# 1 SPATIALLY VARYING RELEVANCE OF

# 2 HYDROMETEOROLOGICAL HAZARDS FOR

# 3 VEGETATION PRODUCTIVITY EXTREMES

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### 18 ABSTRACT

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19 Vegetation plays a vital role in the Earth system by sequestering carbon, producing food and oxygen, 20 and providing evaporative cooling. Vegetation productivity extremes have multi-faceted implications, 21 for example on crop yields or the atmospheric CO2 concentration. Here, we focus on productivity 22 extremes as possible impacts of coinciding, potentially extreme hydrometeorological anomalies. Using 23 monthly global satellite-based Sun-induced chlorophyll fluorescence data as a proxy for vegetation 24 productivity from 2007 - 2015, we show that vegetation productivity extremes are related to 25 hydrometeorological hazards as characterized through ERA5-Land reanalysis data in approximately 50% 26 of our global study area. For the latter, we are considering sufficiently vegetated and cloud-free regions; 27 and we refer to hydrometeorological hazards as water or energy related extremes inducing productivity 28 extremes. The relevance of the different hazard types varies in space; temperature-related hazards 29 dominate at higher latitudes with cold spells contributing to productivity minima and heat waves 30 supporting productivity maxima, while water-related hazards are relevant in the (sub)tropics with 31 droughts being associated with productivity minima and wet spells with the maxima. Next to single 32 hazards also compound events such as joint droughts and heat waves or joint wet and cold spells play 33 a role, particularly in dry and hot regions. Further, we detect regions where energy control transitions 34 to water control between maxima and minima of vegetation productivity. Therefore, these areas 35 represent hot spots of land-atmosphere coupling where vegetation efficiently translates soil moisture 36 dynamics into surface fluxes such that the land affects near-surface weather. Overall, our results 37 contribute to pinpoint how potential future changes in temperature and precipitation could propagate 38 to shifting vegetation productivity extremes and related ecosystem services.

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## 40 1 INTRODUCTION

41 Vegetation is a crucial component of the Earth system because it provides ecosystem services like food 42 and oxygen production,  $CO_2$  sequestration and evaporative cooling. Therefore, the effects of changes

43 in vegetation productivity are diverse; it influences crop yields (Orth et al., 2020), cloud formation (Hong

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51 et al., 1995; Freedman et al., 2001), precipitation (Pielke Sr et al., 2007), atmospheric pollution (Otu-52 Larbi et al., 2019) and heat wave intensity (Li et al., 2021b).

53 Photosynthesis requires sufficient water (soil moisture) and energy (incoming shortwave radiation)

54 supply. In regions that are water (energy) limited, plants usually benefit from water (energy) surpluses

55 and suffer from respective deficits. Many studies confirm that, depending on the evaporative regime, 56 vegetation productivity follows the temporal evolution of influential variables such as soil moisture or

57 temperature which summarize the water or energy dynamics (Beer et al., 2010; Seddon et al., 2016; 58 Madani et al., 2017; Denissen et al., 2020; Piao et al., 2020; Li et al., 2021a).

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Correspondingly, hydrometeorological hazards, such as temperature and precipitation extremes have 60 implications on vegetation productivity. Many studies investigated the influence of such hazards on

61 vegetation productivity, highlighting their impact on the biosphere (Ciais et al., 2005; Zhao et al., 2010;

62 Zscheischler et al., 2013; Zscheischler et al., 2014a; Zscheischler et al., 2014b; Flach et al., 2018; Wang

63 et al., 2019; Zhang et al., 2019; Qui et al., 2020). However, usually these studies focus on particular types 64 of hydrometeorological hazards such as droughts or heat waves, or they use vegetation productivity 65 data from models or other proxies rather than the recent satellite-derived Sun-induced chlorophyll

66 fluorescence (SIF) data (Frankenberg et al., 2011; Joiner et al., 2013).

