



1                   **Controls on nitrite oxidation in the upper Southern Ocean: insights from winter kinetics**  
2                   **experiments in the Indian sector**

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12

13                  **Abstract**

14

15                  Across the Southern Ocean in winter, nitrification is the dominant mixed-layer nitrogen cycle process,  
16                  with some of the nitrate produced therefrom persisting to fuel productivity during the subsequent  
17                  growing season, potentially weakening the spring/summer biological CO<sub>2</sub> sink. To better understand  
18                  the controls on Southern Ocean nitrification, we conducted nitrite oxidation kinetics experiments in  
19                  surface waters across the western Indian sector in winter. While all experiments (seven in total) yielded  
20                  a Michaelis-Menten relationship with substrate concentration, the nitrite oxidation rates only increased  
21                  substantially once the nitrite concentration exceeded  $115 \pm 2.3$  to  $245 \pm 18$  nM, suggesting that nitrite  
22                  oxidizing bacteria (NOB) require a minimum (i.e., “threshold”) nitrite concentration to produce nitrate.  
23                  The half-saturation constant ranged from  $134 \pm 8$  to  $403 \pm 24$  nM, indicating a relatively high affinity of  
24                  Southern Ocean NOB for nitrite, in contrast to results from culture experiments. Despite the high  
25                  affinity of NOB for nitrite, its concentration rarely declines below 150 nM in the Southern Ocean’s  
26                  mixed layer, regardless of season. In the upper mixed layer, we measured ammonium oxidation rates  
27                  that were two- to seven-fold higher than the coincident rates of nitrite oxidation, indicating that nitrite  
28                  oxidation is the rate-limiting step for nitrification in the winter Southern Ocean. The decoupling of  
29                  ammonium and nitrite oxidation, combined with a possible nitrite concentration threshold for NOB,  
30                  may explain the non-zero nitrite that persists throughout the Southern Ocean’s mixed layer year-round.  
31                  We hypothesize that the apparent threshold nitrite requirement of NOB indicates nitrite undersaturation  
32                  of the heme-rich nitrite oxidoreductase enzyme, perhaps driven by the limited availability of iron in  
33                  surface waters.

34

35



36 **1. Introduction**

37

38 The cycling of nitrogen (N) in the upper ocean is central to the role that phytoplankton and bacteria play  
39 in atmospheric carbon dioxide ( $\text{CO}_2$ ) consumption and production. Annually, the Southern Ocean  
40 accounts for ~35% of total oceanic  $\text{CO}_2$  removal (DeVries et al., 2017; Gruber et al., 2019; Watson et  
41 al., 2020) and absorbs ~40% of anthropogenic  $\text{CO}_2$  (Khaliwala et al., 2009; Hauck et al., 2015; Gruber  
42 et al., 2019; Watson et al., 2020). The contribution of biology to  $\text{CO}_2$  drawdown can be evaluated using  
43 the new production paradigm, among other approaches. This framework defines phytoplankton growth  
44 on nitrate ( $\text{NO}_3^-$ ) supplied from below the euphotic zone as “new production” and phytoplankton growth  
45 on ammonium ( $\text{NH}_4^+$ ) recycled within the euphotic zone as “regenerated production” (Dugdale and  
46 Goering 1967). Over appropriate timescales, new production is equivalent to “export production”, the  
47 latter referring to the organic matter produced by phytoplankton that escapes recycling in surface waters  
48 and sinks into the ocean interior, thereby sequestering atmospheric  $\text{CO}_2$  at depth (Dugdale and Goering,  
49 1967; Eppley and Peterson, 1979; Volk and Hoffert, 1985; Raven and Falkowski, 1999). The occurrence  
50 of nitrification in the euphotic zone, which produces regenerated  $\text{NO}_3^-$ , complicates applications of the  
51 new production paradigm since phytoplankton growth fuelled by this  $\text{NO}_3^-$  will drive no net removal of  
52  $\text{CO}_2$  (Yool et al., 2007).

53

54 In the Southern Ocean, nitrification appears to be largely confined to the dark waters below the euphotic  
55 zone during the summertime period of maximum  $\text{NO}_3^-$  consumption by phytoplankton (DiFiore et al.,  
56 2009; Mduyana et al., 2020). By contrast, the Southern Ocean winter is characterized by elevated  
57 mixed-layer nitrification, coincident with low rates of  $\text{NO}_3^-$  uptake (Smart et al., 2015; Mduyana et al.,  
58 2020). Some of the  $\text{NO}_3^-$  regenerated in the winter mixed layer will be supplied to phytoplankton during  
59 the proceeding spring and summer growing season, with negative implications for  $\text{CO}_2$  removal on an  
60 annual basis. That said, there is evidence that ammonia oxidizing archaea, the organisms that are  
61 dominantly responsible for  $\text{NH}_4^+$  oxidation (the first step in the nitrification pathway) (Beman et al.,  
62 2008; Newell et al., 2011; Peng et al., 2016) have a high iron requirement (Shafiee et al., 2019), such  
63 that  $\text{NH}_4^+$  oxidation may at times experience iron limitation (Mduyana, 2021). If this limitation is  
64 verified and proves widespread in the environment, one implication is that the iron-deplete conditions  
65 of the surface Southern Ocean may restrict mixed-layer nitrification and by extension, its putative role  
66 in weakening the biological  $\text{CO}_2$  sink.

67

68 Nitrification is a chemoautotrophic process involving two pathways usually facilitated by different  
69 groups of microorganisms. The first step is  $\text{NH}_4^+$  oxidation, which involves the oxidation of  $\text{NH}_4^+$  via  
70 hydroxylamine and nitric oxide to  $\text{NO}_2^-$  (Walker et al., 2010; Vajjala et al., 2013; Kozłowski et al.,  
71 2016; Caranto and Lancaster, 2017) by ammonia oxidizing archaea and bacteria (AOA and AOB,  
72 respectively; collectively, ammonia oxidizing organisms, AOO). The second step is the oxidation of



73  $\text{NO}_2^-$  to  $\text{NO}_3^-$  by nitrite oxidizing bacteria (NOB), a polyphyletic group of microbes that is not well-  
74 understood in the ocean (Watson et al., 1986; Beman et al., 2013; Daims et al., 2016; Pachiadaki et al.,  
75 2017; Sun et al., 2021). In general,  $\text{NO}_2^-$  oxidation rate data are limited, with few rate measurements  
76 available for the Southern Ocean (Bianchi et al., 1997; Mduyana et al., 2020; Olson, 1981a). Such  
77 measurements are critical, however, if we are to better understand the controls on nitrification in the  
78 Southern Ocean mixed layer and the connection between  $\text{NO}_3^-$  production by NOB and its subsequent  
79 removal by phytoplankton.

80

81 One approach for investigating the controls on  $\text{NO}_2^-$  oxidation is through experiments designed to yield  
82 a hyperbolic Michaelis-Menten relationship between  $\text{NO}_2^-$  oxidation rate and  $\text{NO}_2^-$  concentration.  
83 Useful kinetic parameters can be derived from this relationship, such as the maximum oxidation rate  
84 ( $V_{\max}$ ) and the half-saturation constant ( $K_m$ ), with the latter indicating the  $\text{NO}_2^-$  concentration at which  
85 the oxidation rate equals  $V_{\max}/2$ . Estimates of  $K_m$  provide information regarding the efficiency of NOB  
86 in acquiring substrate  $\text{NO}_2^-$ , with a lower  $K_m$  indicating a higher affinity for  $\text{NO}_2^-$ , while  $V_{\max}$  denotes  
87 the maximum rate of  $\text{NO}_2^-$  oxidation that can be achieved under a particular set of conditions by a  
88 particular NOB community. In the ocean, direct measurements of  $\text{NO}_2^-$  oxidation kinetic parameters are  
89 extremely limited (Olson, 1981; Sun et al., 2017, 2021; Zhang et al., 2020), with no estimates available  
90 for the Southern Ocean.  $K_m$  values derived from culture studies of NOB range from 9-544  $\mu\text{M}$  (Nowka  
91 et al., 2015; Ushiki et al., 2017), orders of magnitude higher than the existing estimates for natural  
92 assemblages of NOB in coastal waters and oxygen deficient zones (ranging from 0.07-0.51  $\mu\text{M}$ ; Olson,  
93 1981; Sun et al., 2017; Zhang et al., 2020). This discrepancy emphasizes the gaps in our understanding  
94 of  $\text{NO}_2^-$  oxidation and the organisms that catalyze it.

95

96 Generally,  $\text{NO}_2^-$  concentrations in the low-latitude oxygenated ocean reach a maximum near the base  
97 of the mixed layer (i.e., the primary nitrite maximum; PNM), with much lower concentrations above  
98 and below this depth (Lomas and Lipschultz, 2006). By contrast, at higher latitudes including in the  
99 Southern Ocean, the  $\text{NO}_2^-$  concentrations are elevated (100-400 nM) and fairly invariant throughout the  
100 mixed layer in all seasons (Zakem et al., 2018; Fripiat et al., 2019; Mduyana et al., 2020). A possible  
101 explanation for this  $\text{NO}_2^-$  accumulation is a decoupling of the  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation rates, with  $\text{NO}_2^-$   
102 oxidation being the rate-limiting step in the nitrification pathway, contrary to expectations for  
103 oxygenated marine waters (Kendall, 1998; Walker et al., 2010; Vajjala et al., 2013). However, this idea  
104 has yet to be examined using observations.

105

106 To better understand the controls on  $\text{NO}_2^-$  oxidation (and thus, nitrification) in the Southern Ocean, we  
107 conducted a series of  $\text{NO}_2^-$  oxidation kinetics experiments in wintertime surface waters across the  
108 western Indian sector. At every station (seven in total) along a transect between the Subtropical and  
109 Marginal Ice Zones,  $\text{NO}_2^-$  oxidation rates increased with increasing  $\text{NO}_2^-$  concentrations, as per the



110 expected Michaelis-Menten relationship. The derived  $K_m$  values were low and increased with increasing  
111 ambient  $\text{NO}_2^-$ . Additionally, there appeared to be a minimum  $\text{NO}_2^-$  concentration that was required  
112 before the  $\text{NO}_2^-$  oxidation rates increased significantly, implying a “threshold”  $\text{NO}_2^-$  requirement of the  
113 enzyme that catalyses the oxidation of  $\text{NO}_2^-$  to  $\text{NO}_3^-$ . Finally, coincident measurements of euphotic zone  
114  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation rates suggest that  $\text{NO}_2^-$  oxidation is rate-limiting for nitrification across the  
115 Southern Ocean in winter.

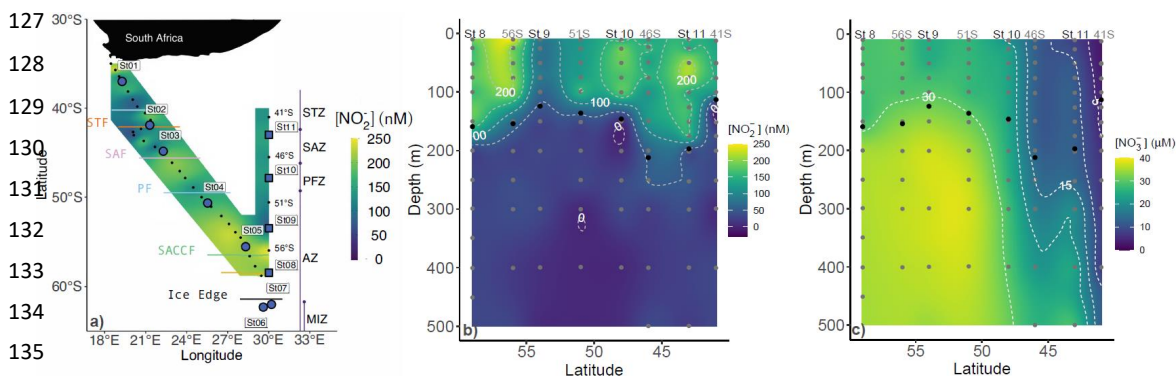
116

## 117 2. Materials and Methods

118

### 119 2.1. Sampling site and experimental design

120 A winter cruise was undertaken onboard the R/V *SA Agulhas II* in July 2017 between Cape Town, South  
121 Africa, and the Marginal Ice Zone (MIZ; encountered at 61.7°S; de Jong et al., 2018), returning to South  
122 Africa along the meridional WOCE I06 transect (30°E) (Figure 1). Sampling was conducted on two  
123 legs – between 37°S and 62°S on the southward leg (Leg 1) and between 59°S and 41°S on the return  
124 northward leg along the WOCE I06 line (Leg 2). During Leg 1, only surface samples were collected,  
125 while on Leg 2, the deployment of conductivity-temperature-depth (CTD) hydrocasts allowed for  
126 depth-profile sampling.



