434 been shown to have potentially non-linear effects on shrub growth and ecosystem carbon cycling (Buckeridge et al.,
435 2009; Hicks et al., 2019), and some observations indicate that changes in the ratio of summergreen to evergreen shrubs,
436 or an increased abundance of trees, might have far-reaching consequences for soil carbon loss (Parker et al., 2018;
437 Clemmensen et al., 2021). Thus, our results indicate that any future change in soil moisture conditions could play an
438 important role in the competitive outcome of shrubs in the forest-tundra ecotone and for its carbon balance.

439 Above the treeline low evergreen shrubs (LSE) dominated the vegetation in both our historic and projection simula-
440 tions. The productivity of shrubs and grasses was greatly enhanced by CO₂ fertilisation in our [CO₂] model experiment,
441 and a large proportion of tundra productivity increases in our projection simulations could be attributed to rising [CO₂].
442 Physiological effects of elevated CO₂ on Arctic and alpine tundra productivity and growth are understudied. Free Air
443 CO₂ Enrichment (FACE) experiments are generally considered the best method for quantifying long-term ecosystem
444 effects of elevated CO₂ but are extremely costly and therefore limited in number and distribution. A majority of FACE
445 experiments have been implemented in temperate forests and grasslands, yielding limited evidence of relevance to bo-
446 real and tundra ecosystems (Hickler et al., 2008). One FACE experiment situated in a forest-tundra ecotone in the Swiss
447 Alps showed differing responses to elevated CO₂ among shrub species where *Vaccinium myrtillus* showed 11% in-



448 creased shoot growth while *Empetrum nigrum* was unresponsive and the response of *V. gaultherioides* depended on the
449 forest type in which it was growing (Dawes et al., 2013). Our model results indicated that shrubs are carbon limited and
450 shrub productivity and growth consequently are responsive to CO₂ fertilisation.

451 5. Conclusions

452 In this study we identified nitrogen cycling and availability as an important modulator of treeline advance. Internal
453 cycling of nutrients in soils is the main source of nutrients for Arctic plants (Chapin, 1983). The model performed well
454 regarding rates of shrub increase and treeline advance but overestimated biomass carbon in the forest. Treeline migra-
455 tion rates were realistically simulated although the model did not represent temperature limitations on tree growth.
456 While a decoupling between productivity and growth in the model could potentially have improved estimates of bio-
457 mass carbon, it was not needed to correctly predict treeline elevation. Instead, our results point to the importance of
458 indirect effects of rising temperatures on tree range shifts, especially with regards to nutrient cycling. Furthermore, soil
459 moisture strongly influenced vegetation composition within the model with implications for treeline advance. How
460 models represent nutrient uptake and cycling, as well as a better empirical understanding of processes that determine
461 tree and shrub growth will be key to better predictions of Arctic vegetation change and carbon and nitrogen cycling.
462 Models are a valuable aid in judging the relevance of these processes on the pan-Arctic scale.

463 6. Author contributions

464 AG designed the experiments with contributions from PM and SO. AG also performed necessary model code develop-
465 ments and carried out model simulations and data analysis. AG prepared the manuscript with contributions from all co-
466 authors.

467 7. Competing interests

468 The authors declare that they have no conflict of interest.

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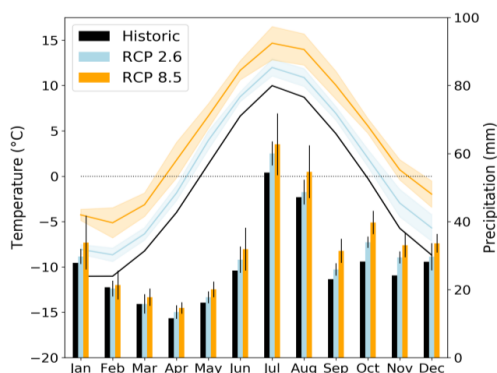


Figure 1. Historic (1971-2000) and projected (2071-2100) temperature (left) and precipitation (right) variability in Abisko. The shaded areas (temperature) and black bars (precipitation) mark ± 1 standard deviation uncertainty in the three CMIP5 multi-model mean for RCP2.6 and RCP8.5 respectively.