67 In this study, we re-visit the relationship between vegetation productivity and hydrometeorological 68 hazards by analyzing the implications of both single and compound hazards on vegetation productivity 69 extremes, as has been highlighted before (Sun et al., 2015, Zhou et al., 2019). However, to our

70 knowledge for the first time, we do so comprehensively by approximating variable importance during 71 vegetation productivity extremes inferred from SIF data on a global scale, This analysis is done from an

72 impact perspective; we first detect impacts (productivity extremes) before relating them to coinciding, 73 potentially extreme hydrometeorological anomalies (Smith, 2011). Finally, we investigate where the full

74 vegetation productivity range between minima and maxima involves transitions from energy to water 75 controls. In regions where this occurs, the feedback of the land surface on the climate can be stronger,

76 as the water-controlled vegetation translates soil moisture dynamics through its energy and water

77 fluxes to affect the boundary layer and consequently also near-surface weather. Hence, our vegetation-78 based analysis can indicate hot spots of land-atmosphere coupling (Koster et al., 2004; Guo and

79 Dirmever, 2013).

80 In section 3.1 we investigate the co-occurrence of vegetation productivity extremes and 81 hydrometeorological hazards. Further, we show the timing of such vegetation productivity extremes in 82 section 3.2. Additionally, we determine the main drivers of vegetation productivity extremes and assess 83 the influence of underlying evaporative regimes in section 3.3. We summarize our results across climate

84 regimes in section 3.4 and investigate regions with vegetation productivity controls switching between 85 water and energy variables in section 3.5.

#### 2 DATA AND METHODS 86

87 In order to characterize vegetation behavior, we use SIF and Enhanced Vegetation Index (EVI) data in 88 this study. SIF is used as a proxy for vegetation productivity. We employ satellite-observed SIF data 89 retrieved from the Global Ozone Measurement Experiment (GOME-2; Koehler et al., 2015). In the 90 derivation of this SIF product, multiple corrections for varying solar zenith angles, differences in 91 overpass times and cloud fraction have been applied to yield reliable SIF estimates. In addition to 92 vegetation productivity, we also study changes related to vegetation greenness by using satellite-

93 observed EVL data from Moderate-resolution Imaging Spectroradiometer (MODIS; Didan, 2015).

94 As for the hydrometeorological variables, representing energy and water availability, we consider 2m

95 temperature, shortwave incoming radiation, vapor pressure deficit, soil moisture from 4 layers (1: 0-7 96 cm, 2: 7-28 cm, 3: 28-100 cm, 4: 100-289 cm) and total precipitation, all from the ERA5-Land reanalysis

97 data (Muñoz-Sabater, 2019). In addition to this, and to validate the robustness of our results, we use an

98 alternative soil moisture product, SoMo.ml, which provides data for three layers (1: 0-10 cm, 2: 10-

99 30cm, 3: 30-50cm), and which is derived through a machine learning approach that is trained with in-

hat gelöscht: In this study, we re-visit the relationship between vegetation productivity and hydrometeorological hazards by, to our knowledge, for the first time comprehensively analyzing the implications of both single and compound hazards on observation-based vegetation productivity extremes as inferred from SIF data across the globe..

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situ soil moisture measurements from across the globe (O and Orth, 2021). All datasets used in this study are summarized in Table 1,

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Table 1. Data sets used in this stu	dy.				hat gelöscht: Table 1
Variables	Dataset	Version	Application	Reference	
Sun-induced chlorophyll	GOME-2	GFZ	Vegetation productivity proxy	Köhler et	
fluorescence				al., 2015	
Enhanced Vegetation	MOD13C2	V006	Vegetation greenness proxy	Didan, 2015	hat gelöscht: Normalized difference
ndex					hat gelöscht: vegetation
Soil moisture layer 1-4,	ERA5 land		Hydrometeorological	Muñoz-	hat gelöscht: 1
precipitation, shortwave			variables indicating energy	Sabater,	hat gelöscht:
incoming radiation,			and water availability	2019	
temperature, vapor					
pressure deficit					
Precipitation, net solar	ERA5		Computation of aridity to	Hersbach et	
radiation, net thermal			evaluate resulting patterns	al., 2020	
radiation					
Soil moisture layer 1-3	SoMo.ml	1	Alternative soil moisture data	O and Orth,	
			set	2021	
Fraction of vegetation	VCF5KYR	1	Evaluation of resulting	Hansen and 🔺	Formatierte Tabelle
cover			patterns with respect to	Song, 2018	
			vegetation characteristics		
Evapotranspiration	GLEAM	<u>3.3b</u>	Vegetation productivity proxy	Martens et	
				<u>al., 2017</u>	