136 **Figure 1:** a) Map of the cruise track showing the kinetics stations (large circle symbols) and locations  
137 of the underway stations sampled during Leg 1 (small symbols), overlaid on the measured surface (~7  
138 m) nitrite concentrations ( $[\text{NO}_2^-]$ ). Additionally, the locations of the hydrocast stations occupied during  
139 Leg 2 are shown, with the stations at which depth-profile experiments were conducted shown by the  
140 large square symbols. The coloured horizontal lines denote the frontal positions at the time of sampling  
141 and the major zones of the Southern Ocean are indicated by the vertical lines and dots – STZ,  
142 Subtropical Zone; STF, Subtropical Front; SAZ, Subantarctic Zone; SAF, Subantarctic Front; PFZ,  
143 Polar Frontal Zone; PF, Polar Front; AZ, Antarctic Zone; SACCF, Southern Antarctic Circumpolar  
144 Front; MIZ, Marginal Ice Zone. Also shown are water column (0-500 m) profiles of the concentrations  
145 of b) nitrite ( $\text{NO}_2^-$ ) and c) nitrate ( $\text{NO}_3^-$ ) sampled during Leg 2. The vertical grey dots indicate the  
146 sampling depths at all the hydrocast stations (eight in total), with the four stations at which depth profile  
147 experiments were conducted (St 08 to St 11) labeled above the panel. The black dots show the mixed  
148 layer depths.

149



150 *2.1.1 Hydrography and nutrient collections:* The positions of the major hydrographic fronts (the  
151 Subtropical Front, STF; Subantarctic Front, SAF; Polar Front, PF; and Southern Antarctic Circumpolar  
152 Current Front, SACCF; Figure 1) were determined from temperature and salinity measured by the ship's  
153 hull-mounted thermosalinograph (~7 m), augmented by temperature, salinity, and oxygen  
154 concentrations measured on Leg 2 by the CTD sensors (Orsi et al., 1995; Belkin and Gordon, 1996;  
155 Pollard et al., 2002; Read et al., 2002). For the hydrocast stations, the mixed layer depth was determined  
156 for each CTD (up)cast as the depth between 10 m and 400 m of maximum Brunt Väisälä frequency  
157 squared (i.e.,  $N^2$ ) (Schofield et al., 2015; Carvalho et al., 2017).

158

159 *2.1.2 Nutrient samples:* Seawater samples were collected every four hours from the ship's underway  
160 system (~7 m intake) on Leg 1 for the determination of  $\text{NO}_2^-$  concentrations (Figure 1a). During Leg 2,  
161 samples were collected from Niskin bottles fired remotely between the surface and 500 m at eight  
162 hydrocast stations for the analysis of  $\text{NO}_2^-$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$  concentrations (see Figure 1b and c for  
163 station locations and sampling depths). For  $\text{NO}_2^-$  and  $\text{NO}_3^-$ , unfiltered seawater was collected in  
164 duplicate 50 mL polypropylene centrifuge tubes that were analysed shipboard within 24 hours of  
165 collection ( $\text{NO}_2^-$ ) or stored frozen at  $-20^\circ\text{C}$  until analysis ( $\text{NO}_3^-$ ). Seawater samples for  $\text{NH}_4^+$  were  
166 collected unfiltered in duplicate high-density polyethylene (HDPE) bottles that had been "aged" with  
167 orthophthaldialdehyde (OPA) working reagent, and analysed shipboard within 24 hours of collection.

168

169 *2.1.3  $\text{NO}_2^-$  oxidation kinetics experiments:* On Leg 1, seawater samples were collected from the surface  
170 via the ship's underway system at seven stations spanning the different zones of the Southern Ocean  
171 (the Subtropical Zone (STZ) to the north of the STF, at the STF, the Subantarctic Zone (SAZ) between  
172 the STF and SAF, the Polar Frontal Zone (PFZ) between the SAF and PF, the Open Antarctic Zone  
173 (OAZ) between the PF and SACCF, and the Marginal Ice Zone (MIZ) south of the SACCF; St 01 to St  
174 07 in Figure 1a). At each station, 25 L of seawater were collected in a single carboy that was gently  
175 shaken to homogenize the contents before the seawater was filtered through a 200  $\mu\text{m}$  nylon mesh to  
176 remove zooplankton grazers and then dispensed into 250 mL acid-washed opaque HDPE bottles. All  
177 the bottles were rinsed three times with sample water prior to filling. Eight sets of duplicate 250 mL  
178 bottles were amended with  $\text{Na}^{15}\text{NO}_2$  to yield  $^{15}\text{NO}_2^-$  concentrations ranging from 10 nM to 1500 nM.

179

180 *2.1.4 Depth distribution of  $\text{NO}_2^-$  oxidation:* On Leg 2, seawater was collected at four stations (one each  
181 in the Polar Antarctic Zone (PAZ; just north of the edge of the MIZ), OAZ, PFZ, and SAZ; St 08 to St  
182 11 in Figure 1a-c) using a CTD-rosette equipped with 24 12-L Niskin bottles. Seawater from six depths  
183 (10 m, 25 m, 50 m, 75 m, 200 m, and 500 m) was pre-filtered (200  $\mu\text{m}$  nylon mesh) and transferred into  
184 rinsed 250 mL acid-washed opaque HDPE bottles. Duplicate bottles from each depth were amended  
185 with  $\text{Na}^{15}\text{NO}_2$  to yield a final  $^{15}\text{NO}_2^-$  concentration of 200 nM. From all incubation bottles (for kinetics  
186 and depth-profile experiments), initial ( $T_0$ ) subsamples were collected in 50 mL centrifuge tubes



187 immediately after the addition of  $^{15}\text{NO}_2^-$ . The HDPE bottles from the upper 75 m were then incubated  
188 in custom-built on-deck incubators supplied with running surface seawater, while those from 200 m  
189 and 500 m were incubated in a  $\sim 2^\circ\text{C}$  cold room. The incubations lasted 23-30 hours and were terminated  
190 via the collection of final ( $T_f$ ) subsamples (50 mL). Subsamples were filtered (0.2  $\mu\text{m}$ ) and stored frozen  
191 at  $-20^\circ\text{C}$  until analysis.

192

193 *2.1.5 Depth distribution of  $\text{NO}_3^-$  uptake:* To assess the extent to which mixed-layer  $\text{NO}_2^-$  oxidation  
194 supports wintertime  $\text{NO}_3^-$  uptake by phytoplankton, we also conducted  $\text{NO}_3^-$  uptake experiments over  
195 the upper 75 m (the approximate depth of the euphotic zone) at St 08 to St 11 on Leg 2. Seawater was  
196 collected from four depths – 10 m, 25 m, 50 m, and 75 m – in duplicate 2 L clear polycarbonate bottles  
197 following filtration (200  $\mu\text{m}$  nylon mesh) to remove zooplankton grazers.  $\text{Na}^{15}\text{NO}_3$  was added to each  
198 bottle to yield a final  $^{15}\text{NO}_3^-$  concentration of 3  $\mu\text{M}$ , and the bottles were then transferred to custom-  
199 built deck-board incubators equipped with neutral density screens that allowed for the penetration of  
200 55%, 30%, 10%, and 1% of surface photosynthetically active radiation (PAR). The bottles were kept at  
201 near *in situ* temperature via a supply of continuously-running seawater from the underway system.  
202 Samples were incubated for 3-6 hours, and incubations were terminated by filtering the bottle contents  
203 through pre-combusted (450 $^\circ\text{C}$  for 8 hours) 0.3  $\mu\text{m}$  glass fibre filters (GF-75; Sterlitech) that were  
204 subsequently enclosed in foil envelopes (pre-combusted at 500 $^\circ\text{C}$  for 5 hours) that were stored at  $-80^\circ\text{C}$   
205 until analysis.

206

## 207 2.2. Laboratory analyses

208 *2.2.1 Nutrient concentrations:* Samples were analysed for  $\text{NO}_2^-$  concentrations onboard the ship using  
209 the colorimetric method of Grasshoff et al., (1983) and a Thermo Scientific Genesys 30 Visible  
210 spectrophotometer (detection limit of 20 nM, precision of  $\pm 20$  nM).  $\text{NO}_3^- + \text{NO}_2^-$  concentrations were  
211 measured ashore using a Lachat Quick-Chem flow injection autoanalyzer (Egan, 2008) in a  
212 configuration with a detection limit of 0.2  $\mu\text{M}$  and precision of  $\pm 0.3$   $\mu\text{M}$ . The concentration of  $\text{NO}_3^-$   
213 was determined by subtracting  $\text{NO}_2^-$  from  $\text{NO}_3^- + \text{NO}_2^-$ . Aliquots of a certified reference material  
214 (JAMSTEC) were included in each  $\text{NO}_2^-$  and  $\text{NO}_3^- + \text{NO}_2^-$  run to ensure measurement accuracy. The  
215  $\text{NH}_4^+$  concentrations were also determined shipboard using the fluorometric method of Holmes et al.  
216 (1999); the methodological details and  $\text{NH}_4^+$  data are discussed at length in (Mdutyana, 2021).

217

218 *2.2.2  $\text{NO}_2^-$  oxidation rates:* Using the denitrifier-isotope ratio mass spectrometer (IRMS) method  
219 (Sigman et al., 2001; McIlvin and Casciotti, 2011), we measured the  $\delta^{15}\text{N}$  of  $\text{NO}_3^-$  ( $\delta^{15}\text{N}\text{-NO}_3^-$ ) produced  
220 from  $^{15}\text{NO}_2^-$  oxidation for both the kinetics and depth-profile experiments ( $\delta^{15}\text{N}$ , in ‰ vs. air, =  
221  $(^{15}\text{N}/^{14}\text{N}_{\text{sample}}/^{15}\text{N}/^{14}\text{N}_{\text{air}} - 1) \times 1000$ ). Samples were measured using a Delta V Plus IRMS with a custom-  
222 built purge-and-trap front end (McIlvin and Casciotti, 2011) in a configuration with a detection limit of



223 0.2 nmol of N and a  $\delta^{15}\text{N}$  precision of 0.2‰. Prior to isotope analysis, samples were treated with  
224 sulfamic acid (15 mM) for 1 hour to remove  $^{15}\text{NO}_2^-$  remaining at the end of the experiments, after which  
225 sample pH was adjusted to ~7-8 via the addition of 2 M NaOH. To account for inefficiencies in  $^{15}\text{NO}_2^-$   
226 removal, both the  $T_f$  and  $T_0$  samples were treated with sulfamic acid and analysed for  $\delta^{15}\text{N-NO}_3^-$  (more  
227 accurately,  $\delta^{15}\text{N-NO}_3^- + \text{NO}_2^-$ ), with the difference between them taken as the  $^{15}\text{NO}_3^-$  enrichment due to  
228  $^{15}\text{NO}_2^-$  oxidation (Peng et al., 2015). International reference materials (IAEA-N3, USGS 34, USGS 32)  
229 were used to calibrate the measured  $\delta^{15}\text{N-NO}_3^-$ .

230

231 The rate of  $\text{NO}_2^-$  oxidation ( $\text{NO}_2^-_{\text{ox}}$ ;  $\text{nM d}^{-1}$ ) was calculated following Peng et al., (2015) as:

232

$$233 \quad \text{NO}_2^-_{\text{ox}} = \frac{\Delta[^{15}\text{NO}_3^-]}{f_{\text{NO}_2^-}^{15} \times T} \quad (1)$$

234

235 Where  $\Delta[^{15}\text{NO}_3^-]$  is the change in the concentration of  $^{15}\text{NO}_3^-$  between the start and end of the incubation  
236 due to  $\text{NO}_2^-$  oxidation, calculated from the difference in the measured  $\delta^{15}\text{N-NO}_3^-$  between the  $T_f$  and  $T_0$   
237 samples,  $f_{\text{NO}_2^-}^{15}$  is the fraction of the  $\text{NO}_2^-$  substrate pool labelled with  $^{15}\text{N}$  at the start of the incubation,  
238 and  $T$  is the incubation length (days). Detection limits for  $\text{NO}_2^-_{\text{ox}}$  rates ranged from 0.11 to 0.36  $\text{nM d}^{-1}$ ,  
239 calculated according to Santoro et al., (2013) and Mduyana et al., (2020).

240

241 *2.2.3 Kinetic model:* Kinetic parameters are typically calculated using the Michaelis-Menten (MM)  
242 equation for enzyme kinetics (Monod, 1942):

243

$$244 \quad V = \frac{V_{\text{max}} \times S}{K_m + S} \quad (2)$$

245

246 where  $V$  is the measured reaction rate,  $V_{\text{max}}$  is the maximum reaction rate achievable under *in situ*  
247 conditions at saturating substrate ( $S$ ) concentrations, and  $K_m$  is the half-saturation constant, defined as  
248 the substrate concentration at which  $V = V_{\text{max}}/2$ .

249

250 The MM equation (equation 2) is a rectangular hyperbola, meaning that the asymptotes along the x-  
251 and y-axes are perpendicular. By definition, when  $S$  (the x-axis variable) is equal to zero,  $V$  (the y-axis  
252 variable) is also zero, forcing the model through the origin (0,0). In the case of  $\text{NO}_2^-$  oxidation, the  
253 assumption that once  $S > 0$ ,  $V > 0$  is appropriate in waters where the ambient  $\text{NO}_2^-$  concentration is  
254 near-zero or where  $\text{NO}_2^-$  is non-zero but considerably lower than the  $K_m$ . In the Southern Ocean, mixed-  
255 layer  $\text{NO}_2^-$  concentrations are typically always  $\geq 150$  nM (Cavagna et al., 2015; Zakem et al., 2018;  
256 Fripiat et al., 2019; Mduyana et al., 2020) and forcing the MM model through the origin results in a





257 poor fit to the measurements (red line in Figure S1). This poor fit, in turn, leads to clearly inaccurate  
258 estimates of the kinetic parameters, particularly  $K_m$  (Table S1).

