

# 1 **Using machine learning and BGC-Argo floats to assess biogeochemical** 2 **models and optimize observing system design**

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18 Numerical models of ocean biogeochemistry are becoming major tools to detect and predict  
19 the impact of climate change on marine resources and monitor ocean health. However, with  
20 the continuous improvement in model structure and spatial resolution, incorporation of these  
21 additional degrees of freedom into fidelity assessment has become increasingly challenging.  
22 Here, we propose a new method to inform about the model predictive skill in a concise way.  
23 The method is based on the conjoint use of a K-means clustering technique, assessment  
24 metrics and BGC-Argo observations. The K-means algorithm and the assessment metrics  
25 reduce the number of model data points to be evaluated. The metrics evaluate either the model  
26 state accuracy or the skill of the model in capturing emergent properties, such as the Deep  
27 Chlorophyll Maximums and Oxygen Minimum Zones. The use of BGC-Argo observations as  
28 the sole evaluation data set ensures the accuracy of the data as it is a homogenous data set  
29 with strict sampling methodologies and data quality control procedures. The method is  
30 applied to the global ocean biogeochemical analysis and forecasting system of the Copernicus  
31 Marine Service. The model performance is evaluated using the model efficiency statistical  
32 score that compares the model-observations misfit with the variability of the observations,  
33 and thus objectively quantifies whether the model outperforms the BGC-Argo climatology.

1 We show that, overall, the model surpasses the BGC-Argo climatology in predicting pH,  
2 dissolved inorganic carbon, alkalinity, oxygen, nitrate, and phosphate in the mesopelagic and  
3 the mixed layers, as well as silicate in the mesopelagic layer. However, there are still areas for  
4 improvement in reducing the model-data misfit for certain variables such as silicate, pH, and  
5 the partial pressure of CO<sub>2</sub> in the mixed layer, as well as chlorophyll-a related, Oxygen  
6 Minimum Zones-related and particulate organic carbon metrics. The method proposed here is  
7 also helpful to inform the design of the BGC-Argo network, in particular, the regions where  
8 BGC-Argo observations should be enhanced to improve the model accuracy through the  
9 assimilation of BGC-Argo data or process-oriented assessment studies. We strongly  
10 recommend increasing the number of observations in the Arctic region, while maintaining the  
11 already high-density of observations in the Southern Oceans. The model error in these regions  
12 is only slightly less than the variability observed in BGC-Argo measurements. Our study  
13 illustrates how the synergic use of modelling and BGC-Argo data can both inform about the  
14 performance of models and the design of observing systems.

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## 17 **1. Introduction**

18

19 Since pre-industrial times, the ocean has taken ~26 % of the total anthropogenic CO<sub>2</sub>  
20 emission (Friedlingstein et al., 2022) leading to dramatic change in the ocean's  
21 biogeochemical (BGC) cycles, such as ocean acidification (Iida et al., 2020). Moreover,  
22 deoxygenation (Breitburg et al., 2018) and change in the biological carbon pump are now  
23 manifesting globally (Capuzzo et al., 2018; Osman et al., 2019; Roxy et al., 2016). Together  
24 with plastic pollution (Eriksen et al., 2014) and an increase in fisheries pressure (Crowder et  
25 al., 2008), major changes are therefore occurring in marine ecosystems at the global scale. In  
26 order to contextualize monitoring of ongoing changes, derive climate projections and develop  
27 better mitigation strategies, realistic numerical simulations of the oceans' BGC state are  
28 required.

29

30 Numerical models of ocean biogeochemistry represent a prime tool to address these issues  
31 because they produce three dimensional estimates of a large number of chemical and  
32 biological variables that are dynamically consistent with the ocean circulation (Fennel et al.,  
33 2019). They can assess past and current states of the BGC ocean, produce short-term to

1 seasonal forecasts as well as climate projections. However, these models are far from being  
2 flawless, mostly because there are still huge knowledge gaps in the understanding of key  
3 BGC processes and, as a result, the mathematical functions that describe BGC fluxes, and  
4 ecosystems dynamics are too simplistic (Schartau et al., 2017). For instance, most models do  
5 not include a radiative component for the penetration of solar radiation in the ocean. It has  
6 been nevertheless shown that coupling such a component with a BGC model improves the  
7 representation of the dynamics of phytoplankton in the lower euphotic zone (Dutkiewicz et  
8 al., 2015; Álvarez et al., 2022). Additionally, the parameterization of the mathematical  
9 functions generally results from laboratory experiments on a few representative species and  
10 may not be suitable for extrapolation to ocean simulations that need to represent the large  
11 range of organisms present in oceanic ecosystems (Schartau et al., 2017; Ward et al., 2010).  
12 Furthermore, the assimilation of physical data in coupled physical-BGC models that improves  
13 the physical ocean state can paradoxically degrade the simulation of the BGC state of the  
14 ocean (Fennel et al., 2019; Park et al., 2018; Gasparin et al., 2021). A rigorous assessment of  
15 BGC models is thus essential to test their predictive skills, their ability to reproduce BGC  
16 processes and estimate confidence intervals on model predictions (Doney et al., 2009; Stow et  
17 al., 2009).

18  
19 However, the evaluation of BGC models is limited by the availability of data. It relies  
20 principally on a combination of different data sets from satellite (such as chlorophyll-*a*  
21 concentration), cruises observations, permanent oceanic stations from large databases such as  
22 the World Ocean Database (e.g., Doney et al., 2009; Dutkiewicz et al., 2015; Lazzari et al.,  
23 2012, 2016; Lynch et al., 2009; Séférian et al., 2013; Stow et al., 2009). All these datasets  
24 have neither a sufficient vertical or temporal resolution, nor a synoptic view, nor provide all  
25 variables necessary to evaluate how models represent climate-relevant processes such as the  
26 air-sea CO<sub>2</sub> fluxes, the biological carbon pump, ocean acidification or deoxygenation.

27  
28 In 2016, the Biogeochemical-Argo (BGC-Argo) program was launched with the goal to  
29 operate a global array of 1000 BGC-Argo floats equipped with oxygen (O<sub>2</sub>), chlorophyll *a*  
30 (Chl*a*) and nitrate (NO<sub>3</sub>) concentrations, particulate backscattering (*b*<sub>bp</sub>), pH and downwelling  
31 irradiance sensors (Biogeochemical-Argo Planning Group, 2016; Claustre et al., 2020).

32 Although the planned number of 1000 floats has not been reached yet, the BGC-Argo  
33 program has already provided a large number of quality-controlled vertical profiles of O<sub>2</sub>,  
34 Chl*a*, NO<sub>3</sub>, *b*<sub>bp</sub>, and pH (Fig. 1). With respect to O<sub>2</sub>, Chl*a*, NO<sub>3</sub>, and *b*<sub>bp</sub>, the North Atlantic

1 and the Southern Ocean are reasonably well sampled whereas pH is well sampled only in the  
2 Southern Ocean. At the regional scale, the Mediterranean Sea is also fairly well sampled by  
3 BGC-Argo floats (Salon et al., 2019; Terzić et al., 2019; D’Ortenzio et al., 2020). However,  
4 there are still large under-sampled areas like the Arctic Ocean, subtropical gyres and the sub-  
5 polar North Pacific. Thanks to machine learning based methods (Bittig et al., 2018; Sauzède  
6 et al., 2017), floats equipped with O<sub>2</sub> sensors can be additionally used to derive vertical  
7 profiles of NO<sub>3</sub>, phosphate (PO<sub>4</sub>), silicate (Si), alkalinity (Alk), dissolved inorganic carbon  
8 (DIC), pH and pCO<sub>2</sub>.

