



## Testing the effect of bioturbation and species abundance upon discrete-depth individual foraminifera analysis.

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**Abstract.** We use a single foraminifera enabled, holistic hydroclimate-to-sediment transient modelling approach to fundamentally evaluate the efficacy of discrete-depth individual foraminifera analysis (IFA) for reconstructing past sea surface temperature (SST) variability from deep-sea sediment archives, a method that has been used for, amongst other applications, reconstructing El Niño Southern Oscillation (ENSO). The computer model environment allows us to strictly control for variables such as sea surface temperature (SST), foraminifera species abundance response to SST, as well as depositional processes such as sediment accumulation rate (SAR) and bioturbation depth (BD), and subsequent laboratory processes such as sample size and machine error. Examining a number of best-case scenarios, we find that IFA-derived reconstructions of past SST variability are sensitive to all of the aforementioned variables. Running 100 ensembles for each scenario, we find that the influence of bioturbation upon IFA-derived SST reconstructions, combined with typical samples sizes employed in the field, produces noisy SST reconstructions with poor correlation to the original SST distribution in the water. This noise is especially apparent for values near the edge of the SST distribution, which is the distribution region of particular interest for, e.g., ENSO. The noise is further increased in the case of increasing machine error, decreasing SAR and decreasing sample size. We also find poor agreement between ensembles, underscoring the need for replication studies in the field to confirm findings at particular sites and time periods. Furthermore, we show that a species' abundance response to SST could in theory bias IFA-derived SST reconstructions, which can have consequences when comparing IFA-derived SST from markedly different mean climate states. We provide a number of idealised simulations spanning a number of SAR, sample size, machine error and species abundance scenarios, which can help assist researchers in the field to determine under which conditions they could expect to retrieve significant results.

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## 1.0 Introduction

### 1.1 Background

35 One of the most-studied palaeoclimate signal carrier vessels within deep-sea sediment cores is the  
carbonate shells of planktonic foraminifera (microscopic, single-celled organisms), which can record  
the conditions of the ambient water that the foraminifera lived in. These organisms have a lifespan of  
~1 month, after which their shells sink to, and are deposited on, the sea-floor. Their short lifespan  
means that foraminifera microfossil populations retrieved from deep-sea sediment archives can, in  
40 principle, reflect past monthly SST dynamics, which is key for reconstructing decadal scale climate  
processes, such as El Niño Southern Oscillation (ENSO). However, the technical limits associated  
with isotope ratio mass spectrometry (IRMS) analysis of foraminifera has traditionally required that  
many tens of single foraminifera shells to produce a viable measurement, thus averaging out any  
monthly SST signal. Advances in IRMS have allowed for the analysis of single foraminifera shells  
45 sizes typically found in planktonic populations (Oba and Uomonoto, 1989; Spero and Williams,  
1990), which has encouraged researchers to carry out a method commonly referred to as individual  
foraminifera analysis (IFA) to reconstruct SST variability associated with, e.g., ENSO (Koutavas et  
al., 2006; Leduc et al., 2009). This method can, in principle, allow for the extraction of a range of  
monthly SST values from a given interval of a deep-sea sediment archive (i.e. 1 cm discrete depths  
50 from a given sediment core). Using the IFA method, a number of foraminifera are sub-sampled from a  
discrete-depth's foraminifera population, after which some form of SST proxy method is applied to  
each foraminifera's carbonate shell to infer individual SST values. Subsequently, an SST distribution  
can be inferred, and used to indicate past SST variability.

The IFA method depends upon a major assumption, namely that the SST distribution generated from  
55 the sub-sampled foraminifera is a faithful representation of the true distribution of monthly water SST  
values for a given time interval (i.e. a decadal/centennial/millennial period). However, the ability of  
discrete-depth IFA to accurately reproduce a time period's true water SST distribution can be clouded  
by a number of environmental, biological and logistical issues, which can occur in the water domain  
(pre-deposition), sediment archive domain (post-deposition) and laboratory domain (post-retrieval).

60 Regarding issues in the water domain, it is possible that a foraminifera species may not continually  
inhabit a single surface water location or water depth, thus giving a non-continuous record of SST,  
which can have consequences for, e.g., ENSO reconstructions (Metcalf et al., 2020). Secondly, a  
species' foraminiferal abundance through time is not constant and can be influenced by SST itself,  
which may bias IFA-derived SST distribution reconstructions, which is especially relevant in the case



65 of ENSO, which itself influences SST. Similarly, long-term absolute shifts in the overall range of SST  
(e.g. from a glacial to an interglacial world) may cause the water's SST range to shift from one that  
partially overlaps with a species' preferred temperature range to one that fully overlaps with a species'  
preferred temperature range. In practical terms, this could lead to an IFA-derived artefactual shift  
70 from a relatively narrow apparent SST distribution to a relatively wider apparent SST distribution,  
with potential for incorrect interpretation regarding glacial-interglacial SST dynamics.

Issues associated with the sediment archive domain can further cloud IFA-derived SST distributions.  
Specifically, systematic bioturbation of deep-sea sediment archives means that individual foraminifera  
with vastly different ages are mixed into single discrete-depth sediment intervals, which is a particular  
challenge in the current state-of-the-art in IFA, which still relies on the 'average age' of a particular  
75 sediment interval (i.e. it is not yet feasible to decouple single planktonic foraminifera from their  
discrete depth by systematically dating individual specimens). This practical limitation in turn places  
an interpretive constraint upon IFA; when foraminifera from vastly different long-term climate states  
(i.e. multi-millennial) are mixed into the same sediment interval, the IFA-derived SST variability  
reconstructed from that sediment interval cannot be exclusively assigned to decadal or centennial  
80 changes in inter-annual and intra-annual SST variability (Killingley et al., 1981). For these reasons, it  
is important to understand the age distribution of foraminifera contained within a discrete-depth  
sediment interval. For example, it is often assumed that a sediment archive with a sediment  
accumulation rate (SAR) of, e.g.,  $5 \text{ cm ka}^{-1}$  will have a temporal resolution of  $1000/5 = 200 \text{ yr cm}^{-1}$ .  
This assumption is deceptively supported the observation that the mean age of such a sediment  
85 archive increases by  $\sim 200 \text{ yr}$  every cm. However, downcore increase of discrete-depth mean age is  
not the same concept as discrete-depth age variance. The distribution of the age contained within a  
single centimetre of sediment core is governed not only by the SAR, but also by the bioturbation  
depth (BD), the uppermost depth of the sediment within which bottom-dwelling organisms actively  
mix the sediment. Following established understanding of bioturbation processes (Berger and Heath,  
90 1968; Pisias, 1983; Schiffelbein, 1984), the  $1\sigma$  age value for a single cm of sediment core can be  
approximated, in the example of a  $5 \text{ cm ka}^{-1}$  sediment core with a representative BD of 10 cm (Trauth  
et al., 1997; Boudreau, 1998), as  $10/5 \times 1000 = 2000 \text{ yr}$ . In idealised conditions, the corresponding  
shape of the age distribution for a discrete-depth interval of sediment core will be characterised by an  
exponential distribution with long tail towards older ages. The average age of the sediment at the top  
95 of the sediment archive will also be similar to the  $1\sigma$  age value, as exhibited in  $^{14}\text{C}$  dates of deep-sea  
core tops which support a BD of between 5 and 10 cm (Trauth et al., 1997; Henderiks et al., 2002),  
including for the Pacific (Peng et al., 1979; White et al., 2018). It is additionally important to consider  
the shape of this distribution when comparing IFA-derived SST from an interval of sediment core



100 (subsampled from a population with a exponential age distribution with a long tail towards older ages)  
to observational or model SST from specific periods of climate history (i.e. a uniform interval of  
time).

105 Finally, issues in the laboratory domain, such as sample size and analytical error, can serve to increase  
the noisiness of the reconstructed SST distribution and cause interpretive constraints (Killingley et al.,  
1981; Schiffelbein and Hills, 1984; Thirumalai et al., 2013; Fraass and Lowery, 2017; Dolman and  
Laepple, 2018; Lougheed, 2020). Consequently, it is important to also consider these processes when  
considering results derived from discrete-depth IFA analysis.