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117 The workflow applied to these datasets is illustrated in Fig. 1. At first, all data is pre-processed for 118 comparability by (i) aggregating it to monthly, half-degree spatial and temporal resolution and by (ii) 119 focusing on the time period 2007-2015. Next, we compute anomalies by removing linear trends and the 120 mean seasonal cycle from the data for both the vegetation and hydrometeorological variables. In each 121 grid cell, we disregard months with an absolute SIF value below 0.5 mW/m<sup>2</sup>/sr/nm to focus on times 122 with sufficiently active vegetation (as in Li et al., 2021a). Additionally, grid cells with a fractional 123 vegetation cover < 5% are excluded from the analysis. Finally, we assure the necessary data availability 124 by considering only grid cells with > 15 monthly anomalies across the study period remaining after the 125 filtering. Out of the identified suitable months in each grid cell, we determine the five strongest negative 126 and five strongest positive monthly SIF anomalies. The sum of all grid cells for which five SIF maxima 127 and minima can be detected is referred to as total study area.

128 After this filtering, we follow two approaches in our analysis. In the first approach, we check for 129 hydrometeorological hazards coinciding with the determined extreme vegetation productivity events. 130 Thereby, we consider air temperature and soil moisture layer 2 as these variables were previously found 131 to be globally most relevant for vegetation productivity (Li et al., 2021a). At first, we average the monthly 132 temperature and soil moisture anomalies across the five months of maximum and minimum SIF 133 anomalies. Then, a series of steps is taken to test if the coinciding hydrometeorological anomalies during 134 SIF extremes are actually hazardous: (i) We randomly sample five months with sufficiently active 135 vegetation and average the soil moisture and temperature anomalies, respectively, across them. (ii) We 136 repeat this 100 times to obtain a distribution from which we determine the 10<sup>th</sup> and 90<sup>th</sup> percentile. (iii) 137 A hydrometeorological hazard is detected if the actual, averaged temperature and/or soil moisture

anomalies associated with the SIF extremes are below 10<sup>th</sup> (cold spell or drought) or above the 90<sup>th</sup> 138

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145 percentile (heat wave or wet spell) of the distribution of randomly sampled averaged anomalies. Note 146 that with this approach we can detect both single and compound hydrometeorological hazards.

147 Complementing this analysis, in the second approach we analyze the temporal co-variation between SIF 148 extremes and hydrometeorological anomalies. For this purpose, we correlate the five SIF extreme 149 anomalies with anomalies of all considered hydrometeorological variables in each grid cell. We include 150 respective SIF and hydrometeorological data from the surrounding grid cells to yield a larger data 151 sample consisting of 5 x (8+1) = 45 data pairs. We disregard negative and insignificant (p-value > 0.05) 152 correlations, as we assume these are not indicating actual physical controls but rather represent the 153 influence of noise or confounding effects such as low precipitation during times of high radiation. This 154 also serves to deal with uncertainty in the SIF data set. When systematic patterns emerge from either 155 of the approaches with adequate significance, they are unlikely confounded by underlying SIF patterns: 156 as we focus solely on either SIF maxima or minima, statistically significant relations only emerge when 157 concurrent hydrometeorological anomalies of an appropriate magnitude exist. Finally, the 158 hydrometeorological variable that yields the highest correlation coefficient with the extreme SIF 159 anomalies is regarded as the main SIF-controlling variable during vegetation productivity maxima or 160 minima. 161





