

# 1 Assimilation of multiple datasets results in large differences in 2 regional to global-scale NEE and GPP budgets simulated by a 3 terrestrial biosphere model

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## 17 18 **Key Points:**

- 19 • The impact of assimilating different dataset combinations on regional to global scale C budgets  
20 is explored with the ORCHIDEE model
- 21 • Assimilating simultaneously multiple datasets is preferable to optimize the values of the model  
22 parameters and avoid model overfitting
- 23 • The challenges in constraining soil C disequilibrium using atmospheric CO<sub>2</sub> data are highlighted  
24 for an accurate prediction of the land sink distribution

## 25 26 **Abstract**

27 In spite of the importance of land ecosystems in offsetting carbon dioxide emissions released by  
28 anthropogenic activities into the atmosphere, the spatio-temporal dynamics of terrestrial carbon  
29 fluxes remain largely uncertain at regional to global scales. Over the past decade, data assimilation  
30 (DA) techniques have grown in importance for improving these fluxes simulated by Terrestrial  
31 Biosphere Models (TBMs), by optimizing model parameter values while also pinpointing possible  
32 parameterization deficiencies. Although the joint assimilation of multiple data streams is expected to

33 constrain a wider range of model processes, their actual benefits in terms of reduction in model  
34 uncertainty are still under-researched, also given the technical challenges. In this study, we  
35 investigated with a consistent DA framework and the ORCHIDEE-LMDz TBM-atmosphere model how  
36 the assimilation of different combinations of data streams may result in different regional to global  
37 carbon budgets. To do so, we performed comprehensive DA experiments where three datasets (*in*  
38 *situ* measurements of net carbon exchange and latent heat fluxes, space-borne estimates of the  
39 Normalized Difference Vegetation Index, and atmospheric CO<sub>2</sub> concentration data measured at  
40 stations) are assimilated alone or simultaneously. We thus evaluated their complementarity and  
41 usefulness to constrain net and gross C land fluxes. We found that a major challenge in improving the  
42 spatial distribution of the land C sinks/sources with atmospheric CO<sub>2</sub> data relates to the correction of  
43 the soil carbon imbalance.

44

## 45 **1 Introduction**

46

47 The dramatic growth of atmospheric CO<sub>2</sub> concentrations recorded in the last half-century has  
48 increased awareness on the impact of human activities on climate. Taking up about one third of the  
49 carbon dioxide from the atmosphere, the terrestrial biosphere plays a key role in regulating CO<sub>2</sub>  
50 emissions released by anthropogenic activities (fossil fuel emissions, land use and land cover change)  
51 (Friedlingstein et al., 2020). Quantifying variations in the distribution and intensity of carbon (C)  
52 sources/sinks from year to year remains a challenge given the complexity of the processes involved  
53 and what we can learn from observations. By formalizing current knowledge of the main processes  
54 governing the functioning of vegetation into numerical representations, terrestrial biosphere models  
55 (TBMs) have grown in importance for studying the spatio-temporal dynamics of net and gross land  
56 surface C fluxes from the local to the global scales. However, the large spread in simulated regional  
57 to global scale C fluxes for the last few decade (Friedlingstein et al., 2020) as well as for future  
58 projections (Arora et al., 2020) highlight the remaining uncertainties in our understanding and  
59 prediction of the fate and role of the biosphere under climate change and anthropogenic pressure.

60

61 Over the past decade, the parameter uncertainty in TBMs has increasingly been reduced thanks to  
62 statistical data assimilation (DA, also referred to as model-data fusion) frameworks, benefiting from  
63 the experience gained in other fields of Earth and Environmental sciences (geophysics, weather  
64 forecasting, hydrology, oceanography, etc.). DA techniques enable optimization of the model  
65 parameters using relevant target observations, while taking into account both observational and  
66 modelling uncertainties. DA does not only enable improving the model parameters but can also help

67 pinpointing model deficiencies (Luo et al., 2012). The importance of DA as a key component of  
68 terrestrial biosphere carbon cycle modelling is reflected by the diversity of DA systems in the global  
69 TBM communities. Since the first global scale Carbon Cycle Data Assimilation System (CCDAS)  
70 (Kaminski et al., 2002; Rayner et al., 2005) developed for the Biosphere Energy-Transfer Hydrology  
71 (BETHY) model, and in parallel to the development of community assimilation tools (as DART  
72 (Anderson et al., 2009) or PECAn (Dietze et al. (2013))), other modelling groups have developed their  
73 own global scale carbon cycle DA systems, in particular for ORCHIDEE (ORganizing Carbon and  
74 Hydrology In Dynamic EcosystEms model) (Santaren et al., 2007; Peylin et al., 2016), JULES (Joint UK  
75 Land Environment Simulator) (Raoult et al. (2016)), JSBACH (Schürmann et al. (2016)), or CLM  
76 (Community Land Model) (Fox et al., 2018).

77

78 Within a variational DA framework, ground-based measurements of eddy-covariance fluxes at a local  
79 scale (Wang et al., 2001; Knorr and Kattge, 2005; Sacks et al., 2007; Williams et al., 2009; Groenendijk  
80 et al., 2011; Kuppel et al., 2012) have been widely used to constrain net and gross CO<sub>2</sub> fluxes and  
81 latent heat flux. Moreover, remote sensing proxies of vegetation activities, such as raw reflectance  
82 data (Quaife et al., 2008), vegetation indices (Migliavacca et al., 2009; MacBean et al., 2015), or  
83 FAPAR - fraction of absorbed photosynthetically active radiation (Stöckli et al., 2008; Zobitz et al.,  
84 2014; Forkel et al., 2014; Bacour et al., 2015), have also been used to constrain the model parameters  
85 at various spatial scales. Finally, atmospheric CO<sub>2</sub> mole fraction measurements have been assimilated  
86 to provide valuable information on large-scale net ecosystem exchange (NEE) (Rayner et al., 2005;  
87 Koffi et al., 2012).

88

89 In the early days of DA studies, most focused on the assimilation of a single data stream (e.g.,  
90 targetting only NEE). Then, assimilations with multiple different C cycle related datasets have soon  
91 been considered (Moore et al., 2008; Richardson et al., 2010; Ricciuto et al., 2011; Keenan et al.,  
92 2013; Thum et al., 2017; Knorr et al., 2010; Kaminski et al., 2012; Kato et al., 2013; Bacour et al., 2015;  
93 Peylin et al., 2016). The underlying motivation behind assimilating multiple data streams is that using  
94 a greater number and diversity of observations should provide stronger constraints on model  
95 parameters, including a wider range of processes, hence resulting in a greater reduction in model  
96 uncertainty. However, many previous studies that assimilated multiple datasets hardly considered  
97 potential incompatibilities between the model and the observations (although see Bacour et al., 2015;  
98 Thum et al., 2017), that may result in a deterioration of model agreement with other observations  
99 not included in the assimilation. Besides, only a few have quantified the actual benefit of assimilating  
100 multiple data-sets compared to the single data stream assimilations, in particular in the context of  
101 global scale C cycle DA experiments.

102 The assimilation of multiple data streams can be done either sequentially, in which one observation  
103 type is assimilated at a time, or simultaneously (joint assimilation approach or “batch” strategy as  
104 defined in Raupach et al., 2005), where the model is calibrated with all data included in the same  
105 optimization (e.g. Richardson et al., 2010; Kaminski et al., 2013; Schürmann et al., 2016). Although  
106 with model parameters and observations described by probability distributions, simultaneous and  
107 sequential assimilations could theoretically lead to the same result (Tarantola et al. 2005), this is not  
108 the case in practice for complex problems. Incomplete or incorrect description of the error statistics  
109 may result in large differences between simultaneous and stepwise approaches (see Kaminski et al.,  
110 2012; MacBean et al., 2016). In addition, model non linearities also tend to exacerbate these  
111 potential differences. Simultaneous assimilation is considered to be more optimal in the context of  
112 optimizing TBM parameters as it maximizes the consistency of the model with the whole of the  
113 datasets considered (Richardson et al., 2010; Kaminski et al. 2012) and avoid incorrect/incomplete  
114 propagation of the error statistics from one step to the other (Peylin et al., 2016). The use of a  
115 gradient descent approach for the optimization, with the risk that it gets trapped in local minima,  
116 also increases the chances that stepwise and simultaneous approaches diverge. However, sequential  
117 approaches remain appealing for modelers: They require less initial technical investment and enable  
118 easier assessment of the impact of each data stream assimilated successively onto the optimized  
119 variables. Both approaches however face similar challenges, like defining the model-data uncertainty  
120 (see, e.g., Richardson et al., 2010; Keenan et al., 2013; Kaminski et al., 2012; Bacour et al., 2015;  
121 Thum et al., 2017; Peylin et al., 2016) and hence the weight that each dataset has on the  
122 optimization outcome (although specific weighting approaches may be envisioned, as in Wutzler and  
123 Carvalhais et al. (2014) or Oberpriller et al. (2021)) . Another major challenge, as highlighted by  
124 MacBean et al. (2016) or Oberpriller et al. (2021) , concerns inconsistencies between observations  
125 and model outputs, which are usually not accounted for in common bias-blind (Dee, 2005) Bayesian  
126 DA systems relying on the hypothesis of Gaussian errors. Indeed, most studies do not attempt to  
127 identify systematic errors in the observations and/or in the model and to correct for them. The likely  
128 impact of model-data biases on the parameter optimization is then a degraded model performance  
129 as well as an illusory decrease in the estimated model uncertainty (Wutzler and Carvalhais, 2014;  
130 MacBean et al., 2016; Bacour et al., 2019).