## 1.2 Experimental Design

110 Here, we use a computer modelling approach, which uniquely allows all parameters to be known and  
strictly controlled for, thereby allowing us to create an idealised experimental design with minimised  
degrees of freedom. Such an approach offers advantages over field-based testing of IFA, where  
multiple dynamic parameters are unknown, thus leading to increased degrees of freedom and limiting  
the ability to make interpretative conclusions about the influence of isolated parameters. Our  
comprehensive modelling approach incorporates quantitative parameterisations of climate, sediment  
and laboratory processes. Such a controlled computer model environment allows us to directly  
115 compare a known input water SST distribution to a reconstructed SST distribution derived from the  
corresponding simulated sediment-based IFA. In this way, we can objectively quantify how well  
discrete-depth IFA functions in a number of strictly controlled, best-case scenarios, allowing its  
interpretive capacity for the reconstruction of decadal scale SST variability to be evaluated at the most  
fundamental level.

## 120 2.0 Method

### 2.1 Approach synopsis and model setup

125 We carry out a holistic hydroclimate-to-sediment transient modelling approach to test the suitability  
of discrete-depth IFA for the reconstruction of SST variability. Crucially, our approach includes a  
quantified representation of both sediment processes (in particular bioturbation) and species  
abundance, thus building upon previous models and simulation estimations of IFA accuracy where  
such information was not yet included (Leduc et al., 2009; Thirumalai et al., 2013; Fraass and  
Lowery, 2017). Our modelling approach is carried out using an offline coupling of two transient  
models: a single-foraminifera sediment accumulation simulator (SEAMUS; (Lougheed, 2020)) run at  
a monthly timestep resolution, forced with monthly SST from the TRACE-21ka climate model (He,



130 2011). We investigate a number of best-case scenarios, concentrating on the time period spanning  
from 20 ka (BP 1950) up to and including 1989 CE, assuming a hypothetical sediment core location  
(Fig. 1) at the centre of the Niño 3.4 ENSO region that is used to calculate the Oceanic Niño Index  
(ONI). While the TRACE-21ka climate model does not necessarily fully capture ENSO processes, we  
choose this location in the model because of its dynamic SST (Fig. 1), which make it an interesting  
135 location to test how inputted monthly SST is reconstructed by the simulated IFA method.

In this study, simulated single foraminifera are incorporated into synthetic sediment archives, the  
latter of which employ best-case sedimentation conditions whereby representative values for SAR and  
BD are both kept temporally constant. We assume a best-case scenario where foraminifera perfectly  
record monthly SST (in this case the TRACE-21ka SST), and we also assume the existence of an  
140 ideal proxy method that allows for perfect retrieval of SST data from the single foraminifera. In  
reality, foraminifera may not continuously record the water temperature at the surface or indeed at the  
same water depth in general, which further complicates IFA reconstructions of SST dynamics in  
practice, however, here we seek to test best-case conditions. After carrying out the sediment archive  
and bioturbation simulation, synthetic single foraminifera are randomly picked from each discrete-  
145 depth cm interval of simulated core, thereby resulting in virtual IFA. The output of the best-case  
virtual IFA retrieved from the sediment depth domain can subsequently be directly compared to the  
inputted SST in the time domain (i.e. TRACE-21ka SST), allowing us to evaluate the current state-of-  
the-art in IFA at the most fundamental level.

We sum up the sediment model component (SEAMUS) in Section 2.1, and the climate component  
150 (TRACE-21ka) in Section 2.2. An overview of our various best-case scenario simulations, as well as  
their associated run parameters, can be found in Section 2.3.

## 2.1 Sediment model component

We model the sedimentation history of single foraminifera using the the SEAMUS sediment  
accumulation model (Lougheed, 2020). This stochastic model uses the same established  
155 understanding of bioturbation (Berger and Heath, 1968; Pisias, 1983; Bard, 2001) that is also  
incorporated into previous sediment accumulation models (Trauth, 1998, 2013; Dolman and Laepple,  
2018), but differs in model execution in that it is explicitly designed for the purpose of modelling  
single foraminifera, thus making it a suitable sediment model for use in this IFA evaluation study. The  
stochastic nature of the model is ideal for simulating bioturbation of single foraminifera, which is in  
160 itself a stochastic process. Furthermore, this bioturbation model is capable of receiving temporally  
dynamic input for all parameters. Our period of interest spans 20 ka BP to 1989 CE, so we have run



165 the SEAMUS model from 30 ka BP to 1989 CE to provide sufficient model spin-up for our period of  
interest. The model is run using a monthly timestep resolution, whereby single synthetic foraminifera  
are generated at each time-step and added to the top of the sediment archive after which the BD of the  
sediment archive is uniformly mixed. All simulations are run with an appropriate BD of 10 cm,  
following previous studies (Trauth et al., 1997; Boudreau, 1998). Some of our model run scenarios  
assume a temporally constant foraminiferal abundance, in such cases we assign a constant per  
timestep foraminiferal abundance that results in  $10^4$  foraminifera per cm of sediment (i.e. the  
prescribed per timestep abundance is higher in the case of higher SAR and vice-versa). In the case of  
170 model runs with temporally dynamic foraminiferal abundance, the amount of foraminifera per cm that  
will result in 100 foraminifera per timestep (i.e. month) for the given SAR is simulated, allowing  
temporal (i.e. monthly) changes in abundance to be modelled with sufficient statistical power (i.e. if  
relative abundance of the species drops from 0.56 to 0.55 then it will result in one less foraminifera of  
the species being simulated for a timestep). All of our model run scenarios are carried out using 100  
175 ensemble runs in SEAMUS, thus fully capturing (for 100 percentiles) the stochastic nature of  
bioturbation (i.e. the fact that no two sediment archives formed under the same conditions will be  
exactly alike). Subsequently, four separate randomised ‘picking’ scenarios are carried out on each of  
the 100 ensembles, whereby 50, 100, 500 or  $10^4$  synthetic foraminifera are randomly picked from  
each discrete 1 cm depth slice of the synthetic core, whereby the picker is assumed to have perfectly  
180 identified the species in all cases, thus avoiding challenges associated with species mis-identification  
(Pracht et al., 2019). Finally, in some scenarios we add Gaussian noise of  $\pm 1^\circ\text{C}$  to the SST of all  
simulated foraminifera, to mimic proxy uncertainty. All ensemble runs were performed using a Linux  
computer cluster provided by the Swedish National Infrastructure for Computing (SNIC) at the  
Uppsala Multidisciplinary Centre for Advanced Computational Science (UPPMAX).

## 185 **2.2 Climate model component**

Monthly SST forcing for the SEAMUS model is sourced from the TRACE-21ka transient climate  
simulation (He, 2011), specifically using the surface temperature data for the TRACE-21ka grid cell  
centred on the coordinates  $1.86^\circ\text{N}$  and  $146.25^\circ\text{W}$ . This grid cell, at the centre of the Niño 3.4 ENSO  
region used for calculating the ONI-index, is ideal for our synthetic core simulation as it is  
190 characterised by large variation in the model's inter-annual seasonal surface temperature (Fig. 1a),  
somewhat analogous to, e.g., ENSO. Furthermore, the grid cell also captures the glacial-interglacial  
SST transition (Fig. 1b), as well as typical TRACE-21ka transient changes in ENSO-like SST  
variability, as shown by the 1.5-7 yr filtered 100 and 1000 year moving  $1\sigma$  of SST (Fig. 1c). This  
filtering approach has previously been used to identify ENSO-like variability in TRACE-21ka for the



195 Niño 3.4 region (Liu et al., 2014). While the model variability is itself of course not a true replication  
of the real ENSO signal, it nonetheless offers an interesting analogous timeseries of inter-annual  
changes in SST variability with which to test the efficacy of the IFA method in reproducing said SST  
variability.