259

260 While not typical for studies of  $\text{NO}_2^-$  oxidation kinetics in the ocean, the standard form of non-linear  
261 regression models, including the MM equation, can be modified to better fit observations (e.g., Birch,  
262 1999; Tsoularis and Wallace, 2002; Archontoulis and Miguez, 2014). For application to our dataset, we  
263 modified equation 2 to allow  $V = 0$  at  $S > 0$  by subtracting a location parameter,  $C$ , from  $S$  (Figure 2;  
264 Archontoulis and Miguez, 2014). In other words, we set the y-intercept (i.e., where  $V = 0$ ) equal to  $C$   
265 rather than to zero, which yields equation 3:

266

$$267 \quad V = \frac{V_{\max} \times (S-C)}{K_m^* + (S-C)} \quad (3)$$

268

269 Using a non-linear, least-squares optimization method (Scipy lmfit package, Python 3.7.6), we solved  
270 equation 3 for  $V_{\max}$ ,  $K_m^*$ , and  $C$ . The value of  $K_m^*$  derived thus is relative to  $C$ , such that the substrate  
271 concentration at which  $V = V_{\max}/2$  (i.e.,  $K_m$ ) is actually equal to  $K_m^* + C$  (Supplemental Information).  
272 Mechanistically,  $C$  represents a “threshold” substrate concentration; when  $S \leq C$ ,  $V = 0$ . All kinetic  
273 parameters are reported as the best fit plus 95% confidence interval (i.e., mean  $\pm 2\sigma$ ; Table 1).

274

275 *2.2.4 Revising the depth distribution of  $\text{NO}_2^-$  oxidation using  $K_m$ :* For the  $\text{NO}_2^-$  oxidation experiments  
276 conducted at the Leg 2 hydrocast stations (i.e., depth-profile experiments; St 08 to St 11), the  $\text{Na}^{15}\text{NO}_2^-$   
277 was added to yield a final  $^{15}\text{NO}_2^-$  concentration of 200 nM at all the sampled depths. However, at low  
278 ambient  $\text{NO}_2^-$  concentrations (<1-2  $\mu\text{M}$ ), an amendment of this magnitude may stimulate  $\text{NO}_2^-$   
279 oxidation, leading to an overestimation of the *in situ* rates. We thus revised our measured  $\text{NO}_2^-$  ox rates  
280 using the derived  $K_m$  values as per Rees et al., (1999), Diaz and Raimbault, (2000), and Horak et al.,  
281 (2013):

282

$$283 \quad \text{corrNO}_2^-_{\text{ox}} = \frac{\text{NO}_2^-_{\text{ox}}}{\frac{[\text{NO}_2^-]_{\text{total}}}{K_m + [\text{NO}_2^-]_{\text{total}}} \times \frac{K_m + [\text{NO}_2^-]_{\text{amb}}}{[\text{NO}_2^-]_{\text{amb}}}} \quad (4)$$

284

285 Here,  $\text{corrNO}_2^-_{\text{ox}}$  is the revised rate of  $\text{NO}_2^-$  ox,  $\text{NO}_2^-_{\text{ox}}$  is the measured  $\text{NO}_2^-$  oxidation rate (equation 1),  
286  $[\text{NO}_2^-]_{\text{amb}}$  is the ambient  $\text{NO}_2^-$  concentration measured at each depth,  $[\text{NO}_2^-]_{\text{total}}$  refers to the  $[\text{NO}_2^-$   
287 tracer] +  $[\text{NO}_2^-]_{\text{amb}}$ , and  $K_m$  is the derived half-saturation constant. We estimated a  $K_m$  for each sample  
288 depth from the equation resulting from the linear regression of all derived  $K_m$  values on  $[\text{NO}_2^-]_{\text{amb}}$  (see  
289 section 4.2 below). We also computed  $\text{corrNO}_2^-_{\text{ox}}$  using the  $K_m$  derived from the Leg 1 kinetics  
290 experiment located nearest each hydrocast station, which yielded very similar results. The values of  
291  $\text{corrNO}_2^-_{\text{ox}}$  presented here were computed using the  $K_m$  values derived from the linear regression





292 equation. Rates of  $\text{NH}_4^+$  oxidation measured coincident with  $\text{NO}_2^-_{\text{ox}}$  on Leg 2 (see Mduyana 2021) were  
293 similarly revised (to yield  $\text{corrNH}_4^+_{\text{ox}}$ ) using the  $K_m$  values derived from kinetics experiments conducted  
294 during Leg 1 – for St 08 and 09,  $K_m = 137$  nM, for St 09,  $K_m = 67$  nM, and for St 11,  $K_m = 28$  nM.

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296 *2.2.5 Isotopic dilution of  $^{15}\text{NO}_2^-$  by co-occurring  $\text{NH}_4^+$  oxidation:* The focus of this study is the second  
297 step in the nitrification pathway. However, not only will  $\text{NO}_2^-$  have been consumed in our incubation  
298 bottles (i.e., oxidized to  $\text{NO}_3^-$ ), but it will also have been produced by  $\text{NH}_4^+$  oxidation, the first step in  
299 the nitrification pathway. For all of our  $\text{NO}_2^-$  oxidation rate experiments (kinetics and depth-profile),  
300 we measured the coincident rates of  $\text{NH}_4^+$  oxidation (Mduyana, 2021), and these data can be used to  
301 account for any dilution of the  $^{15}\text{NO}_2^-$  pool by  $^{14}\text{NO}_2^-$  produced from  $^{14}\text{NH}_4^+$  oxidation (following the  
302 approach of Glibert et al., 1982, 1985; Mulholland and Bernhardt, 2005). We found that isotopic  
303 dilution in the mixed layer was minor because the ambient  $\text{NO}_2^-$  concentrations were reasonably high  
304 (mean of  $157 \pm 54$  nM, range of 64 to 226 nM for all the depths at which experiments were conducted;  
305 Figure 1a-b) and the  $\text{NH}_4^+$  oxidation rates were fairly low (mean of  $13.4 \pm 4.0$  nM  $\text{d}^{-1}$ , range of 7.8 to  
306  $22.0$  nM  $\text{d}^{-1}$ ; see Figure 3f-j for the depth profile rates and Mduyana (2021) for the kinetics station  
307 rates). Below the mixed layer where the ambient  $\text{NO}_2^-$  concentrations were near-zero, so too were the  
308  $\text{NH}_4^+$  oxidation rates, which again resulted in minimal dilution of the  $^{15}\text{NO}_2^-$  pool. Accounting for  
309 isotope dilution increased the  $\text{NO}_2^-$  oxidation rates by 0 to 12% (mean of  $3.9 \pm 0.3\%$  and median of  $3.7$   
310  $\pm 0.3\%$ ), which is within the experimental error associated with the rate measurements; we thus consider  
311 the effect of isotope dilution to be negligible

312

313 *2.2.6 Nitrate uptake rates:* On shore, the GF-75 filters were oven-dried at  $45^\circ\text{C}$  for 24 hours, then  
314 pelletized into tin cups following the removal of unused peripheral filter. The concentration and isotopic  
315 composition of the particulate organic N (PON) captured on the filters was analyzed using a Delta V  
316 Plus IRMS coupled to a Flash 2000 elemental analyser, with a detection limit of  $1 \mu\text{g N}$  and precision  
317 of  $\pm 0.005$  At%. Blanks (combusted unused filters + tin capsules) and laboratory running standards  
318 calibrated to international reference materials were run after every five to ten samples. The absolute  
319 rates of  $\text{NO}_3^-$  uptake ( $\rho\text{NO}_3^-$ ; nM  $\text{d}^{-1}$ ) were calculated after blank correction according to the equations  
320 of Dugdale and Wilkerson (1986) assuming a day-length of between 7 and 10 hours, depending on the  
321 station latitude. To compute the fraction of the mixed-layer  $\text{NO}_3^-$  pool consumed by phytoplankton that  
322 derived from *in situ* nitrification, we trapezoidally-integrated  $\rho\text{NO}_3^-$  and  $\text{corrNO}_2^-_{\text{ox}}$  over the mixed layer  
323 following Mduyana et al., (2020), and then divided the integrated values of  $\text{corrNO}_2^-_{\text{ox}}$  by  $\rho\text{NO}_3^-$ .

324

### 325 **3. Results**

326

#### 327 **3.1 Hydrography and nutrient concentrations**



328 The positions of the major hydrographic fronts during both legs of the cruise are shown in Figure 1a.  
 329 At the hydrocast stations (Leg 2), the mixed layer depth (MLD) averaged 143 m in the OAZ, 146 m in  
 330 the PFZ, 205 m in the SAZ, and 113 m in the STZ, which is within the reported climatological range  
 331 for the western Indian sector in winter (Sallée et al. 2010). Underway ambient  $\text{NO}_2^-$  concentrations (Leg  
 332 1) ranged from 74 nM to 232 nM (transect average of  $168 \pm 48$  nM, median of 177 nM) and generally  
 333 increased with latitude, albeit with a high degree of variability (Figure 1a; Figure S2). The ambient  $\text{NO}_2^-$   
 334 concentrations at the hydrocast stations were fairly constant throughout the mixed layer (ranging from  
 335  $55 \pm 35$  nM to  $159 \pm 73$  nM), decreasing rapidly to values below detection by 150–200 m (Figure 1b).  
 336 Mixed-layer  $\text{NO}_2^-$  showed no clear latitudinal trend, mainly because of the anomalously low  
 337 concentrations measured at St 09 ( $54^\circ\text{S}$ ; mixed-layer average of  $64 \pm 30$  nM, compared to  $144 \pm 56$  for  
 338 the seven other hydrocast stations). The  $\text{NO}_3^-$  concentrations were also near-homogenous throughout  
 339 the mixed layer, decreasing from an average of  $28.4 \pm 0.2$   $\mu\text{M}$  at the southernmost station (St 08;  $59^\circ\text{S}$ )  
 340 to  $3.7 \pm 1.1$   $\mu\text{M}$  at the northernmost station ( $41^\circ\text{S}$ ), and increasing below the mixed layer as expected  
 341 (Figure 1c).

