

1 **Water uptake patterns of pea and barley responded to drought but not to cropping systems**

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13 **Highlights**

- 14 • Pea and barley shifted to shallower water uptake depths in response to drought.
- 15 • No niche differentiation was found between pea and barley in a mixture under drought.
- 16 • No differences on changes in uptake depths by drought were found among cropping systems.
- 17 • Thus, cropping systems did not compensate drought effects on water uptake patterns.

18 **Abstract**

19 Agricultural production is under threat of water scarcity due to increasingly frequent and severe  
20 drought events under climate change. Whether a change in cropping systems can be used as an  
21 effective adaptation strategy against drought is still unclear. We investigated how plant water  
22 uptake patterns of a field-grown pea-barley (*Pisum sativum* L. and *Hordeum vulgare* L.) mixture, an  
23 important fodder ~~intercroperop~~, responded to experimental drought under four cropping systems,  
24 i.e., organic intensive tillage, conventional intensive tillage, conventional no-tillage, and organic  
25 reduced tillage. Drought was simulated after crop establishment using rain shelters. Proportional  
26 contributions to plant water uptake from different soil layers were estimated based on stable water  
27 isotopes using Bayesian mixing models. Pea plants always took up proportionally more water from  
28 shallower depths than barley plants. Water uptake patterns of neither species were affected by  
29 cropping systems. Both species showed similar responses to the drought simulation and increased  
30 their proportional ~~water uptake contributions~~ from shallow soil layer (0-20 cm) in all cropping  
31 systems. Our results highlight the impact of drought on plant water uptake patterns for two  
32 important crop species and suggest that cropping systems might not be as successful as adaptation  
33 strategies against drought as previously thought.

34 **Keywords:** climate change, conservation tillage, ~~FAST~~, organic farming, stable water isotope,  
35 water uptake depth

36

## 37 1 Introduction

38 Due to climate change, drought events may occur more frequently and become more severe than at  
39 present, and hence water scarcity is worsening in many regions of the world ([Schewe et al., 2014](#);  
40 [IPCC, 2019](#)). Thus, agriculture is facing increasing pressure to ensure food security under  
41 aggravating drought conditions ([FAO, 2018](#); [FAO, 2019](#)). Although crop breeding has large  
42 potential to enhance agricultural productivity, it should certainly not be seen as the only option.  
43 Adaptive crop management to a changing climate is discussed as an additional solution to mitigate  
44 yield loss under drought, either potentially by sustaining plant growth, or by enhancing soil water  
45 availability, or by promoting mycorrhizal symbiosis ([Cochard, 2002](#); [Bot & Benites, 2005](#); [Kundel](#)  
46 [et al., 2020](#); [Wahdan et al., 2021](#)). Therefore, there is a growing interest in organic farming and  
47 conservation tillage (i.e., no tillage or reduced tillage), as these management practices have been  
48 shown to be beneficial to soil health and water holding capacity, ecosystem stability, as well as  
49 environmental sustainability (e.g., [Seitz et al., 2019](#); [Teasdale et al., 2007](#); [Hobbs et al., 2008](#);  
50 [Wittwer et al., 2021](#)). However, an evaluation of different cropping systems as a means to support  
51 arable crops under drought is still urgently needed ([IPCC, 2019](#)).

52 Understanding plant water relations under drought plays an increasingly important role in  
53 promoting sustainable agriculture to secure food production ([Penna et al., 2020](#)). Plant water uptake  
54 and water use, particularly during critical growing stages, greatly determine physiological  
55 processes, survival, and ultimately crop productivity ([Boyer & Rao, 1984](#); [Wang et al., 2015](#)).

56 Although many studies reported plant water uptake patterns in response to drought over a broad  
57 range of species and environments (e.g., [Prechsl et al., 2015](#); [Grossiord et al., 2019](#); [Rasmussen et](#)  
58 [al., 2020](#); [Ding et al., 2021](#)), only very few focused on arable agriculture (e.g., [Zegada-Lizarazu et](#)  
59 [al., 2006](#); [Borrell et al., 2014](#); [Wu et al., 2018](#)) and none compared arable cropping systems.

60 Moreover, these studies found contrasting responses of crop species to changing environments,  
61 illustrating the current gap of knowledge for-on plant water relations in cropping systems.

62 Plant water uptake mainly depends on soil water availability, root properties and distributions, as  
63 well as soil-plant interactions ([von Freyberg et al., 2020](#)). Soil water availability depends on soil  
64 physical characteristics and local climatic conditions. Root systems, including root distribution and  
65 functionality, are affected by soil physical and nutritional conditions as well as plant growth stages  
66 and species genetics. Soil-root interactions include hydrotropism, root damage caused by drying  
67 soil, and soil water redistribution ([Caldwell et al., 1998](#); [Whitmore & Whalley, 2009](#); [Dietrich et  
68 al., 2017](#)). Furthermore, plant water uptake patterns are highly dynamic and difficult to track. Since  
69 the 1960s, stable water isotopes, i.e. oxygen and hydrogen isotopes, have been used in  
70 ecohydrology studies ([Gonfiantini et al., 1965](#); [Zimmermann et al., 1967](#)), e.g. to assess root water  
71 uptake patterns ([Rothfuss & Javaux, 2017](#)), to detect foliar water uptake ([Berry et al., 2019](#)), as well  
72 as to partition evapotranspiration fluxes ([Wang et al., 2010](#)). Stable water isotopes have since  
73 become a helpful tool to identify plant water uptake sources and quantify source contributions  
74 ([Dawson & Ehleringer, 1991](#); [Penna et al., 2018](#)). However, studies in agroecosystems have often  
75 focussed on grassland species (e.g. [Bachmann et al., 2015](#); [Prechsl et al., 2015](#)), much less on crop  
76 species as reviewed by [Penna et al. \(2020\)](#).

77 Hence, our experimental field study investigated how different cropping systems, namely organic  
78 vs. conventional farming with intensive vs. conservation tillage, affect plant water uptake patterns  
79 under drought using stable water isotopes. We focused on a pea-barley (*Pisum sativum* L. and  
80 *Hordeum vulgare* L.) mixture, an increasingly popular intercrop for fodder production ([Gilliland &  
81 Johnston, 1992](#)). We aimed at understanding (1) if pea and barley ~~grown in mixture~~ differ in their  
82 water uptake patterns ~~when grown in mixture~~, (2) how drought affects plant water uptake depths,  
83 and (3) if cropping systems affect water uptake depths differently.

## 84 2 Materials and Methods

### 85 2.1 Research site and experimental setup

86 The research site is in Rümlang near Zurich (47.26° N, 8.31° E, [489 m a.s.l.](#)), and belongs to the  
87 Swiss federal agricultural research station Agroscope. Long-term average annual precipitation at the

88 site is 994 mm, and mean annual air temperature is 9.7 °C (1988 to 2017; [MeteoSwiss, 2020](#)). The  
89 soil at the research site is a calcareous Cambisol with 23% clay, 34% silt, and 43% sand, and total  
90 soil carbon content of 1.6 to 1.8% ([Loaiza Puerta et al., 2018](#)). The plant available soil depth is 50-  
91 70 cm, and no groundwater is accessible for plants ([Kanton Zürich, 2021](#)). Our study used a sub-set  
92 of plots in the Farming Systems and Tillage Experiment which began in 2009 with a six-year crop  
93 rotation that is typical for Swiss cropping systems (for details see [Wittwer et al., 2017](#)). It combines  
94 conventional (C) and organic (O) farming with intensive or soil conservation tillage practices. The  
95 conventional systems are managed according to the “Proof of Ecological Performance” (PEP)  
96 guidelines of the Swiss Federal Office for Agriculture ([Swiss Federal Council, 2021](#)), which allows  
97 synthetic fertiliser and pesticide applications. The organic systems were managed following the  
98 BioSuisse guidelines, prohibiting the use of mineral fertilisers and synthetic plant protection  
99 products. Intensive tillage (IT) with a mouldboard plough to 20 cm depth followed by seedbed  
100 preparation with a rotary harrow to 5 cm depth was applied in both conventional (C-IT) and organic  
101 systems (O-IT). For conservation tillage, direct sowing and no soil management were implemented  
102 in the no tillage conventional plots (C-NT) but glyphosate was sprayed before sowing of the main  
103 crops for weed control. A disc or rotary harrow, which superficially disturbed the soil for weed  
104 control, was used for reduced tillage in organically managed plots (O-RT) to a maximum depth of  
105 10 cm. These four cropping systems were repeated in four blocks following a Latin square design.  
106 Cropping system plots had an area of 6 m × 30 m.

107 In 2018, the same pea (*Pisum sativum* L. cv. ‘Alvesta’) and barley (*Hordeum vulgare* L. cv.  
108 ‘Eunova’) mixture was sown in all plots on 26 March and harvested on 12 July (108 days). The  
109 mixture was composed of 20% and 80% of the recommended sowing densities of pea (90 seeds/m<sup>2</sup>)  
110 and barley (350 grains/m<sup>2</sup>), respectively. The seeds were sown in a mixture with a standard drill-  
111 sowing machine. No fertilisation was applied in any of the treatments because the pea plants were  
112 expected to fix dinitrogen from the atmosphere.