200 The TRACE-21ka dataset is the result of a fully-coupled Community Climate System Model  
(CCSM3) simulation with T31\_gx3 grid resolution that uses transient forcing changes in both  
greenhouses gases, orbital driven insolation variations, ice sheet evolution (ICE-5G) and associated  
meltwater fluxes for a non-accelerated atmosphere-ocean-sea ice-land surface coupling. The TRACE-  
21ka dataset begins at 22 ka, whereas our SEAMUS run starts at 30 ka. The reason for this difference  
is that we provide an extra 10 ka of spin-up time for the SEAMUS model, which is important in cases  
205 of very low SAR (e.g.  $\leq 5 \text{ cm ka}^{-1}$ ). In order to provide SST data for synthetic foraminifera generated  
between 30 ka and 22 ka, the oldest 1500 years contained within the TRACE-21ka dataset are  
repeated from 22 ka to 30 ka. Such an approach obviously does not represent an accurate picture of  
the climate between 30 ka and 22 ka, but it has no practical consequences for the particular purpose of  
our study, which is to compare a given climate input signal in the time domain to the subsequent  
210 signal recorded by single foraminifera in the sediment depth domain. Furthermore, our period of study  
interest spans the past 20 ka.

### 2.3 Model run settings

We carry out a number of best-case scenarios, with each scenario being subject to 100 ensemble runs  
to capture the full stochastic range resulting from the sedimentation, bioturbation and picking  
215 processes. We run SAR scenarios for 5, 10 and 40  $\text{cm ka}^{-1}$ . In the figures in the main text, we  
concentrate on the 10  $\text{cm ka}^{-1}$  scenarios only. The corresponding figures for the 40  $\text{cm ka}^{-1}$  and 5  
 $\text{cm ka}^{-1}$  scenarios, the latter of which may be more realistic for much of the Pacific, can be found in the  
supplement. Each of the three SAR scenarios is first subjected to 100 ensemble runs with constant  
foraminifera abundance and a perfect SST proxy, a second set of 100 ensemble runs is then carried  
220 out with constant abundance and added  $\pm 1^\circ\text{C}$  Gaussian noise on the SST proxy, a third set of 100  
ensemble runs is carried out with dynamic abundance and a perfect SST proxy, and a final set of 100  
ensemble runs is carried out with dynamic abundance and  $\pm 1^\circ\text{C}$  Gaussian noise on the SST proxy. All  
of the aforementioned 1200 ensembles are each subjected to randomised picking for 50, 100, 500 and  
 $10^4$  foraminifera per cm of sediment core depth.

225 As described in the previous paragraph, some of our scenarios incorporate dynamic foraminiferal  
abundance in order to investigate the effect of changes in species abundance upon IFA-derived



reconstructions. In these scenarios, we use a hypothetical transfer function (Fig. 2a) to assign a per  
timestep abundance to our simulated foraminifera species. This theoretical transfer function is purely  
demonstrational, and is used to gain insight into how a given abundance response influences IFA  
reconstructions of SST variability. Timestep abundance is calculated as a by applying the function to  
230 the corresponding TRACE-21ka SST for the timestep. This approach allows us to quantify how a  
known species abundance response to SST could systematically bias an IFA-derived SST distribution.  
Consider, for example, a theoretical time interval whereby the true monthly SST data are normally  
distributed, as in the theoretical example in Fig. 2b. In such a case, an IFA-derived SST distribution  
235 using a species characterised by our SST transfer function would be biased towards warmer  
temperatures and, furthermore, the shape of the IFA-derived SST distribution would be skewed, as  
shown in the abundance-modified profile in Fig. 2b.

### 3.0 Results & Discussion

#### 3.1 Downcore, discrete-depth IFA standard deviation

240 Numerous studies have concentrated on subsampling numerous individual foraminifera from the same  
discrete-depth interval of a sediment core, from which the  $1\sigma$  value of the SST (or a proxy equivalent  
thereof) of those foraminifera is calculated to infer SST variability for a particular time period,  
whereby a greater  $1\sigma$  value is assumed to indicate increased SST variability due to, e.g., ENSO  
(Koutavas et al., 2006; Koutavas and Joanides, 2012; Rustic et al., 2015). To evaluate such an  
245 approach, we compare the 1.5-7 yr filtered 1000 year moving  $1\sigma$  of SST in the time domain (Fig. 1c)  
to ensembles of SEAMUS runs carried out under various sediment and picking conditions within a 10  
cm  $\text{ka}^{-1}$  scenario (Fig. 3 and Fig. 4). The equivalent figures for the 40 cm  $\text{ka}^{-1}$  and 5 cm  $\text{ka}^{-1}$  scenarios,  
the latter of which may be more representative for the open ocean areas of the Pacific (Olson et al.,  
2016; Metcalfe et al., 2020), can be found in the supplement.

250 We find that the discrete-depth, downcore  $1\sigma$  value reconstructed using IFA analysis for the simulated  
10 cm/ka scenarios varies greatly between all of the 100 ensemble runs in the case of IFA sample  
sizes typically used in the field, i.e. between 50 foraminifera (Fig. 3a-b; Fig. 4a-b) and 100  
foraminifera (Fig. 3c-d; Fig. 4c-d) individual foraminifera being picked per cm. This poor  
reproducibility between ensemble runs is a result of noise generated by small sample sizes in  
255 combination with systematic bioturbation. The practical consequence of this poor reproducibility is  
that, in the case of typical sample sizes used in the field (50-100 foraminifera), none or very few of  
the 100 ensemble runs result a significant correlation (defined here as  $r^2 \geq 0.6$  and  $p \leq 0.05$ ) between  
the IFA-derived downcore  $1\sigma$  SST signal and the 1.5-7 yr filtered TRACE-21ka 1000 year moving  $1\sigma$



(Table 2), for the period 18 ka to 12 ka, a period of dynamic ENSO-like variation in the TRACE-21ka  
260 SST. Furthermore, the wide 95.4% band of ensemble downcore  $1\sigma$  SST values demonstrates a  
practical challenge for studies that compare decadal and centennial SST variability from two distinct  
time periods by comparing, e.g., a late glacial sediment slice's  $1\sigma$  SST value to a late Holocene  
sediment slice's  $1\sigma$  SST value. In such cases, our model results suggest that, for the aforementioned  
265 typical sample sizes deployed in the field (50-100 foraminifera), random chance may lead to any  
number of possible apparent outcomes regarding the relative apparent SST variability of the late  
glacial and the late Holocene.

We do find, however, that greatly increased sample size, higher SAR and reduced measurement error  
can all significantly improve the probability of a given ensemble's IFA-derived downcore  $1\sigma$  SST  
exhibiting significant correlation with the TRACE-21ka SST variation (Table 2). We must stress,  
270 however, that our best-case scenarios involve constant SAR and BD, whereas real world conditions in  
the field are inherently dynamic and would, therefore, be more challenging. Additionally, we note that  
the improved correlation in the case of larger samples size does not correspond to a good reproduction  
of the *absolute* values of the SST variation as indicated by the TRACE-21ka SST ENSO-type  
variation. Even in the case of an extreme best-case scenario where it is possible to find, pick and  
275 analyse  $10^4$  foraminifera per cm, the absolute values of the ENSO-type variation derived from IFA are  
systematically greater than that of the TRACE-21ka SST ENSO-type variation (Fig. 3g and Fig. 4g),  
despite good correlation (Table 2). This offset in absolute values can be due to the fact that the 1.5-7  
yr filtered, 1000 year smoothed TRACE-21ka standard deviation is reflecting a different integration  
of the time than the  $1\sigma$  data retrieved from discrete-depth IFA. The former is based on a smooth of  
280 uniform time, whereas the latter is represents a population of foraminifera with a long-tailed age  
distribution. The absolute offset between the two signals is further increased in the case of machine  
error on the IFA SST analysis (Fig. 3h and Fig. 4h), thus highlighting the importance of accurately  
quantifying uncertainties in the analytical process.

### 3.2 Discrete-depth IFA distribution analysis

285 Many IFA studies have gone beyond studying a discrete depth's  $1\sigma$  SST value and have branched into  
more forensic studies of a discrete depth's IFA-derived SST distribution. These studies have focussed  
on analysing the shape of said distribution using various statistical tools, including skewness analysis  
of histograms (Leduc et al., 2009; Khider et al., 2011), as well as quantile-quantile (Q-Q) plots (Ford  
et al., 2015; White et al., 2018; Thirumalai et al., 2019; Rongstad et al., 2020; White and Ravelo,  
290 2020). Such analysis can reveal apparent shifts in the shape of the downcore, IFA-derived SST



distribution, which the aforementioned studies have attributed to changes SST changes in the water caused by ENSO-type climate variability.

