

Slow-down of the greening trend in natural vegetation with further rise in atmospheric CO₂

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Abstract. Satellite data reveal widespread changes of Earth's vegetation cover. Regions intensively attended to by humans are mostly greening due to land management. Natural vegetation, on the other hand, is exhibiting patterns of both greening and browning in all continents. Factors linked to anthropogenic carbon emissions, such as CO₂ fertilization, climate change and consequent disturbances, such as fires and droughts, are hypothesized to be key drivers of changes in natural vegetation. A rigorous regional attribution at biome-level that can be scaled into a global picture of what is behind the observed changes is currently lacking. Here we analyze different datasets of decades-long satellite observations of global leaf area index (LAI, 1981-2017) as well as other proxies of vegetation changes, and identify several clusters of significant long-term changes. Using process-based model simulations (Earth system and land surface models), we disentangle the effects of anthropogenic carbon emissions on LAI in a probabilistic setting applying Causal Counterfactual Theory. The analysis prominently indicates the effects of climate change on many biomes — warming in northern ecosystems (greening) and rainfall anomalies in tropical biomes (browning). Our results ~~do not support~~ provide only little support to previously published accounts of dominant global-

scale effects of CO₂ fertilization. Altogether, our analysis reveals a slowing down of greening and strengthening of browning trends, particularly in the last two decades. Most models substantially underestimate the emerging vegetation browning, especially in the tropical rainforests. Leaf area loss in these productive ecosystems could be an early indicator of a slow-down in the terrestrial carbon sink. Models need to account for this effect to realize plausible climate projections of the 21st century.

1 Introduction

Satellite observations reveal widespread changes in terrestrial vegetation across the entire globe. The greening and browning trends reflect changes in the abundance of green leaves, and thus, the rate and amount of photosynthesis. Plants modulate pivotal land-atmosphere interactions through the process of photosynthesis. Hence, changes in photosynthetic activity have immediate effects on the land-atmosphere exchange of energy [Forzieri et al., 2017], water [McPherson, 2007; Ukkola et al., 2016] and carbon [Poulter et al., 2014; Thomas et al., 2016; Winkler et al., 2019a, b]. Several studies have reported that many biomes are largely greening, from Arctic tundra to subtropical drylands [Myneni et al., 1997; Nemani et al., 2003; Mao et al., 2016; Zhu et al., 2016; Chen et al., 2019; Winkler et al., 2019a]. Others have identified regions of declining trends in leaf area [Goetz et al., 2005; Verbyla, 2011]. The drivers underlying these long-term vegetation changes, however, remain under debate. In the light of nearly forty years of continuous satellite observations, we reassess the driver attribution of natural vegetation changes in a new ~~framework of cause-and-effect and challenge previous findings~~ Zhu et al., 2016 framework.

Anthropogenic vegetation, *i.e.* actively cultivated vegetation, and natural vegetation should be considered separately due to their distinct origins and properties. A recent study by Chen et al. [2019] reported that anthropogenic vegetation (35% of the global vegetated area) is greening due to human land management. The authors identified irrigation, multiple cropping, and the application of fertilizers and pesticides as the main drivers of leaf area enhancement (direct drivers). These results challenge the conclusions of a previous study by Zhu et al. [2016] that attributed the global greening trend mostly to indirect drivers induced by CO₂ emissions, in particular, the CO₂ fertilization effect (70%).

Indirect drivers of vegetation changes usually include CO₂ fertilization and ~~climate~~ climatic change in the literature, both of which are consequences of rising atmospheric CO₂ concentration. The term "CO₂ fertilization" includes two effects of increased ambient CO₂ on the physiology of plants. First, elevated CO₂ in the interior of leaves stimulates carbon assimilation, which enhances plant productivity and biomass [Leakey et al., 2009; Fatichi et al., 2016]. Second, ~~in time~~ leaves adapt to the CO₂-enriched atmosphere by lowering their stomatal conductance and, over time, stomatal density. As a consequence, water loss through transpiration decreases, resulting in increased water-use efficiency [ratio of carbon assimilation to transpiration rate; Ukkola et al., 2016; Fatichi et al., 2016]. In theory, both effects should result in an expansion of leaf area, especially in environments where plant growth is constrained by water availability [~~Ukkola et al., 2016; Donohue et al., 2009, 2013; Ukkola et al., 2016~~].

The radiative effect of CO₂ in the atmosphere induces climatic changes that can have both ~~harmful~~ damaging or beneficial effects on the functioning of ecosystems. Temperature-limited biomes are expected to green (*i.e.* increase leaf area) due to warming and associated prolongation of the growing season [Park et al., 2016; Winkler et al., 2019a]. But long-term drying

45 [Zhou *et al.*, 2014], as well as increased intensity and frequency of disturbances [Seidl *et al.*, 2017] such as droughts [Bonal *et al.*, 2016] and wildfires [Goetz *et al.*, 2005; Verbyla, 2011], can induce regional vegetation browning trends. Regional greening and browning patterns can also be associated with insect outbreaks, local deforestation practices, regrowing or degrading forests, or nitrogen deposition; however, these drivers are considered to be of minor importance at the global scale [Zhu *et al.*, 2016].

50 Indirect drivers affect both natural and anthropogenic vegetation unlike direct drivers which affect anthropogenic vegetation only. Chen *et al.* [2019] demonstrated that indirect drivers have either opposing or minor enhancing effects on the leaf area of anthropogenic vegetation. In general, the greening of anthropogenic vegetation has a negligible effect on the carbon cycle, because carbon absorbed by agricultural plants almost immediately reenters the atmosphere due to harvest and consumption. Natural terrestrial ecosystems, however, act as a strong carbon sink by absorbing about 30% of the anthropogenic
55 CO₂ emissions ($3.8 \pm 0.8 \text{ Pg C yr}^{-1}$; Quéré *et al.*, 2018) and mitigate man-made climate change (Bonan, 2008; Sitch *et al.*, 2015; Winkler *et al.*, 2019a). Thus, a mechanistic understanding of natural vegetation dynamics under rising CO₂ is critical and helps to answer one of the key question in current climate research: *Where does the anthropogenic carbon go* (Marotzke *et al.*, 2017)?

This study focuses on the response of natural vegetation under the influence of the two key indirect drivers, the physiological
60 and radiative effect of rising CO₂. Throughout this paper and in accordance with literature, the terms "CO₂ fertilization" and "physiological effect of CO₂" are used interchangeably, as are "climate change" and "radiative effect of CO₂". To assess observed changes in vegetation over climatic time scales, we make use of a 37-year record of leaf area index (LAI) satellite observations (1982–2017, GIMMS LAI3g, Section 2.1). The GIMMS LAI3g product is based on the Advanced Very High Resolution Radiometer (AVHRR) sensors, for which there are a number of shortcomings [no on-board calibration, no correction
65 of orbit loss, minimal correction for atmospheric contamination and limited cloud screening; Section 2.1; Zhu *et al.*, 2013; Chen *et al.*, 2019]. To address these shortcomings, we also analyze a total of five different remote sensing products that pursue different strategies for dealing with the issues associated with AVHRR data (Section 2.1). Due to some inexplicable variations in these datasets ~~Forzieri *et al.* [2017]~~ [Forzieri *et al.*, 2017] we concentrate on GIMMS LAI3g in our analysis, which is used in most published papers. Despite its limitations, the AVHRR record is unique in terms of its temporal coverage and offers an
70 opportunity to study the evolution of Earth's vegetation while atmospheric CO₂ concentration increased by 65 ppm (341 to 406 ppm). We define greening and browning as statistically significant increasing and decreasing trends in LAI, respectively (Section 2.6). Based on a detailed biome map (Figure S1, Table S1, Section 2.2), we identify spatial clusters of significant vegetation greening and browning in different natural vegetation types.

We make use of the latest version of the fully-coupled Max Planck Institute Earth system model in ensemble-mode (MPI-
75 ESM, Section 2.3) and a collection of 13 land-surface models (LSMs) driven with observed climatic conditions [TRENDYv7 ensemble; Section 2.4; Quéré *et al.*, 2018]. As a first step, we analyze historical simulations to examine whether these models capture the observed behavior of natural vegetation under rising CO₂. Next, we analyze factorial simulations to disentangle and quantify the effects of rising CO₂ on LAI changes. Each factorial experiment consists of all historical forcings except one, which is set to its pre-industrial level (similar approach in TRENDYv7 simulations, [for details see](#) Section 2.4 and 2.6).

80 The conventional approach to detection and attribution in climate science is the method of optimal fingerprinting, for example as in *Zhu et al.* [2016]. This framework which considers the observed change to be a linear combination of individual forced signals, is prone to overfitting, and assumes that linear correlation reflects causation [*Hannart and Naveau*, 2018]. To overcome these limitations, we propose to use the Causal Counterfactual Theory which has recently been introduced to climate science [Pearl, 2009; *Hannart et al.*, 2016; *Hannart and Naveau*, 2018]. The method allows us to test if long-term greening/browning trends can be attributed to the effects of rising CO₂ in a probabilistic setting combining necessary and sufficient causation (Section 2.7).

This is the first study that addresses vegetation browning as well as greening patterns across all major biomes, integrated into a global picture. Greening is dominant in terms of areal fraction, but browning clusters are intensifying, primarily in the tropical forests that are biodiversity-rich and highly productive. We find that CO₂ fertilization is an important driver of greening in some biomes, but ~~not dominant globally as suggested previously *Zhu et al.*, 2016~~ cannot be established as a dominant causal driver in many others. The strengthening browning trend identified in our study is most likely linked to ~~the climate changes~~, *i.e.* long-term drying and recurring droughts. Overall, our findings suggest that the emerging browning clusters in the highly productive ecosystems might be a precursor of a weakening land carbon sink, which is not yet captured by the current land components of Earth system models.

95 2 Materials and Methods

2.1 Satellite observations of LAI

Our analyses are based on an updated version (V1) of the leaf area index dataset [LAI3g; *Chen et al.*, 2019] based on the methodology developed by *Zhu et al.* [2013]. The data provides global year-round LAI observations at 15-day (bi-monthly) temporal resolution and 1/12 degree spatial resolution. The record covers the period from July 1981 to December 2017. The complete time series of LAI3gV1 was generated using an artificial neural network trained on data of the overlap period of the Collection 6 Terra Moderate-Resolution Imaging Spectroradiometer (MODIS) LAI dataset (2000-2017) and the latest version (third generation) of the Global Inventory Modeling and Mapping Studies group (GIMMS) Advanced Very High Resolution Radiometer (AVHRR) normalized difference vegetation index (NDVI) data (NDVI3g). The latter have been corrected for sensor degradation, inter-sensor differences, cloud cover, observational geometry effects due to satellite drift, Rayleigh scattering and stratospheric volcanic aerosols [*Pinzon and Tucker*, 2014].

The LAI3g datasets prior to 2000 were not evaluated due to a lack of required field data [*Zhu et al.*, 2013; *Chen et al.*, 2019]. After 2000, the quality of the LAI3g dataset was assessed through direct comparisons with ground measurements of LAI and indirectly with other satellite-data based LAI products, and also through statistical analysis with climatic variables such as temperature and precipitation variability [*Zhu et al.*, 2013]. Various studies used the predecessor LAI3gV0 and the related dataset of fraction of absorbed photosynthetically active radiation [FAPAR; *Anav et al.*, 2013; *Forkel et al.*, 2016; *Zhu et al.*, 2016; *Mao et al.*, 2016; *Mahowald et al.*, 2016; *Piao et al.*, 2014; *Poulter et al.*, 2014; *Keenan et al.*, 2016] and its successor LAI3gV1 [~~*Winkler et al.*, 2019a~~ *Winkler et al.*, 2019a, b; *Chen et al.*, 2019].

Leaf area index is defined as the one-sided green leaf area per unit ground area in broadleaf canopies and as one-half the green needle surface area in needleleaf canopies in both satellite observations and models (ESMs and LSMs). It is expressed in units of m^2 green leaf area per m^2 ground area. Missing values in the LAI3gV1 dataset are filled using the climatology of each 16-day composite during 1982-2017. We use the annual averaged LAI of each pixel in this study.

In addition to the GIMMS LAI3g product, we ~~analyzed~~analyze the MODIS LAI record as well as four other long-term global remote sensing datasets: the Global Land Surface Satellites LAI product (GLASS LAI), the Global Mapping LAI product (GLOBMAP LAI), the NDVI product from the Land Long Term Data Record (LTDR), and a new FAPAR product from the National Oceanic and Atmospheric Administration's (NOAA) Climate Data Record Program.

