

Dear Editor,

Here, we would like to re-submit the revised version of the manuscript titled “Contrasting vertical distributions of recent planktic foraminifera off Indonesia during the southeast monsoon: implications for paleoceanographic reconstructions”

We would like to thank the editor and the two anonymous reviewers for their helpful comments and suggestions. We have made changes to our manuscript accordingly by adding more discussion and rephrasing the text that is considered unclear by the reviewers. We have included additional references and modified Figures 1, 2, 4, 5, 6, 8, 9, and 10 as suggested by the reviewers.

A list of all the changes made can be found in the point-by-point response to the reviewers' comments. We submit two versions of the revised manuscript, i.e., with and without tracked changes. We refer to the line number in our rebuttal letter as viewed with tracked changes. For your convenience, the reviewers' comments are in black while our responses are in blue.

We hope that we have successfully addressed all the points raised and that the improved manuscript now meets the standards of Biogeosciences.

Thank you very much for handling this manuscript.

Yours sincerely,
Raúl Tapia

Lines number refer to the track changed version

Reviewer #1

The contribution “Contrasting vertical distribution of recent planktic foraminifera off Indonesia during the southeast monsoon: implications for paleoceanographic reconstructions” presents new plankton net data from the eastern tropical Indian Ocean. They analyzed the planktic foraminiferal abundances from 5 depth intervals between 0-500 m water depth at 37 sites covering the Indonesian marginal seas off Sumatra, Java and the Lesser Sunda Islands in order to shed light on the spatial distribution of planktic foraminifera during the southeast monsoon and established the relationship between their abundance and the environmental parameters to finally compare those findings with sediment trap and core top assemblage data to further improve the foraminifera-based proxy reconstructions in the region. This study represents an important contribution to the scientific progress within the scope of this journal. It adds new ideas, applies reliable methods, and contributes with new data.

The manuscript is well organized, easy to follow and very well written. The introduction is very rich, referring global and regional previous contributions. Methods are very complete and correctly explained. The results are properly presented and the discussion is very rich. At some point, I consider that the authors could avoid the discussion about the changes in the species size as it is not even plotted in the main manuscript and later is not taken into account for the paleoceanographic implications discussion. Figures and tables are correct, even the Appendix ones. The references fit the journal requirements. Below I detailed a few minor points, though mostly recommendations rather than criticisms. I recommend minor revisions and consider this manuscript fitting very well for the Journal Biogeosciences.

We thank the reviewer for their very positive comments.

Line 6: Is it “those” o “the”?

We changed it to “the”.

Line: 23: I would write “e.g.” because the sentence is refers to global reconstructions of past ocean conditions, and globally there are plenty more contributions than those cited in line 23.

Done

Line 43: The sentence is hard to understand. I suggest to shortened it in order to make the idea clearer.

The sentence was divided into two parts as below:

“However, this approach may be associated with uncertainties arising from a myriad of processes during the settling, deposition, and burial that may lead to varying degrees of proxy signal alteration (Regenberg et al., 2014). Furthermore, additional uncertainty stems from selected proxy calibrations and the instrumental database used for comparison with the proxy.” @Line 45-49

Line 176: Try to avoid sentences that sound like “discussion” in the results. Lines 176 and 177 are an example of this.

The sentence was rephrased to:

“The multivariate analysis performed on hydrographic data separates the sites into two main groups, i.e., transects 1-3 in non-upwelling sector and transects 5-7 in upwelling sector.” Line 185

Line 305: Please, check if the idea of this sentence is correctly expressed

The sentence has been rephrased to improve clarity.

Now It reads:

"Therefore, the inclusion of dead specimens may not necessarily result in a severe bias in the habitat depth estimates. Furthermore, the agreement in the habitat depth of *T. trilobus* inferred from sediments and our ALD calculation also suggests that dead specimens likely do not make up a large portion of the net samples; the same is probably true for *G. ruber* from the same samples. Together, these observations suggest that the relatively deep ALD calculated for *G. ruber* (white) is likely a robust finding and not severely biased by the inclusion of dead specimens in the calculation". @Line 334-338

Reviewer #2

General comments

The manuscript by Tapia et al. presented valuable planktic foraminifera dataset off Indonesia where the available data are currently limited. Their plankton net study along with published sediment trap and surface sediment records will form the basis for understanding planktic foraminifera ecology, seasonality, and foram-based paleoceanography in this important region. The manuscript deals well with the contrasting foraminifera distribution in upwelling sector and non-upwelling sector, and also includes implications for paleoceanography, which thus falls within the scope of BG.

However, the authors concentrates on six of 29 species, and do not show all of the species list. My concern is whether the taxonomic concept is consistent between plankton net, sediment trap, and surface sediments. The current form of the manuscript lacks the discussion of rare species. Another concern is the consideration of anthropogenic climate change. Plankton net and sediment trap are susceptible to recent climate change, though surface sediments likely hold pre-industrial state. I also felt that figures can be improved to better convey the results and discussion of the paper. I recommend major revisions of the manuscript.