131  
132 The present study aims to go a step forward in the assessment of how assimilating multiple C cycle  
133 related data streams impacts and changes the constraint on net and gross CO<sub>2</sub> flux simulations at the  
134 global scale. To do so, we further advance from the sequential assimilation of Peylin et al. (2016)  
135 (referred to as “stepwise” approach hereafter) by implementing a simultaneous assimilation  
136 framework with the same data streams: net carbon fluxes (net ecosystem exchange – NEE) and

137 latent heat fluxes (LE) measured at eddy covariance sites across different ecosystems, satellite  
138 derived Normalized Difference Vegetation Index (NDVI) at coarse resolution for a set of pixels  
139 spanning the main deciduous vegetation types, and monthly atmospheric CO<sub>2</sub> concentration data  
140 measured at surface stations worldwide. The study relies on the variational DA framework designed  
141 for the ORCHIDEE global vegetation model (Krinner et al., 2005), here associated to a simplified  
142 version of the LMDz atmospheric transport model (Hourdin et al., 2006) based on pre-calculated  
143 transport fields for assimilating atmospheric CO<sub>2</sub> concentration data. ORCHIDEE and LMDz are the  
144 terrestrial and atmospheric components of the IPSL Earth System Model (Dufresne et al., 2013).

145 By conducting different assimilation experiments in which each data stream is assimilated alone or in  
146 combination (for all combinations of datasets), the research questions that we address in this study  
147 are:

148 1. What impact does the combination of different data streams assimilated have on the reduction  
149 in model-data misfit, and to which extent are the model predictions improved (or degraded) with  
150 respect to the other data-streams that were not assimilated?

151 2. How does the combination of different data-streams impact the optimised parameter values  
152 and uncertainties, and the predicted spatial distribution of the net and gross carbon fluxes at  
153 regional and global scales? How do the derived carbon budgets compare with independent  
154 process-based model and atmospheric inversion estimates from the Global Carbon Project's 2020  
155 Global Carbon Budget (Friedlingstein et al., 2020)?

156 3. How does a model–data bias related to incorrect initialisation of soil carbon pools (i.e. their  
157 disequilibrium with respect to steady state) impact the overall optimisation performances within  
158 a Bayesian assimilation framework relying on the hypothesis of Gaussian errors?

159 In addition, our analysis of the useful informational content provided by different data-streams on C  
160 fluxes is supported by methodological aspects aiming to:

161 1. Improve the realism of the prior error statistics on parameters by making them consistent with  
162 the prior model-data mismatch;

163 2. Quantify the observation influence of each of the three data streams on the joint assimilation in  
164 which all three datasets were included in the optimization.

165 Throughout the presentation of the results, we discuss implications of each assimilation experiment  
166 on our ability to accurately constrain gross and net CO<sub>2</sub> fluxes. In the final section we propose some

167 perspectives for other modeling groups wishing to implement global scale parameter DA systems to  
168 constrain regional to global scale C budgets.

169

## 170 **2 Materials and methods**

### 171 **2.1 Models**

#### 172 **2.1.1 ORCHIDEE**

##### 173 Model description

174 ORCHIDEE is a spatially explicit process-based global TBM (Krinner et al. 2005) that calculates the  
175 fluxes of carbon dioxide, water and heat, between the biosphere and the atmosphere, as well as the  
176 soil water budget. The temporal resolution is half an hour except for the slow components of the  
177 terrestrial carbon cycle (including carbon allocation in plant reservoirs, soil carbon dynamics, and  
178 litter decomposition) which are calculated on a daily basis. The version of ORCHIDEE in this study  
179 corresponds to that used in the IPSL model for its contribution to the Climate Model Intercomparison  
180 Project 5 (CMIP5) established by the World Climate Research Program (<https://cmip.llnl.gov/>).  
181 Vegetation is represented by 13 Plant Functional Types (PFTs) that include bare soil. The processes  
182 use the same governing equations for all PFTs, except for the seasonal leaf dynamics (phenology),  
183 which follows Botta et al. (2000) (see MacBean et al. (2015) for a full description). The observation  
184 operator for NDVI is determined *i)* by assuming a linear relationship between NDVI and FAPAR  
185 (Myneni et al., 1994) and *ii)* by calculating FAPAR from the simulated LAI based on the classical Beer-  
186 Lambert law for the extinction of the direct illumination within the canopy (Bacour et al., 2015 ;  
187 MacBean et al., 2015). In addition, we consider normalized data in our assimilation scheme. The soil  
188 organic carbon is simulated by a CENTURY-type model (Parton et al., 1987) and is partitioned in three  
189 pools (slow, passive, active) with different residence times.

190

##### 191 Model Set-up

192 The set-up of the simulations performed with ORCHIDEE depends on the data assimilated. The model  
193 is run at site scale for the assimilation of eddy-covariance measurements, at spatial resolution 0.72°  
194 for the assimilation of the satellite NDVI data, and at the resolution of the atmospheric transport  
195 model LMDz (3.75°x2.5°) for the assimilation of atmospheric CO<sub>2</sub> measurements. The Olson land  
196 cover classification at 5 km is used to derive the PFT fractions at each spatial resolution, but for the  
197 flux tower simulations where the proportion of each PFT is set based on expert knowledge. For  
198 satellite pixels and global simulations, ORCHIDEE is forced using the 3-hourly ERA-Interim gridded

199 meteorological forcing fields (Dee et al., 2011) (aggregated at  $3.75^\circ \times 2.5^\circ$  when assimilating  
200 atmospheric  $\text{CO}_2$  concentrations). For the flux tower simulations, the model is forced by local  
201 measurements of the meteorological variables at a half-hourly time step.

202 For each spatial resolution, a prior spin-up simulation was performed by recycling available forcing  
203 data. The objective was to bring the different soil carbon reservoirs to “realistic” values, albeit the  
204 spin-up runs result in neutral net carbon flux by construction. Each spin-up simulation was then  
205 followed by a transient simulation (starting from the first year of measurement for each data stream)  
206 and accounting for the secular increase of atmospheric  $\text{CO}_2$  concentrations; for the global simulations,  
207 only a short transient simulation from 1990 to 1999 is performed.

208

### 209 **2.1.2 LMDz**

#### 210 Model description

211 The study relies on version 3 of the Atmospheric General Circulation Model of the Laboratoire de  
212 Météorologie Dynamique (LMDz) (Hourdin et al., 2006) as implemented for the IPSL contribution to  
213 CMIP4. In order to save computational time, we used LMDz in the form of a precomputed Jacobian  
214 matrix at a set of  $\text{CO}_2$  measurement stations (§2.2.3) (see details in Peylin et al., 2016).

215

#### 216 Model set-up

217 To simulate atmospheric  $\text{CO}_2$  concentrations that can be compared to observations, the transport  
218 model has to be forced not only by terrestrial biospheric fluxes (calculated by ORCHIDEE), but also by  
219 other natural (e.g. ocean) and anthropogenic  $\text{CO}_2$  fluxes. We imposed a net emission due to land use  
220 change (i.e. deforestation) of  $1.1 \text{ GtC.yr}^{-1}$  although we also accounted for a larger flux from biomass  
221 burning but compensated partly by forest regrowth (see Peylin et al. (2016) for more details). The  
222 global maps of biomass burning emissions were taken from the Global Fire Emission Database  
223 version 3 dataset (Van der Werf et al., 2006; Randers et al., 2013) over the period 1997-2010 at a  
224 monthly time step and gridded at  $0.5^\circ \times 0.5^\circ$  resolution. The global fossil fuel  $\text{CO}_2$  emission products  
225 used here were developed by University of Stuttgart/IER based on EDGAR v4.2 and were provided at  
226 a  $0.1^\circ \times 0.1^\circ$  spatial resolution and at a monthly time scale. The ocean flux component was obtained  
227 from a data-driven statistical model based on artificial neural networks that estimated the spatial  
228 and temporal variations of the air-sea  $\text{CO}_2$  fluxes (Peylin et al., 2016).

229

## 230 **2.2 Assimilated data**

### 231 **2.2.1 in situ flux measurements (F)**

232 The NEE and LE measurements come from the FLUXNET global network. We used harmonized,  
233 quality-checked and gap-filled data (Level 4) at 68 sites from the La Thuile global synthesis dataset  
234 (Papale, 2006). The site locations are presented in Figure 1. These ecosystem measurements cover  
235 very different time spans, ranging from one single year at some sites up to nine years. They constrain  
236 seven PFTs among the twelve natural vegetation types represented in ORCHIDEE: tropical evergreen  
237 broadleaf forest – TrEBF (3 sites corresponding to 6 site-years), temperate evergreen needleleaf  
238 forest – TeENF (16 sites, 45 sites-years), temperate evergreen broadleaf forest – TeEBF (2 sites, 4  
239 site-years), temperate deciduous broadleaf forest – TeDBF (11 sites, 37 site-years), boreal evergreen  
240 needleleaf forest – BoENF (12 sites, 44 site-years), boreal deciduous broadleaf forest – BoDBF (3 sites,  
241 6 site-years), and C3 grassland – C3GRA (21 sites, 56 site-years). We assimilated daily-mean values of  
242 NEE and LE observations, but only when at least 80% of the 48 potential half-hourly data in a day are  
243 available.

### 244 **2.2.2 Satellite products (VI)**

245 The NDVI products considered here are derived from MODIS collection 5 surface reflectance data  
246 acquired in the red and near-infrared channels and corrected from the directional effects (Vermote  
247 et al. (2009). The daily data at 0.72° spanning the period 2000-2010 already assimilated into  
248 ORCHIDEE and described in MacBean et al. (2015) are considered. Five among the six deciduous,  
249 non-agricultural, PFTs of ORCHIDEE were optimized in this study: TrDBF - tropical broadleaved rainy  
250 green forest, TeDBF, BoDBF, BoDNF – Boreal needleleaf summergreen forest, and C3GRA. C4 grasses  
251 and evergreen PFTs were not considered. For each PFT, fifteen 0.72° pixels were selected for  
252 assimilation depending on their thematic homogeneity with respect to the considered PFT (fractional  
253 coverage above 60%) and consistency between the observed NDVI time series and the prior  
254 ORCHIDEE. The location of these satellite pixels is shown in Figure 1.