295 Here, we compare the monthly TRACE-21ka SST data for the 18 ka to 17 ka period to our 100 ensembles of simulated IFA SST for our 10 cm ka<sup>-1</sup> scenario, taking in each ensemble the 1 cm discrete-depth with a median age closest to 17.5 ka. We show 100 ensembles with no analytical error and constant abundance (Fig. 5), 100 ensembles with  $\pm 1^\circ\text{C}$  analytical error and constant abundance (Fig. 6), 100 ensembles with  $\pm 1^\circ\text{C}$  analytical error and dynamic abundance (Fig. 7), and 100 ensembles with  $\pm 1^\circ\text{C}$  analytical error and dynamic abundance (Fig. 8). In all cases in our 10 cm ka<sup>-1</sup> scenario, we find that sample sizes typically associated with IFA in the field (50-100 foraminifera)  
300 produce high levels of noise, leading to low reproducibility from one ensemble to the next (panels a and d in Figs. 5-8). As expected, the 5 and 40 cm ka<sup>-1</sup> scenarios (see supplemental figures) result in lower and higher reproducibility, respectively. In practical terms, these results suggest that if one were to, at the same coring location, retrieve multiple sediment cores and carry out discrete-depth IFA, it is possible that different outcomes would be produced each time, each with sub-optimal correspondence  
305 to the true SST distribution in the water. Furthermore, as the level of noise increases with lower SAR, one has to be additionally careful when comparing IFA results from sites with markedly different SAR.

We also find that the IFA method has a tendency for noisy over- or undersampling of the tails of the true SST distribution in the case of typical sample sizes (50-100 specimens) used in the field (panels b  
310 and e in Fig. 5-8). This effect can be attributed to the fact that there is a low occurrence of individual foraminifera within the population that record more extreme SST, and small sample sizes are likely to either miss such foraminifera altogether (i.e., -100% oversampling), or, in the case of a single such foraminifera being picked within the sample, significantly over-represent extreme SST within the sample (in some cases >500% oversampling). This effect has practical consequences for  
315 interpretations made within IFA studies, seeing as the tails of the SST distribution are the region of interest when reconstructing the presence of, e.g., extreme ENSO events (Koutavas et al., 2006; Rustic et al., 2015). This noisy under- or oversampling of the distribution tails by IFA also translates directly to sample Q-Q plots (panels c and f in Fig. 5-8), which are commonly used in IFA studies to investigate the population distribution (Ford et al., 2015; Rongstad et al., 2020). This level of noise in  
320 the tails increases substantially in the case of increased analytical error, i.e. when one compares panels a-f in Fig 5 (without simulated analysis error) and Fig. 6 (with  $\pm 1^\circ\text{C}$  simulated analysis error). We furthermore find that even larger sample sizes involving 500 foraminifera are also prone to noisy under- or oversampling in the tails, especially in the case of analytical error (panels g, h, and i in Fig.



6). We also note that the tendency for under- and oversampling in the tails is greatly increased in the  
325 case of lower SAR and somewhat reduced in the case of higher SAR (see supplemental figures for 5  
cm ka<sup>-1</sup> and 40 cm ka<sup>-1</sup> SAR scenarios). Even in the case of sample sizes of 10<sup>4</sup> foraminifera in our 10  
cm ka<sup>-1</sup> scenario (panels j, k and l in Figs. 5 and 6) we also find sub-optimal agreement with the  
TRACE-21ka SST distribution in the tails. This disagreement is not due to noise, but due to the fact  
330 that we emulate the current state of the art, whereby SST from a uniform interval of time (in our case  
18 ka to 17 ka) is compared to a sample of foraminifera retrieved from sediment with a population  
characterised not by a uniform distribution of time, but an exponential distribution with a long tail  
towards older ages.

Finally, we investigate the influence of temperature-induced species abundance changes upon IFA-  
derived SST distributions. Our 10 cm ka<sup>-1</sup> simulations that have been run using the temperature  
335 abundance transfer function in Fig. 2a are shown in Fig. 7 (without analytical noise) and Fig. 8 (with  
analytical noise). We find that in all cases, the IFA-derived SST distribution is biased towards too  
warm values when compared to the TRACE-21ka SST distribution (panels a, d, g and j in Fig. 7 and  
Fig. 8). This bias can also be visualised as an oversampling of warmer values (panels b, e, h, k in Fig.  
7 and Fig. 8), or bias in a Q-Q plot (panels c, f, i, l in Fig. 7 and Fig. 8). We demonstrate that a  
340 species' abundance response to temperature can inherently bias IFA-derived reconstructions of SST  
distribution, which could have practical consequences for studies in the field. For example, the results  
in studies that compare IFA-derived SST distributions from significantly differing mean climate states  
(White et al., 2018; White and Ravelo, 2020), may be (partially) attributable to a species' temperature  
abundance response to the dominating SST profile associated with the differing climate states. Our  
345 results demonstrate the importance of incorporating understanding of past temporal changes of  
species abundance and its relationship to past SST.

#### 4.0 Conclusion & Outlook

Our best-case modelling study reveals a number of challenges which inhibit the efficacy of discrete-  
depth IFA in producing reconstructions of past SST distribution, the latter of which is paramount in  
350 reconstructing, e.g., past ENSO-type climate dynamics. Firstly, we find that bioturbation of sediment  
archives, combined with typical sample sizes employed in IFA-based studies, can lead to noisy IFA-  
derived SST distribution reconstructions. This noise leads to poor reproducibility with a potential for  
artefactual results. We would like to reiterate that our best-case model scenarios are possibly not  
representative for field studies that have been carried out, and it is entirely possible that existing  
355 studies have been retrieved from areas with a BD that is significantly more or less than the global  
average of 10 cm. Consequently, our model results may either over- or understate challenges relevant



to IFA. We propose, therefore, that studies in the field can improve quantification of the total error on  
IFA- reconstructions using three main approaches: (1) Quantification of real-world sedimentological  
360 parameters (SAR, BD) and foraminiferal parameters (abundance, temperature sensitivity) at the core  
site. (2) Ensemble-based forward model studies, as detailed in this study using best-case scenarios,  
can be run using the sediment and foraminiferal parameters present at the core site. This approach will  
help estimate the total stochastic error associated with the IFA-derived reconstruction. Care must be  
taken to include uncertainties regarding time-domain estimations of SAR, BD, species abundance, and  
analytical uncertainty. (3) Replication studies in the field (essentially a real-world ensemble approach)  
365 to help to further understand of the the stochastic noise involved with IFA reconstructions.

We furthermore have shown in our best-case study that a species' abundance response to SST can  
inherently bias IFA-derived reconstructions of past SST variability. We propose that the coupling of a  
single foraminifera sediment model approach to foraminiferal ecological models (Lombard et al.,  
2011; Roche et al., 2018; Metcalfe et al., 2020) could further help to constrain the total uncertainty  
370 associated with IFA-derived SST reconstructions.

We have also demonstrated that observed or model SST from uniform periods of time (as humans are  
accustomed to using) cannot directly be compared to IFA-derived SST which is retrieved from a  
population with an age distribution characterised by an exponential distribution with a long tail  
towards older ages. Subsequently, we propose that researchers adjust observational or model SST data  
375 to integrate an exponential representation of time when comparing to IFA-derived SST.

#### **Author contributions**

BCL and BM conceived the study. BCL executed the model runs and wrote the manuscript, with  
input from BM.

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model run file.



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**Table 1.** Overview of SAR and number of picked specimens in select IFA studies (including non-ENSO studies). Region codes are as follows: WEP – Western Equatorial Pacific; CEP – Central Equatorial Pacific; EEP – Eastern Equatorial Pacific; EEI – Eastern Equatorial Indian Ocean; SIO – Southern Indian Ocean; ARA – Arabian Sea. We have estimated the  $1\sigma$  value of age in 1 cm of sediment based on the SAR and a BD of 10 cm (Boudreau, 1998), using the following calculation based on (Berger and Heath, 1968):  $BD/SAR \times 1000$ , where SAR is entered in  $\text{cm ka}^{-1}$  and BD in cm.

