



1 **Update a biogeochemical model with process-based**
2 **algorithms to predict ammonia volatilization from**
3 **fertilized uplands and rice paddy fields**

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13 **Abstract.** Accurate simulation of ammonia (NH₃) volatilization from fertilized croplands is crucial to
14 enhancing fertilizer-use efficiency and alleviating environmental pollution. In this study, a
15 process-oriented model, CNMM-DNDC (Catchment Nutrient Management Model -
16 DeNitrification-DeComposition), was evaluated and modified using NH₃ volatilization observations
17 from 44 and 19 fertilizer application events in cultivated upland areas and paddy rice fields in China,
18 respectively. The original CNMM-DNDC model not only performed poorly in simulating NH₃
19 volatilization from upland areas but also failed to simulate NH₃ volatilization from paddy rice fields. In
20 the modified CNMM-DNDC model, the major modifications for simulating NH₃ volatilization from
21 uplands were primarily derived from a peer-reviewed and published study. NH₃ volatilization from
22 uplands was jointly regulated by the factors of wind speed, soil depth, clay fraction, soil temperature,
23 soil moisture, vegetation canopy, and rainfall-induced canopy wetting. Moreover, three principle
24 modifications were made to simulate NH₃ volatilization from paddy rice fields. First, the simulation of
25 the floodwater layer and its pH were added. Second, the effect of algal growth on the diurnal
26 fluctuation of floodwater pH was introduced. Finally, the Jayaweera-Mikkelsen model was introduced
27 to simulate NH₃ volatilization. The modified model showed remarkable performances in simulating the
28 cumulative NH₃ volatilization of the calibrated and validated cases, with drastically significant
29 zero-intercept linear regression of slopes of 0.94 ($R^2 = 0.76$, $n = 40$) and 0.98 ($R^2 = 0.71$, $n = 23$),



30 respectively. However, the volatilized NH_3 simulated by the modified model still exhibited some
31 deviation from the observations when deep/mixed-placement, irrigation/precipitation, and
32 prosperous/depressed algal biomass accompanied the fertilizer application events. Future studies still
33 need to solve these problems to further improve the performance of the modified model. Nevertheless,
34 the modified model could provide an available method for developing NH_3 emission inventories and
35 reducing environmental pollutions.

36 **1. Introduction**

37 Synthetic fertilizer application, as the secondary largest contributor to ammonia (NH_3) emissions
38 after livestock production, accounts for approximately 30% to 50% of anthropogenic NH_3 emissions
39 (Behera et al., 2013; Bouwman et al., 1997; Huang et al., 2012; Paulot et al., 2014). The great quantity
40 of NH_3 volatilized from agricultural fields contributes to low nitrogen use efficiency for crops (Chien et
41 al., 2009; Mariano et al., 2019; Zhu et al., 1989). The subsequent dry and wet deposition to terrestrial
42 ecosystems results in the acidification and eutrophication of natural ecosystems (e.g., Anderson et al.,
43 2008; Bobbink et al., 1998; Li et al., 2016) and is also considered an indirect source of nitrous oxide
44 (Martin et al., 2004; Schjørring, 1998). Recently, NH_3 in the atmosphere has played a vital role in
45 aerosol formation during several haze periods, which has attracted great attention (e.g., Felix et al.,
46 2013; Kong et al., 2019; Liu et al., 2018; Savard et al., 2017).

47 Many studies have attempted to estimate ammonia loss from fertilized croplands using
48 biogeochemical process models, i.e., DeNitrification-DeComposition (DNDC), and water and nitrogen
49 management (WNMM) (Dubache et al., 2019; Dutta et al., 2016; Giltrap et al., 2017; Michalczyk et al.,
50 2016; Park et al., 2008). However, these models do not distinguish between the simulation modules of
51 NH_3 volatilization for uplands and rice paddy fields but rather use the same algorithm (Cannavo et al.,
52 2008; Li, 2016). It is worth emphasizing that the mechanisms of NH_3 volatilization are completely
53 different between fertilized uplands and rice paddy fields due to the presence of floodwater over rice
54 paddy soils. Recent studies also indicate that estimating NH_3 emissions without considering rice
55 cultivation results in large uncertainties (Riddick et al., 2016; Xu et al., 2019). In particular, some
56 studies have shown that NH_3 volatilization rates from rice paddy fields are not lower than those of



57 upland crops (Zhou et al., 2016), which also indicates the different mechanisms of NH_3 volatilization
58 between fertilized uplands and rice paddy fields. Therefore, using separate modules to simulate NH_3
59 volatilization from uplands and rice paddy fields is necessary for the accurate estimation of NH_3
60 emissions.

61 Given the totally different mechanisms of NH_3 volatilization between fertilized uplands and rice
62 paddy fields, the influencing factors affecting NH_3 volatilization from uplands are different from those
63 of rice paddy fields. The dose and application methods of nitrogen fertilizer have been confirmed as the
64 primary factors affecting NH_3 volatilization from uplands (e.g., Liu et al., 2003; Roelcke et al., 2002;
65 Zhang et al., 1992). Moreover, several studies have reported that irrigation and precipitation exert a
66 complicated influence (stimulated or inhibited) on NH_3 volatilizations from upland soils (e.g., Han et
67 al., 2014; Holcomb III et al., 2011; Sanz-Cobena et al., 2011). However, the depth and pH of surface
68 floodwater, which are unique characteristics of rice paddy fields, were found to be the major factors
69 influencing NH_3 volatilization from rice paddy fields (Bowmer and Muirhead, 1987; Hayashi et al.,
70 2006; Jayaweera and Mikkelsen, 1991). A comprehensive discussion of the influencing factors
71 affecting NH_3 volatilization from uplands and rice paddy fields is crucial for providing suggestions to
72 further improve the performance of process-based biogeochemical models in simulating NH_3
73 volatilization from cropland soils and offer specific and pertinent policy advice for the reduction of
74 ammonia loss.

75 A previous study established a scientific algorithm for the DNDC model to simulate NH_3
76 volatilization from fertilized upland soils, which performed well under validation with independent
77 cases of uplands from China (Li et al., 2019). However, no biogeochemical model has achieved
78 simulations of NH_3 volatilization from rice paddy fields using a process-oriented algorithm, although a
79 classical and extensively used model, i.e., the Jayaweera-Mikkelsen model (J-M model), exists
80 (Jayaweera and Mikkelsen, 1990a; Li et al., 2008; Wang et al., 2016; Zhan et al., 2019).

81 The Catchment Nutrient Management Model-DeNitrification-DeComposition (CNMM-DNDC)
82 model, established by coupling the core carbon and nitrogen biogeochemical processes of DNDC (e.g.,
83 decomposition, nitrification, denitrification and fermentation) into the distributed hydrologic
84 framework of CNMM, is one of the latest versions of DNDC (Zhang et al., 2018). The CNMM-DNDC



85 has been gradually developing into a comprehensive and reliable process-oriented biogeochemical
86 model that performs well in terms of simulating the complex hydrologic and biogeochemical processes
87 of a subtropical catchment with various landscapes (Zhang et al., 2018), the nitrous oxide and nitric
88 oxide emissions from a subtropical tea plantation (Zhang et al., 2020) and the NO_3^- leaching processes
89 of black soils in northeastern China (Zhang et al., 2021). However, the rationality of the
90 CNMM-DNDC's scientific processes in simulating NH_3 volatilization from fertilized croplands is still
91 lacking in terms of a thorough assessment. In particular, CNMM-DNDC and other widely used
92 biogeochemical models (e.g., DNDC) do not consider floodwater over rice paddy soils when
93 simulating NH_3 volatilization but rather directly adopt the scientific processes and algorithms applied
94 in NH_3 volatilization from cultivated uplands to predict NH_3 volatilization from rice paddy fields (Li,
95 2016; Zhang et al., 2018).

96 Based on the above deficiencies, the authors hypothesized that the CNMM-DNDC is able to
97 simulate NH_3 volatilization following the application of synthetic nitrogen fertilizers to cultivated
98 uplands and flooded rice paddy fields. To test this hypothesis, this study evaluated and modified the
99 CNMM-DNDC's scientific processes for simulating NH_3 volatilization from cropland soils using 44
100 and 19 fertilizer application events from cultivated uplands and rice paddy fields in China, respectively.
101 The objectives of this study were to (i) evaluate the performance of the CNMM-DNDC in simulating the
102 observed NH_3 volatilization following synthetic nitrogen application to cultivated uplands, (ii) introduce
103 thoroughly tested and validated scientific algorithms simulating NH_3 volatilization from cultivated
104 uplands into the CNMM-DNDC, (iii) adopt widely applied process-based algorithms (J-M model) into
105 the modified CNMM-DNDC, (iv) assess the performance of the modified model to simulate NH_3
106 volatilization from flooded rice paddy fields using collected reliable observations, and (v) identify the
107 major factors affecting NH_3 volatilization from uplands and rice paddy fields to offer suggestions for
108 further improving the model performance.

