What can we learn from amino acids about oceanic organic matter cycling and degradation?

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Abstract

Amino acids (AA) mainly bound in proteins are major constituents of living biomass and non-living organic material in the oceanic particulate and dissolved organic matter pool. Uptake and cycling by heterotrophic organisms lead to characteristic changes in AA composition so that AA based biogeochemical indicators are often used to elucidate processes of organic matter cycling and degradation. We analyzed particulate AA in a large sample set collected in various oceanic regions covering sinking and suspended particles in the water column, sediment samples as well as dissolved AA from water column and pore water samples. The aim of this study was to test and improve the use of AA derived biogeochemical indicators as proxies for organic matter sources and degradation, and to better understand particle dynamics and interaction between the dissolved and particulate organic matter pools. A principal component analysis (PCA) of all data delineates diverging AA compositions of sinking and suspended particles with increasing water depth. A new sinking particle and sediment degradation indicator (SDI) allows a fine-tuned classification of sinking particles and sediments with respect to the intensity of degradation, which is associated with changes of bulk $\delta^{15}N$ ratios. This new indicator furthermore is sensitive to sedimentary redox conditions and can be used to detect past anoxic early diagenesis. A second indicator emerges from the AA spectra of suspended

31 particulate matter (SPM) in the epipelagic and that of the meso- and bathypelagic ocean and is
32 a residence time indicator (RTI). The characteristic changes in AA patterns from shallow to
33 deep SPM are recapitulated in the AA spectra of the dissolved organic matter (DOM) pool, so
34 that deep SPM is more similar to DOM than to any of the other organic matter pools. This
35 implies that there is equilibration between finely dispersed SPM and DOM in the deep sea,
36 which may be driven by microbial activity combined with annealing and fragmentation of gels.
37 As these processes strongly depend on physico-chemical conditions in the deep ocean, changes
38 in quality and degradability of DOM may strongly affect the relatively large pool of suspended
39 and dissolved AA in the ocean that amounts to 15 Pg amino acid carbon (AAC) and 89±29 Pg
40 AAC, respectively.

1 Introduction

Amino acids (AA) are ubiquitous in living organisms and comprise a major share of characterized organic matter in the particulate and dissolved pool in the ocean (Lee, 1988; Wakeham et al., 1984; Zhang et al., 2016; Davis et al., 2009; Lee et al., 2004). AA comprise more than 80 % of total organic carbon in fresh autochthonous plankton while allochthonous organic matter from continental runoff and atmospheric deposition has lower AA contents (Degens and Ittekkot, 1983; Degens and Ittekkot, 1985). Most of the primary productivity occurs in the sunlit surface layer of the ocean and most of the allochthonous material is also transported into surface waters. Thus, organic matter concentrations including their major biogenic constituents generally, have a surface maximum and decrease with depth (Peters et al., 2018; Gaye et al., 2013b; Wakeham and Lee, 1993). The main mechanism behind this depth dependent distribution is that most of the organic matter is recycled in surface waters while only a small proportion of surface particles leaves the surface waters by gravitational settling in the form of macroaggregates or fecal pellets. Particles containing organic matter, shells, frustules of organisms and mineral matter sink at speeds of 200 m day^{-1} on average and constitute the export from the surface mixed layer or euphotic zone into the deep ocean, where part of it can ultimately reach the sediments (Alldredge and Silver, 1988; Alldredge, 1998; Pilskaln and Honjo, 1987; Fowler and Knauer, 1986; Karl et al., 1988; Rixen et al., 2019b). Sinking particles are caught by moored or floating sediment traps while suspended matter (SPM) is sampled by filtration or ultrafiltration of water from water samplers or by pump systems (Yamaguchi and McCarthy, 2018). SPM is too small to sink and therefore – like dissolved organic matter (DOM) – predominantly enters deep water by subduction of surface waters (Resplandy et al., 2019; Boyd et al., 2019) and is transported passively following the route of ocean water along the ocean conveyer belt (Silver et al., 1998; McCave, 1984). It has thus been surmised that the long residence time of SPM in the water column should result in a more degraded state compared with organic matter of sinking particles (McCave, 1984; Degens and Ittekkot, 1984). Studies of pigments, AA and fatty acids, however, do not find such a systematic difference between the two types of particles and even indicate that SPM can be less degraded than sinking particles (Abramson et al., 2011; Rontani et al., 2011; Wakeham and Canuel, 1988). In two studies of AA composition in the Benguela Upwelling System and in the Arabian Sea it was shown that the degradation pathways of SPM and sinking particles differ as their AA compositions diverge with depth (Gaye et al., 2013b; Nagel et al., 2009). These studies suggested that there is only little interaction between suspended and sinking particle

74 pools below the euphotic zone. Due to its long residence time in the ocean, SPM appears to

75 interact with DOM (Gaye et al., 2013b) and therefore carries different AA signatures related to

76 genesis and history of organic matter cycling in its specific water mass (Nagel et al., 2016).

77 Whereas information on the composition of sediment trap samples has been compiled in

78 comprehensive studies (Honjo et al., 2008; Wilson et al., 2012; Rixen et al., 2019a, b), similar

79 compilations of the profuse literature on suspended matter are yet missing.

80 On the way to the deep sea the flux of sinking particles is reduced by disaggregation and organic

81 matter degradation. Suess (1980) empirically derived the first power function for organic

82 carbon decay based on sediment trap data. Subsequently, a large number of similar functions

83 where calculated for various oceanic areas based on trap experiments (Rixen et al., 2019b;

84 Rixen et al., 2002; Armstrong et al., 2002; Martin et al., 1987). Early work on AA had produced

85 similar decay functions combining data from Atlantic and Pacific trap experiments (Lee and

86 Cronin, 1982, 1984). As AA decay faster than bulk organic carbon (Haake et al., 1993b; Haake

87 et al., 1992; Haake et al., 1996; Lee et al., 2004; Wakeham and Lee, 1989; Whelan and Emeis,

88 1992), they are often considered as "labile" constituents of bulk organic matter. This is

89 supposedly due to their preferential uptake as a nitrogen (N) source for further synthesis of AA

90 or as a source of essential AA for heterotrophs (Ittekkot and Arain, 1986; Ittekkot et al., 1986).

91 This has been questioned, as a large proportion of the oceanic organic N pool is comprised of

92 AA that are not bioavailable (Aluwihare et al., 2005). In addition to the quantification of AA

93 decay, degradation state of organic matter (proteins) can be assessed by characteristic changes

94 in AA monomer composition which, furthermore, have the potential to elucidate sources of

95 organic matter and degradation processes (Ittekkot et al., 1984a; Ittekkot et al., 1984b; Dauwe

96 and Middelburg, 1998; Dauwe et al., 1999; Jennerjahn and Ittekkot, 1997).

97 Ratios of individual amino acids such as the Reactivity Index (RI) (Jennerjahn and Ittekkot,

98 1997) or the Degradation Index (DI) normalizing AA data to the results of a principal

99 component analyses (PCA) (Dauwe et al., 1999; Dauwe and Middelburg, 1998) have often been

100 used to scale organic matter degradation (Niggemann et al., 2018; Unger et al., 2005; Ingalls et

101 al., 2006; Ingalls et al., 2004; Pantoja et al., 2004; Möbius et al., 2010). These biogeochemical

102 indicators of organic matter quality were essentially developed for marine sinking particles and

103 sediments. Although based on marine sediments only (Dauwe et al., 1999) the DI was applied

104 for example to SPM samples from the brackish environment (Unger et al. 2005) or even to trace

105 dissolved AA degradation (Davis and Benner, 2005; Guo et al., 2018). Other work used

106 individual and adapted indices to differentiate the states of degradation in SPM or DOM

samples and samples from lakes, groundwater and rivers (Abramson et al., 2011; Gaye et al., 2007; Goutx et al., 2007; Kaiser and Benner, 2009; Menzel et al., 2013; Peter et al. 2012; Sheridan et al., 2002).

Understanding and quantifying AA degradation is required to estimate the diagenetic imprint on $\delta^{15}N$ ratios of particulate matter. This is important as $\delta^{15}N$ ratios track major shifts between N pools and are commonly used to reconstruct the N cycle from sedimentary archives (Galbraith et al., 2013). Amino acid nitrogen (AAN) comprises 80-100 % of N in fresh organic matter and is the precursor of most of the N buried in sediments and ultimately stored in the form of ammonium, adsorbed to clay minerals (Boyd, 2001; Waples and Sloan, 1980; Müller, 1977). Considerable AA degradation already occurs in the water column and progresses during organic matter burial in the sediments so that the impact of diagenetic processes on $\delta^{15}N$ has to be accounted for (Möbius et al., 2010; Möbius et al., 2011; Niggemann et al., 2018; Carr et al., 2016). Ammonification leads to a diagenetic increase of $\delta^{15}N$ values by up to 6.5 ‰ in deep sea sediments while there is little effect during organic matter burial in shelf and slope sediments due to the higher sedimentation rates and sub- to anoxic diagenetic conditions (Tesdal et al., 2013; Robinson et al., 2012; Möbius, 2013; Gaye-Haake et al., 2005). Such $\delta^{15}N$ increases were shown to correlate with AA derived degradation indicators so that the primary $\delta^{15}N$ signal from the water column can be reconstructed (Gaye-Haake et al., 2005; Gaye et al., 2009; Möbius et al., 2011).

DOM comprising the largest oceanic organic matter pool is defined by the pore size of the filters it passes through which is 0.2-0.7 µm (Carlson and Hansell, 2015) and thus includes some picoplankton cells and all viruses (Aristegui et al., 2009). DOM in surface water is partly labile and can originate from the exudates and lysis of organisms, passive diffusion, or "overflow" out of phytoplankton and bacteria; grazers can excrete or egest DOM, it can furthermore be leached from their fecal pellets or released by sloppy zooplankton feeding and is thus primarily released and also taken up in the surface ocean (Carlson and Hansell, 2015). Moreover, terrestrially derived DOM is transported into surface waters by rivers and via the atmosphere (Benner et al., 2005). Deep DOM has a different source than simply transport of surface DOM by intermediate and deep water formation and mixing, as deep DOM is refractory in nature and has been heterotrophically altered by cycling and degradation processes (Yamaguchi and McCarthy, 2018) discernible e.g. from their composition of dissolved AA (Kaiser and Benner, 2009; McCarthy et al., 2004). The possible source of deep DOM may be

the release from sinking or suspended particles associated with microbial degradation on particles and in the ambiance of particles by processes such as solubilizing organic matter by ectohydrolase (Cho and Azam, 1988; Ciais et al., 2014; Aristegui et al., 2009). DOM can also be released from sediment pore water into overlying waters (Lahajnar et al., 2005). Stable isotope ratios of nitrogen ($\delta^{15}N$) in ultrafiltered DOM (UDOM) showed no systematic change with depth and suggested a common microbial source or viral lysis (McCarthy et al., 2007).

In the following synoptic compilation of AA data, we will examine the differences in AA spectra of a large data set that combines dissolved and particulate AA from plankton, suspended and sinking material, and sediments from different oceanic regions, as well as from riverine to brackish-marine conditions. Focusing on processes in the water column the data serve to (i) test existing AA based biogeochemical indicators of organic matter sources and degradation, (ii) better understand transformation and degradation processes of organic matter in aquatic environments reflected by AA composition in sinking and suspended particles and total dissolved AA (TDAA), (iii) investigate the impact of such processes on the $\delta^{15}N$ values and (iv) identify open questions which may be pursued with the help of AA analyses in the future.