113 In order to simulate a future drought scenario (CH2018), portable rain shelters were installed from  
114 22 May to 28 June 2018 (37 days) during the 108-day growing season. This resulted in a 34% of  
115 reduction in precipitation from the drought subplots during the growing season in 2018 (from  
116 sowing to harvest; Table 1). No irrigation was applied to the control plots during the (unexpected)  
117 naturally dry period in June for logistical and rational reasons, i.e., irrigation is unusual for the  
118 region and this crop, and the dry period happened during the ripening phase of the crop. The  
119 ~~P~~portable, tunnel-shaped rain shelters (metal frames of 3 m × 5 m base area and 2.1 m height at the  
120 highest point) were covered with transparent and ultraviolet light-transmissible plastic foil  
121 (Gewächshausfolie UV5, 200 µm, Folitec Agrarfolien-Vertrieb, Germany) ~~were installed to~~  
122 ~~simulate a drought period from 22 May to 28 June 2018. Shelters~~ and were open at both ends as  
123 well as at both sides and had an opening at the top along the full length. This allowed extensive  
124 ventilation and prevented temperature build-up (for technical details see [Hofer et al., 2016](#)). Rain  
125 running down the foil was collected in PVC half pipes and directed away from the plots (about 2  
126 m). ~~During the drought treatment period, 34% of precipitation during the growing season in 2018~~  
127 ~~(from sowing to harvest) was excluded from the drought subplots (Table 1).~~ These drought subplots  
128 were established in each cropping system ([which were in place since 2009](#)) and located directly  
129 next to control subplots which received natural precipitation inputs, resulting in a split-plot layout.  
130 A total of 16 experimental plots (four cropping systems × four replicates) with 32 subplots (16 plots  
131 × two water availability treatments) were used in this study. [Our experimental design thus](#)  
132 [compared replicated drought and control sub-plots in parallel \(i.e., at the same time\), not after each](#)  
133 [other \(i.e., a temporal replication over multiple years\), since in crop rotations, the identical crop](#)  
134 [cannot be grown on the same field for several years due to soil health issues.](#)

## 135 **2.2 Climatic data and soil water contents**

136 Precipitation and air temperature data (Table 1; Fig. 1) were obtained from a nearby weather  
137 station, Zürich/Kloten (KLO, 47.48° N, 8.54° E, 4.6 km north of the research site, [MeteoSwiss,](#)  
138 [2020](#)). Soil water content (SWC) was continuously measured and recorded at 10 and 40 cm depths

139 with two replicates per cropping system (EC-5, Decagon Devices Inc., Pullman, WA, USA; factory-  
140 calibrated). Data were averaged at 10 min intervals by data loggers (CR1000 and CR216, Campbell  
141 Scientific Ltd., Loughborough, UK), then averaged for daily values.

### 142 **2.3 Plant and soil water samples for stable isotope analysis**

143 Plant and soil samples were collected on 7 May, 25 June, and 11 July 2018, i.e., before the drought  
144 treatment (BT), at the end of the treatment (ET), and after the treatment (AT), respectively. Pea was  
145 not sampled AT due to progressed senescence. Root crowns were collected for stable isotope  
146 analysis of plant xylem water as this part best reflects the mixture of water sources taken up from  
147 the soil in herbaceous plants ([Barnard \*et al.\*, 2006](#); [von Freyberg \*et al.\*, 2020](#)). Four to six  
148 individuals were collected and pooled into one sample per species and subplot. Root crowns were  
149 cleaned quickly to remove remaining soil and then immediately sealed in air-tight glass tubes (12-  
150 ml exetainer, Labco Ltd., Ceredigion, UK). In parallel to the plant sampling, soil samples were  
151 collected close to the sampled plants with a soil auger (1 cm diameter). The soil cores were  
152 separated into six depth layers – 0-5, 5-10, 10-20, 20-30, 30-40, and 40-60 cm – and then  
153 immediately sealed in glass tubes (18 ml, Schott AG, Mitterteich, Germany). All plant and soil  
154 samples for stable water isotope analysis were kept in a cool box in the field and then stored  
155 at -18 °C before extraction with cryogenic vacuum distillation ([Ehleringer & Osmond, 1989](#)).

156 During the extraction, the samples were kept in an 80 °C water bath, extracted under 10<sup>-2</sup> MPa for 2  
157 h, and the extracted water collected in glass tubes immersed in liquid nitrogen.

### 158 **2.4 Stable water isotope analyses**

159 The oxygen and hydrogen stable isotope ratios ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) of extracted water samples were  
160 analysed by coupling a high-temperature elemental analyser (TC/EA, Finnigan MAT, Bremen,  
161 Germany) with an isotope ratio mass spectrometer (IRMS, Delta<sup>plus</sup>XP, Finnigan MAT, Bremen,  
162 Germany) via a ConFlo III interface (Finnigan MAT, see [Werner \*et al.\*, 1999](#)) using the high-  
163 temperature carbon reduction methods described by [Gehre \*et al.\* \(2004\)](#). All  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values

164 are expressed relative to the Vienna Standard Mean Ocean Water (VSMOW-SLAP, [Craig &](#)  
165 [Gordon, 1965](#); [Gat, 2010](#)) in parts-per-thousand (or "per mil", ‰; eq. 1):

$$\delta^{18}\text{O} \text{ or } \delta^2\text{H} = \frac{R_{\text{SAMPLE}}}{R_{\text{STANDARD}}} - 1 \quad (1)$$

166 where  $R$  is the isotope ratio of the rare isotope to the abundant isotope ( $^{18}\text{O}/^{16}\text{O}$  or  $^2\text{H}/^1\text{H}$ ). The long-  
167 term precision of the quality-control standard *IsoLab 1* over the last four years was 0.22‰ for  $\delta^{18}\text{O}$   
168 and 0.59‰ for  $\delta^2\text{H}$ .

169 The isotopic composition of precipitation at the global scale shows a linear relationship between the  
170  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  of meteoric waters (Global Meteoric Water Line, GMWL; [Craig, 1961](#)), described by  
171 the regression line in a "dual-isotope"  $\delta^{18}\text{O}$ - $\delta^2\text{H}$  plot (eq. 2):

$$\text{GMWL: } \delta^2\text{H} = 8.2 \times \delta^{18}\text{O} + 11.7 \quad (2)$$

172 Similarly, the Local Meteoric Water Line (LMWL) describes the isotopic composition in rainfall  
173 for a specific location ([Dansgaard, 1964](#)). We fitted the long-term LMWL (1994 to 2017) with  
174 monthly mean data from the closest GNIP station (Global Network of Isotopes in Precipitation,  
175 Buchs Suhr, 47.37° N, 8.08° E, 34 km from the research site; [IAEA, 2020](#); eq. 3), while the LMWL  
176 of 2018 was fitted with data of precipitation samples collected at the research site (after [Prechsl et](#)  
177 [al., 2014](#); eq. 4) during the growing season and data of 2018 from GNIP Buchs (Fig. S1):

$$\text{long-term LMWL: } \delta^2\text{H} = 7.9 \times \delta^{18}\text{O} + 6.4 \quad (3)$$

$$\text{2018 LMWL: } \delta^2\text{H} = 8.3 \times \delta^{18}\text{O} + 12.7 \quad (4)$$

## 178 **2.5 Bayesian mixing model for plant water uptake**

179 Proportional contributions of soil water to plant water uptake (PC) from different depths were  
180 estimated using mixing models from the R package 'simmr' ([Parnell, 2020](#)) within a Bayesian  
181 framework based on code by [Parnell et al. \(2013\)](#). The  $\delta^{18}\text{O}$  or  $\delta^2\text{H}$  signatures of soil water from the  
182 six soil layers were used as sources, and plant xylem water was considered the mixture for  
183 modelling in each subplot at different sampling times, i.e., BT, ET, and AT. Missing replicates of

184 soil samples due to sampling difficulties ( $n = 5$  in total) were filled with mean values of the other  
185 replicates from the same cropping system and treatment to have balanced model inputs. The model  
186 outputs consisted of 10 000 possible combinations of PC from different soil depths from four  
187 Markov chain Monte Carlo Bayesian models with at least 300 000 iterations, 50 000 burns, and 100  
188 times of thinning for each chain. The median of the model outputs on PC (MPC) from each soil  
189 depth was calculated for each subplot and used for statistical analysis on plant water uptake depths.  
190 Compared to the most frequent value of the model outputs, MPCs of all the sources usually sum up  
191 closer to 1. To increase clarity of presentation, PC was grouped into three layers, namely shallow  
192 (0-20 cm), middle (20-40 cm), and deep (40-60 cm) soil layers for further analyses. The PC values  
193 from shallow and middle layers are the sum of PC from soil depths of 0-5, 5-10, and 10-20 cm, and  
194 the sum of PC from soil depths of 20-30 and 30-40 cm, respectively. As  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  yielded  
195 similar results, only the model outputs of  $\delta^{18}\text{O}$  are described in detail in this paper.

## 196 **2.6 Data analyses**

197 For data analyses, the whole growing season was divided into three periods based on the drought  
198 treatment, namely before the drought treatment (BT; 26 March to 21 May), the drought treatment  
199 period itself (22 May to 28 June) which was sampled directly before the removal of shelters on 28  
200 June (termed ET, end of treatment), and after the drought treatment (AT, 29 June to 12 July). All  
201 statistical analyses were carried out using R (v3.6.2; [R Core Team, 2020](#)). The effects of cropping  
202 systems, drought treatment, and species were tested with linear mixed models using the function  
203 *lmer()* from the R package ‘lmerTest’ ([Kuznetsova et al., 2017](#)). ‘Cropping systems (CS)’, ‘drought  
204 treatment (D)’, and ‘blocks’ were three fixed factors ([Dixon, 2016](#)), interactive effects between  
205 ‘CS’ and ‘D’ with ‘plots’ (accounting for the split-plot design) were considered as random factors.  
206 For variables measured on both pea and barley (i.e., stable isotopes of xylem water and MPC for BT  
207 and ET), ‘plant species’, ‘CS’, ‘D’, and ‘blocks’ were tested as fixed factors considering interactive  
208 effects among ‘plant species’, ‘CS’, and ‘D’ with ‘plots’ and ‘subplots’ as random factors.  
209 Diagnostic plots were checked for normality and homoscedasticity of residuals for model

210 assumptions. Differences among cropping systems and between treatments or species were tested  
211 by the Tukey HSD (honestly significant difference) test using the function *glht()*, from the R  
212 package ‘multcomp’ (Hothorn *et al.*, 2008).