109 **2. Materials and methods**

110 **2.1 Brief description of the field sites and treatments**

111 Two field observation datasets of NH_3 volatilization using micrometeorological methods or wind



112 tunnel techniques, which were measured in cultivated uplands and flooded rice paddy fields of China,
113 respectively, were collected from published peer-reviewed articles. For the dataset of cultivated uplands,
114 the collected field observations were conducted at seven experimental sites, including Dongbeiwang
115 (DBW) in Beijing; Fengqiu with uplands (FQU) in Henan; Guangchuan (GC) Luancheng (LC), and
116 Quzhou (QZ) in Hebei; Yanting (YT) in Sichuan; and Yongji (YJ) in Shanxi (Fig. 1), and the dataset
117 were directly inherited from Li et al. (2019). The upland sites involved in this study were calcareous
118 soils cultivated with summer maize and winter wheat. The 44 cases of synthetic fertilizer application
119 events in the cultivated uplands involved various fertilizer types (including urea, ammonium
120 bicarbonate (ABC), ammonium sulfate, and complex fertilizer), a wide range of applied fertilizer doses
121 ($60\text{--}348\text{ kg N ha}^{-1}$), and various agricultural management practices (e.g., broadcast or deep point
122 placement of fertilizer(s) alone or fertilization coupled with irrigation). For the rice paddy field dataset,
123 field observations were collected at five experimental sites, including Changshu (CS) and Danyang
124 (DY) in Jiangsu, Fengqiu with rice paddy fields (FQP) in Henan, Shenzhen (SZ) in Guangdong, and
125 Yingtan (YTA) in Jiangxi (Fig. 1), and these sites were cultivated with summer rice and winter wheat
126 or double rice (Table 1). In total, nineteen (P1–P19) synthetic fertilizer application events were
127 included in these measurements, covering different fertilizer types, including urea and ABC; fertilizer
128 doses in the range of $41\text{--}162\text{ kg N ha}^{-1}$; and various agricultural management practices (e.g.,
129 broadcasting or broadcasting followed by tillage, Table 1 and Table 2). In addition, the other auxiliary
130 variables, e.g., temperature, pH, and ammonium (NH_4^+) concentration of the floodwater, measured in
131 the rice paddy experimental sites during the NH_3 volatilization measurement periods were also
132 collected for model calibration and validation.

133 **2.2 Model introduction and modifications**

134 **2.2.1 Brief introduction of the CNMM-DNDC**

135 The CNMM-DNDC used in this study was first established by Zhang et al. (2018) by
136 incorporating the core biogeochemical processes (including decomposition, nitrification, denitrification
137 and fermentation) of DNDC (Li, 2016; Li et al., 1992) into the distributed hydrologic framework of
138 CNMM (Li et al., 2017). Based on comprehensive observations, the CNMM-DNDC was initially tested



139 in a subtropical catchment, which showed credible performances in simulating the yields of crops,
140 emissions of greenhouse gases (i.e., methane and nitrous oxide), emissions of nitrogenous pollutant
141 gases (i.e., nitric oxide and ammonia), and hydrological nitrogen losses by leaching and NO_3^- discharge
142 in streams for different land uses (including forests and arable lands cultivated with maize, wheat, oil
143 rape, or rice paddy) (Zhang et al., 2018). Subsequently, Zhang et al. (2020) modified the
144 CNMM-DNDC by adding tea growth-related processes that may induce a soil pH reduction, and this
145 modified model performed well in simulating the emissions of nitrous oxide and nitric oxide from a
146 subtropical tea plantation plot. Moreover, the CNMM-DNDC performed well in simulating the NO_3^-
147 leaching process of black soils in northeastern China (Zhang et al., 2021). However, during model
148 preparation and operation for the simulation of NH_3 volatilization, the authors found that the present
149 model version, using a complicated and obscure R programming script to prepare the ARC GRID
150 ASCII data format of site/plot-scale inputs, was time-consuming and confusing. Therefore, an
151 easy-to-operate and standardized version of the model needed to be established. Thus, a standardized
152 model version was established in this study.

153 The new version of the model was built without changing the original key scientific modules;
154 however, the complicated R programming script was converted into a simple Excel spreadsheet to
155 prepare the model inputs, which is easy for beginners to use. The site-scale and regional-scale
156 simulations were separated. In the site-scale simulation used in this study, the authors hypothesized a
157 flat terrain region with a 5×5 grid, and thus, the solar radiation was not affected by topography.
158 Therefore, the simulation of any grid was the same and could be regarded as the representative
159 simulation results of the study region. If the users were only interested in the simulation of a field site
160 experiment or could only provide the input data based on the site/plot scale, then they would not need
161 to provide any information about the topography and stream of their study region. This site-scale
162 simulation is convenient for model validation, saves time in terms of model operation, and is easy to
163 use for beginners.

164 **2.2.2 Modifications for simulating ammonia volatilization from uplands**

165 The modifications of the new version of the CNMM-DNDC for simulating NH_3 volatilization



166 from uplands were mainly adapted from Li et al. (2019). Compared to the original CNMM-DNDC, the
167 authors conducted three major modifications in terms of the new version. First, the parameters of the
168 urea hydrolysis function were recalibrated. Second, the regulatory effect of soil temperature on ABC
169 decomposition was parameterized. Finally, the effects of the original parameters of wind, soil
170 temperature and moisture on NH_3 volatilization were recalibrated, and the effects of the clay fraction,
171 plant standing, and canopy wetting on NH_3 release to the atmosphere were newly parameterized (Fig.
172 S1). Therefore, the ammonia flux from uplands ($\text{flux}(\text{NH}_3)_{\text{uplands}}$) was jointly determined by the
173 regulating factors of wind speed (f_{wind} , 0–1), soil temperature (f_{temp} , 0–1), soil moisture (f_{water} , 0–1), soil
174 depth (f_{depth} , 0–1), clay fraction (f_{clay} , 0–1), vegetation canopy (f_{canopy} , 0–1), and rainfall-induced canopy
175 wetting (f_{rain} , 0–1), as shown in Eq. (1). $\text{NH}_{3(l)}$ is referred to as the dissolved NH_3 in the liquid phase of
176 upland soils. Among these regulating factors, f_{depth} was calculated by the number of soil layers in Li et
177 al. (2019), where the thickness of the soil layer was set as the value of the saturated hydraulic
178 conductivity. However, in the CNMM-DNDC, the simulated soil layers and their corresponding
179 thicknesses were set to be freely defined by users. The algorithm of f_{depth} from Li et al. (2019) was
180 inappropriate for this study. Therefore, f_{depth} was revised using the thickness of the soil layer based on
181 Eq. (2), wherein d_s denotes the depth of the simulated soil layer. Moreover, the time step of the
182 CNMM-DNDC was three hours, but the time step was one day in the DNDC model. To solve the
183 simulation deviation derived from the different time steps, a time-step parameter (f_{Tstep} , 0–1) was
184 introduced into Eq. (1), which was calculated at 0.75 in this study.

$$\text{Flux}(\text{NH}_3)_{\text{upland}} = 3.6f_{\text{wind}}f_{\text{temp}}f_{\text{water}}f_{\text{depth}}f_{\text{clay}}f_{\text{canopy}}f_{\text{rain}}f_{\text{Tstep}}\text{NH}_{3(l)} \quad (1)$$

$$f_{\text{depth}} = 0.5^{d_s/0.03} \quad (2)$$

185

186 2.2.3 Modifications for simulating ammonia volatilization from rice paddy fields

187 The original CNMM-DNDC failed to simulate NH_3 volatilizations from rice paddy fields because
188 it lacked the capability to simulate the surface water-flooded layer over rice paddy fields. Given the
189 presence of floodwater over rice paddy soils, the mechanisms of NH_3 volatilization are different
190 between uplands and rice paddy fields. However, CNMM-DNDC and other widely used



191 biogeochemical models (e.g., DNDC) adopted scientific processes and algorithms applied in simulating
192 NH_3 volatilization from fertilized cultivated uplands to calculate NH_3 volatilization from rice paddy
193 fields without considering floodwater over soils (Cannavo et al., 2008; Li, 2016). Therefore, floodwater
194 over rice paddy soils was added to the modified CNMM-DNDC. To add this component, the modified
195 CNMM-DNDC adopted the Jayaweera-Mikkelsen model (i.e., J-M model), based on the two-film
196 theory of mass transfer (Jayaweera and Mikkelsen, 1990a), which is one of the most widely applied
197 process-based models for simulating NH_3 volatilization from rice paddy fields. The J-M model consists
198 of two processes (Fig. 2): (i) the chemical processes of NH_4^+ ions and aqueous NH_3 ($\text{NH}_{3(\text{aq})}$)
199 equilibrium in floodwater and (ii) the volatilization processes of $\text{NH}_{3(\text{aq})}$ transfer in the form of NH_3 gas
200 ($\text{NH}_{3(\text{air})}$) across the water-air interface to the atmosphere (Rxn1). k_d (first-order, s^{-1}) and k_a
201 (second-order, $\text{L mol}^{-1} \text{s}^{-1}$) are referred to as the dissociation and association rate constants for
202 $\text{NH}_4^+/\text{NH}_{3(\text{aq})}$ equilibrium, respectively. k_{vN} (first-order, s^{-1}) is referred to as the volatilization rate
203 constant of $\text{NH}_{3(\text{aq})}$.



204 According to the above theories, the change rate of the NH_4^+ concentration in floodwater ($[\text{NH}_4^+]_w$,
205 mol L^{-1}) due to NH_3 volatilization (R_a , $\text{mol L}^{-1} \text{s}^{-1}$) can be estimated by Eq. (3) as a function of
206 $[\text{NH}_4^+]_w$, H^+ concentration in floodwater ($[\text{H}^+]_w$, mol L^{-1}), k_d , k_a and k_{vN} .

$$R_a = -\frac{k_d k_{vN} [\text{NH}_4^+]_w}{k_a [\text{H}^+]_w + k_{vN}} \quad (3)$$

207 The dynamic changes in $[\text{H}^+]_w$ and $[\text{NH}_4^+]_w$ are calculated by the CNMM-DNDC instead of the
208 field experiment described in Jayaweera and Mikkelsen (1990a).