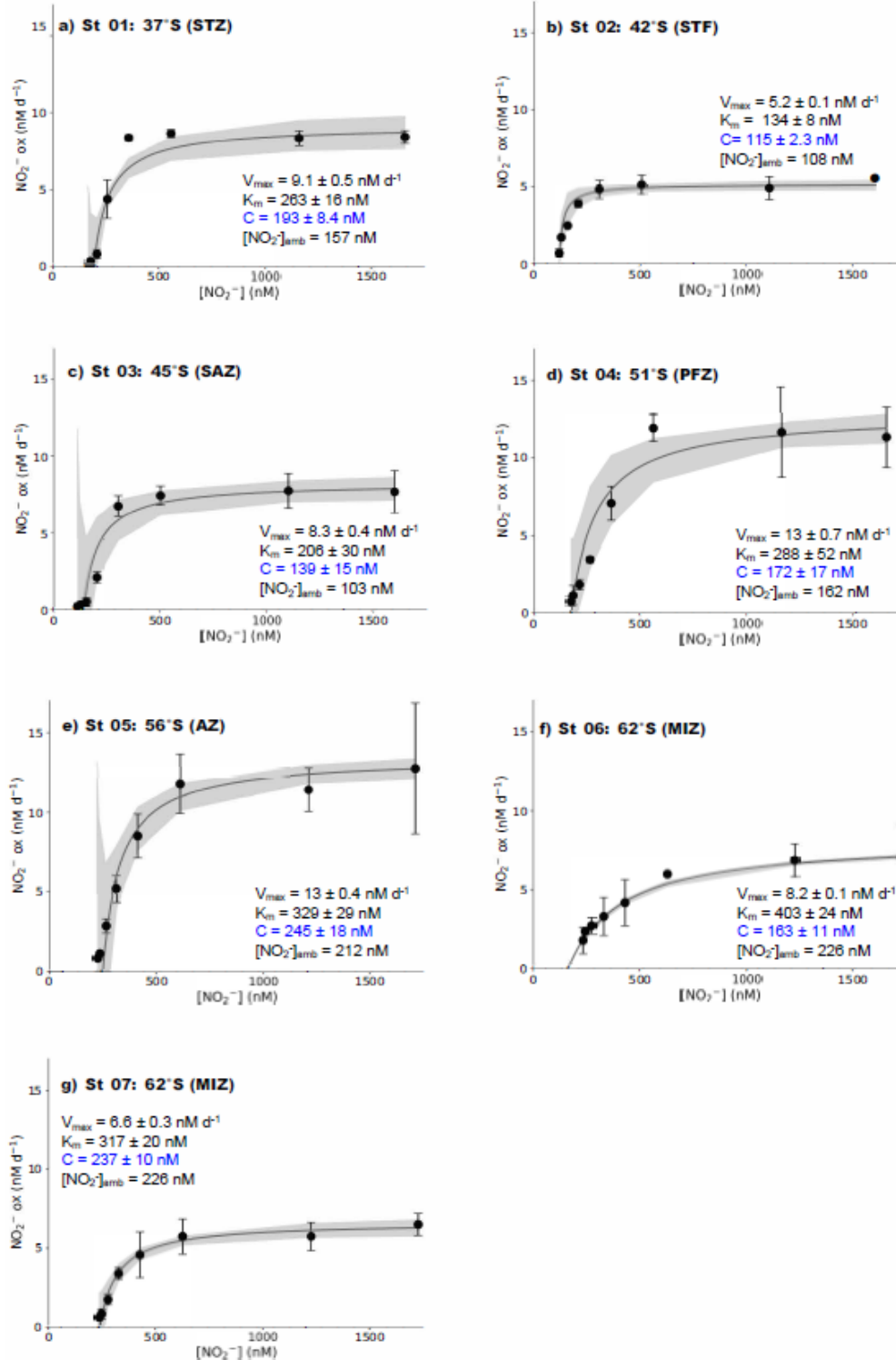
342

### 343 3.2. $\text{NO}_2^-$ oxidation rates

344 *3.2.1 Kinetics experiments:* At all the kinetics stations (St 01 to St 07; Leg 1), an MM curve could be  
 345 fit to the  $\text{NO}_2^-$  oxidation rate *versus* substrate concentration measurements using equation 3 (Figure 2).  
 346 The derived kinetic parameters varied across the transect (Table 1). The maximum  $\text{NO}_2^-$  oxidation rate  
 347 ( $V_{\max}$ ) increased southwards from from  $5.2 \pm 0.1$   $\text{nM d}^{-1}$  at the STF (St 02; Figure 2b) to  $13 \pm 0.4$   $\text{nM}$   
 348  $\text{d}^{-1}$  in the AZ (St 05; Figure 2e), before decreasing in the MIZ to  $8.2 \pm 0.1$   $\text{nM d}^{-1}$  at St 06 (Figure 2f)  
 349 and  $6.6 \pm 0.3$   $\text{nM}$  at St 07 (Figure 2g). The average  $V_{\max}$  for the transect was  $9.0 \pm 1.1$   $\text{nM d}^{-1}$ . The half-  
 350 saturation constant,  $K_m$ , increased from  $134 \pm 8.0$  nM at the STF (St 02) to  $403 \pm 24$  nM in the MIZ (St  
 351 06), with a transect average of  $277 \pm 31$  nM. The value of C showed a positive relationship with  $[\text{NO}_2^-]$   
 352  $_{\text{amb}}$  and no strong relationship with latitude, and ranged from  $115 \pm 2.3$  nM at the STF (St 02) to  $245 \pm$   
 353  $18$  nM in the A Z (St 05), with a transect average of  $181 \pm 45$  nM.

**Table 1:** Kinetic parameters ( $V_{\max}$ ,  $K_m$  and C) associated with  $\text{NO}_2^-$  oxidation experiments conducted across the western Indian sector of the Southern Ocean in winter 2017. Included here is the best fit and 95% confidence interval (“CI”) for each kinetic parameter, derived using a non-linear, least-squares optimization method (Scipy lmf fit package, Python 3.7.6).

Station name	Latitude	Longitude	$[\text{NO}_2^-]_{\text{amb}}$ (nM)	$V_{\max}$ (nM d <sup>-1</sup> )	95% CI (nM d <sup>-1</sup> )	$K_m$ (nM)	95% CI (nM)	C (nM)	95% CI (nM)
St 01	37°S	19°E	157	9.1	7.9 to 10	263	192 to 350	193	144 to 206
St 02	42°S	21°E	108	5.2	4.8 to 5.5	134	109 to 163	115	105 to 119
St 03	45°S	22°E	103	8.3	7.4 to 9.3	206	15 to 373	139	-11 to 163
St 04	50°S	26°E	162	13	11 to 15	288	104 to 538	172	68 to 204
St 05	55°S	28°E	212	14	13 to 15	329	183 to 458	245	138 to 272
St 06	62°S	30°E	226	8.2	7.8 to 8.6	403	320 to 499	163	129 to 187
St 07	62°S	30°E	226	6.6	6.0 to 7.4	317	234 to 395	237	190 to 255



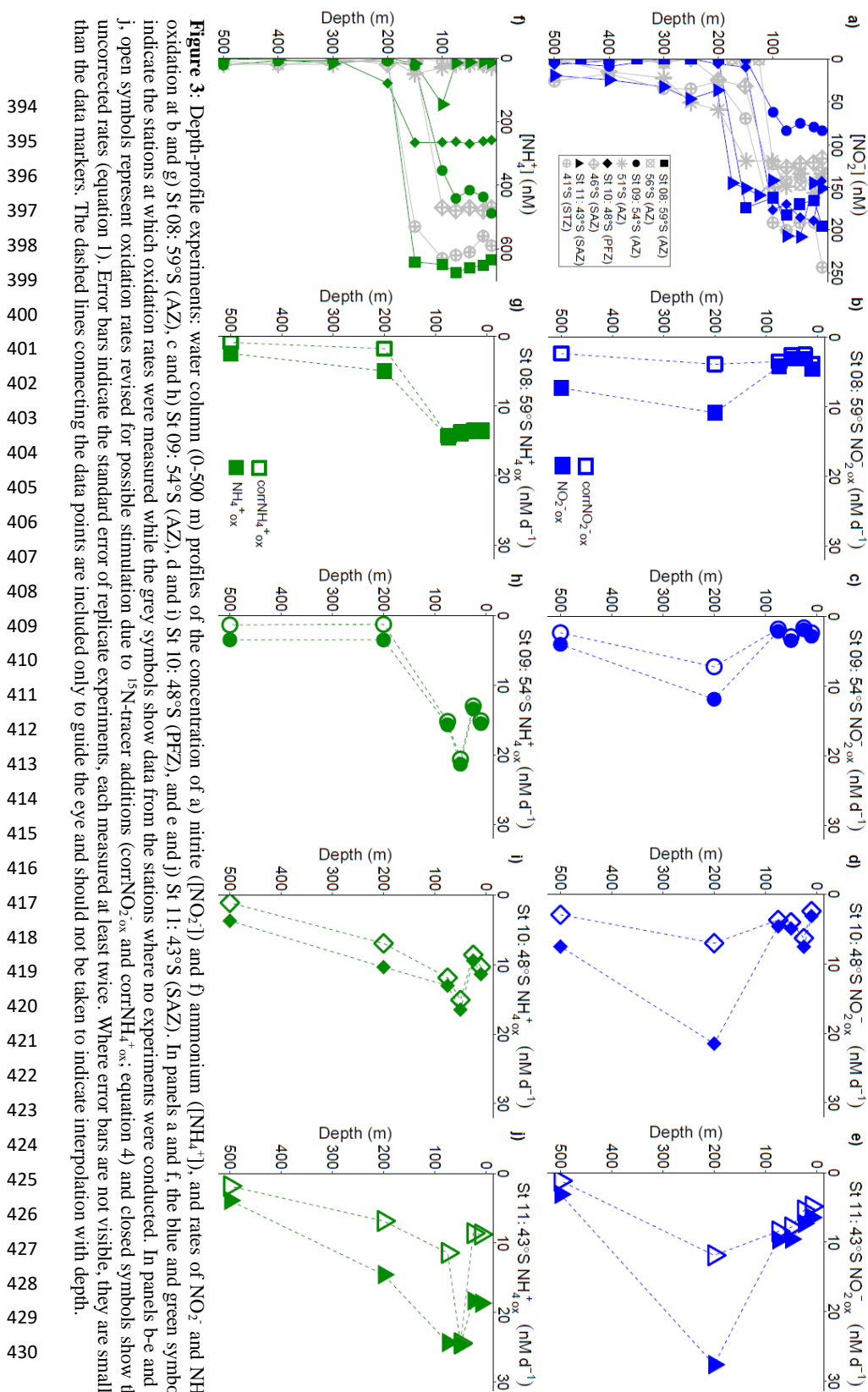


355 **Figure 2:** Kinetics experiments: the dependence of  $\text{NO}_2^-$  oxidation rates on  $\text{NO}_2^-$  concentration ( $[\text{NO}_2^-]$ ) at the surface ( $\sim 7$  m) in winter at a) St 01:  $37^\circ\text{S}$  (STZ), b) St 02:  $42^\circ\text{S}$  (STF), c) St 03:  $45^\circ\text{S}$  (SAZ),  
356 ] at the surface ( $\sim 7$  m) in winter at a) St 01:  $37^\circ\text{S}$  (STZ), b) St 02:  $42^\circ\text{S}$  (STF), c) St 03:  $45^\circ\text{S}$  (SAZ),  
357 d) St 04:  $51^\circ\text{S}$  (PFZ), e) St 05:  $55^\circ\text{S}$  (OAZ), f) St 06:  $62^\circ\text{S}$  (MIZ), and g) St 07:  $62^\circ\text{S}$  (MIZ). The solid  
358 lines show the Michaelis-Menten best fit, with the derived values of  $V_{\text{max}}$ ,  $K_m$ , and  $C$ , as well as the  
359 ambient concentration of nitrite ( $[\text{NO}_2^-]_{\text{amb}}$ ), indicated on each panel. Error bars indicate the standard  
360 error of replicate experiments, each measured at least twice. Where errors bars are not visible, they are  
361 smaller than the data markers. The grey shaded area shows the 95% confidence interval associated with  
362 the model fit. Note that the x-axis represents total  $[\text{NO}_2^-]$  (i.e.,  $[\text{NO}_2^-]_{\text{amb}} + [^{15}\text{NO}_2^- \text{ tracer}]$ ).  
363

364 *3.2.2 Depth-profile experiments:*  $\text{NO}_2^-$  oxidation rates at St 08 to St 11, calculated using equation 1,  
365 were low and largely invariant over the upper 75 m, ranging from  $1.9$  to  $9.7 \text{ nM d}^{-1}$  (average of  $4.9 \pm$   
366  $2.4 \text{ nM d}^{-1}$ ; filled symbols in Figure 3b-e). All stations showed a maximum  $\text{NO}_2^-$  oxidation rate at 200  
367 m (roughly coincident with or just below the base of the mixed layer), ranging between  $11$  and  $28 \text{ nM}$   
368  $\text{d}^{-1}$  (average of  $18 \pm 7.0 \text{ nM d}^{-1}$ ). The  $\text{NO}_2^-$  oxidation rates showed a latitudinal gradient, with lower  
369 rates in the AZ (St 08 and 09) than in the PFZ (St 10) and SAZ (St 11).

370  
371 Revising the  $\text{NO}_2^-$  oxidation rates using equation 4 decreased their 0-75 m values by 13 to 26% (i.e.,  
372  $\text{corrNO}_2^-_{\text{ox}}$  ranged from  $1.6$  to  $8.5 \text{ nM d}^{-1}$  and averaged  $4.0 \pm 2.0 \text{ nM d}^{-1}$  over the upper 75 m; open  
373 symbols in Figure 3b-e). The largest decrease (of 39 to 68%) occurred at 200 m and 500 m, coinciding  
374 with very low ambient  $\text{NO}_2^-$  concentrations (Figure 3a); nonetheless, at all but St 08, the maximum  
375  $\text{NO}_2^-$  oxidation rate was still observed at 200 m, although its magnitude was lower. The coincidentally-  
376 measured and revised  $\text{NH}_4^+$  oxidation rates ( $\text{corrNH}_4^+_{\text{ox}}$ ) showed a similar pattern, with the largest  
377 decrease occurring at the depths with the lowest ambient  $\text{NH}_4^+$  concentrations (Figure 3f-j) – over the  
378 upper 75 m, the rates decreased by 1 to 9% at St 08 to St 10 where the mixed-layer ambient  $\text{NH}_4^+$   
379 concentrations averaged  $263 \pm 4.3$  to  $655 \pm 15 \text{ nM}$ , while at St 11 where the mixed-layer ambient  $\text{NH}_4^+$   
380 concentration averaged  $13 \pm 1.6 \text{ nM}$ , the rates decreased by  $40 \pm 23\%$ . Similar to the  $\text{NO}_2^-$  oxidation  
381 rates, the  $\text{NH}_4^+$  oxidation rates decreased most at 200 m and 500 m, between 33% and 70%. Hereafter,  
382 we use the revised  $\text{NO}_2^-$  and  $\text{NH}_4^+$  oxidation rates ( $\text{corrNO}_2^-_{\text{ox}}$  and  $\text{corrNH}_4^+_{\text{ox}}$ , respectively) when  
383 referring to the depth distributions of these processes, including in Figures 5 and 6. We note, however,  
384 that the revised rates may still not be entirely accurate since  $K_m$  was not derived individually for each  
385 depth at each station (Horak et al., 2013). Nonetheless, because of the high concentration of the  $^{15}\text{N}$ -  
386 tracer amendments relative to all derived  $K_m$  values, we are confident that the revised rates are more  
387 representative of *in situ* conditions than the rates computed using equation 1.