The MODIS LAI data analysed in this study are based on the combined Terra and Aqua MODIS LAI products (MOD15A2H and MYD15A2H) from Collection 6 C6; Myneni et al. [2015a, b]. These LAI datasets are provided at a 8-day temporal resolution with a 500 m sinusoidal projection covering the entire globe. After additional data quality assessment for more details, please see Chen et al. [2019], the two LAI datasets are aggregated into a 16-day composites by taking the mean of all valid LAI values. The data are then spatially aggregated to 1/20 degree spatial resolution and cover the period from 2000 to 2019.

The GLASS LAI dataset [Xiao et al., 2014] is based on AVHRR, MODIS, and CYCLOPES reflectances and LAI products. The full time series was generated using an artificial neural network (general regression neural networks) that has been trained on the overlap period of AVHRR, MODIS and CYCLOPES reflectances and LAI products [Xiao et al., 2014]. We use the latest version of the GLASS LAI dataset, which covers the period from 1981 to 2018 and is provided at a 8-day temporal and a 1/20 degree spatial resolution.

The GLOBMAP LAI dataset [Liu et al., 2012] is a reconstruction of the historical AVHRR data by a quantitative fusion with MODIS data. The algorithm inverses a geometrical optical model to establish pixel-level relationships between AVHRR and MODIS LAI for the overlapping period, which are then used to reconstruct AVHRR LAI back to the initial year of the record. We use the latest version of the GLOBMAP LAI dataset, which covers the period from 1981 to 2017 and is provided at a 15-day temporal and a 1/13.75 degree spatial resolution.

The NDVI dataset of NASA's LTDR [Pedelty et al., 2007] project is based on a reprocessing of long term AVHRR reflectances applying improved preprocessing techniques and atmospheric corrections used in the generation of MODIS datasets. The preprocessing improvements include radiometric in-flight vicarious calibration for the visible and near-infrared channels and inverse navigation to relate an Earth location to each sensor instantaneous field of view [Pedelty et al., 2007]. Atmospheric corrections include corrections for Rayleigh scattering, ozone, water vapor, and aerosols. We use the recently published version 5 (v5) of the LTDR NDVI dataset, which covers the period from 1981 to 2019 and is provided at a daily temporal and a 1/20 degree spatial resolution.

The FAPAR product from the National Oceanic and Atmospheric Administration's (NOAA) Climate Data Record Program provided by National Centers for Environmental Information (NCEI) is based on carefully calibrated and corrected land surface reflectances from AVHRR sensors [Claverie et al., 2016]. The algorithm relies on artificial neural networks calibrated per

different land cover types using the MODIS FAPAR dataset. We use the latest version of the FAPAR dataset from 1981 until 2019, which is provided at a daily temporal and a 1/20 degree spatial resolution.

We aggregate all data sets to annually averaged values and to spatially area-weighted averages for different biomes as defined
150 by the mask (Section 2.2).

2.2 Characterization of biomes & clusters of significant change

The land cover product of the MODIS sensors (MCD12C1; MODIS/Terra and Aqua Combined Land Cover Type Climate Modeling Grid (CMG) Yearly Global 0.05 Deg V006, https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mcd12c1_v006) is the primary source underlying the land cover map used in this study (hereafter MODIS land cover). The
155 classes from the International Geosphere–Biosphere Programme (IGBP) in the MODIS land cover product are aggregated as follows: Tropical Forests include Evergreen Broadleaf Forest (EBF), Temperate Forests include Deciduous Broadleaf Forest (DBF) and Mixed Forest, and Boreal Forests include Evergreen Needleleaf Forest (ENF) and Deciduous Needleleaf Forest (DNF). Savannas include Woody Savannas and Savannas. Shrublands include Closed Shrublands and Open Shrublands. Crop-
160 lands include Croplands and Croplands / Natural Vegetation Mosaic. The class Others includes Permanent Wetlands, Urban and Built-up Lands, Permanent Snow and Ice, and Barren. The classes Grasslands and Water Bodies remain unchanged. The MODIS land cover product provides estimates for the time period from 2001 to 2017 for each pixel. In this study we define a representative biome map based on the most frequently occurring land cover type throughout the period of 17 years.

The MODIS land cover classification does not contain the biome tundra, which is why we use in addition the land cover product GLDAS2 / Noah version 3.3 that uses a modified IGBP classification scheme providing the classes Wooded, Mixed
165 or Bare Ground Tundra (<https://ldas.gsfc.nasa.gov/gldas/GLDASvegetation.php>, hereafter GLDAS land cover) [Rodell *et al.*, 2004]. Accordingly, pixels originally of the classes Shrublands, Grasslands, Permanent Wetlands, or Barren, are converted to Tundra, if classified as Wooded, Mixed or Bare Ground Tundra in the GLDAS land cover product. The classes Woody Savannas and Savannas span vast areas across the globe in the MODIS land cover product. We use the GLDAS classification for these pixels, but only for regions where the MODIS and GLDAS land cover products disagree. In doing so, we obtain a
170 more accurate global land cover classification. Table S1 describes in detail how the fusion of the MODIS and GLDAS land cover products is realized.

As a last step, we integrate the MODIS tree cover product MOD44B (MODIS/Terra Vegetation Continuous Fields Yearly L3 Global 250 m SIN Grid V006, https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mod44b_v006) to
175 account for the underestimation of forested area in the MODIS land cover product. Areas with tree cover exceeding 10% are formally defined as forests (MacDicken *et al.*, 2015). Thus, we set non-forest pixels in the MODIS land cover product above 10% tree cover to Boreal Forest in the high latitudes 50° N/S. For tropical forest (25° S – 25° N), we increase the threshold to 20% tree cover to allow for a realistic areal extent of savannas. The pixels in the bands 25° N/S – 50° N/S remain unchanged, because the MODIS land cover product already realistically represents the forested area in these latitudes.

Table S1 provides a detailed overview on the conflation of MODIS land cover product, GLDAS land cover product and the
180 MODIS Tree cover product. The final biome map (originally resolved at 0.05°) is regridded to the different resolutions of the
AVHRR sensor and the models simulations (MPI-ESM and TRENDYv7) applying a largest area fraction remapping scheme.

Based on the observational LAI dataset we define various clusters for greening or browning in most biomes: North American
Tundra (NAm Tundra), Eurasian Tundra (EA Tundra), North American Boreal Forests (NAm Brl F), Eurasian Boreal Forests
(EA Brl F), Temperate Forests (Tmp F), Tropical Forests (Trp F), Central African Tropical Forests (CAf Trp F), Northern
185 African Savannas and Grasslands (NAf Sv Gl), Southern African Savannas and Grasslands (SAf Sv Gl), Cool Grasslands
(Cool Gl), and Australian Shrublands (Aus Sl). Some clusters require a more detailed definition of their geographical location
and extent: Southern (Northern) African Savannas and Grasslands represent these vegetation type south (north) of the equator
including Madagascar. Central African Tropical Forests represent all tropical forests in Africa. Cool Grasslands refer to
grasslands above 30° N.

190 2.3 Max-Planck-Institute Earth System Model

MPI-ESM1.2 is the latest version of the state-of-the-art Max Planck Institute Earth System Model, which participates in the
upcoming sixth phase of the Coupled Model Intercomparison Project [CMIP6; *Eyring et al.*, 2016]. *Mauritsen et al.* [2019]
describes thoroughly the model developments and advancements with respect to its predecessor, the CMIP5 version [*Giorgetta
et al.*, 2013]. Here, we use the low resolution (LR) fully coupled carbon/climate configuration (MPI-ESM1.2-LR), which
195 consists of the atmospheric component ECHAM6.3 with 47 vertical levels and a horizontal resolution of ±200 km grid spacing
(spectral truncation at T63). The ocean dynamical model MPIOM is set up on a bi-polar grid with an approximate grid-spacing
of 150 km (GR1.5) and 40 vertical levels. MPI-ESM1.2-LR includes the latest versions of the land and ocean carbon cycle
modules, comprising the ocean biogeochemistry model HAMOCC6 and the land surface scheme JSBACH3.2 [*Mauritsen et al.*,
2019].

200 As opposed to the high-resolution configuration, the LR variant of the MPI-ESM includes all the important processes relevant
for longer time-scale changes of the land surface, such as a thoroughly equilibrated global carbon cycle, dynamical vegetation
changes, interactive nitrogen cycle, land-use transitions, a process-based fire model (SPITFIRE), and an interactive coupling of
all sub-models. Furthermore, it is possible to run this model configuration to generate 45-85 model years per real-time day with
a modern supercomputer [*Mauritsen et al.*, 2019]. This opens up the possibility of conducting a larger number of realizations
205 for each experiment.

Specifically, we used the initial CMIP6 release of the MPI-ESM version 1.2.01 (mpiesm-1.2.01-release, revision number
9234). The final CMIP6 version will include further bug fixes, which are expected to only slightly influence long-term sensi-
tivities of simulated land surface processes.

We conducted historical simulations (all forcings) and three factorial experiments (all forcings except one): (a) all historical
210 forcings except the physiological effect of CO₂ (No PE; increasing CO₂ does not affect the biogeochemical processes), (b) all
historical forcings except the radiative effect of CO₂ (No RE; increasing CO₂ does not affect climate), and (c) all historical
forcings except anthropogenic forcings (No CO₂). All experiments were performed in ensemble-mode (6 realizations per

experiment) using the latest CMIP6 forcing data (1850–2013). Individual realizations were initialized from different points in time of a prolongation run of the official MPI-ESM1.2-LR pre-industrial control simulation. In doing so, we account for the influence of climatic modes (e.g. El Niño Southern Oscillation) as a source of uncertainty in simulating long-term changes.

The simulated time series were shifted by four years to maximize the overlap with the observational record of 1982–2017.

2.4 Land surface models: TRENDYv7

Land-surface models (LSMs) or dynamic global vegetation models (DGVMs) simulate key physical and biological key processes of the land system in interaction with the atmosphere. LSMs provide a deeper insight into the mechanisms controlling terrestrial energy, hydrological and carbon cycles, as well as the drivers of phenomena ranging from short-term anomalies to long-term changes [Sitch *et al.*, 2015; Bastos *et al.*, 2018]. Here, we analyze the most recent TRENDY ensemble (version 7) comprising 13 state-of-the-art LSMs which vary in their representation of ecosystem processes. All models simulate vegetation growth and mortality, deforestation and regrowth, vegetation and soil carbon responses to increasing atmospheric CO₂ levels, climate change and natural variability [Quéré *et al.*, 2018]. Some models simulate an explicit nitrogen cycle (allowing for potential nitrogen limitation) and account for atmospheric N deposition [Table A1 in Quéré *et al.*, 2018]. Most LSMs include the most important components of land-use and land-use changes, but they are far from representing all processes resulting from direct human land management [Table A1 in Quéré *et al.*, 2018]. A more detailed description of the TRENDYv7 ensemble, model-specific simulation setups and references can be found in Quéré *et al.* [2018].

We use output from five simulations: all forcings (S3), physiological effect of CO₂ only (S1), radiative plus physiological effect of CO₂ (S2), land-use changes only (S4), and the control run (S0; no forcings: fixed CO₂ concentration of 276.59 ppm and fixed land-use map, loop of mean climate and variability from 1901–1920). The forcing data consist of observed atmospheric CO₂ concentrations, observed temporal patterns of temperature, precipitation, and incoming surface radiation from the CRU-JRA-55 reanalysis [Quéré *et al.*, 2018; Harris *et al.*, 2014], and human-induced land-cover changes and management from an extensions of the most recent Land-Use Harmonization (LUH2) dataset [Hurtt *et al.*, 2011; Quéré *et al.*, 2018].

In this study, we only analyze output for the period 1982–2017 (matching the observational record) from models providing spatially gridded data for all five simulations. A few models provide LAI at the level of plant functional types (PFTs). We calculate the average value of all LAI values on PFT level multiplied by their land cover fraction for each grid cell. All model outputs were spatially regridded to a common resolution of 1° based on a first-order conservative remapping scheme [Jones, 1999].