### 213 3 Results

#### 214 3.1 Environmental conditions in drought and control subplots

215 Air temperatures in 2018 were very high compared to the long-term mean, in particular in May and  
216 June, with a daily average air temperature of 15.8 and 18.8 °C, respectively, while the long-term  
217 (1988 to 2017) mean air temperatures in these two months were 13.9 and 17.2 °C, respectively  
218 (Table 1; Fig. 1). Annual precipitation was relatively low (Table 1). While the precipitation in May  
219 2018 (102 mm) was comparable to the long-term mean (1988 to 2017: 105 mm), ~~with no~~  
220 precipitation fell between 14 June and 2 July 2018 (naturally dry period), resulting in a below-  
221 average precipitation in June (40 mm; long-term mean of 102 mm, Table 1), followed by ~~an~~  
222 even more pronounced drought period in July (Fig. 1). ~~Thus, a~~ Average daily soil water contents  
223 (SWC) in the control subplots ranged from 16% to 29% at 10 cm depth and slightly higher, from  
224 22% to 29%, at 40 cm depth, prior to the rain event on 3 July 2018. After this rain event, SWC  
225 increased in all cropping systems at both depths (Fig. 2a, b). Variations in SWC among cropping  
226 systems were small, particularly during the naturally dry period ~~drought~~ in June. SWC in drought  
227 subplots of all cropping systems decreased continuously during the 37-day drought treatment (22  
228 May to 28 June 2018), averaging to 13% at 10 cm and to 19% at 40 cm soil depth (Fig. 2 c, d).  
229 SWC at 10 cm did not show any pronounced differences among cropping systems, while SWC at  
230 40 cm tended to be slightly higher in cropping systems with conservation tillage (O-RT and C-NT)  
231 compared to systems with intensive tillage (O-IT and C-IT; Fig. 2b, d).

#### 232 3.2 Stable isotopes in soil water and plant xylem water

233 In the dual-isotope space, stable oxygen and hydrogen isotope ratios of soil and plant xylem waters  
234 were strongly related with each other ( $R^2 = 0.89$  and  $0.85$ , respectively; Fig. S1) and generally fell  
235 below the local meteoric water line (LMWL) of 2018, representing evaporation. Stable isotope

236 signatures of xylem water were lower than the LMWL but higher than those of soil water,  
237 indicating that xylem water isotope signatures were mixtures of the original source precipitation and  
238 the pool of soil water, affected by different degrees of fractionation.

239 The stable water isotope profiles of soil water showed a characteristic pattern at all times, for all  
240 cropping systems and both treatments, with most enriched values in the uppermost soil and  
241 increasingly depleted values with increasing soil depth (Table S1; Fig. 3 for  $\delta^{18}\text{O}$ ; Fig. S2 for  $\delta^2\text{H}$ ).  
242 The drought treatment showed no significant effects before the treatment (BT) for  $\delta^{18}\text{O}$  nor  $\delta^2\text{H}$   
243 (except for  $\delta^2\text{H}$  at 20-30 cm; Table 2). In contrast, at the end of the drought treatment (ET), soil  
244 water  $\delta^{18}\text{O}$  values from 20-60 cm (20-30, 30-40, and 40-60 cm) as well as  $\delta^2\text{H}$  values from all  
245 depths were strongly affected by the drought treatment (all  $P < 0.05$ ; Table 2), with more depleted  
246 signatures in the drought than in control subplots due to the exclusion of more enriched summer  
247 precipitation. Even after the shelters were removed and the treatment had been finished (AT), the  
248 drought treatment still significantly affected both  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  of soil water, albeit only in deeper  
249 soil depths (30-40 and 40-60 cm for  $\delta^{18}\text{O}$  and 40-60 cm for  $\delta^2\text{H}$ ; all  $P < 0.05$ ; Table 2). Overall,  
250 cropping systems did not significantly affect the stable isotopic signatures in soil water at any time  
251 (Table 2).

252 Pea xylem water was always significantly more enriched in  $^{18}\text{O}$  and  $^2\text{H}$  compared to barley (all  $P <$   
253  $0.001$ ; Table S2). The  $\delta^{18}\text{O}$  values in xylem water for pea ranged between  $-8.8\text{‰}$  and  $-5.7\text{‰}$ , and  
254 significantly lower between  $-10.1\text{‰}$  and  $-5.8\text{‰}$  for barley (averages per cropping system, treatment,  
255 and time; Table 3; Table S2). Similarly, the  $\delta^2\text{H}$  values in xylem water for pea ranged  
256 between  $-65.6\text{‰}$  and  $-52.1\text{‰}$ , and significantly lower between  $-74\text{‰}$  and  $-47.1\text{‰}$  for barley (Table  
257 [3](#); [Table S2](#)). Overall, isotopic signatures in xylem water became more enriched in  
258  $^{18}\text{O}$  and  $^2\text{H}$  during the growing season for both pea and barley (Fig. 3, Table S2, Fig. S2). On  
259 average, the xylem  $\delta^{18}\text{O}$  for pea was  $-8.5\text{‰}$  before the treatment (BT) and  $-7.2\text{‰}$  at the end of the  
260 treatment (ET), compared to  $-9.8\text{‰}$  (BT),  $-8.8\text{‰}$  (ET), and  $-6.3\text{‰}$  after the treatment (AT) for  
261 barley. While average  $\delta^2\text{H}$  values for pea were  $-64.1\text{‰}$  (BT) and  $-57.6\text{‰}$  (ET),  $\delta^2\text{H}$  values

262 averaged -72.2‰ (BT), -68.6‰ (ET), and -50.8‰ (AT) for barley (Fig. 3; [Table S1](#); [Fig.](#)  
263 [S2](#)). Since there was a strong relationship between  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  in xylem water (Fig. S1;  $R^2$   
264 = 0.85), our analyses are mainly focused on  $\delta^{18}\text{O}$  in the text (but see [Table 3](#), [Table S2](#)  
265 [S2](#), and [Fig. S2](#) for analyses on  $\delta^2\text{H}$ ).

266 For pea, cropping systems did not significantly affect  $\delta^{18}\text{O}$  nor  $\delta^2\text{H}$  in xylem water at either time  
267 (BT and ET; [Table S2](#)), while the drought treatment significantly affected the isotopic  
268 signatures of  $^{18}\text{O}$  only at the end of treatment (ET:  $P = 0.022$ ; no interactions between cropping  
269 systems and drought treatment:  $P = 0.085$ ; [Table S2](#)).  $^{18}\text{O}$  in pea xylem water were  
270 significantly more enriched in the drought than in the control subplots (on average,  $\delta^{18}\text{O}$  of -6.9‰  
271 and -7.7‰, respectively).

272 In contrast to pea, cropping systems significantly affected  $\delta^{18}\text{O}$  in barley xylem water (ET:  $P =$   
273  $0.035$ ; [Table S2](#)). The drought treatment significantly affected the isotope signatures of  
274 both  $^{18}\text{O}$  and  $^2\text{H}$  at the end of treatment (ET: both  $P < 0.01$ ; no interactions between cropping  
275 systems and drought treatment; [Table S2](#)). However, unlike pea, the xylem water of barley  
276 showed significantly lower  $\delta^{18}\text{O}$  values in drought than in control subplots for all cropping systems  
277 (on average, -9.0‰ and -8.6‰, respectively), although the difference was small ([Table S2](#)  
278 [S2](#)). A similar pattern was also observed for  $\delta^2\text{H}$  at the end of treatment (ET), with significantly  
279 lower values on average in drought than in control subplots (ET: -71.8‰ and -65.4 ‰,  
280 respectively).

### 281 **3.3 Modelled plant water uptake depths**

282 The outputs of the Bayesian mixing model on the proportional contribution to total plant water  
283 uptake (PC) showed highly significantly different behaviours of pea and barley, mirroring some of  
284 the differences seen in the xylem water isotopic signatures of these two species (Fig. 4; Fig. 5).  
285 Since frequency density distributions provide not only one estimate per soil depth, but a full  
286 frequency distribution, the medians were calculated for each soil depth to assist in the analyses  
287 (Table S3 for results from  $\delta^{18}\text{O}$ ; Table S4 for results from  $\delta^2\text{H}$ ). As both stable isotope signatures

288 showed similar results, we here focus on results derived from  $\delta^{18}\text{O}$  only. In addition, we grouped  
289 the uptake depths into shallow (0-20 cm as sum of 0-5, 5-10, and 10-20 cm), middle (20-40 cm as  
290 sum of 20-30 and 30-40 cm), and deep (the original 40-60 cm) soil layers (Table 4; Table 5).

291 Overall, both species took up water from the entire soil profile studied (0 to 60 cm soil depth), albeit  
292 with different proportions depending on species, time (i.e., BT, ET, and AT) and treatment (i.e.,  
293 control vs. drought; Table 4; Table 5).

294 For pea, soil water contributions to total plant water uptake decreased with increasing soil depth in  
295 both control and drought subplots before (BT) and at the end of the treatment (ET) for all cropping  
296 systems (Fig. 4). The median of PC values (MPC) differed significantly among shallow (0-20 cm),  
297 middle (20-40 cm), and deep (40-60 cm) layers, averaging 47%, 33%, and 16%, respectively, for  
298 both treatments and all cropping systems (BT; Table 5; Fig. 4a, c). At ET, pea plants subjected to  
299 drought significantly shifted their water uptake to even higher contributions from the shallow layer  
300 (67%) and less uptake from middle (22%) and deep (8%) soil layers compared to BT (Table 5; Fig.  
301 4d; Table S5). Pea plants in control subplots did not display such a significant shift, but remained  
302 with average MPC from shallow, middle, and deep soil layers of 52%, 31%, and 14%, respectively  
303 (Table 5; Fig. 4b; Table S5). Cropping systems did not significantly affect MPC before (BT) or at  
304 the end of (ET) treatment (also no interactions between cropping systems and drought, Table 5; Fig.  
305 4d).

306 In contrast to pea, barley plants showed very different water uptake patterns before the treatment  
307 (BT), with significantly lower PC from the shallow soil layer compared to the middle and deep  
308 layers. For barley, MPC values averaged 19%, 44%, and 35% for shallow, middle, and deep soil  
309 layers, respectively, for both treatments and all cropping systems (Fig. 5a, d). However, at the end  
310 of the treatment (ET), barley plants significantly increased the contributions from the shallow layer  
311 in drought subplots, similar to pea (Table 5; Fig. 5e; Table S5), resulting in MPC values of 38%,  
312 41%, and 18% from shallow, middle, and deep soil layers, respectively. The MPC further shifted  
313 after the treatment (AT) to values of 62%, 27%, and 10% from shallow, middle, and deep layers,

314 respectively (Fig. 5f). Also in control subplots, barley plants showed the same significant shift from  
315 BT to ET, with MPC values at ET of 35%, 34%, and 29% from shallow, middle, and deep layers,  
316 respectively (Table 5; Fig. 5b; Table S5), and from ET to AT with MPC values AT of 59%, 29%,  
317 and 12% from shallow, middle, and deep layers, respectively (Table 5; Fig. 5c; Table S5). Similar  
318 to pea, barley water uptake patterns were not significantly affected by cropping systems (Table 5).  
319 Overall, MPC values from shallow and deep layers for pea and barley were positively correlated ( $r$   
320 = 0.64 and 0.55, respectively; Fig. S3). This means when barley took up more water from the  
321 shallow layer, so did pea.