Core(s)	Study	Region	Approximate SAR ( $\text{cm ka}^{-1}$ )	Estimated $1\sigma$ value of age in 1 cm (yr)	Specimens picked per discrete interval (#)
MGL1208-14MC and 12GC	(White et al., 2018)	CEP	~2.5	4000	70 ~ 90
ODP 806	(Ford et al., 2015)	WEP	~ 3	3300	60 ~ 70
ODP 849	(Ford et al., 2015) (White and Ravelo, 2020)	EEP	~ 4	2500	60 ~ 70
KNR195-5 MC42	(Rustic et al., 2015)	EEP	~12	830	55
MD02-2529	(Leduc et al., 2009)	EEP	~40	250	65 ~ 90
V21-30	(Koutavas et al., 2006) (Koutavas and Joanides, 2012)	EEP	~12	830	50
MD98-2177	(Khider et al., 2011)	WEP	~70	150	60 ~ 90
SO189-119KL	(Thirumalai et al., 2019)	EEI	~20	500	55 ~ 65
SO189-39KL	(Thirumalai et al., 2019)	EEI	~ 37	270	55 ~ 65
GeoB 10038-4	(Thirumalai et al., 2019)	EEI	~9	1100	55 ~ 65
GeoB 10053-7	(Thirumalai et al., 2019)	EEI	~35	290	55 ~ 65



NIOP 905P	(Ganssen et al., 2011)	ARA	~20	500	30 ~ 40
64PE-174P13	(Scussolini et al., 2013)	SIO	~ 1.2	8330	20 ~ 30

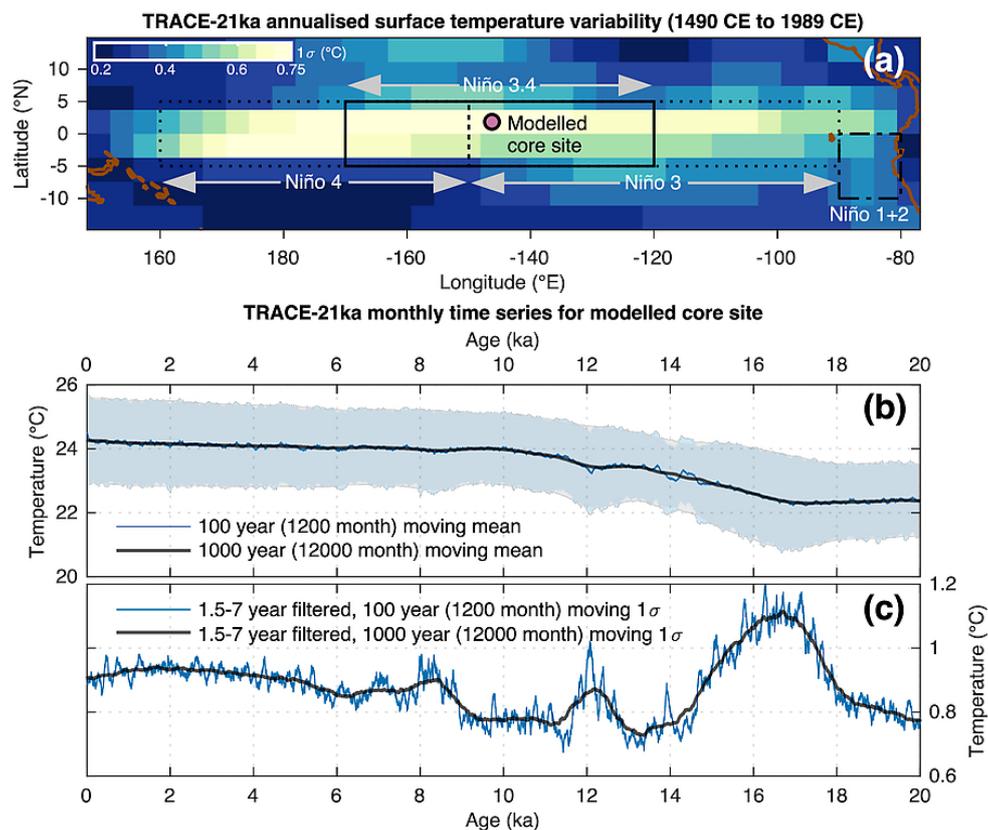


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**Table 2.** Statistical testing of the ability of the downcore sediment  $1\sigma$  record to reflect millennial-scale temporal trends in palaeo-ENSO. Shown in the table, for each scenario, is the number of the 100 ensemble runs whereby the Pearson correlation coefficient between the downcore sediment  $1\sigma$  record and the 1.5-7 yr filtered, 1000 year smoothed TRACE-21ka standard deviation exhibits an  $r^2 \geq 0.6$  and  $p \leq 0.05$ . Correlations are carried out for the 18 ka to 12 ka period, a period of dynamic signal for the 1.5-7 yr filtered, 1000-year smoothed TRACE-21ka standard deviation.

# forams picked	Constant foraminifera abundance						Dynamic foraminifera abundance					
	5 cm ka <sup>-1</sup> BD 10 cm no error	5 cm ka <sup>-1</sup> BD 10 cm ±1°C err.	10 cm ka <sup>-1</sup> BD 10 cm no error	10 cm ka <sup>-1</sup> BD 10 cm ±1°C error	40 cm ka <sup>-1</sup> BD 10 cm no error	40 cm ka <sup>-1</sup> BD 10 cm ±1°C error	5 cm ka <sup>-1</sup> BD 10 cm no error	5 cm ka <sup>-1</sup> BD 10 cm ±1°C error	10 cm ka <sup>-1</sup> BD 10 cm no error	10 cm ka <sup>-1</sup> BD 10 cm ±1°C error	40 cm ka <sup>-1</sup> BD 10 cm no error	40 cm ka <sup>-1</sup> BD 10 cm ±1°C error
50	0	0	0	0	0	0	0	0	4	0	28	0
100	0	0	6	0	100	5	1	1	49	3	100	55
500	6	2	100	93	100	100	60	21	100	100	100	100
10 <sup>4</sup>	100	99	100	100	100	100	100	100	100	100	100	100

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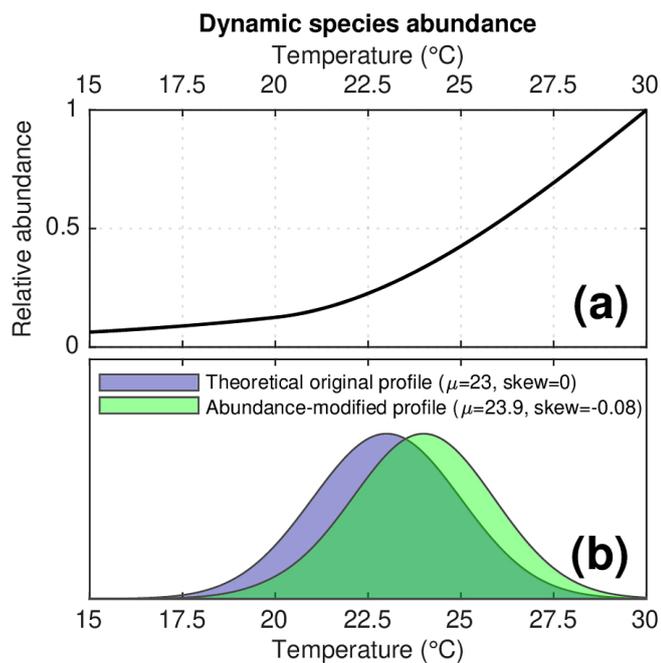