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**Figure 3:** Depth-profile experiments: water column (0–500 m) profiles of the concentration of a) nitrite ( $[\text{NO}_2^-]$ ) and f) ammonium ( $[\text{NH}_4^+]$ ), and rates of  $\text{NO}_2^-$  and  $\text{NH}_4^+$  oxidation at b and g) St 08: 59°S (AZ), c and h) St 09: 54°S (AZ), d and i) St 10: 48°S (PFZ), and e and j) St 11: 43°S (SAZ). In panels a and f, the blue and green symbols indicate the stations at which oxidation rates were measured while the grey symbols show data from the stations where no experiments were conducted. In panels b–e and g–j, open symbols represent oxidation rates revised for possible stimulation due to  $^{15}\text{N}$ -tracer additions ( $\text{corrNO}_2^- \text{ox}$  and  $\text{corrNH}_4^+ \text{ox}$ ; equation 4) and closed symbols show the uncorrected rates (equation 1). Error bars indicate the standard error of replicate experiments, each measured at least twice. Where error bars are not visible, they are smaller than the data markers. The dashed lines connecting the data points are included only to guide the eye and should not be taken to indicate interpolation with depth.

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431 3.3  $\text{NO}_3^-$  uptake rates

432 The rates of  $\text{NO}_3^-$  uptake ( $\rho\text{NO}_3^-$ ) were low and relatively homogenous over the upper 75 m at each  
433 station (Figure S3a). Average euphotic zone  $\rho\text{NO}_3^-$  increased northwards, from  $2.9 \pm 1.1 \text{ nM d}^{-1}$  at St  
434 08 in the AZ to  $12 \pm 2.0 \text{ nM d}^{-1}$  at St 11 in the SAZ, with a transect average of  $6.2 \pm 3.4 \text{ nM d}^{-1}$ . The  
435 euphotic zone PON concentrations also increased northwards, from  $0.24 \pm 0.02 \mu\text{M}$  at St 08 to  $0.47 \pm$   
436  $0.08 \mu\text{M}$  at St 11 (Figure S3b).

437

438 Integrated over the mixed layer,  $\text{corrNO}_2^-_{\text{ox}}$  accounted for an average of 122% of  $\rho\text{NO}_3^-$  (range of 63%  
439 at St 09 to 237% at St 08 in the AZ; Table S2), as has been observed previously in the wintertime  
440 Southern Ocean (Mdutyana et al., 2020). These data confirm that, at least in a mass balance sense, most  
441 of the mixed-layer  $\text{NO}_3^-$  consumed by phytoplankton in winter, and likely also a significant fraction  
442 assimilated in spring, supports regenerated rather than new production, thus weakening the biological  
443  $\text{CO}_2$  sink and complication the use of new production as a proxy for carbon export (Yool et al., 2007;  
444 Mdutyana et al., 2020).

445

446 **4. Discussion**

447  $\text{NO}_2^-$  oxidation, the ultimate step in the nitrification pathway, is important in oceanic N cycling because  
448 it produces  $\text{NO}_3^-$ , the most oxidized and dominant form of N that controls phytoplankton growth across  
449 most of the global ocean (Moore et al., 2013). Experiments designed to measure the kinetics of  $\text{NO}_2^-$   
450 oxidation, as conducted here, allow for an examination of the controls on marine nitrification. Across  
451 all the major zones of the wintertime Southern Ocean, the addition of  $\text{NO}_2^-$  to samples of surface  
452 seawater stimulated  $\text{NO}_2^-$  oxidation following a Michaelis-Menten relationship, suggesting that  
453 substrate availability plays a dominant role in determining the rate of  $\text{NO}_3^-$  production in the Southern  
454 Ocean's winter mixed layer. Curiously, however, we also observed an apparent minimum substrate  
455 requirement of  $\text{NO}_2^-$  oxidation (i.e., a “threshold”  $\text{NO}_2^-$  concentration, ranging from 115 to 245 nM),  
456 which is in contrast to expectations for a “classical” Michaelis-Menten relationship (i.e., V is assumed  
457 to increase as soon as  $S > 0$ , assuming S is limiting to V; Monod, 1942). Below, we examine our findings  
458 in the context of existing estimates of  $\text{NO}_2^-$  oxidation kinetic parameters and then evaluate the potential  
459 drivers of the trends that we observe. We also discuss possible reasons for the apparent requirement of  
460 Southern Ocean NOB for a threshold ambient  $\text{NO}_2^-$  concentration and consider the implications thereof  
461 for the regional N cycle.

462

463 4.1 Southern Ocean  $\text{NO}_2^-$  oxidation kinetic parameters in the context of existing estimates

464 Measurements of  $\text{NO}_2^-$  oxidation rates are limited in the Southern Ocean, with only two studies that  
465 have directly measured this pathway in open-ocean waters (Bianchi et al., 1997; Mdutyana et al., 2020).  
466 For  $\text{NO}_2^-$  oxidation kinetics, there are no data at all for the Southern Ocean. This scarcity of  
467 measurements is unsurprising given that *in situ*  $\text{NO}_2^-$  oxidation kinetics studies are generally limited;



indeed, to our knowledge, there are only two studies from the coastal ocean (Olson, 1981a; Zhang et al., 2020) and two from the Eastern Tropical North Pacific oxygen deficient zone (ETNP ODZ; with experiments conducted across a range of ambient oxygen concentrations; Sun et al., 2017, 2021). By contrast, there exist numerous estimates of  $\text{NO}_2^-$  oxidation kinetic parameters determined from studies using cultured marine NOB (e.g., Sorokin et al., 2012; Nowka et al., 2015; Jacob et al., 2017; Kits et al., 2017; Zhang et al., 2020). In general, culture experiments suggest far higher kinetic constants compared to the limited *in situ* observations from the ocean, particularly for  $K_m$  (i.e., culture-based estimates of 9-544  $\mu\text{M}$ ; Blackburne et al., 2007; Nowka et al., 2015; Ushiki et al., 2017).

The high  $K_m$  values derived for cultured NOB suggest that the affinity of these organisms for  $\text{NO}_2^-$  is low. However, this is not what is observed in the environment, which indicates that the most abundant NOB in the ocean are not represented in the culture collection. For the Southern Ocean, we report high substrate affinities of NOB, with  $K_m$  values ranging from 134 to 403 nM, which is largely within the range documented for coastal waters (27-506 nM; Zhang et al., 2020) and the oxygenated open ocean (27-506 nM; Olson, 1981; Zhang et al., 2020) (Table 2). In the low- to zero-oxygen waters of the ETNP ODZ, similarly low  $K_m$  values have been reported ( $254 \pm 161$  nM; Sun et al., 2017), as have values  $>5$   $\mu\text{M}$  (Sun et al., 2021). These latter estimates were associated with ambient  $\text{NO}_2^-$  concentrations  $>1$   $\mu\text{M}$ . We explore the relationship between ambient  $\text{NO}_2^-$  concentration and  $K_m$  in detail in section 4.2 below. Our focus is on the  $K_m$  values derived under conditions of low ambient  $\text{NO}_2^-$  given that (some of) the environmental factors affecting  $\text{NO}_2^-$  oxidation at high ambient  $\text{NO}_2^-$  concentrations appear to be unique. For example, oxygen has been shown to decrease the rate of  $\text{NO}_2^-$  oxidation in the ODZs (Sun et al., 2017, 2021) where novel clades of NOB have been detected (Sun et al., 2021).

**Table 2:** A selection of previously derived  $K_m$  values from various regions of the open ocean, along with the concurrently-measured ambient concentrations of nitrite ( $[\text{NO}_2^-]_{\text{amb}}$ ). The numbers in parenthesis are standard errors.

Region	$[\text{NO}_2^-]$ (nM)	Sampled depth (m)	$K_m$ (nM)	Reference
Indian Southern Ocean: St 01: 37°S	157	7	263 (16)	This study
Indian Southern Ocean: St 02: 42°S	108	7	134 (8)	This study
Indian Southern Ocean: St 03: 45°S	103	7	206 (30)	This study
Indian Southern Ocean: St 04: 51°S	162	7	288 (52)	This study
Indian Southern Ocean: St 05: 56°S	212	7	329 (29)	This study
Indian Southern Ocean: St 06: 62°S	226	7	403 (24)	This study
Indian Southern Ocean: St 07: 62°S	226	7	317 (20)	This study
Southern California Bight	20	60	70	Olson 1981
Eastern Tropical North Pacific	100	53	281 (151)	Sun et al. 2017
Eastern Tropical North Pacific	50	170	227 (55)	Sun et al. 2017
South China Sea	51	110	195 (33)	Zhang et al. 2020
South China Sea	71	95	175 (37)	Zhang et al. 2020
South China Sea	31	150	49 (15)	Zhang et al. 2020
South China Sea	185	75	506 (82)	Zhang et al. 2020
South China Sea	34	200	27 (11)	Zhang et al. 2020
Subtropical South Atlantic	14	150	74 (29)	Fawcett et al. in prep
Subtropical South Atlantic	152	150	167 (4.3)	Fawcett et al. in prep





505 Across our Southern Ocean transect,  $V_{\max}$  ranged from 5 to 14  $\text{nM d}^{-1}$ , which is relatively low compared  
506 to estimates from other regions (Table 2), although such a comparison may not be particularly  
507 informative as our rates (and typically those of others) are not normalized for NOB abundance. Our  
508  $V_{\max}$  estimates are also low compared to a previous study of mixed-layer nitrification in the winter  
509 Southern Ocean (Mdutyana et al., 2020). This difference may in part be due to the fact that the kinetics  
510 experiments were conducted using surface ( $\sim 7$  m) seawater (and thus, the surface NOB community that  
511 had been exposed to surface conditions, including elevated light), yet the highest rates of  $\text{NO}_2^-$  oxidation  
512 often occur near the base of the mixed layer, including in the Southern Ocean (Figure 3b-e; Sun et al.,  
513 2017; Peng et al., 2018; Mdutyana et al., 2020). The opposite pattern has also been observed, however,  
514 with deeper samples yielding a lower  $V_{\max}$  than samples collected in shallow waters (Sun et al., 2017;  
515 Zhang et al., 2020).

516

#### 517 4.2 Environmental drivers of the $\text{NO}_2^-$ oxidation kinetic parameters

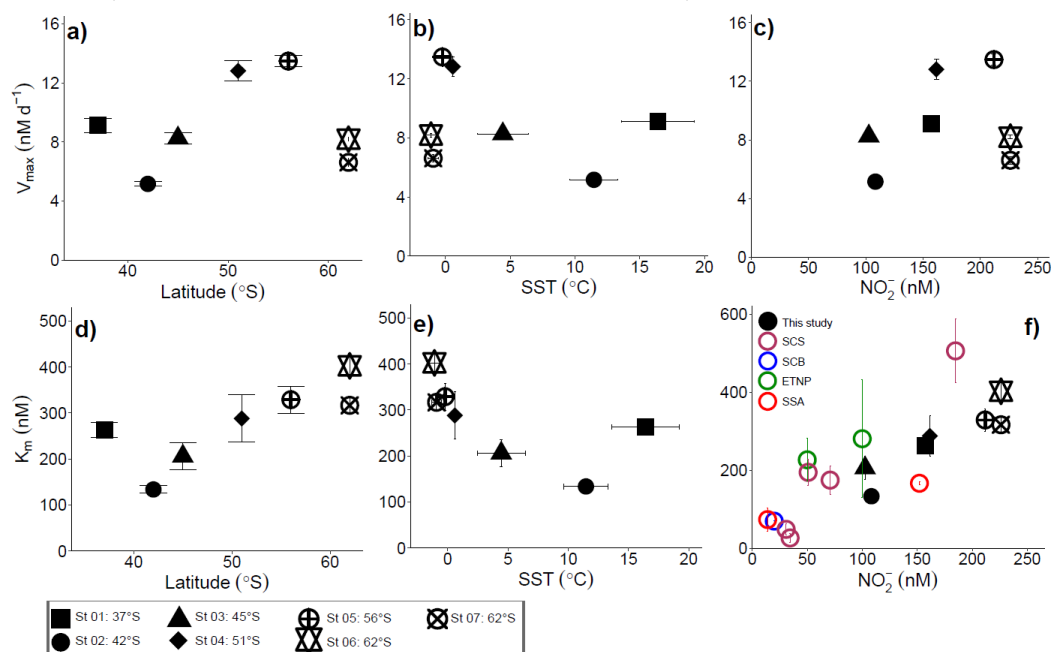
518 We report maximum  $\text{NO}_2^-$  oxidation rates that generally increase towards the south and with decreasing  
519 SST (recognizing that these parameters co-vary), although St 01 in the STZ and St 06 and 07 in the  
520 MIZ deviate from this trend (Figure 4a and b;  $R^2 = 0.019$ ;  $p = 0.77$  and  $R^2 = 0.12$ ;  $p = 0.45$ , respectively  
521 when all the stations are considered, and  $R^2 = 0.92$ ;  $p = 0.041$  and  $R^2 = 0.94$ ;  $p = 0.029$ , respectively,  
522 when St 01, 06, and 07 are excluded). It is possible that changes in the NOB community (composition  
523 and/or abundance) across the transect explains some of the observed variability. Nonetheless, taking  
524 latitude as a qualitative proxy for light, it is perhaps unsurprising that the maximum  $\text{NO}_2^-$  oxidation  
525 rates increase southwards given that NOB are known to be at least partially light inhibited (Peng et al.,  
526 2018; Ward, 2005; Olson, 1981b). This hypothesis does not hold for the stations in the MIZ, however,  
527 at which  $V_{\max}$  decreases sharply despite these waters receiving the least light (less than 5 hours of weak  
528 sunlight, versus  $\sim 7$  hours at  $55^\circ\text{S}$  to  $\sim 9$  hours at  $37^\circ\text{S}$ ). The temperature at the MIZ stations was  $< 0^\circ\text{C}$ ,  
529 which raises the possibility of a temperature effect on  $V_{\max}$ . Indeed, we previously observed a strong  
530 decline in the  $V_{\max}$  associated with  $\text{NH}_4^+$  oxidation at SSTs  $< 0^\circ\text{C}$  in the Southern Ocean, while at SSTs  
531 ranging from  $0.6^\circ\text{C}$  to  $16^\circ\text{C}$ ,  $V_{\max}$  was near invariant (Mdutyana, 2021).