209 In the modified CNMM-DNDC, the pH of the floodwater, which is the negative logarithm of
210 $[\text{H}^+]_w$, is related to the initial pH of water for flooding and that of surface soil. When the floodwater
211 depth is less than 0.04 m, the pH of the floodwater is equal to the mean of the initial pH of water for
212 flooding and that of surface soil, both of which are the inputs of the modified model. Otherwise, the pH
213 of the floodwater is equal to the initial pH of the water for flooding. On the one hand, $[\text{H}^+]_w$ is regulated
214 by urea hydrolysis in floodwater, the algorithm of which was derived from that of urea hydrolysis
215 affecting soil pH in the model. On the other hand, many studies have found that a marked diurnal



216 fluctuation in floodwater pH is associated with algal photosynthesis, which was elevated with solar
217 radiation (De Datta, 1995; Fillery and Vlek, 1986). Therefore, a ratio of the daytime solar shortwave
218 radiation effect on algal photosynthesis ($R_{\text{slr}}, 0-1$) was established by the authors using Eq. (4) as a
219 quadratic function of the simulation time (t , 06:00 to 21:00 with a 3-hour interval) of a day. R_{slr} at the
220 other moments with no or extremely little solar radiation in a day was set as 0. The effect of algal
221 growth (f_{alg}) on floodwater pH was calculated by Eq. (5), where the adjusted coefficient ($k_{\text{alg}}, 0-1$) was
222 calibrated to 0.75 or 0.6 when the floodwater depth was no more than or more than 0.04 m, respectively.
223 The floodwater pH of $(t+1)$ th was modified by the floodwater pH of t th and f_{alg} using Eq. 6, which was
224 set as no more than 10.

$$R_{\text{slr}} = -0.0036t^2 + 0.1096t - 0.7046 \quad (4)$$

$$f_{\text{alg}} = k_{\text{alg}}R_{\text{slr}}R + 0.25 \quad (5)$$

$$\text{pH}_{t+1} = \text{pH}_t + f_{\text{alg}} \quad (6)$$

225 When fertilizers (e.g., urea) are applied to the rice paddy fields, they are first allocated to the
226 floodwater and soil layers according to the ratio of the floodwater depth and the application depth of
227 fertilizer in the modified CNMM-DNDC. Subsequently, $[\text{NH}_4^+]_w$ increases with urea hydrolysis, and
228 ABC decomposition occurs in the floodwater. In the modified model, the calculation of urea hydrolysis
229 in floodwater refers to that in the upland soils (Dubache et al., 2019) by removing the influencing
230 factors of soil organic carbon and soil moisture. Therefore, urea hydrolysis in floodwater is only
231 determined by the floodwater temperature. To simplify the calculation, the floodwater temperature is
232 arbitrarily set equal to the temperature in the first soil layer in the modified model. Given that ABC
233 decomposition in floodwater was not involved in the original CNMM-DNDC, this study directly
234 adopted the algorithm of ABC decomposition in upland soils used in Li et al. (2019), and this process
235 was regulated by soil temperature, pH and the applied depth of fertilizer. However, ABC decomposition
236 in floodwater is different from that in upland soils; i.e., the ABC concentration is uniformly distributed
237 in the floodwater, and the effect factors (i.e., temperature, pH and depth) applied should be those of
238 floodwater rather than those of soil. Therefore, this study ignored the effect of soil depth and retained



239 the effect of floodwater temperature and pH on ABC decomposition in floodwater.

240 For each simulation time step, the NH_4^+ in the floodwater and the first soil layer experiences
241 uniform mixing and exchange. Then, NH_4^+ is transported in soil layers, accompanied by organic
242 nitrogen mineralization, consumption via plant uptake, nitrification, volatilization of NH_3 , and
243 adsorption/desorption by clay (Li, 2016; Li et al., 1992; Li et al., 2019).

244 k_d , k_a and k_{vN} in Eq. (3) are determined by the environmental factors, i.e., the temperature and the
245 depth of floodwater (Jayaweera and Mikkelsen, 1990a). As shown in Eq. (7), k_a is affected by its
246 relationship with floodwater temperature (T_f , K) based on Albery (1983):

$$k_a = 3.8 \times 10^{11} - 3.4 \times 10^9 T_f + 7.5 \times 10^6 T_f^2 \quad (7)$$

247 k_d is derived from the relationship with the equilibrium constant for $\text{NH}_4^+/\text{NH}_3(\text{aq})$ (K) and k_a (Eq.
248 (8)):

$$k_d = K k_a \quad (8)$$

249 K is calculated as a function of the floodwater temperature (Eq. (9)) derived from Jayaweera and
250 Mikkelsen (1990a):

$$K = 10^{-[0.0897 + (2729/T_f)]} \quad (9)$$

251 The NH_3 volatilization rate constant (k_{vN}) is estimated by the law of conservation of mass, which
252 is considered in the system of NH_3 transfer across the air-water interface. By dimensional analysis, k_{vN}
253 is determined by Eq. (10), based on the ratio of the floodwater depth (d , m) and the overall
254 mass-transfer coefficient for NH_3 (K_{ON} , cm h^{-1}):

$$k_{vN} = K_{ON} / (3.6 \times 10^5 d) \quad (10)$$

255 According to the two-film theory, based on Fick's first law and Henry's law, K_{ON} is determined by
256 Eq. (11) using the exchange constant for NH_3 in the gas and liquid phases (k_{gN} and k_{lN} , respectively)
257 and the non-dimensional Henry's constant (H_{nN}). As described by Jayaweera and Mikkelsen (1990a),
258 H_{nN} is a function of T_f , which can be calculated by Eq. (12), whereas k_{gN} and k_{lN} are dependent on the
259 wind speed measured at a height of 8 m (U_8 , m s^{-1}), which can be calculated using Eqs. (13–14). U_8



260 can be determined using the model input of wind speed measured at a height of 10 m (U_{10} , m s^{-1}),
261 based on Eq. (15) derived from Jayaweera and Mikkelsen (1990a).

$$K_{\text{ON}} = (H_{\text{nN}}k_{\text{gN}}k_{\text{IN}})/(H_{\text{nN}}k_{\text{gN}} + k_{\text{IN}}) \quad (11)$$

$$H_{\text{nN}} = 183.8e^{(-1229/T_f)}/RT_f \quad (12)$$

$$k_{\text{gN}} = 19.0895 + 742.3016U_8 \quad (13)$$

$$k_{\text{IN}} = \left\{ 12.5853 / \left[1 + 43.0565e^{(-0.4417U_8)} \right] \right\} / 1.6075 \quad (14)$$

$$U_8 = \frac{11.51}{\ln(10/8 \times 10^5)} U_{10} \quad (15)$$

262 Finally, the three-hour cumulative flux of NH_3 volatilization ($\text{Flux}(\text{NH}_3)_{\text{rice}}$, $\text{kg N ha}^{-1} 3\text{h}^{-1}$) is
263 calculated by Eq. (16) using R_a , d , and the simulation time step based on the molar mass of N (~ 14 g
264 mol^{-1}) and the conversion coefficient from m^2 to ha ($1 \text{ m}^2 = 1 \times 10^{-4} \text{ ha}$).

$$\text{Flux}(\text{NH}_3)_{\text{rice}} = -1.512 \times 10^9 dR_a \quad (16)$$

265 The CNMM-DNDC with the above modifications is hereinafter referred to as the modified
266 CNMM-DNDC. The cases for model calibration were identified on the basis of covering as many
267 climate conditions, soil properties and management practices as possible. Therefore, for the simulation
268 of NH_3 from urea application on uplands and rice paddy fields, 26 typical cases of DBW, FQU, and QZ
269 and 10 typical cases of DY, FQP, and SZ were used for model calibration. Regarding the simulation of
270 NH_3 from the ABC application on uplands and rice paddy fields, 3 typical cases of DBW and YT and 1
271 typical DY case were conducted for model calibration. The remaining 23 independent cases were
272 provided for model validation.

273 2.3 Model preparation and operation

274 2.3.1 Input data formatting

275 The input data of the modified CNMM-DNDC used in this study included the meteorological
276 conditions of the study area (e.g., 3-hourly average air temperature (T_{air}), precipitation (P), wind speed
277 (W), solar radiation (R), relative humidity (RH)), the necessary soil properties of individual layers (e.g.,



278 soil clay and sand fraction, organic carbon (SOC), bulk density (BD), pH), crop parameters (e.g., crop
279 type, thermal degree days for maturity (TDD), nitrogen content, plant height and root depth), and the
280 implemented management practices (e.g., plant and harvest dates, methods and/or amounts of
281 individual management practices including fertilization, tillage, irrigation and flooding).

282 For the meteorological data inputs, the reported 3-hourly meteorological data from the weather
283 station at the experimental site were used. If these data were not available, then data from the adjacent
284 weather station in the China Meteorological Administration (CMA, <http://www.data.cma.cn>) were
285 adapted by referring to the reported average or maximum values (Table S1, Text S1).

286 The necessary inputs of surface soil properties at the individual upland sites for the modified
287 model were derived from Li et al. (2019), whereas those at the individual rice paddy sites are shown in
288 Table S2. If the observed surface soil properties were not available, then the values were provided
289 using the methods of Li et al. (2019). The soil clay and sand fraction and pH in the deep layers were set
290 to be consistent with those in the surface soil. Depending on the SOC at the surface soil, the modified
291 CNMM-DNDC calculated the SOC in the deep layers using the algorithms involved in Li (2016), and
292 the BD in the deep layers were estimated using the SOC value in the corresponding layers based on the
293 algorithms shown in Li (2016). Other soil properties (e.g., field capacity, wilting point and saturated
294 hydraulic conductivity) were estimated using the pedo-transfer functions of Li et al. (2019).

295 The CNMM-DNDC contains a library of crop parameters. However, to ensure the normal growth of
296 the crop(s), the model's default values for the crop TDD at the individual sites were adapted by the
297 multiyear (at least five years) average of the sums of daily air temperatures during the growing season.