9  
10 The BGC-Argo data set represents a significant improvement for the assessment of models  
11 compared to large databases such as the World Ocean Database (Boyer et al., 2013) or the  
12 Copernicus Marine Service in situ dataset (European Union-Copernicus Marine Service,  
13 2015). Large databases are composed of data collected from various instrument types with  
14 heterogenous data sampling methodologies. Therefore, for a given variable, the accuracy  
15 numbers are not the same and change depending on the instrument type (European Union-  
16 Copernicus Marine Service, 2019). Consequently, this affects the overall accuracy over time  
17 due to the changing proportion of instrument types over the years. On the other hand, the  
18 BGC-Argo data set is a homogenous data set with strict and uniform sampling methodologies  
19 and data Quality-Control (QC) procedures. As a result, the BGC-Argo data set has a  
20 satisfactory level of accuracy, which remains stable over time (Johnson et al., 2017; Mignot et  
21 al., 2019). Moreover, the number of quality-controlled observations collected every year by  
22 the BGC-Argo fleet is now greater than any other data set (Claustre et al., 2020). Using the  
23 BGC-Argo data set as the single evaluation data set is therefore a way to ensure consistent  
24 accuracy.

25  
26 The BGC-Argo floats provide multivariate observations at high vertical and temporal  
27 resolutions and for long periods of time providing nearly continuous time series of the vertical  
28 distribution of several biogeochemical variables. This is not possible with discrete, univariate  
29 vertical samplings provided by cruise cast *in situ* measurements or from climatological values  
30 derived from the World Ocean Atlas. All these specificities overcome the limitations of  
31 previous datasets, especially with respect to their univariate nature, as well as their limited  
32 vertical and temporal resolutions. This opens new perspectives for the evaluation of BGC  
33 models (Gutknecht et al., 2019; Salon et al., 2019; Terzić et al., 2019).

34

1 The development of BGC models, coupled with the ongoing increase in spatial and vertical  
2 resolutions, has resulted in a significant rise in the volume of model outputs. Simplification  
3 techniques are therefore required to provide decipherable information on model predictive  
4 skill. Allen et al. (2007) proposed a methodology for reducing the spatial dimensions in model  
5 assessment exercises, thereby providing concise information about the model performance.  
6 They use an unsupervised learning algorithm to classify the southern North Sea into 5  
7 coherent BGC regions based on modelled time series of temperature, NO<sub>3</sub>, PO<sub>4</sub>, and Si  
8 concentrations. Then, they evaluated the predictive capabilities of the model in each BGC  
9 region (instead of each grid point), thus greatly reducing the number of points to be validated.  
10 An additional method for reducing the dimensions of model-data comparison is the use of  
11 assessment metrics (Hipsey et al., 2020; Russell et al., 2018). In particular, metrics such as  
12 depth-averaged state variables (e.g., mixed layer averaged Chl*a*, NO<sub>3</sub>, O<sub>2</sub>, etc...), mass fluxes  
13 and process rates (e.g., primary production or division rates), or emergent properties (e.g.,  
14 Deep Chlorophyll Maximum (DCM), or Oxygen Minimum Zone (OMZ)) are particularly  
15 useful to reduce the number of model's vertical layers to be compared with the observations.  
16

17 The objectives of the present study are twofold. Our first aim is to propose a methodology  
18 that uses the BGC-Argo data set, an unsupervised learning algorithm and assessment metrics  
19 to simplify marine BGC model-data comparisons, and thus inform, in a concise way, about  
20 model performances. The second objective is to use this methodology to also identify ocean  
21 regions where the model-observations misfit is larger than the variability of the BGC-Argo  
22 data and thus inform the BGC-Argo observing system of regions that should be better  
23 sampled. The first step of the method consists in defining 23 assessment metrics that are used  
24 both to construct the BGC regions and then to compare the model outputs with the BGC-Argo  
25 data. Second, following the approach of Allen et al. (2007), we use an unsupervised learning  
26 algorithm, specifically a K-means clustering technique, to classify the global ocean into 8  
27 coherent BGC regions based on the climatological modelled time series of the 23 assessments  
28 metrics. In the last step, the skill of the model in predicting the assessment metrics is  
29 evaluated in each BGC-region, using the model efficiency statistical score. Unlike other  
30 statistical metrics such the correlation coefficient, the bias or the root mean square difference,  
31 that does not quantify objectively whether the model performance is acceptable or not; the  
32 model efficiency calculates whether the model outperforms an observational climatology  
33 (Fennel et al., 2022). Finally, the method is implemented using the global ocean BGC analysis

1 and forecasting system of the Copernicus Marine Service (European Union-Copernicus  
2 Marine Service, 2019).

3  
4 The paper is organised as follows: section 2 presents the data sets used in the study. In section  
5 3, we define the assessment metrics, and we detail the K-means algorithm as well as the  
6 model efficiency statistical score. In section 4, we present and discuss the results. Finally,  
7 section 5 concludes the study.

8

9

## 2. Data

10

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### a. BGC-Argo floats observations

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13 The float data were downloaded from the Argo Coriolis Global Data Assembly Centre in  
14 France (<ftp://ftp.ifremer.fr/argo>, last accessed in January 2023). The CTD and trajectory data  
15 were quality controlled using the standard Argo protocol (Wong et al., 2015). The raw BGC  
16 signals were transformed to biogeochemical variables (i.e., O<sub>2</sub>, Chl<sub>a</sub>, NO<sub>3</sub>, b<sub>bp</sub>, and pH) and  
17 quality-controlled according to international BGC-Argo protocols (Johnson et al., 2018b, a;  
18 Schmechtig et al., 2015, 2018; Thierry et al., 2018; Thierry and Bittig, 2018).

19

20 In the Argo data-system, the data are available in three data modes: “Real-Time”, “Adjusted”  
21 and “Delayed” (Bittig et al., 2019). In the “Real-time” mode, the raw data are converted into  
22 state variables and an automatic quality-control is applied to “flag” gross outliers. In the  
23 “Adjusted” mode, the “Real-time” data receive a calibration adjustment in an automated  
24 manner. In the “Delayed” mode, the “Adjusted” data are adjusted and validated by a scientific  
25 expert. While the “Real-Time” and “Adjusted” data are considered acceptable for operational  
26 application (data assimilation), the “Delayed” mode is designed for scientific exploitation and  
27 represent the highest quality of data with the ultimate goal, when time-series with sufficient  
28 duration will have been acquired, to possibly extract climate-related trends (Bojinski et al.,  
29 2014). However, for some variables, only a limited fraction of data is accessible in “Delayed”  
30 mode. Consequently, for each variable, we selected the highest level of data modes, where at  
31 least 80 % of the data are available (see Table 1). Note that this criterion is not applied to O<sub>2</sub>,  
32 where only “Delayed” mode data were selected in order to generate the pseudo-observations  
33 from CANYON-B neural network (see after). We removed data with missing location or time

1 information and flagged as “Bad data” (flag =4). Depending on the parameter and the  
 2 associated data mode, we also excluded data flagged as “potentially bad data” (flag=3) (see  
 3 Table 1). Finally, it should be noted that the status of the different modes of adjustment for  $b_{bp}$   
 4 is still very inhomogeneous in the global BGC-Argo database. A quality control procedure in  
 5 “Real-Time” has just been proposed to the Argo Data Management Team but is not yet  
 6 operationally implemented in the database (Dall’Olmo et al. 2022). Since there is no current  
 7 official consensus for the qualification of  $b_{bp}$  data we decided to use for this study all data  
 8 modes but to remove the data that are flagged as “Bad data” (see details in Table 1).