We thank the reviewer for their positive comments and constructive criticisms. We have incorporated suggested changes and hope that these changes have improved the clarity of the manuscript.

Specific comments

1. A total of 29 species were identified, but all the species never appeared in the manuscript. The authors tend to discuss the major 6 species, but rare species also hold important information of water column structure and thus implication for paleoceanographic reconstruction. Although the manuscript compared the number of species between plankton net, sediment trap, and surface sediments (Discussion 4.1), is the taxonomic concept the same? If any difference exist, the authors should care the consistency to discuss the diversity of foraminifera assemblage. Also, taxonomic identification rely on the works before 1989. But the genus *Trilobatus* should follow the paper by Spezzaferri et al. (2015 PLOS ONE). I would like to see all the species of plankton net, sediment trap, and surface sediments to infer seasonality and possible dissolution effect on both major and minor species.

We do agree with Reviewer #2 that the full assemblage may be useful for other studies that are more focused on the total assemblage. We have included the complete assemblage data in the Supplementary Info for future consideration by the community. But we emphasize that the main focus of our study is not on the assemblages. Instead, we aim to (1) assess the habitat depth of species that are often used for geochemical analyses in paleoceanographic reconstructions (thus not assemblage), (2) compare the habitat depth of these species inferred from different sample types and approaches, (3) assess the implications for paleoceanographic reconstructions. To achieve these goals, we selected several species that are often used for geochemical analyses, for instance those used in sediment traps, surface sediment or downcore reconstructions (e.g., Mohtadi et al., 2007, 2009, 2011, 2014, 2017).

Adding more discussion on rare species and the full assemblage will not improve the clarity of the manuscript. The rare species are not suitable for the calculation of ALD as already outlined in the Methods section as a few specimens likely do not yield statistically significant estimates (Rebotim et al., 2017; Lessa et al., 2019).

As mentioned above, one of the main goals of this study is to compare the habitat depth inference from plankton net samples with the estimates based on surface sediments (Mohtadi et al., 2007)

and sediment traps (Mohtadi et al., 2009). Therefore, we used the same taxonomic concept as these previous works, and the lead author of those papers are in fact also contributing to this paper.

To clarify the above-mentioned point about taxonomic concept, we have added in the Methods section:

"We used the same taxonomic approach as in previous studies based on surface sediments (Mohtadi et al., 2007) and sediment trap (Mohtadi et al., 2009/11). The only exceptions are for *G. elongatus* and *T. trilobus*, as the names of these species have been updated recently by Aurahs et al. (2009) and Spezzaferi et al. (2015), respectively." @Line 106 -108.

2. Jonkers et al. (2019 Nature) paper presented modern plankton community driven by anthropogenic climate change. I'm wondering whether recent climate change affects plankton net and sediment trap data, which potentially alters the relationship of foraminifera assemblages between plankton net, sediment trap, and surface sediments. Coincidentally, Jonkers et al. paper includes one sediment trap data off Indonesia (Mohtadi et al., 2009) and categorizes apparent warming for this region (historical change is cooling but the species composition shows warming). What is the relationship between this study and Jonkers et al. paper?

We agree with Reviewer #2 that this is something interesting to add to the discussion. We have added some text to mention the reported warm bias in the assemblage in surface sediments due to anthropogenic effects and the likelihood that the water column may have changed over the last few decades, and discuss whether it has a bearing on our findings.

"A recent global compilation study which includes the sediment trap data from Indonesia reported a warm bias in the assemblage in surface sediments due to anthropogenic effects and the likelihood that the water column may have changed over the last few decades (Jonkers et al., 2019). We note that their approach is based on the biogeography of planktic foraminifera, i.e., each species occupies a specific thermal niche, which may span a temperature range of $>10^{\circ}\text{C}$ for some tropical species. On the other hand, our main findings about the habitat depth and implications for paleoclimate reconstruction are based on individual species. The selected species are not dwelling at the limit of their thermal niche, thus as long as these species do not substantially shift their thermal niche over time, we do not expect any large bias due to the reported anthropogenic changes in foraminiferal assemblage." @Line 243-249.

3. The authors stated that Ujié (1968) paper is the only study using plankton net off Indonesia (L58). Then the author's study is consistent well with the Ujié paper? Currently, there is only a general description (L252-254), and no comparison of species found and its standing stocks. Even though the Ujié paper did not investigate the vertical distribution, at least surface distribution of foraminifera should be discussed.