255

### 256 **2.2.3 Atmospheric CO<sub>2</sub> measurements (CO<sub>2</sub>)**

257 The surface atmospheric CO<sub>2</sub> concentration data come from three databases: The NOAA Earth  
258 System Laboratory (ESRL) archive (<ftp://ftp.cmdl.noaa.gov/ccg/co2/>), the CarboEurope IP project  
259 ([http://ceatmosphere.lsce.ipsl.fr/database/index\\_database.html](http://ceatmosphere.lsce.ipsl.fr/database/index_database.html)), and the World Data Centre for  
260 Greenhouse Gases of the World Meteorological Organization Global Atmospheric Watch Programme  
261 (<http://gaw.kishou.go.jp>). The data include *in situ* measurements, made by automated quasi-  
262 continuous analysers, and air samples collected in flasks and later analyzed at central facilities. In this



263 study, we used monthly-mean values of these measurements (Peylin et al., 2016). Ten years of  
 264 observations over the 2000-2009 period were used from a total of 53 stations located around the  
 265 world (Figure 1).

266

## 267 **2.3 Assimilation methodology**

### 268 **2.3.1 Data assimilation framework**

269 The data assimilation system associated to the ORCHIDEE model (ORCHIDAS) has been described in  
 270 previous studies regarding the assimilation of these data streams alone (Kuppel et al., 2012; Santaren  
 271 et al., 2014; MacBean et al., 2015; Bastrikov et al., 2018) or their combinations (Bacour et al., 2015;  
 272 Peylin et al., 2016). The assimilation system relies on a variational Bayesian framework that optimizes  
 273 ORCHIDEE parameters gathered in a vector  $\mathbf{x}$ , by finding the minimum of a global misfit function  $J(\mathbf{x})$   
 274 iteratively.  $J(\mathbf{x})$  is a linear combination of the misfit functions associated with each data stream. It is  
 275 assumed that the errors of observations and on the model parameters are Gaussian and that the  
 276 data streams errors are independent from each other:

277

$$J(\mathbf{x}) = \frac{1}{2} [(H_{LMDz} \circ H_{ORCH}(\mathbf{x}) - \mathbf{y}^{CO_2})^T \cdot \mathbf{R}_{CO_2}^{-1} \cdot (H_{LMDz} \circ H_{ORCH}(\mathbf{x}) - \mathbf{y}^{CO_2}) + \quad (1)$$

$$(H_{ORCH}(\mathbf{x}) - \mathbf{y}^F)^T \cdot \mathbf{R}_F^{-1} \cdot (H_{ORCH}(\mathbf{x}) - \mathbf{y}^F) + (H_{ORCH}(\mathbf{x} -$$

$$\mathbf{y}^{VI}))^T \cdot \mathbf{R}_{VI}^{-1} \cdot (H_{ORCH}(\mathbf{x}) - \mathbf{y}^{VI}) + (\mathbf{x} - \mathbf{x}^b)^T \cdot \mathbf{B}^{-1} \cdot (\mathbf{x} - \mathbf{x}^b)]$$

278

279 where  $\mathbf{y}^o$  are the observation vectors (with  $o = F$  (flux),  $VI$  (satellite NDVI), or  $CO_2$  (concentration));  
 280  $H_{ORCH}$  and  $H_{LMDz}$  are the observational operators of the ORCHIDEE and LMDz models, respectively.  $\mathbf{R}^o$   
 281 is the error covariance matrix characterizing the observation errors with respect to the model  
 282 (therefore including the uncertainty in the model structure) associated to data stream  $o$ . The  
 283 dimensionless control vector  $\chi$  quantifies the distance between the values of the optimized  
 284 parameters and the corresponding prior information  $\mathbf{x}^b$ :  $\chi = \mathbf{B}^{-1/2} \cdot (\mathbf{x} - \mathbf{x}^b)$ , where  $\mathbf{B}$  is the  
 285 associated *a priori* error covariance matrix.

286 We use the gradient-based L-BFGS-B algorithm (Byrd et al., 1995; Zhu et al., 1997) to minimize  $J(\mathbf{x})$   
 287 iteratively. It accounts for bounds in the parameter variations. The algorithm requires the gradient of  
 288 the misfit function as an input in order to explore the parameter space:

289

$$\nabla_{\mathbf{x}} J(\mathbf{x}) = \mathbf{H}_{ORCH}^{CO_2 T} \cdot \mathbf{H}_{LMDz}^T \cdot \mathbf{R}_{CO_2}^{-1} \cdot (H_{LMDz} \circ H_{ORCH}(\mathbf{x}) - \mathbf{y}^{CO_2}) + \quad (2)$$

$$\mathbf{H}_{ORCH}^F T \cdot \mathbf{R}_F^{-1} \cdot (H_{ORCH}(\mathbf{x}) - \mathbf{y}^F) + \mathbf{H}_{ORCH}^{VI T} \cdot \mathbf{R}_{VI}^{-1} \cdot (H_{ORCH}(\mathbf{x}) - \mathbf{y}^{VI}) +$$

$$\mathbf{B}^{-1} \cdot (\mathbf{x} - \mathbf{x}^b)$$

290

291 The calculation of  $\nabla_{\mathbf{x}} J(\mathbf{x})$  uses the Jacobian matrix of ORCHIDEE associated to each data stream,  
 292  $\mathbf{H}_{\text{ORCH}}^{\circ}$  (assuming local linearity of the model), and that of LMDz. For most of ORCHIDEE  
 293 parameters,  $\mathbf{H}_{\text{ORCH}}^{\circ}$  is calculated thanks to the tangent linear model of ORCHIDEE obtained by  
 294 automatic differentiation using the TAF (Transformation of Algorithms in Fortran) tool (Giering et al.,  
 295 2005); however, for a few parameters involved in threshold conditions of the model processes,  
 296 especially related to phenology, we use a finite difference method.

297

298 After optimization, the posterior error covariance matrix  $\mathbf{A}$  (for “analysis”) of the optimized  
 299 parameters can be calculated as a function of the Jacobian matrix associated to the gradients of the  
 300 model outputs with respect to the parameters at the solution for each data stream:

301

$$\mathbf{A} = \left[ \sum \mathbf{H}_{\mathbf{o}}^T \cdot \mathbf{R}_{\mathbf{o}}^{-1} \cdot \mathbf{H}_{\mathbf{o}} + \mathbf{B}^{-1} \right]^{-1} \quad (3)$$

302

303 It is computed under the hypothesis of model linearity in the vicinity of the solution. The square root  
 304 of the diagonal elements of  $\mathbf{B}$  or  $\mathbf{A}$  correspond to the standard deviation  $\sigma$  on model parameters.

### 305 **2.3.2 Parameters to be optimized**

306

307 We chose to optimize a limited set of carbon-cycle related parameters of ORCHIDEE as a result of  
 308 preliminary sensitivity analyses and past DA studies. A short definition of these parameters that  
 309 mostly control photosynthesis, phenology and respiration, is provided in Table 1, while their  
 310 associated prior values, bounds and uncertainty are documented in Supplementary Table S3. More  
 311 comprehensive descriptions of their role in the model processes are provided in Kuppel et al. (2012)  
 312 and MacBean et al. (2015). The size of soil carbon pools drives the magnitude of the net carbon  
 313 fluxes exchanged with the atmosphere to a large extent ; Soil carbon is closely related soil texture,  
 314 climatic (temperature and moisture), disturbance history (including land use and fires), as well as  
 315 ecosystem and edaphic properties (Schimel et al., 1994; Todd-Brown et al., 2013) . Given that we do  
 316 not have access to that information, neither at the site scale (for assimilation of NEE measurements)  
 317 nor at the global scale (for assimilation of atmospheric CO<sub>2</sub> concentrations), we use a steady state  
 318 assumption where ORCHIDEE has been brought to near equilibrium with a long spin-up of the soil  
 319 carbon pools. To correct for this bias, the initial state of the soil carbon reservoirs is optimized using a  
 320 multiplicative parameter of both the slow and passive pools as in Peylin et al.(2016). The use of these  
 321 correction factors is a handy way to correct any issues related to the use of our soil organic C model  
 322 and the soil carbon disequilibrium. Two multiplicative parameters are used depending on the type of

323 data considered (and their associated spatial scale): for *in situ* flux measurements, we considered  
324 site-specific parameters  $K_{soilC,site}$ ; for atmospheric CO<sub>2</sub> concentration data, instead of resolving the  
325 initial conditions for all LMDz grid cells we scaled the carbon pools for 30 large scale regions  $K_{soilC,reg}$ .  
326 Note that having correct soil carbon pools is less important when assimilating satellite NDVI data  
327 because these are more closely related to carbon uptake rather than net carbon flux. In total, up to  
328 182 parameters are optimized depending on the data streams considered.

329 The prior values  $\mathbf{x}^b$  of the parameters are set to the standard values of ORCHIDEE (Supplementary  
330 Table S3). Not all parameters are constrained by all three data streams. In particular, satellite  
331 FAPAR/NDVI products inform the timing of phenology of plant vegetation (start and end of the  
332 growing season) rather than on photosynthesis or respiration with our DA system (Bacour et al., 2015;  
333 MacBean et al., 2015). The dependency of each parameter with respect to the assimilated data  
334 streams is indicated in Table 1.