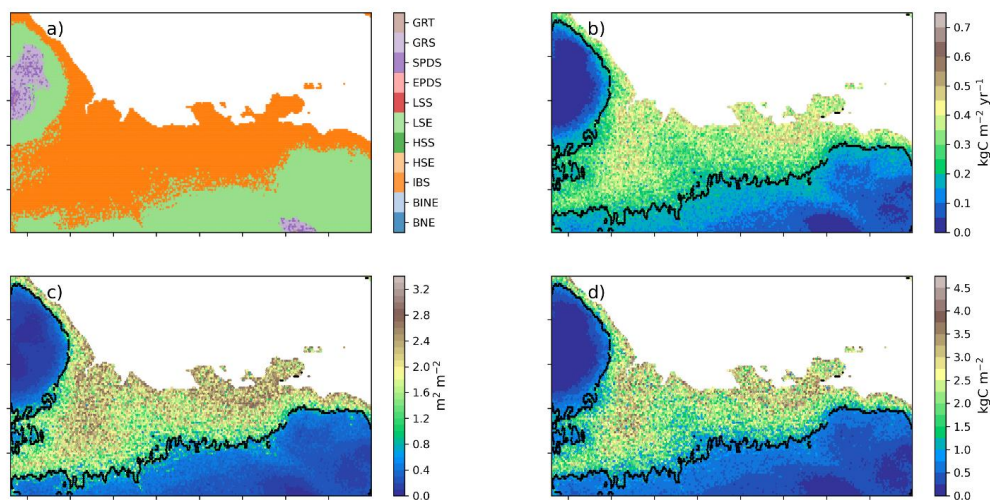


Figure 2. Modelled forest-tundra ecotone for the historic period (1990-2000). a) Dominant PFT in the ecotone and total ecosystem b) productivity (GPP; $\text{kgC m}^{-2} \text{yr}^{-1}$) c) LAI ($\text{m}^2 \text{m}^{-2}$) and d) plant carbon density (kgC m^{-2}). Black line in panel b-d shows the modelled treeline position.

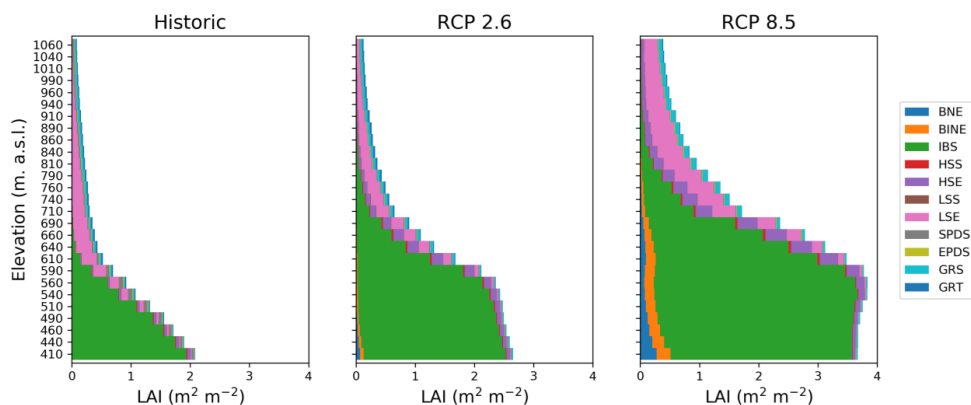


Figure 3. Leaf area index (LAI) in the forest-tundra ecotone for a) historic (1990-2000) and at the end of the century (2090-2100) for b) RCP2.6 and c) RCP8.5 respectively. Each bar represents an approximate 50 elevational meter band in the ecotone.

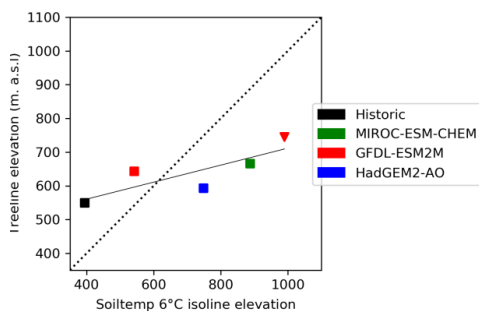


Figure 4. JJA 6°C soil temperature isoline correlation with average treeline elevation. Square markers represent RCP2.6 while triangles represent RCP8.5. In the two warmest scenarios (HadGEM2-AO-RCP8.5 and MIROC-ESM-CHEM-RCP8.5), the 6°C soil temperatures exceed 6°C in the whole landscape. The dotted line represents the 1:1 relationship between treeline and isoline placement while the full line displays the treeline-soil temperature regression.



Table 1. Model evaluation and benchmarking results.