9

10 Particulate Organic Carbon (POC) concentrations were derived from  $b_{bp}$  observations. First,  
 11 three consecutive low-pass filters were applied on the vertical profiles of  $b_{bp}$  to remove  
 12 spikes (Briggs et al., 2011): a 2-point running median followed by a 5-point running  
 13 minimum and 5-point running maximum. Then, the filtered  $b_{bp}$  profiles were converted into  
 14 POC ( $\text{mgC m}^{-3}$ ) using a simplified version of the empirical POC/ $b_{bp}$  algorithm developed by  
 15 Gali et al. (2022), i.e., for depths larger than the mixed layer depth (MLD):

16

$$17 \quad \frac{POC}{b_{bp}} = c + a \cdot e^{-0.001 \cdot b \cdot (z - MLD)}, \quad (1)$$

$$18 \quad z > MLD,$$

19

20 where  $c$  is a constant deep value and,  $b$ , the slope of the exponential decrease, sets to 12010  
 21  $\text{mgC m}^{-3} \text{ m}$  and -6.57, respectively, as proposed by Gali et al. (2022). The global coefficient  
 22  $a$ , is set to 37990  $\text{mgC m}^{-3} \text{ m}$  to be consistent with a relationship, developed for global  
 23 applications (i.e.,  $POC = 38687.27 \cdot b_{bp}^{0.95}$ ) (European Union-Copernicus Marine Service,  
 24 2020). In the Mixed Layer (ML),  $z$  is fixed at  $z = MLD$ , and the Eq. (1) simplifies to

25

$$26 \quad \frac{POC}{b_{bp}} = c + a, \quad (2)$$

$$27 \quad z \leq MLD.$$

28

29 Finally, we complemented the existing BGC-Argo dataset with pseudo-observations of  $\text{NO}_3$ ,  
 30  $\text{PO}_4$ , Si, Alk, and DIC concentrations as well as pH and  $\text{pCO}_2$  using the CANYON-B neural  
 31 network (Bittig et al., 2018). CANYON-B estimates vertical profiles of nutrients as well as  
 32 the carbonate system variables from concomitant measurements of float pressure,

1 temperature, salinity, and O<sub>2</sub> qualified in “Delayed” mode together with the associated  
2 geolocalization and date of sampling. CANYON-B was trained and validated using the  
3 GLODAPv2 data set (Key et al., 2015). The CANYON-B estimates of NO<sub>3</sub> and pH were  
4 merged with measured values on the rationale that CANYON-B estimates have RMS errors  
5 (NO<sub>3</sub> = 0.7 μmol kg<sup>-1</sup>, pH = 0.013) (Bittig et al., 2018) that are of the same order of  
6 magnitude as those of the BGC-Argo observations errors (NO<sub>3</sub> = 0.5 μmol kg<sup>-1</sup>, pH = 0.07)  
7 (Mignot et al., 2019; Johnson et al., 2017) .

8

9 Finally, we verified that the RMS errors of BGC-Argo data (both measured and from  
10 CANYON-B estimates) are lower than the RMS difference between the model and BGC-  
11 Argo data, so that the comparison of simulated properties with the BGC-Argo data leads to a  
12 meaningful evaluation of the model performance. We believe it is reasonable to draw  
13 conclusions on the model uncertainty from BGC-Argo data as long as the BGC-Argo errors  
14 are much lower than the model-observations RMS difference.

15

16

## 17 **b. Global Ocean BGC analysis and forecasting system of the** 18 **Copernicus Marine Service**

19

20 The global model simulation used in this study (see Appendix A.1) originates from the global  
21 ocean hydrodynamic-biogeochemical coupled system, based on NEMO-PISCES model,  
22 implemented and operated by Mercator Ocean for the Marine Service of the EU’s earth  
23 observation programme Copernicus (CMEMS, 2020). The BGC component is constrained by  
24 the assimilation of satellite Chl<sub>a</sub> concentrations, and a climatological-damping is applied to  
25 nitrate, phosphate, oxygen, silicate - with World Ocean Atlas 2013 - to dissolved inorganic  
26 carbon and alkalinity – with GLODAPv2 climatology (Key et al., 2015) - and to dissolved  
27 organic carbon and iron - with a 4000-year PISCES climatological run. The BGC model is  
28 forced in offline mode by daily averages of ocean physics, sea ice and atmospheric  
29 conditions. The ocean physics and sea ice forcing come from the global ocean physics  
30 analysis and forecasting system at 1/12° (Lellouche et al., 2018) that assimilates along-track  
31 altimeter data, satellite Sea Surface Temperature and Sea-Ice Concentration, and *in situ*  
32 temperature and salinity vertical profiles. The BGC model has a 1/4° horizontal resolution, 50



1 vertical levels (with 22 levels in the upper 100 m, the vertical resolution is 1 m near the  
2 surface and decreases to 450 m resolution near the bottom).

3  
4 We used daily outputs of Chl $a$ , NO $_3$ , PO $_4$ , Si, O $_2$ , pH, DIC and Alk, and weekly outputs of the  
5 two size classes of phytoplankton, the small detrital particles and microzooplankton  
6 (resampled offline from weekly to daily frequency through constant interpolation) from 2009  
7 to 2020. Note that the method of linear resampling, while artificially increasing the number of  
8 data, could potentially bias the statistical results, especially in regions with poor data  
9 coverage. As suggested by Gali et al. (2022), the POC concentration was computed offline by  
10 adding together the two size classes of phytoplankton, the small detrital particles and  
11 microzooplankton modelled by PISCES. This particular combination of phytoplanktonic and  
12 non-phytoplanktonic organisms has been shown to match the small POC observed by the  
13 floats. The partial pressures of CO $_2$  values were extrapolated in the mixed layer from the  
14 surface value estimated by the model. The Black Sea was not considered in the present  
15 analysis because the model solutions are of poor quality. Finally, the daily model outputs  
16 were collocated in time and space the closest to the BGC-Argo floats positions, and they were  
17 interpolated to the sampling depth of the float observations. The characteristics of the model  
18 are further detailed in the appendix.

### 20 **3. Methods**

#### 21 **a. Assessment metrics**

22  
23 In this section, we present 23 metrics used for the clustering of the ocean and for the  
24 assessment of the model simulation with BGC-Argo data. The metrics are associated with the  
25 carbonate chemistry, the biological carbon pump, and oxygen levels. Most of the metrics  
26 evaluate the model state accuracy through the comparison of simulated state variables with  
27 BGC-Argo observations depth-averaged in the mixed (hereinafter indicated with the subscript  
28  $_{\text{mixed}}$ ) and mesopelagic (hereinafter indicated with the subscript  $_{\text{meso}}$ ) layers. This two-layer  
29 comparison between model and BGC-Argo data provides an indirect evaluation of the key  
30 processes and fluxes associated with the carbonate chemistry, biological carbon pump and  
31 oxygen levels in the mixed and mesopelagic layers. In addition, some of the metrics assess the  
32 skill of the model in capturing emergent properties, such as the nitracline, the DCMs and the  
33 OMZs. The metrics are described below and summarized in Table 2. The definition of the

1 metrics is the same for the model and the BGC-Argo data. The MLD is computed, following  
2 De Boyer et al. (2004), as the depth at which the change in potential density from its value at  
3 10 m exceeded  $0.03 \text{ kg m}^{-3}$ . Dall’Olmo and Mork (2014) define the mesopelagic layer as the  
4 region between the deeper of either the euphotic layer depth or the MLD, and a depth of 1000  
5 meters. However, for ease of use, we adopt a simplified definition that considers the  
6 mesopelagic layer to be the region between the MLD and a depth of 1000 meters. To ensure  
7 the accuracy of the metrics calculation, we have checked the representation of the MLDs in  
8 the model. The model’s MLDs closely match the observed data, as indicated by an overall  
9 mean square difference of approximately 30% of the total variance in the observations.