322 Organic as well as reduced/no tillage cropping systems are discussed as adaptation strategies under  
323 climate change conditions to ensure arable crop production. Thus, we analysed plant water uptake  
324 depths in drought subplots at the end of treatment (ET) more in detail, although cropping systems  
325 showed no significant effects on water uptake depths for either species and no interactions occurred  
326 between cropping systems and drought treatment (Table 5). Pea plants in both intensive systems (C-  
327 IT and O-IT) showed significantly higher (O-IT: 77%) or similar (C-IT: 65%) contributions to total  
328 water uptake (as MPC) from the shallow layer (0-20 cm) compared to conservation tillage systems  
329 (64% in both C-NT and O-RT; Table 5; Fig. 4d). Conversely, contributions from the middle layer  
330 (20-40 cm) for pea at the end of treatment (ET) were only 15% in O-IT compared to 24% in the  
331 other three cropping systems (O-RT, C-IT, and C-NT). Differences among cropping systems under  
332 drought were even smaller for barley than for pea (Table 5; Fig. 5e). MPC values of barley for  
333 uptake from the shallow layer were 47% (C-IT), 39% (O-RT), 31% (O-IT), and 32% (C-NT).  
334 Conversely, contributions from the middle layer were the largest in C-NT (47%), followed by O-IT  
335 (44%) and O-RT (41%), and lowest in C-IT (34%). The absolute changes in MPC values between  
336 before the treatment (BT) and the end of treatment (ET) were not significantly affected by cropping  
337 systems for either species, but significantly affected by the drought treatment for pea (for the  
338 shallow and middle soil layers; Table S6).

#### 339 4 Discussion

340 Root water uptake patterns are often discussed for their important role in plant water relations, but  
341 only few studies considered arable crop species ([Penna \*et al.\*, 2020](#)). In addition, most studies on  
342 responses of crop root water uptake patterns to drought took place in pots or under controlled  
343 conditions (e.g., [Zegada-Lizarazu & Iijima, 2004](#); [Araki & Iijima, 2005](#)), so that information on  
344 field conditions is particularly scarce, except maize ([Ma & Song, 2016](#)), wheat ([Ma & Song, 2018](#)),  
345 oilseed rape, and barley in monoculture ([Wu \*et al.\*, 2016](#)). Furthermore, studies comparing the role  
346 of different cropping systems for crop water uptake are completely lacking. Here, we showed for  
347 the first time that root water uptake patterns of field-grown pea and barley in mixture responded to  
348 drought but not to different cropping systems. Subjected to a pronounced drought period (37 d  
349 without precipitation), both crop species shifted to relying more on shallow soil layer (0-20 cm) for  
350 water uptake. This drought response was independent of the cropping system, i.e., organic vs.  
351 conventional farming or intensive vs. conservation tillage.

352 Previous research on root water uptake patterns in crop as well as grassland species showed  
353 ambiguous responses to drought. For some species, root water uptake depth was dependent on root  
354 distribution during wet periods, but on soil water availability during dry periods ([Sprenger \*et al.\*,  
355 2016](#)). Therefore, utilising more water from deep than from shallow soil layer is typically the  
356 anticipated drought response, such as barley in monoculture ([Wu \*et al.\*, 2018](#)), maize ([Ma & Song,  
357 2016](#)), wheat, rice, soybean ([Zegada-Lizarazu & Iijima, 2004](#)), or chickpea ([Purushothaman \*et al.\*,  
358 2017](#)). However, other studies reported that crop and grassland species do not take up water from  
359 deeper depths under drought but even absorb more water from shallow soil layer (e.g., barley in  
360 monoculture, maize, pigeon pea, cowpea; [Zegada-Lizarazu & Iijima, 2004](#)), or grassland species  
361 ([Hoekstra \*et al.\*, 2014](#); [Prechsl \*et al.\*, 2015](#); [Wu \*et al.\*, 2016](#)). This is in accordance with our results  
362 in which both pea and barley increased their proportional water uptake from shallow layer (0-20  
363 cm) at the end of treatment (ET) in the drought subplots. Although soil water contents (SWC) were  
364 still higher at 40 cm than at 10 cm at the end of the treatment (ET; Fig. 2c, d), SWC at 40 cm and 10

365 cm depths were both very low. Thus, the whole soil profile showed very low water availability at  
366 the end of the treatment (ET), and fine root distributions most likely dominated plant water uptake  
367 patterns.

368 Rooting profiles for legumes with increased proportions of deeper roots under drought, e.g., below  
369 23-30 cm, have been reported ([Benjamin & Nielsen, 2006](#); [Purushothaman et al., 2017](#)), although  
370 different responses in root growth to drought were found among different varieties ([Kashiwagi et](#)  
371 [al., 2006](#); [Kumar et al., 2012](#); [Purushothaman et al., 2017](#)). The architecture of legume root systems  
372 is strongly affected by rhizobia, which typically find better living conditions in terms of oxygen and  
373 nitrogen concentrations higher up in the soil profile than at greater depths ([Concha & Doerner,](#)  
374 [2020](#)), also in dry soils. Moreover, barley grown under drought conditions has been reported to  
375 develop proportionally more shallow roots (0-20 cm depth) relative to deeper soil depths ([Carvalho](#)  
376 [et al., 2014](#)). Also, studies on grassland plants (both legume and grass species) found increasing  
377 root biomass production in shallow soil depths (0-15 cm) in response to drought (e.g., [Prechsl et al.,](#)  
378 [2015](#)). Although we did not investigate root distributions for either crop species, they most likely  
379 followed such evolutionary strategies as well during our rather strong, 37-day drought treatment, in  
380 addition to recent crop breeding efforts leading to less deep root systems in general (Canadell et al.,  
381 1996; Thorup-Kristensen et al., 2020). Moreover, shifting to shallower water uptake depths during  
382 drought might actually be beneficial for nutrient acquisition ([Querejeta et al., 2021](#)), since not only  
383 concentrations of soil water and atmospheric N<sub>2</sub> are higher in the top soil than in the deeper soil, but  
384 also litter inputs for N mineralisation. ~~Although we did not investigate root distributions for either~~  
385 ~~crop species, they most likely follow such evolutionary strategies as well, in addition to recent crop~~  
386 ~~breeding efforts leading to less deep root systems in general (Canadell et al., 1996; Thorup-~~  
387 ~~Kristensen et al., 2020).~~ Thus, besides the low soil moisture within the entire soil profiles,  
388 acclimation of the root systems ~~biology clearly most likely also~~ contributed to the shift towards  
389 shallower water uptake depths under drought for both pea and barley in this study.

390 The year 2018 was characterised by low precipitation during our experimental period, [when a](#)  
391 [naturally dry period occurred at the end of our pronounced drought treatment in June \(which](#)  
392 [excluded 34% of the precipitation during the growing season; Table 1\)](#). [Our treatment compared](#)  
393 [well with the climate scenarios available for Switzerland, with a 25% reduction of precipitation in](#)  
394 [2060, and up to 40% by the end of the century; and an increase of the longest rain-free summer](#)  
395 [period \(June, July, August\) from currently 11 days to 20 days \(CH2018\)](#). [The dry period in June](#)  
396 ~~which~~ affected pea and barley plants in our control subplots differently (Fig. 6a, b). While pea did  
397 not shift its water uptake pattern (Fig. 6a; Table S5), barley grown in the control subplots reacted  
398 very similar to the natural ~~11-d~~ dry period (before the ET sampling, 14 to 25 June; Fig. 2) as barley  
399 subjected to our drought treatment, namely with a clear shift from deep (40-60 cm) to shallow (0-20  
400 cm) soil layer (Fig. 6b, d; Table S5). However, barley still relied more on water uptake from the  
401 deep soil layer during this naturally dry period [in the control subplots](#) than under the experimental  
402 drought ( $P = 0.017$ ; Table 5). Hence, these different reactions of the two species to the dry period  
403 clearly indicated that barley was more susceptible than pea even to a mild water stress. This  
404 observation is fully in line with measurements of stem hydraulic traits (i.e., loss of xylem  
405 conductance) from the same experiment ([Sun et al., 2021](#)). Barley plants lost xylem conductance  
406 much earlier than pea plants when xylem water potentials decreased. In addition, legumes like pea  
407 can maintain low stomatal conductance to avoid water stress without compromising photosynthesis  
408 when growing under conditions with limiting water supply, due to their high foliar N concentrations  
409 ([Adams et al., 2018](#)). This adds to the hydraulic trait benefits of pea and explains why pea was less  
410 affected by the natural dry period. Nevertheless, as shown in our study, if severities and frequencies  
411 of droughts increase in the future, one can expect negative consequences not only on the  
412 performance of barley, but also of pea ([Martin & Jamieson, 1996](#)).

413 Moreover, the two species growing together in the pea-barley mixture showed distinct niches for  
414 root water uptake before drought, with pea relying more on water from shallow (0-20 cm) and  
415 barley from deep (40-60 cm) soil layers, in accordance with resource partitioning in the absence of

416 water limitation as observed in intercrops, e.g., pearl millet and cowpea ([Zegada-Lizarazu et al.,](#)  
417 [2006](#)) and in mixed-species grasslands (e.g., [Hoekstra et al., 2014](#)). However, the niches became  
418 more similar under drought conditions, contradicting ecological theory which postulates more  
419 pronounced niche differentiation and less niche overlap under stressful conditions, such as during a  
420 drought (see [Nippert & Knapp, 2007](#); [Silvertown et al., 2015](#); [Guderle et al., 2018](#)). However, our  
421 results were in line with results from biodiversity studies in temperate grasslands ([Bachmann et al.,](#)  
422 [2015](#); [Barry et al., 2020](#); [Hoekstra et al., 2014](#)) which also did not show niche differentiation in  
423 response to increased competition or drought ~~ENREF-5~~. Thus, further detailed knowledge on the  
424 dynamics of intercrop water uptake patterns is needed to solve this contradiction and to decrease the  
425 uncertainty for arable crop production now and under future climate conditions.