2. Materials and Methods

2.1 Sampling

A total of 1425 samples were taken for AA analyses in different oceanic areas and water depths between 1993 and 2017 and include 218 sediment trap samples, 489 sediment samples, 608 SPM samples and 110 water and pore water samples (Fig. 1a-d). Five additional plankton samples were taken in the Arabian Sea and from the Namibian upwelling area by plankton tows between 0-100 m and between 100-700 m water depths. In the Kara Sea - a shallow shelf sea strongly impacted by water and suspended matter discharge from the rives Ob and Yenissei - sediment traps, surface sediments, and suspended matter were sampled (Gaye et al., 2007; Gaye-Haake et al., 2003; Nagel et al., 2009; Unger et al., 2005). In the deep Mediterranean Sea sediment traps and surface sediments were sampled (Möbius et al., 2010). SPM from the Mediterranean Sea was only analyzed for $\delta^{15}N$ values (Emeis et al., 2010). Sediment trap as well as surface sediment, SPM and water samples were taken along cross shelf transects off Namibia (Nagel et al., 2013; Nagel et al., 2016). Sediment trap samples and short sediment cores were taken at two stations in the northeastern Atlantic (Lahajnar et al., 2005; Turnewitsch

170 et al., 2017; Turnewitsch et al., 2015). In the Arabian Sea sediment trap, SPM, and surface

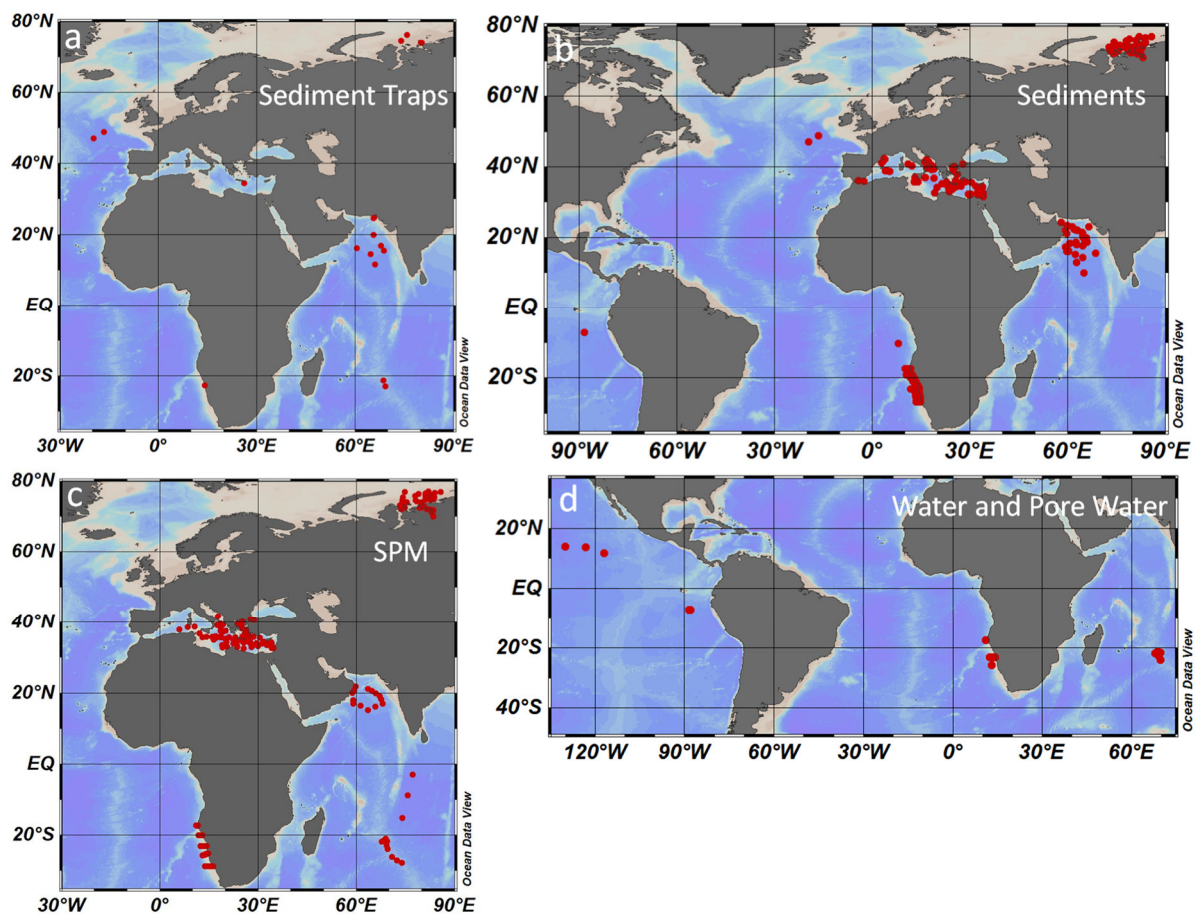
171 sediment samples were taken in the deep ocean and on the continental slope including a core

172 within the oxygen minimum zone at water depths of 775m (Gaye et al., 2013b; Gaye et al.,

173 2013a; Rixen et al., 2014; Gaye-Haake et al., 2005; Suthhof et al., 2001; Suthhof et al., 2000).

174 In the Indian Ocean Subtropical Gyre sediment trap, SPM and water samples were taken

175 (Harms et al., 2019; Harms et al., 2021) and samples from the equatorial North Pacific and

176 eastern South Pacific comprise bottom water, pore water and sediment core samples (Paul et

177 al., 2018).

179 Figure 1: Stations of sediment trap deployments (a), sediment (b), SPM (c) water and pore water
180 sampling (d).

181

182 Sea water was filtered through glass fiber filters (Whatman GF/F) with a nominal pore size of

183 0.7 µm and filters were dried at 40°C in order to obtain SPM samples. At some stations water

184 samples were taken by deep freezing an aliquot of the filtrate for TDAA analyses. In addition,

185 18 water samples taken off Namibia were separated into two size classes by ultrafiltration

186 (Brockmeyer and Spitzy, 2013). The size classes 50 kDa-0.7 µm and 1 kDa-0.7 µm were used

187   for TDAA analyses. Sediment trap samples were wet sieved on board and comprise the <1mm

188   fraction, filtered with polycarbonate nuclepore filters of 0.45 μm pore size and dried at 40°C.

189   Sediment samples from multicores, box grabs, box cores, or gravity cores were taken by spatula

190   or syringes from cold stored cores and were freeze dried before analyses. Surface samples

191   represent either the upper 0.5 cm or 1 cm of a sediment core. Pore-water samples were taken

192   by rhizons with a mean pore size of 0.15 μm and stored frozen before analyses (see methods in

193   Paul et al., 2018).

194

2.2 Analytical methods

196   Total carbon and N were measured with a Carlo Erba Nitrogen Analyser 1500 (Milan, Italy) or

197   a EURO EA3000 elemental analyzer. Particulate organic carbon (POC) was measured after

198   treatment of weighed samples with 1N HCl to remove carbonate. The precision of this method

199   is 0.05% for carbon and 0.005% for N. Carbonate carbon was calculated by subtracting organic

200   carbon from total carbon. Ratios of $^{15}N/^{14}N$ of particulate N were determined using a Thermo

201   Finnigan MAT 252 isotope ratio mass spectrometer connected with a ConFlo-III interface after

202   high-temperature flash combustion in a Thermo Finnigan Flash EA 1112 at 1050°C. Part of the

203   samples were measured with an Elementar IsoPrime 100 isotope ratio mass spectrometer after

204   high temperature combustion in an Elementar CHNOS Vario isotope elemental analyzer at 950

205   °C. Pure tank N_2 calibrated against the reference standards IAEA-N1 (ammonium sulfate,

206   $\delta^{15}N= +0.4$ ‰ versus air N_2) and IAEAN2 (ammonium sulfate, $d^{15}N= +20.3$ ‰) of the

207   International Atomic Energy Agency was used as a working standard. Duplicate measurements

208   of samples differ by less than 0.15 ‰. The laboratory's long-term standard deviation for IAEA-

209   N1 standard is 0.09 ‰. N-isotope ratios are reported in ‰ using the delta notation and the

210   $^{15}N/^{14}N$ of air N_2 as the reference standard:

211   $\delta^{15}N_{sample} = ((^{15}N/^{14}N)_{sample} / (^{15}N/^{14}N)_{reference\ standard} - 1) * 1000$ \hfill (1)

212   Dissolved organic carbon (DOC) concentrations [mg/L] were determined of water and pore

213   water samples via a high temperature combustion method (POC-V_{CSH} Analyzer, Shimadzu).

214   Inorganic carbon was removed by 2 M HCl prior to injection into the combustion tube where

215   organic carbon is oxidized to CO_2 at 680 °C with a platinum catalyst. A 5-point calibration from

216   0.5 to 5 mg DOC/L was used. The error of measurement is less than 2 % (Brockmeyer and

217   Spitzy, 2013).

TDAA, particulate AA and hexosamines (HA) were analyzed with a Biochrom 30 Amino Acid Analyzer. Acid hydrolysis with 6N HCl for 22 h at 110°C under a pure argon atmosphere was carried out on ca. 3 ml of filtrate of water and pore water samples, on 1-2 mg of suspended matter collected on Whatman GF/F filters, on 1-2 mg of sediment trap samples, or on 1-50 mg of freeze dried surface sediments. A particle free aliquot was evaporated three times to dryness in order to remove the unreacted HCl; the residue was taken up in an acidic buffer (pH 2.2). After injection and subsequent separation with a cation exchange resin, the individual AA monomers were post-column derivatized with o-phthaldialdehyde in the presence of 2-mercaptoethanol and detected with a Merck Hitachi L-2480 fluorescence detector. Duplicate analysis of a standard solution according to this method results in a relative error of 0.1 to 1.3% for the concentrations of individual AA monomers and 0.2 to 3.0% for individual AA monomers of water or particulate matter samples. Due to acid hydrolysis, aspartic acid (ASP) and asparagine (Asn) are both measured as Asp and glutamic acid (Glu) and glutamine (Gln) are both measured as Glu. The other AA measured are threonine (Thr), serine (Ser), glycine (Gly), alanine (Ala), valine (Val), methionine (Met), isoleucine (Ile), leucine (Leu), tyrosine (Tyr), phenylalanine (Phe), β-Alanine (β-Ala), γ-aminobutyric acid (γ-Aba), histidine (His), ornithine (Orn), lysine (Lys) and arginine (Arg). The HA together with AA are glucosamine (Gluam) and galactosamine (Galam) and their total contents were corrected with a factor of 1.4 for loss during hydrolysis (Muller et al., 1986).

2.3 Amino acid derived biogeochemical indicators of organic matter origin and degradation

Amino acid concentrations and the contribution of AA carbon (AAC) and AA nitrogen (AAN) as percentages of total organic carbon (AAC/C %) or total N (AAN/N %) are used to determine the degradation state of organic matter in the marine realm as both decrease with increasing organic matter degradation (Wakeham and Lee, 1993; Cowie and Hedges, 1994). AAN/N % >50 % are characteristic of fresh organic matter in the freshwater and marine realm (Menzel et al., 2015; Haake et al., 1992; Haake et al., 1993b). AA contribute >60 % to total organic carbon (AAC/C %) in fresh plankton and suspended matter in surface waters whereas AAC/C % drop to values <20 % in sinking particles and suspended matter from subsurface water (Wakeham and Lee, 1993). AAC/C % values are often below 10 % in freshwater environments and indicate

the enhanced input of land plants enriched in carbohydrates and lignin rather than enhanced organic matter degradation (Menzel et al., 2015).

Asn, Gln and Glu are the primary products of N assimilation and all other AA are synthesized from them (Loick-Wilde et al., 2018; Riccardi et al., 1989; Hildebrandt et al., 2015). Asp and Glu are enriched in bacteria, vascular plant tissue, phytoplankton, zooplankton and fungi and their high relative contents of Asp and Glu therefore, indicate fresh organic matter (Cowie and Hedges, 1992). The ratios of Asp/β-Ala and Glu/γ-Aba are high in fresh organic matter and drop with organic matter degradation as β-Ala and γ-Aba are degradation products of Asp and Glu, respectively (Lee and Cronin, 1984). β-Ala and γ-Aba also become relatively enriched during organic matter degradation as these non-protein AA are not taken up by heterotrophic organisms (Ittekkot et al., 1984b).

The relative accumulation of the non-protein AA is also expressed by the RI which is the ratio of the very labile aromatic AA Tyr and Phe and the non-protein AA β-Ala and γ-Aba. The RI is, generally, between 0 (very degraded) and 15 (very fresh) (Jennerjahn and Ittekkot, 1997). It is applicable not only in studies of sinking and suspended matter in marine and brackish environments (Unger et al., 2005; Gaye et al., 2007) but also as a proxy for degradation state in the sediment column (Möbius et al., 2011). The enrichment of Asp and Glu in sediments is related to their enrichment in carbonate shells (Ittekkot et al., 1984a) and to adsorption of primarily acidic AA onto carbonate minerals (King and Hare, 1972), whereas basic AA primarily adsorb onto silicate minerals (Hedges and Hare, 1987; Keil et al., 1994; King, 1975).