We agree with Reviewer #2 that this is something worth discussing. We did not discuss the results of Ujié because (1) his samples were collected in a different season (winter, whereas ours were collected in summer), and (2) he only looked at foraminifera that are > 330 micrometer (whereas the bulk of foraminifera in our samples come from the size fraction <300 micrometer). Despite the aforementioned disparities in sampling, comparing Ujié's data with ours may shed light on seasonal differences in assemblage off Java, and further allows a comparison with the assemblage in surface sediments. We will add this discussion in section 4.1.

"Interestingly, despite methodological differences (sampling season and water depth, size fraction analyzed), our results are broadly consistent with those of a plankton net study carried out here in late autumn-early winter of 1963 at the end of the upwelling season (Ujié, 1968). Ujié found that the assemblage of planktic foraminifera off Java was consisted of a mixture of species associated with nutrient-rich and nutrient-poor waters, dominated by *N. dutertrei* (28%), *G. ruber* (22%) and

T. trilobus (10%). On the other hand, off Sumatra (100° E) oligotrophic species *T. trilobus* and *G. ruber* accounted for 56% of the total assemblage of planktic foraminifera. Thus, the assemblage and dominant species characterizing these two sectors seem to persist until the end of the upwelling season.” @Line 270-276

4. Based on Figure 9, thermal gradient of plankton net in non-upwelling sector is 2 degrees C. However, the thermal gradient (ΔT) seems much larger in the same sector in Figure 10. I couldn't follow the apparent difference in ΔT between Figures 9 and 10. Please show absolute values of water depth and temperature in Figure 10, rather than relative values.

We thank the reviewer for pointing out this confusion. The temperatures plotted in Figure 9 are abundance-weighted temperatures (i.e., more weight is given to the depth interval with higher abundance). For Figure 10 we simply marked the ALD of selected species along the measured temperature profile without any calculation.

We have added detail of the calculation in the caption of Figure 9, and provide absolute values of water depth and temperature in Figure 10.

5. There are two discrepancies between plankton net data and surface sediment records. One is average living depths in Java-LSI (Figure 8). The other is thermal gradient in Sumatra and Java-LSI (Figures 9 and 10). What is the exact relationship between two discrepancies? If the discrepancy of the average living depths in Java-LSI is resolved, then the other discrepancy is also resolved?

Plankton net data off Java suggest a much deeper ALD compared to the calcification depth inferred from geochemical data of foraminifera in surface sediments. Consequently, the abundance-weighted temperatures based on the plankton net mixed-layer species (red boxplot in the bottom right panel in Figure 9) with a greater-than-expected habitat depth are also lower than that suggested by surface sediment data (red boxplot bottom left panel in Figure 9). In other words, the discrepancy in the thermal gradient is due to the different calcification temperature / habitat depth of the mixed layer species in Java-LSI.

To improve clarity, we have outlined the temperature calculation in the caption of Figure 9 to emphasize the difference in estimating the depth, i.e. geochemistry vs. observation.

We also added several sentences throughout the discussion to improve clarity:

“The habitat depth change of the mixed-layer species is thus the primary reason for the ΔT difference between the two sectors.” @Line 441

“Plankton net data suggest a greater habitat depth for the mixed-layer species and hence also lower inferred temperature. As a result, the ΔT off Java-LSI calculated from the plankton net data is smaller than that of surface sediment data.” @Line 458

Although the authors already pointed out the different temporal coverage of sample types, as the Referee (and as a reader), I expect the authors to discuss possible solution for the discrepancy. Please consider the above comments (specific comments 1 to 5) to utilize valuable dataset to tackle the discrepancies between plankton net and surface sediments (and sediment trap).

We find this suggestion very useful and have added a few suggestions for future work at the end of the discussion:

"To further shed light on the transfer of proxy signal from the water column to the sediment, longer sediment trap time series and repeated plankton net sampling in the same region will be useful to capture the seasonality of the vertical distribution of planktic foraminifera. Importantly, generating geochemical data on plankton net samples may help to verify the habitat depths and allow a direct comparison with the depth inference from the surface sediments. It would also be helpful to constrain the age of surface sediments to ensure that they are comparable to modern data." @Line 481-485.

Technical corrections

The manuscript uses the Ocean Data View and R software to plot and analyze the data. But no references and acknowledgements is presented. Please appropriately refer the ODV and R software.

References added.

L21 In addition to Katz et al., 2010, add seminal paper.

We added the seminal papers from Bemis et al. (1998) and Fairbanks et al. (1980).

L28 Abbreviation of SST should be in L27.

Changed as suggested.

L29 For transfer function, add seminal paper (e.g., Imbrie and Kipp, 1971).

Added.

L34 Add oxygen isotope before "d18O", and add ratio after "Mg/Ca".

Added.

L35 Rephrase "popular".

Replaced with "powerful".

L63 Add period after the end of sentence.

Done.

L104 Both sensu stricto (s.s.) and sensu lato (s.l.) are not italic. See Wang (2000) paper.

Done.