426 As global agriculture has already been considerably compromised by and become increasingly  
427 sensitive to climate change ([Ortiz-Bobea et al., 2021](#)), farming practices such as organic  
428 management and conservation tillage are being discussed widely. They have been shown to  
429 improve general soil conditions compared to conventional management and intensive tillage,  
430 particularly under drought ([Bot & Benites, 2005](#); [Gomiero et al., 2011](#); [Choudhary et al., 2016](#)). For  
431 instance, organic management and conservation tillage can increase soil water holding capacity,  
432 therefore providing higher water availability than conventional management and intensive tillage  
433 (e.g., [Colombi et al., 2019](#); [Kundel et al., 2020](#)). In this study, the systems with conservation tillage  
434 (C-NT and O-RT) indeed showed slightly higher SWC than systems with intensive tillage (C-IT  
435 and O-IT) at 40 cm (Fig. 2d). However, this did not result in any benefit for root water uptake  
436 patterns of pea and barley against drought. Water uptake of both species shifted to the shallow layer  
437 (0-20 cm) in all cropping systems under drought, without cropping system effects or interactive  
438 effects between cropping systems and drought treatment. Thus, any further changes in soil physical  
439 characteristics due to the drought treatment among cropping systems did not affect the observed  
440 root water uptake patterns. The relatively short period that annual crop species are growing under  
441 these conditions might limit the potential benefits from improved soil conditions present in those

442 systems (e.g., [Dennert et al., 2018](#); [Loaiza Puerta et al., 2018](#); [Schluter et al., 2018](#)). Although it  
443 remains to be seen if the observed behaviour of a pea-barley mixture also holds true for other crop  
444 species, our results clearly challenge the potential of cropping management under temperate climate  
445 as a tool to adapt arable agriculture to climate change.

## 446 **5 Conclusions**

447 Water uptake patterns of pea and barley both shifted under drought in all cropping systems and both  
448 species relied more on water from the shallow soil layer (0-20 cm) than on water from deeper in the  
449 soil profile. This was also the case for organic and reduced/no tillage cropping systems, which are  
450 often discussed as beneficial for crop performance, particular under water-limited conditions, and  
451 are thus suggested as ~~adaptive~~ cropping management practices under a future climate. However,  
452 in this study, we showed for the first time that cropping systems could not counteract the effects of  
453 severe drought ~~effects~~ on plant water uptake patterns for pea and barley grown in mixture. It  
454 remains to be seen if this observation also holds true for other, major crops grown under water-  
455 limited conditions.

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## 466 **Author Contribution**

467 NB, AKG, RW, and MH designed the study; QS analysed the data; QS, AKG, and NB wrote the  
468 first drafts of the manuscript; all authors discussed the results, revised, and agreed on the final  
469 version of the manuscript.

#### 470 **Conflict of Interest**

471 None declared.

#### 472 **Supporting Information**

473 Additional supporting information can be found in the online version of this article.

474 Table S1 Stable water isotope values ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ , ‰) of soil in control and drought subplots  
475 under different cropping systems.

476 Table S2 Effects of cropping systems, drought treatment and the interaction on stable isotope data  
477 ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ , ‰) of pea and barley as well as mean  $\pm$  1 SE for each species in control and drought  
478 subplots under different cropping systems.

479 Table S3 Effects of cropping systems, drought treatment and the interaction on the median  
480 proportional contributions from different soil depths to water uptake of pea and barley as well as  
481 mean  $\pm$  1 SE of MPC using  $\delta^{18}\text{O}$  data.

482 Table S4 Effects of cropping systems, drought treatment and the interaction on the median  
483 proportional contributions from different soil depths to water uptake of pea and barley as well as  
484 mean  $\pm$  1 SE MPC using  $\delta^2\text{H}$  data.

485 Table S5 Effects of cropping systems, sampling times and the interaction on the proportional  
486 contributions from different soil depths to water uptake of pea and barley simulated from  $\delta^{18}\text{O}$  data  
487 in control and drought subplots.

488 [Table S6 Effects of cropping systems, drought treatment and the interaction on absolute changes in](#)  
489 [median proportional contributions to plant water uptake of pea and barley.](#)

490 Fig. S1 Dual isotope plot of soil and plant samples from control and drought subplots.

491 Fig. S2  $\delta^2\text{H}$  values of soil water from different depths and plant xylem water in each cropping  
492 system in 2018.

493 Fig. S3 Relationships of median proportional contributions to plant water uptake from the shallow  
494 and deep soil layers of pea vs. barley.

#### 495 **Data Availability Statement**

496 The data that support the findings of this study will be openly available in the ETH Zurich

497 Repository at <https://www.research-collection.ethz.ch/> (DOI: [10.5905/ethz-1002-11290](https://doi.org/10.5905/ethz-1002-11290)).

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- 697

698 Table 1 Precipitation and air temperature data from a nearby weather station, Zürich/Kloten (KLO,  
699 47.48° N, 8.54° E, 4.6 km north of the research site, [MeteoSwiss, 2020](#)) as well as dates for the  
700 growing season (from sowing to harvest) and treatment periods in 2018.

	Date	Total precipitation (mm)	Mean air temperature (°C)
Long-term annual (1988-2017)	1 January to 31 December	994	9.7
Annual (2018)	1 January to 31 December	856	11.2
Long-term May (1988-2017)	1 to 31 May	105	13.9
May 2018	1 to 31 May	102	15.8
Long-term June (1988-2017)	1 to 30 June	102	17.2
June 2018	1 to 30 June	40	18.8
Growing season 2018	26 March to 12 July	231	15.7
Before drought treatment	26 March to 21 May	108	12.7
<del>End of</del> During drought treatment	22 May to 28 June	79 (34% of the growing season)	18.7
After drought treatment	29 June to 12 July	44	20.0

701

702 Table 2 Effects of cropping systems (CS, df = 3), drought treatment (D, df = 1) and the interaction  
 703 (CS × D, df = 3) on stable water isotopes ( $\delta^{18}\text{O}$  and  $\delta^2\text{H}$ ) in different soil depths before the drought  
 704 treatment on 7 May, at the end of treatment on 25 June, and after the treatment on 11 July (in 2018  
 705 tested by linear mixed models (*P* values are given).

Isotope	Depth (cm)	CS	D	CS × D	Blocks
Before drought treatment					
$\delta^{18}\text{O}$	0-5	0.580	0.555	0.458	0.788
	5-10	0.119	0.276	0.073	0.367
	10-20	0.489	0.836	0.516	0.459
	20-30	0.201	0.164	0.128	0.069
	30-40	0.135	0.437	0.882	0.311
	40-60	0.960	0.898	0.845	0.404
$\delta^2\text{H}$	0-5	0.831	0.120	0.423	0.982
	5-10	0.158	0.118	0.056	0.516
	10-20	0.467	0.416	0.574	0.571
	20-30	0.105	<b>0.026</b>	0.064	0.181
	30-40	0.089	0.125	0.959	0.308
	40-60	0.560	0.291	0.853	0.436
End of drought treatment					
$\delta^{18}\text{O}$	0-5	0.316	0.835	0.253	0.367
	5-10	0.189	0.247	0.766	0.168
	10-20	0.080	0.603	0.920	0.673
	20-30	0.898	<b>&lt;0.001</b>	0.852	0.940
	30-40	0.437	<b>&lt;0.001</b>	0.651	0.954
	40-60	0.073	<b>0.008</b>	0.616	0.594
$\delta^2\text{H}$	0-5	0.295	<b>&lt;0.001</b>	0.168	0.479
	5-10	0.330	<b>0.005</b>	0.859	0.215
	10-20	0.091	<b>0.029</b>	0.700	0.659
	20-30	0.889	<b>&lt;0.001</b>	0.863	0.820
	30-40	0.388	<b>&lt;0.001</b>	0.551	0.970
	40-60	0.136	<b>0.006</b>	0.469	0.809
After drought treatment					
$\delta^{18}\text{O}$	0-5	0.393	0.059	0.848	0.291
	5-10	0.730	0.672	0.111	<b>0.031</b>
	10-20	0.538	0.612	0.734	0.993
	20-30	0.933	0.136	0.936	0.944
	30-40	0.881	<b>0.048</b>	0.979	0.772
	40-60	0.751	<b>0.001</b>	0.560	0.380
$\delta^2\text{H}$	0-5	0.776	0.056	0.667	0.421
	5-10	0.117	0.958	0.649	0.636
	10-20	0.228	0.887	0.926	0.815
	20-30	0.710	0.104	0.888	0.705
	30-40	0.877	0.050	0.919	0.699
	40-60	0.841	<b>&lt;0.001</b>	0.493	0.484

706 Significant differences are shown in bold (*P* < 0.05).

707 Table 3 Effects of species (df = 1), cropping systems (CS, df = 3), drought treatment (D, df = 1) and  
 708 the interaction (species × CS, df = 3; species × D, df = 1; CS × D, df = 3; species × CS × D, df = 3)  
 709 on stable water isotopes ( $\delta^2\text{H}$  and  $\delta^{18}\text{O}$ ) of pea and barley before the drought treatment on 7 May  
 710 and at the end of treatment on 25 June in 2018 tested by linear mixed models (*P values are given*).

Factor	Before drought treatment		End of drought treatment	
	$\delta^{18}\text{O}$	$\delta^2\text{H}$	$\delta^{18}\text{O}$	$\delta^2\text{H}$
Species	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
CS	0.251	0.382	<b>0.038</b>	0.055
D	0.106	<b>&lt;0.001</b>	0.143	<b>0.001</b>
Species × CS	0.184	<b>0.023</b>	0.312	0.348
Species × D	0.796	0.486	<b>0.004</b>	<b>0.016</b>
CS × D	0.190	0.117	0.051	0.081
Species × CS × D	0.290	<b>0.045</b>	0.120	0.070
Blocks	0.485	0.599	<b>0.004</b>	0.162

711

712 Significant differences are shown in bold ( $P < 0.05$ ).

713 Table 4 Effects of species (df = 1), cropping systems (CS, df = 3), drought treatment (D, df = 1) and  
 714 the interaction (species × CS, df = 3; species × D, df = 1; CS × D, df = 3; species × CS × D, df = 3)  
 715 on the median proportional contributions from different soil depths to water uptake (MPC) of pea  
 716 and barley before the drought treatment on 7 May and the end of treatment on 25 June in 2018  
 717 tested by linear mixed models (*P* values are given).