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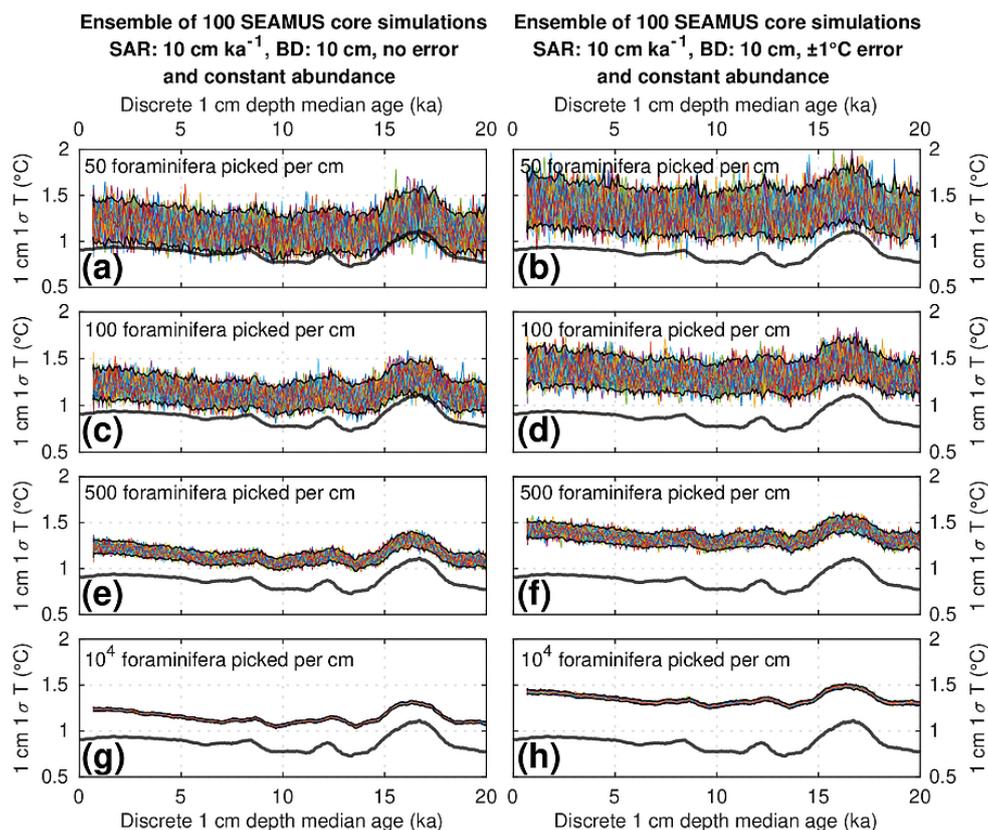
**Figure 1.** Overview of the modelled core site location and associated TRACE-21ka data. Panel a: The location of the modelled sediment core site superimposed upon the standard deviation of annualised SST from the TRACE-21ka for the 500 year period between 1490 CE and 1989 CE. Also shown for reference are the Niño regions 1+2, 3, 3.4 and 4. Panel b: 100 year (1200 month) and 1000 year (12000 month) moving mean the monthly TRACE-21ka SST data for the modelled sediment core site. Also shown in light blue and light grey are the moving  $\pm 1\sigma$  envelopes respectively associated with the moving 100 year (1200 month) and 1000 year (12000 month) windows. Panel c: 100 year (1200 month) and 1000 year (12000 month) moving  $1\sigma$  of the 1.5-7 year filtered monthly SST data.



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**Figure 2.** Panel a: The dynamic species abundance function applied to some of the simulations in this study. Panel b: An theoretical example of how the dynamic species abundance would bias recording of SST by individual foraminifera. In blue, a normally distributed theoretical SST profile. In green, the signal that would be recorded by a species affected by the dynamic species abundance function.