### 11 **i. Carbonate chemistry**

13 The uptake of  $\sim 26\%$  anthropogenic  $\text{CO}_2$  by the global ocean (Friedlingstein et al., 2022) has  
14 altered the oceanic carbonate chemistry over the past few decades (Iida et al., 2020).  
15 Assessing how models correctly represent the oceanic carbonate chemistry is therefore critical  
16 if we aim to derive accurate climate projections on their future change. The classical variables  
17 for the study of carbonate chemistry are DIC, Alk, pH and  $\text{pCO}_2$  (Williams and Follows,  
18 2011). These variables are assessed in the mixed ( $\text{DIC}_{\text{mixed}}$ ,  $\text{Alk}_{\text{mixed}}$ ,  $\text{pH}_{\text{mixed}}$  and  $\text{pCO}_{2 \text{ mixed}}$ )  
19 and mesopelagic ( $\text{DIC}_{\text{meso}}$ ,  $\text{Alk}_{\text{meso}}$ ,  $\text{pH}_{\text{meso}}$ ) layers. The partial pressure of  $\text{CO}_2$  is only  
20 assessed in the mixed layer as the evaluation of  $\text{pCO}_{2 \text{ mixed}}$  plays a critical role to assess the  
21 skill of BGC models to correctly represent the air-sea  $\text{CO}_2$  flux.

### 23 **ii. Biological carbon pump**

25 The biological carbon pump is the transformation of nutrients and dissolved inorganic carbon  
26 into organic carbon in the upper part of the ocean through phytoplankton photosynthesis and  
27 the subsequent transfer of this organic material into the deep ocean. The functioning of this  
28 pump relies on key pools of nutrients and carbon as well as several processes that control  
29 mass fluxes between the pools. Changes in the biological carbon pump are now manifesting  
30 globally (Capuzzo et al., 2018; Osman et al., 2019; Roxy et al., 2016).

1 One way to indirectly evaluate the model's ability to accurately capture essential processes  
2 related to the biological carbon pump in the ocean's upper layer, such as primary production,  
3 respiration, and grazing, is to compare various ML pools [here the nutrients ( $\text{NO}_3$  mixed,  $\text{PO}_4$   
4 mixed,  $\text{Si}$  mixed),  $\text{Chl}$  mixed and  $\text{POC}$  mixed] with BGC-Argo observations. Similarly, the assessment  
5 of the mesopelagic nutrients, and POC concentration (hereinafter denoted  $\text{NO}_3$  meso,  $\text{PO}_4$  meso,  
6  $\text{Si}$  meso, and  $\text{POC}$  meso) provides an indirect evaluation of the key mesopelagic layer processes,  
7 such as export production, respiration, etc.

8  
9 In stratified systems, a DCM is formed at the base of the euphotic layer (Barbieux et al., 2019;  
10 Cullen, 2015; Letelier et al., 2004; Mignot et al., 2014, 2011). It has been suggested that the  
11 DCM plays a key role in the synthesis of organic carbon by phytoplankton (Macías et al.,  
12 2014). DCMs are therefore key features to be assessed in BGC models with respect to  
13 processes involved in the biological carbon pump such as the primary production. However,  
14 the DCM layer generally escapes detection by remote sensing. Furthermore, the DCM is also  
15 an emergent feature that develops in response to complex physical and biogeochemical  
16 interactions (Cullen, 2015). Thus, its evaluation provides critical information regarding the  
17 accuracy of the model in capturing complex patterns of key ecosystem processes. The depth  
18 and magnitude of DCM ( $H_{\text{DCM}}$  and  $\text{Chl}_{\text{DCM}}$ ) are helpful metrics for the assessment of DCM  
19 dynamics. The depth of the DCM is calculated as the depth where the maximum of  $\text{Chl}a$   
20 occurs in the profile with the criterion that  $H_{\text{DCM}}$  should be deeper than the MLD. The  
21 magnitude of the DCM corresponds to the  $\text{Chl}a$  value at  $H_{\text{DCM}}$ .

22  
23  $\text{NO}_3$  is often depleted in the surface layers and is a limiting factor for phytoplankton growth in  
24 most oceanic regions. The vertical supply of  $\text{NO}_3$  to the surface layers depends, among other  
25 factors, on the vertical gradient of  $\text{NO}_3$  (the nitracline), and, in particular, on its depth (the  
26 nitracline depth) (Cermeno et al., 2008; Omand and Mahadevan, 2015). Therefore, the  
27 comparison of the simulated nitracline depth ( $H_{\text{nit}}$ ) with BGC-Argo observations allows for an  
28 indirect assessment of the model performance in reproducing vertical fluxes of  $\text{NO}_3$ .

29 Following previous studies (Cermeno et al., 2008; Lavigne et al., 2013; Richardson and  
30 Bendtsen, 2019), the depth of the nitracline is identified as the first depth where  $\text{NO}_3$  is  
31 detected. A detection threshold of  $1 \mu\text{mol kg}^{-1}$  is used, which is an upper estimate of the  
32 accuracy of BGC-Argo  $\text{NO}_3$  data (Johnson et al., 2017; Mignot et al., 2019).

### 33 34 **iii. Oxygen levels**

1  
2 Oxygen levels in the global and coastal waters have declined over the whole water column  
3 over the past decades (Schmidtko et al., 2017) and OMZs are expanding (Stramma et al.,  
4 2008). Assessing how models correctly represent ocean oxygen levels as well as the OMZs is  
5 therefore critical to monitor their change over time. Similar to the assessment of DCMs,  
6 evaluating Oxygen Minimum Zones (OMZs) provides insight into how the model represents  
7 emergent dynamics resulting from intricate physical and biogeochemical interactions  
8 (Paulmier and Ruiz-Pino, 2009). Oxygen levels are evaluated in the mixed ( $O_{2\text{mixed}}$ ) and  
9 mesopelagic ( $O_{2\text{meso}}$ ) layers. OMZs are defined as oceanic regions where  $O_2$  levels are lower  
10 than  $20 \mu\text{mol kg}^{-1}$  (Paulmier and Ruiz-Pino, 2009). OMZs are characterized by their depths  
11 ( $H_{O_{2\text{min}}}$ ) and their concentrations ( $O_{2\text{min}}$ ).

## 12 **b. Bioregionalization of the global ocean**

13  
14  
15 In this study, we use the K-means clustering algorithm (Hartigan and Wong, 1979) to  
16 regionalize the ocean based on the modelled climatological monthly time series of the 23  
17 metrics described previously. The K-means clustering algorithm is an unsupervised machine  
18 learning technique that groups similar objects together in a way that maximizes similarity  
19 between objects within a group and minimizes similarity between objects in different groups.  
20 This clustering tool has been successfully used to classify marine BGC regions in different  
21 oceanic basins based on the seasonal cycle of satellite chlorophyll (Kheireddine et al., 2021;  
22 Mayot et al., 2016; Lacour et al., 2015; D'Ortenzio and d'Alcala, 2009) . The step-by-step  
23 methodology, used in this study, is described in the next section.

24  
25 The first step in the analysis involved computing monthly climatological time series for the 23  
26 metrics at each model grid cell. These time series were derived from the monthly  
27 climatological time series of state variables predicted by the model from 2009 to 2020. To  
28 account for the log-normal distribution and the wide range of values for metrics in units of  
29 Chla or POC, a log-10 transformation was applied to these metrics. Second, to take into  
30 consideration the 6-months shift in seasons between the northern and southern hemispheres,  
31 the dates for grid cells located in the Southern Hemisphere were shifted by 6 months (Bock et  
32 al., 2022). Third, to classify model grid cells based on the seasonality and amplitude of the 23  
33 metrics, each metric was standardized by subtracting the global mean and dividing by the

1 global standard deviation. This ensured that each metric had a mean of 0 and a standard  
 2 deviation of 1, enabling comparison across metrics with different units. Fourth, to reduce the  
 3 dimensionality of the data set, a principal component analysis was applied to the scaled data.  
 4 Only the components that explain 99 % of the variance in the data set were kept, reducing  
 5 thereby the dimensions of the data set by 85 %. A K-means clustering analysis was then  
 6 performed on the resulting data set. Following Kheireddine et al. (2021), the number of  
 7 clusters was determined based on a silhouette analysis (Rousseeuw, 1987), which yielded a  
 8 value of 8 for the number of clusters.