The DI, the integral of 14 protein AA, assesses the diagenetic alteration of a sample by comparing it to a set of 28 sediment samples of different degradation states and environments. Molar percentages of individual AA are standardised by the mean and standard deviations of the 28-sample data set. The DI then integrates the result of these standardized values weighed by the factor coefficients for the first axis of the PCA of Dauwe et al. (1999) according to the formula:

$$DI = \sum_i \left[\frac{var_i - AVGvar_i}{STDvar_i} \right] \cdot fac.coef._i$$

(2)

where var_i is the original mole percentage of each AA_i, $AVGvar_i$ and $STDvar_i$ are the mean and standard deviations, respectively, and $fac.coef._i$ is the factor coefficient of the first axis of the PCA of Dauwe et al. (1999). The DI thus represents the cumulative deviation of AA with

279 respect to an assumed average molar composition. The DI ranges approximately from -2 to +3

280 where negative values indicate more and positive values less degradation than the average.

281 A specifically designed index for dissolved substances (DOM-DI) calculated in the same way

282 as the DI was based on a PCA of a set of marine DOM samples and resulted in DOM-DI values

283 from 5 in surface waters to -3 in deep waters (Kaiser and Benner, 2009). The values to calculate

284 the marine DOM-DI (averages, standard deviations and factor coefficients of F1) can be found

285 in Peters et al. (2012).

286 An indicator of oxic vs. anoxic organic matter degradation in the water column and in sediments

287 was proposed by Menzel et al. (2015) for lake samples. Based on work by Cowie et al. (1995)

288 on marine sediments the ox/anox indicator is the quotient of AA preserved under oxic

289 diagenetic conditions to those preserved in anoxic water or sediments and is thus higher in oxic

290 than in anoxic sediments:

$$ox/anox = \frac{Asp + Glu + \beta\text{-Ala} + \gamma\text{-Aba} + Lys}{Ser + Met + Ile + Leu + Tyr + Phe}$$

291 (3)

292 ox/anox ratios <1.0 indicate anoxic and ratios >1.5 oxic diagenesis (Menzel et al., 2015).

293 The stability of AA vs. HA has been discussed since the early research on AA and HA in natural

294 material. Fresh plankton was observed to have AA/HA ratios of 13-25 (Degens and Mopper,

295 1975) which is a mixed signal of phytoplankton with an AA/HA ratio of >80 and zooplankton

296 with a ratio of ~9 due to chitinaceous skeletons of many zooplankters (Mayzaud and Martin,

297 1975). Low AA/HA are also observed in cell walls of fungi and bacteria. As the building blocks

298 of chitin, HA were assumed to be more resistant to degradation than bulk AA (Muller et al.,

299 1986). This is, however, challenged by studies of enzyme activities which were observed to

300 respond to substrate availability so that the activity of chitobiase and chitinase is as high as that

301 of glucosidase (Boetius et al., 2000a; Boetius and Lochte, 1994; Boetius et al., 2000b; Smith et

302 al., 1992) suggesting intense degradation also of chitin. Gluam is the main constituent of chitin

303 and while Galam is relatively enriched in bacterial cell walls (Walla et al., 1984; Kandler,

304 1979). The Gluam/Galam ratio has, therefore, been used to distinguish bacterial material from

305 zooplankton rich material (Haake et al., 1993b; Benner and Kaiser, 2003; Niggemann and

306 Schubert, 2006). Gluam/Galam ratios > 4 were found in sinking particles (Haake et al., 1993b;

307 Haake et al., 1992; Lahajnar et al., 2007), ratios of < 3 usually indicate relatively high

308 contribution of microbial OM and values between 1 and 2 are characteristic of sediments and

309 indicate an enrichment of microbial biomass (Benner and Kaiser, 2003).

310 **2.4 Statistical analyses**

311 To investigate the differences of AA composition and to recognize the interaction and pathways

312 of degradation between the different pools we carried out a PCA of AA monomer contributions

313 in Mol %. Met was excluded as it is below detection limit in many samples. The PCA was

314 carried out using the program SPSS Statistics 22. PCAs have often been used to analyze large

315 databases (Xue et al., 2011) in order to trace organic matter degradation, group and categorize

316 samples and develop indices such as the DI of Dauwe et al. (1999) using summary statistics

317 (see equation 2). A PCA is an orthogonal transformation of a set of variables into a new set of

318 uncorrelated variables called principal components. New axes are chosen in order to explain as

319 much as possible of the variance within the data set on a few main axes of highest correlation.

320 The first component explains most of the variance within the data set, consecutively followed

321 by the remaining components in the order of their decreasing capacity to explain the variance

322 within the data set. The selection of the most relevant components can be done by selecting

323 those with eigenvalues (the variances of the principal components) >1. Alternatively, the kink

324 method can be applied selecting those components from a plot of eigenvalues (scree plot),

325 which describe a steep slope of declining variance followed by a "kink" after which the

326 principal components add only small amounts to the variance. The factor loadings of the

327 variables (in this case the individual amino acids) are their projections on the new axis. The

328 factor score of each data set from a sampling location is obtained by multiplying the

329 standardized data with the factor loadings (also called factor coefficients). A high (low) factor

330 score shows that a sample has high (low) concentrations of the variables with high factor

331 loadings. A plot of factor loadings of the variables compared with a plot of the factor scores of

332 samples helps to visualize the relation of the samples to the variables and thus to identify the

333 processes behind the results of the PCA.

334

335 **2 Results**

336 **3.1 Organic carbon, nitrogen and amino acid content**

337 The POC (N) content is 35.9 % (5.9%) in plankton and 1.65-46.4 % (0.21-10.14 %) in sediment

338 trap samples. In sediments, POC (N) contents drop to 0.10-13.5 % (0.02-1.72 %). SPM has

POC (N) contents of 0.94-45.4 % (0.09-12.08 %). DOC concentration in water samples is between 0.5-1.1 mg L^{-1} and DOC in pore water samples is between 3.9-29 mg L^{-1}.

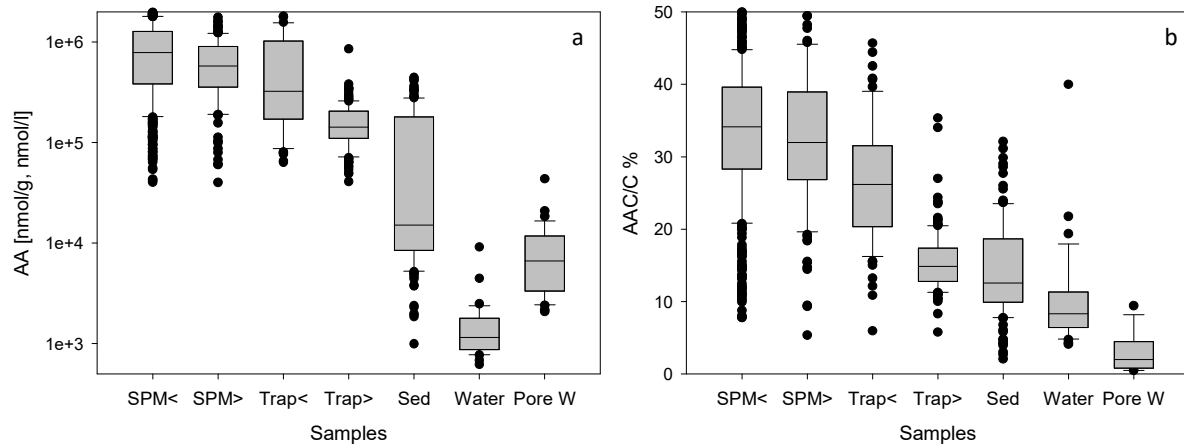
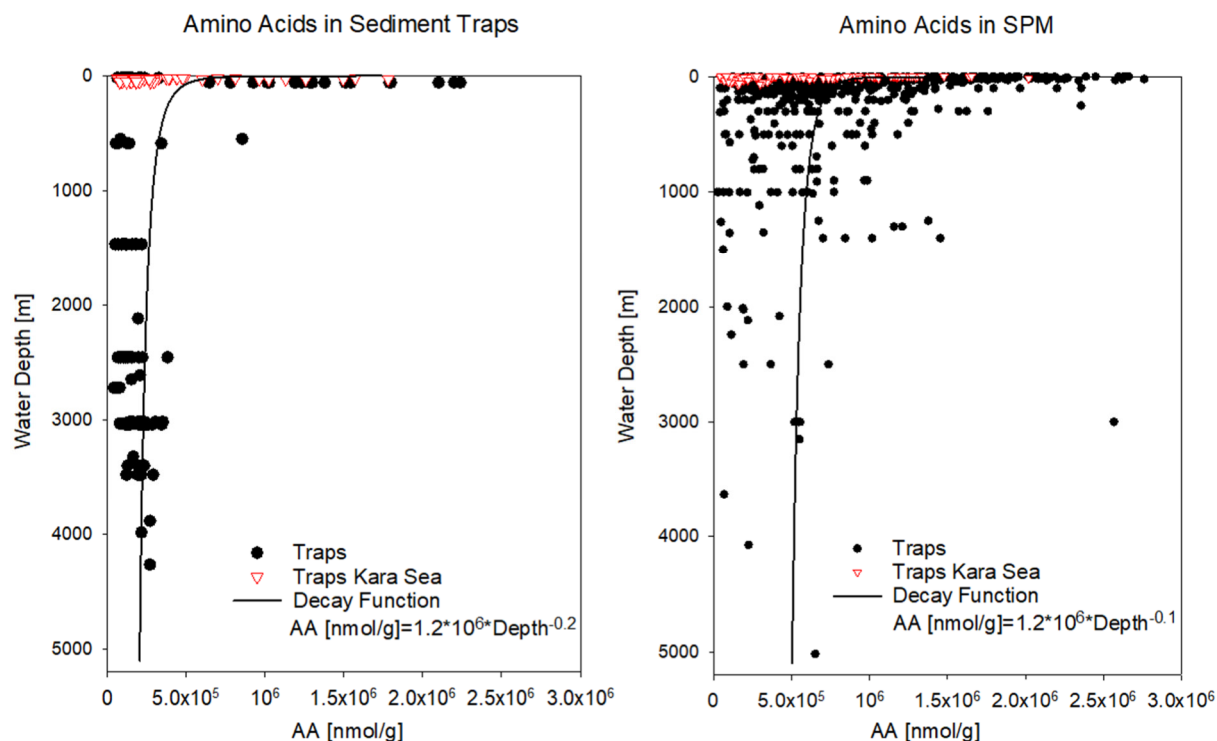



Figure 2: Box and Whisker plots of AA concentrations in nmol g^{-1} or nmol L^{-1} (a) and of AAC/C % (b) in SPM from water depths <200m (SPM<) and >200m (SPM>), in sediment traps at water depth <200m (Trap<) and >200 m (Trap>), in sediments (Sed), in water samples (Water) and in pore water samples (Pore W). Boxes comprise the upper and lower quartile and lines indicate median; whiskers delineate the 10 and 90 percentile; outliers are marked by dots; some outliers above the 90 percentile are cut off in the figure for better perceptibility of trends.

AA concentrations are grouped into SPM and trap samples taken at water depths <200m (shallow) and >200m (deep) (Fig. 2, Table 1). AA concentrations are highest in SPM samples and shallow sediment traps (<200m water depth) with values between 40-4307 µmol g^{-1} (Fig. 2) and averages of 662-908 µmol g^{-1} (Table 1). AA concentrations are lower in traps from water depth >200m with an average of 164 µmol g^{-1}. Sediments have lowest AA concentrations of all particulate matter samples with an average of 50 µmol g^{-1} (Table 1). TDAA concentrations are between 0.6-44 µmol L^{-1} and AA concentrations are lower in water than in pore water samples with averages of 3.2 and 8.8 µmol L^{-1}, respectively. TDAA concentrations of water samples decrease from the epipelagic (2.5±2.9 µmol L^{-1}) to the meso- and bathypelagic ocean (1.2±0.5 µmol L^{-1}) whereas bottom waters have enhanced TDAA concentrations even higher than those in surface waters (6.0±3.4 µmol L^{-1}).

The AAC/C is between 5.4-66 % in SPM and traps samples and the AAN/N (not shown) is between 3.7-100 %. The overall pattern found for AAC/C (Fig. 2b) is similar to the pattern of AA concentrations (Fig. 2a) but there is more overlap of AAC/C between the different groups. Sediments have AAC/C between 2.7-50 % and AAN/N between 3-78 % (not shown). The

364   contribution of AAC to DOC (AAC/C) in water samples is between 4-40 % and in pore water
365   samples between 0.5-9 %.