Factor	Before drought treatment			End of drought treatment		
	0-20 cm	20-40 cm	40-60 cm	0-20 cm	20-40 cm	40-60 cm
Species	<b>&lt;0.001</b>	<b>0.036</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>
CS	0.506	0.555	0.992	0.374	0.440	0.252
D	0.849	0.775	0.629	<b>0.003</b>	0.546	<b>0.004</b>
Species × CS	0.255	0.865	0.702	0.303	0.799	0.180
Species × D	0.424	0.619	0.336	<b>0.009</b>	<b>0.001</b>	0.359
CS × D	0.454	0.293	0.098	0.278	0.811	0.141
Species × CS × D	0.404	0.064	0.079	0.201	0.315	0.495
Blocks	0.360	0.667	0.534	<b>0.008</b>	0.115	<b>0.016</b>

718

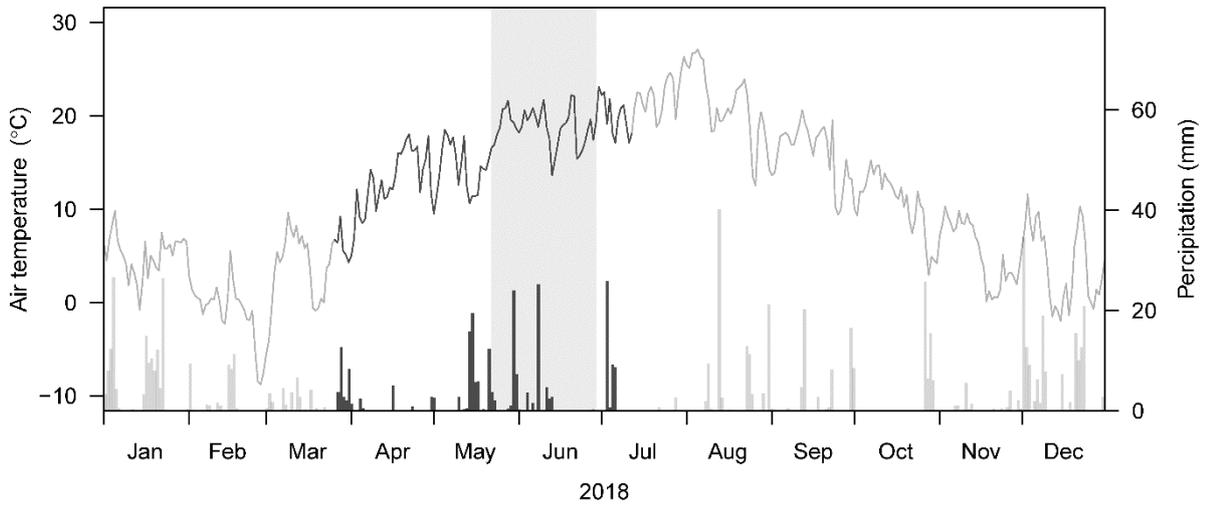
719 MPC was derived from 10 000 simulations by mixing models using  $\delta^{18}\text{O}$  data. Proportional  
 720 contribution from 0-20 cm is the sum from 0-5, 5-10, and 10-20 cm, and 20-40 cm is the sum from  
 721 20-30 and 30-40 cm. Significant differences are shown in bold ( $P < 0.05$ ).

722 Table 5 Median proportional contributions (MPC) from different soil depths to water uptake of pea  
 723 and barley before the drought treatment on 7 May, at the end of treatment on 25 June, and after the  
 724 drought treatment on 11 July in 2018 (left) as well as Effects of cropping systems (CS, df = 3),  
 725 drought treatment (D, df = 1) and the interaction (CS × D, df = 3) on MPC as well as the median  
 726 proportional contributions from different soil depths to water uptake (MPC) of pea and barley  
 727 before the drought treatment on 7 May, at the end of treatment on 25 June, and after the drought  
 728 treatment on 11 July in 2018 tested by linear mixed models. Means ± 1 SE (MPC) and P values are  
 729 given.

Species (cm)	Depth								MPC Mean ± 1 SE				P value from linear mixed models			
	Control				Drought				CS	D	CS × D	Blocks				
	C-IT	C-NT	O-IT	O-RT	C-IT	C-NT	O-IT	O-RT								
Before drought treatment																
Pea	0-20	45±8	46±9	50±6	48±5	47±9AB	54±7B	34±9A	50±4AB	0.823	0.818	0.313	0.780			
	20-40	37±6	29±3	32±4	36±3	35±7	27±3	36±8	33±3	0.557	0.834	0.913	0.656			
	40-60	16±3	20±8	12±2	13±2	14±3	14±4	26±11	13±1	0.746	0.665	0.216	0.545			
Barley	0-20	10±3	26±12	17±9	14±5	25±11AB	30±11B	11±7A	14±6AB	0.302	0.475	0.535	0.058			
	20-40	41±16ab	39±9ab	65±16b	22±11a	55±15AB	29±10A	37±21AB	63±13B	0.736	0.707	0.156	0.785			
	40-60	49±19ab	31±12ab	15±7a	63±17b	18±6	38±19	49±24	20±8	0.940	0.467	0.100	0.634			
End of drought treatment																
Pea	0-20	63±6b	46±13a	48±9ab	51±4ab	65±4AB	64±14A	77±12B	64±7A	0.416	<b>0.001</b>	0.170	<b>0.01</b>			
	20-40	27±5a	36±9b	31±3ab	31±1ab	23±2AB	24±9B	15±8A	24±5B	0.416	<b>0.003</b>	0.703	<b>0.021</b>			
	40-60	8±1a	16±4ab	18±6b	14±5ab	9±1AB	10±4B	6±3A	8±1AB	0.398	<b>0.008</b>	0.272	<b>0.027</b>			
Barley	0-20	43±2	38±11	28±6	30±8	47±7B	32±5A	31±9AB	39±7AB	0.214	0.459	0.488	<b>0.034</b>			
	20-40	39±3	36±4	32±8	29±6	34±4A	47±4B	44±5AB	41±4AB	0.669	0.065	0.339	0.963			
	40-60	15±1a	23±9ab	40±13b	38±13b	15±2	19±3	24±8	17±3	0.207	<b>0.017</b>	0.213	<b>0.028</b>			
After drought treatment																
Barley	0-20	61±9	62±8	56±8	56±8	64±13	55±13	71±8	57±5	0.696	0.546	0.436	<b>0.001</b>			
	20-40	28±6	25±5	30±5	31±5	25±9	31±9	20±6	31±4	0.664	0.604	0.508	<b>0.004</b>			
	40-60	10±2	11±3	13±4	12±3	11±4	13±4	7±2	10±1	0.852	0.401	0.225	<b>&lt;0.001</b>			

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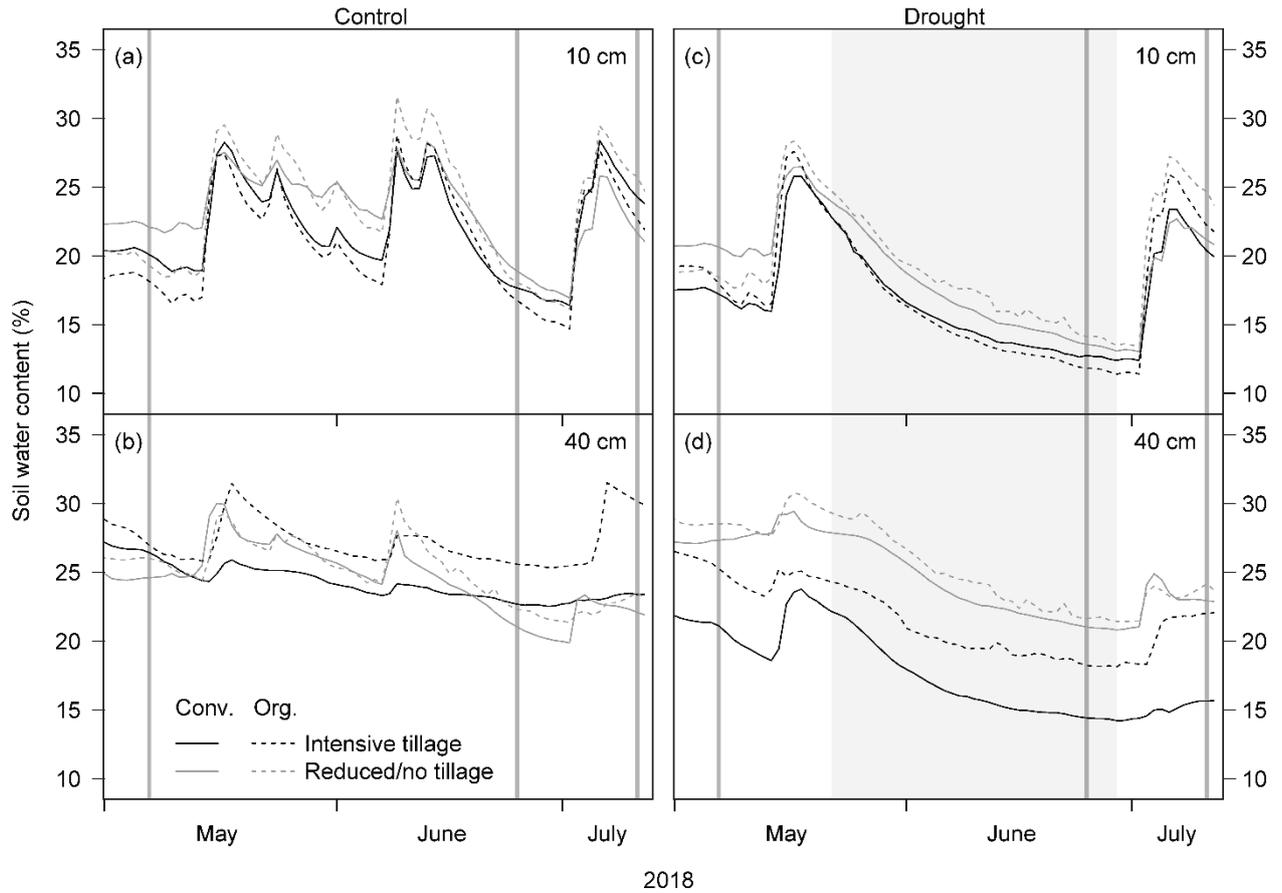
731 MPC was derived from 10 000 simulations by mixing models using  $\delta^{18}\text{O}$  data. Pea plants were  
 732 already senesced in early July therefore no stable water isotope data are available after the  
 733 treatment. Proportional contribution from 0-20 cm is the sum from 0-5, 5-10, and 10-20 cm, and 20-  
 734 40 cm is the sum from 20-30 and 30-40 cm. Mean ± 1 SE for MPC (%) are given for different  
 735 cropping systems (C-IT for Conventional intensive tillage, C-NT for Conventional no tillage, O-IT  
 736 for Organic intensive tillage, and O-RT for Organic reduced tillage). Different small and capital  
 737 letters indicate significant differences among cropping systems in control and drought subplots,  
 738 respectively, tested with Tukey HSD (honestly significant difference,  $P < 0.05$ ). Significant effects  
 739 tested with linear mixed models are shown in bold ( $P < 0.05$ ).