### 10 **c. Model efficiency**

11  
 12 To quantify the model predictive skill, a model efficiency statistical score ( $m_e$ ) was computed  
 13 for each metric and in each BGC region:

$$15 \quad m_e = 1 - \frac{\sum_{i=1}^N (m_i - o_i)^2}{\sum_{i=1}^N (o_i - \bar{o})^2}, \quad (3)$$

16  
 17 where  $m_i$  and  $o_i$  are the model and BGC-Argo matched values, respectively,  $\bar{o}$  is the BGC-  
 18 Argo climatology and N is the number of matchups. Assuming that the spatial variations are  
 19 small in a given BGC-region,  $\bar{o}$  represents the temporal average and  $\sum_{i=1}^N (o_i - \bar{o})^2$  represents  
 20 the variance due to temporal fluctuations. The model efficiency tests whether the model  
 21 outperforms the BGC-Argo climatology ( $0 < m_e < 1$ , Fennel et al., 2022), or stated  
 22 differently, if the model-data mean square difference is lower than the observation variance,  
 23 i.e.,  $\frac{1}{N} \sum_{i=1}^N (m_i - o_i)^2 < \frac{1}{N} \sum_{i=1}^N (o_i - \bar{o})^2$ . To ensure the robustness of  $m_e$ , we verified that  
 24 the number of matchups for each metric and in each BGC-region was greater than 100, then  
 25 outliers were removed using Tukey's fences (Tukey, 1977).

## 26 **4. Results and discussion**

### 28 **a. BGC-regions of the Global Ocean**

1 The K-means clustering algorithm identified 8 distinct BGC-regions (Figure 2). 6 of the 8  
2 BGC-regions correspond to well-defined spatial regions and are, thus, named accordingly,  
3 i.e., the Arctic, Equatorial (Equ.), Mediterranean Sea (Med. Sea), OMZs, Subtropical Gyres  
4 (Sub. Gyres) and Southern Oceans BGC-regions. The other two BGC-regions, i.e., the Low  
5 Nutrients Bloom (Low Nut. Bloom) and the High Nutrients Bloom (High Nut. Bloom) are  
6 located in the North Atlantic and North Pacific oceans, as well as in the lower latitudes of the  
7 Southern Oceans. These two BGC-regions correspond to ocean basins that experience a  
8 phytoplankton bloom in the springtime (Westberry et al., 2016). The main difference  
9 between these regions is that in one of them, macronutrients such as nitrate and phosphate are  
10 abundant throughout the year due to phytoplankton growth being mainly limited by iron  
11 (Williams and Follows, 2011). Finally, it should be noted that outlier grid cells were not  
12 removed from the analysis; these outliers are mainly present in grid cells close to the coast.  
13 Additionally, grid cells with bathymetry shallower than 1000 m were not included in the  
14 clustering analysis as metrics associated with mesopelagic processes cannot be calculated in  
15 these shallow grid cells.

16

17 The BGC-regions found in our study are overall coherent with the biomes estimated in Fay  
18 and McKinley (2014) (hereinafter denoted FM2014). The Arctic and Southern Oceans  
19 correspond to the FM2014 ice biome. The Sub. Gyres correspond to the FM2014 subtropical  
20 permanently stratified biome. The Equatorial BGC-region represents a larger area than the  
21 Equatorial biome in FM2014. The Low Nut. and High Nut. Bloom regions correspond to  
22 FM2014 subtropical seasonally stratified and subpolar seasonally stratified biomes,  
23 respectively. These two BGC-regions are coherent in the North Pacific and in the Southern  
24 Oceans in both studies. They differ, however, in the North Atlantic. In FM2014, the subpolar  
25 North Atlantic is divided between the subtropical seasonally stratified and subpolar seasonally  
26 stratified biomes, whereas in our study this area is only represented by one BGC-region; the  
27 Low Nut. Bloom. Finally, the Med. Sea and OMZs BGC-regions are not represented in  
28 FM2014. The main differences between our study and FM2014 are due to differences in the  
29 methodology used to identify BGC-regions. In our study, we used 23 input variables to  
30 identify BGC-regions, while in FM2014, clustering was based on only one BGC input  
31 variable (*Chl<sub>a</sub>*) and three physical variables (sea surface temperature, MLD, and sea-ice  
32 fraction). Our method allows for the identification of specific BGC-regions whose function is  
33 mainly characterized by variables other than *Chl<sub>a</sub>*, such as OMZs. Furthermore, our method

1 includes coastal areas, and identifies the Med. Sea as a BGC-region, which is not included in  
2 FM2014 because it is considered a coastal region.

## 3 4 **b. Model performance**

5  
6 Figures 3-5 display the model efficiency (*me*) calculated for each assessment metric and BGC  
7 region. To enhance clarity, the *me* values are grouped by process, namely carbonate  
8 chemistry, biological carbon pump, and oxygen levels. The results are presented as bubble  
9 plots (panels b), where the size of the bubble is proportional to the *me* value. A bar plot  
10 (panels c) shows the median *me* value for a given assessment metric across all BGC regions,  
11 while another bar plot (panels a) shows the median *me* value for a given BGC region across  
12 all assessment metrics. Due to the limited number of assessment metrics associated with  
13 oxygen levels in most regions (i.e., 2), the mean is used instead of the median. The x and y  
14 axes in panels b are arranged in descending order based on the median *me* value across all  
15 assessment metrics (as shown in panels a) and the median *me* value across all BGC regions  
16 (as shown in panel b), respectively.

### 17 18 **i. Carbonate chemistry**

19  
20 The model demonstrates improved performance in predicting certain carbonate chemistry  
21 metrics (i.e.,  $\text{Alk}_{\text{meso}}$ ,  $\text{DIC}_{\text{mixed}}$ ,  $\text{Alk}_{\text{mixed}}$ ,  $\text{DIC}_{\text{meso}}$ , and  $\text{pH}_{\text{meso}}$ ) compared to the BGC-Argo  
22 climatology, as indicated by median *me* values significantly greater than 0 (Figs. 3b and c).  
23 However, the model's ability to reproduce instantaneous variability in  $\text{pH}_{\text{mixed}}$  is more limited,  
24 with a *me* value close to 0, indicating no improvement over a simple average of observed  
25 values. Furthermore, the model underperforms the BGC-Argo climatology for  $\text{pCO}_{2\text{mixed}}$   
26 across all regions. Despite these limitations, the model provides an overall better estimate of  
27 carbonate chemistry dynamics in all BGC regions compared to the BGC-Argo climatology, as  
28 evidenced by Figure 3a.

### 29 **ii. Biological carbon pump**

30  
31 The efficiency of the model in estimating the biological carbon pump metrics varies across  
32 both metrics and regions (Fig. 4a-c). The model outperforms the BGC-Argo climatology in  
33 estimating  $\text{PO}_4$  and  $\text{NO}_3$  in the mesopelagic and mixed layer, as well as  $\text{Si}_{\text{meso}}$  and  $\text{H}_{\text{nit}}$ .

1 However, the model's ability to predict Si decreases significantly as one moves from the  
2 mesopelagic to the mixed layer. Additionally, the metrics associated with the first trophic  
3 level, such as  $Chl_{mixed}$ ,  $H_{DCM}$ ,  $Chl_{DCM}$ ,  $POC_{mixed}$ , and  $POC_{meso}$ , are systematically  
4 outperformed by the BGC-Argo climatology, with median *me* values less than 0 in nearly all  
5 BGC regions (Figure 4b). Regional analysis of the median *me* values (Figure 4a) shows that  
6 the model performs better than the observational mean (median *me* values greater than 0) in  
7 only a few regions (i.e., the High Nut. Bloom, the Low Nut. Bloom, the Med. Sea, and the  
8 OMZs) indicating that the model performs relatively well in these regions, but may not be as  
9 accurate in the other regions.