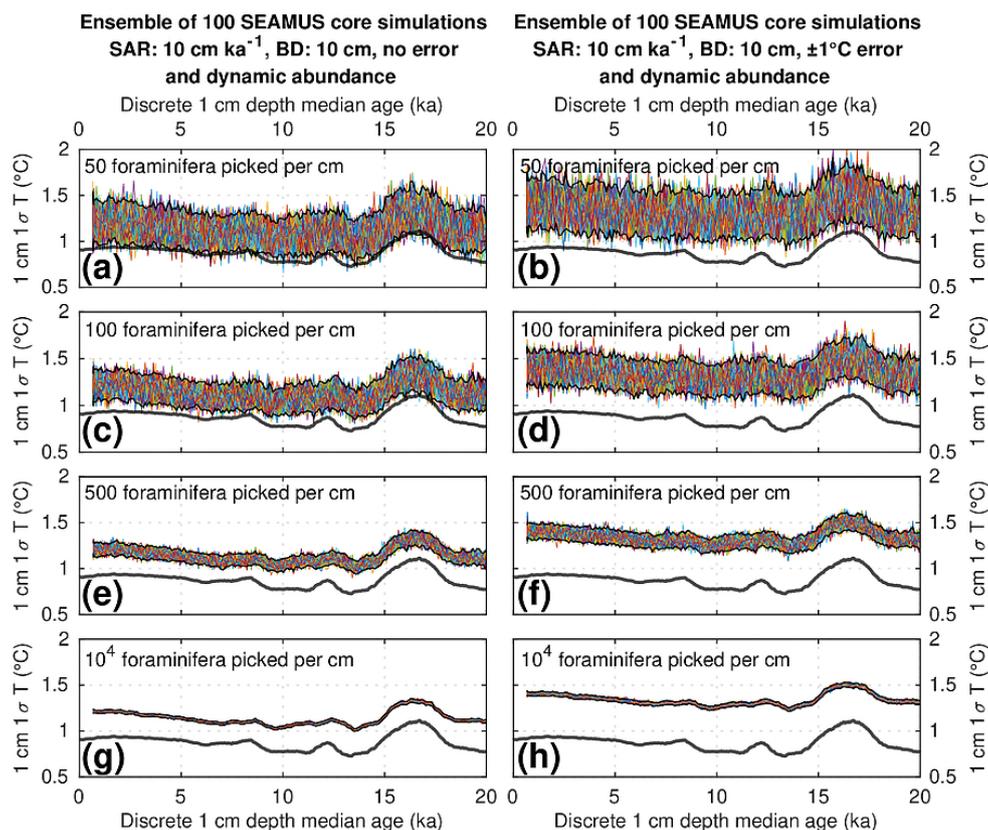


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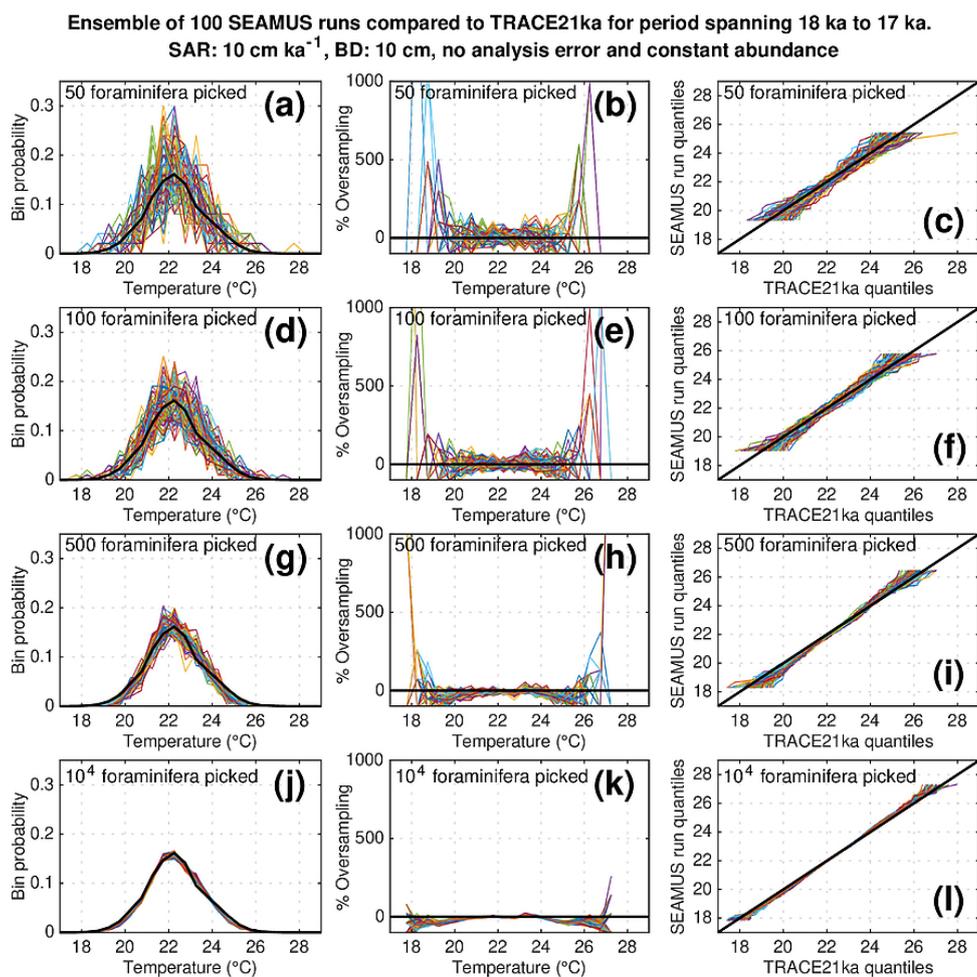
**Figure 3.** Simulated downcore, discrete 1 cm depth  $1\sigma$  SST values of simulated single foraminifera from various  $10 \text{ cm ka}^{-1}$  SAR scenarios with 10 cm BD, each with 100 ensembles of SEAMUS runs. In each panel, each ensemble is shown using a coloured line. The solid black lines represent the 95% interval of the ensemble runs at each discrete 1 cm depth. Also shown for reference as a thick grey line is the 1000 year (12000 month) moving  $1\sigma$  of the 1.5-7 year filtered monthly SST data (as also shown in Fig. 1c.) The left panels (a, c, e and g) show the output of scenarios with 50, 100, 500 and  $10^4$  randomly picked foraminifera per discrete 1 cm depth, all with constant species abundance and no assumed analytical error. The right panels (b, d, f and h) show the output of scenarios with 50, 100, 500 and  $10^4$  randomly picked foraminifera per discrete 1 cm depth, all with constant species abundance and an assumed analytical error of  $\pm 1^\circ\text{C}$  in SST.



**Figure 4.** Simulated downcore, discrete 1 cm depth  $1\sigma$  SST values of simulated single foraminifera from various  $10 \text{ cm ka}^{-1}$  SAR scenarios with 10 cm BD, each with 100 ensembles of SEAMUS runs. In each panel, each ensemble is shown using a coloured line. The solid black lines represent the 95% interval of the ensemble runs at each discrete 1 cm depth. Also shown for reference as a thick grey line is the 1000 year (12000 month) moving  $1\sigma$  of the 1.5-7 year filtered monthly SST data (as also shown in Fig. 1c). The left panels (a, c, e and g) show the output of scenarios with 50, 100, 500 and  $10^4$  randomly picked foraminifera per discrete 1 cm depth, all with dynamic species abundance (following Fig. 2a) and no assumed analytical error. The right panels (b, d, f and h) show the output of scenarios with 50, 100, 500 and  $10^4$  randomly picked foraminifera per discrete 1 cm depth, all with dynamic species abundance (following Fig. 2a) and an assumed analytical error of  $\pm 1^\circ\text{C}$  in SST.

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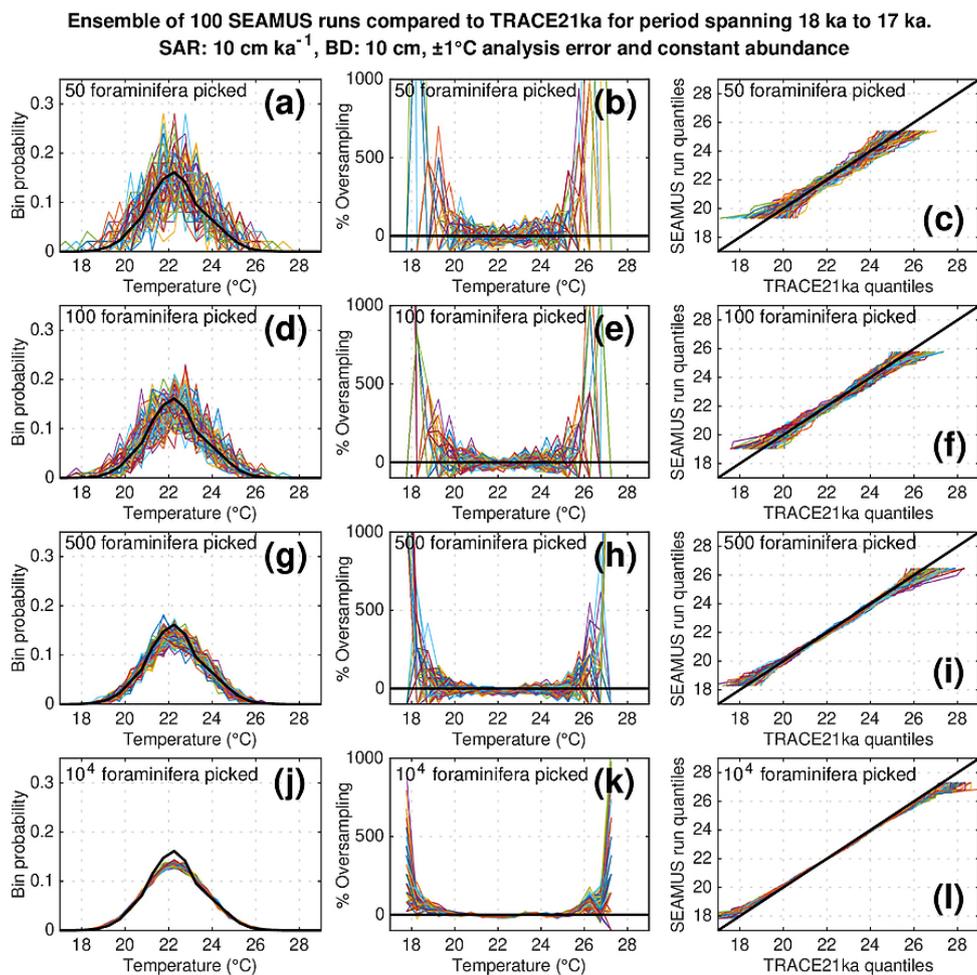
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**Figure 5.** Simulated single foraminifera SST distributions from 100 ensembles of SEAMUS runs, with SAR of 10 cm ka<sup>-1</sup>, BD of 10 cm, no analytical error and constant abundance. In each ensemble, the single foraminifera SST distribution from a single discrete depth with a simulated median age of 17.5 ka is shown, and compared to the TRACE-21ka SST distribution for the 18 ka to 17 ka period. The left panels (a, d, g and j) show the 100 SEAMUS ensembles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with the TRACE-21ka SST distribution is shown as a black line. The middle panels (b, e, h and k) show the rate of over/undersampling for each of the 100 SEAMUS ensembles (coloured lines) relative to the TRACE-21ka SST distribution (black line) in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera. The right panels (c, f, i and l) show Q-Q plots of the 100 SEAMUS ensemble quantiles vs the TRACE-21ka quantiles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with a perfect 1:1 correspondence to TRACE-21ka shown for reference as a black line.