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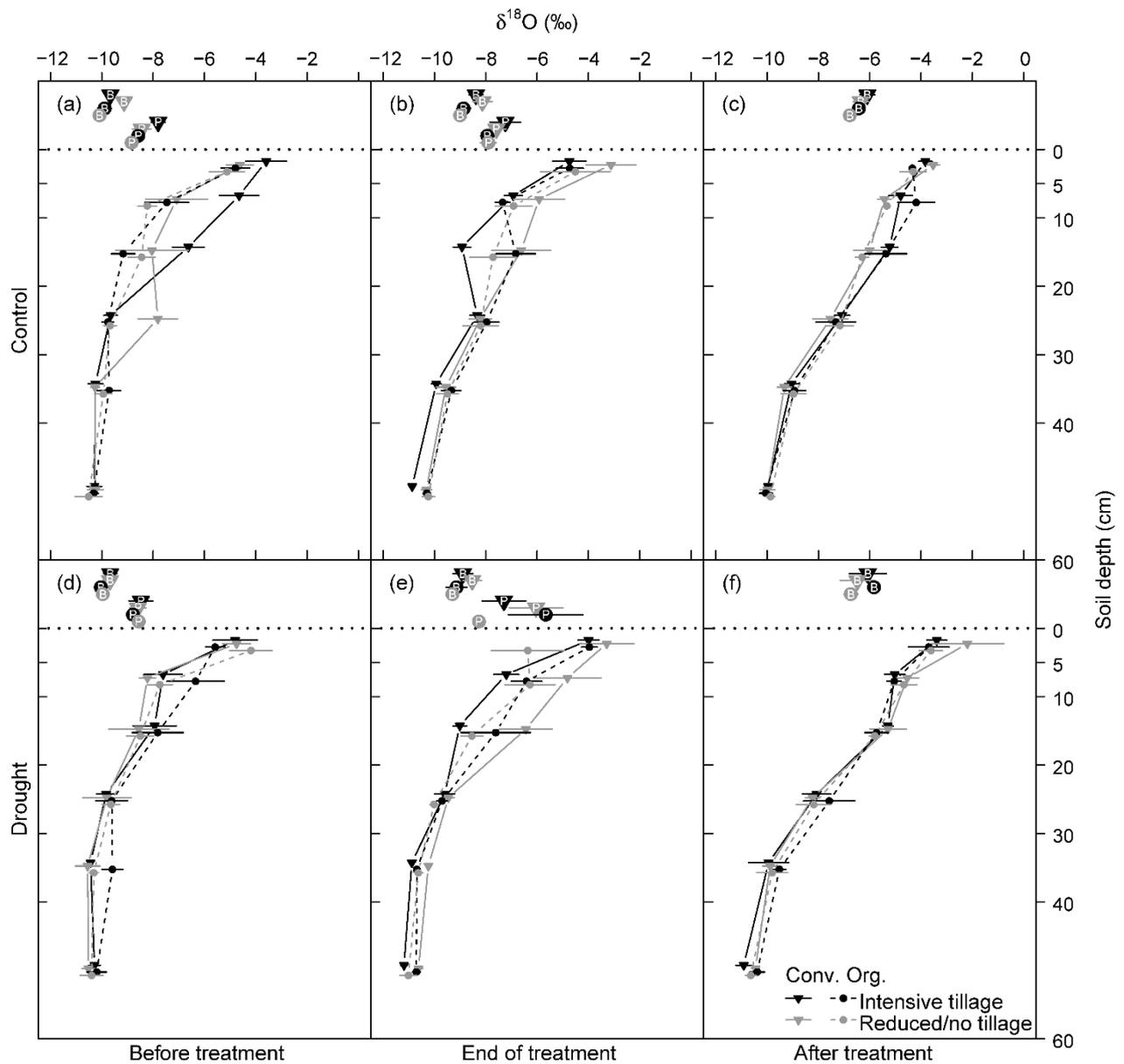
741 Fig. 1 Daily air temperature and precipitation in 2018. Dark line segments and bars depict the crop  
 742 growing season from 26 March to 12 July 2018. The shaded area indicates the drought treatment  
 743 from 22 May to 28 June 2018. Data from the MeteoSwiss station Zürich/Kloten (KLO, 47.48° N,  
 744 8.54° E, 4.6 km north of the research site, [MeteoSwiss, 2020](#)) are given.

745



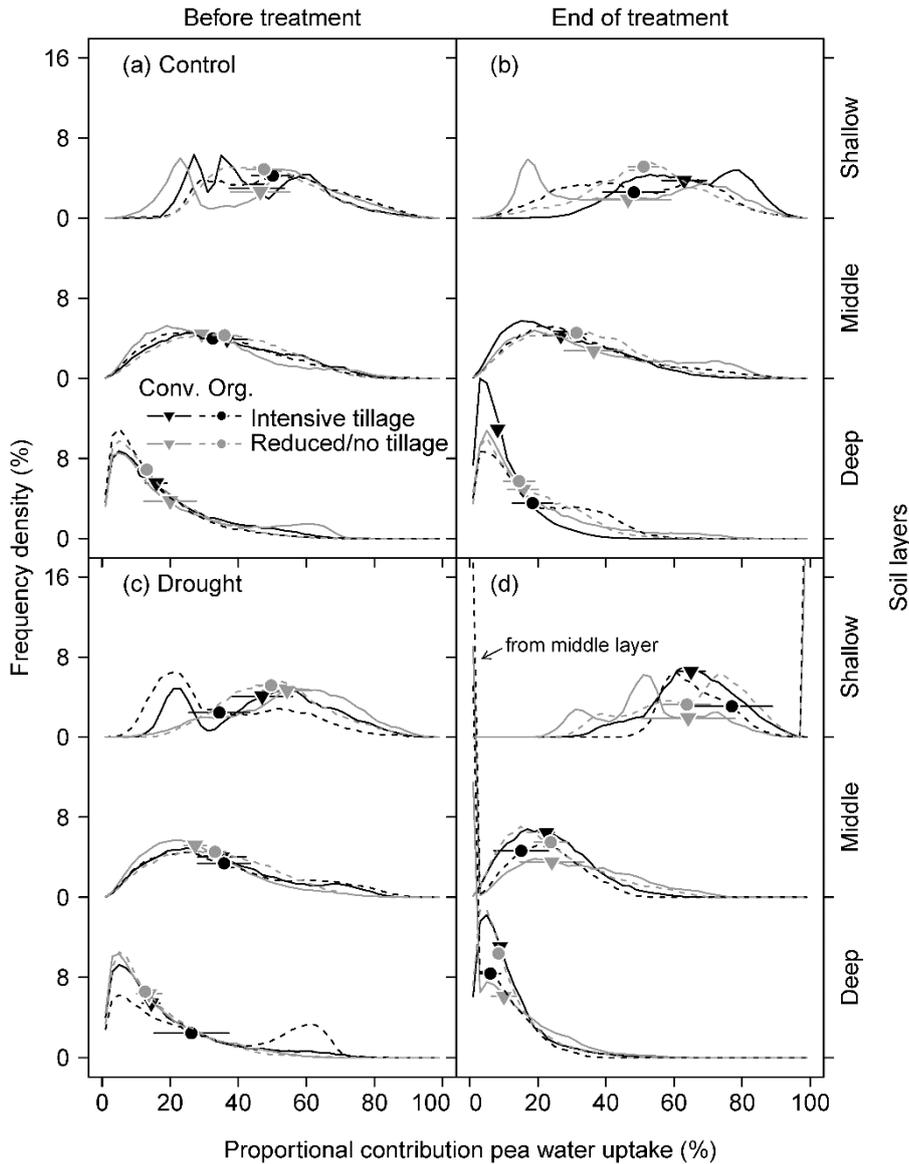
746

747 Fig. 2 Daily mean soil water contents at 10 and 40 cm depth in (a, b) control and (c, d) drought  
 748 subplots under different cropping systems (n = 2 each; Conv. for conventional, Org. for organic).  
 749 Vertical lines indicate sampling dates for stable water isotopes on 7 May, 25 June, and 11 July  
 750 2018. Shaded areas in (c) and (d) represent the drought treatment period from 22 May to 28 June  
 751 2018.



752

753 Fig. 3  $\delta^{18}\text{O}$  values of soil water from different depths and plant xylem water in each cropping  
 754 system (a, d) before the drought treatment on 7 May, (b, e) at the end of the drought treatment on 25  
 755 June, and (c, f) after treatment on 11 July in 2018 (Conv. for conventional, Org. for organic).  
 756 Horizontal dotted lines separate isotopic composition of soil and plant samples (P for pea, B for  
 757 barley). Pea plants were already senesced in early July, therefore no stable water isotope data are  
 758 available after the drought treatment. Means and 1 SE (horizontal bars) are given for each cropping  
 759 system (n = 3-4).