The design of factorial simulations in TRENDYv7 and by the MPI-ESM are conceptually different. The MPI-ESM simulations were conducted using the counterfactual approach, *i.e.* all forcings are present except the driver of interest. TRENDYv7 provides simulations with different combinations of drivers as described above. To obtain comparability, we have to make the assumption that the absence of a specific driver has the same effect, in absolute values, as its sole presence. Thus, we process the output of the simulations S1, S2, S3 and S4 to obtain the counterfactual setup as described above for MPI-ESM. This approach neglects possible synergy effects from simultaneously acting forcings. Also, it has to be noted that these simulations are only to some extent comparable between the two ensembles. For instance, in the MPI-ESM we can specifically determine

the impact of the radiative effect of CO₂, whereas TRENDYv7 uses observed atmospheric fields including changes induced from other drivers, such as non-CO₂ greenhouse gases.

250 For certain clusters, some models show unreasonable LAI changes and/or extreme inter-annual variability. To reduce the influence of these extreme models on the overall analysis, we apply a two-step filtering method for each cluster beforehand. Models are excluded from the analysis, if they exceed three times the inter-annual variability of observations and/or show a drastic change (of either sign) of more than 250% between the start and end of the observational period. Further, we apply a weighting scheme based on the performance of the all-forcings run for each cluster. We calculate quartic weights based on the distance between the simulated and observational estimate. These weights are applied when calculating the multi-model
255 average and standard deviations for the factual and counterfactual runs.

2.5 Atmospheric CO₂ concentration

Global monthly means of atmospheric CO₂ concentration are taken from the GLOBALVIEW-CO2 product (for details see <http://dx.doi.org/10.3334/OBSPACK/1002>) provided by the National Oceanic and Atmospheric Administration/Earth System Research Laboratory (NOAA/ESRL).

260 2.6 Processing of the gridded data

Areas of significant change in LAI are estimated using the non-parametric Mann-Kendall test, which detects monotonic trends in time series. In this study, we set the significance level to $p \leq 0.1$. An alternative statistical test for trend detection [Cox-Stuart test; *Sachs, 1997*] yields approximately the same results. The trends are either calculated for time series on the pixel level or for area-weighted large-scale aggregated time series (e.g. biome level).

265 We define greening (browning) either as a positive (negative) temporal trend, or for better comparison among models and observations as well as for a better global comparison across diverse biomes, we express these trends relative to the initial LAI level at the beginning of the observational record (average state from 1982-1984), denoted as Λ (% decade⁻¹).

The calculation of yearly net changes in leaf area balances the effects from both statistically significant browning and greening grid cells. For each cell, we multiply the estimated trends by the respective grid area. The net change is the sum of all
270 grid cells, where areas of insignificant change are set to zero.

Models fairly accurately reproduce global patterns of vegetation greening, however, the fraction of browning is considerably underrepresented. Yet, we can only consider pixels with significant negative trends in LAI, in observations and models alike, and test models with respect to driver attribution of browning trends. Thus, the attribution of browning trends in this paper exclusively refers to browning pixels only.

275 Models reveal biases in comparison to observations. To obtain informative results in the attribution analysis, we process the simulations to match the mean and variance of the observational time-series. Assuming additive and multiplicative biases in simulations, we apply the following corrections:

$$b = \frac{\sigma_o}{\sigma_{af}}, \quad (1)$$

$$a = \bar{x}_o + b \times \bar{x}_{af}, \text{ and} \quad (2)$$

280 $y_i = a + b * x_i$, (3)

where \bar{x}_o represents the mean value and σ_o the standard deviation of the observational times series. \bar{x}_{af} and σ_{af} are analogous to the all-forcings simulations. All simulated time series x_i are scaled using equation 3, where $i \in \Omega = \{\text{factual runs, counterfactual runs}\}$. This processing step does not affect the nature of simulated trends.

285 2.7 Causal Counterfactual Theory

The causal counterfactual approach is anchored in a formal theory of event causation developed in computer science [Pearl, 2009; Marotzke, 2019]. Recently, a framework for driver attribution of long-term trends in the context of climate change has been introduced [Hannart et al., 2016; Hannart and Naveau, 2018], and increasingly gains popularity [Marotzke, 2019]. Through the use of this method we can ascertain the likelihood that a certain external forcing has caused an observed change
290 in the Earth system. More precisely, we address the question of interest in a probabilistic setting, *i.e.* what is the probability that a given forcing (e.g. radiative effect of CO₂) has caused an observed long-term change in the system (e.g. greening of the Arctic).

In the following, we highlight the key ideas and relevant concepts of causal theory. A detailed description and formal derivations can be found in [Pearl, 2009; Hannart et al., 2016; Hannart and Naveau, 2018]. We define the cause event (C)
295 as "presence of a given forcing" (*i.e.* the factual world that occurred) and the complementary event (\bar{C}) as "absence of a given forcing" [*i.e.* the counterfactual world that would have existed in the absence of a given forcing; Hannart and Naveau, 2018]. Further, we define the effect event (E) as the occurrence of a long-term change (here, greening or browning) and the complementary event (\bar{E}) as the non-occurrence of a long-term change (*i.e.* no persistent vegetation changes). In making use of numerical models, we can conduct factual runs comprising all forcings (*i.e.* historical simulations) as well as simulate
300 counterfactual worlds by switching off a forcing of interest (*i.e.* all forcings except one). Based on an ensemble of simulations, either in a multi-model and/or multi-realizations setup, we derive the so-called factual (p_1) and counterfactual probability (p_0), which read $p_1 = P\{E|\text{do}(C)\}$ and $p_0 = P\{E|\text{do}(\bar{C})\}$, respectively [Hannart and Naveau, 2018]. More precisely, p_1 describes

the probability of the event E in the real world where forcing C was present, whereas p_0 refers to the probability of the event E in a hypothetical world where forcing C was absent. The notation $\text{do}(\cdot)$ means that an *experimental intervention* is applied to the system to obtain the probabilities [Hannart and Naveau, 2018].

The three distinct facets of causality can be established based on the probabilities p_1 and p_0 :

$$\text{PN} = \max \left\{ 1 - \frac{p_0}{p_1}, 0 \right\}, \quad (4)$$

$$\text{PS} = \max \left\{ 1 - \frac{1-p_1}{1-p_0}, 0 \right\}, \text{ and} \quad (5)$$

$$\text{PNS} = \max \{p_1 - p_0, 0\}. \quad (6)$$

PN refers to the probability of necessary causation, where the occurrence of E requires that of C but may also require other forcings. PS refers to the probability of sufficient causation, where the occurrence of C drives that of E but may not be required for E to occur. PNS describes the probability of necessary and sufficient causation, where PN and PS both hold [Hannart and Naveau, 2018]. In other words, PNS may be considered as the probability that combines necessity and sufficiency. Thus, the main goal is to establish a high PNS that reflects and communicates evidence for the existence of a causal relationship in a simple manner [Hannart and Naveau, 2018].

To obtain PNS, we follow the methodology described in detail in Hannart and Naveau [Hannart and Naveau, 2018] and derive cumulative distribution functions (CDF) for the factual and counterfactual worlds, denoted D_0 and D_1 , respectively. Assuming a Gaussian distribution, PNS follows as

$$\text{PNS} = \max \{D_1(\mu_1, \Sigma) - D_0(\mu_0, \Sigma)\}, \quad (7)$$

where μ_1 and μ_0 refer to the mean response of all factual and all counterfactual runs, respectively. Σ denotes the overall uncertainty and is estimated based on all simulations, comprising factual, counterfactual, and centuries-long unforced (pre-industrial) model runs [for details see Hannart and Naveau, 2018]. Finally, the maximum of PNS determines the sought probability of causation [Hannart and Naveau, 2018]. We express probabilities using the terminology and framework defined by the IPCC [Mastrandrea et al., 2011; Hannart and Naveau, 2018].

3 Results and Discussion

3.1 Natural vegetation exhibits a net gain of leaf area over the last decades, but the number of browning regions is increasing

More than three and half decades of satellite observations (1982–2017, Section 2.1) reveal that 40% of the Earth’s natural
330 vegetation shows statistically significant positive trends in LAI (Mann-Kendall test, $p < 0.1$; Table 1), concurrent with a 65
ppm increase in atmospheric CO₂. However, more and more browning clusters are beginning to emerge in all continents
(14%; Table 1). Analyzing earlier versions of three shorter duration (1982-2009) LAI datasets, *Zhu et al.* [2016] reported a
considerably smaller browning fraction of less than 4% and greening percentages ranging from 25% to 50% for all vegetation
(*i.e.* including agriculturally dominated regions). The higher browning proportion in the extended record analyzed in this study
335 indicates an intensification of leaf area loss in recent years. [In the following, we take a closer look at different major biomes
and their changes in LAI.](#)

3.2 Earth’s forests respond diversely throughout the satellite era

A global map of statistically significant trends in LAI (denoted Λ , Section 2.6) for natural vegetation reveals greening ($\Lambda > 0$)
and browning ($\Lambda < 0$) clusters across the globe (Figure 1). Temperate forests ($\Lambda > 0$: 56%) and Eurasian boreal forests ($\Lambda > 0$:
340 53%) exhibit extensive regions of increasing LAI, and thereby, contribute the largest fraction to the enhancement of leaf area
on the planet (Table 2). The global belt of tropical forests, on the other hand, while showing a net greening ($\Lambda > 0$: 28%),
also feature widespread browning areas ($\Lambda < 0$: 16%). In particular, the Central African tropical forests contain large areas of
pronounced negative trends ($\Lambda < 0$: 25%). North American boreal forests exhibit the largest fraction of browning vegetation
($\Lambda < 0$: 31%) resulting in an annual net loss of leaf area (Table 1 and 2). The picture of Earth’s forests is generally in line with
345 results based on other data sources. For instance, Song et al. (2018) reported a net gain of global forested area, with net loss in
the tropics compensated by a net gain in the extra-tropics.

3.3 As in forests, other biomes also indicate divergent vegetation responses to rising CO₂

Tundra in North America is primarily greening ($\Lambda > 0$: 46% versus $\Lambda < 0$: 7%), whereas in Eurasia, browning is intensifying
($\Lambda > 0$: 35% versus $\Lambda < 0$: 20%), especially in northern Scandinavia and on the Taymyr Peninsula in Northern Russia. Grass-
350 lands in cool arid climates, mainly comprising the Mongolian and Kazakh Steppe, as well as the Australian shrublands, stand
out as prominent greening clusters ($\Lambda > 0$: 40% and 49%, respectively). Although these biomes show strong positive trends,
they are characterized by a low level of LAI. The African continent, which is still dominated by natural vegetation, reveals a
distinct change in leaf area. A greening band of savannas and grasslands in the northern regions of Sub-Saharan Africa and a
greening cluster in Southern Africa border the browning regions of equatorial Africa (Figure 1). Overall, the response of LAI
355 to rising CO₂ is somewhat homogeneous for some biomes (widespread browning of the tropical forests and dominant greening