335

### 336 **2.3.3 Data assimilation experiments**

337 Different data assimilation experiments were tested in order to understand the respective constraint  
338 brought by each data stream and evaluate their compatibility with each other and with the model.  
339 First, each data stream was assimilated separately and then its combinations with the other two  
340 were considered. Second, the three data streams are assimilated altogether. The various  
341 experiments are described in Table 2 with the number of data points assimilated and the number of  
342 parameters optimized. Indeed, the number of optimized parameters differs with the type of data  
343 assimilated as described in §3.2 and in Table 1. The assimilations have a high computational cost,  
344 with an average value for joint assimilations using all three data streams of about 50,000 hr Central  
345 Processing Unit time on AMD Rome compute nodes at 2.6 GHz with 256 GB memory per node.

346 Two assimilation experiments combining the three data streams were tested: one experiment  
347 (F+VI+CO<sub>2</sub>) with all parameters optimized in a single step; and an additional experiment following a  
348 2-step optimization (F+VI+CO<sub>2</sub>-2steps), as described hereafter. In the first step, the global soil carbon  
349 reservoirs are constrained by assimilating atmospheric CO<sub>2</sub> data only, and optimizing the two main  
350 parameters controlling soil respiration,  $K_{soilC_{reg}}$  and  $Q10$ . In the second step, all parameters but  
351  $K_{soilC_{reg}}$  were optimized from the three data streams:  $K_{soilC_{reg}}$  was retained from the first step and  
352  $Q10$  was optimized but the prior uncertainty for  $Q10$  for the second step corresponded to the  
353 posterior uncertainty derived from the first step. We did this to correct for the initialisation of the  
354 soil carbon imbalance following model spin-up and illustrate how the informational content of the  
355 three data-streams relative to the surface carbon fluxes can be enhanced once soil carbon

356 disequilibrium is more “realistically” represented; the motivations and implications of the two  
357 assimilations experiments are further discussed in the result and discussion sections.

358 The results of these assimilations were compared to the companion study of Peylin et al. (2016) in  
359 which the same data streams were assimilated in a sequential/stepwise approach: NDVI data were  
360 assimilated first, then *in situ* flux measurements, and finally atmospheric CO<sub>2</sub> concentration  
361 measurements. While only 3 years of atmospheric CO<sub>2</sub> data were used in Peylin et al. (2016), the  
362 stepwise results presented here really accounts for the same ten years used in the simultaneous  
363 experiments (2000-2009) to facilitate the comparison of the approaches (in particular the impact of  
364 using the atmospheric CO<sub>2</sub> growth rate over 10 years on the optimisation of the mean terrestrial  
365 carbon sink). There are however a few differences in the set-up compared to the present study (cf.  
366 details provided in Supplementary Text S1).

367

## 368 **2.3.4 Error statistics on observations and parameters**

### 369 **2.3.4.1 Observation error statistics**

370 Like in previous studies with ORCHIDAS, we defined  $\mathbf{R}$  as diagonal and computed the variances from  
371 the Root Mean Square Difference (RMSD) between the data and the *a priori* ORCHIDEE simulations  
372 (*i.e.* performed with the model default parameter values) for fluxes and satellite observations.  
373 However, it is worth noting that this approach overestimates the variances in order to compensate  
374 for any neglected correlations. For atmospheric CO<sub>2</sub> measurements, we followed a different  
375 methodology given the large discrepancy in the modelled *a priori* concentrations with respect to the  
376 observed data (*i.e.*, large bias that increases over time due to biases in the land net carbon sink (too  
377 small)). The errors were determined at each site as the standard deviation of the observed temporal  
378 concentrations (Peylin et al., 2005, 2016), to capture the general feature that model-data mismatch  
379 is likely large for sites and months with large variations in daily concentrations. Although crude, such  
380 an hypothesis has been used in many atmospheric CO<sub>2</sub> inversions and in our case it combines all  
381 structural errors of the terrestrial and transport models.

382

### 383 **2.3.4.2 Tuning of the prior error statistics**

384 We assumed that errors in the prior parameter values are independent and therefore we used a  
385 diagonal  $\mathbf{B}$  matrix. We populated the diagonal of  $\mathbf{B}$  in an iterative way from consistency diagnostics of  
386 the data assimilation system following Desroziers et al. (2005), as described hereafter. If both  $\mathbf{B}$  and  $\mathbf{R}$   
387 matrices are correctly specified and if the estimation problem is linear, they should be related to the

388 covariance of the residuals ( $\mathbf{d}$ ) between observations and background simulations (*i.e.* innovation)  
 389 following:

$$\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T + \mathbf{R} = E [(\mathbf{y}^o - H(\mathbf{x}^b)) \cdot (\mathbf{y}^o - H(\mathbf{x}^b))^T] = E [\mathbf{d}_b^o \cdot \mathbf{d}_b^{oT}] \quad (4)$$

390

391 With

$$\mathbf{R} = E [(\mathbf{y}^o - H(\mathbf{x}^a)) \cdot (\mathbf{y}^o - H(\mathbf{x}^b))^T] = E [\mathbf{d}_a^o \cdot \mathbf{d}_b^{oT}] \quad (5)$$

392

$$\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T = E [(H(\mathbf{x}^a) - H(\mathbf{x}^b)) \cdot (\mathbf{y}^o - H(\mathbf{x}^b))^T] = E [\mathbf{d}_b^a \cdot \mathbf{d}_b^{oT}] \quad (6)$$

393

394 Similarly, the diagnostic on analysis errors can be determined from the residuals between  
 395 observations and posterior simulations as:

$$\mathbf{H}_o \cdot \mathbf{A} \cdot \mathbf{H}_o^T = E [(H(\mathbf{x}^a) - H(\mathbf{x}^b)) \cdot (\mathbf{y}^o - H(\mathbf{x}^a))^T] = E [\mathbf{d}_b^a \cdot \mathbf{d}_a^{oT}] \quad (7)$$

396

397 In principle, the tuning of  $\mathbf{B}$  and  $\mathbf{R}$  needs to be performed iteratively for successive values of  $\mathbf{x}^a$  and  
 398 of the corresponding residuals, until convergence, which is prohibitive in terms of computing time.  
 399 The estimation of the covariance matrices depends on the mathematical expectation ( $E$ ) which would  
 400 require several realizations of the residuals to diagnose the error statistics (Desroziers et al. (2005);  
 401 Cressot et al., 2014). In this study, only one optimization was performed using one set of *a priori*  
 402 parameters for each dataset. We therefore calculated these metrics by averaging the diagonals of  
 403 the matrices described by both sides of the equations for all available observations (Kuppel et al.,  
 404 2013). This way, both sides are scalar values (Cressot et al., 2014).

405

406 The standard deviation of the errors were determined after a few trials considering the three single  
 407 data stream assimilation experiments independently: For each DA experiment we started from an  
 408 initial parameter error set at 40% of the variation interval for each parameter (as in Peylin et al.,  
 409 2016); The errors were then varied in order to fulfill the consistency diagnostics on the parameter  
 410 and observation errors (see Supplementary Text S3). Finally, we evaluated the consistency of the  
 411 resulting model-data covariance matrices for the DA experiments with multiple data streams using  
 412 the reduced chi-square test (*i.e.* the chi-square statistic normalized by the number of observations,  $m$   
 413 (Chevallier et al., 2007; Klonecki et al., 2012), which is implicitly optimized by the Desroziers et al.  
 414 (2005) approach:

$$\chi^2 = \frac{2J(\mathbf{x}^a)}{m} \quad (8)$$

415

416 If the  $\mathbf{R}$  and  $\mathbf{B}$  covariance matrices are well defined, the ratio of each term of the diagnostics of  
417 Desroziers et al. (2005) (ratio between  $\mathbf{R}$  and  $E[\mathbf{d}_a^o \cdot \mathbf{d}_b^{oT}]$ ;  $\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T$  and  $E[\mathbf{d}_b^a \cdot \mathbf{d}_b^{oT}]$ ; and  
418  $\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T + \mathbf{R}$  and  $E[\mathbf{d}_b^o \cdot \mathbf{d}_b^{oT}]$ ) should approach 1. Table 3 shows the values of the  
419 consistency diagnostics for the final parameter error set-up.

420 The diagnostics for  $\mathbf{R}$  (ratios slightly above 1 for all data streams) and for the reduced chi-square  
421 (Table S1 - values below 1) indicates a slight overestimation of the observation error. The diagnostics  
422 for  $\mathbf{B}$  ( $ratio^B$ ) show a stronger overestimation of the *a priori* error for NEE, LE and atmospheric CO<sub>2</sub>,  
423 but an underestimation for NDVI. For fluxes and satellite data, the combined diagnostics for  $\mathbf{R}$  and  $\mathbf{B}$   
424 ( $ratio^{BR}$ ) appear consistent with ratios close to 1. For CO<sub>2</sub> however, the value of  $ratio^{BR}$  close to the  
425 value of  $ratio^B$  highlights the strong influence of the background information ( $\mathbf{B}$  matrix) or the model  
426 structure on the optimization, while the large value of  $\chi^2$  expresses a strong underestimation of the  
427 observation error. Indeed, when determining  $\mathbf{R}_{CO_2}$ , we purposely did not account for the large bias (by  
428 about 1 ppm.yr<sup>-1</sup>) between the observed CO<sub>2</sub> temporal profiles at stations and the prior simulations,  
429 which is due to the initialisation of ORCHIDEE's carbon pools (which is discussed in the Result section).  
430 Finally, for the diagnostics on the analysis, the various tests performed (Supplementary Text S3) all  
431 lead to negative quantities. Instead, the simulations of the calibrated model were expected to be  
432 contained in between their prior state and the observations (the residuals having opposite signs,  
433 their product is positive). This result may reflect a too strong model correction. However, it should be  
434 noted that a strong assumption associated with these tests concerns the linearity of the model,  
435 which may not hold for terrestrial biosphere models.