### 11 **iii. Oxygen levels**

13 The model provides better estimates of mixed and mesopelagic  $O_2$  concentrations in most  
14 BGC regions compared to the BGC-Argo climatology, as evidenced by consistently positive  
15 *me* values in Figure 5b. However, the BGC-Argo climatology provides a better representation  
16 of the magnitude of  $O_{2min}$  compared to the model, while the model performs better than the  
17 climatology in predicting  $H_{O_2min}$ , but only in the OMZs BGC-region. These results suggest  
18 that while the model performs well in estimating mixed and mesopelagic  $O_2$  concentrations in  
19 most BGC regions, it doesn't accurately capture the depth and magnitude of OMZs.

### 21 **iv. Discussion**

23 The model outperforms the BGC-Argo climatology for DIC, Alk,  $NO_3$ ,  $PO_4$ , in the  
24 mesopelagic layer and mixed layers and Si in the mesopelagic layer. We attribute this good  
25 performance to the effective application of climatological damping. As described in the  
26 Appendix, the climatological damping mitigates the effects of physical data assimilation in  
27 the offline coupled hydrodynamic-biogeochemical system, which can lead to unrealistic drift  
28 of various biogeochemical variables. Specifically, we used the World Ocean Atlas 2013  
29 (Garcia et al., 2013, 2014) for  $NO_3$ ,  $PO_4$ ,  $O_2$ , and Si, and the Global Ocean Data Analysis  
30 Project version 2 (GLODAPv2) climatology (Key et al., 2015) for DIC and Alk. However,  
31 our analysis revealed that the model's performance in estimating Si in the mixed layer is  
32 significantly degraded comparing to the mesopelagic layer, indicating the presence of  
33 additional factors affecting the model's ability to accurately estimate this variable. Further



1 investigation is required to identify these factors and improve the model's performance in  
2 estimating  $S_i$  in the mixed layer.

3  
4 For the three Chla-related metrics, the model performs worse than the BGC-Argo  
5 climatology. This is unexpected, as the model incorporates a reduced-order Kalman filter  
6 (Lellouche et al., 2013) that assimilates daily L4 remotely sensed surface Chla, providing a  
7 mixed-layer correction to the modeled Chla (see Appendix). We verified that the assimilation  
8 of satellite Chla improves the model's ability to predict Chla, as the model-BGC-Argo data  
9 misfit is lower compared to a simulation without assimilation (not shown). Furthermore, the  
10 model-satellite misfit was also found to be lower than the variability of the satellite data  
11 (European Union-Copernicus Marine Service, 2019). These results suggest that discrepancies  
12 between the assimilated satellite Chla product and the BGC-Argo data may be responsible for  
13 the observed model-BGC-Argo data misfit. Therefore, we suggest that future studies  
14 investigate the consistency between ocean colour products and BGC-Argo Chla products on a  
15 global scale, as these two products are expected to be assimilated together in future  
16 operational BGC systems (Ford, 2021).

17  
18 Overall, the model also performs worse or no better than the BGC-Argo climatology in  
19 predicting POC concentrations, the magnitude and depth of OMZs,  $pH_{\text{mixed}}$  and  $pCO_{2\text{ mixed}}$ .  
20 The poor performance of PISCES-based simulations relative to BGC-Argo POC observations  
21 has been extensively studied in Gali et al. (2022). They pointed out that the large model-data  
22 misfit could be the result of an imperfect BGC-Argo POC- $b_{bp}$  conversion factor, unsuitable  
23 model parameters associated with POC dynamics and missing processes in the model  
24 structure. Similarly, the poor model skill in capturing the OMZs dynamics has also already  
25 been documented in several studies (Busecke et al., 2022; Schmidt et al., 2021; Cabré et al.,  
26 2015). All these studies suggested that improving the ocean circulation in physical models  
27 may be the most important factor to improve the accuracy of OMZs model predictions.  
28 Finally, the negative model efficiencies for  $pH_{\text{mixed}}$  and  $pCO_{2\text{ mixed}}$  can be attributed to the fact  
29 that these variables are driven by DIC, Alk, temperature, and salinity. Therefore, even small  
30 uncertainties in the model estimates of DIC, Alk (as shown in Figure 3b), temperature, and  
31 salinity (Lellouche et al., 2018) can result in poor model performance in capturing the  
32 variability of pH and  $pCO_2$ . This highlights the importance of accurately modelling these four  
33 variables to improve model estimates of pH and  $pCO_2$ .

34

### c. Recommendation for the design of the BGC-Argo observing system

Observing System Simulation Experiments (OSSE) have been the primary tool to inform about the design of the BGC-Argo observing system (Ford, 2021; Biogeochemical-Argo Planning Group, 2016). OSSEs typically comprises a realistic “nature run”, which represents “the truth” from which synthetic observations are sampled. The synthetic observations represent the observing system to be designed. To test its impact on improving model’s predictive skill, the synthetic observations are then assimilated in an “assimilative run”. The accuracy of the “assimilative run” is then evaluated against the “nature run”. Here, we use the real BGC-Argo observations to inform about the design of the BGC-Argo network. More specifically, our aim is to inform about the regions where the model errors are greater than the variability of the BGC-Argo data, and consequently where BGC-Argo observations should be enhanced to improve the model accuracy through BGC-Argo data assimilation or process-oriented assessment studies.

For a given BGC-region, we compute a single multivariate score corresponding to the median of the 23 *me* associated with each assessment metric (Fig. 6). This is consistent with the fact that the BGC-Argo floats, that are now deployed, observe the 5 variables used to derive the assessment metrics, i.e., O<sub>2</sub>, Chl<sub>a</sub>, NO<sub>3</sub>, b<sub>bp</sub> and pH. In the Arctic and in the Southern Ocean BGC-regions (typically North of 60°N and South of 60°S), the median *me* is barely greater than 0, suggesting that in these regions, the model performs no better than a simple mean of the observed values. In these two regions, the model is not well constrained by the assimilation of remotely sensed Chl<sub>a</sub> because satellite observations of ocean colour are not possible for most of the year due to ubiquitous cloud cover. In addition, the lack of in situ observations makes the climatological forcing less efficient in these regions. Together, these factors are likely to lead to poorer model performance compared to other regions.

Consequently, we strongly recommend enhancing the Arctic region where BGC-Argo observations are scarce (Fig.1), and where the winter-spring months are particularly under-sampled (not shown). We also recommend maintaining the already-high-density of BGC-Argo observations in the Southern Ocean. These observations are critical to better constrain

1 the model in these two regions where the constraint of models by assimilation of satellite  
2 observations is not possible for most of the year.

## 3 4 **5. Conclusion**

5  
6 In this study, we propose a method based on the global data set of BGC-Argo observations, a  
7 K-means clustering algorithm and 23 assessment metrics to simplify model-data comparison  
8 and inform on Copernicus Marine Service forecasting system predictive skill and the design  
9 of the BGC-Argo observing system. The K-means algorithm identified 8 BGC-regions in the  
10 model simulation that are consistent with Fay and McKinley (2014) study. Within each BGC-  
11 region and for each assessment metric, we compute a model efficiency statistical score that  
12 quantifies whether the model outperforms the BGC-Argo climatology by comparing the  
13 model-BGC-Argo data mean square difference with the observation variance.