367   Figure 3: AA concentrations in nmol g^{-1} in sediment traps (a) and SPM (b). Red triangles mark
368   samples from the Kara Sea, black dots are samples from the other trap and SPM locations shown
369   in Figure 1. The decay functions are calculated from samples excluding Kara Sea samples.

371   AA concentrations of sinking and suspended particles decrease with water depth and the most

372   significant decrease occurs in the upper ocean (Fig. 3a). The decay constant of AA of sinking

373   particles is twice as high as the decay constant of AA of SPM (Fig. 3 a, b). Kara Sea samples

374   were excluded from these calculations as their AA concentrations are low due to the strong

375   dilution by material from rivers and resuspended sediments in this near-shore environment (see

376   3.2 and 4.2). It is also notable that AAC/C and AAN/N (not shown) significantly decrease

377   between shallow and deep traps and from deep traps to sediments while AAC/C of SPM show

378   little decrease between shallow and deep samples (Fig. 2b).

3.2 Amino acid composition

381   While the AA concentrations of sinking particles from traps show a distinct decrease with water

382   depths the changes in AA composition are lesser so that we averaged all AA spectra irrespective

of water depths and area of study to compare them with plankton and sediment samples (Figure 4a). Dominant AA in plankton samples are Glu, Gly, Ala and Asp. Sinking particles and sediments are also dominated by these AA but in the order of Gly, Asp, Glu and Ala (Fig. 4a). Mol% Asp, Gly, β-Ala, γ-Aba and Orn increase from plankton via sinking particles to sediments while Mol% of Glu, Ala, Val, Met, Ile, Leu, Tyr and Phe decrease (Fig. 4a). These trends are further continued with depths in sediment cores (not shown).

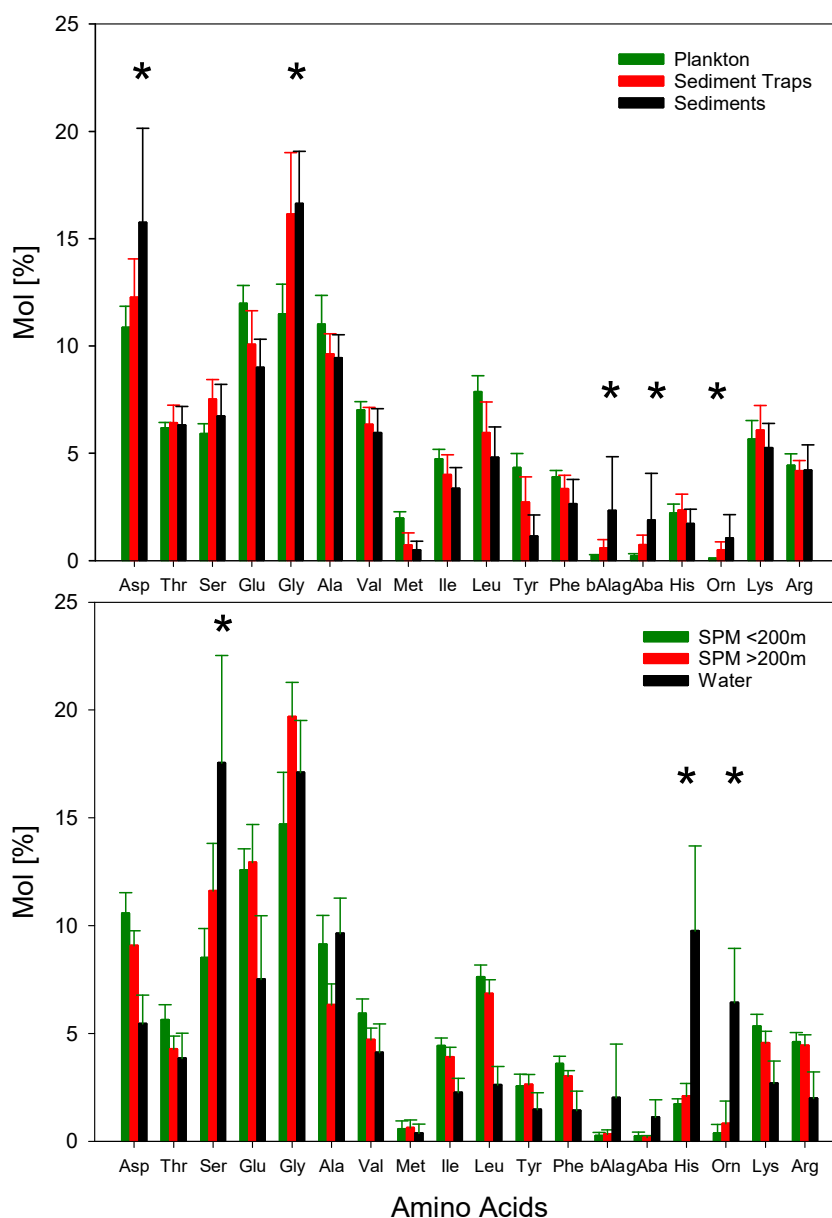



Figure 4: Average concentrations of individual AA (Mol%) and 1 σ standard deviation (vertical bars) in plankton (green), sediment trap (red) and sediment (black) samples (a), in SPM from water depths <200m (green), >200m (red) and TDAA in water samples (black) (b). Asterisks

394   mark the AA with increasing Mol% from plankton via sediment trap samples to sediments (a)
395   and from shallow SPM via deep SPM to TDAA of water samples.

396

397   AA composition of SPM shows a clear trend with water depth with enrichments of Mol% Ser,

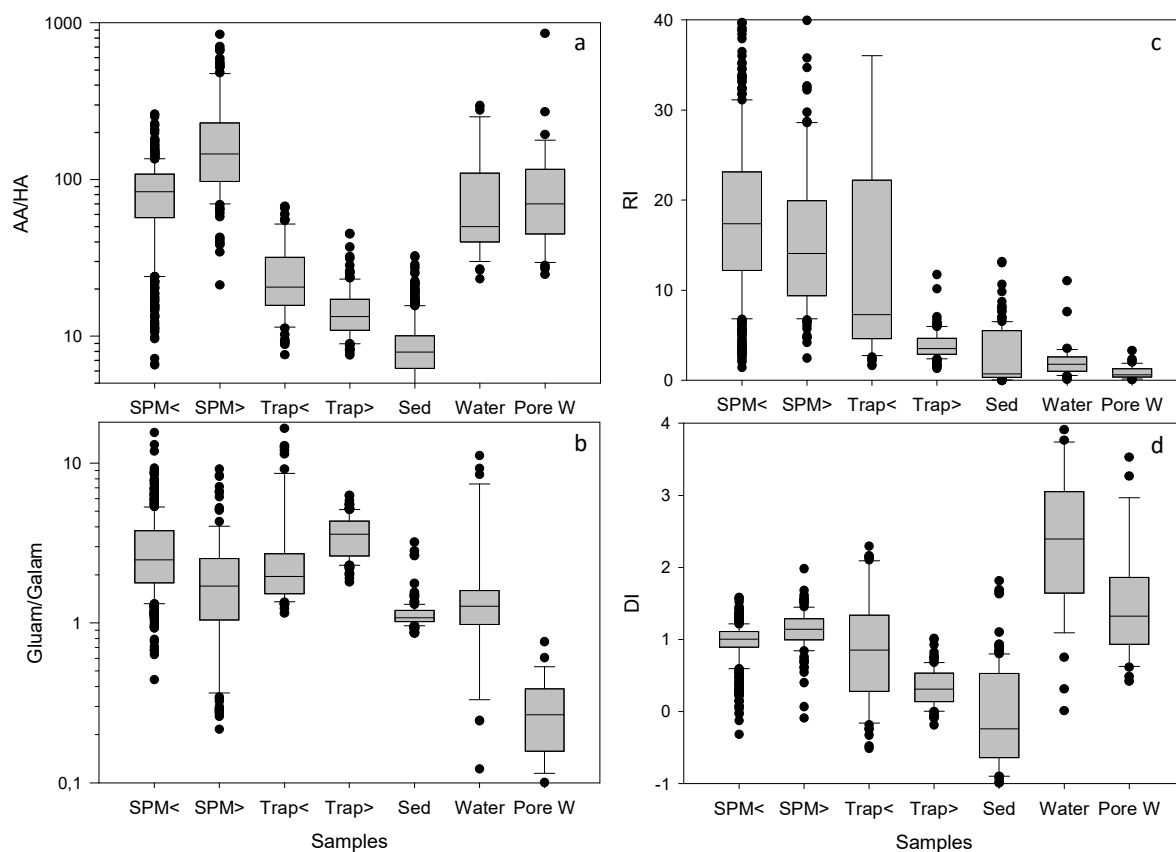
398   Glu, Gly, Orn and His and decreases of almost all other AA from shallow waters (<200 m) to

399   deep waters (>200m) and decreases are most pronounced for Asp, Thr and Lys (Fig. 4b). These

400   trends are partly resumed by TDAA in water samples with a further enrichment of Mol% Ser,

401   Orn and His, while Mol% Glu and Gly slightly decrease in water samples compared with deep

402   SPM (Fig. 4b). AA spectra of pore waters (not shown) are very similar to water samples.

403

405 Figure 5: Box and Whisker plot of AA/HA ratios (a) and Gluam/Galam ratios (b), RI (c) and
406 DI (d) in SPM from water depths <200m (SPM<) and >200m (SPM>), in sediment traps at
407 water depth <200m (Trap<) and >200 m (Trap>), in sediments (Sed), in water samples (Water)
408 and in pore water samples (Pore W). Outliers are marked by dots; some outliers above the 90
409 percentile are cut off for better perceptibility of trends. Note logarithmic scales of AA/HA (a)
410 and Gluam/Galam (b).

411

412   Biogeochemical indicators reveal the subtle depth dependent trends in sediment traps and

413   therefore the biogeochemical indicators were averaged separately for shallow and deep

sediment trap and SPM samples (Fig. 5, Table 1). The AA/HA in SPM and water samples - with averages between 80.2 and 204.6 - are higher than in traps and sediments (Fig. 5a, b; Table 1). The AA/HA decrease from shallow via deep traps to sediments with averages of 25.4, 14.9 and 9.1, respectively. The Gluam/Galam is highest in SPM samples, slightly lower in shallow and deep traps and lower in sediments, water and pore water (Fig. 5a, b; Table 1). The RI (Fig. 5c; Table 1) shows the same pattern as the ratios of Asp/β-Ala and the Glu/γ-Aba (Table 1), with no clear trend between shallow and deep SPM samples and decreases from shallow to deep traps and further to the sediments. Water samples have similar values as sediment samples with average RI of 1.8 and 1.6, respectively, and pore waters have an even lower average RI of 0.9. Similar to the RI the DI is within the same range in shallow and deep SPM samples and the mean values are very close (Fig. 5d; Table 1) while the DI decreases from shallow sediment traps via deep traps to sediments. In contrast to the RI where water samples have lowest values, the highest DI values are found in water and pore water samples. The DOM-DI averages of 2.0±0.6 and 2.1±0.7 in water and, respectively, pore water samples are in fact very close to the DI averages (Table 1).

In summary, common biogeochemical indicators of organic matter degradation (RI, Asp/β-Ala, Glu/γ-Aba) and bacterial OM accumulation (AA/HA, Gluam/Galam) drop and thus imply increasing degradation between shallow and deep sediment traps and between deep traps and sediments, while these indicators reveal little or no degradation with depth in SPM as the patterns of relative enrichment vs. decreases found in SPM and partly also in TDAA of water and pore water samples differ from the degradation pathway depicted by common biogeochemical indicators (Fig. 4, 5; Table 1). The enhanced DI values furthermore, imply that water and SPM samples are less degraded than deep trap and sediment samples and that TDAA in water and pore water samples are least degraded.

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Table 1: Mean values and standard deviation (Stdev.) of POC [%], DOC [mg/L], amino acid (AA) concentrations [µmol/g or µmol/L], AAC/C%, AAN/N%, ratios of Asp/β-Ala, Glu/γ-Aba, AA/HA and Gluam/Galam, the RI, DI, SDI*, RTI* and ox/anox ratio summarized in traps at <200m and >200m water depth, sediments, SPM <200m and >200m water depth, water samples and pore water samples. *definition of these indicators in part 4.2 below.