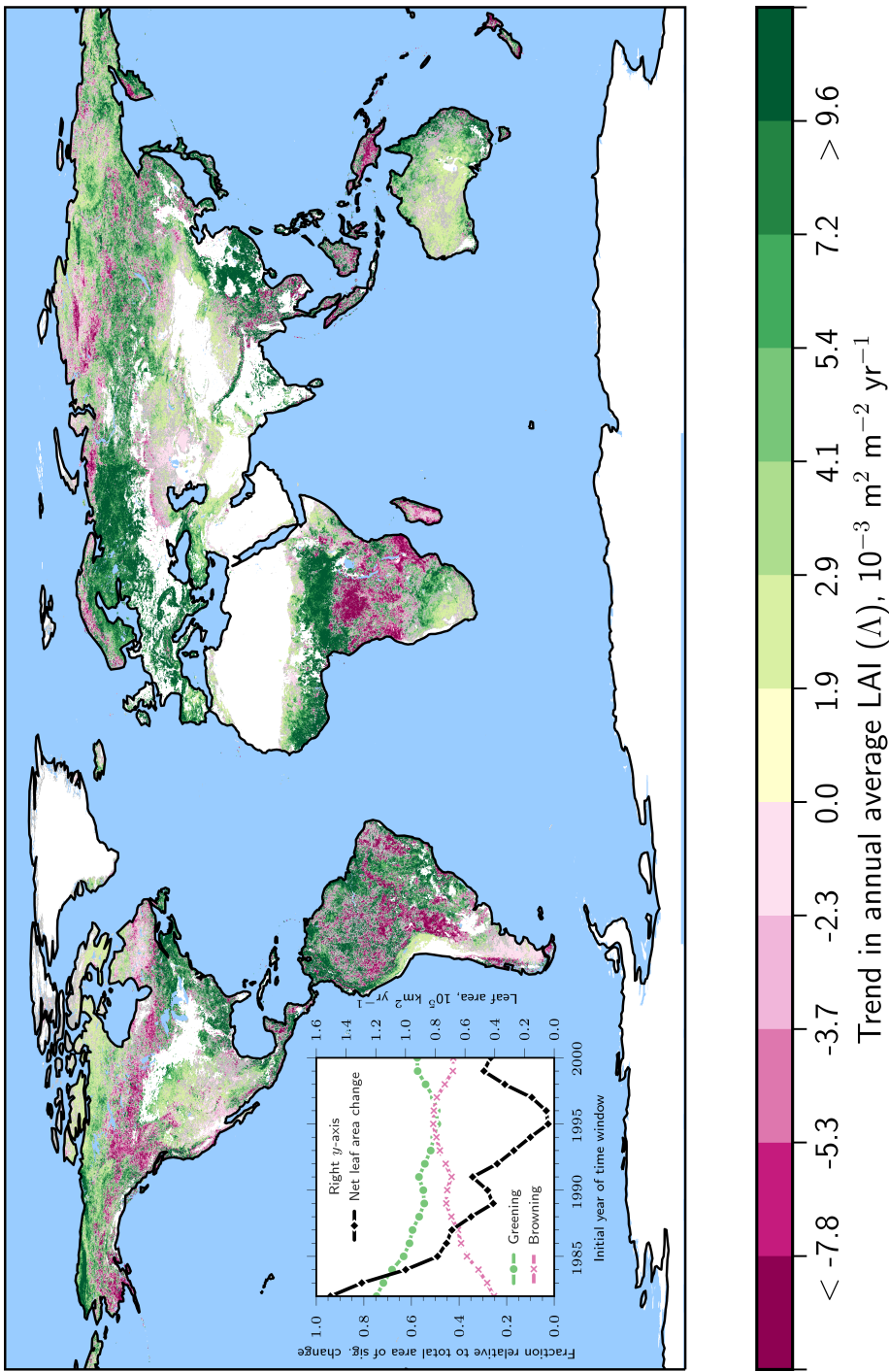


Figure 1. Natural vegetation exhibits patterns of opposing long-term LAI trends with rising CO₂. Global map of statistically significant (Mann-Kendall test, $p < 0.1$) annual average LAI trends (denoted Δ) for the entire period 1982–2017 (GIMMS LAI3g, color-coded). Areas of non-significant change are shown in gray. Anthropogenic vegetation (defined as croplands, Materials and Methods) is masked in white. Other white areas depict ice sheets or barren land. The inset line plot illustrates the change in fraction of positive (green dots) and negative Δ (red crosses) relative to the total area of significant change, and net leaf area change (black squares; right y-axis) for time windows of moving initial year (final year fixed at 2017). The x-axis shows the advancing initial year of the time window.

Table 1. Greening (positive Δ), browning (negative Δ) and non-changing fractions of vegetated area for different biomes and prominent clusters of change for the time period 1982–2017. Significant changes are determined by the means of the Mann-Kendall significance test ($p < 0.1$). The abbreviations used to describe the different clusters are explained in Materials and Methods.

Area	Vegetated Area	Positive Δ Fraction	Negative Δ Fraction	No-Change Fraction
<i>Unit</i>	10^6 km^2	-	-	-
All Vegetation	109.42	0.43	0.13	0.45
Anthro. Vegetation	15.37	0.6	0.07	0.32
Natural Vegetation	94.05	0.4	0.14	0.47
Biomes				
Grasslands	26.77	0.4	0.12	0.48
Tropical Forests	20.32	0.28	0.16	0.55
Boreal Forests	13.69	0.4	0.19	0.41
Temperate Forests	11.2	0.56	0.08	0.36
Shrublands	10.37	0.41	0.1	0.49
Tundra	7.03	0.41	0.14	0.45
Savannas	4.22	0.48	0.13	0.38
Clusters				
Cool Gl	12.32	0.4	0.12	0.48
EA Brl F	8.0	0.53	0.1	0.37
NAm Brl F	5.69	0.23	0.31	0.46
NAf Sv Gl	5.6	0.59	0.06	0.35
CAf Trp F	5.35	0.3	0.25	0.45
SAf Sv Gl	4.6	0.24	0.24	0.52
Aus Sl	4.43	0.49	0.03	0.49
EA Tundra	3.57	0.35	0.2	0.44
NAm Tundra	3.46	0.46	0.07	0.47

of the temperate forests), but divergent for others (tundra and boreal forests show a 'North America – Eurasia' asymmetry, interestingly, in that they show changes of reversed sign; Figure 1).

3.4 Net annual gain of leaf area is declining in natural vegetation

Leaf area loss occurs primarily in densely vegetated biomes (*i.e.* forests), which outweighs leaf area gain in rather sparsely vegetated regions (*e.g.-i.e.* grasslands). For instance, vigorously greening areas of circumpolar tundra result in a leaf area gain

of $8.74 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$, which is almost outbalanced fourfold by a leaf area loss of $34.31 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$ in the browning regions of the tropical forests (Table 2). To assess the responses of different biomes to rising CO_2 in more detail, we iteratively calculate statistically significant LAI trends for different time windows with advancing initial year (*i.e.* 1982, 1983, ..., 2000), but fixed final year (2017). Although the estimated trends become less robust with shorter time series, this analysis allows us to
365 test for weakening or strengthening responses to further rising CO_2 . We see that the fraction of significantly browning regions is increasing over time, reaching a maximum for a time window starting in 1995. The greening fraction evolves in the opposite manner. The estimates are represented as fractions of the total area of significant change, because the latter inherently decreases as a result of the Mann-Kendall test for shorter time windows. Thus, the average annual net leaf area gain of $150.51 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$ for the entire observational period (1982–2017) decreases with advancing initial year, approaching zero for the period
370 1995 to 2017, and rebounding to $\sim 40 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$ for the period 2000 to 2017 (black line in Figure 1 inset). To obtain comparability between different time windows, the net leaf area gain estimates were scaled to the total area of significant change derived for 1982–2017 (unprocessed estimates for period 2000–2017 are listed in Table S2). *Chen et al.* [2019] reported a global greening proportion of $\sim 33\%$ (AVHRR: 21%; Table S2) and a browning proportion of only 5% (AVHRR: 13%; Table S2) analyzing the MODIS record including anthropogenic vegetation (2000–2017). On a global scale, LAI trends from MODIS and
375 AVHRR agree over 61% of the vegetated area [*Chen et al.*, 2019]. ~~Disagreement arises primarily in the~~ [Winkler et al. \[2019b\] analyzed in detail the AVHRR and MODIS LAI trends for different climate zones, vegetation classes, and latitudinal bands and found general agreement between the two satellite-based sensors. Inconsistencies arise mainly in humid](#) tropical regions (~~absence of browning in the Central e.g. absence of intensive browning in central~~ African tropical forests in the MODIS record) and [partially](#) in the northern high latitudes [*Chen et al.*, 2019]. In ~~a recent study, Yuan et al. [2019] presented results comparing various remote sensing datasets of vegetation greenness which are in line with AVHRR-based estimates (also see Figure S3 for~~ [Figure 4 we present a detailed comparison of different remote sensing datasets](#) ~~) at the global scale and we elaborate further on the discrepancies among the estimates in Section 3.8 [for a similar analysis, also refer to Yuan et al., 2019].~~

3.5 High LAI regions are browning and low LAI regions are greening

The intensification of browning during the second half of the AVHRR observational period (2000–2017) results in a reversal
385 of the sign in terms of net leaf area change in some biomes (*e.g.* tropical forests, North American boreal forests, and Eurasian tundra; Table S3). Critically, the tropical forests display the sharpest transition from a substantial net gain of $24.11 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$ (Table 2) to a comparably strong net loss of leaf area ($-18.42 \times 10^3 \text{ km}^2 \text{ yr}^{-1}$; Table S3). To address the temporal development of positive and negative changes in leaf area in more detail, we calculate time series of area-weighted averages of LAI (Figure 2a). We find that browning of natural vegetation occurs at a considerably higher level of LAI (on average ~ 1.85)
390 than greening (on average ~ 1.32). Throughout the observational period, these two time series of opposite trends converge towards a LAI of 1.6 (Figure 2a). This convergence of greening and browning is not only evident in terms of their LAI level (Figure 2a), but also in their proportions (inset in Figure 1). The time series of anthropogenic vegetation on the other hand, aggregated for positive and negative Δ separately, are both confined to a comparable low LAI level (on average between 1 and 1.25). We next investigate the global LAI distributions of negative and positive changes and their development over time.

395 Comparing distributions of the earlier (1982–1984) with those of the more recent years (2015–2017) reveals that browning
primarily occurs at a high (5–6) and a medium level of LAI (1–2.5; Figure 2b). Greening, however, is occurring almost entirely
at low levels of LAI between 0–1.5. As a consequence, the global area-weighted averages of the browning and greening regions
are approaching one another (dashed versus solid vertical lines in Figure 2b), as also depicted by the time series (Figure 2a).
Overall, these results suggest a homogenization of Earth’s natural vegetation in terms of LAI texture with rising CO₂. This
400 homogenization becomes prominent when we compare the distributions of negative and positive Δ over time using a Q-Q
plot (quantile-quantile; Figure 2c). The relationship between the quantiles is skewed to the left at higher LAI (positive Δ on
x-axis, negative Δ on y-axis), because browning is prevalent in high LAI regions. Over time, the quantiles of the greening and
browning distributions are approaching the 1-1 line (representing identical distributions), emphasizing their convergence.

3.6 The majority of models reproduce the observed convergence of greening and browning trends

405 Thus far, we have described the diverse long-term changes of natural vegetation across all continents and throughout the
satellite era. We next investigate the underlying mechanisms driving these greening and browning trends and use the fully-
coupled MPI-ESM and the TRENDYv7 ensemble of observation-driven LSMs (Section 2.3 and 2.4). First, we ask if these
models capture the observed behavior of natural vegetation under rising CO₂. MPI-ESM reproduces the observed browning of
high LAI and the greening of low LAI regions, however, the levels of LAI do not match the observations (Figure S2). Historical
410 simulations of TRENDYv7 (here 13 models) also show pronounced changes in vegetation, but exhibit a diverse behavior among
the models (results not shown for brevity). Seven LSMs reproduce observed converging trends of greening and browning,
whereas the other six models show divergent trends. All TRENDYv7 models are driven with identical atmospheric forcing
fields, hence, these six models most likely lack or incorrectly represent key processes of ecosystem functioning. In general,
simulated greening patterns are comparable to observations [Murray-Tortarolo *et al.*, 2013; Sitch *et al.*, 2015; Mahowald *et al.*,
415 2016], but browning, especially in the North American boreal forests, is underestimated [Sitch *et al.*, 2015].

3.7 At the In an one-dimensional global scale perspective, models point to suggest the physiological effect of CO₂ as the main driver of greening

Hereafter, we use changes in annual average LAI relative to the baseline period 1982–1984 (Section 2.6) for better comparability
between biomes, various simulations, and the observed signal. Time series of relative LAI changes from historical
420 simulations (multi-model average for TRENDYv7 and multi-realizations average for MPI-ESM) are comparable to observa-
tions at the global scale (Figure 3a and 3b; temporal correlations are low due to high internal variability of the signal).

We use the framework of Counterfactual Causal Theory to attribute changes in LAI to a given driver in a probabilistic
setting [Pearl, 2009; Hannart *et al.*, 2016; Hannart and Naveau, 2018]. Based on the Note that the causal relationships in
this approach are determined based on the predictions of the models for the all-forcings (also termed-referred to as factual)
425 and factorial runs (also termed-referred to as counterfactual), we derive P and therefore the causality results may reflect biases
or misrepresentations in the models. Based on the factual and counterfactual runs, we derive P Probabilities of causation that
combines A Necessity and S Necessity and S Sufficiency of each factor (PNS). At the global scale; see Section 2.7 for details).