14  
15 Overall, the model surpasses the BGC-Argo climatology in predicting pH, DIC, Alk, O<sub>2</sub>, NO<sub>3</sub>  
16 and PO<sub>4</sub> in the mesopelagic and the mixed layers, as well as Si in the mesopelagic layer. For  
17 the other metrics, whose model predictions are outperformed by the BGC-Argo climatology,  
18 we provide suggestions to reduce the model-data misfit and thus to increase the model  
19 efficiency. Regarding the estimation of Si in the mixed layer, we suggest the presence of  
20 additional factors that may affect the model's ability to accurately estimate this variable.  
21 Further investigation is necessary to identify these factors and improve the model's  
22 performance in this regard. For Chl<sub>a</sub>-related metrics, we recommend checking the  
23 consistency between ocean colour products and BGC-Argo Chl<sub>a</sub> products at the global scale  
24 as it may explain part of the misfit between the model, that assimilates satellite Chl<sub>a</sub>, and  
25 BGC-Argo observations. The discrepancies between modelled and observed POC and OMZs  
26 have been already investigated in previous studies. It has been suggested that improving the  
27 BGC-Argo POC-b<sub>bp</sub> conversion factor, tuning the model parameters and implementing  
28 missing processes in the model structure could decrease the model-data inconsistencies  
29 associated with POC dynamics. Similarly, improving the ocean circulation in physical models  
30 should improve the accuracy of OMZ model predictions. Finally, pH<sub>mixed</sub> and pCO<sub>2 mixed</sub>  
31 should be better modelled if the uncertainties associated with DIC, Alk, temperature and  
32 salinity in the mixed layer are reduced.

1 The proposed method can also be used to optimize the design of the BGC-Argo network. In  
2 particular, the regions where BGC-Argo observations should be enhanced to reduce the  
3 model-data misfit through the assimilation of BGC-Argo data or process-oriented assessment  
4 studies. We strongly recommend enhancing the observation density in the Arctic region and  
5 maintaining the already high density of observations in the Southern Oceans. These are two  
6 regions where the model error is barely less than the variability of BGC-Argo observations,  
7 and where it is not possible to use satellite observations to constrain the models through  
8 assimilation most of the year.

1 **Tables**

2

3 **Table 1.** Data mode and QC flags of the BGC-Argo observations used in this study. In the  
 4 Argo data-system, the data are available in three data modes: “Real-Time”, ”Adjusted” and  
 5 ”Delayed”. See section 2a for a brief description of each data mode. The flags “3” and “4”  
 6 refer to “potentially bad data” and “bad data”, respectively. See also Bittig et al. (2019), for a  
 7 more detailed description of Argo data modes and flags.

8

Parameter	Data mode	Data mode of associated pressure, temperature and salinity profiles	QC flags
<i>Chla</i>	Adjusted and Delayed	Real time, Adjusted and Delayed	<ul style="list-style-type: none"> <li>• Real time (P,T,S): All flags except 4</li> <li>• Adjusted or Delayed: All flags except 3 and 4</li> </ul>
O <sub>2</sub>	Delayed	Delayed	<ul style="list-style-type: none"> <li>• All flags except 3 and 4</li> </ul>
NO <sub>3</sub>	Adjusted and Delayed	Real time, Adjusted and Delayed	<ul style="list-style-type: none"> <li>• Real time (P,T,S): All flags except 4</li> <li>• Adjusted or Delayed: All flags except 3 and 4</li> </ul>
pH	Adjusted and Delayed	Real time, Adjusted and Delayed	<ul style="list-style-type: none"> <li>• Real time (P,T,S): All flags except 4</li> <li>• Adjusted or Delayed: All flags except 3 and 4</li> </ul>
b <sub>bp</sub>	Adjusted and Delayed	Real time, Adjusted and Delayed	<ul style="list-style-type: none"> <li>• Real time (P,T,S): All flags except 4</li> <li>• Adjusted or Delayed (P,T,S): All flags except 3 and 4</li> </ul>

- Adjusted or Delayed (b<sub>bp</sub>):  
All flags except 4
- 

1

1  
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3  
4

**Table 2.** Assessment metrics used to assess the model simulation with BGC-Argo data. For each metric, the level of assessment, as described in Hipsey et al. (2020) is also indicated.

Process	Metric	Definition	units	Assessment level
Carbonate chemistry	$pCO_{2\text{ mixed}}$	Depth-averaged $pCO_2$ in the mixed layer	$\mu\text{atm}$	State variable
	$DIC_{\text{mixed}}$	Depth-averaged DIC in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable
	$Alk_{\text{mixed}}$	Depth-averaged Alk in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable
	$DIC_{\text{meso}}$	Depth-averaged DIC in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$Alk_{\text{meso}}$	Depth-averaged Alk in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$pH_{\text{mixed}}$	Depth-averaged pH in the mixed layer	total	State variable
	$pH_{\text{meso}}$	Depth-averaged pH in the mesopelagic layer	total	State variable
Biological carbon pump	$Chl_{\text{mixed}}$	Depth-averaged $Chl_a$ in the mixed layer	$\text{mg m}^{-3}$	State variable
	$NO_3_{\text{mixed}}$	Depth-averaged $NO_3$ in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable
	$PO_4_{\text{mixed}}$	Depth-averaged $PO_4$ in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable
	$Si_{\text{mixed}}$	Depth-averaged Si in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable

	$\text{NO}_3_{\text{meso}}$	Depth-averaged $\text{NO}_3$ in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$\text{PO}_4_{\text{meso}}$	Depth-averaged $\text{PO}_4$ in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$\text{Si}_{\text{meso}}$	Depth-averaged Si in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$\text{POC}_{\text{mixed}}$	Depth-averaged POC in the mixed layer	$\text{mg m}^{-3}$	State variable
	$\text{POC}_{\text{meso}}$	Depth-averaged POC in the mesopelagic layer	$\text{mg m}^{-3}$	State variable
	$\text{Chl}_{\text{DCM}}$	Magnitude of DCM	$\text{mg m}^{-3}$	Emergent property
	$\text{H}_{\text{DCM}}$	Depth of DCM	m	Emergent property
	$\text{H}_{\text{nit}}$	Depth of nitracline	m	Emergent property
Oxygen levels	$\text{O}_2_{\text{mixed}}$	Depth-averaged $\text{O}_2$ in the mixed layer	$\mu\text{mol kg}^{-1}$	State variable
	$\text{O}_2_{\text{meso}}$	Depth-averaged $\text{O}_2$ in the mesopelagic layer	$\mu\text{mol kg}^{-1}$	State variable
	$\text{O}_{2\text{min}}$	Value of $\text{O}_2$ minimum	$\mu\text{mol kg}^{-1}$	Emergent property
	$\text{H}_{\text{O}_2\text{min}}$	Depth of $\text{O}_2$ minimum	m	Emergent property

1

2



1 **Figures**

2

3

4 **Figure 1.** Spatial and temporal coverage of BGC-Argo quality- controlled pH, NO<sub>3</sub>, Chl<sub>a</sub>, O<sub>2</sub>  
5 and b<sub>bp</sub> profiles. **(a)** Number of quality-controlled profiles for the entire period per 4°x4° bin.  
6 **(b)** Number of quality-controlled profiles per year. Note that this study only uses data from  
7 2009 to 2020 to evaluate model performance.

1

2 **Figure 2.** Spatial distribution of the 8 BGC-regions obtained with a K-means clustering  
3 method applied to a dataset of modelled climatological monthly time series of the 23  
4 assessment metrics.