		Trap <200m	Trap >200m	Sediment	SPM <200m	SPM >200m	Water	Pore Water
POC; DOC [%; mg/L]	Mean	13.6	5.3	1.8	14.9	10.6	0.8	13.0
	Stdev.	±11.4	±1.9	±2.2	±8.3	±4.8	±0.2	±8.3
Amino Acids	Mean	631.3	164.1	49.8	907.7	661.9	3.2	8.8
[µmol/g; µmol/L]	Stdev.	±602.0	±93.8	±82.3	±637.6	±434.7	±3.2	±7.9
AAC/C [%]	Mean	26.5	15.5	10.8	33.9	32.7	10.1	2.8
	Stdev.	±8.6	±4.2	±5.9	±12.6	±10.5	±6.5	±2.6
AAN/N [%]	Mean	57.0	38.3	24.2	65.6	61.6		
	Stdev.	±14.8	±8.8	±12.8	±18.4	±26.4		
Asp/β-Ala	Mean	71.8	19.2	10.5	57.9	47.3	10.2	2.6
	Stdev.	±63.4	±19.5	±6.6	±64.7	±44.9	±14.7	±2.4
Glu/γ-Aba	Mean	45.7	12.9	8.5	103.6	105.3	8.5	8.8
	Stdev.	±38.8	±7.2	±6.9	±122.7	±69.6	±7.0	±10.2
AA/HA	Mean	25.4	14.9	9.1	84.6	204.6	80.2	106.8
	Stdev.	±14.7	±6.6	±4.6	±42.7	±179.1	±65.2	±142.7
Gluam/Galam	Mean	3.2	3.6	1.2	3.0	2.1	1.6	0.3
	Stdev.	±3.3	±1.1	±0.3	±1.8	±1.7	±2.4	±0.2
RI	Mean	15.1	3.9	1.8	18.8	15.7	1.6	0.9
	Stdev.	±16.4	±1.5	±2.2	±10.6	±8.3	±1.8	±0.7
DI	Mean	0.9	0.3	-0.5	1.0	1.1	2.1	1.5
	Stdev.	±0.8	±0.3	±0.8	±0.1	±0.3	±1.1	±0.9
SDI	Mean	1.1	0.0	-0.9	0.8	0.8	-0.7	-0.8
	Stdev.	±0.2	±0.2	±1.0	±0.2	±0.3	±0.4	±0.3
RTI	Mean	0.7	0.1	0.6	0.2	-1.0	-2.8	-2.7
	Stdev.	±0.3	±0.3	±0.4	±0.5	±0.4	±0.6	±0.4
ox/anox	Mean	1.2	1.3	2.2	1.1	1.0	0.8	0.7
	Stdev.	±0.3	±0.2	±1.1	±0.1	±0.1	±0.3	±0.3

452

453

4 Discussion

4.1 Changes during organic matter degradation

Our summary of AA data from various locations in the world ocean corroborates earlier findings that degradation of organic matter by zooplankton and microbes imparts characteristic changes to AA spectra so that the differences in AA composition are much larger between types of organic matter and from different water and sediment depths than between different oceanic areas (Lee, 1988). The AA spectra track the successive degradation of organic matter during sedimentation from the plankton source via sinking particles, their incorporation into sediments

and their further degradation after burial. The most characteristic changes along this sedimentation pathway are the relative enrichments (in Mol%) of Gly, Asp and the non-protein AA β-Ala, γ-Aba and Orn and the relative decrease of AA produced by fresh plankton such as Glu, Ala, Val, Met, Ile, Leu, Tyr and Phe (Fig. 4a). These changes are depicted by the common biogeochemical indicators: the ratios of proteinaceous AA vs. non-protein AA (RI and Glu/γ-Aba) decrease along this pathway. Asp/β-Ala ratios also decrease because β-Ala becomes relatively more enriched than Asp. The DI, originally derived from sediment samples of different degradation states (Dauwe et al., 1999; Dauwe and Middelburg, 1998), decreases from positive values in fresh plankton and most sinking particles to negative values in sediments as it integrates the products of Asp and Gly multiplied with negative factors, and the products of Glu, Met, Ile, Leu, Tyr and Phe multiplied with positive factors (Dauwe et al., 1999).

In contrast, the AA in SPM evolve along a different path than the sedimentation pathway (Gaye et al., 2013b). The increases in Mol% Ser, His and Orn and the decreases in Mol% Asp from shallow (<200 m) to deep SPM (>200m) and even further in TCAA of sea water and pore water are either not depicted or even contrary to the trends depicted by the common biogeochemical indicators (Fig. 4b). The striking difference in AA distribution of SPM (Fig. 4) on the one hand and sinking particles and sediments on the other hand as well as the different depth dependent trends (Fig. 4, 5) suggest that there is little exchange between the two types of particles in the ocean. Sinking particles build up sediments and the degradation pathways evident in the water column - namely the accumulation of degradation products and acidic AA often absorbed to carbonates - continue in the sediments. Novel biogeochemical indicators are required for SPM and possibly also DOM to characterize their AA changes.

4.2 Results of a PCA: two new biogeochemical indicators

A PCA of individual AA (Mol %, Fig. 6a) of all samples compiled in this study results in two factors which explain 59 % of the total variance within the data set. The first factor delineates the well-known changes along the degradation pathway from plankton via sinking particles to sediments. Phe, Ile, Leu, Glu and Tyr (enriched in fresh plankton) have the highest F1 loadings while Asp, ß-Ala and γ-Aba (accumulating during degradation) have the lowest negative F1 loadings. Highest F2 loadings are found for Asp, Thr, Lys and Val while Gly, Orn, His and Ser have the most negative F2 loadings. Factor scores of the individual samples (Fig. 6b) plot in a triangular shape with plankton and fresh organic matter from surface waters at the apex with

494 highest F1 and F2 scores. The diverging sides of the triangle mark sinking particles and

495 sediments decreasing in F1 scores on one side and SPM with decreasing F2 scores on the other

496 side (Fig. 6b). Similar trends were observed in earlier studies based on local data sets (Nagel et

497 al., 2016; Gaye et al., 2013b). That samples from greatly different environments reveal the same

498 divergence between sinking particles and SPM with only little overlap (Fig. 4) suggest a general

499 mechanism operating globally. Most of the overlap encompasses SPM and sediment trap

500 samples from the Kara Sea all sampled at water depths below 100 m. The Kara Sea is

501 characterized by sediment resuspension related to strong riverine input in combination with sea

502 ice dynamics so that many of the Kara Sea SPM and trap samples are mixed with resuspended

503 sediments (Gaye et al., 2007; Unger et al., 2005). TDAA analyzed in water and pore water form

504 a cluster with significantly different AA composition from particulate matter, but instead

505 recapitulating the enrichments of Mol% Ser, His and Orn observed in SPM (Fig. 6b).

506 The precise separation of the degradation pathway of sinking particles and sediments from SPM

507 and DOM by the PCA suggests that we can use the first factor (F1) to calculate a new sinking

508 particle and sediment degradation index (SDI)

509 $$SDI = \sum_i \left(\frac{var_i - AVG.var_i}{STD.var_i} \right) \times Loadings.F1_i \qquad (4)$$

510 where var_i is the original mole percentage of each AA_i, $AVGvar_i$ and $STDvar_i$ are the mean and

511 standard deviations, respectively, and $Loading.F1_i$ is the factor loading of the first axis (F1) of

512 the PCA of the individual amino acid$_i$ shown in Table 2. Most of the F1 loadings resemble those

513 of the DI of Dauwe et al. (1999) (Table 2) and the SDI and DI thus are significantly correlated

514 (Table 3).

515 The second factor (F2) - normalized in the same way with the averages and standard deviations

516 of the same PCA - can be used as an indicator of changes in the AA composition of SPM

517 possibly related to the residence time or renewal time of the water mass they are transported

518 with (see discussion in 4.2.2). With longer residence time in the ocean the organic matter in

519 SPM is likely to become more recalcitrant and the indicator and is therefore named residence

520 time index (RTI)

521 $$RTI = \sum_i \left(\frac{var_i - AVG.var_i}{STD.var_i} \right) \times Loadings.F2_i \qquad (5)$$

522 and is calculated in the same way as the SDI but the factor loadings of the second axis (F2) of

523 the PCA of the individual amino acid$_i$ (Table 2) is inserted for the term $Loadings.F2_i$.

524

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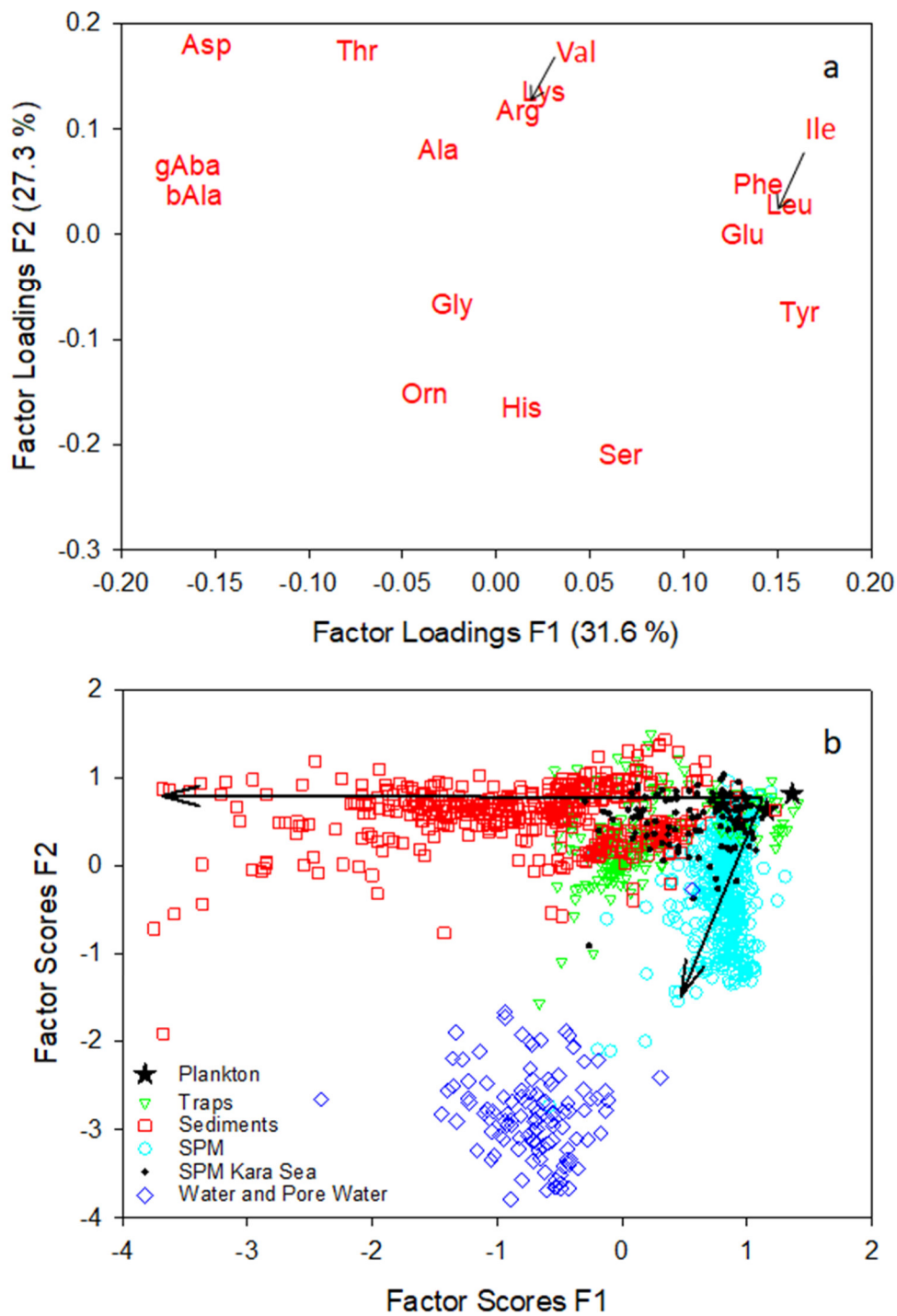
Figure 6: Results of a PCA of AA (Mol%) of all samples of this study with factor loadings of amino acids for the first and second factor (a) and factor scores of samples (b). Small arrows in (a) point to the positions of Val and Ile, respectively. Arrows in (b) indicate progressive deviation in composition from the plankton source, essentially with increasing water and sediment depths.