532

533 Marine nitrification has been reported to be largely unaffected by temperature variations (Bianchi et al.,  
534 1997; Horak et al., 2013; Baer et al., 2014), although  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation may respond differently  
535 to similar changes in temperature. For example, marine NOB incubated at temperatures ranging from  
536  $10^\circ\text{C}$  to  $35^\circ\text{C}$  responded far more slowly to an increase in temperature than co-incubated AOA, resulting  
537 in an accumulation of  $\text{NO}_2^-$  in the incubation bottles (Schaefer and Hollibaugh, 2017). By contrast, we  
538 previously observed no robust relationship between temperature and the maximum  $\text{NH}_4^+$  oxidation rate  
539 in the Southern Ocean (Mdutyana, 2021), a finding that is consistent with studies of  $\text{NH}_4^+$  oxidation in  
540 the Arctic and temperate coastal ocean (Horak et al., 2013; Baer et al., 2014). Far less work has been  
541 done to assess the response of NOB to temperature changes. In the absence of experiments specifically



542 designed to test the response of Southern Ocean NOB communities to temperature, it is difficult to  
 543 disentangle the effect(s) on  $\text{NO}_2^-$  oxidation of temperature *versus* light (and possibly other parameters  
 544 that co-vary with latitude, such as  $\text{NO}_2^-$  and/or micronutrient availability).



545

546 **Figure 4:** Potential controls on the kinetic parameters associated with  $\text{NO}_2^-$  oxidation.  $V_{\max}$  and  $K_m$  are  
 547 shown as a function of a and d) latitude, b and e) sea surface temperature (SST), and c and f) the ambient  
 548 nitrite concentration ( $[\text{NO}_2^-]_{\text{amb}}$ ). Vertical error bars show the propagated error associated with  $V_{\max}$  and  
 549  $K_m$  computed using a non-linear, least-squares optimization method (Scipy Imfit package, Python  
 550 3.7.6), while the symbols and horizontal error bars on panels b and e indicate the average ( $\pm$  standard  
 551 deviation) SST experienced by the samples during the incubations. In panel f, black symbols show our  
 552 Southern Ocean data, maroon symbols show  $K_m$  values from the South China Sea (SCS; Zhang et al.  
 553 2020), the blue symbol shows the  $K_m$  value derived for the South California Bight (SCB; Olson 1981a),  
 554 the green symbol shows  $K_m$  values from Eastern Tropical North Pacific oxygen deficient zone (ETNP;  
 555 Sun et al. 2017), and the red symbols show  $K_m$  values derived for the subtropical southeast Atlantic  
 556 (SSA; Fawcett et al. unpubl.).

557

558 Plotting  $V_{\max}$  as a function of the ambient substrate concentration ( $[\text{NO}_2^-]_{\text{amb}}$ ) reveals a strong positive  
 559 relationship for all but the MIZ stations (Figure 4c;  $R^2 = 0.73$ ;  $p = 0.065$  if the MIZ stations are  
 560 excluded). In particular, the STZ station (St 01), which appeared anomalous in the plots of  $V_{\max}$  versus  
 561 latitude and SST, is consistent with the other non-MIZ stations when evaluated in  $V_{\max}$  versus  $[\text{NO}_2^-]_{\text{amb}}$   
 562 space. The positive relationship of  $V_{\max}$  to  $[\text{NO}_2^-]_{\text{amb}}$  could be taken as evidence that  $\text{NO}_2^-$  availability  
 563 strongly controls the maximum achievable rate of  $\text{NO}_2^-$  oxidation. However,  $V_{\max}$  varies four-fold  
 564 across the transect while  $[\text{NO}_2^-]_{\text{amb}}$  only changes by a factor of two, and  $[\text{NO}_2^-]_{\text{amb}}$  is also correlated with  
 565 latitude ( $R^2 = 0.51$ ,  $p = 1.1 \times 10^{-5}$  for all surface  $[\text{NO}_2^-]_{\text{amb}}$  data; Figure S2). Additionally, previous  
 566 wintertime Southern Ocean  $\text{NO}_2^-$  oxidation rates (albeit not  $V_{\max}$ ) showed no relationship with ambient



567  $\text{NO}_2^-$  concentration (Bianchi et al., 1997; Mdotyana et al., 2020). The extent to which  $V_{\max}$  is directly  
568 controlled by  $[\text{NO}_2^-]_{\text{amb}}$  is thus unclear, and it is likely that NOB community composition, light  
569 availability, and temperature also play a role, with SST perhaps becoming more important at very low  
570 temperatures (i.e., in the MIZ).

571

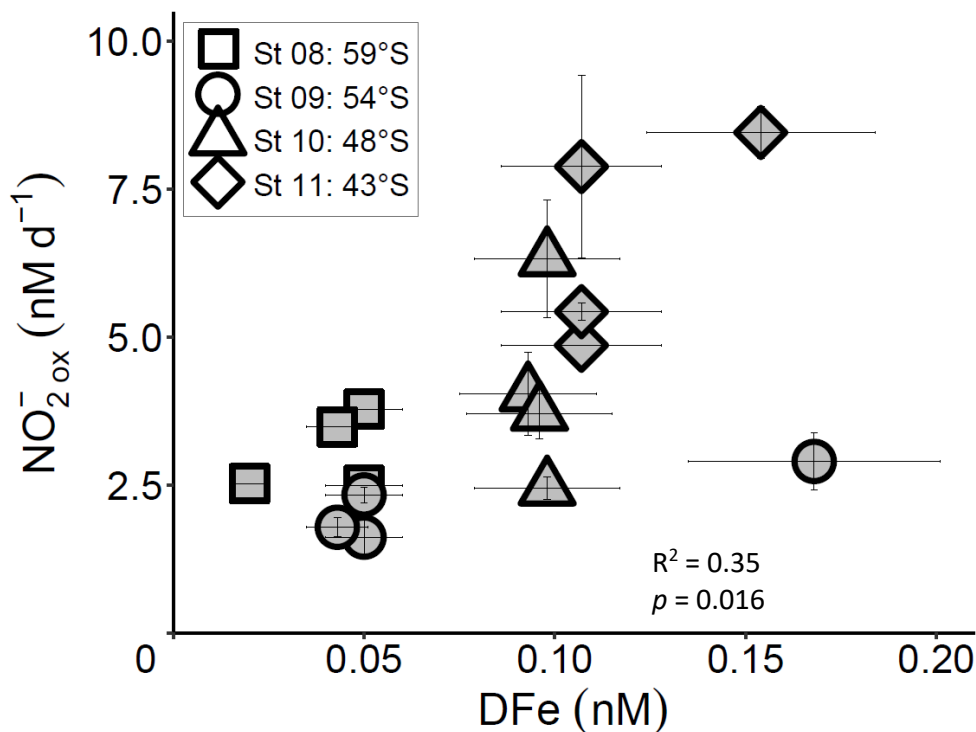
572 Our estimates of  $K_m$  reveal that NOB in the wintertime Southern Ocean have a high affinity for  $\text{NO}_2^-$   
573 that appears to decrease (i.e., the  $K_m$  rises) at higher latitudes (i.e., lower light) and lower temperatures,  
574 with St 01 in the STZ again emerging as an exception (Figure 4d and e;  $R^2 = 0.86$ ,  $p = 0.0075$  and  $R^2 =$   
575  $0.86$ ,  $p = 0.0076$ , respectively). Plotting our  $K_m$  values as a function of  $[\text{NO}_2^-]_{\text{amb}}$  reveals a strong  
576 positive relationship (Figure 4f;  $R^2 = 0.83$ ,  $p = 0.0044$ ; black data points), implying that  $\text{NO}_2^-$  availability  
577 rather than temperature or light exerts the dominant control on  $K_m$ . This trend further suggests that NOB  
578 are well-adapted to the environment (or Southern Ocean region) in which they are found. Southern  
579 Ocean mixed-layer  $\text{NO}_2^-$  concentrations are almost never  $<150$  nM, regardless of the season (Fripiat et  
580 al., 2019; Mdotyana et al., 2020; Zakem et al., 2018), yet the relationship of  $K_m$  to  $[\text{NO}_2^-]_{\text{amb}}$  also holds  
581 at far lower  $\text{NO}_2^-$  concentrations. The coloured data points in Figure 4f show  $K_m$  versus  $[\text{NO}_2^-]_{\text{amb}}$  for  
582 four additional regions where a Michaelis-Menten relationship of  $\text{NO}_2^-$  oxidation rate to  $\text{NO}_2^-$   
583 concentration was observed and where  $[\text{NO}_2^-]_{\text{amb}}$  was  $<250$  nM (two coastal ocean sites, the South China  
584 Sea (SCS; Zhang et al., 2020) and Southern California Bight (SCB; Olson, 1981); one oligotrophic  
585 ocean site, the subtropical South Atlantic (SSA; Fawcett et al. unpubl.); and two stations from the ETNP  
586 ODZ, where oxygen concentrations ranged from  $0$   $\mu\text{M}$  to  $16.8$   $\mu\text{M}$  (Sun et al., 2017)). The robust  
587 positive relationship of  $K_m$  to  $[\text{NO}_2^-]_{\text{amb}}$  that emerges when these previous results are combined with  
588 our Southern Ocean data ( $R^2 = 0.68$ ,  $p = 5.2 \times 10^{-5}$ ) strongly implicates  $[\text{NO}_2^-]_{\text{amb}}$  as the dominant control  
589 on the  $K_m$  of  $\text{NO}_2^-$  oxidation in the ocean, particularly at low  $[\text{NO}_2^-]_{\text{amb}}$ .

590

591 The production of  $\text{NO}_2^-$  from  $\text{NH}_4^+$  oxidation has recently been hypothesized to be vulnerable to iron  
592 limitation (Mdotyana, 2021) since AOB rely on iron-rich *cytochrome c* proteins (Arp et al., 2002;  
593 Walker et al., 2010) and some AOA appear to have a low affinity for inorganic iron (Shafiee et al.,  
594 2019). NOB also contain iron-rich enzymes, such as nitrite oxidoreductase, which is responsible for  
595 converting  $\text{NO}_2^-$  to  $\text{NO}_3^-$  (Meincke et al., 1992; Spieck et al., 1998). While we have no iron data with  
596 which to compare our kinetic parameters, dissolved iron concentrations ( $[\text{DFe}]$ ) were measured at the  
597 depth-profile stations (St 08 to St 11; Mdotyana, 2021). The revised  $\text{NO}_2^-$  oxidation rates at these  
598 stations are weakly positively correlated with  $[\text{DFe}]$  ( $R^2 = 0.35$ ,  $p = 0.016$ ; Figure 5), indicating a  
599 potential role for iron in controlling  $\text{NO}_2^-$  oxidation. Combined with the evidence that iron may also  
600 constrain marine  $\text{NH}_4^+$  oxidation (Shafiee et al., 2019), this observation implies that mixed-layer  
601 nitrification in the Southern Ocean may be iron-limited. Since phytoplankton consumption of  
602 regenerated  $\text{NO}_3^-$  yields no net removal of atmospheric  $\text{CO}_2$  (Yool et al., 2007), an iron-related control  
603 on mixed-layer nitrification would help to limit the extent to which this process can weaken the



604 Southern Ocean's biological pump. It would also lead to enhanced competition between phytoplankton  
605 and nitrifiers.