#### 165 3 **RESULTS AND DISCUSSION**

166 3.1 HYDROMETEOROLOGICAL HAZARDS AND VEGETATION PRODUCTIVITY EXTREMES

167 Figure 2 shows which hydrometeorological hazards are associated with SIF extremes as inferred with 168 approach 1 described in Section 2 and in Fig. 1. In approximately 50% of the global study area, we find 169 that vegetation productivity extremes are associated with hydrometeorological hazards. This is in line 170 with previous research (Zscheischler et al., 2014b). For both maximum and minimum vegetation 171 productivity, we find spatially coherent patterns of associated hydrometeorological hazards. In the 172 Northern Hemisphere SIF maxima (minima) at high latitudes relate to heat waves (cold spells), where in 173 mid latitudes they occur jointly with wet spells (droughts). This suggests that hydrometeorological 174 hazards associated with SIF extremes vary systematically according to energy- and water control of the 175 local vegetation. Thereby, the boundary between both regimes and the respectively determined

176 relevant hydrometeorological hazards is surprisingly sharp, for example in North America, and in eastern177 Europe and Russia (Flach et al., 2018).

178 Further, single hydrometeorological hazards (either an extreme temperature or soil moisture anomaly)

179 are relevant in more areas than compound hazards (combination of extreme temperature and extreme

180 soil moisture anomaly). Compound hazards seem to be particularly important in the sub-tropics on both 181 hemispheres. Differences also exist between maximum and minimum vegetation productivity extremes,

182 the latter being slightly more associated with compound hazards.

183 Overall, the most frequent hazards during vegetation productivity minima are droughts and cold spells.

184  $\,$   $\,$  Previous studies have reported the relevance of drought in this context (Zscheischler et al., 2013;  $\,$ 

185 Zscheischler et al., 2014a; Zscheischler et al., 2014b) even though for different vegetation productivity
 186 proxies. On the contrary, the importance of cold spells is not analyzed, probably because vegetation

187 productivity in boreal regions is comparably smaller than in e. g. tropical regions (Li and Xiao, 2019). 188

189 The results in Fig. 2 are based on averages of the five months with strongest SIF anomalies in each grid 190 cell. Figure S1 shows co-occurring hydrometeorological hazards separately for each of the five SIF 191 maxima and minima. The patterns are similar as in Fig. 2, we consistently find temperature-related 192 hazards to be relevant in energy-controlled regions and water-related hazards in water-controlled 193 regions across all five individual SIF extremes. Weaker SIF extremes tend to be less associated with 194 hydrometeorological hazards. This could be because the signal-to-noise ratio is decreased for weaker 195 extremes, or other factors such as disturbances (fire or insect outbreaks) play a more prominent role 196 for these productivity extremes. As mentioned, soil moisture layer 2 is used here to detect droughts and

197 wet spells, but similar results are obtained with soil moisture layers 1 and 3, respectively (not shown).198



## 203 3.2 TIMING OF STRONGEST SIF EXTREME

204 To further understand the spatially varying relevance of hydrometeorological hazards, we show the 205 months of the year associated with the strongest SIF extreme in each grid cell in Fig. 3. The spatial 206 pattern is quite different from that in Fig. 2, for example the sharp transitions between regions with 207 energy and water-related hydrometeorological hazards are not present in Fig. 3. Hence, this transition 208 is apparently not related to SIF extremes occurring in different seasons and might be rather related to 209 different evaporative regimes which will be further investigated in the next subsection 3.3. The spatial 210 variability in Fig. 3 is lower at high latitudes compared with (sub-)tropical regions. At high latitudes the 211 growing season is short and constrained by energy availability. In the tropics, we find an increased 212 smaller-scale variability, presumably due to the weak seasonal cycle of hydrometeorological variables. 213 Most SIF extremes in North America and Eurasia occur in the early growing season, presumably when 214 vegetation either starts to grow or growing is limited due to energy or water control. While here we 215 show the months-of-year associated with the strongest SIF extreme, in Fig. S2 we show similar patterns 216 in the timing of the 2<sup>nd</sup> to 5<sup>th</sup> strongest SIF extremes, indicating that each of the remaining SIF extremes 217 occurs in similar months-of-year.