531

532   The SDI allows a separation of trap samples from shallow water depth from those of greater

533   depths (Figure S1). All samples from deep sediment traps have SDI values below 0.5. Likewise,

534   SPM from >200 m depths have lower RTI than most of the samples from shallower depths.

535   Deep trap samples and deep SPM samples form two clearly separated clusters with different

536   SDI and RTI (see Figure S1 for further details).

537   Table 2: Factor loadings of F1 and F2 for calculating the SDI and RTI, respectively, average
538   [Mol%] and standard deviations (Std. Dev.) of AA of samples used for the PCA shown in Figure
539   5 in comparison with the factor loadings (named factor coefficients) of the DI published by
540   Dauwe et al (1999) and their averages [Mol%] and standard deviations used for the DI based
541   on 28 sediment samples.

Amino Acid	Loadings F1 SDI	Average [Mol%]	Std. Dev.	Loadings F2 RTI	DI	DI Average [Mol%]	DI Std. Dev.
Ser	0.067	8.7	3.6	-0.210	0.015	7.2	1.9
His	0.014	2.4	2.3	-0.166	0.158	1.0	0.8
Orn	-0.038	1.2	1.9	-0.152	-	-	-
Tyr	0.162	1.9	1.1	-0.075	0.178	2.1	1.2
Gly	-0.023	16.2	2.8	-0.068	-0.099	17.6	3.8
Glu	0.132	10.5	2.5	-0.001	0.065	10.0	2.3
Leu	0.157	5.9	1.9	0.027	0.169	6.6	1.5
Ile	0.148	3.8	1.0	0.028	0.139	4.5	0.8
β–Ala	-0.161	1.3	2.0	0.036	-	-	-
Phe	0.140	2.9	1.0	0.047	0.134	3.2	1.2
γ–Aba	-0.164	1.0	1.6	0.064	-	-	-
Ala	-0.030	9.1	1.5	0.080	-0.043	11.8	0.8
Arg	0.012	4.2	1.1	0.117	-0.115	6.1	2.3
Val	0.020	5.7	1.1	0.132	-0.044	7.6	1.1
Lys	0.026	5.1	1.2	0.135	-	-	-
Thr	-0.074	5.7	1.2	0.174	-0.129	7.1	1.5
Asp	-0.154	12.2	4.3	0.179	-0.102	13.4	2.7

542

543

4.2.1 The SDI as an indicator of degradation and oxic vs. anoxic diagenetic conditions of
545   **sinking particles and sediments**

546   In order to test the performance of our new degradation indices, we separated SPM samples

547   from sinking particles and sediments and correlated the common biogeochemical indicators and

548   individual AA (Mol %) of SPM with the RTI of individual samples while we correlated the

549   same variables of sinking particles and sediments with the SDI (Table 3). We assume that

550   correlations with Pearson correlation coefficients R>0.50 can be considered as "strong

551   correlations" (Cohen, 1988). The SDI correlates moderately to strongly with the common

degradation indicators and the best positive correlation is found between SDI and the DI (Table 3). The strong correlation among the degradation indicators with POC contents indicates that this common and often measured variable is a good indicator of relative organic matter quality in sinking particles and sediments and all other degradation indices do not perform better than POC concentrations (see correlation coefficients in Table 3). The DI and the SDI, which are to some extent interchangeable, allow a fine tuning of degradation intensities. The most significant negative correlation of the ox/anox ratio with the SDI is preconditioned, as the ox/anox is the quotient of AA enriched by degradation to those enriched in fresh plankton. It should be noted that this negative correlation is even better than the positive correlation of the DI and the SDI. A close look at the SDI and ox/anox in sediment samples suggests that the SDI can be used to distinguish between oxic and anoxic diagenetic conditions (Fig. 7). The sediment samples deposited in regions of bottom water anoxia (surface samples from Namibian shelf at < 200 m depths; a core from the Arabian Sea slope at 775 m) have lower ox/anox ratios and distinctly higher SDI values compared with the samples from similar depths and oxygenated bottom water (e.g. Mediterranean Sea, Kara Sea) (Fig 7a). The SDI performs better than the DI to determine diagenetic conditions as the DI less significantly correlates with the ox/anox indicator (Figure S2). The best fit between the SDI and the ox/anox in sediment samples is an exponential correlation with a correlation coefficient of R=-0.95 while the DI and the ox/anox correlate exponentially with an R=-0.79 (Fig. 7a, Figure S2). The SDI better depicts the spectral changes in samples deposited under anoxic diagenetic conditions such as those from the Namibian shelf (Nagel et al., 2016) and the Arabian Sea mid-water oxygen minimum zone (Suthhof et al., 2001) while the ox/anox ratio better resolves variations in samples of strong oxic degradation so that the SDI is in fact better suited to determine the threshold of anoxic vs. oxic diagenesis. Another indication of this quality of the SDI is that the anoxic sediments have SDI values in the range of sediment trap samples from the water column while they decrease under oxic diagenetic conditions (Fig. 7b). Further, the SDI also correlates with other indicators of oxic vs. anoxic conditions. The core SO90-111 KL from within the mid-water oxygen minimum impinging on the Pakistan margin, was used to reconstruct changes in oxygenation during the last 60 ka BP based e.g. on $\delta^{15}N$ values of total N (Suthhof et al., 2001). The $\delta^{15}N$ values fluctuated between enhanced values in warm phases due to denitrification in the mid-water oxygen minimum and lower values in cold phases when the oxygen minimum zone was weaker or absent (Suthhof et al., 2001). The SDI very precisely tracks these changes (Fig. 7c) and in accordance with the threshold discernable in Fig. 7a and b we propose that the divide between oxic and anoxic

585 diagenetic conditions is at SDI values between 0 and -0,2 with SDI<-0.2 indicating oxic and

586 SDI >0 indicating anoxic diagenetic conditions (Fig. 7a, b) and we propose to use this indicator

587 to reconstruct redox conditions from sediment cores. The work of (Carr et al., 2016) - relying

588 on the DI – suggests that signals of changes in redox conditions can be preserved even down to

589 200 m core depth.

590

591 Table 3: Pearson correlation coefficients of the SDI, RI, DI, Asp/β-Ala and Glu/γ-Aba with
592 selected AA*, the RTI, AAC/C, AAN/N, AA ratios and degradation indices, water depth
593 (Depth), POC and TN contents (%) and AA concentrations (nmol/g) in sediment trap and
594 sediment samples (column 2-6). Pearson correlation coefficients of the RTI, RI, DI, Asp/β-Ala
595 and Glu/γ-Aba with selected AA, the SDI, AAC/C, AAN/N, AA ratios and degradation indices,
596 water depth (Depth), POC and TN contents (%) and AA concentrations (nmol/g) in SPM
597 samples (column 8-12).

598 * Only AA with a correlation coefficient R≥0.50 with at least one of the indicators are shown.

1	2	3	4	5	6	7	8	9	10	11	12
Traps and Sediments	SDI	RI	DI	Asp/β-Ala	Glu/γ-Aba	SPM	RTI	RI	DI	Asp/β-Ala	Glu/γ-Aba
Asp	-0.68	-0.30	-0.83	-0.25	-0.32	Asp	0.81	0.00	-0.61	0.13	-0.17
Thr	0.12	-0.25	-0.25	0.03	0.07	Thr	0.86	-0.02	-0.57	0.06	-0.16
Ser	0.32	0.03	0.16	0.09	0.16	Ser	-0.91	-0.15	0.08	-0.11	-0.01
Gly	-0.21	-0.32	-0.45	-0.31	-0.31	Gly	-0.90	-0.17	0.12	-0.11	0.04
Ala	0.46	0.07	0.17	0.03	0.11	Ala	0.91	0.09	-0.43	0.11	-0.09
Val	0.67	0.31	0.48	0.29	0.31	Val	0.88	0.07	-0.26	0.08	-0.09
Ile	0.87	0.48	0.76	0.52	0.55	Ile	0.68	0.34	0.26	0.26	0.02
Leu	0.88	0.49	0.76	0.52	0.56	Leu	0.65	0.47	0.28	0.35	0.11
Tyr	0.72	0.39	0.76	0.34	0.32	Tyr	-0.14	0.27	0.66	0.02	0.23
Phe	0.89	0.38	0.79	0.40	0.44	Phe	0.78	0.37	0.04	0.29	0.06
β-Ala	-0.85	-0.27	-0.44	-0.32	-0.35	β-Ala	-0.08	-0.66	-0.19	-0.52	-0.12
γ-Aba	-0.79	-0.25	-0.37	-0.28	-0.35	γ-Aba	0.24	-0.53	-0.65	-0.20	-0.40
Lys	0.56	0.14	0.43	0.15	0.15	Lys	0.71	0.05	-0.20	0.03	-0.06
SDI		0.45	0.82	0.46	0.51	SDI	0.07	0.49	0.83	0.23	0.28
RTI	0.04	-0.01	-0.26	0.03	-0.05	RTI		0.10	-0.34	0.12	-0.08
AAC/C%	0.56	0.51	0.53	0.56	0.56	AAC/C%	-0.08	0.14	0.22	0.06	0.09
AAN/N%	0.55	0.40	0.49	0.47	0.47	AAN/N%	-0.05	0.06	0.03	-0.06	0.08
AA/HA	0.54	0.58	0.54	0.73	0.75	AA/HA	-0.57	0.11	0.32	-0.01	0.16
Gluam/Galam	0.36	0.43	0.44	0.40	0.35	Gluam/Galam	0.27	0.43	0.16	0.28	0.08
Asp/β-Ala	0.46	0.93	0.57		0.85	Asp/β-Ala	0.12	0.74	0.10		0.07
Glu/γ-Aba	0.51	0.93	0.56	0.85		Glu/γ-Aba	-0.08	0.37	0.24	0.07	
RI	0.45		0.49	0.93	0.93	RI	0.10		0.33	0.74	0.37
DI	0.82	0.49		0.51	0.56	DI	-0.34	0.33		0.10	0.24
ox/anox	-0.91	-0.30	-0.71	-0.31	-0.37	ox/anox	0.67	-0.19	-0.50	-0.10	-0.09
Depth	-0.67	-0.28	-0.54	-0.28	-0.37	Depth	-0.55	-0.12	0.23	-0.11	0.04
POC	0.57	0.79	0.67	0.77	0.76	POC	0.27	0.30	0.13	0.25	-0.03
N	0.45	0.46	0.50	0.39	0.39	N	0.29	0.30	0.13	0.24	-0.01
AA	0.51	0.84	0.60	0.79	0.76	AA	0.17	0.28	0.15	0.20	0.01

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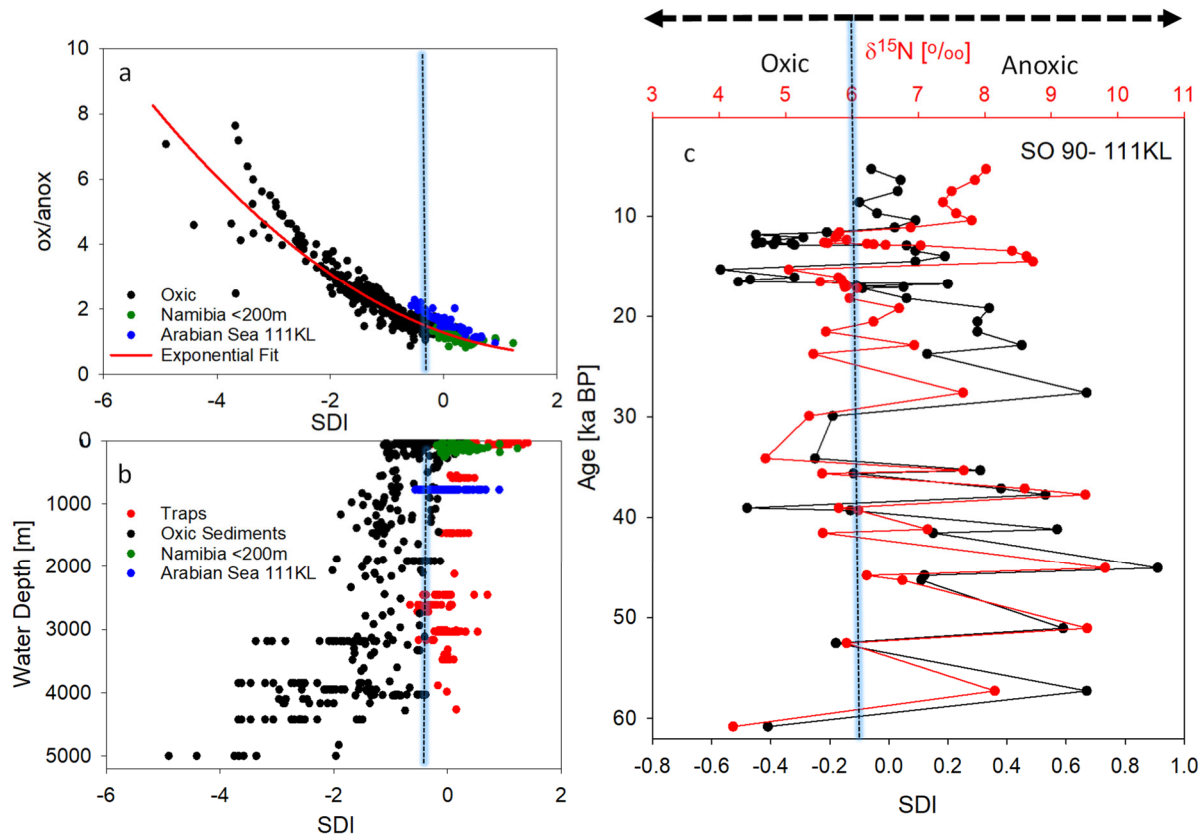
Figure 7: SDI indicator plotted against the ox/anox ratio with dots indicating oxic sediments
(black) and suboxic to anoxic sediments from Namibia (green) and the Arabian Sea (blue), the
red line marks the exponential fit to all sediment samples with an R=0.95 (a). SDI plotted with
water depth (in m) of sediment trap deployment (red) and of sediment sampling (see color code
of a) (b). SDI and the $\delta^{15}N$ of total N with sediment depth in sediment core SO90-111 KL
correlated with an R=0.71 (c); the blue bar marks the threshold of the SDI delimiting oxic and
anoxic diagenetic conditions at an SDI value of about -0.1.