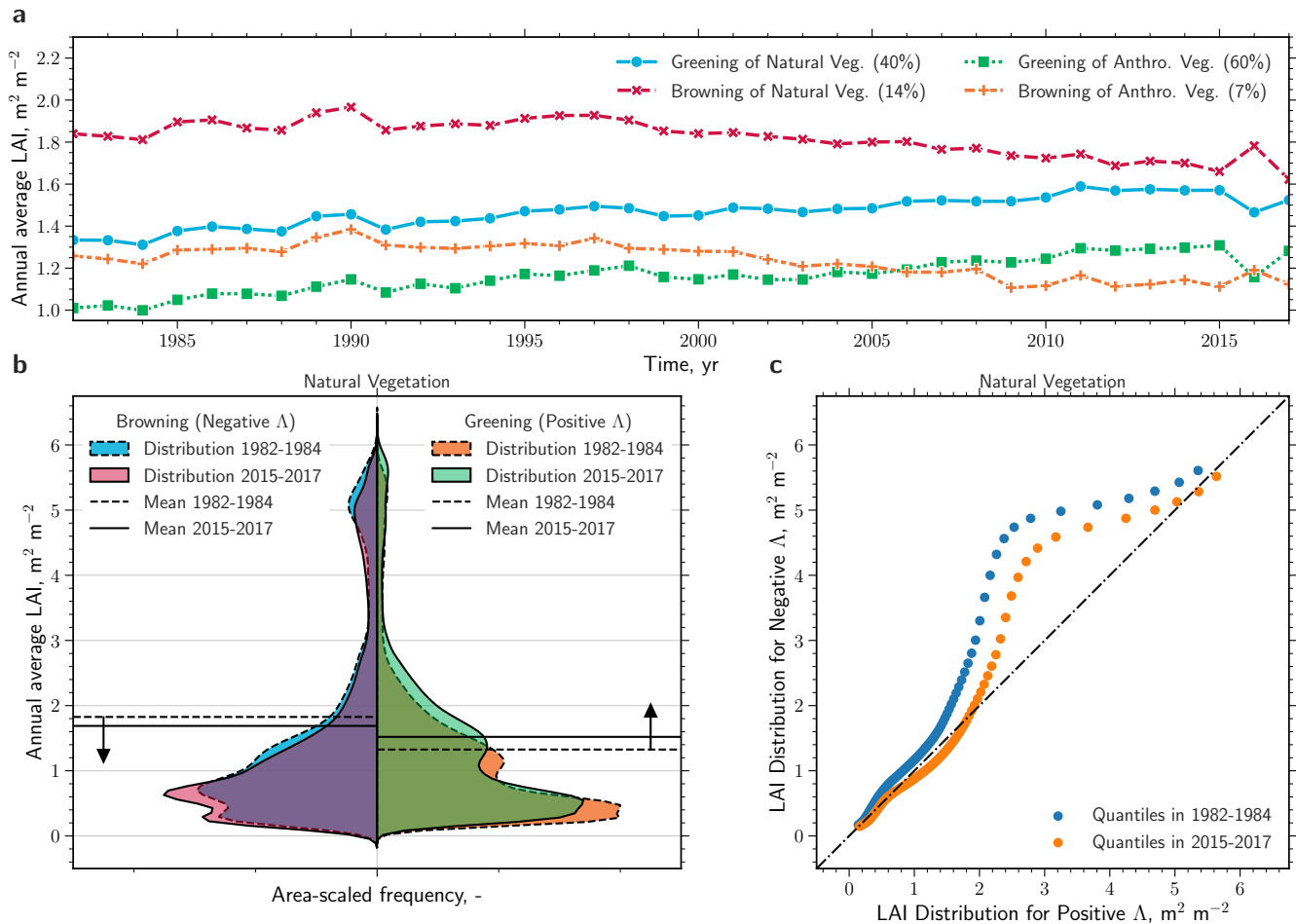


Figure 2. Observed homogenization of the global natural vegetation. **a** Time series of the area-weighted annual average LAI (GIMMS LAI3g, 1982–2017) of natural and anthropogenic vegetation for regions of positive (greening) and negative trends (browning). Only regions exhibiting significant trends are considered (Mann-Kendall significance test, $p < 0.1$) and are referred to as Δ . The percentages in brackets in the legend represent the respective proportions with respect to the total area. **b** Violin plot comparison of probability density functions (PDF, Gaussian kernel density estimation; all PDFs scaled to contain the same area) of LAI distributions of natural vegetation for negative (left) and positive Δ (right), and in time, 1982-1984 (dashed) versus 2015-2017 (solid). The horizontal lines represent the mean values for the respective period. **c** Q-Q (quantile-quantile) plot comparing the distributions of LAI for negative (x -axis) and positive Δ (y -axis) and their change over time, 1982-1984 (blue dots) versus 2015-2017 (orange dots).

When aggregated to area-weighted global averages (i.e. Earth greening trend), the observed estimate ($\sim 1.08 \text{ \% decade}^{-1}$) and the factual MPI-ESM estimate ($\sim 1.14 \text{ \% decade}^{-1}$) are comparable, whereas the multi-model average of the TRENDYv7 ensemble is an overestimate ($\sim 1.79 \text{ \% decade}^{-1}$; Figure 3c). Omitting CO_2 -induced climate change (no radiative effect of CO_2 , No RE) does not have a strong effect in the MPI-ESM ($\sim 1.04 \text{ \% decade}^{-1}$), i.e. the estimate does not differ considerably

430

Table 2. Leaf area gain, loss, and net change for different biomes and prominent clusters of change for the time period 1982–2017. Significant changes are determined by the means of the Mann-Kendall significance test ($p < 0.1$). The abbreviations used to describe the different clusters are explained in Materials and Methods.

Leaf Area	Leaf Area Gain	Leaf Area Loss	Net Leaf Area Change
<i>Unit</i>	$10^3 \text{ km}^2 \text{ yr}^{-1}$	$10^3 \text{ km}^2 \text{ yr}^{-1}$	$10^3 \text{ km}^2 \text{ yr}^{-1}$
All Vegetation	296.87	-85.71	211.16
Anthro. Vegetation	67.12	-6.47	60.65
Natural Vegetation	229.75	-79.24	150.51
Biomes			
Grasslands	48.01	-12.51	35.50
Tropical Forests	58.42	-34.31	24.11
Boreal Forests	32.11	-14.45	17.66
Temperate Forests	53.32	-7.45	45.87
Shrublands	10.9	-2.4	8.50
Tundra	8.74	-3.69	5.05
Savannas	17.99	-4.21	13.78
Clusters			
Cool Gl	15.06	-3.75	11.31
EA Brl F	25.93	-4.26	21.67
NAm Brl F	6.18	-10.18	-4.00
NAf Sv Gl	23.42	-0.98	22.44
CAf Trp F	16.76	-13.76	3.00
SAf Sv Gl	5.51	-6.76	-1.25
Aus Sl	4.48	-0.16	4.32
EA Tundra	3.96	-3.04	0.92
NAm Tundra	4.78	-0.64	4.14

435 from the factual run. The TRENDYv7 models indicate that the positive trend in LAI can be explained by climate change to some extent ($\sim 1.21 \text{ \% decade}^{-1}$). However, PNS values are low the PNS values for the radiative effect of CO_2 (Figure are generally rather low (Fig. 3d), implying that the probability of the radiative effect of CO_2 acting as a sufficient and necessary causal driver of the globally aggregated LAI trend signal is rather low. The opposite is the case, when the physiological effect of CO_2 (No PE) is excluded. Both model setups agree that almost no positive trend in LAI is present in a world without the CO_2 fertilization effect (MPI-ESM: $\sim 0.18 \text{ \% decade}^{-1}$, TRENDYv7: $\sim 0.08 \text{ \% decade}^{-1}$; both estimates are lower than internal variability of $\sim 0.49 \text{ \% decade}^{-1}$). Note that the term variability here refers to a broader concept of variability that

includes several components, such as climate variability, inter-model variability, and, if applicable, variability in observations, adapted from the approach introduced by Hannart and Naveau [2018].

As a consequence, high PNS can be established: The physiological effect of CO₂ has in the case of MPI-ESM *likely* (68%) and in the case of TRENDYv7 *very likely* (91%) caused the positive trend of global LAI in recent decades (Figure 3d). This result is in line with Zhu *et al.* [2016] who reported that 70% of global greening is attributable to CO₂ fertilization. Removing both effects of CO₂ results in slight negative trends, probably due to land use practices (e.g., deforestation; Figure 3c).

Global driver attribution of changing natural vegetation for the entire period versus the second half of the observational record. **a** Time-series of the area-weighted annual average LAI (GIMMS LAI3g, 1982–2017) for regions of positive (blue dotted line) and negative sensitivity (red dashed line) to rising atmospheric CO₂ concentration (A) of natural vegetation. Black solid line represents the overall signal of all pixels. The percentages in brackets in the legend represent the greening and browning proportions with respect to the total area. **b** Time-series of changes in LAI relative to the average state from 1982–1984, comparing observations (black solid line) with historical simulations, where the green dashed line denotes the ensemble mean of 13 offline-driven land surface models (TRENDYv7, Data and Methods), and the purple dotted line denotes the average of an ensemble of multi-realizations with a fully-coupled Earth system model (MPI-ESM, Data and Methods). The colored shading represents the 95% confidence interval estimated by bootstrapping. The correlation coefficients (including significance level) of the observed and simulated time-series are displayed in brackets in the legend. **c** Bar chart showing relative trends in LAI (in % yr⁻¹) of the total observed signal (black) and for factual (all historical forcings; ALL) as well as for counterfactual simulations, i.e. no historical CO₂ forcing (No CO₂), all historical forcings except the physiological effect (No PE) or the radiative effect (No RE) of atmospheric CO₂, as estimated by TRENDYv7 (green) and MPI-ESM (purple). The yellow bar represents internal variability (IV) derived from all simulations (control, factual and counterfactual). **d** Probabilities of necessary and sufficient causation (PNS) of the change in LAI, comparing the physiological (PE) and radiative effect (RE) of CO₂ as well as their combined effect (Both). **e** as in **c** but for the period 2000–2017. **f** as in **d** but for the period 2000–2017.

3.8 The global signal switches to a minor negative trend in the second half of the observational period

Natural vegetation shows a slight negative trend for the period 2000–2017 (~ -0.4 % decade⁻¹; Figure 3e). This estimate is within the range of internal variability, and thus, should be interpreted with caution. Note, that the net change in leaf area is still positive when considering only significantly changing pixels (inset in Figure 1). To provide confidence in this result, we analyze ~~two~~ three additional remote sensing datasets for LAI (MODIS-LAI, GLASS-LAI and GLOBMAP-LAI) as well as for the normalized difference vegetation index (LTDR-NDVI) and for the fraction of absorbed photosynthetic active radiation (NCEI-FAPAR), both proxies for leaf area changes (see Section 2.1 for details). We calculate time series of changes relative to the average baseline value from 1982–1984 to obtain comparability between the conceptually different estimates for changes in natural vegetation (Figure S3a-e4a-c). Next, we compare the trends for the entire observational period (1982–2017/2018, Figure S3d4d) with trends of the more recent past (2000–2018, Figure S3e4e). Three of the additional four long-term datasets show a weakening of vegetation greening for the second half of the observational period in accordance with the GIMMS LAI3g dataset (GLASS-LAI and especially LTDR-NDVI also show a reversal in the sign to a negative trend). In contrast, the dataset

GLOBMAP-LAI depicts a substantial strengthening of the positive trend in LAI for the recent decades. However, the dataset also shows a suspicious jump in the year 2001, which could be an artifact related to problems in the fusion of the AVHRR and MODIS data Piao et al. (2019) [Piao et al., 2019]. Furthermore, GLOBMAP-LAI generally shows the largest discrepancy among all datasets when compared to ground measurements Xiao et al. (2017) (Xiao et al., 2017). The more short-term record of MODIS-LAI depicts a stable moderate greening trend for the time-span of 2000-2019. Since the MODIS record cannot provide any information on the state of the vegetation in the 1980s and 1990s, we cannot assess whether MODIS would also depict a slow-down of the overall greening trend over this time-period. Note that the comparability of relative trends between the long-term remote sensing products (baseline period 1982-1984) and short-term MODIS-LAI (baseline period 2000-2002) is limited. Overall, the analyses of the different remote sensing datasets support to a large extent the findings drawn from the GIMMS LAI3g dataset.