606

607 **Figure 5:** Euphotic zone (0-75 m) rates of NO<sub>2</sub><sup>-</sup> oxidation measured at the depth-profile stations (St 08  
608 to St 11) plotted against coincident dissolved iron concentrations (DFe). Error bars indicate the standard  
609 error of replicate experiments/collections, each measured at least twice. Where errors bars are not  
610 visible, they are smaller than the data markers.  
611

612 4.3 The persistence of elevated NO<sub>2</sub><sup>-</sup> concentrations throughout the Southern Ocean's mixed layer

613 While still limited, there is growing evidence that marine AOA have a very high affinity for NH<sub>4</sub><sup>+</sup> (more  
614 correctly, ammonia (NH<sub>3</sub>), the substrate for NH<sub>4</sub><sup>+</sup> oxidation; Mduyana, 2021; Martens-Habbena et al.,  
615 2009; Horak et al., 2013; Newell et al., 2013; Peng et al., 2016). Marine NOB also appear able to access  
616 low concentrations of substrate, based on the few *in situ* studies conducted to-date, including this one  
617 (Figure 4f; Olson, 1981; Sun et al., 2017; Zhang et al., 2020). This high substrate affinity is perhaps  
618 unsurprising given that NO<sub>2</sub><sup>-</sup> concentrations are generally near-zero throughout the oxygenated ocean,  
619 rising modestly to values typically <500 nM at the PNM in (sub)tropical waters (Lomas and Lipschultz,  
620 2006; Zakem et al., 2018) and <400 nM over the mixed layer in (sub)polar regions (Zakem et al., 2018).  
621 The average surface NO<sub>2</sub><sup>-</sup> concentration measured during Leg 1 of our cruise was 168 ± 48 nM (Figure  
622 1a) and the average mixed-layer concentration for Leg 2 was 137 ± 57 nM (Figures 1b and 3a). Similar  
623 concentrations have been observed previously across the Southern Ocean, including in other seasons



624 (Cavagna et al., 2015; Fripiat et al., 2019; Mdotyana et al., 2020). Thus, while  $\text{NO}_2^-$  oxidation in  
625 Southern Ocean surface waters is characterized by a low  $K_m$ , the affinity of NOB for  $\text{NO}_2^-$  is apparently  
626 not high enough to completely remove the available  $\text{NO}_2^-$ .

627

628 The persistence of elevated  $\text{NO}_2^-$  concentrations in the mixed layer at high latitudes has been attributed  
629 to the inability of iron- and/or light-limited phytoplankton to fully consume  $\text{NO}_2^-$  transported to the  
630 surface with  $\text{NO}_3^-$  during deep mixing events (Zakem et al., 2018). However, subsurface  $\text{NO}_2^-$   
631 concentrations in the Southern Ocean are typically below detection (Figure 1b and 3a; Olsen et al.,  
632 2016), so it is unclear how deep mixing could supply measurable  $\text{NO}_2^-$  to the euphotic zone.

633

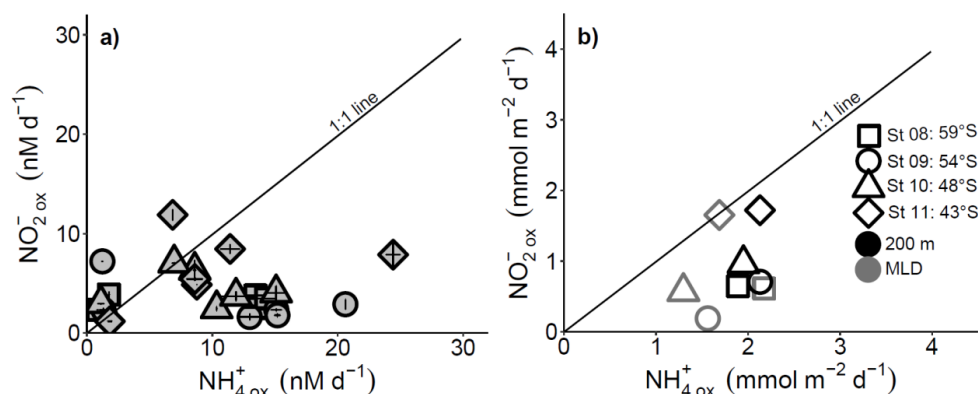
634 A second possible source of elevated mixed-layer  $\text{NO}_2^-$  is efflux following partial  $\text{NO}_3^-$  reduction to  
635  $\text{NO}_2^-$  by phytoplankton (Lomas and Lipschultz, 2006), which has been extensively documented in  
636 laboratory and field studies (see Collos, 1998 for a review). The release of  $\text{NO}_2^-$  by phytoplankton is  
637 hypothesized to result from light limitation of intracellular  $\text{NO}_2^-$  reduction (Vaccaro and Ryther, 1960;  
638 Kiefer et al., 1976), short-term increases in irradiance to which phytoplankton cannot adapt (Lomas and  
639 Lipschultz, 2006), iron limitation of  $\text{NO}_3^-$  assimilation (Milligan and Harrison, 2000), and/or release of  
640 phytoplankton from  $\text{NO}_3^-$  limitation following a period of starvation (Sciandra and Amara, 1994). While  
641 some of these mechanisms may be ongoing in the Southern Ocean, they all require the initial uptake of  
642  $\text{NO}_3^-$  by phytoplankton. This process occurs in the winter mixed layer at rates that are too low to support  
643  $\text{NO}_2^-$  efflux to the extent that it would allow  $\text{NO}_2^-$  to accumulate to concentrations of 100-300 nM  
644 (Figure S3; Philibert et al., 2015; Mdotyana et al., 2020) while simultaneously being removed by  $\text{NO}_2^-$   
645 oxidation. Additionally, we observe a reasonable correlation between the  $\text{NH}_4^+$  oxidation rates and  
646 ambient  $\text{NO}_2^-$  ( $R^2 = 0.46$ ,  $p = 0.0003$ ; Figure S4), which implies that  $\text{NO}_2^-$  derives mainly from  $\text{NH}_4^+$   
647 oxidation rather than phytoplankton efflux.

648

649 A third potential explanation for elevated mixed-layer  $\text{NO}_2^-$  is thus a decoupling of  $\text{NH}_4^+$  and  $\text{NO}_2^-$   
650 oxidation, which appears to be widespread in the environment (e.g., Ward and Zafiriou, 1988; Beman  
651 et al., 2013). In the oxygenated ocean,  $\text{NH}_4^+$  oxidation has been considered the rate-limiting step in the  
652 nitrification pathway because  $\text{NO}_2^-$  seldom accumulates in the mixed layer (Kendall, 1998; Kowalchuk  
653 and Stephen, 2001; Walker et al., 2010; Vajrjala et al., 2013). However, rate measurements from  
654 numerous ocean regions show contrasting results, with  $\text{NO}_2^-$  oxidation sometimes outpacing  $\text{NH}_4^+$   
655 oxidation (Peng et al., 2018; Dore and Karl, 1996; Bristow et al., 2015; Horrigan et al., 1990) while in  
656 other cases,  $\text{NH}_4^+$  oxidation is dominant (Ward and Kilpatrick, 1991; Kalvelage et al., 2013; Clark et  
657 al., 2008). The limited data available from previous Southern Ocean investigations show no clear trend  
658 (Bianchi et al., 1997; Mdotyana et al., 2020). In the present study, mixed-layer  $\text{corrNO}_2^-_{\text{ox}}$  rates are two-  
659 to seven-times lower than the coincidentally measured  $\text{corrNH}_4^+_{\text{ox}}$  (Figures 3 and 6). Additionally, the  
660 maximum rates of  $\text{NO}_2^-$  oxidation ( $V_{\text{max}}$ ) derived for the surface NOB community (~5 to 13 nM d<sup>-1</sup>;



661 Figure 2) are on average half those determined at the same stations for  $\text{NH}_4^+$  oxidation (14 to 23  $\text{nM d}^{-1}$ ;  
662  $^1$ ; Mduyana, 2021). At the time of our sampling, therefore,  $\text{NO}_2^-$  oxidation was rate-limiting for  
663 nitrification, which likely accounts for most of the  $\text{NO}_2^-$  accumulated in the Southern Ocean's winter  
664 mixed layer .



665  
666 **Figure 6:** The relationship between the measured rates of  $\text{NO}_2^-$  and  $\text{NH}_4^+$  oxidation for a) each  
667 experiment depth in the upper water column (0-500 m) and b) integrated over the mixed layer (grey  
668 symbols) and upper 200 m (black symbols). Error bars on panel a indicate the standard error of replicate  
669 experiments, each measured at least twice, while on panel b, error bars show the propagated error.  
670 Where errors bars are not visible, they are smaller than the data markers. The black diagonal line on  
671 both panels has a slope of 1, which is expected if the rates of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation are tightly  
672 coupled.  
673

674 If a decoupling of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation is predominantly responsible for  $\text{NO}_2^-$  accumulation, an  
675 obvious question is why these rates are not balanced. Environmental factors like temperature, light,  
676 and/or pH may play a role (Ward, 2008; Heiss and Fulweiler, 2017), as may iron limitation and the  
677 different ecophysiology of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidizers. AOA have been shown to adapt more rapidly  
678 than NOB to a change in temperature (Schaefer and Hollibaugh, 2017); however, the seasonal SST  
679 variations within the various zones of the Southern Ocean are fairly small and the aforementioned study  
680 showing the differential thermal response of AOA and NOB was conducted at higher temperatures than  
681 those experienced in much of the Southern Ocean.

682

683 With regards to light, there is evidence from culture and field studies that NOB are more photosensitive  
684 than AOA and AOB (Bock, 1965; Olson, 1981b; Qin et al., 2014). Our data are consistent with this  
685 notion insofar as the  $V_{\text{max}}$  associated with  $\text{NO}_2^-$  oxidation in surface waters rises with increasing latitude  
686 (and thus decreasing light; Figure 4a) while the  $V_{\text{max}}$  derived for  $\text{NH}_4^+$  oxidation remains largely  
687 unchanged across  $>30$  degrees of latitude (Mduyana, 2021). However, the ambient  $\text{NO}_2^-$  concentration  
688 in Southern Ocean surface waters rises near linearly with latitude (Figure S2a) while the  
689  $\text{NH}_4^+$  concentration resembles a step function, increasing from  $\sim 100$  nM north of the SAF to  $\sim 700$  nM



690 south of the SAF, over a distance of roughly one degree of latitude (Figure S2b). The differing trends  
691 in  $V_{\max}$  may thus have more to do with substrate availability than photoinhibition.

692 Mixing, particularly deep winter overturning, might also contribute to a decoupling of  $\text{NH}_4^+$  and  $\text{NO}_2^-$   
693 oxidation. In coastal waters, deep winter mixing has been shown to dilute the nitrifier community,  
694 particularly NOB, leading to low rates of  $\text{NO}_2^-$  oxidation while  $\text{NH}_4^+$  oxidation rates remain elevated,  
695 ultimately causing  $\text{NO}_2^-$  accumulation in the upper layer (Haas et al., 2021). While a similar effect may  
696 play a role in  $\text{NO}_2^-$  accumulation in the open Southern Ocean, it is unlikely that the entire  $\text{NO}_2^-$  reservoir  
697 can be ascribed to this process. The rates of  $\text{NH}_4^+$  oxidation are only slightly higher than  $\text{NO}_2^-$  oxidation  
698 in the winter mixed layer (Figure 3) and the mixed-layer  $\text{NH}_4^+$  concentrations are elevated (Figure 3f),  
699 implying that  $\text{NH}_4^+$  oxidizers are limited by something other than  $\text{NH}_4^+$  substrate, preventing them from  
700 catalysing higher rates of  $\text{NO}_2^-$  production (and thus  $\text{NO}_2^-$  accumulation).

701

702 A number of studies have shown the negative effect of decreasing pH on  $\text{NH}_4^+$  oxidation in the ocean  
703 (e.g., Huesemann et al., 2002; Beman et al., 2011; Kitidis et al., 2011), driven by a reduction in the  
704 proportion of  $\text{NH}_3$  relative to  $\text{NH}_4^+$ . By contrast,  $\text{NO}_2^-$  oxidation may increase as pH declines (Fulweiler  
705 et al., 2011; Heiss and Fulweiler, 2017), although such a correlation has only been observed in one  
706 coastal region. While a differential response of AOB and NOB to pH would decouple  $\text{NH}_4^+$  and  $\text{NO}_2^-$   
707 oxidation, the resultant trend should be one of higher  $\text{NO}_2^-$  accumulation in the northern Southern Ocean  
708 where surface pH is higher in winter and lower  $\text{NO}_2^-$  accumulation to the south where pH is lower (Key  
709 et al., 2004; McNeil and Matear, 2008) – this is not the meridional pattern that we observe (Figure 1b).  
710

711 Nitrite oxidoreductase (NXR), the enzyme possessed by NOB that is responsible for aerobic  $\text{NO}_2^-$   
712 oxidation to  $\text{NO}_3^-$ , is an iron-sulfur molybdoprotein (Sundermeyer-Klinger et al., 1984; Meincke et al.,  
713 1992; Lückner et al., 2010). As such,  $\text{NO}_2^-$  oxidation has a significant iron requirement (Saito et al., 2020;  
714 Bayer et al., 2021), intimated by the relationship we observe between  $\text{corrNO}_2^-_{\text{ox}}$  and DFe (Figure 5).  
715 Additionally,  $\text{NO}_2^-$  accumulation at the PNM in the California Current has been hypothesized to be  
716 caused by iron limitation of NOB (Santoro et al., 2013). AOB also require iron, in particular for the  
717 oxidation of hydroxylamine, which is catalyzed by the heme-rich hydroxylamine oxidoreductase  
718 (HAO) complex (Arp et al., 2002; Walker et al., 2010). By contrast, AOA, the dominant marine  $\text{NH}_4^+$   
719 oxidizers, rely mainly on copper-containing proteins to mediate  $\text{NH}_4^+$  oxidation (Amin et al., 2013;  
720 Walker et al., 2010; Santoro et al., 2015). In the iron-limited Southern Ocean, it is thus possible that  
721 iron scarcity more strongly limits  $\text{NO}_2^-$  than  $\text{NH}_4^+$  oxidation. However, recent culture and proteomic  
722 work suggests that some AOA may actually have a high iron requirement (Santoro et al., 2015; Carini  
723 et al., 2018; Qin et al., 2018; Shafiee et al., 2019), and we have previously hypothesized an iron-related  
724 control on  $\text{NH}_4^+$  oxidation in the Southern Ocean (Mdutyana, 2021). Deeper investigation is thus





725 required to characterize the role of iron in controlling the relative rates of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation, and  
726 the implications for the complete nitrification pathway.