4.2.2 The RTI as an indicator of suspended matter residence time

Changes in SPM composition between shallow and deep waters (Fig. 4b) are depicted by a
decrease of the RTI which is due to the relative depletion of Asp, Thr, Lys and Val with highest
positive factor loadings and the enrichment of Ser, His and Orn with the most negative factor
loadings (Table 2). Both, Ser and Gly (Mol %) are strongly linearly anticorrelated with the RTI
(R=-0.91 and -0.90) showing that they can be used instead of the RTI to characterize SPM if
not all AA used for the RTI can be measured. The anticorrelation of the RTI with water depths
(R=-0.55; Table 3) is due to the RTI decrease in the upper 200 m only. Below this depth there
is no further trend in the RTI and values scatter between -0.5 and -1.5 (Fig. 8).

620   The results of our PCA also show that below 200 m SPM becomes distinctly decoupled from

621   sinking aggregates (Fig. 7, Figure S1). Both, sinking particles and SPM sampled in the upper

622   ocean mixed layer and euphotic zone resemble fresh plankton whereas below the surface mixed

623   layer they follow different pathways and the chance that SPM and sinking particles interact

624   obviously decreases with water depth which could be due to the scarcity of both types of

625   particles in the deep ocean (McCave, 1984). Our AA results support previous studies on SPM

626   (using e.g. thorium isotopes, radiocarbon and biomarkers) which found that the interaction

627   between sinking particles and SPM by aggregation and disaggregation strongly decreases from

628   the euphotic zone to the meso- and bathypelagic zone and that SPM rather interacts with DOM

629   due to the long residence time of both in the deep ocean (Lam and Marchal, 2015). The observed

630   constant AA composition of SPM below 200 m water depth could be explained by a recalcitrant

631   nature making the AA barely accessible to further microbial degradation. Alternatively, and in

632   analogy to observations of DOC, it could be due low concentrations of SPM which rather than

633   their recalcitrance limits prokaryotic growth and thus organic matter degradation in the deep

634   ocean (Arrieta et al., 2015). The age of the water masses in the upper ocean mixed layer is less

635   than 100 years while deeper waters have ages of several 100 years to maxima of 1600 years in

636   the deep Indian and Pacific Oceans (England, 1995; Gebbie and Huybers, 2012). The constantly

637   low RTI below the mixed layer is thus related to the long residence time of deep SPM in the

638   deep ocean. An earlier study using detailed ventilation ages available from the Atlantic and

639   Pacific subtropical gyres at stations BATS and respectively, HOT showed that changes in AA

640   composition of SPM took place within a few decades (Kaiser and Benner, 2009).

641   Solubilization of particulate matter by exoenzymes and the subsequent uptake in dissolved form

642   (Carlson and Hansell, 2015; Aristegui et al., 2009) leads to an almost complete turnover of

643   originally diverse surface derived organic matter. It is thus feasible that bacterial biomass

644   comprises a large amount of organic matter in compartments of long residence times. However,

645   fresh bacteria and fungi have quite similar AA composition as plankton (Cowie and Hedges,

646   1992) while SPM AA composition is fundamentally different. The high AA/HA ratios not

647   having a clear trend with water depth also suggest that the contribution of bacterial biomass to

648   SPM is small and does not increase with water depth (Table 1; Fig. 5a, b). The observed changes

649   in SPM are thus more likely related to adsorption processes and macromolecule formation of

650   material not digestible to deep sea organisms and resistant to their enzymes. DOM was shown

651   to become adsorbed to mineral surfaces (Keil and Kirchman, 1993; Keil and Kirchman, 1994;

652   Keil et al., 1994; Arnarson and Keil, 2005, 2007). However, degradation of adsorbed AA

653   proceeds on particles (Satterberg et al., 2003; Taylor, 1995). Thus, the constant AA composition

654   in SPM at depths >200 m may indicate that SPM is in equilibrium with TDAA which likewise

655   show no clear depth dependent changes in AA composition (Figure S3, S4). Feasible candidate

656   processes to explain the homogeneity are AA scavenging by SPM or formation of gels (3D

657   networks = biopolymers) which can anneal to larger sizes so that part of the dissolved AA can

658   be passed from the dissolved to the particulate organic carbon pool (Druffel and Williams,

659   1990; Orellana and Leck, 2015). This process is, however, reversible so that there is probably

660   an exchange between the gel and particulate matter phase as well as between gels of different

661   sizes and complexities depending on pH, temperature, the presence of ligands, pollutants or UV

662   radiation (Orellana and Leck, 2015). Generally, hydrophobic AA (Ala, Val, Met, Ile, Leu, Phe,

663   Pro, Trp) and aromatic AA (His, Tyr) are more likely to form gels and aggregates (Orellana and

664   Leck, 2015). Our results indicate that an equilibrium may be attained between the dissolved

665   phase and SPM after a relatively short time so that the AA composition of SPM is constant

666   below 200 m water depth. If there is no further significant scavenging of SPM by sinking

667   particles and no degradation of AA on SPM, their abundance could increase due to further

668   adsorption of DOM with increasing age on the ocean conveyer belt. However, large

669   zooplankters may be able to utilize the SPM pool (Koppelmann et al., 2009; Gloeckler et al.,

670   2018; Hannides et al., 2013) and further studies are required to elucidate the fate of SPM in the

671   ocean.

672

673   **4.3 Contents and composition of total dissolved amino acids in sea water and pore water**

674   The TDAA concentrations show a decrease from the epi- to the mesopelagic ocean similar to

675   many earlier findings (Davis et al., 2009; Kaiser and Benner, 2009; Kim et al., 2017) whereas

676   the spectra of TDAA sampled in the oligotrophic Indian Ocean Subtropical Gyre and the deep

677   Pacific are uniform with water depth. This is also reflected in the DOM-DI which does not

678   show any trend neither with depth in the water column nor in sediments (Figure S4). Further,

679   the difference between water and pore water samples is small and Ser, Gly and His are

680   uniformly the major TDAA in sea water and pore water. It is possible that the selective

681   accumulation of these AA in the dissolved phase is due to their excretion or their association

682   with exoenzymes. Ser is present in N-acyl homoserine lactone (AHLs) which is a class of

683   bacterially produced signaling molecules involved in bacterial quorum sensing; these

684   compounds serve to regulate growth by changing gene expressions, for example, in order to

685   influence population density or phenotype (Parsek et al., 1999; Klein et al., 2009). His changes

686   from its protonated to deprotonated form at a pH of 6 and is therefore often present at the active

687   sites of enzymes. Ser and Gly may simply remain dissolved in sea water as they are hydrophilic.

688   Once mixed into the deeper ocean the scarcity of bacteria or the incorporation of AA into gels

689   could be the reason for their recalcitrance. However, we do not assume that a considerable part

690   of the TDAA belong to dissolved free AA. Because the differences between samples from

691   different regions are much smaller than the difference between the molecular weight fractions

692   and sea water vs. pore-water (Figure S3), we surmise that the formation and transformation

693   processes of DOC are very uniform in the ocean. This assumption is based on limited data so

694   that these results are rather preliminary. We also do not have enough spatial coverage of SPM

695   and TDAA data in the deep ocean to detect AA utilization by organisms or sorption and

696   desorption processes. Both these organic matter pools are large (see below), so that such

697   investigations are important to estimate the possible role of these pools in oceanic carbon

698   sequestration and the reactions to global change (Ridgewell and Arndt, 2015; Lonborg et al.,

699   2018).

700   Our AA yield with AA-C/C of 10.1±6.5% are in the high range of studies from the literature.

701   In some of the previous studies AAC/C was between 0.4 and 4 % with a reduction from 1-4 %

702   AAC/C in surface waters to 0.4-0.8 % in waters >1.000 m. This reduction was moreover,

703   associated with a progressive AA degradation reflected in the DI and the DOM-DI at some of

704   the sampling stations (Kim et al., 2017; Davis and Benner, 2005; Kaiser and Benner, 2009).

705   These lower yields may however, be due to different hydrolysis conditions as these studies used

706   water vapor hydrolysis at higher temperatures (150°C) but for a much shorter duration (32.5

707   minutes). Studies using the same hydrolysis conditions as this work reported AAC/C of 5-10

708   % (Ittekkot, 1981; Keil and Kirchman, 1999; McCarthy et al., 1997).

709

710   4.4 δ^{15}N values in sinking and suspended matter and evidence for nitrogen sources and

711   transformation processes

712   The δ^{15}N values in sediments can preserve information on N sources throughout the geological

713   history (Sun et al., 2019; Gaye et al., 2018; Kienast et al., 2008). However, δ^{15}N values may be

714   modulated by organic matter cycling and diagenetic processes which are replicated and thus

715   traceable in the AA composition not least because AA are the main identifiable contributors to

716   N in particulate organic matter. The increase of δ^{15}N values by about 2 ‰ on average during

organic matter burial and early diagenesis in the upper sediments (Robinson et al., 2012; Tesdal et al., 2013) is corroborated by a parallel shift in AA based degradation indicators (Gaye-Haake et al., 2005; Möbius et al., 2010). In contrast to sediments, there are no clear depth related trends in $\delta^{15}N$ values of sinking particles in the water column of the epi- to mesopelagic ocean (Gaye-Haake et al., 2005; Yang et al., 2017; Altabet, 2006). AA based biogeochemical indicators revealed degradation with depth at specific trap sites (Haake et al., 1993a) and $\delta^{15}N$ analyses of individual amino acids showed that degradation is proceeding on sinking particles with $\delta^{15}N$ changes of "trophic" AA while $\delta^{15}N$ of "source" AA remained constant (McCarthy et al., 2007). However, degradation of sinking particles is much smaller than degradation at the sediment water interface and in our large data set that integrates many different areas of study the small to moderate changes in AA degradation are obviously obliterated, as neither AA concentrations (Fig. 3), nor the SDI (Fig. 7), the AAC/C % (Figure S5) nor AAN/N % (not shown) reveal any significant trends in sinking particles in the deep ocean.
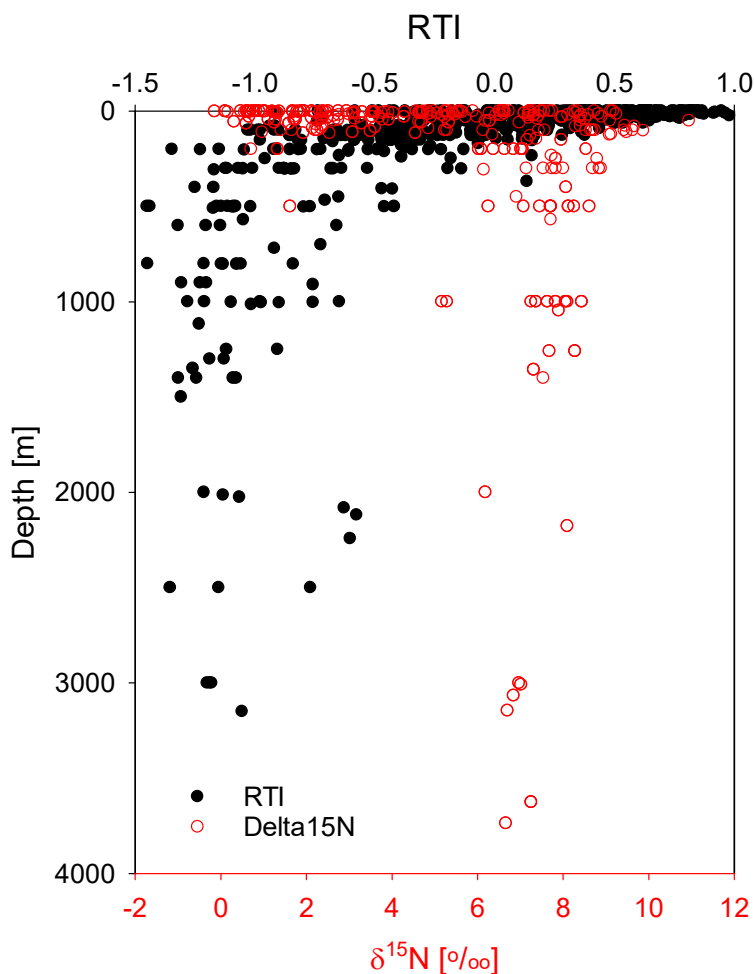
Figure 8: The RTI (black dots) and the $\delta^{15}N$ values of SPM with water depths [m] (red circles).