298 Agricultural management practice information included in the CNMM-DNDC input was
299 organized on a daily scale. The management practice information for the cases of uplands was derived
300 from Li et al. (2019), whereas that for the cases of rice paddy fields is listed in Table S3. It is worth
301 noting that the information input for the cases of rice paddy fields required the start and end dates of
302 the individual flooding events accompanied by the corresponding pH and depth of floodwater as model
303 inputs. The default value of the initial floodwater pH at all sites was set at 7.0 due to a lack of
304 observations. The cases in DY, FQP and YT had reported floodwater depth observations, and the cases
305 of CS without floodwater depth observations were arbitrarily set to the traditional floodwater depth



306 (0.04 m) of the DY site, which was located in the same region. For the SZ cases without floodwater
307 depth observations, given that no site is adjacent to the Pearl River Delta region where SZ is located,
308 the floodwater depth of the SZ site was calculated at 0.075 m.

309 **2.3.2 Model operation**

310 To reduce the influences of initial model inputs, the model simulation consists of a spin-up period
311 conducted for at least five years (depending on the availability of the model inputs) and the
312 corresponding experimental period. The sources of the daily meteorological data for the spin-up period
313 and the following simulation for upland and rice paddy field sites were derived from Li et al. (2019) and
314 listed in Table S4, respectively.

315 **2.4 Sensitivity analysis**

316 Sensitivity analysis was adopted to investigate the regulating factors in the modified
317 CNMM-DNDC that simulates NH_3 volatilization following fertilizer application. Meteorological
318 variables (i.e., 3-hourly averages of T_{air} and W ; 3-hourly totals of P and R during measurement periods
319 of NH_3 volatilization), soil properties (i.e., soil clay fraction, pH, SOC content and BD), and field
320 management practices (i.e., water management (irrigation water amount or depth of floodwater) and
321 nitrogen fertilization type, dose and depth) were involved in this sensitivity test. U37 in QZ and P4 in
322 CS were chosen as the baseline cases to assess the model's behavior in simulating NH_3 volatilization
323 from uplands and rice paddy fields, respectively. One reason for this selection was that U37 and P4
324 were geographically located near the center of the region for upland and rice paddy cases, respectively.
325 Another reason was that the selected cases implement general Chinese management practices. The
326 authors altered only one item at a time by maintaining the others constant. The model input items of the
327 3-hourly average of W , 3-hourly totals of P and R during the measurement periods of NH_3
328 volatilization, as well as the soil clay fraction, SOC content, nitrogen fertilization dose, and depth of
329 floodwater, were increased by a range from -30% to +30% with an interval of 10%. Soil BD and pH,
330 with narrow amplitudes in situ, were altered within the ranges of 1.17 to 1.47 (U37) and 0.89 to 1.19
331 (P4) with an interval of 0.05 and within the ranges of 7.3 to 8.9 (U37) and 6.2 to 8.1 (P4) with an
332 interval of 0.3, respectively. The 3-hourly average T_{air} during the measurement period of NH_3



333 volatilization was altered within the range of $-3\text{ }^{\circ}\text{C}$ to $+3\text{ }^{\circ}\text{C}$ with an interval of $1\text{ }^{\circ}\text{C}$. The irrigation
334 water amount and nitrogen fertilization depth and type were set as 0.2/0.5/5 cm, 5/10/15 cm and
335 ABC/ammonium-based nitrogen (N) fertilizers excluding ABC, respectively. The corresponding
336 baselines and lower/upper bounds of the above items involved in the sensitivity analysis are listed in
337 Table S5. The change ratios of cumulative NH_3 volatilization during the measurement periods between
338 the lower/upper and baseline simulations were applied as the quantitative evaluation index for the
339 sensitivity analysis (Abdalla et al., 2020).

340 **2.5 Evaluation of model performance and statistical analysis**

341 The index of agreement (IA), Nash–Sutcliffe Index (NSI), relative model bias (RMB), as well as
342 slope, significance level and coefficient of determination (R^2) of the zero-intercept linear regression
343 (ZIR) between the observed (O) and simulated (S) values were applied to quantitatively assess the
344 performance of the original and modified models. The algorithms of these statistical metrics refer to Li
345 et al. (2019). If the slope and R^2 of the zero-intercept linear regression as well as the IA and NSI values
346 are closer to 1, then the model performance is better. The SPSS Statistics Client 19.0 (SPSS Inc.,
347 Chicago, USA) software package was used for the multiple regression analysis. The Origin 8.0
348 (OriginLab Ltd., Guangzhou, China) software package was used for graph drawing.

349 **3. Results**

350 **3.1 Ammonia volatilization from uplands**

351 The observed cumulative ammonia volatilization (CAV) in all the cases of the uplands during the
352 measurement periods totaled $0.6\text{--}127.7\text{ kg N ha}^{-1}$ (mean: 27.5 kg N ha^{-1}). The corresponding CAVs
353 simulated by the original and modified CNMM-DNDC totaled $0.5\text{--}94.1\text{ kg N ha}^{-1}$ (mean: 33.2 kg N
354 ha^{-1}) and $0.8\text{--}115.2\text{ kg N ha}^{-1}$ (mean: 27.8 kg N ha^{-1}), respectively (Table S6). The original
355 CNMM-DNDC performed poorly in simulating all the observed cumulative NH_3 volatilization cases,
356 showing an acceptable IA (0.55), an unacceptable NSI (-1.49) and an insignificant ZIR (slope = 1.11
357 and $R^2 = 0.06$) (data not shown).

358 In this study, several modifications were conducted to improve the CNMM-DNDC performance in



359 simulating NH_3 volatilizations from upland soils. Regarding either the typically calibrated or
360 independently validated cases, the modified CNMM-DNDC did not perform well in simulating daily
361 NH_3 fluxes, with low IA and unacceptable NSI values (Table 3). This result was probably because the
362 simulated NH_3 dynamic peak time could not absolutely be matched to the observed peak time, although
363 the modified model captured the observed NH_3 dynamic trend. For the 3 only typically calibrated ABC
364 cases, the modified model performed marginally well in simulating CAVs, showing a good IA (0.75)
365 but a low NSI (0.14) and an insignificant ZIR ($R^2 = 0.64$) (Table 3). However, the modified model
366 showed a perfect performance in simulating CAVs of both the calibrated and validated urea cases, with
367 IA values (0.93 and 0.91) close to 1, acceptable NSI values (0.73 and 0.49), and significant ZIRs ($R^2 =$
368 0.71 with slope = 0.94 and $R^2 = 0.74$ with slope = 1.06) (Table 3). Regarding the CAVs of all the
369 individual cases of uplands, the modified model reported an |RMB| of 1.0–307.8% (mean: 69.8%, Table
370 2), with only 16% (seven of forty-four) of cases suffering from an |RMB| larger than 100%.

371 **3.2 Ammonia volatilization from rice paddy fields**

372 The observed CAVs in all cases of rice paddy fields (2 and 17 cases for ABC and urea applications,
373 respectively) during the measurement periods totaled 5.9–39.8 kg N ha^{-1} (mean: 18.1 kg N ha^{-1} , Table
374 2), with fertilizer application doses of 40.5–162.2 kg N ha^{-1} (mean: 81.4 kg N ha^{-1}). Given the lack of
375 the capacity to simulate the water-flooded layer over rice paddy fields, the original CNMM-DNDC
376 could not simulate NH_3 volatilizations from rice paddy fields. The corresponding CAVs simulated by
377 the modified CNMM-DNDC totaled 3.4–39.1 kg N ha^{-1} (mean: 16.2 kg N ha^{-1} , Table 2). Regarding the
378 CAVs of all the individual cases of rice paddy fields, the modified model demonstrated an |RMB| of
379 0.3–94.9% (mean: 32.7%, Table 2), and none of nineteen cases showed an |RMB| larger than 100%.
380 With regard to the only two ABC cases, the simulated daily NH_3 fluxes generally matched the
381 observations of the typically calibrated and independently validated cases (P1 and P2, respectively),
382 although the simulated peak emissions of the first day for P1 were lower than the observations (Fig.
383 3e–f). For P1 and P2, the corresponding statistical indices showed that IA values were 0.33 and 0.94,
384 the NSI values were 0.02 and 0.85, and the ZIR slopes were 0.16 (not available R^2 and p values, $n = 7$)
385 and 0.90 ($R^2 = 0.71$, not significant, $n = 4$), respectively (Table 3). The observed and simulated daily



386 NH₃ fluxes due to urea application in the individual cases are illustrated in Fig. 4c–f and Fig.5i–k,
387 respectively. As the figures demonstrate, the temporal NH₃ flux variation pattern simulated by the
388 modified model generally followed that observed in the field. Regarding the simulations of the 10
389 typically calibrated and 7 independently validated urea cases, the modified model did not show good
390 performance in terms of the daily NH₃ flux, with IA values of 0.53 and 0.72, NSI values of –0.35 and 0.
391 36 and ZIR slopes of 0.47 ($R^2 = 0.04$, $p < 0.05$, $n = 176$) and 0.56 ($R^2 = 0.19$, $p < 0.001$, $n = 63$),
392 respectively (Table 3). However, the modified CNMM-DNDC performed extremely well in simulating
393 CAVs of the calibrated and validated urea cases, showing good IA values of 0.88 and 0.85, acceptable
394 NSI values of 0.30 and 0.60, and significant ZIR slopes of 1.03 ($R^2 = 0.68$, $n = 10$) and 0.77 ($R^2 = 0.65$,
395 $n = 7$), respectively (Table 3).

396 As Fig. 6 shows, with regard to the simulations of all 40 typically calibrated and 23 independently
397 validated cases of uplands and rice paddy fields by the modified model, significant zero-intercept linear
398 relationships between the simulated and observed CAVs were found, with slopes of 0.94 ($R^2 = 0.76$)
399 and 0.98 ($R^2 = 0.71$), respectively. In general, the above results indicated that the modifications made in
400 this study obviously improved the performance of the CNMM-DNDC in simulating NH₃ volatilization
401 following applications of synthetic nitrogen fertilizers to upland and rice paddy soils.