436

## 437 **2.4 Diagnostics for system evaluation**

### 438 **2.4.1 Optimisation performance**

439 We measured the efficiency of any assimilation by quantifying the reduction of the cost function as  
440 the ratio of the prior to posterior values. It should be noted that the minimum value of the cost  
441 function is not expected to be zero given the uncertainty in both the data and model, and the limited  
442 number of degrees of freedom (number of optimized parameters) allowed. We also looked at the  
443 ratio of the norm of the gradient between the prior and posterior misfit functions, as it illustrates the  
444 progression towards the expected optimum, for which the gradient is null. The decrease of the norm  
445 of the gradient depends on the estimation problem (non-linearities, number of observations versus  
446 number of optimized parameters, constraints of the data on the model processes, etc.); however,  
447 based on our experience with non-linear problems, we still expect the norm of the gradient to be  
448 reduced by at least two orders of magnitude.

449 The analysis of the optimization performances are summarized in §3.1 and detailed in  
450 Supplementary Text S4.

451

#### 452 **2.4.2 Model improvement and posterior predictive checks**

453 The model improvement was quantified by the reduction of the root mean square deviation (RMSD)  
454 between model and data, prior and posterior to optimization, expressed in %, as.

455 We conducted posterior predictive checks by running the model optimized after assimilation of one  
456 or two data streams and quantifying the resulting model-improvement with respect to the data  
457 streams not accounted for in the assimilation.

#### 458 **2.4.3 Uncertainty reduction on parameters and error budget**

459 The knowledge improvement on the model parameters brought by assimilation was assessed by the  
460 uncertainty reduction determined by  $1 - \sigma_{\text{post}}/\sigma_{\text{prior}}$ , where  $\sigma_{\text{post}}$  and  $\sigma_{\text{prior}}$  are the standard deviation  
461 derived from the posterior (**A**) and prior (**B**) covariance matrices on the model parameters and  
462 output variables.

463 A comprehensive quantification of the uncertainty reduction on model variables would require  
464 accounting also for the covariance matrix of the model structural error which could be the dominant  
465 factor. Because this covariance matrix is difficult to estimate for complex process-based terrestrial  
466 biosphere models (see Kuppel et al., 2013, for a first attempt in the case of the NEE), we instead  
467 analyzed the posterior errors on NEE and GPP at regional to global scales, as the projection of the  
468 posterior error on parameters in the space of the model variables. The posterior error on C fluxes is  
469 then characterized by the covariance matrix  $\mathbf{R}^a$  as:

$$\mathbf{R}^a = \mathbf{H}_o \cdot \mathbf{A} \cdot \mathbf{H}_o^T \quad (9)$$

470 with the Jacobian matrix  $\mathbf{H}_o$ , being the first derivative of the target quantity (e. g., NEE, GPP) to the  
471 optimized parameters derived from an assimilation experiment  $o$ .

472

#### 473 **2.4.4 Assessment of the information content of each data stream**

474 For the joint assimilations using the three different data streams, we further analyzed the influence  
475 matrix  $\mathbf{S}$  that quantifies their leverage on the model-data fit (Cardinali et al., 2004):

$$\mathbf{S} = \mathbf{R}^{-1} \cdot \mathbf{H} \cdot \mathbf{A} \cdot \mathbf{H}^T \quad (10)$$

476

477 A diagonal element  $S_{ii}$  is the rate of change of the simulated observable  $i$  with respect to variations in  
478 the corresponding assimilated observation  $i$ .  $S_{ii}$  is referred to as "self-sensitivity" of "self-influence". A  
479 zero self-sensitivity indicates that this  $i^{\text{th}}$  observation does not contribute to improving its simulation

480 by the model, whilst  $S_{ij} = 1$  indicates that the fit of the sole observation  $i$  mobilizes an entire degree of  
481 freedom (*i.e.* one parameter). In addition to the total influence matrix (equation 10), we also  
482 determined the partial influence matrices associated to each data stream  $o$ , using the corresponding  
483 diagonal  $\mathbf{R}_o$  matrices and in equation 10.

484 We analyzed the trace (*i.e.* the sum of all diagonal elements) of  $\mathbf{S}$  that quantifies a measure of the  
485 amount of information that can be extracted from all observations / all data streams. We used two  
486 derived quantities: the global average observation influence (OI) and the relative degrees of freedom  
487 for signal (DFS) associated with the data stream  $o$ , which measures its relative contribution to the fit.  
488 They are defined as follow (with  $m$  the total number of observations):

489

$$OI = \frac{tr(\mathbf{S})}{m} \quad (11)$$

490 and

$$DFS = 100 \times \frac{tr(\mathbf{S}_o)}{tr(\mathbf{S})} \quad (12)$$

## 491 **3 Results**

### 492 **3.1 Model improvement for the different assimilation experiments**

#### 493 **3.1.1 Cost function reduction**

494 The reduction of the cost function varies between the different experiments with the lowest  
495 reductions for the single data streams experiments F and VI (around 10%). However, the correction  
496 of the model-data misfit when CO<sub>2</sub> data are assimilated is much higher (at least factor of 10  
497 reduction). Noteworthy, this strong model improvement is obtained for a lower departure of the  
498 parameters from their prior values than when fluxes or satellite data are assimilated (*cf.* section 3.3,  
499 and Figure 6).

500 A detailed description of the optimization performances with respect to the minimisation of the cost  
501 function is detailed in Supplementary Text S4 and Table S2.

#### 502 **3.1.2 Overall fit to the observations**

503 The impact of assimilating one type of observation on all the data streams (including those that are  
504 not assimilated) was evaluated for the various assimilation experiments. The reduction of the model-  
505 data mismatch (*i.e.* reduction in prior RMSD) after assimilation of each data stream (or any  
506 combination of them) is illustrated in Figure 2. The length of the boxes (first and third quartiles) of  
507 the whisker plots highlight the spread in misfit reduction across sites/vegetation types. For fluxes,  
508 only the impact on NEE is shown, given the choice of optimizing parameters is mostly related to the  
509 carbon cycle. Using the parameter values optimized in either the F and VI assimilations has a strong



510 detrimental impact on the simulated atmospheric CO<sub>2</sub> data because the soil carbon pools were not  
511 adjusted in these DA experiments. Therefore, we also analyzed the changes induced on the  
512 detrended seasonal cycles of atmospheric CO<sub>2</sub> concentrations (hence removing the trend using the  
513 time series decomposition based on the CCGCRV routine (Thoning et al., 1989), as described in  
514 Supplementary Text S2) (Figure 2c).

515  
516 For a given data stream, the improvement is usually better for the experiment where that data  
517 stream is assimilated alone. One noteworthy exception is the assimilation of NDVI alone (VI  
518 experiment where only the phenology parameters are optimized) that results in a lower model  
519 improvement with respect to NDVI than when it is assimilated in combination with other data-  
520 streams (where a higher number of parameters are optimized in these joint assimilations, hence  
521 improving the timing of phenology and the amplitude of the annual cycle when flux or atmospheric  
522 CO<sub>2</sub> data are also assimilated). For both experiments F and VI, the reduction of the model-data misfit  
523 can be negative, which reflects how the assimilation can degrade the model performance for a few  
524 pixels/sites by searching for a common parameter set. This is not observed with the assimilation of  
525 atmospheric CO<sub>2</sub> data only for which the optimized model is always closer to the observations than  
526 the prior model (due to a correction of the CO<sub>2</sub> trend), at all stations (see Supplementary Text S5 for  
527 a detailed description of the reduction in model-data misfit each single-data stream assimilation  
528 experiment (F, VI, CO<sub>2</sub>)).

529  
530 The collateral impact of assimilating one data stream on the other simulated observables is evident  
531 in the misfit reductions shown in Figure 2 (e.g., examine the “VI” experiment on the NEE misfit  
532 reduction in Figure 2a). While using optimized phenological parameters retrieved from satellite data  
533 alone (experiment VI) degrades the modelled seasonality of NEE as compared to the measurements  
534 (median RMSD reduction of -3%), the optimization with respect to *in situ* flux data (F), with additional  
535 control parameters, leads to a general improved consistency between modelled FAPAR and satellite  
536 NDVI time series (median RMSD reduction of 8%). The impact on LE is much lower for all DA  
537 experiments (median values close to 0% in all cases, result not shown). One can also note the  
538 positive impact of the F and VI assimilations on the atmospheric CO<sub>2</sub> data with median RMSD  
539 reductions of 15.8% and 11.2% respectively for the detrended time series. Such an improvement  
540 after assimilation of *in situ* flux data corroborates the findings of Kuppel et al. (2014) and Peylin et al.  
541 (2016). Noteworthy, this improvement is of the same order as that achieved when assimilating  
542 atmospheric CO<sub>2</sub> data alone (median RMSD reduction of 14%). The parameters retrieved from the  
543 CO<sub>2</sub> experiment have also a small but positive impact at the site level with respect to NEE (median  
544 value of 3%) and FAPAR (0.8%).

545 For the joint assimilation experiment (F+VI, F+CO<sub>2</sub>, VI+CO<sub>2</sub>, or F+VI+CO<sub>2</sub>; Figure 2), the model-data  
546 agreement is improved for all assimilated data streams, as expected, while the model degradation  
547 relative to the data not assimilated is generally not as severe as compared to the assimilation of  
548 individual data stream experiments described above, with the exception of the F+VI experiment. The  
549 latter experiment leads to enhanced model improvement compared to when flux and satellite NDVI  
550 data are assimilated alone (cf. Supplementary Text S5). In the simultaneous assimilations involving  
551 atmospheric CO<sub>2</sub> data, most of the model improvement concerns CO<sub>2</sub> (Figure 2c) while the benefit  
552 for the fluxes and FAPAR/NDVI is weak (RMSD reduction below 3%). Noteworthy, the 2-step  
553 assimilation F+VI+CO<sub>2</sub> (see Section 2.3.3) results in an even higher model improvement for both NEE  
554 and FAPAR than the 1-step approach.