AA composition of SPM as expressed in the RTI is constant and SPM is rather recalcitrant at water depths >200 m. Paralleling this, the δ^{15}N values of SPM are about 6-8 ‰ on average in all our studies carried out (Fig. 8). In previous studies δ^{15}N values of SPM where reported to increase from ≤5 ‰ in surface waters to values between 6-8 ‰ below 200 m water depth which was attributed to organic matter degradation on SPM (Yang et al., 2017; Altabet et al., 1991; Hannides et al., 2013; Emeis et al., 2010). However, SPM samples from the Arabian Sea upwelling area show decreasing δ^{15}N values from an average of 8.6 ‰ at water depth above 200 m to 7.4 ‰ at depths below 200 m (Gaye et al., 2013b). It is thus reasonable that SPM has a constant δ^{15}N value in the mesopelagic and bathypelagic ocean. This is an additional indicator of a common process determining the AA composition and their δ^{15}N values of SPM and probably also of DOM sampled below water depths of 200 m (equivalent to an age of ≥100 years; (England, 1995; Gebbie and Huybers, 2012).

4.5 Abundance of amino acids in the ocean

Based on POC, TN and AA fluxes and the area of the open ocean and shallow seas (Costello et al., 2010) we can estimate annual downward fluxes (Table S6). Average POC flux of compilations of trap fluxes were between 1.65 g m^{-2} a^{-1} (Wilson et al., 2012) and 2.74 g m^{-2} a^{-1} (Rixen et al., 2019a) while our subset of trap samples from the open ocean (>2000 m water depth) averages to 3.06 g m^{-2} a^{-1}. For open ocean traps this results in total fluxes of 0.51-0.94 PgC a^{-1}. Our average flux estimates for TN are 0.13 PgN a^{-1} and for AAC are 0.15 PgAA a^{-1}. The flux rates over the shelves and slopes bear, however, large uncertainty because productivity is by several orders of magnitude higher than in offshore areas and spatially variable. Our first estimate, simply based on an average of our fluxes caught in traps deployed in areas of water depth < 2000 m arrives at POC fluxes of 5.4 PgC a^{-1}, TN fluxes of 0.9 PgN a^{-1} and AAC fluxes of 1.36 Pg AAC a^{-1}. Thus 85-90 % of fluxes occur in near shore environments corroborating that 95 % or the total marine organic carbon is buried in these environments (Hedges and Keil, 1995). The total sinking fluxes in the proximal plus distal ocean add up to 6.3 PgC a^{-1}, 1.0 PgN a^{-1} and, respectively, 1.51 Pg AAC a^{-1} (see Table S6 for further details).

The largest organic carbon pool in the ocean is DOC with an inventory of 632±32 PgC (Carlson and Hansell, 2015; Hansell et al., 2009) and the largest N pool is DON with 77±23 PgN (Gruber, 2008; Bronk, 2002). Dissolved AA are thus the largest AA pool in the ocean even if AA comprise only a minor amount of DOC. We have only few measurements of AA concentrations,

which range between 0.1-0.2 mg/L with an average of 0.16 mg/L in all water samples excluding bottom water. Based on these data we can estimate that AA comprise about 200±70 Pg which would contribute about 35±11 Pg AAN and about 89±29 Pg AAC to the oceanic DON and, respectively, DOC pools. Accordingly, AAC contributes about 14 % to DOC while AAN contributes 45 % to total oceanic DON. This is in the low range of an estimate of 45-86 % AAN based on NMR spectroscopy with acid hydrolysis suggested to recover about half of this AAN pool (Aluwihare et al., 2005).

The constant composition of TDAA throughout the ocean indicates that it belongs to the recalcitrant or refractory pool of DOC; this pool is hardly removed in the deep sea and may only be degraded by photochemical reactions as it is returned into surface waters in the course of ocean circulation (Legendre et al., 2015). Our TDAA data reveal no depth dependent trend but our data coverage is not sufficient to detect any spatial variation. The distribution of DOC is, however, well known with its maximum in surface water with 40-80 µmol C kg^{-1} and depletion in deep water with DOC concentrations from >50 µmol C kg^{-1} in the North Atlantic to 39 µmol C kg^{-1} in the North Pacific deep water (Carlson and Hansell, 2015; Hansell et al., 2009). Due to our limited number of measurements we may have missed spatial variations which could elucidate TDAA sources and cycling processes in the ocean as is the case for DOC. Respiration of DOC may be an important removal process in shallower waters (Reinthaler et al., 2006) while a large proportion of the DOC reduction on its way to the Pacific on the deep conveyer belt could be related to adsorption to POC, partly via gel formation (Druffel and Williams, 1990).

TDAA may be among the constituents of DOC, which interact with SPM as both are transported with their specific water masses by the ocean conveyer belt. Interaction with SPM is suggested by the relative similarity in AA composition of TDAA and SPM. Moreover, SPM carries the second largest pool of POC and AA in the ocean which has not been accounted for in carbon budgets and which role in oceanic biogeochemical cycling has received little attention. The total abundance of POC, TN and AA in SPM can be calculated using average concentrations (Table 1) in the ocean volume between 0-200 m and between 200 m and the sea floor (Costello et al., 2010). These calculations show that there are 443 Pg of total suspended matter in the ocean of which organic carbon comprises 48 PgC, amino acids 35 PgAA and, total nitrogen 6 PgN. The relative similarity of AA spectra in SPM and TDAA suggests interaction between the two pools at shallower depths and the build-up of an equilibrium, so that both pools remain

796   constant in concentrations and composition with depths. Like DOC, which was suggested to be
797   recalcitrant in the deep sea (Hansell and Carlson, 2013), SPM may only be affected by
798   degradation and repackaging into aggregates as it is reintroduced into surface water by ocean
799   circulation. Several studies, however, suggest that SPM may be an important food source for
800   deep living zooplankton (Koppelmann et al., 2009; Hannides et al., 2013; Gloeckler et al.,
801   2018). If there are no removal processes in the deep ocean, we would expect SPM and their
802   organic constituents to be exported from the Atlantic via the deep ocean circulation and to
803   accumulate in the Pacific.

804

5 Conclusions

805

806   The PCA of a set of 1425 samples consisting of sinking particle, SPM, sediment and water
807   samples produced two factors which separate AA in sinking particles and sediments on the one
808   hand from SPM and DOM on the other hand. As the PCA produced two branches diverging
809   with water and, respectively, sediment depth, strong interactions between the sinking and
810   suspended particles pools can be excluded.

811   The relative degradation of sinking particles and sediments, dominated by Gly, Asp, Glu and
812   Ala, can be tracked by a new degradation indicator named Sediment Degradation Index (SDI)
813   derived from the first factor of the PCA and correlated with the often-used degradation index
814   DI. Except the SDI and the DI the other biogeochemical indicators tested here (Asp/-Ala, Glu/-
815   Aba, RI) are not better than POC concentrations for a relative classification of organic matter
816   degradation. The SDI is, moreover, capable to separate oxic and anoxic diagenetic conditions
817   at an SDI between 0 to -0.2 (with values <-0.2 indicating oxic and values >0 indicating anoxic
818   diagenetic conditions). Application of the SDI furthermore, shows that the diagenetic signal
819   from the water column is preserved in sediments deposited under anoxic conditions. The
820   correlation of the SDI with POC shows that anoxic diagenesis enhances POC accumulation in
821   sediments compared to oxic diagenesis.

822   A novel biogeochemical indicator derived from the second factor of the PCA named Residence
823   Time Indicator (RTI) depicts the transformation of SPM enriched in plankton derived AA in
824   the epipelagic ocean to a constant composition in the meso- and bathypelagic ocean. The deep
825   SPM is probably the residue of microbial processing and is not utilizable by enzymes under the

present oceanic conditions. This constant composition of SPM is corroborated by a constant $\delta^{15}N$ value below 200 m irrespective of the area of study.

DOM has almost constant AA composition throughout the water column as well as in pore water, dominated by Ser, Gly, His, Ala and Orn, pursuing the same accumulation AA pathway as found in deep SPM. Comparison with literature data shows that the amount of AA released, depends on the intensity of hydrolysis and that about 50 % of the amide linkages detectable by NMR spectroscopy cannot be hydrolyzed. Similar to SPM the proteins are not utilizable by microorganisms. Protein-like dissolved material was determined to be on average 2670 years old (Loh et al., 2004), showing that these refractory molecules are cycled for several times before they can be removed by as yet unknown processes.

Based on our AA data we have calculated the total oceanic AA inventory and found that TDAA are the largest oceanic AA pool with a total amount of 200±70 PgAA and AA comprise 14 % of the oceanic DOC and 45 % of oceanic DON.

The pool transported with SPM is 35 PgAA. SPM, furthermore, carries 48 PgC and 6 PgN not accounted for in global carbon and nitrogen budgets. At present it is not known how the oceanic DOM and SPM-particulate organic matter pool is formed and how this rather recalcitrant organic matter can be removed from its abient water mass. It is feasible that these organic matter pools have fluctuated in the past due to change in oceanic physicochemical conditions (Ridgwell and Arndt, 2015). It is intriguing to understand how the accumulation or reduction of this carbon and nitrogen pools has interacted with climate and environmental changes in the geological history but it is vital to understand the response to ongoing and future climate change.

Data Availability

Excerpts of the data were used in previous publications (i) from the Kara Sea in Gaye et al. (2007) Nagel et al. (2005) and Unger et al. (2009), (ii) from the northern Indian Ocean in Gaye et al. (2013), Gaye-Haake et al. (2005), Möbius et al. (2011) and Suthhof et al. (2001), (iii) from the Mediterranean Sea in Möbius (2013) and Möbius et al. (2010), (iv) from the Namibian upwelling in Nagel et al. (2016) and (v) from the Pacific in Paul et al. (2018). The entire set of amino acid data was submitted to PANGAEA. Data from the Pacific are available at: https://doi.pangaea.de/10.1594/PANGAEA.885391, https://doi.pangaea.de/10.1594/PANGAEA.881804, https://doi.pangaea.de/10.1594/PANGAEA.881813 and for TOC at

858 https://doi.pangaea.de/10.1594/PANGAEA.884975,   https://doi.pangaea.de/10.1594/PANGAEA.885023,

859 https://doi.pangaea.de/10.1594/PANGAEA.884981.

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880

Author Contribution

882 BG, NL, TR and KE designed the study and led the projects in which samples were taken and
883 analyzed. NL developed and refined the AA analyses. NL, NH and SP contributed and analyzed
884 samples from the southern Indian Ocean and the Pacific. BG wrote the manuscript with
885 contributions of all co-authors.

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Competing interests

The authors declare that they have no conflict of interest.

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