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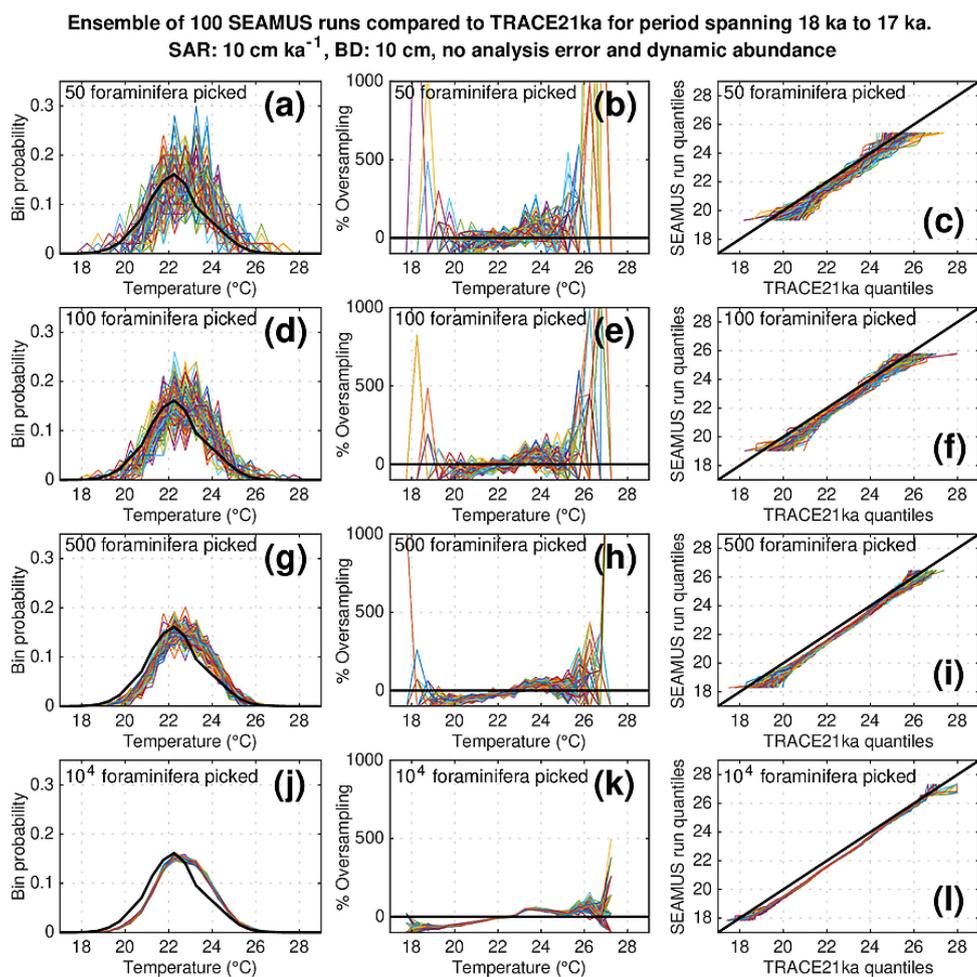


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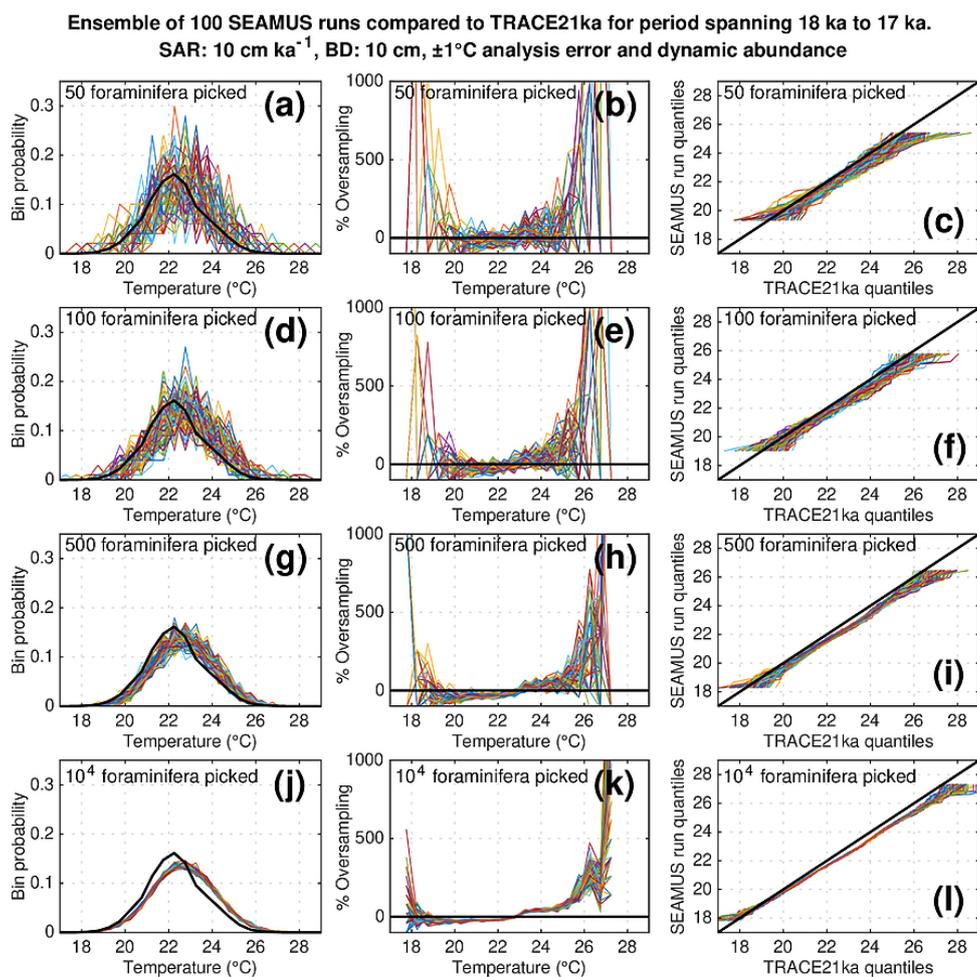
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**Figure 6.** Simulated single foraminifera SST distributions from 100 ensembles of SEAMUS runs, with SAR of 10 cm ka<sup>-1</sup>, BD of 10 cm, ±1 °C analytical error and constant abundance. In each ensemble, the single foraminifera SST distribution from a single discrete depth with a simulated median age of 17.5 ka is shown, and compared to the TRACE-21ka SST distribution for the 18 ka to 17 ka period. The left panels (a, d, g and j) show the 100 SEAMUS ensembles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with the TRACE-21ka SST distribution is shown as a black line. The middle panels (b, e, h and k) show the rate of over/undersampling for each of the 100 SEAMUS ensembles (coloured lines) relative to the TRACE-21ka SST distribution (black line) in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera. The right panels (c, f, i and l) show Q-Q plots of the 100 SEAMUS ensemble quantiles vs the TRACE-21ka quantiles as coloured lines, with a perfect 1:1 correspondence to TRACE-21ka shown for reference as a black line.



**Figure 7.** Simulated single foraminifera SST distributions from 100 ensembles of SEAMUS runs, with SAR of 10 cm ka<sup>-1</sup>, BD of 10 cm, no analytical error and dynamic abundance (following Fig. 2a). In each ensemble, the single foraminifera SST distribution from a single discrete depth with a simulated median age of 17.5 ka is shown, and compared to the TRACE-21ka SST distribution for the 18 ka to 17 ka period. The left panels (a, d, g and j) show the 100 SEAMUS ensembles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with the TRACE-21ka SST distribution is shown as a black line. The middle panels (b, e, h and k) show the rate of over/undersampling for each of the 100 SEAMUS ensembles (coloured lines) relative to the TRACE-21ka SST distribution (black line) in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera. The right panels (c, f, i and l) show Q-Q plots of the 100 SEAMUS ensemble quantiles vs the TRACE-21ka quantiles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with a perfect 1:1 correspondence to TRACE-21ka shown for reference as a black line.



**Figure 8.** Simulated single foraminifera SST distributions from 100 ensembles of SEAMUS runs, with SAR of 10 cm ka<sup>-1</sup>, BD of 10 cm, ±1 °C analytical error and dynamic abundance (following Fig. 2a). In each ensemble, the single foraminifera SST distribution from a single discrete depth with a simulated median age of 17.5 ka is shown, and compared to the TRACE-21ka SST distribution for the 18 ka to 17 ka period. The left panels (a, d, g and j) show the 100 SEAMUS ensembles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with the TRACE-21ka SST distribution is shown as a black line. The middle panels (b, e, h and k) show the rate of over/undersampling for each of the 100 SEAMUS ensembles (coloured lines) relative to the TRACE-21ka SST distribution (black line) in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera. The right panels (c, f, i and l) show Q-Q plots of the 100 SEAMUS ensemble quantiles vs the TRACE-21ka quantiles as coloured lines in the case of 50, 100, 500 and 10<sup>4</sup> randomly picked foraminifera, with a perfect 1:1 correspondence to TRACE-21ka shown for reference as a black line.

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