727

728 A further consideration is differences in the ecology of AOA and NOB. Marine NOB are an order of  
729 magnitude less abundant than AOA (e.g., Füssel et al., 2012; Beman et al., 2013b; Pachiadaki et al.,  
730 2017; Damashek et al., 2019; Kitzinger et al., 2020) and roughly three-times larger (Watson and  
731 Waterbury, 1971; Könneke et al., 2005; Martens-Habbena et al., 2009; Pachiadaki et al., 2017). While  
732 their affinity for  $\text{NO}_2^-$  appears to be high, the *in situ*  $K_m$  values derived to-date are not as low as those  
733 reported for  $\text{NH}_4^+$  oxidation (Horak et al., 2013; Peng et al., 2016; Xu et al., 2019; Zhang et al., 2020;  
734 Mduyana, 2021), which is perhaps to be expected given their larger size (Aksnes and Egge, 1991;  
735 Litchman et al., 2007; Zakem et al., 2018). Additionally, the theoretical energy gain from  $\text{NO}_2^-$   
736 oxidation is lower than from  $\text{NH}_4^+$  oxidation (Bock and Wagner, 2006), and it has been estimated that  
737 NOB must consume three-times as much N as  $\text{NH}_4^+$  oxidizers to produce the same amount of biomass  
738 (Zakem et al., 2018). Nonetheless, a recent study from the Gulf of Mexico showed that NOB from the  
739 phylum *Nitrospinae*, the dominant  $\text{NO}_2^-$  oxidizers in the ocean, grow five-times faster than AOA and  
740 produce roughly four-times as much biomass despite their lower abundance, in part because they can  
741 support up to half of their cellular N requirement using organic N compounds such as urea (Kitzinger  
742 et al., 2020). This study was conducted in hypoxic shelf waters, however, such that the applicability of  
743 its findings to the Southern Ocean is unclear. Nevertheless, it seems likely that the very different life  
744 strategies of  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidizers play a role in decoupling the steps of nitrification in the Southern  
745 Ocean.

746

747 Resource limitation theory posits that nitrifiers require a subsistence concentration of substrate ( $R^*$ ) to  
748 maintain their population, and that those with the lowest  $R^*$  will outcompete all other organisms limited  
749 by the same resource, provided their  $V_{\max}$  is greater than their loss rate due to grazing and/or viral lysis  
750 (Zakem et al., 2018). Because NOB are considerably larger than AOA (Watson and Waterbury, 1971;  
751 Könneke et al., 2005; Martens-Habbena et al., 2009; Pachiadaki et al., 2017), they will have a higher  
752  $R^*$  than AOA even before grazing pressure is factored in. Their large size also means that NOB are  
753 more likely to be grazed than AOA, which will further increase their  $R^*$ , as will the fact that their  
754 maximum growth rates are slow and thus vulnerable to being outpaced by their loss rate. Taken together,  
755 these factors will increase  $R^*$ , potentially resulting in the accumulation of  $\text{NO}_2^-$  in the water column,  
756 and may help to explain why the  $K_m$  for  $\text{NO}_2^-$  oxidation, in the Southern Ocean and elsewhere, is  
757 considerably higher than that derived for  $\text{NH}_4^+$  oxidation. Additionally, the fact that NOB will be  
758 preferentially grazed over AOA may contribute to  $\text{NO}_2^-$  oxidation being rate-limiting for nitrification.

759

760 That  $\text{NO}_2^-$  oxidation was rate-limiting at the time of our sampling does not necessarily explain the  
761 accumulation of  $\text{NO}_2^-$  in the Southern Ocean mixed layer year-round. Neither  $\text{NH}_4^+$  nor  $\text{NO}_2^-$  oxidation



762 occur at elevated rates in summer or autumn (Bianchi et al., 1997; Mduyana et al., 2020), yet the  
763 elevated  $\text{NO}_2^-$  concentrations persist during these seasons (Cavagna et al., 2015; Fripiat et al., 2019;  
764 Mduyana et al., 2020). To fit a Michaelis-Menten curve to our experimental data required amending  
765 the classical equation (equation 2) to allow for a positive x-intercept (i.e., a non-zero S value at which  
766 V was still zero, denoted as the C parameter in equation 3) (Archontoulis and Miguez, 2014).  
767 Additionally, at most stations, the  $\text{NO}_2^-$  oxidation rates did not increase substantially following the  
768 initial two or three substrate amendments (i.e., in Figure 2, the slope of the relationship between V and  
769 S is less steep for the initial two to three values of S than at higher values). Practically, our findings  
770 suggest that Southern Ocean NOB require a minimum (i.e., “threshold”)  $\text{NO}_2^-$  concentration below  
771 which,  $\text{NO}_2^-$  becomes severely limiting. Coupled with weak  $\text{NO}_2^-$  drawdown by iron- and/or light-  
772 limited phytoplankton during their incomplete consumption of the  $\text{NO}_3^- + \text{NO}_2^-$  pool, a threshold  
773 substrate requirement of NOB can explain the year-round persistence of non-zero mixed-layer  $\text{NO}_2^-$   
774 since it implies that there is no mechanism by which  $\text{NO}_2^-$  can be completely exhausted.

775

776 We hypothesize that the  $\text{NO}_2^-$  concentration threshold is indicative of an undersaturation by substrate  
777  $\text{NO}_2^-$  of NXR, which is a membrane-bound enzyme (Lücker et al., 2010; Sundermeyer-Klinger et al.,  
778 1984) that occurs in two forms: 1) with the substrate-binding subunit (NxrA) orientated outwards into  
779 the periplasmic space, as in *Nitrospira* and *Nitrospina* (Spieck et al., 1998; Lücker et al., 2010; 2013;  
780 Koch et al., 2015; Daims et al., 2016) and 2) with NxrA orientated inward towards the cytoplasm, as in  
781 *Nitrococcus* and *Nitrobacter* (Spieck et al., 1996; Starkenburg et al., 2006; Sorokin et al., 2012). The  
782 dominant genera of NOB in the ocean are *Nitrospina* and *Nitrospira* (Beman et al., 2013; Füssel et al.,  
783 2012), with a recent metaproteomic study identifying *Nitrospina* NXR as the most abundant microbial  
784 protein in the mesopelagic zone of the Central Tropical Pacific (Saito et al., 2020). If *Nitrospina* (and/or  
785 *Nitrospira*) is similarly abundant across the Southern Ocean, as has been intimated for the Pacific  
786 Subantarctic (Raes et al., 2020), the fact that its NXR is contained within the periplasmic space suggests  
787 that substrate undersaturation is possible. This is in contrast to NOB with a cytoplasmic NXR that must  
788 first transport  $\text{NO}_2^-$  across the cell membrane and into the cytoplasm where it can accumulate to  
789 saturating concentrations before being oxidized (Daims et al., 2016). These NOB (i.e., *Nitrococcus* and  
790 *Nitrobacter*) have a lower  $\text{NO}_2^-$  affinity than those with a periplasmic NXR (Schramm et al., 1999;  
791 Nowka et al., 2015), with the latter group thus better suited to regions of low  $\text{NO}_2^-$ . Nonetheless, our  
792 data suggest the NXR in the Southern Ocean, probably associated with *Nitrospina* and *Nitrospira* (Raes  
793 et al., 2020), is substrate-limited, resulting in a perennially elevated concentration of  $\text{NO}_2^-$  in the mixed  
794 layer.

795

796 *Nitrospina* and *Nitrospira* are ubiquitous in the ocean (Beman et al., 2013; Füssel et al., 2012), which  
797 raises the question of why a similar  $\text{NO}_2^-$  concentration threshold has not been reported from other  
798 regions. This may partly be due to the very limited number of  $\text{NO}_2^-$  oxidation kinetics experiments that



799 have been conducted in the open ocean and/or to the fact that a classic Michaelis-Menten function is  
800 usually imposed upon kinetics data, with  $V$  assumed to increase as soon as  $S > 0$ . Additionally,  
801 depending on the maximum substrate concentration added during kinetics experiments, it can be  
802 difficult to discern a possible threshold  $\text{NO}_2^-$  concentration by simply examining the resultant plots.  
803 Inspection of published Michaelis-Menten curves reveals the possibility of a non-zero  $C$  value in some  
804 cases, including in the ETNP ODZ (Sun et al., 2021) and associated with the PNM in the South China  
805 Sea (Zhang et al., 2020). However, there are other published curves that clearly do intercept the origin  
806 in  $V$  versus  $S$  space (Olson, 1981a; Sun et al., 2017), which may indicate a different NOB community  
807 (e.g., dominated by *Nitrococcus*). Alternately, environmental factors unique to the Southern Ocean,  
808 such as light, temperature, and/or iron availability, may be instrumental in setting the  $\text{NO}_2^-$  threshold  
809 and associated elevated mixed-layer  $\text{NO}_2^-$  concentrations that we observe. Indeed, iron limitation of  
810 NXR could be implicit in its tendency towards  $\text{NO}_2^-$  undersaturation. Using NXR concentrations,  
811 estimates of NXR specific activity, and direct measurements of *in situ*  $\text{NO}_2^-$  oxidation rates, Saito et al.,  
812 (2020) recently deduced that *Nitrospina* NXR is undersaturated with  $\text{NO}_2^-$  in the iron-limited tropical  
813 Pacific. This finding is consistent with the mechanism we invoke to explain the apparent  $\text{NO}_2^-$   
814 concentration threshold in the Southern Ocean, as well as with the existence of such a threshold  
815 indicating *Nitrospina* (and/or *Nitrospira*) dominance of the *in situ* NOB community.

## 816 5. Concluding remarks

817 In this study, we present the first  $\text{NO}_2^-$  oxidation kinetic constants for the Southern Ocean, deriving  
818 from surface experiments conducted during winter 2017. All the experiments were well-described by  
819 the Michaelis-Menten equation, provided that a location parameter,  $C$ , was included in the model.  $V_{\max}$   
820 ranged from  $5.2 \pm 0.1$  to  $13 \pm 0.4$   $\text{nM d}^{-1}$  and  $K_m$  ranged from  $134 \pm 8$  to  $403 \pm 24$   $\text{nM}$ , with the latter  
821 parameter showing a strong positive relationship with the ambient  $\text{NO}_2^-$  concentration. We interpret the  
822 positive values of  $C$  (range of  $115 \pm 2.3$  to  $245 \pm 18$   $\text{nM}$ ) to indicate an ambient  $\text{NO}_2^-$  concentration  
823 threshold below which NOB are impeded. Additionally, we hypothesize that this threshold indicates  
824 substrate limitation of NXR, possibly exacerbated by the low ambient iron concentrations characteristic  
825 of the upper Southern Ocean. From depth-profile measurements, we deduce that the rate-limiting step  
826 for mixed-layer nitrification in the winter is  $\text{NO}_2^-$  oxidation. Despite this,  $\text{NO}_3^-$  production from  $\text{NO}_2^-$   
827 oxidation accounted for 63-237% of the  $\text{NO}_3^-$  consumed by phytoplankton, consistent with previous  
828 wintertime observations from the Atlantic Southern Ocean (Mdutyana et al., 2020).

829

830  $\text{NO}_2^-$  oxidation, as the ultimate step that connects reduced N to its most oxidized form ( $\text{NO}_3^-$ ), is  
831 important throughout the water column, but particularly in the upper layer where the supply of reduced  
832 N is highest. The production of  $\text{NO}_3^-$  within the mixed layer from *in situ* nitrification can complicate  
833 the application of the new production paradigm as a framework for estimating export production (Yool  
834 et al., 2007; Mdutyana et al., 2020), which advocates for additional measurements of this pathway over



835 the upper ~200 m. Additionally, it is becoming increasingly clear that we lack a mechanistic  
836 understanding of the controls on nitrification (both  $\text{NH}_4^+$  and  $\text{NO}_2^-$  oxidation), which renders it  
837 challenging to model both its magnitude and distribution, as well as to assess how these may change in  
838 future. In particular, further study of the role of iron in controlling nitrification is required, particularly  
839 in the Southern Ocean where the mixed layer's biological N cycle is dominated by nitrification in winter  
840 (Smart et al., 2015; Mduyana et al., 2020) and surface-layer iron is very low throughout the year  
841 (Tagliabue et al., 2012).

842

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