402 3.3 Model performance in terms of other auxiliary variables in rice paddy fields

403 Table 4 lists the statistical indices used to evaluate the performance of the modified
404 CNMM-DNDC in the simulation of floodwater temperatures, pH values and NH₄⁺ concentrations when
405 the model was calibrated and validated. The modified model generally captured the trends in
406 floodwater temperature (Fig. 5a–b), although the simulated floodwater temperatures of several certain
407 days for P9 were lower than the observations. The modified CNMM-DNDC, which introduced the
408 effect of algal growth on floodwater pH, generally simulated the observed daily elevated floodwater pH
409 resulting from algal photosynthetic activity (Fig. 3a–b and Fig. 5c–e). The simulation of calibrated (P1,
410 P9 and P10, Fig. 3a and Fig. 5c–d) and validated cases (P2 and P19, Fig. 3b and Fig. 5e) of floodwater
411 pH resulted in good IA values of 0.83 and 0.79, acceptable NSI values of 0.55 and 0.36, and ZIRs with
412 significant R^2 values of 0.55 (slope = 1.00, $n = 147$) and 0.36 (slope = 1.01, $n = 45$), respectively. The



413 simulated and observed daily NH_4^+ concentrations in the floodwater of the ABC and urea cases are
414 illustrated in Fig. 3c–d, Fig. 4a–b and Fig. 5f–h. Compared to the observed floodwater NH_4^+
415 concentrations of the ABC cases, the model simulation underestimated the peak concentration on the
416 first day after ABC application for the P1 case but captured the peak concentration of the P2 case (Fig.
417 3c–d). The modified CNMM-DNDC generally captured the observed temporal pattern in the daily
418 NH_4^+ concentrations during the observation periods following urea application, although discrepancies
419 existed in the magnitudes of some cases; e.g., the model overestimated the floodwater NH_4^+
420 concentration in the P7 and P8 cases (Fig. 4a–b) and underestimated that in the P6 (Fig. 4b) and P19
421 cases (Fig. 5h). Significant ZIRs between the simulated and observed daily floodwater NH_4^+
422 concentrations of the typically calibrated and independently validated cases yielded significant slopes
423 of 1.03 ($R^2 = 0.48$, $n = 24$) and 0.74 ($R^2 = 0.34$, $n = 55$), the IA values were 0.78 and 0.68, and the NSI
424 values were 0.48 and 0.25, respectively (Table 4).

425 **3.4 Regulating factors of the modified model in simulating ammonia volatilization**

426 The sensitivity analysis indicated that NH_3 volatilization from upland soils was primarily
427 regulated by field management practices (Fig. 7a). The changes in N dose, the different N types and the
428 implementation of irrigation had considerable effects on NH_3 volatilization from upland soils. In
429 addition, a fertilization depth of 15 cm resulted in a –23% change in NH_3 volatilization, and the
430 increase in irrigation amount had an inhibitory effect on NH_3 volatilization. Moreover, in comparison to
431 other soil properties, the changes in soil SOC had a greater influence (–19% to 16%) on NH_3
432 volatilization. Among all considered meteorological variables, NH_3 volatilization from upland soils
433 appeared to be the most sensitive response to changes in air temperature (Fig. 7a). However, NH_3
434 volatilization from rice paddy soils was sensitive to changes in fertilization and floodwater
435 management, which increased with N dosage and decreased with the depth of fertilizer application and
436 that of floodwater (Fig. 7b). For all soil variables considered in the sensitivity analysis, only the
437 changes in soil pH had a great influence on NH_3 volatilization from rice paddy soils. In addition, NH_3
438 volatilization from rice paddy soils decreased with solar radiation.



439 **4. Discussion**

440 **4.1 Factors affecting ammonia volatilization from uplands**

441 NH_3 volatilization from soil-plant upland systems is an extremely complex process (Freney and
442 Simpson, 1983; Sommer et al., 2004). The mechanism of NH_3 volatilization in the modified
443 CNMM-DNDC is mainly inherited from that in the DNDC model modified by Li et al. (2019). The rate
444 of ammonia flux is jointly determined by the regulating factors of wind speed, soil depth, clay fraction,
445 soil temperature, soil moisture, vegetation canopy, and rainfall-induced canopy wetting. It is obvious
446 that soil properties play an important role in regulating NH_3 volatilization from upland soils, as has
447 been reported by a great number of studies (e.g., Duan and Xiao, 2000; Lei et al., 2017; Martens and
448 Bremner, 1989). In addition, across all the cases of uplands (Table S6), the simulations of the modified
449 CNMM-DNDC with an RMB larger than 150% occurred in the cases with fertilizer application depth
450 (U6 with broadcast followed by tillage (BFT) 20 cm, U20 with BFT 5 cm and U44 with deep point
451 placement 5–10 cm) and irrigation/precipitation (U16 with 4–6 cm irrigation, U22 with 4–6 cm
452 irrigation and U26 with 0.8 cm irrigation and 3.69 cm precipitation). Compared with all the cases with
453 fertilizer application depth or irrigation/precipitation (38 cases), the proportion of the cases with RMB
454 greater than 150% (6 cases) accounted for 16%. This result might be because the model could not
455 simulate well the inhibition mechanisms of some situations of fertilization depth and water-adding
456 events effect on NH_3 volatilization. Moreover, Li et al. (2019) also reported that irrigation/precipitation
457 during the measurement periods had a complex effect (e.g., reduction and stimulation) on NH_3
458 volatilization following nitrogen fertilizer application in cultivated upland soils, and determining this
459 information is still a considerable challenge in NH_3 simulations by biogeochemical models. At the
460 same time, Li et al. (2019) also found that the doses and depths of the fertilizer applications jointly
461 accounted for 43% ($p < 0.001$) of the variance in the observed CAVs. The results demonstrated that the
462 simulated NH_3 volatilization from uplands following nitrogen fertilizer application accompanied with
463 deep- or mixed-placement or irrigation/precipitation by the modified model still had some deviation
464 from the observations, and more synchronous observations of NH_3 volatilization and other auxiliary
465 variables (e.g., soil moisture, NH_4^+ concentration and nitrogen uptake by crops) in these situations are
466 urgently needed to further revise the CNMM-DNDC.



467 4.2 Effects of floodwater on ammonia volatilization from rice paddy fields

468 Floodwater pH has been considered one of the primary factors affecting NH_3 volatilization from
469 rice paddy fields (Fillery et al., 1984; Hayashi et al., 2006; Jayaweera and Mikkelsen, 1991). As
470 floodwater pH increases, the equilibrium of NH_4^+ ions and $\text{NH}_{3(\text{aq})}$ in floodwater transfers in the
471 direction of $\text{NH}_{3(\text{aq})}$ formation, which will increase the potential for subsequent NH_3 volatilization
472 (Jayaweera and Mikkelsen, 1990a; Sommer et al., 2004). In this study, four improvements in the pH of
473 floodwater were involved in the modified CNMM-DNDC. First, floodwater over rice paddy soil was
474 added, which enabled the simulation of floodwater pH in the modified model. Second, the modified
475 model used the initial pH of floodwater and the pH of the surface soil to calculate the floodwater pH.
476 The above two improvements allowed the introduction of the J-M model into the modified
477 CNMM-DNDC. The present relatively reliable biogeochemical models rarely involve floodwater over
478 rice paddy soil when simulating NH_3 volatilization from rice paddy fields, which is not in accordance
479 with the natural state. Third, when urea was applied to the surface floodwater, the subsequent urea
480 hydrolysis reaction could increase the floodwater pH, and this process was added to the modified
481 model by referring to the algorithms applied in the original model for upland soils (Sec. 2.2.2). Finally,
482 the effect of algal growth on floodwater pH was introduced into the modified model by calculating the
483 ratio of the solar shortwave radiation effect on algal photosynthesis. In detail, under cloudy conditions
484 in DY (P9), only 9% of the applied urea-N was observed to be lost as ammonia from the rice paddy soil,
485 while up to 40% of the applied urea-N was observed to be lost under high solar radiation conditions in
486 YTA (P19) (Cai et al., 1992). However, the modified CNMM-DNDC overestimated the emissions from
487 DY but underestimated those from YTA, which could be attributed to the overestimation of the pH
488 during the first three observation days in DY and the underestimation of NH_4^+ concentrations in YTA
489 (Fig. 5). In addition, algal blooms only appeared on the surface of calm water; thus, a number of factors,
490 such as irrigation, heavy rain, strong wind, and drainage, could hamper the growth of algae (Cao et al.,
491 2013). Due to the basal dressing followed by irrigation (Gong et al., 2013), which inhibited the
492 reproduction of algae in SZ (P11 and P12 cases), the observed ammonia emissions accounted for only
493 10%–13% of the applied nitrogen. Unfortunately, the aforementioned factors that reduced algal growth
494 were not introduced into the modified CNMM-DNDC because of limited reports, which resulted in an



495 overestimation of ammonia emissions of 6.4 and 2.6 kg N ha⁻¹ for P11 and P12 in SZ cases with a high
496 rate of urea application, respectively. Previous studies have also shown that the stimulation of NH₃
497 volatilization from rice paddy fields is affected by algal growth, which largely contributes to the
498 elevation of floodwater pH resulting from algal photosynthetic activity (Buresh et al., 2008; Fillery and
499 Vlek, 1986; Mikkelsen et al., 1978). The addition of a suitable photosynthetic inhibitor also controlled
500 the pH of the floodwater, implying that the increase in pH was caused by algal growth (Bowmer and
501 Muirhead, 1987). Therefore, more observational data on the effect of algal growth on floodwater pH and
502 subsequent NH₃ volatilization are needed to improve the simulation of the modified model on NH₃
503 volatilization from rice paddy fields.