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Figure 3. Global distribution of the month-of-year in which the strongest SIF (a) maximum and (b) minimum anomaly occur. Data gaps (grey) are caused by filtering for active vegetation and excluding insignificant and negative correlations.

### 222 3.3 HYDROMETEOROLOGICAL DRIVERS OF VEGETATION PRODUCTIVITY EXTREMES

223 After showing the co-occurrence of hydrometeorological hazards with SIF extremes, we apply a 224 correlation analysis (approach 2 in section 2) to characterize the co-variability between extreme SIF 225 anomalies and concurrent hydrometeorological anomalies. Figure 4 shows the hydrometeorological 226 variable that correlates strongest with SIF during extreme vegetation productivity months, indicating 227 respective controls. At the high latitudes and in the tropics SIF extremes are generally energy controlled, 228 while in the mid latitudes and subtropics they are water controlled. Overall, we find similar spatial 229 patterns as in Fig. 2, demonstrating consistent results across co-occurrence and co-variability of SIF 230 extremes and hydrometeorological hazards. This coherence suggests that hydrometeorological hazards 231 play a key role in inducing SIF extremes.

The bar plot insets in Fig. 3 indicate that SIF maxima are equally controlled by energy and water variables

- while SIF minima are overall more water controlled, Even though weaker, this shift is also present in Fig.
  2. This difference can be explained with transitional regions, which have energy-controlled SIF maxima,
- but water-controlled SIF minima. This is illustrated for example by the northward shift of the transition between energy and water control in Russia when comparing the results for maximum and minimum
- 237 SIF. These transitional regions will be further investigated in section 3.5.
- 238 We repeated this analysis with SoMo.ml soil moisture and found similar spatial patterns of energy- and 239 water-controlled regions (Fig. S3), underlining that our results are robust with respect to the choice of

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it is more related to vegetation greenness and structure, tends to vary at time scales more in line with that of soil moisture (Turner et al., 2020), which can support stronger correlations. <u>Or (ii) it could be due</u> to confounding effects of the changing soil/vegetation color between dry and wet states on the <u>EVI</u>.



258 3.4 HYDROMETEOROLOGICAL CONTROLS ACROSS CLIMATE REGIMES

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**hat gelöscht:** . (iii) NDVI tends to saturate for canopies with high Leaf Area Index and tend to be relatively stable over evergreen boreal forests (Turner et al., 1999; Walther et al., 2015). This can mask significant correlations between NDVI and energy related variables in the boreal regions that are more energy controlled (Fig. 4).



Radiation

Temperature

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276 In addition to analyzing the spatial variation of the main drivers of vegetation productivity extremes, we

attempt to further understand the large-scale patterns along temperature and aridity gradients. To this end, we bin grid cells by their climate characteristics as denoted by long-term mean temperature and aridity (the ratio between unit-adjusted net radiation and precipitation). The results in Fig. 5 illustrate which hydrometeorological variable most often has the highest correlation with SIF anomalies in each climate regime.

282 Figure 5 (a) and (b) show that vegetation productivity extremes in humid regions (aridity < 1; Budyko, 283 1974) are mostly energy controlled, with temperature controlling in cold regions (long-term average 284 temperature < 10 °C) and radiation controlling in warm regions (long-term temperature > 10 °C). In 285 contrast, productivity extremes in arid regions (aridity > 2, Budyko, 1974) are mainly water controlled, 286 with soil moisture layer 2 and 3 as most important water controls. The main difference between 287 maximum and minimum SIF results is detectable in semi-arid regions (1 < aridity < 2). While for 288 maximum SIF those climate regimes show mostly energy control, SIF minima in these regimes are largely 289 water controlled. From this, we deduce that semi-arid regions represent the transitional regime, as the b90 main drivers change from energy to water variables from SIF maximum to SIF minimum.