555 The misfit reduction for the raw (i.e., not detrended) atmospheric CO<sub>2</sub> data is high (median reduction  
556 ~75%) and remains quite stable among the various different combinations of data streams that  
557 include atmospheric CO<sub>2</sub> (Figure 2c solid bars experiments including “CO<sub>2</sub>”), with the exception of  
558 the F+VI+CO<sub>2</sub>-2steps experiments. The misfit reductions for the detrended CO<sub>2</sub> time series are  
559 generally lower (median reduction less than ~15%) and there are more pronounced differences  
560 between experiments.

561 These results and the low reduction in NEE and FAPAR RMSDs following the assimilation atmospheric  
562 CO<sub>2</sub> data described above highlight the predominance of the correction of the trend in atmospheric  
563 CO<sub>2</sub> time series through the fitting of the carbon pool parameters, over the tuning of the other model  
564 parameters related to photosynthesis and phenology (see Figure 3). The 2-step approach permits to  
565 partially overcome that limitation, with the improvement of the mean seasonal cycle for the three  
566 data streams (Figure 2c).

567

### 568 **3.1.3 Specific improvements at CO<sub>2</sub> stations**

569

570 Figure 3 further analyzes the impact of each assimilation experiment on the fit to the observed  
571 atmospheric CO<sub>2</sub> concentrations in terms of the bias in the long-term trend (2000-2009) and fit to the  
572 mean seasonal cycle over the same period (i.e., bias in seasonal amplitude and length of the carbon  
573 uptake period - see Supplementary text S2 and Figure S1 for representative comparisons of observed  
574 vs modeled time series of atmospheric CO<sub>2</sub> concentrations and their associated trend estimation).  
575 For the trend analysis (Figure 3a), only experiments where atmospheric CO<sub>2</sub> measurements are  
576 assimilated are considered.

577 With the default (prior) parameter values, the fluxes simulated by ORCHIDEE and transported by  
578 LMD<sub>2</sub> overestimate the (trend) by about 1 ppm.yr<sup>-1</sup>. When assimilating atmospheric CO<sub>2</sub> data, most

579 of the parameter correction aims at reducing this bias. This is mostly achieved by tuning the regional  
580  $K_{soilC\_reg}$  parameters: the net land carbon sink is increased globally in order to match the observed  
581 trend at most stations (reducing the bias from around 1 ppm.yr<sup>-1</sup> to 0.1 ppm.yr<sup>-1</sup>). Compared to the  
582 improvement in the bias in the trend, the improvements (reduction in bias) in the amplitude of the  
583 CO<sub>2</sub> seasonal cycle and in the length of the carbon uptake period (CUP) (Figures 3b and c) are  
584 marginal. Note that our joint DA experiments lead to significantly lower trend biases compared to  
585 the stepwise approach.

586 For the amplitude of CO<sub>2</sub> concentrations, the joint assimilations including CO<sub>2</sub> data lead to lower  
587 improvements on average compared to any single data stream assimilation experiment. Interestingly,  
588 the highest improvements in CO<sub>2</sub> amplitude are achieved when flux data are assimilated (F or F+VI),  
589 which reveals that the constraint on photosynthesis and respiration provided by FLUXNET  
590 measurements is consistent with the amplitude of the seasonal atmospheric CO<sub>2</sub> cycle and within the  
591 ORCHIDEE-LMDz model (as already pointed out in Kuppel et al. (2014)). Surprisingly, the use of  
592 satellite vegetation indices (VI) leads to a slightly lower residual amplitude bias than when  
593 atmospheric CO<sub>2</sub> data are assimilated, albeit a lower number of optimized parameters. For the length  
594 of the CUP, the relative model correction appears small for almost all experiments and is lower than  
595 what is achieved for the trend and amplitude. Some degradation (increased model-data bias) is even  
596 obtained for the cases F and F+CO<sub>2</sub>. This may be attributed to some inconsistency in the phasing of  
597 the CUP derived from the FLUXNET stations and from the atmospheric stations (given differences in  
598 the spatial and temporal scale constraints brought each data stream). Among the single data stream  
599 assimilations, the highest improvement is obtained for VI where the optimisation of the phenological  
600 parameters was the only improvement allowed for tuning the model. For the joint assimilations,  
601 those combining the three data streams provide the best performance and perform better than the  
602 stepwise approach.

603 Among the joint assimilations with three data streams, the 2-step approach results in the largest  
604 reduction in amplitude and CUP bias, but, on the other hand, the larger trend bias.

605

### 606 **3.2 Impact of the assimilations on regional to global land C fluxes and errors**

607

608 Figure 4 now compares the carbon fluxes (NEE and GPP) at the global scale and for three large  
609 regions (northern and southern extra-tropics, and tropics) using hindcast simulations based on the  
610 different optimisations.

611 NEE is close to equilibrium by construction in the prior model (about -0.3 GtC.yr<sup>-1</sup> globally). Note first  
612 that experiments excluding CO<sub>2</sub> data produce land carbon fluxes (from -10 (F+VI) to +6 (VI) GtC.yr<sup>-1</sup>,

613 not shown in Figure 3) that are not compatible with our understanding of the land C fluxes. For all  
614 experiments including atmospheric CO<sub>2</sub> data, the assimilations lead to much more negative NEE  
615 (increased land carbon sink) compared to the prior for nearly all regions: the optimized carbon sinks  
616 are about -2.4 GtC.yr<sup>-1</sup> at the global scale, similar to the stepwise approach (see Supplementary Text  
617 S6 for detailed results for each assimilation experiment). Therefore, our joint assimilation with  
618 atmospheric CO<sub>2</sub> data results in a land C sink that is in the range of independent TBM estimates of  
619 the global net carbon budget (over the same period, the Global Carbon Project reports a global land  
620 sink of -2.9 GtC.yr<sup>-1</sup> ± 0.8 standard deviation (see Table 5 of Friedlingstein et al., 2020). Note that we  
621 have imposed (see method in §2.1.2) a net emission from land use change (i.e. deforestation) of +1.1  
622 GtC.yr<sup>-1</sup> (2000-2009) which is slightly lower than that reported in Friedlingstein et al. (2020) from the  
623 TBMs (1.6±0.5 GtC.yr<sup>-1</sup>) or the Bookkeeping methods (1.4±0.7 GtC.yr<sup>-1</sup>), hence our lower terrestrial  
624 carbon sink.

625 These similar posterior global scale budgets however hide large regional contrasts. While the three  
626 joint assimilation experiments F+CO<sub>2</sub>, VI+CO<sub>2</sub>, and F+VI+CO<sub>2</sub>, lead to similar NEE budgets across  
627 regions (with magnitudes comparable to the stepwise assimilation set-up), the CO<sub>2</sub> and F+VI+CO<sub>2</sub>-  
628 2steps experiments result in distinctly different estimates. In the northern extra-tropics, the CO<sub>2</sub>  
629 assimilation results in the largest C sinks (numbers provided in Supplementary Text S6) while the  
630 F+VI+CO<sub>2</sub>-2steps assimilation leads to the lowest C sink. The reverse is obtained for the Tropics.

631 With a global scale budget of 171 GtC.yr<sup>-1</sup> for GPP, the prior ORCHIDEE model is on the high range of  
632 recent estimates of the global GPP, as synthesized in Anav et al. (2015), the mean value of which  
633 being around 140 GtC.yr<sup>-1</sup>. Depending on the data assimilated in this study, the posterior GPP ranges  
634 from 147 GtC.yr<sup>-1</sup> (F+VI) to 170 GtC.yr<sup>-1</sup> (VI+CO<sub>2</sub>) at the global scale. The largest differences with the  
635 prior are obtained for the experiments involving flux and satellite data (alone or the two combined).  
636 This is directly linked to large corrections in photosynthesis parameters for these experiments (see  
637 §3.3). In comparison, the assimilations involving atmospheric CO<sub>2</sub> concentrations data are more  
638 conservative with respect to GPP. Assimilating atmospheric CO<sub>2</sub> data alone lessens the GPP reduction  
639 by a factor of about three compared to assimilations with F and VI data, and the corrections for the  
640 joint assimilations using CO<sub>2</sub> data is even lower (cf Supplementary Text S6 for details).

641 By propagating the error on the parameters (see § 3.3) in the observation space (see Eq. 9), we  
642 calculated the uncertainty in NEE and GPP fluxes caused by parameter uncertainty for the prior and  
643 optimized models. The error statistics, initially calculated at monthly/grid scale resolutions, were  
644 aggregated over the same regions as above, fully accounting for the spatio-temporal correlations  
645 between grid cells (Figure 5).

646 At the global scale, the prior error standard deviation for NEE (4.7 GtC.yr<sup>-1</sup>) is high compared to the  
647 typical uncertainty associated to TBMs (about 0.5 GtC.yr<sup>-1</sup>, Friedlingstein et al. (2020)) or to

648 atmospheric inversions (estimated uncertainty  $\sim 0.4 \text{ GtC.yr}^{-1}$  in Peylin et al.(2013)). This is a  
649 consequence of neglecting negative error correlations between them (as done in nearly all C cycle DA  
650 studies). Given this high prior uncertainty, the posterior error for NEE and GPP are significantly  
651 reduced, as expected. Because of the strong dependence of the posterior errors on the optimisation  
652 set-up and the fact we do not consider the error of the model, we should only compare the relative  
653 error reduction between DA experiments. Noteworthy, the posterior errors in global NEE obtained  
654 for the experiments CO<sub>2</sub> and VI+CO<sub>2</sub> are about 15 times lower than the posterior errors resulting  
655 from the other data combinations (and three orders of magnitude lower than the prior error). This is  
656 due both i) to the need for the DA system to correct the large *a priori* mismatch of the atmospheric  
657 CO<sub>2</sub> growth rate and ii) to the lower number of optimized parameters in these configurations (Table  
658 2: about 60% more parameters being optimized in F+VI+CO<sub>2</sub> than in CO<sub>2</sub> or VI+CO<sub>2</sub>). The joint  
659 assimilations result in higher posterior errors on NEE, while they usually lead to the lower posterior  
660 errors on GPP. For GPP, the lowest posterior errors are found for the experiments combining F and  
661 CO<sub>2</sub> data, while experiments F, CO<sub>2</sub> and VI+CO<sub>2</sub> lead to larger posterior errors. This is due to the fact  
662 that i) F and CO<sub>2</sub> data provide a stronger constraint on the annual mean photosynthesis than VI data  
663 and that ii) F and CO<sub>2</sub> data provide cross constraints on photosynthesis. Experiment VI, in which  
664 about ten times fewer parameters are optimized and targeting primarily the timing of phenology,  
665 results in the highest posterior GPP errors (although still a reduction from the prior).  
666 Finally, one can observe that the posterior errors are higher in the tropics for both NEE and GPP (and  
667 the reduction compared to the prior error is lower), which is even more prominent in the  
668 experiments using *in situ* flux data alone or with satellite data, a direct consequence of the lower  
669 data availability (eddy-covariance measurements) to constrain the model parameters for tropical  
670 PFTs.