504 Many studies have found that the depth of surface floodwater has a substantial influence on NH₃
505 volatilization (Fillery et al., 1984; Freney et al., 1988; Hayashi et al., 2006). The sensitivity analysis of
506 this study also indicated that NH₃ volatilization from rice paddy fields was sensitive to changes in the
507 depth of surface floodwater (Fig. 7b). Jayaweera and Mikkelsen (1990b) demonstrated that the
508 volatilization rate of NH₃ decreases as the depth of floodwater increases despite the small difference in
509 meteorological factors and soil physicochemical properties. The reducing effects might be attributed to
510 the following mechanisms. First, with increasing floodwater depth, the concentration of NH₄⁺ in
511 floodwater decreases (Cai et al., 1986). Many studies have found that a lower concentration of NH₄⁺ in
512 floodwater contributes to the reduced potential of NH₃ volatilization in paddy fields (Bhagat et al.,
513 1996; Hayashi et al., 2006; He et al., 2014; Liu et al., 2015; Song et al., 2004). Observations based on
514 wind-tunnel experiments showed that the NH₃ loss decreased from 14.6 mg L⁻¹ to 4.5 mg L⁻¹ as the
515 depth of floodwater increased from 6.4 cm to 21.3 cm, while other environmental conditions were
516 similar (Jayaweera et al., 1990). Second, a reduction in the depth of floodwater increases the
517 volatilization rate constant of NH_{3(aq)} (k_{vN}), thus increasing NH₃ volatilization from floodwater.
518 Therefore, compared to the model without floodwater, the modified CNMM-DNDC with the
519 introduction of a floodwater layer, as well as the corresponding processes, into the simulation of NH₃
520 volatilization provided a more scientific algorithm for the simulation of NH₃ loss from rice paddy fields,
521 thereby enabling the simulation of the pH and NH₄⁺ concentration of floodwater. However, the depth of
522 surface floodwater was kept at a constant value (such as the average depth of the floodwater) for each



523 flooding event in the modified model, but this operation was inconsistent with the field states. The
524 floodwater depth actually changed with real-time evaporation and precipitation. Therefore, a module
525 for calculating the dynamics of floodwater depth driven by real-time evaporation and precipitation is
526 needed to better simulate the effect of floodwater depth on NH_3 volatilization. The results of this study
527 suggest that accurate field measurements and a corresponding reliable simulation of floodwater depth
528 are crucial for the simulation of NH_3 volatilization by the modified CNMM-DNDC.

529 **4.4 Differences between ammonia volatilization from upland and rice paddy fields**

530 According to the above results, the regulatory factors affecting NH_3 volatilization from rice paddy
531 fields were demonstrated to be different from those from cultivated uplands. NH_3 volatilization from
532 cultivated uplands was primarily influenced by the regulatory factors of soil properties and field
533 management practices. However, given the existence of floodwater over rice paddy field soils, NH_3
534 volatilization from rice paddy fields was additionally affected by flooding management strategies, such
535 as floodwater pH and depth. Therefore, the mechanisms and algorithms applied in simulating NH_3
536 volatilization from uplands are not appropriate for simulating NH_3 volatilization from rice paddy fields.
537 In the modified CNMM-DNDC, NH_3 volatilization following nitrogen fertilizer application in
538 cultivated upland soils was based on first-order kinetics. However, the modified CNMM-DNDC
539 adopted the J-M model, which was based on the two-film theory of mass transfer, to calculate NH_3
540 volatilization following nitrogen fertilizer application in rice paddy field soils. The results suggest that
541 the application of two different mechanisms according to the distinguished properties of cultivated
542 uplands and rice paddy fields to simulate NH_3 volatilization is necessary for process-based
543 biogeochemical models, such as the CNMM-DNDC used in this study.

544 **5. Conclusions**

545 The simulation of ammonia (NH_3) volatilization in the CNMM-DNDC was evaluated and
546 modified based on 44 and 19 field observation cases of NH_3 volatilization following synthetic fertilizer
547 application events in cultivated uplands and rice paddy fields in China, respectively. The original
548 CNMM-DNDC performed poorly in terms of simulating the observed NH_3 volatilizations from upland



549 soils, and it failed to simulate NH_3 volatilization from rice paddy fields because it could not simulate
550 the water-flooded layer over the rice paddy field. The mechanisms of NH_3 volatilization from
551 cultivated uplands and rice paddy fields are different due to the existence of floodwater over rice paddy
552 soils. Therefore, separate modules simulating NH_3 volatilization from uplands and rice paddy fields
553 were developed. The primary modifications for simulating NH_3 volatilization from upland soils were
554 mainly adopted from Li et al. (2019), and NH_3 volatilization from upland soils are regulated by
555 meteorological conditions, soil properties and crop statuses. In addition, to solve the problem of the
556 simulation deviation derived from different time steps, a time-step parameter was introduced and
557 calibrated. With regard to the simulation of NH_3 volatilization from rice paddy fields, four major
558 modifications were performed in this study. First, floodwater over rice paddy soil, as well as the
559 simulation of floodwater pH, was added to the module simulating NH_3 volatilization from rice paddy
560 fields. Second, the Jayaweera-Mikkelsen model was newly introduced into the modified
561 CNMM-DNDC to calculate NH_3 volatilization from rice paddy fields. Third, the effect of algal growth
562 on floodwater pH was newly parameterized and added into the model. Finally, the parameters
563 corresponding to NH_3 volatilization following ammonium bicarbonate application were calibrated. The
564 modified model provided an excellent performance in simulating NH_3 volatilization following
565 synthetic nitrogen fertilizer applications to either cultivated uplands or rice paddy fields. Nevertheless,
566 the simulated NH_3 volatilization following nitrogen fertilizer application by the modified model still
567 had some deviations from the observations, when deep- or mixed-placement or irrigation/precipitation
568 were accompanied with the fertilizer event in upland soils and when simulating and limiting factors on
569 algal growth occurred with fertilizer events in rice paddy fields. A further revision to the modified
570 model is still needed in future studies to overcome these deficiencies. Nevertheless, the modified
571 CNMM-DNDC is acceptable for regional or national NH_3 simulations when developing strategies to
572 alleviate environmental pollution and compile NH_3 emission inventories.

573 *Data availability.* All of the model output used to produce the figures can be obtained from the
574 Supplement, and all of the observed data sets used in this study were collected from published
575 peer-reviewed articles.



576

577 *Author contribution.* XZ, YL, and WZ contributed to developing the idea and methodology of this
578 study. SL arranged the research data, improved and implemented the model simulation, prepared the
579 paper with contributions from all co-authors. RW, KW, and CZ contributed to collect and maintained
580 the research data. SH, CL, and ZY analyzed study data and verified the results.

581 *Competing interests.* The authors declare that they have no conflict of interest.

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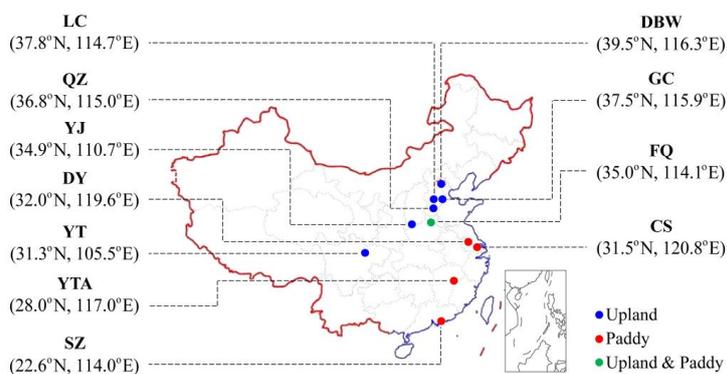
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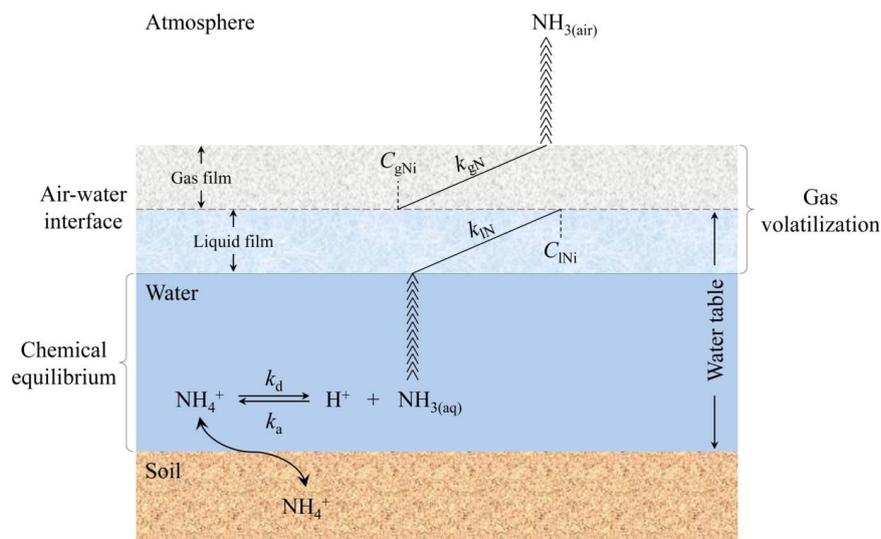
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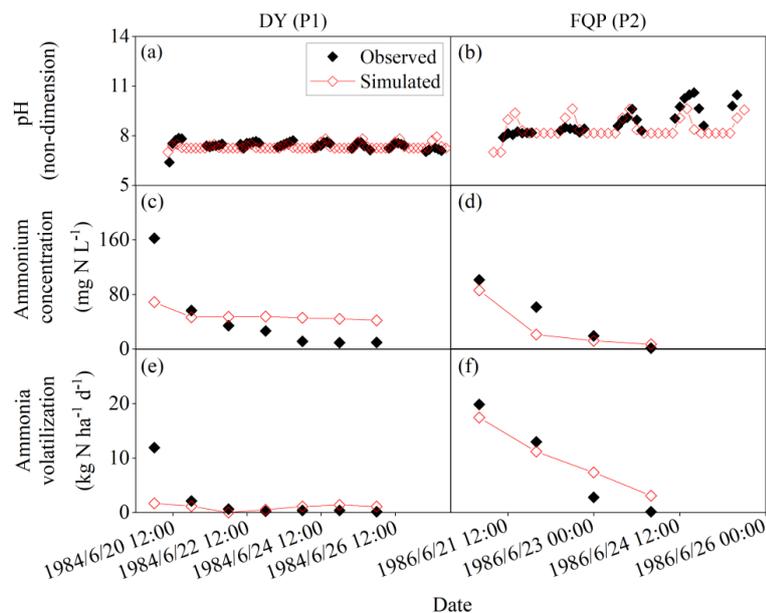
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779 **Fig. 1** Location of the experimental field sites involved in this study. The sites are Changshu (CS),
780 Danyang (DY), Dongbeiwang (DBW), Fengqiu (FQ), Guangchuan (GC), Luancheng (LC),
781 Quzhou (QZ), Shenzhen (SZ), Yanting (YT), Yingtan (YTA), and Yongji (YJ).
782