~~Models reproduce this-~~

Models reproduce the flattening of the trend and even the reversal in the sign only when the physiological effect of CO₂ is excluded or with a complete absence of CO₂ forcing (Figure 3e). A recent study by Wang et al. [2020] suggests that global CO₂ fertilization has declined in recent years by analyzing various observational datasets and highlights that land-surface models are not reproducing this development, mainly due to the under-representation of nutrient limitation. While these results are consistent with ours, we are not convinced that one can infer a decline or saturation of the CO₂ fertilization effect from these observational datasets. Rather, we argue that countervailing effects associated with the radiative effect of increasing CO₂ (climatic changes, e.g., increase in atmospheric dryness and changes in water availability), as discussed below in more detail, become more pronounced and increasingly reduce vegetation productivity.

Overall, driver attribution at the global scale, as described above, and also in Zhu et al. [2016], neglects the heterogeneity of natural vegetation and the possibility that divergent responses of different natural biomes might cancel out. To account for this omission, we identify eleven clusters of significant change and derive probabilities of causation for each driver across different vegetation types (Figure 45).

3.9 Temperate forests prosper with rising CO₂ while tropical forests are increasingly under stress

Forests in temperate climates exhibit a strong positive trend in LAI (~ 2.53 % decade⁻¹), which is also seen in the models, albeit slightly overestimated (MPI-ESM: ~ 3.18 % decade⁻¹, TRENDYv7: ~ 2.69 % decade⁻¹; Figure S4S3). The physiological effect of CO₂ is the main driver with high PNS (85% for MPI-ESM, 80% for TRENDYv7; Figure 45). The trends are slightly ~~weaken~~ weaker when only analyzing the second half of the observational period, but the overall result does not change. Observed warming might have additionally contributed to enhanced vegetation growth [e.g. growing season extension; Piao et al., 2011; Park et al., 2016], however, it is not identified as an important driver by models. Most temperate forests are in developed countries, and thus, have been managed in a sustainable manner for several decades [Currie and Bergen, 2008]. It is conceivable, that some of the positive trends in LAI could be attributed to forest management or regrowing forests [Pugh et al., 2019], however, this is not captured by the models (*i.e.* trends are negative when complete CO₂ forcing is absent; Figure S4S3).

The response of tropical forests to rising CO₂ is more complex. The signal over the entire observational period is slightly positive ($\sim 0.3 \text{ \% decade}^{-1}$), however, it is within the range of internal variability. Therefore, no robust driver attribution is possible (Figure 4 and Figure S55 and Figure S4). TRENDYv7 models show strongly opposing responses of LAI to the different effects of CO₂: LAI decreases when the physiological effect is omitted, but increases when the radiative effect is omitted. MPI-ESM shows qualitatively the same responses, but less pronounced (Figure S5S4). For the second half of the satellite record, the observed trend switches sign to a strong negative trend ($\sim -1.4 \text{ \% decade}^{-1}$). The models reproduce this tendency, but the multi-model average of the TRENDYv7 ensemble is still positive. During the same time period, the opposing reactions to CO₂ in the factorial runs are more strongly marked (Figure S5S4). These results suggest that browning caused by CO₂-induced climate change is compensated by greening affiliated with the CO₂ fertilization effect at the biome level. Based on these findings, we hypothesize that the physiological effect of CO₂ is strong in models and outbalances the negative effect of climate change in the tropical forests [Kolby Smith et al., 2016]. As a consequence, the all-forcings simulations fail to reproduce the observed patterns of strengthening vegetation browning in the tropics [Zhou et al., 2014; Song et al., 2018]; for reasons discussed below. Because of the demonstrated limited predictive power of the models in simulating the vegetation response to climatic changes, we also rely more heavily on the published literature in the following discussion of our results.

3.10 Droughts and intensification of the dry season in the Amazon basin

The Amazonian tropical forests are being frequently afflicted by severe droughts. During the satellite era most of these droughts were strongly modulated by the El Niño Southern Oscillation (ENSO). For example, the droughts of 1982-83, 1987 and 1991–92 [Asner and Alencar, 2010; Anderson et al., 2018], 1997 [Williamson et al., 2000], and 2015-16 [Jiménez-Muñoz, 2016]. The causes of the droughts in 2005 and 2010, however, were not related to ENSO, but rather to a warm anomaly in sea surface temperatures in the tropical North Atlantic [Marengo et al., 2008, 2011; Xu et al., 2011]. Whereas the ENSO-driven droughts peak in northern hemispheric winter, thus during the wet season, the non-ENSO droughts happened during the dry season (July – September), when tropical ecosystems are more vulnerable to negative rainfall anomalies.

These intense and frequent droughts have diverse impacts on tropical ecosystems [Bonal et al., 2016], the most prominent being an increase in wildfires and tree mortality. Recently, perennial legacy effects have been identified which lead to persistent biomass loss in the aftermath of severe droughts [Saatchi et al., 2013; Yang et al., 2018]. For instance, some regions were still recovering from the impact of the megadrought of 2005 when the next major drought began in 2010 [Saatchi et al., 2013]. Maeda et al. [2015] found that these extreme events are also capable of disrupting hydrological mechanisms, which can lead to long-lasting changes in the structure of Amazonian ecosystems. Such droughts and associated wildfires are predicted to increase in frequency [Cai et al., 2014] and intensity [Fasullo et al., 2018] as a consequence of the ENSO-related amplification of heat waves, but also due to the projected warming of the tropical North Atlantic [Munday and Washington, 2019].

In addition to these episodic disturbances, long-term changes in climate also affected the tropical forests in the Amazon region. Rising surface air temperatures have considerably increased atmospheric water vapor pressure deficit (VPD), which has a negative effect on vegetation growth [Yuan et al., 2019]. Moreover, we find that precipitation has steadily decreased during the dry season (July – September, Figure S6 and S7S5 and S6) based on the latest version of the ECMWF reanalysis

for the last forty years [ERA5; *Dee et al.*, 2011]. This rainfall deficit and the identified lengthening of the dry season [*Fu et al.*, 2013] exacerbate vegetation water stress during dry seasons and favor conditions for wildfires. The slight increasing trend in wet season precipitation (February – April) most likely cannot compensate for the water loss and its impact during the dry season (Figure S6S5). Overall, the intensification of the dry season and the recurring droughts cause long-term browning trends [*Xu et al.*, 2011], in line with our results of intensified browning of Amazonian forests (Figure S7S6).

3.11 Drying trend in central African humid forests

African tropical forests have been experiencing a long-term drying trend since the 1970s [*Malhi and Wright*, 2004; *Asefi-Najafabady and Saatchi*, 2013; *Zhou et al.*, 2014]. In contrast to South America, the steady decline in rainfall is seen during both dry and wet seasons (Figure S6S5). The origin of this decreasing trend in year-round rainfall is still under debate. Precipitation in equatorial Africa is expected to increase under climate change [*Weber et al.*, 2018], so it is hypothesized that this trend is associated with the Atlantic Multidecadal Oscillation and/or changes in the West African Monsoon system [*Asefi-Najafabady and Saatchi*, 2013]. Long-term drying in rainforests could also be connected to the physiological effect of rising CO₂. Recently, it has been demonstrated that the reduction in stomatal conductance and transpiration induces a drier, warmer, and deeper boundary layer, resulting in a decline in local rainfall [*Langenbrunner et al.*, 2019]. Regardless of what the causes may be, this long-term water deficiency most likely has led to the most pronounced cluster of vegetation browning in Earth's tropical forests (~ 174 × 10³ km² net loss of leaf area in the time period of 2000–2017). No robust attribution is possible with the set of models analyzed in this study, since they fail to capture this substantial decrease in leaf area in the all forcing runs (Figure S8S7). In the case of the TRENDYv7 models, this finding is particularly noteworthy as they are driven with observed precipitation estimateschanges: The spatial patterns of negative trends in LAI and dry season precipitation in the Central African tropical forests coincide to a large extent (Figure S6S5).

Interestingly, the MODIS record does not exhibit this browning cluster [*Chen et al.*, 2019], though it has been reported in other independent observational datasets [*Zhou et al.*, 2014]. Also, atmospheric CO₂ inversion studies have identified negative trends in carbon uptake for this region [*Fernández-Martínez*, 2019], which corroborates our results based on the LAI3g dataset.

3.12 Tropical forests in Oceania are afflicted by deforestation

Although we exclude anthropogenic land cover direct anthropogenic land cover changes (Figure S1, Table S1) as well as abrupt changes (Mann-Kendall test for monotonic trends, Section 2.6), the LAI trend maps nevertheless show characteristic deforestation patterns, e.g. the so-called "arc of deforestation" in the Amazon region [Figure S7S6; *Aldrich et al.*, 2012]. Hence, deforestation practices may explain some part of the observed gradual browning of the Amazon [*Song et al.*, 2015] and African tropical forests [*Mayaux et al.*, 2013; *Tyukavina et al.*, 2018].

In Oceania, however, deforestation appears to be a crucial driver of the observed browning in the pristine tropical forests. Significant negative trends align strongly with patterns of drastic deforestation during recent decades, described in detail by *Stibig et al.* [2014]. As opposed to Central Africa and the Amazon region, climate changes are unlikely to be the key driver

of browning regions in Oceania. There, precipitation, although highly variable in the dry season, appears to increase (Figure S6S5) and the increase in VPD is rather minor [Yuan et al., 2019](#) in tropical forests [[Yuan et al., 2019](#)].

575 3.13 Climate change drives an asymmetrical development of North American and Eurasian ecosystems

The boreal forests show strong positive trends in Eurasia (Observations: $\sim 2.69\%$ decade⁻¹, MPI-ESM: $\sim 3.48\%$ decade⁻¹, and TRENDYv7: $\sim 2.08\%$ decade⁻¹), which can mostly be attributed to amplified warming of the temperature-limited northern high latitudes (PNS = 71% for TRENDYv7, PNS = 44% for MPI-ESM; Figure S9S8). North American boreal forests exhibit a negative response to [the effects of](#) rising CO₂, which has amplified over the last two decades ($\sim -0.95\%$ decade⁻¹, 580 2000–2017). Models do not reproduce the dominant browning pattern (Figure S10S9), which is most likely connected to inadequate representation of disturbances [[Sitch et al., 2015](#)]. Several studies have proposed that browning has occurred as consequence of droughts, wildfire, and insect outbreaks in the North American boreal forests [[Goetz et al., 2005](#); [Sitch et al., 2015](#); [Beck and Goetz, 2011](#); [Kurz et al., 2008](#)]. [Macias Fauria and Johnson \[2008\]](#) showed that the frequency of wildfires is strongly related to the dynamics of large-scale climatic patterns (Pacific Decadal Oscillation, El Niño Southern Oscillation, 585 and Arctic Oscillation) and thus, cannot be tied conclusively to anthropogenic climate change. However, there is also evidence that the residing tree species suffer from drought stress induced by higher evaporative demand as the temperature rises [[Verbilya, 2011](#)]. Moreover, models lack a representation of the asymmetry in tree species distribution between North America and Eurasia, which could explain their divergent reactions to changes in key environmental variables [[Abis and Brovkin, 2017](#)]. Further observational evidence for the browning of North American boreal forests and the associated decline in net ecosystem 590 productivity can also be inferred from CO₂ inversion products [[Fernández-Martínez, 2019](#); [Bastos et al., 2019](#)].

Tundra ecosystems also reveal a dipole-type development between North America and Eurasia, however with a reversed sign. Hence, North American tundra is strongly greening (Observations: $\sim 4.23\%$ decade⁻¹, MPI-ESM: $\sim 4\%$ decade⁻¹, and TRENDYv7: $\sim 4.51\%$ decade⁻¹), which is *virtually certain* (PNS = 99% for TRENDYv7) and *about likely as not* (PNS = 51% for MPI-ESM) caused by warming (Figure S11S10). The trend decreases for the period 2000–2017, which could be 595 linked to the warming hiatus in the years 1998–2012 [[Bhatt et al., 2013](#); [Ballantyne et al., 2017](#); [Hedemann et al., 2017](#)]. This is in line with the observed slow down in tundra greening due to short-term cooling after volcanic eruptions [[Lucht et al., 2002](#)].