671

### 672 **3.3 Parameter estimates and associated uncertainties**

673

674 Figure 6 shows the impacts of the different assimilation experiments on a subset of the retrieved  
675 parameter values and their associated uncertainties (the remaining parameters are shown in Figure  
676 S2).

677 While the stepwise study showed only few changes in the parameter estimates between the  
678 sequential steps (and hence as a function of the data stream from which the parameters were  
679 constrained) (Peylin et al., 2016), our results show a large variability between the assimilation  
680 experiments . For most parameters, the highest departures from the prior values are obtained for  
681 single-data stream assimilations. Higher changes are obtained for flux or satellite data as compared

682 to the estimates retrieved with atmospheric CO<sub>2</sub> data alone which remain closer to the prior values.  
683 This reflects the lower constraint brought by the CO<sub>2</sub> assimilation experiment on photosynthesis and  
684 phenology related processes, as already pointed out in §3.1.2. This is largely due to the correction of  
685 the trend bias via a few respiration related parameters, which prevails over the improvement of the  
686 other photosynthesis and phenology parameters.

687 The joint assimilations usually result in a lower departure from the background. For the parameters  
688 constrained by two data streams, the optimized values generally fall in between those retrieved  
689 when these data streams are assimilated alone. This feature shows how the system tries to find a  
690 compromise solution and illustrates potential overfitting with only one data stream. The values  
691 optimized in the three experiments involving atmospheric CO<sub>2</sub> data show little variability for all  
692 parameters, except in F+VI+CO<sub>2</sub>-2steps where the tuning of the multiplicative parameter of regional  
693 soil carbon pools  $K_{soilC\_reg}$  is decoupled from the optimization of the other photosynthesis and  
694 phenological parameters. The decrease of  $K_{soilC\_reg}$  parameters from the prior value is very small in all  
695 experiments, although these parameters are responsible for most of the correction of the  
696 atmospheric CO<sub>2</sub> trend. This highlights the challenge of optimizing soil C disequilibrium with our  
697 approach based on a model spin-up followed by only a short transient period. The smallest  $K_{soilC\_reg}$   
698 changes are obtained for the 2-step approach. Note that in this approach,  $Q10$  is also estimated in  
699 the first step; the corresponding estimate is similar to the value retrieved in the second step (which is  
700 displayed in Figure 3), below 0.5% difference, and consistent with the estimates of the other joint  
701 assimilation experiments. For some parameters/PFTs, the direction of the departure with respect to  
702 the prior value (increase or decrease) may differ depending on the data stream assimilated (as  
703 detailed in S5).

704 At the first order, the estimated parameter uncertainties decrease with the number of observations  
705 assimilated, as expected from Equation 4, and given that the observations are treated as  
706 independent data. However, given that the estimated parameter errors strongly depend on the set-  
707 up of **B** and **R** matrices and that we did not use error correlations in these matrices, we should only  
708 focus on the relative error reduction between experiments. The uncertainty reduction achieved  
709 through the assimilation of atmospheric CO<sub>2</sub> data is usually lower than when flux and satellite data  
710 are assimilated alone, and typically vary between 10% and 60% for most photosynthetic and  
711 phenological parameters. Most often, the joint assimilations involving two data streams result in an  
712 uncertainty reduction higher or of the same order than that achieved in the single-data assimilations.  
713 The joint assimilation combining the three data streams generally results in the highest uncertainty  
714 reduction, with values typically between 60% and 90%. The values are much higher than those  
715 inferred from the stepwise approach, which are more on the order of the uncertainty reduction  
716 obtained in the CO<sub>2</sub> assimilation experiment.

717

### 718 **3.4 Relative constraints brought by the different datasets**

719

720 We now quantify the impact of each of the three data streams on the analysis using the global  
721 average observation influence (quantified by OI) and information content (DFS) metrics defined in  
722 § 2.4.4. We recall that OI (i.e. trace of  $\mathbf{S}$  normalized by the number of observations) gauges the  
723 average influence that each single observation has on the analysis, while the relative DFS measures  
724 the overall weight of one data stream in the optimization (the difference between OI and DFS is due  
725 to the number of observations assimilated, Cardinali et al. (2014)). OI and DFS are determined for the  
726 joint assimilation experiments combining the three data streams.

727 Because of the very large number of observations (above 300,000) involved in the assimilation, only  
728 the diagonal elements of the influence matrix (Eq. 10) can be calculated. The trace of  $\mathbf{S}$  measures the  
729 equivalent number of parameters and is equal to 132. Such a value, lower than the number of  
730 parameters (182), indicates that the optimized parameters may not be fully independent (although  
731 parameter error correlations have been ignored in our  $\mathbf{B}$  matrix) as already reported in Kuppel et al.  
732 (2012), or that some are not constrained during the optimisation process (as for instance  $LAI_{MAX}$   
733 which estimates remains at its *a priori* value for some PFTs, Figure S2 ).

734 The values of OI are provided in Table 4 for flux, NDVI and atmospheric CO<sub>2</sub> data. With about the  
735 same number of observations considered (Table 2, last column), one *in situ*\_flux measurement has  
736 about 10 times more weight than one NDVI observation. This is a consequence of the larger number  
737 of parameters constrained by flux measurements than by NDVI data in our set-up. The highest  
738 influence is found for atmospheric CO<sub>2</sub> data, the relative weight of one atmospheric CO<sub>2</sub>  
739 measurement being 4 times greater than that of one flux observation, albeit the much lower number  
740 of data assimilated. Again, this is a consequence of the strong weight of the mismatch between the *a*  
741 *priori* simulated and the observed atmospheric CO<sub>2</sub> trend , which is drastically reduced through the  
742 optimisation.

743 However, the smaller number of atmospheric CO<sub>2</sub> data assimilated, compared to flux and NDVI  
744 datasets, reduces the overall constraint on the analysis provided by atmospheric CO<sub>2</sub> data, as gauged  
745 by its relative DFS. Hence, our optimization is mainly controlled by flux data which have an overall  
746 contribution of about 75%, that is about 5 times larger than the constraint brought by atmospheric  
747 CO<sub>2</sub> data and 7 times larger than that of satellite NDVI. Differences between F+VI+CO<sub>2</sub> and  
748 F+VI+CO<sub>2</sub>-2steps are relatively small for both OI and DFS but show a slightly lower weight of  
749 atmospheric CO<sub>2</sub> data for the 2 steps experiment. A complementary analysis in which the influence  
750 of each PFT and each atmospheric station is differentiated is provided in Supplementary Text S7.

751

## 752 **4 Discussion**

753

### 754 **4.1 Benefits of simultaneous assimilations**

755 Joint/simultaneous assimilations are more complex to implement compared to stepwise/sequential  
756 assimilations. In principle a stepwise approach could lead to similar results than a simultaneous  
757 approach, if the posterior parameter error covariance matrix could be fully characterized at each  
758 assimilation step and further propagated as prior information in the next step. However, given that  
759 this is difficult in practice, and because of model non-linearities and equifinal solutions,  
760 stepwise/joint approaches lead to different optimized models (Kaminski et al., 2012; MacBean et al.  
761 2016). With a joint assimilation, biases and incompatibilities between data streams may impact more  
762 directly a larger set of parameters than in a stepwise assimilation. The characterization of the prior  
763 observation errors also becomes more critical as they condition the relative weight of the  
764 observations in the misfit function to minimize and their influence on the solution (analysis). Here,  
765 we designed several tests beforehand to refine the configuration of the framework for the  
766 simultaneous assimilations. Relying on consistency metrics of Desroziers et al. (2005), we improved  
767 the prior error statistics on the model parameters and checked that they were consistent with both  
768 the prior model-data mismatch and the observations errors for the different data streams. In spite of  
769 the limitation of their application to non-linear models like ORCHIDEE, their implementation has  
770 proved to be useful and has led to an improved consistency of the optimized models at regional and  
771 global scales.

772 Single data stream assimilations usually lead to the best model - data fit for the assimilated data  
773 stream, as compared to joint assimilations. However, most often these single data stream  
774 assimilations also produce degraded results with respect to the data that were not assimilated. This  
775 reveals potential overfitting issues with a higher variability of the optimized parameter values than in  
776 the joint assimilations. Overfitting is a key issue for DA studies which can be partly alleviated when  
777 combining different data streams within a consistent framework: because they bring different  
778 information on the model processes, they contribute to better circumscribing a set of model  
779 parameters. Among the several assimilation experiments considered, those where several data were  
780 assimilated simultaneously were those in which there was always an improvement in optimized  
781 variables (i.e. no deterioration in model-data fit). The joint assimilations resulted in a reduced  
782 variability in parameter estimates and in optimized NEE and GPP.