783

784 **Fig. 2 Mechanism of the Jayaweera-Mikkelsen model introduced into the modified CNMM-DNDC.**
 785 k_d and k_a are referred to as the dissociation and association rate constants for $\text{NH}_4^+/\text{NH}_3$ chemical
 786 equilibrium, respectively. k_{IN} and k_{gN} are referred to as the exchange constants for NH_3 in the
 787 liquid and gas films, respectively. C_{INI} and C_{gNi} are referred to as the average concentrations of NH_3
 788 at the interface in the liquid and gas films, respectively. $\text{NH}_{3(aq)}$ and $\text{NH}_{3(air)}$ are referred to as the
 789 average concentration of NH_3 in aqueous and gas phases, respectively.

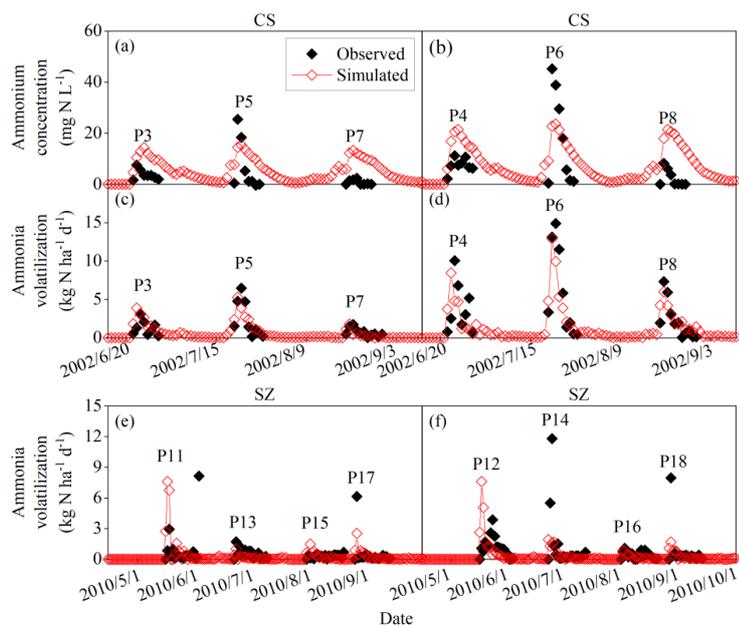
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792 **Fig. 3** Observed and simulated pH and ammonium concentrations of floodwater and daily
793 ammonia volatilization from the ammonium carbonate application for DY and FQP. The
794 definitions of the case codes are referred to Table 2. The sites are Danyang (DY) and Fengjiu
795 with rice paddy fields (FQP).

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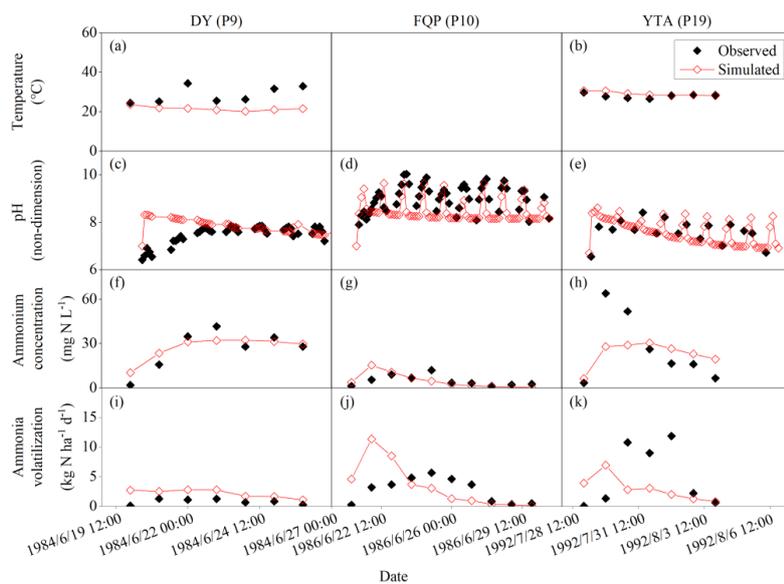
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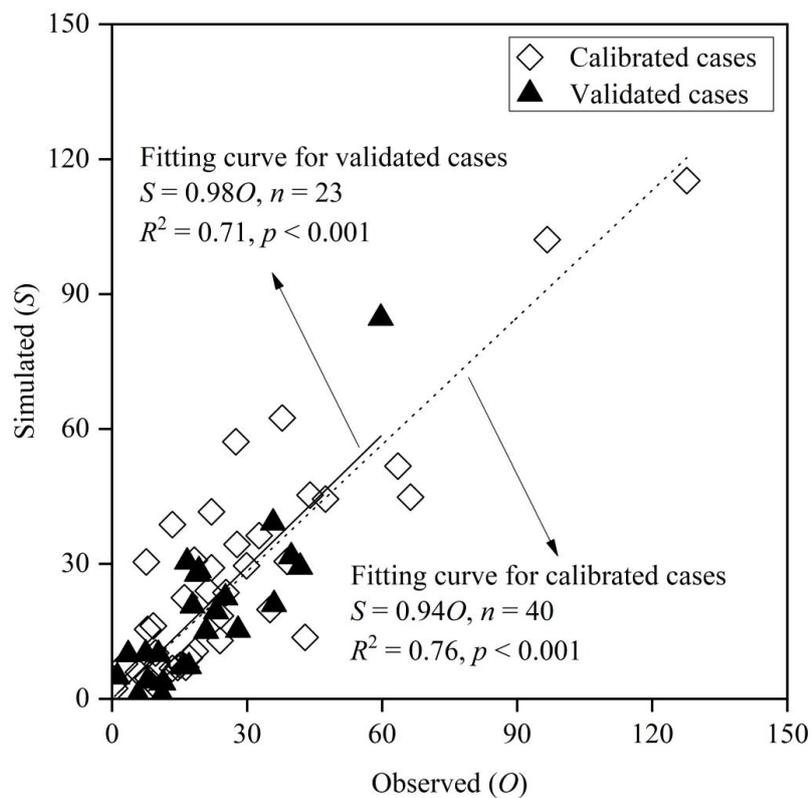
Fig. 4 Observed and simulated ammonium concentrations of floodwater and daily ammonia volatilization from the urea application for CS and SZ. The definitions of the case codes are referred to Table 2. The sites are Changshu (CS) and Shenzhen (SZ).



802
803 **Fig. 5** Observed and simulated temperatures, pH and ammonium concentrations of floodwater
804 and daily ammonia volatilization from the urea application for DY, FQP and YTA. The definitions
805 of the case codes are referred to Table 2. The sites are Danyang (DY), Fengqiu with rice paddy
806 fields (FQP) and Yingtan (YTA).

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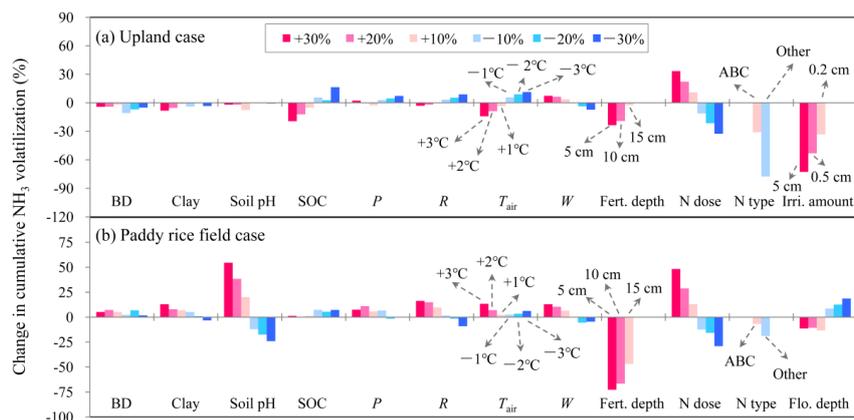
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810 **Fig. 6** Comparison between the observed and simulated cumulative ammonia volatilization across
811 all calibrated and validated cases of upland and rice paddy fields. n , p and R^2 denote the sample
812 size, significance level and coefficient of determination for the zero-intercept linear regression,
813 respectively.