291 Fig. S5 indicates that hydrometeorological anomalies do not solely elicit immediate, but also lagged b92 vegetation responses. A clear difference between water- and energy-controlled conditions is already 293 visible when correlating hydrometeorological anomalies of the preceding month with the respective SIF 294 extreme. Energy and water surpluses and deficits establish over time, which is most clearly evidenced 295 in arid regions, where precipitation and shallow soil moisture of the preceding month is found to be the 296 most important variable. With time, deeper soil moisture becomes more important (Fig. 5a-b), as in 297 case of SIF maxima, precipitation needs time to infiltrate the soil and in case of SIF minima, the soil dries 298 most rapidly from the top down. 299 The results for EVI show similar patterns despite an increased overall water control as seen earlier in

The results for <u>EVI</u> show similar patterns despite an increased overall water control as seen earlier in the global maps (Fig. <u>54</u>). For example, where in humid regions SIF extremes are mainly energy controlled, <u>EVI</u> extremes are more often water controlled, which is also reflected in the global maps in Fig. S4.

303 Fig. S6 illustrates similar controlling hydro-meteorological variables for SIF and evapotranspiration (ET) 304 extremes. This suggests that carbon and water cycles are sensitive to similar hazards, which in turn 305 enhances their impact on the land climate system via both carbon and water pathways. This further 306 demonstrates the usefulness of SIF observations for reflecting plant transpiration (Jonard et al., 2020). 307 Further, Fig. S6 shows that GLEAM ET extremes relate much more strongly to surface soil moisture than 308 GOME-2 SIF extremes. This could be due to the part of ET that partitions into an unproductive part, bare 309 soil evaporation, which evaporates water from the surface layer directly and a productive part, which is 310 connected to carbon uptake and therefore SIF. Surface soil moisture affects the unproductive part, 311 while overall enhancing the role of surface soil moisture for ET.

Figure 5 (e) and (f) show the results of Fig. 2 binned according to their long-term climate characteristics.
In humid regions, both SIF extremes are co-occurring with temperature hazards. In contrast, in arid
regions water-related hazards co-occur with maximum and minimum SIF. Thereby, Fig. 5 underlines
once more the similarity of the results obtained with approaches 1 (Fig. 2) and 2 (Fig. 4).

316 To additionally explore the influence of different vegetation types and their respective plant 817 physiological differences on the main controls of vegetation productivity, we bin the grid cell results by 318 the respective fraction of tree cover of the entire vegetation cover, and by aridity in Fig. S7. We find 319 that the radiation control of SIF extremes in humid regions is mostly associated with forests, and that 320 the water control in semi-arid regions largely occurs for shorter vegetation, with presumably more 321 shallow root systems, while productivity extremes in more forested semi-arid regions tend to be energy-322 controlled. IN very strong droughts, tall trees with deep rooting systems are particularly prone to suffer 323 hydraulic failure (Brum et al., 2019). However, in our analysis we consider 5 events in a 15-year time 324 period, such that we likely don't exclusively capture very strong droughts that might results in tree 325 mortality. Generally, hardly any changes in the most important variables can be seen with variations in 326 tree cover, suggesting that on a global scale plant physiological differences only have a limited effect on 327 determining the most important control for SIF extremes. As in Fig. 5, similar patterns are found for EVI

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hat gelöscht: To additionally explore the influence of different vegetation types on the main controls of vegetation productivity, we bin the grid cell results by the respective fraction of tree cover of the entire vegetation cover, and by aridity in Fig. S5. We find that the radiation control of SIF extremes in humid regions is mostly associated with forests, and that the water control in semi-arid regions largely occurs for shorter vegetation while productivity extremes in more forested semi-arid regions tend to be energy-controlled.

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- 342 343 extremes with overall increased relevance of water variables particularly in short vegetation-dominated
  - regions.





354 355 356 hydrometeorological hazards co-occurring with the SIF extremes. Box color denotes the main controlling hydrometeorological variable, the second most important variable is indicated in the smaller squares' color, while its size

represents the ratio between highest/second highest amounts of grid cells.