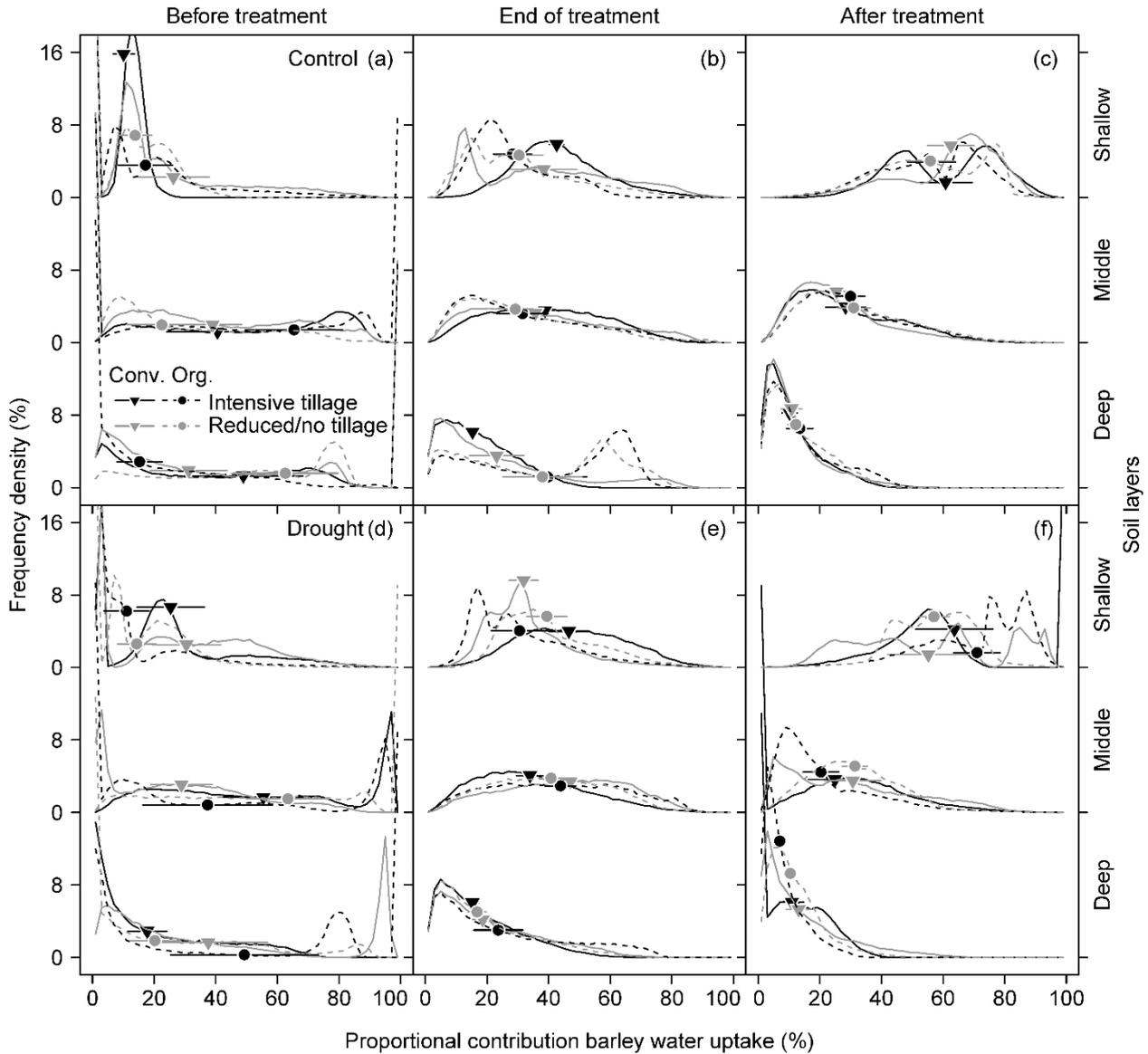


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761 Fig. 4 Frequency density distribution of model outputs on the proportional contribution of soil water  
 762 to pea water uptake from shallow (0-20 cm, sum of 0-5, 5-10, and 10-20 cm), middle (20-40 cm,  
 763 sum of 20-30 and 30-40 cm), and deep (40-60 cm) soil layers under different cropping systems (a,  
 764 b) before the drought treatment on 7 May and (c, d) at the end of treatment on 25 June in 2018.

765 Frequency density was derived from 10 000 simulations at 2% increment of mixing models using  
 766  $\delta^{18}\text{O}$  for each subplot (Conv. for conventional, Org. for organic). Data were pooled for all subplots  
 767 in each cropping system. Symbols on the curves indicate the median of the model outputs for each  
 768 soil layer. Means and 1 SE (horizontal bars) of each cropping system are given (n = 3-4).

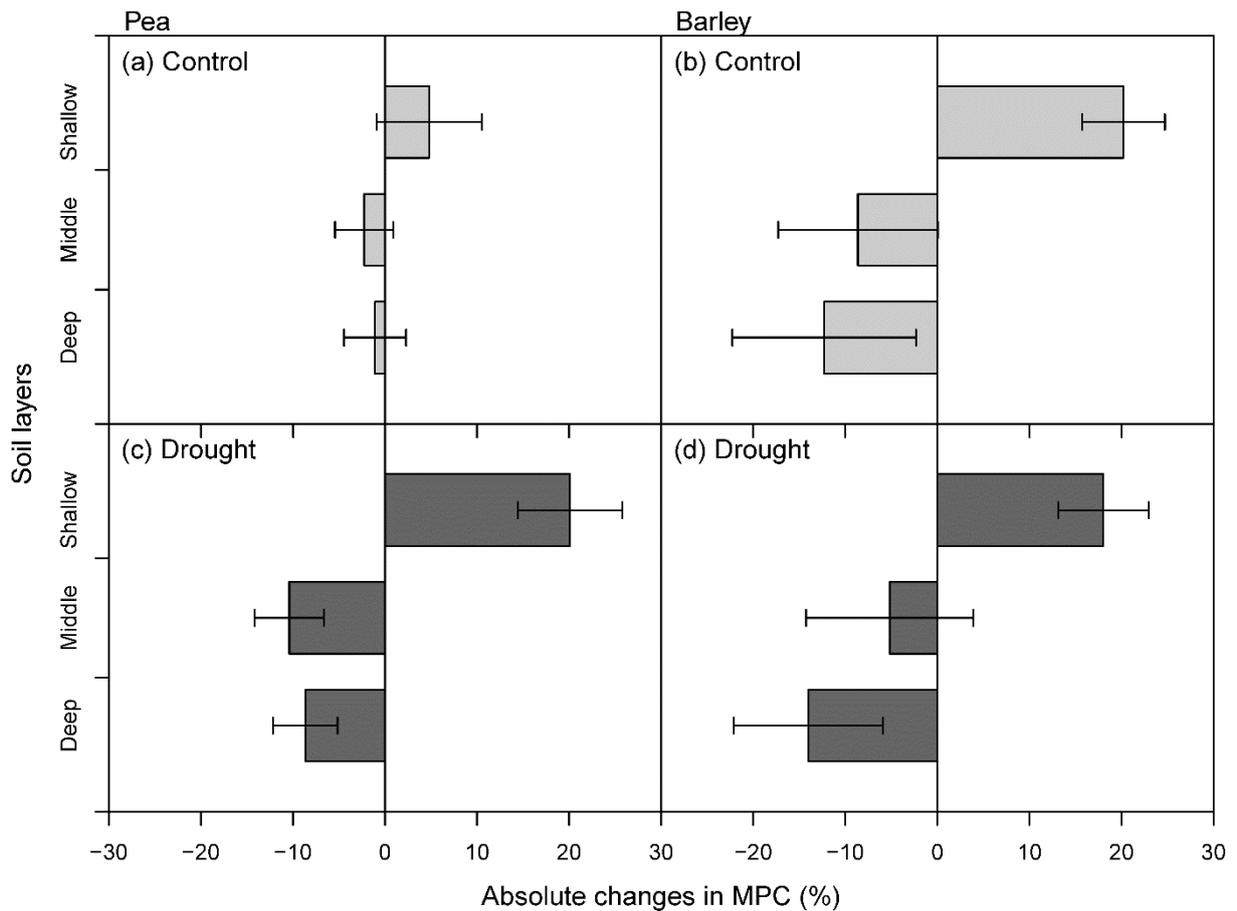
769



770

771 Fig. 5 Frequency density distribution of model outputs on the proportional contribution of soil water  
 772 to barley water uptake from shallow (0-20 cm, sum of 0-5, 5-10, and 10-20 cm), middle (20-40 cm,  
 773 sum of 20-30 and 30-40 cm), and deep (40-60 cm) soil layers under different cropping systems (a,  
 774 b) before the drought treatment on 7 May, (c, d) at the end of treatment on 25 June, and (e, f) after  
 775 treatment on 11 July in 2018. Frequency density was derived from 10 000 simulations at 2%  
 776 increment of mixing models using  $\delta^{18}\text{O}$  for each subplot (Conv. for conventional, Org. for organic).  
 777 Data were pooled for all subplots in each cropping system. Symbols on the curves indicate the  
 778 median of the model outputs for each soil layer. Means and 1 SE (horizontal bars) of each cropping  
 779 system are given (n = 3-4).

780



781

782 Fig. 6 Absolute changes in median proportional contributions (MPC) to plant water uptake (MPC)  
 783 of pea (a, c) and barley (b, d), calculated as the difference of MPC at the end (25 June; ET) and  
 784 before the drought treatment (7 May; BT), from three soil layers in control (a, b) and drought (c, d)  
 785 subplots in all cropping systems. MPC was derived from 10 000 simulations of mixing models  
 786 using stable water isotope data. -Proportional contribution from the shallow layer is the sum of 0-5,  
 787 5-10, and 10-20 cm depths, the middle layer is the sum of 20-30 and 30-40 cm depths, and the deep  
 788 layer represents 40-60 cm. Means and 1 SE (horizontal lines) are given (n = 14-16).

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