814



815

816 **Fig. 7** Sensitivity analysis of the modified CNMM-DNDC in simulating cumulative ammonia (NH_3)
 817 volatilization from uplands and rice paddy fields during the measurement periods through change
 818 input factors. The investigated input factors include: 3-hourly averages of air temperature (T_{air})
 819 and wind speed (W); 3-hourly totals of precipitation (P) and solar radiation (R) during individual
 820 measurement periods of NH_3 volatilization; soil clay fraction, pH, organic carbon (SOC) content
 821 and bulk density (BD); irrigation water amount (Irri. amount) and floodwater depth (Flo. depth);
 822 and, nitrogen fertilization depth, dose and type (Fert. depth, N dose, and N type, respectively). The
 823 N types include ammonium bicarbonate (ABC) and other ammonium-based nitrogen fertilizers
 824 (Other). The legends within the frame apply to all the subfigures and all the factors without notes
 825 highlighted by arrows.

826



827 **Table 1** Descriptive information of the studied experimental sites of rice paddy fields for model
828 evaluation, including site name, experimental year (Year), crop rotation (Crop), fertilizer type (Type)
829 and dose (Dose, kg N ha⁻¹), measurement method for ammonia volatilization (Method), number of
830 fertilization cases (Number) and reference (Ref.).

Site ^a	Year	Crop ^b	Type	Dose	Method ^d	Number	Ref. ^e
CS	2002–2003	RW	Urea	41–135	MM	6	[1]
DY	1984	RW	Urea/ABC ^c	90	MM	2	[2]
FQP	1986	RW	Urea/ABC	90	MM	2	[3]
SZ	2010	DR	Urea	41–162	WT	8	[4]
YTA	1992	DR	Urea	90	MM	1	[5]

831 ^a The sites are Changshu (CS), Danyang (DY), Fengqiu with rice paddy fields (FQP), Shenzhen (SZ),
832 and Yingtan (YTA).

833 ^b The presented crop rotation types are rice–wheat (RW) and double rice (DR).

834 ^c ABC is the abbreviation of ammonium bicarbonate.

835 ^d The presented methods for the measurement of ammonia volatilization are wind tunnel (WT) and
836 micrometeorological technique (MM).

837 ^e [1] Song et al., 2004; [2] Cai et al., 1986; [3] Zhu et al., 1989; [4] Gong et al., 2013; and [5] Cai et al.,
838 1992.



840 **Table 2** Observed and simulated cumulative ammonia volatilization during the measurement periods,
 model biases, and management practices of individual fertilizer application cases in the rice paddy
 fields.

Case code ^a	Site ^b	Period	<i>O</i> ^c	<i>S</i> ^c	RMB ^c	Water table ^d	Pre ^e	Fertilizer application		
								Type ^f	Method ^g	Dose ^h
P1 [@]	DY	Jun. 20 to Jun. 26, 1984	16.4	7.04	-57.0	5	0	ABC	BFT5	90
P2	FQP	Jun. 21 to Jun. 30, 1986	35.8	39.13	9.3	4	0.18	ABC	BFT5	90
P3	CS	Jun. 22 to Jun. 30, 2002	10.3	9.89	-4.0	4 [*]	6.38	Urea	B	40.5
P4	CS	Jun. 22 to Jun. 30, 2002	23.1	19.40	-16.0	4 [*]	6.38	Urea	B	81
P5	CS	Jul. 20 to Jul. 29, 2002	20.9	15.04	-28.0	4 [*]	0.54	Urea	B	54
P6	CS	Jul. 20 to Jul. 29, 2002	39.8	31.61	-20.6	4 [*]	0.54	Urea	B	108
P7	CS	Aug. 20 to Aug. 31, 2002	7.5	10.02	33.6	4 [*]	3.07	Urea	B	40.5
P8	CS	Aug. 20 to Aug. 31, 2002	17.9	20.68	15.5	4 [*]	3.07	Urea	B	81
P9 [@]	DY	Jun. 20 to Jun. 26, 1984	7.9	15.44	94.9	5	0	Urea	BFT5	90
P10 [@]	FQP	Jun. 21 to Jun. 30, 1986	27.8	34.32	23.7	4	0.18	Urea	BFT5	90
P11 [@]	SZ	May 16 to Jun. 4, 2010	16.1	22.50	39.8	7.5 [#]	0	Urea	B	162.2
P12 [@]	SZ	May 16 to Jun. 4, 2010	21.4	24.00	12.2	7.5 [#]	0	Urea	B	162.2
P13 [@]	SZ	Jun. 22 to Jul. 11, 2010	9.1	3.43	-62.4	7.5 [#]	0	Urea	B	40.9
P14 [@]	SZ	Jun. 22 to Jul. 11, 2010	17.2	9.07	-47.3	7.5 [#]	0	Urea	B	81.8
P15 [@]	SZ	Jul. 31 to Aug. 19, 2010	5.9	5.92	0.3	7.5 [#]	0	Urea	B	40.9
P16 [@]	SZ	Jul. 31 to Aug. 19, 2010	8.0	4.57	-42.9	7.5 [#]	0	Urea	B	40.9
P17 [@]	SZ	Aug. 26 to Sep. 14, 2010	10.0	7.66	-23.4	7.5 [#]	0	Urea	B	81.8
P18 [@]	SZ	Aug. 26 to Sep. 14, 2010	13.4	6.79	-49.3	7.5 [#]	0	Urea	B	81.8
P19	YTA	Jul. 29 to Aug. 6, 1992	36.0	20.98	-41.7	2	1.36	Urea	BFT5	90

^a P1 to P19 encode the experimental cases following individual application events of synthetic nitrogen fertilizers; the superscript “@” symbol marks the cases with the ammonia observations being referred to the model calibration.

845 ^b The sites are Changshu (CS), Danyang (DY), Fengqiu with rice paddy fields (FQP), Shenzhen (SZ), and Yingtan (YTA).

^c *O* and *S* are the cumulative NH₃ volatilization (kg N ha⁻¹) observed and simulated by the modified CNMM-DNDC, respectively; RMB is the relative model bias (%) of the modified model, each of which was determined as the relative difference between the simulated and observed values.

850 ^d The depth of floodwater table (cm). For the cases with “*” and “#”, the exact depth of the floodwater table was not reported. The floodwater table depth of the cases with “*” was arbitrarily set as the traditional depth of the floodwater table of the DY site, which was located in the same region. The floodwater depths of the cases with “#” were set by model calibration.

^e Pre denotes total rainfall (cm) during the experimental period(s).

855 ^f ABC is the fertilizer type of ammonium bicarbonate.

^g The application methods are surface broadcast (B) and broadcast followed by tillage (BFT). The figures following BFT are the depth in soil (cm).

^h Unit: kg N ha⁻¹.



Table 3 Statistical indices for evaluating the performance of the modified CNMM-DNDC in simulating daily and cumulative ammonia (NH_3) fluxes from ammonium bicarbonate (ABC) and urea (including other fertilizer types) applications for the independent calibration (Cal) and validation (Val) cases in uplands and rice paddy fields.

Land use	NH_3 flux	Fertilizer type	Operation	Num	IA	NSI	ZIR		
							Slope	R^2	p
Upland	Daily	ABC	Cal	39	0.5	-1.34	0.53	na	na
			Val	24	0.60	-0.51	0.69	na	na
		Urea	Cal	287	0.44	-0.06	0.38	na	na
			Val	137	0.67	0.02	0.64	0.09	< 0.001
	Cumulative	ABC	Cal	3	0.75	0.14	0.73	0.64	ns
			Val	2	-	-	-	-	-
		Urea	Cal	26	0.93	0.73	0.94	0.71	< 0.001
			Val	13	0.91	0.49	1.06	0.74	< 0.001
Rice paddy field	Daily	ABC	Cal	7	0.33	0.02	0.16	na	na
			Val	4	0.94	0.85	0.90	0.71	ns
		Urea	Cal	176	0.53	-0.35	0.47	0.04	< 0.05
			Val	63	0.72	0.36	0.56	0.19	< 0.001
	Cumulative	ABC	Cal	1	-	-	-	-	-
			Val	1	-	-	-	-	-
		Urea	Cal	10	0.88	0.30	1.03	0.68	< 0.01
			Val	7	0.85	0.60	0.77	0.65	< 0.05

The statistical indices are the index of agreement (IA), Nash–Sutcliffe Index (NSI), and the slope, determination coefficient (R^2) and significance level (p) of the zero-intercept univariate linear regression (ZIR) of observations against simulations. Being not available (na) indicates a negative R^2 and a suffering F -test. Being not significant (ns) indicates a ZIR with $p > 0.05$. Num is the abbreviation of sample number.



870 **Table 4** Statistical indices for evaluating the performance of the CNMM-DNDC in simulating the daily temperature (T), pH and ammonium concentration (NH_4^+) in floodwater for the calibration (Cal) and validation (Val) cases.

Variables	Operation	Num	Cases	IA	NSI	ZIR		
						Slope	R^2	p
T	Cal	7	P9	0.43	-3.50	1.32	na	na
	Val	7	P19	0.51	-1.76	0.96	na	na
pH	Cal	147	P1, P9, P10	0.83	0.55	1.00	0.55	< 0.001
	Val	45	P2, P19	0.79	0.36	1.01	0.36	< 0.001
NH_4^+	Cal	24	P1, P9, P10	0.78	0.48	1.03	0.48	< 0.001
	Val	55	P2, P3–P8	0.68	0.25	0.74	0.34	< 0.001

875 The statistical indices are the index of agreement (IA), Nash–Sutcliffe Index (NSI), and the slope, determination coefficient (R^2) and significance level (p) of the zero-intercept univariate linear regression (ZIR) of observations against simulations. Being not available (na) indicates a negative R^2 and a suffering F -test. Being not significant (ns) indicates a ZIR with $p > 0.05$. Num is the abbreviation of sample number. The definitions of the case codes are referred to Table 2.