#### 357 3.5 SWITCHING HYDROMETEOROLOGICAL CONTROLS BETWEEN SIF MAXIMA AND MINIMA

358 In a final step, we focus on shifts between energy and water control when moving from SIF maxima to 359 SIF minima. The respective transitional regions represent hot spots of land-atmosphere coupling as (i) 360 in these regions the land surface (soil moisture) is affecting near-surface weather at least during 361 productivity minima (therefore also influencing transpiration) and (ii) this effect can be significant as 362 transpiration (variability) is relatively high compared with drier regions where vegetation productivity 363 would be water-limited across its entire range from minimum to maximum. The results are depicted in 364 Fig. 6, which illustrates these emerging transitions from water to energy control (yellow) and vice-versa 365 (blue, denoting land-atmosphere hot spots). Grid cells that stay within water or energy control, even 366 with a change between the water or energy variables, respectively, are shown in black indicating no 367 transition. Figure 6 (a) reveals many regions with no transition. Transitions are found mostly in North 368 Eurasia and North America. Globally, a change from energy control during maximum SIF to water control 369 during minimum SIF occurs more often ( $\frac{7}{2}$ % of the study area) than the opposite transition ( $\frac{4}{2}$ %).

370 Figure 6b and c display the percentage of grid cells in each climate regime changing from water to 371 energy control and vice-versa with grid cells binned with respect to long-term climate conditions, similar 372 to Fig. 5. The highest fraction of grid cells in each climate regime would show no change, but as we focus 373 on transitioning grid cells, only they are displayed. Transitions from water to energy control between 374 SIF maxima and SIF minima happen most often in cold, humid regions. This is deviating from the 375 prevailing energy control in these climate regimes, and probably related to local-scale features and/or 376 micro-meteorological conditions. Figure 6 (c) indicates that changes from energy control during 377 maximum SIF to water control during minimum SIF most frequently occur in the semi-arid transitional 378 regions. These are land-atmosphere coupling hot spots as described above. The transition from energy 379 to water limitation could be caused by energy-controlled maxima in spring, when presumably soil water 380 resources are available after being replenished during autumn and winter. With sufficient water supply, 381 energy surpluses could induce vegetation productivity maxima. During summer, soil moisture could be 382 depleted for example by the high vegetation demand, and therefore taking over the SIF control of

383 photosynthesis that is reflected into the SIF dynamics. hat gelöscht: 8

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Figure 6. Changing hydrometeorological controls between vegetation productivity maxima and minima. (a) Global
 distribution of changing controls: In Fig. (b) and (c) grid cells are binned by their long-term climate characteristics. (b)
 indicates the percentage of grid cells in each climate regime switching from water to energy control, (c) denotes the
 percentage of grid cells changing from an energy-controlled maxima to a water-controlled minima.

### 391 3.6 LIMITATIONS

392 Our results are obtained at, and valid for, relatively large spatial (half degree) and temporal (monthly) 393 scales. Previous studies have shown differences in the vegetation-climate coupling across scales 394 (Linscheid et al., 2020), suggesting it would be worthwhile to repeat our analysis for different 395 spatiotemporal scales in the future, possibly with new satellite data products. In this context it should 396 be noted, however, that while the relationship between SIF and gross primary productivity (GPP) as 397 actual vegetation productivity is strong for large spatio-temporal scales (Frankenberg et al., 2011; 398 Guanter et al., 2012; Joiner et al., 2013), it can deteriorate towards smaller scales (He et al., 2020; 399 Maguire et al., 2020; Marrs et al., 2020; Wohlfahrt et al., 2018). And the spatiotemporal range within 400 which there is an acceptable SIF-GPP relationship is not entirely clear yet.