Parameter	Unit	Domain	Time Interval	Model value	Estimated value	Reference
GPP (Average)	gC m ⁻² yr ⁻¹	Birch forest	2007-2014	410 ± 64	440 ± 54	Olsson et al., 2017
Carbon density	tC ha ⁻¹	Birch forest	2010	21.8 ± 10	4.39 ± 3.46	Hedenäs et al., 2011
Carbon density change	%	Birch forest	1997-2010	25	19	
LAI	m ² m ⁻²	Forest canopy	1988-1989	1.65 ± 0.66	~2.0	Ovhed & Holmgren, 1996
		Understory		0.17 ± 0.12	~0.5	
Densification	%	Shrub tundra	1976-2010	+87 ± 15	+50-80	Rundqvist et al., 2011
Treeline elevation (min)	m. a.s.l.	Treeline	2010	444	~600	Callaghan et al., 2013
Treeline elevation (mean)				564	-	
Treeline elevation (max)				723	~800	
Treeline elevation change (mean)	Elevational meters	Treeline	1912-2009	80	24	van Boogart et al., 2011
Treeline elevation change (max)				123	145	
Treeline migration rate (mean)	m yr ⁻¹	Treeline	1912-2009	+0.85	+0.6	van Boogart et al., 2011
Treeline migration rate (max)				+1.18	+1.1	

Table 2. Seasonal temperature and precipitation for historic and scenario simulations.

	Season	1971-2000			2071-2100			
		Yang et al., 2011	GFDL-ESM2M		HadGEM2-AO		MIROC-ESM-CHEM	
		Historic	RCP2.6	RCP8.5	RCP2.6	RCP8.5	RCP2.6	RCP8.5
Temperature (°C)	Winter (DJF)	-9.8	-8.2	-5.4	-8.1	-4.4	-7.4	-3.1
	Spring (MAM)	-2.1	-1.3	1.0	0.4	4.11	0.7	4.8
	Summer (JJA)	9.9	10.9	13.2	11.9	14.4	13.1	13.4
	Autumn (SON)	0.1	1.1	4.2	2.3	9.1	3.2	7.2
	Annual (mean)	-0.5	0.6	3.3	1.6	5.0	2.4	6.6
Precipitation (MM)	Winter (DJF)	75	80	85	75	80	70	95
	Spring (MAM)	45	40	45	40	45	50	55
	Summer (JJA)	125	130	130	130	150	135	145
	Autumn (SON)	75	90	95	85	95	95	110
	Annual (sum)	325	340	355	335	370	350	405

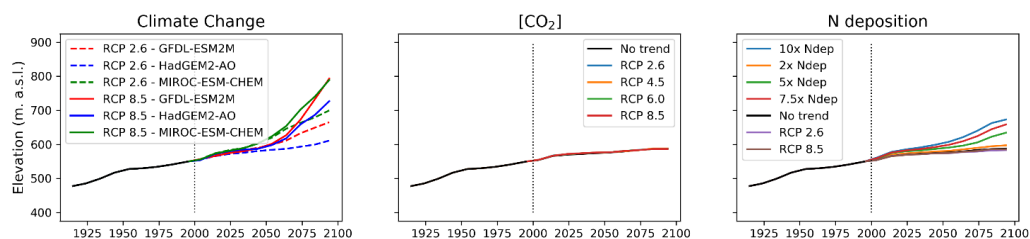


Figure 5. Shifts in average treeline elevation over the simulation period for the three experiments a) climate change b) CO₂ fertilisation and c) nitrogen deposition. Start of projection simulations are marked with a vertical dotted line in all panels. No-trend scenario in panel b-c represent a scenario where climate, CO₂ and nitrogen deposition are kept constant (without trend) relative to year 2000. Black line before year 2000 represents our historic simulation.

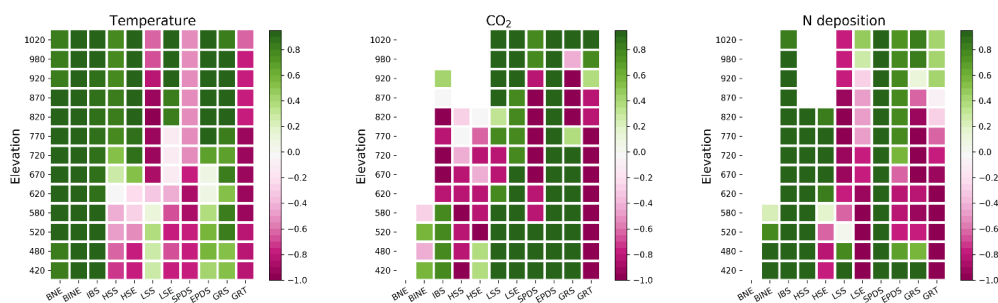


Figure 6. Correlation (Spearman rank) between annual GPP for each PFT and a) average end of century (2090-2100) temperature anomalies in the climate change experiment, b) CO₂ scenario and c) nitrogen deposition scenario. Each box represent a 50 elevational meter band in the ecotone for a given PFT.