Eurasian tundra show a positive trend for the years 1982–2017, but a reversal in trend sign for the years 2000–2017 (Figure S12S11). Models exhibit some evidence of a strengthening browning signal, but fail to capture the full extent of the emerging browning clusters seen in observations. If we only consider the grid cells that show significant browning in observations and 600 models, we are able to conduct a robust driver attribution. According to the TRENDYv7 ensemble, the browning cluster in Eurasian tundra can *very likely* be attributed to CO₂ induced climate change (PNS = 93%, PNS = 47% for MPI-ESM). These results are in line with studies showing that tundra ecosystems are susceptible to warm spells during growing season [[Phoenix and Bjerke, 2016](#)] and to frequent droughts [[Beck and Goetz, 2011](#)]. The asymmetry between Eurasia and North America can be explained by changes in large-scale [atmospheric](#) circulation. Eurasia is cooling through increased summer cloud cover, 605 whereas North America is warming through more cloudless skies [[Bhatt et al., 2013, 2014](#)]. Also linkages between regional

Arctic sea ice retreat, subsequent increasing ice-free waters, and regional Arctic vegetation dynamics have been postulated [Bhatt *et al.*, 2014].

3.14 Vegetation in arid climates is greening, except in South America

Non-forested greening clusters beyond the high northern latitudes coincide with semi-arid to arid climates [Park *et al.*, 2018].
610 The Northern Sub-Saharan African savannas and grasslands greened extensively in recent decades ($\sim 4.63\%$ decade⁻¹; Figure S13S12), which is reproduced by the observation-driven TRENDYv7 models ($\sim 4.55\%$ decade⁻¹), and is likely caused by climatic changes (PNS = 68%). No robust attribution is feasible based on the MPI-ESM simulations. However, it is noteworthy, that the fully-coupled Earth system model points to climate change as having a negative effect in these regions, thus, not reproducing the observed increase in rainfall (Figure S13S12). This provides evidence for the hypothesis that African precipi-
615 tation anomalies are not induced by rising CO₂, but rather follow a multidecadal internal climatic mode [Asefi-Najafabady and Saatchi, 2013].

Internal variability in LAI changes is strong in the Southern African grasslands and savannas, and thus, no robust long-term change can be identified (Figure S14S13). It has been shown that shrublands in the more southern regions are greening in response to increased rainfall [Fensholt and Rasmussen, 2011]. In general, the literature suggests that greening and browning
620 patterns in arid climates are mainly driven by precipitation anomalies [Fensholt and Rasmussen, 2011; Fensholt *et al.*, 2012; Gu *et al.*, 2016; Adler *et al.*, 2017]. Close resemblance arises when comparing the spatial patterns of precipitation trends throughout the satellite era [Adler *et al.*, 2017] with significant changes in vegetation in arid environments, especially so in the African continent. Decreased rainfall in arid South America coincides with strong browning clusters [Fensholt *et al.*, 2012]. This is in disagreement with the expected strong manifestation of CO₂ fertilization in water-limited environments [Ukkola
625 *et al.*, 2016].

Australian Shrublands show a persistent positive LAI trend ($\sim 3.84\%$ decade⁻¹), intermittently perturbed by climatic extreme events (~~e.g. strong anomalous rainfall with subsequent extensive vegetation greening in 2011, Figure S15; Poulter *et al.*, 2014~~)
(e.g. strong anomalous rainfall with subsequent extensive vegetation greening in 2011, Figure S14; Poulter *et al.*, 2014). Models reproduce the steady greening of Australia, but no robust driver attribution is feasible due to strong internal variability.
630 However, both model setups point to the physiological effect of CO₂ as the dominant driver (Figure S15S14). These results are congruent with recent studies [Donohue *et al.*, 2009; Ukkola *et al.*, 2016] that show CO₂ fertilization enhanced vegetation growth by lowering the water limitation threshold.

Grasslands in the cool arid climates exhibit persistent positive trends ($\sim 2.03\%$ decade⁻¹, Figure S16S15). Simulated estimates are in the range of the observations (MPI-ESM: $\sim 2.33\%$ decade⁻¹ and TRENDYv7: $\sim 1.81\%$ decade⁻¹). Our
635 analysis suggests that the positive response of cool arid grasslands to rising CO₂ can be explained by the physiological effect of CO₂ (PNS = 85% for TRENDYv7, PNS = 88% for MPI-ESM). These ecosystems are dominated by C₃-type plants [Still *et al.*, 2003], which are susceptible to CO₂ fertilization [Sage *et al.*, 2012], thus, consistent with our results. In the warm arid areas, C₄-type grasses dominate [Still *et al.*, 2003], which are less sensitive to the physiological effects of CO₂ [Sage *et al.*,

2012]. As discussed above, vegetation changes there are mostly driven by precipitation anomalies, although CO₂ fertilization
640 might also contribute to a limited extent [*Sage et al.*, 2012].

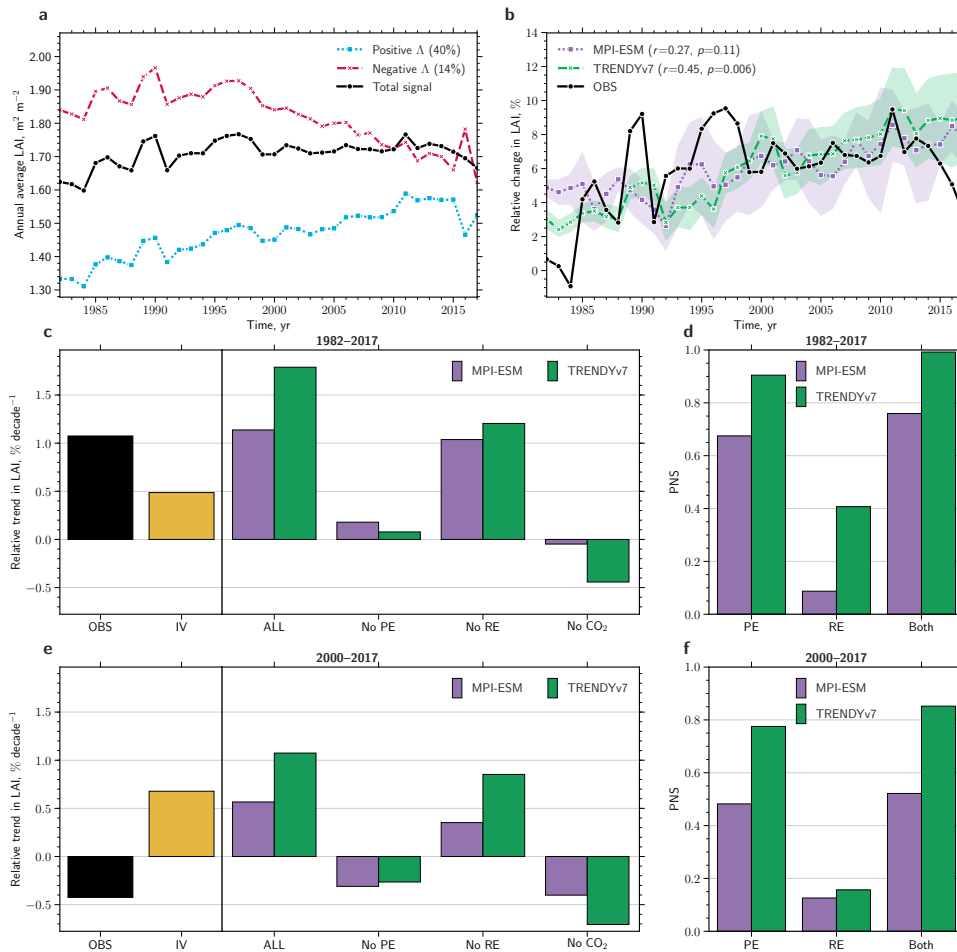


Figure 3. Driver attribution of changing natural vegetation at the global scale: neglecting ecosystem heterogeneity could lead to misleading results. **a** Time series of the area-weighted annual average LAI (GIMMS LAI3g, 1982–2017) for regions of positive (blue dotted line) and negative sensitivity (red dashed line) to rising atmospheric CO₂ concentration (Δ) of natural vegetation. Black solid line represents the overall signal of all pixels. The percentages in brackets in the legend represent the greening and browning proportions with respect to the total area. **b** Time series of changes in LAI relative to the average state from 1982–1984, comparing observations (black solid line) with historical simulations, where the green dashed line denotes the ensemble mean of 13 offline-driven land surface models (TRENDYv7, Data and Methods), and the purple dotted line denotes the average of an ensemble of multi-realizations with a fully-coupled Earth system model (MPI-ESM, Data and Methods). The colored shading represents the 95% confidence interval estimated by bootstrapping. The correlation coefficients (including significance level) of the observed and simulated time series are displayed in brackets in the legend. **c** Bar chart showing relative trends in LAI (in % yr⁻¹) of the total observed signal (black) and for factual (all historical forcings; ALL) as well as for counterfactual simulations, i.e. no historical CO₂ forcing (No CO₂), all historical forcings except the physiological effect (No PE) or the radiative effect (No RE) of atmospheric CO₂, as estimated by TRENDYv7 (green) and MPI-ESM (purple). The yellow bar represents internal variability (IV) derived from all simulations (control, factual and counterfactual). **d** Probabilities of necessary and sufficient causation (PNS) of the change in LAI, comparing the physiological (PE) and radiative effect (RE) of CO₂ as well as their combined effect (Both). **e** as in **c** but for the period 2000–2017. **f** as in **d** but for the period 2000–2017.

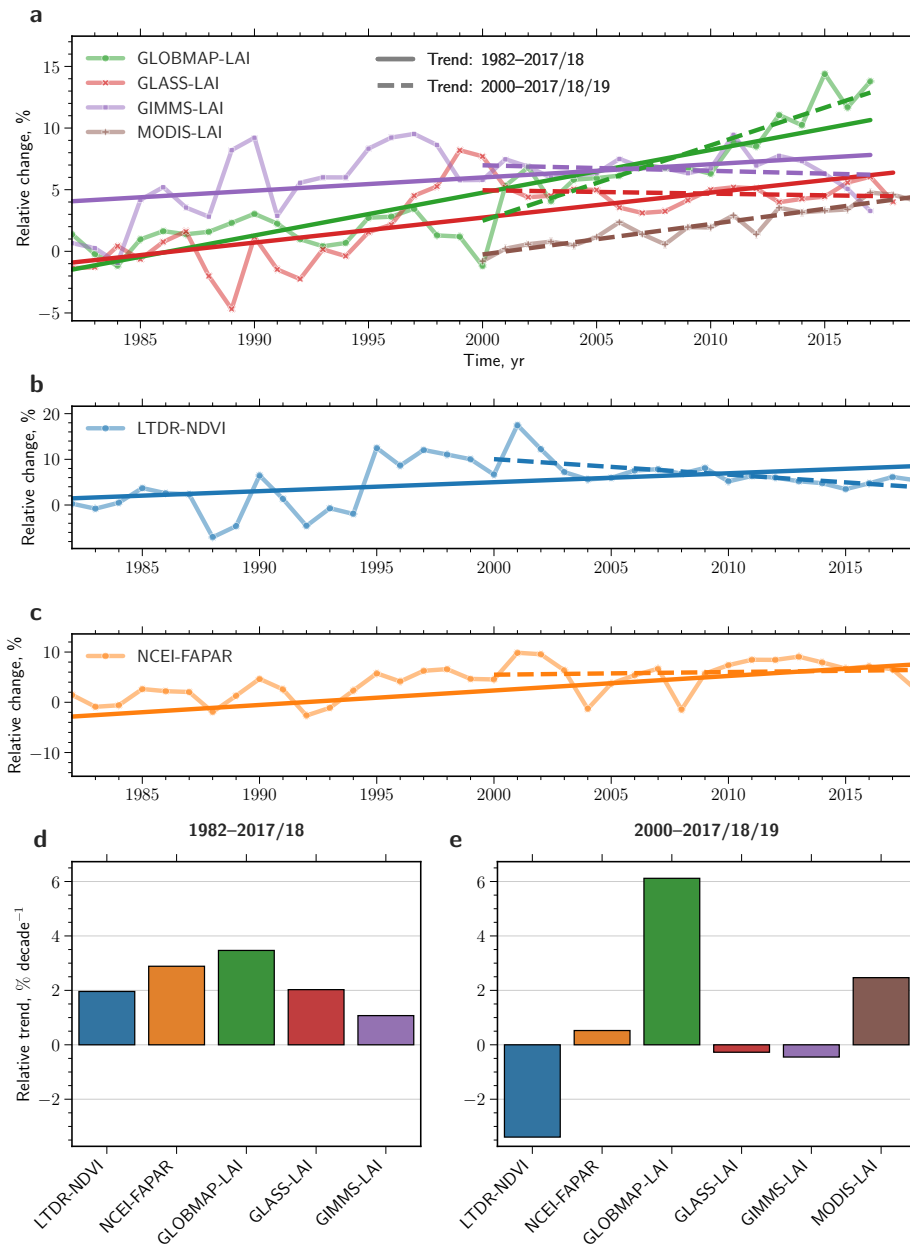


Figure 4. Five different remote sensing datasets displaying the development of natural vegetation over the last four decades. **a** Time series of changes in LAI relative to the average state from 1982–1984 as depicted in three different datasets (green: GLOBMAP-LAI, red: GLASS-LAI, purple: GIMMS-LAI and brown: MODIS-LAI; see Materials and Methods section of the main paper for further details). The solid straight line represents the best linear fit for the entire period (1982–2017/2018), the dashed line represents the best linear fit for the second half of the period (2000–2017/18/19). **b** as in **a** but for the dataset LTDR-NDVI (blue; see Materials and Methods section of the main paper for further details). **c** as in **a** but for the dataset NCEI-FAPAR (orange; see Materials and Methods section of the main paper for further details). **d** Bar chart comparing relative trends (in % decade⁻¹) in LAI, NDVI and FAPAR from different datasets for the entire period (1982–2017/2018) obtained from the gradients shown in **a-c**, respectively. **e** as in **d** but for the second half of the period (2000–2017/18/19).