L111 What is the approach of Mohtadi et al. (2009) to differentiate *N. dutertrei* from *N. incompta*? Please explain briefly.

Brief description of the approach in method section.

“...based on the presence of an umbilical tooth, and the occurrence of more than four chambers per whorl.”

L140 Delete "psu". No unit for salinity.

Done.

L173 Delete "sea".

Done.

L184-L185 It is not clear that off Sumatra means transect 1-3, and Southern Sumatra and Java-LSI mean transect 4-7. Please clearly state which transect you mention, instead of area's name.

The transects were added in the text.

L196-L197 I'm not sure these references for what reasons. Six species have been often used in paleoceanographic studies? Then describe so.

We rephrased the sentence to improve clarity.

“In the following section, we describe the vertical distribution of six species of planktic foraminifera that are typically used in paleoceanographic studies (for example, Caley et al., 2012; Ding et al., 2013; Mohtadi et al., 2017; Steinke et al., 2014; Tapia et al., 2019), namely *T. trilobus*, *G. ruber* (white), *G. bulloides*, *N. dutertrei*, *P. obliquiloculata*, and *G. menardii*.” @Line 204–206

L205 Typo, lysocline.

Corrected.

L208 Not Fig. 5g, but Fig. 5f.

Corrected.

L211 Not Fig. 5f, but Fig. 5g.

Corrected.

L217 Delete ")" after *G. menardii*.

Done.

L228 Any reference for the lysocline depth?

Two references have been added (Ding et al., 2006; Mohtadi et al., 2007)

L260 and L381 Change from planktonic to planktic.

Done.

L263 Add "(white)" after *G. ruber*.

Done.

L296 How to calculate habitat depth from surface sediments? Please explain.

We added additional explanation in the Introduction outlining the approach used to obtain habitat depth estimate from surface sediments.

"In this approach, habitat depth is defined as the water depth at which the reconstructed Mg/Ca-temperature or seawater $\delta^{18}\text{O}$ value show the closest match with the instrumental data or climatological product." @Line 43-46

L303 Delete "inclusion of".

Done.

L308 Sort species name as in L286. Be consistent with the species order.

We changed the order of L286 so that it is consistent with the order of the species appearing in the discussion.

L332 Geochemical data of planktic foraminifera? It is not clear. Also, what is c of $\text{d}^{18}\text{O}_{\text{C}}$? Calcite? State clearly.

We spelled out "Calcite".

L346 Rephrase "greater" to deeper.

We would like to keep "greater" because we find "deeper depth" a tad awkward grammatically.

L380 Delete "Possible". Implication itself includes possibility.

Done.

L403 It is not clear the meaning of thermal gradient "of" mixed-layer and deep-dwelling species. Perhaps thermal gradient "between" mixed-layer and deep-dwelling species?

Modified as suggested.

L427 Does parentheses need for delta T?

Parentheses were removed.

L466 Typo, LSI.

Corrected.

Figure 1. Add the island names (Sumatra, Java, and the LSI) to Fig. 1a. Some readers are not familiar with this region.

Modified as suggested.

Figure 2. I suggest to add horizontal lines (like error bars) on top of Fig. 2a showing each transect (1 to 7) corresponds what longitudes. In other words, 7 horizontal lines show longitudinal

extent of each transect, which helps readers to understand regional contrast of temperature, salinity and so on. This is also true for Figure 5.

We have added horizontal lines in Figure 2 to indicate the longitudinal extent of each transect.

Figure 4. Add explanation for box plot. What is the meaning of box and bars? For stacked graph, legend is ascending order but the actual data is presented as descending order. I prefer ascending order also for the data.

We added a schematic to explain the boxplots. The panel (c) was changed to match the ascending order of the legend.

Figure 5. The figure is currently shown up to 600 m. But the maximum water depth should be 500 m. Please limit the water depth.

We changed the axis limit to 500 m.

Figure 6. Similar to Figure 4, add explanation for box plot. Are the axis logarithmic? It is not clear, since no axis is shown between 100 and 200 m depth. Also, significant digits should be the same (1 or 2?) for the median of ALD. Add space between "species" and "T. trilobus" in the figure caption.

We added a schematic explaining the boxplot, included y-axis marks for 100-200 m and changed the significant digit to 1, and added the missing space.

Figure 8. Increase the font size of the species name. Remove italic from (white) for G. ruber.

Done.

Figure 9. Add legend for red and blue colors, instead of stating in the figure caption.

Modified as suggested

Figure10. In the figure caption, there are a and b. But a and b are not present in the figure. Be consistent with the caption. I prefer absolute values of water depth and temperature, rather than relative values.

We labeled the panels and added absolute values of water depth and temperature.

Table 1. Put space after period for P. obliquiloquulata.

Done.

Table A1. Add "dd.mm.yy" for Date.

Done.