5

6

1  
2 **Figure 3.** Bubble plot of model efficiency statistical score ( $m_e$ ) as a function of BGC-regions  
3 [Arctic, Equatorial (Equ.), High Nutrients Bloom (High Nut. Bloom), Low Nutrients Bloom  
4 (Low Nut. Bloom), Mediterranean Sea (Med. Sea), Oxygen Minimum Zones (OMZs),  
5 Southern Oceans, Subtropical Gyres (Sub. Gyres)] and assessment metrics associated with the  
6 carbonate chemistry [depth-averaged  $p\text{CO}_2$  in the mixed layer ( $p\text{CO}_2_{\text{mixed}}$ ), depth-averaged  
7 DIC in the mixed layer ( $\text{DIC}_{\text{mixed}}$ ), depth-averaged Alk in the mixed layer ( $\text{Alk}_{\text{mixed}}$ ), depth-  
8 averaged DIC in the mesopelagic layer ( $\text{DIC}_{\text{meso}}$ ), depth-averaged Alk in the mesopelagic  
9 layer ( $\text{Alk}_{\text{meso}}$ ), depth-averaged pH in the mixed layer ( $\text{pH}_{\text{mixed}}$ ), and depth-averaged pH in the  
10 mesopelagic layer ( $\text{pH}_{\text{meso}}$ )] (**b**). The size of a bubble is proportional to the value of  $m_e$ . For a  
11 given assessment metric, the median values of  $m_e$  over all BGC regions are represented as a  
12 bar plot (**c**). Similarly, for a given BGC region, the median values of  $m_e$  across all assessment  
13 metrics are represented as a bar plot (**a**). In (**b**), The x and y axes are arranged in descending  
14 order of the median value of  $m_e$  over all assessment metrics (panels a) and the median value  
15 of  $m_e$  over all BGC regions, respectively. The blue and orange colours correspond to a  
16 positive and negative  $m_e$  .  
17

1

2 **Figure 4.** Same as Figure 3 but for assessment metrics associated with the biological carbon  
3 pump [depth-averaged Chl $a$  in the mixed layer ( $Chl_{mixed}$ ), depth-averaged  $NO_3$  in the mixed  
4 layer ( $NO_{3\ mixed}$ ), depth-averaged  $PO_4$  in the mixed layer ( $PO_{4\ mixed}$ ), depth-averaged Si in the  
5 mixed layer ( $Si_{mixed}$ ), depth-averaged  $NO_3$  in the mesopelagic layer ( $NO_{3\ meso}$ ), depth-  
6 averaged  $PO_4$  in the mesopelagic layer ( $PO_{4\ meso}$ ), depth-averaged Si in the mesopelagic layer  
7 ( $Si_{meso}$ ), depth-averaged POC in the mixed layer ( $POC_{mixed}$ ), depth-averaged POC in the  
8 mesopelagic layer ( $POC_{meso}$ ), magnitude of DCM ( $Chl_{DCM}$ ), depth of DCM ( $H_{DCM}$ ), and depth  
9 of nitracline ( $H_{nit}$ ) ].

10

1

2 **Figure 5.** Same as Figure 3 but for assessment metrics associated with the oxygen levels  
3 [depth-averaged  $O_2$  in the mixed layer ( $O_{2\text{ mixed}}$ ), depth-averaged  $O_2$  in the mesopelagic layer  
4 ( $O_{2\text{ meso}}$ ), value of  $O_2$  minimum ( $O_{2\text{ min}}$ ), and depth of  $O_2$  minimum ( $H_{O_{2\text{ min}}}$ )]. Note that in **(a)**,  
5 the bar plot represents the mean value of  $m_e$  over all assessment metrics.

6

1

2 **Figure 6.** Median of the 23  $m_e$  associated with each assessment metric by BGC-region.

# 1 **Appendix**

2

## 3 **A.1 The CMEMS global hydrodynamic-biogeochemical model**

4

5 The model used in this study features the offline coupled NEMO–PISCES model, with a  $1/4^\circ$   
6 horizontal resolution 50 vertical levels (with 22 levels in the upper 100 m, the vertical  
7 resolution is 1m near the surface and decreases to 450m resolution near the bottom) and daily  
8 temporal resolution, covering the period from 2009 to 2017.

9

10 The biogeochemical model PISCES v2 (Aumont et al., 2015) is a model of intermediate  
11 complexity designed for global ocean applications, and is part of NEMO modelling platform.  
12 It features 24 prognostic variables and includes five nutrients that limit phytoplankton growth  
13 (nitrate, ammonium, phosphate, silicate and iron) and four living compartments: two  
14 phytoplankton size classes (nanophytoplankton and diatoms, resp. small and large) and two  
15 zooplankton size classes (microzooplankton and mesozooplankton, resp. small and large); the  
16 bacterial pool is not explicitly modelled. PISCES distinguishes three non-living detrital pools  
17 for organic carbon, particles of calcium carbonate and biogenic silicate. Additionally, the  
18 model simulates the carbonate system and dissolved oxygen. PISCES has been successfully  
19 used in a variety of biogeochemical studies, both at regional and global scale (Bopp et al.,  
20 2005; Gehlen et al., 2006, 2007; Gutknecht et al., 2019; Lefèvre et al., 2019; Schneider et al.,  
21 2008; Séférian et al., 2013; Steinacher et al., 2010; Tagliabue et al., 2010).

22

23 The dynamical component is the latest Mercator Ocean global  $1/12^\circ$  high-resolution ocean  
24 model system, extensively described and validated in Lellouche et al. (2013, 2018). This  
25 system provides daily and  $1/4^\circ$ -coarsened fields of horizontal and vertical current velocities,  
26 vertical eddy diffusivity, mixed layer depth, sea ice fraction, potential temperature, salinity,  
27 sea surface height, surface wind speed, freshwater fluxes and net surface solar shortwave  
28 irradiance that drive the transport of biogeochemical tracers. This system also features a  
29 reduced-order Kalman filter based on the Singular Evolutive Extended Kalman filter (SEEK)  
30 formulation introduced by Pham et al. (1998), that assimilates, on a 7-day assimilation cycle,  
31 along-track altimeter data, satellite Sea Surface Temperature and Sea-Ice Concentration from

1 OSTIA, and *in situ* temperature and salinity vertical profiles from the CORA 4.2 *in situ*  
2 database.

3

4 In addition, the biogeochemical component of the coupled system also embeds a reduced  
5 order Kalman filter (similar to the above mentioned) that operationally assimilates daily L4  
6 remotely sensed surface chlorophyll (European Union-Copernicus Marine Service, 2022).  
7 Thanks to a multivariate formulation of model error covariances, the system is able to provide  
8 a 3D correction to the nanophytoplankton, diatoms and nitrates model concentrations, from  
9 the surface chlorophyll data provided by satellite observations.

10 In parallel, a climatological-damping is applied to nitrate, phosphate, oxygen, silicate - with  
11 World Ocean Atlas 2013 - to dissolved inorganic carbon and alkalinity – with GLODAPv2  
12 climatology (Key et al., 2015) - and to dissolved organic carbon and iron - with a 4000-year  
13 PISCES climatological run. This relaxation is set to mitigate the impact of the physical data  
14 assimilation in the offline coupled hydrodynamic-biogeochemical system, leading significant  
15 rises of nutrients in the Equatorial Belt area, and resulting in an unrealistic drift of various  
16 biogeochemical variables e.g. chlorophyll, nitrate, phosphate (Fennel et al., 2019; Park et al.,  
17 2018). The time-scale associated with this climatological damping is set to 1 year and allows  
18 a smooth constraint that has been shown to be efficient to reduce the model drift.

19



1 **Data availability.** The BGC model data can be downloaded from the Copernicus Marine  
2 Environmental Monitoring Service  
3 ([https://resources.marine.copernicus.eu/?option=com\\_csw&view=details&product\\_id=GLOB](https://resources.marine.copernicus.eu/?option=com_csw&view=details&product_id=GLOB)  
4 [AL\\_ANALYSIS\\_FORECAST\\_BIO\\_001\\_028](https://resources.marine.copernicus.eu/?option=com_csw&view=details&product_id=GLOB)). The BGC-Argo data were downloaded from  
5 the Argo Global Data Assembly Centre in France (<ftp://ftp.ifremer.fr/argo/>).  
6

7 **Authors Contribution:** AM, GC, FD, SS and VT originated the study. AM, HC, FD, RS and  
8 VT designated the study. AM and RS process the BGC-Argo floats data. AM analysed the  
9 data. AM wrote the first draft of the manuscript. HC, GC, FD, EG, PL, CP, SS,RS,VT and AT  
10 contributed to the subsequent drafts. All authors read and approved the final draft.  
11

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13

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27  
28

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