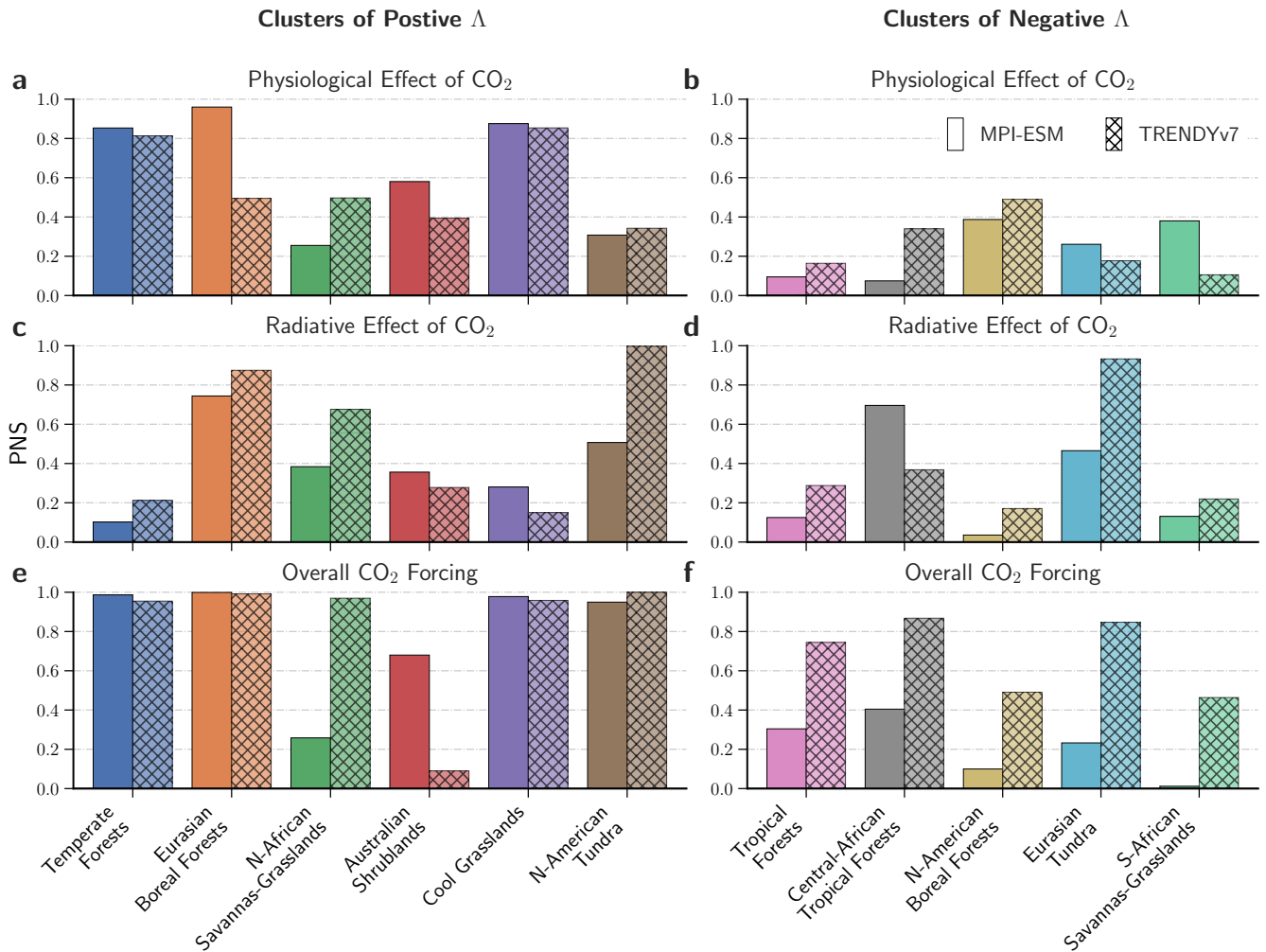


Figure 5. Probabilities of sufficient and necessary causation (PNS) of LAI changes in response to CO₂ for eleven clusters. Probabilities of sufficient and necessary causation (PNS) of LAI changes in response to the effects of rising CO₂ for eleven clusters. Bar charts represent PNS of LAI changes in response to the physiological effect (a, b), radiative effect of CO₂ (c, d) and all anthropogenic forcings (e, f). Different colors represent the identified clusters of substantial change in LAI. Panels on the left comprise clusters that show consistent greening, panels on the right represent emerging browning clusters (observed net leaf area loss in the period 2000–2017; attribution is conducted only for significant decreasing trends; [Data and Methods](#); see [Section 2](#) for details). The two types of bar illustrate the two different ensembles of model simulations (left: MPI-ESM, right: TRENDYv7).

4 Conclusions

In this paper we examine nearly four decades of global LAI changes under rising atmospheric CO₂ concentration. We find that the Earth's greening trend is weakening and clusters of browning are beginning to emerge, and importantly, expanding during the last two decades. With one exception, all analyzed satellite observation datasets confirm these results, but with different signal strengths. Leaf area is primarily decreasing in the pan-tropical green belt of dense vegetation. Leaf area gain is occurring mostly in sparsely vegetated regions in cold and/or arid climatic zones, and in temperate forests. Thus, vegetation greening is occurring mainly in regions of low LAI, whereas browning is seen primarily in regions of high LAI. Consequently, these opposing trends are decreasing the texture of leaf area distribution in natural vegetation.

We identify clusters of greening and browning spread across all continents and conduct a regional, *i.e.* biome-specific, driver attribution based on factorial model simulations. The results suggest that the physiological effect of CO₂ (*i.e.* CO₂ fertilization) is the dominant driver of increasing leaf area only in temperate forests, cool arid grasslands and likely the Australian shrublands. A cause-and-effect relationship between CO₂ fertilization and greening of other biomes could not be established. This finding questions the study by *Zhu et al.* [2016] that identified CO₂ fertilization as the ~~most dominant globally prevailing~~ driver of the Earth's greening trend. We find that many clusters of greening and browning bear the signature of climatic changes. The greening of Sub-Saharan grasslands and savannas ~~can be explained by increased~~ is consistent with increase in rainfall. Climatic changes, primarily warming and drying, determine the patterns of vegetation changes in the northern ecosystems, *i.e.* greening of Eurasian boreal forests and North American tundra, but also emerging browning trend in the Eurasian tundra. Models fail to capture the browning of North American boreal forests. Models suggest rising CO₂ has compensatory effects on LAI in the tropical forests. Climatic changes induce browning, which is opposed by greening due to a strong physiological effect in the models. Hence, if the physiological effect of CO₂ is "turned-off", models simulate the emerging browning trend in the tropics comparable to observations. Our analysis of changes in rainfall during the satellite age underpins climate changes as the main cause of tropical forest browning: recurrent droughts and decline in dry season precipitation in the Amazon as well as long-term drying trends in Africa.

Models represent a simplified view of the real world reduced to its essential processes. Some of these processes are under-represented or lacking in the current generation of ~~land-surface~~ land-surface models. Whether they are driven with observed climatic conditions or operate in a fully-coupled Earth ~~System~~ system model, they fail to capture the full extent of adverse effects of rising CO₂ in natural ecosystems. In particular, the deficiency of reproducing the observed leaf area loss in North American boreal and in pan-tropical forests ~~—~~ — biomes which account for a large part of the photosynthetic carbon fixation ~~-~~ - has considerable implications for future climate projections. Thus, it is important to focus model development not only on a better representation of disturbances such as droughts and wildfires, but also on revising the implementation of processes associated with the physiological effect of CO₂, which currently offsets browning induced by climatic changes.

Another vital issue for future research is the impact of large-scale climatic anomalies on vegetation. All three major clusters of browning are hypothesized to be associated with temperature or precipitation anomalies modulated by climatic modes. Many droughts in the Amazon were attributed to El Niño events [*Bonal et al.*, 2016]. The long-term drying trend in tropical Africa

675 is possibly connected to the Atlantic Multidecadal Oscillation [Asefi-Najafabady and Saatchi, 2013]. Likewise, disturbances
in North American boreal forests are likely controlled by an interplay between large-scale climatic patterns [Pacific Decadal
Oscillation, El Niño Southern Oscillation, and Arctic Oscillation; Macias Fauria and Johnson, 2008]. Little is known about
how these large-scale patterns might change in a warming climate. Current Earth system models struggle to simulate these
climatic modes and related precipitation patterns, which is likely rooted in their coarse spatial resolution. New tools, such as
680 high-resolution simulations or large ensembles, offer possibilities to study these phenomena.

~~Finally, it is important to note that the impacts of leaf area changes~~ Overall, our study suggests that the Earth largely
greened in the 1980s and 1990s as rising CO₂ triggered mainly LAI-increasing effects, e.g., by warming the high northern
latitudes and overall more carbon allocation through CO₂ fertilization. However, as CO₂ continues to rise, the system appears
to be entering or has entered a regime in which LAI-decreasing effects are amplified, i.e., climatic changes associated with
685 rising atmospheric CO₂ concentration become more pronounced and have stronger adverse effects in various ecosystems. In
addition, plant sensitivity to CO₂ fertilization may already be saturating, as recently suggested by Wang et al. [2020], but this
aspect remains controversial.

We show that the effects of rising CO₂ on LAI are not comparable ~~between biomes~~ across the biomes, as are the impacts on
the ecosystems. Regarding biodiversity, the consequences of leaf area loss in tropical forests that harbor the most diverse flora
690 and fauna of the planet are not compensated for by leaf area gain in temperate and arctic ecosystems. A similar caveat is in
order with respect to the carbon cycle, e.g. an additional leaf in the tundra does not offset the reduction in primary productivity
of a leaf lost in the tropical rainforest. Thus, our results indicating loss of tropical leaf area should be of concern. A recent study
suggested that the tropical forests have already switched to being a net source of carbon, also considering land-use emissions
[Baccini et al., 2017]. The uncertainty in future projections is large, ranging from a stable CO₂ fertilization-driven carbon
695 sink to a collapse of the system at a certain CO₂ concentration [Cox et al., 2000]. Concerning leaf area, the models project a
steady greening of the tropical forests in the high-end CO₂ emissions scenario (business-as-usual) and a slight browning in the
low-end scenario (mitigation) by the end of the century [Piao et al., 2019]. Altogether, the tropical forests have the potential to
crucially influence the evolution of climate throughout the 21st century and should be a vital issue for future research.

Code and data availability. All data used in this study are available from public databases or literature, which can be found with the refer-
ences provided in respective Methods section. Processed data and analysis scripts are available from the corresponding author upon request
700 and will also be published in public repositories together with this article.

Author contributions. A.J.W. performed the research and drafted the manuscript with inputs from R.B.M., V.B., S.S., V.H., D.L., V.K.A.,
J.P., J.E.M.S.N., D.S.G., E.K., H.T., A.A., and P.F.; A.J.W. carried out the attribution analysis with support from A.H.; R.B.M., A.H. and
V.B. contributed ideas and to the interpretation of the results.

705 *Competing interests.* The Authors declare no conflict of interests. Correspondence and requests for materials should be addressed to A.J.W.
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