401 As a second source of uncertainty, SIF data with their relatively large spatial footprint are more 402 vulnerable to cloud contamination compared to finer-scale satellite products (Joiner et al., 2013). Also, 403 especially across South America the SIF data quality is decreased to additional noise (Joiner et al., 2013; 404 Kill and a source of the second se

404 Köhler et al., 2015). In our study, many grid cells in these regions and other tropical, cloud-dominated



regions exhibit insignificant or negative correlations between SIF and hydrometeorological anomalies, which is why no hydrometeorological controls can be determined there (Fig. 4). Confirming the validity of our results for the tropical grid cells where results can be obtained, we find mostly consistent and physically meaningful results, e. g. radiation being a main driver of vegetation productivity as the cloud cover is limiting radiation (reported similarly for non-extreme conditions by Green et al., 2020 and Li et al., 2021a).

412 Next to the SIF data, there is also noteworthy uncertainty in the soil moisture data from ERA5. While 413 data quality of surface soil moisture benefits from (satellite) data assimilation, the soil moisture 414 dynamics in deeper layers are more model-based which is somewhat contradicting the observational 415 character of our study. Therefore, we use soil moisture data from SoMo.ml as an independent data set, 416 which is not based on physical modelling and the related assumptions and parameterizations as it is 417 derived with machine learning applied to in situ measurements from different depths. Overall, the 418 similar results obtained with ERA5-Land and SoMo.ml soil moisture confirm the robustness of our results 419 despite uncertainties in the soil moisture data. 420 Finally, the use of correlation methods for inferring causal relations is potentially insufficient and under 421 debate (Krich et al., 2020). We want to emphasize that in our study when referring to "drivers" or

422 "controls" of vegetation productivity, we simply base this on correlation and do not imply causality.
 423 Nevertheless, we try to filter out confounding effects by disregarding negative and insignificant
 424 correlations. Additionally, testing our methodology (approach 2) for non-anomalous vegetation

productivity (Fig. S<sup>8</sup>) which allows to compare results with that of Li et al. (2021a), reveals similar results

426 while they use a different methodology based on random forests and Shapley Additive Explanations

427 (SHAP) values which is more robust against confounding effects. Next to this, in our study we apply two 428 different methodologies in approaches 1 and 2 and find similar results, which further underlines the

429 robustness of our conclusions.

## 430 4 CONCLUSION

431 In this observation-based study, we quantify that vegetation productivity extremes are related to 432 hydrometeorological hazards in about 50% of the global land area that is sufficiently vegetated and 433 cloud-free. The most relevant hazards for vegetation productivity extremes vary along climate 434 gradients. For vegetation productivity maxima the most relevant hydrometeorological extremes are 435 heatwaves in Northern latitudes above 50°N and wet spells in latitudes below 50°N. For productivity 436 minima, drought and cold spells are globally most detrimental to large-scale photosynthesis and carbon 437 uptake. The results of our impact-centric analysis are similar to, and complement more traditional 438 climate-centric studies (Ciais et al., 2005; Flach et al., 2018; Qui et al., 2020). Compound extremes also 439 play a role in 15-20% of our study area, they are somewhat more relevant for productivity minima than 440 for the maxima, with joint drought-heat extremes being most important. Semi-arid, grass-dominated 441 ecosystems tend to transition between water and energy control within the range of their productivity variability. This results in a sensitivity to both water- and energy-related hazards. Thereby, we illustrate 442 443 how global land-atmosphere coupling hot spots (Koster et al., 2004), where the land surface affects 444 near-surface weather, can be verified using novel vegetation productivity data. 445 Overall, this study highlights the profound role of (compound) hydrometeorological hazards for global

vegetation productivity extremes. Understanding these complex, climate-dependent relationships with present-day observational data is a starting point to more reliably foresee respective changes in a changing future climate with e. g. <u>fewer</u> cold spells but probably more droughts.

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#### 450 ACKNOWLEDGEMENTS

451 The authors thank Ulrich Weber for help with obtaining and processing the data. W. Li acknowledges

452 funding from a PhD scholarship from the China Scholarship Council. J.M.C. Denissen, J. Kroll, and R. Orth

453 acknowledge funding by the German Research Foundation (Emmy Noether grant number 391059971).

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