783



## 784 **4.2 Realism of the regional to global-scale C fluxes**

785 The overarching objective of the study was more about assessing how to make the best of a  
786 synergistic exploitation of different data streams within a consistent assimilation framework rather  
787 than achieving an up-to-date re-analysis of the global carbon fluxes. Especially since we focused on a  
788 limited dataset both in terms of temporal coverage (no atmospheric CO<sub>2</sub> data nor satellite data after  
789 2010, no *in situ* flux data beyond 2007) and of informational constraint. Indeed, we did not assess the  
790 potential of other data that can bring relevant (and possibly more direct) additional constraints on  
791 the dynamics of terrestrial carbon stocks and fluxes, such as aboveground biomass (Thum et al., 2017)  
792 or Solar Induced-Fluorescence (Bacour et al., 2019) which have already been investigated with  
793 ORCHIDAS, and with an updated version of the ORCHIDEE model. The expansion of the assimilated  
794 datasets to provide the most up-to-date constraint on modeled carbon fluxes will be the subject of  
795 future work.

796 In spite of these limitations, we saw that the regional/global estimated NEE and GPP budgets are  
797 realistic and in agreement with independent estimates. There are still important differences in the  
798 model predictions for the different assimilation experiments (and we have not attempted to identify  
799 what was the most reliable optimized model, which would require the use of an ensemble of  
800 independent data, an effort beyond the scope of this paper). Still, our optimised simulations allow a  
801 more in depth exploration of the partitioning of the land carbon budget between the northern extra-  
802 tropics and the tropics. From the global carbon budget, a discrepancy exists between the partition  
803 estimated by the atmospheric CO<sub>2</sub> inversions and by the terrestrial biosphere models (Kondo et al.,  
804 2020). Atmospheric inversions estimate a larger sink over the northern extra-tropics than TBMs  
805 (around 1.8 GtC.yr<sup>-1</sup> versus 1.0 GtC.yr<sup>-1</sup> for the period 2010-2020), although with large variations  
806 between TBMs (Friedlingstein et al., 2020, Figure 8). Conversely, TBMs estimate a larger C sink over  
807 the tropics (Ahlström et al., 2015; Sitch et al., 2015), possibly due to strong CO<sub>2</sub> fertilization effects in  
808 TBMs (Schimel et al., 2015), than the inversions, which estimate an approximately net neutral C sink  
809 (Peiro et al., 2022). The F+VI+CO<sub>2</sub>-2steps assimilations follow the typical partitioning pattern of  
810 TBMs' behavior, with a stronger C sink in the tropics than in the northern hemisphere (Figure 4). In  
811 contrast, all other multiple data stream experiments with CO<sub>2</sub> included (F+CO<sub>2</sub>, VI+CO<sub>2</sub> and  
812 F+VI+CO<sub>2</sub>) and the stepwise lead to an approximately equal C sink in the northern hemisphere and  
813 tropics (thus unlike the general pattern for TBMs, and more in line with atmospheric inversions); And  
814 on the other hand, the CO<sub>2</sub> experiment leads to a similar regional partitioning as the atmospheric  
815 inversions. For the F+VI+CO<sub>2</sub>-2steps experiment, the tropical sink is almost doubled as compared to  
816 the other simultaneous assimilation experiments in spite of a slightly reduced GPP.

817

### 818 **4.3 Caveats and perspectives concerning the initialisation of the soil carbon pools**

819 We showed that reaching the global terrestrial carbon sink was mostly achieved by correcting the  
820 initial soil carbon reservoirs in the ORCHIDEE model. Their tuning enables the correction of the  
821 biased trend between atmospheric CO<sub>2</sub> time series measurements at stations and the prior  
822 ORCHIDEE-LMDz model. The impact of this biased trend on the optimization performance was  
823 highlighted by the quantification of the influence for the three data streams on the optimization,  
824 with atmospheric CO<sub>2</sub> data having the largest average observation influence on the solution. A  
825 consequence of correcting the biased trend is that the model improvement with respect to other  
826 processes (photosynthesis, phenology) is hindered.

827 From a more general perspective, the detrimental consequences of model-data biases become even  
828 more important when assimilating multiple observational constraints because of their  
829 interconnected contribution to the model calibration. It should be noted that the impact of  
830 systematic model-data errors is not inherent to our minimization approach (gradient-based) and has  
831 also been highlighted using random search approaches (Brynjarsdóttir and O'Hagan, 2014; Cameron  
832 et al., 2021). Thus, the importance of accounting for bias correction approaches into data  
833 assimilation schemes (Dee, 2005; Trémolet, 2006; Kumar et al., 2012) becomes increasingly  
834 important as the complexity of models and the number of observational constraints increase.

835 We attempted here to overcome this by setting up a 2-step assimilation process where the trend  
836 correction is mostly achieved in the first step by tuning the regional parameters controlling the soil  
837 carbon pools. In doing so, the 2-step approach optimizes the constraint brought by *in situ* and  
838 satellite data (in the second step) in the joint assimilation process. Therefore, the 2-step results in  
839 enhanced model-data consistencies compared to a standard simultaneous assimilation (as observed  
840 in Figure 2 and Figure 3) with a caveat regarding atmospheric CO<sub>2</sub> data (the improved fit is mostly  
841 with the detrended atmospheric CO<sub>2</sub> data but not the raw data) and the distribution of the land C  
842 sink (we saw above that this experiment tends to favor a tropical C sink). We acknowledge the fact  
843 that this way of doing is not optimal and requires further investigation. Going beyond the steady  
844 state assumption following model spin-up has been discussed already (Carvalhais et al., (2010);  
845 MacBean et al., 2022), as steady state results in biased estimates of soil carbon reservoirs (Exbrayat  
846 et al., 2014). Extending the period for the transient simulations following spin-up, like it is done in the  
847 TRENDY experiment (Sitch et al., 2015), would have led to more realistic soil C imbalance and  
848 increased the consistency of the modelled atmospheric data with the measurements. Improving the  
849 representation of soil carbon stock trajectories in TBMs is pivotal to predicting NEE in regional to  
850 global assessments of the capacity of the terrestrial ecosystems to absorb or not atmospheric CO<sub>2</sub>.  
851 We used here atmospheric CO<sub>2</sub> data to optimize a scalar that accounts for the soil C disequilibrium.  
852 The optimization of scaling factors of soil carbon pools is a handy alternative to the optimization of

853 the parameters controlling the turnover times and soil carbon input of the ORCHIDEE soil C model.  
854 This would require that the spin-up (over at least one thousand years) and transient simulations are  
855 included in the minimization process at each iteration; the prohibitive calculation times for  
856 performing this type of optimisation precludes us doing this for now. Exploiting in TBMs databases  
857 more directly related to regional soil carbon contents (such as the Harmonized World Soil Database  
858 (HWSD) (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012), the International Soil Carbon Network, Nave et al.  
859 (2016), or the global soil respiration database, Jian et al. (2021)) is not straightforward because of the  
860 errors associated these datasets (Todd-Brown et al., 2013), and inconsistencies between the  
861 estimated quantities and the model state variables and underlying processes (as for instance the  
862 depth of the soil carbon) . In any case, what is sorely needed is data that track changes in C stocks  
863 over long time periods. Still, it is of primary importance for the science community to endeavor to  
864 bridge the gap between state-of-the art estimates of soil carbon stocks and the quantities that TBMs  
865 simulate over the historical period.

866

## 867 **5 Conclusion**

868 By assimilating simultaneously or separately up to three independent carbon-cycle related data  
869 streams (*in situ* measurements of net carbon and latent heat fluxes, satellite derived NDVI data, and  
870 measurements of atmospheric CO<sub>2</sub> concentration at surface stations) within the ORCHIDEE global  
871 model (and an offline transport model based on pre-calculated transport fields with LMDz), we have  
872 been able to analyze their compatibility, complementarity, and usefulness, in the frame of a global-  
873 scale carbon data assimilation system. To do so, the study relied on different metrics to set-up and  
874 interpret the assimilation performances. The approach as well as the explored metrics are general  
875 enough to benefit to a broader set of data assimilation applications, supporting guidance for setting  
876 up such a C cycle DA framework and for better use of the data to be assimilated.

877 We investigated how the different combinations of data streams constrain the parameters of the  
878 ORCHIDEE land surface model, and by consequence the simulated historical spatial and temporal  
879 distribution of the net and gross carbon fluxes (NEE and GPP), as well as FAPAR and atmospheric CO<sub>2</sub>  
880 concentrations. We quantified how the combination of these data-streams (two by two or  
881 altogether) impacts the reliability of the model predictions. Although it leads to lower fitting  
882 performances with respect to the assimilation of any individual dataset (because the optimization  
883 seeks for a trade-off solution between all data-streams) the simultaneous assimilation of the three  
884 data-streams is found to be the most consistent approach. In particular, it avoids model overfitting  
885 which can degrade the model predictions with respect to data-streams not assimilated. The

886 successive model evaluations performed after the assimilation highlighted challenges in handling  
887 model-data bias in Bayesian optimisation frameworks.

888 In this study, we focused on biases associated to the initialisation of the soil carbon pools in our set-  
889 up (the fact that they are out of equilibrium because of all historical land cover change and land  
890 management impacts. A careful spin-up including a transient simulation to account for the impact of  
891 all past disturbances (climate, land cover, land management) is mandatory but likely not sufficient  
892 (due to uncertainties in the historical evolution of these drivers) to achieve accurate simulation of  
893 the space-time distribution of the global land C sink. Next steps should focus on including part of the  
894 spin-up (i.e. such as the transient simulation) in the assimilation procedure possibly in conjunction  
895 with initial C pool optimisation.

896 Terrestrial ecosystem modelers are anticipating the many novel types of observations that are being  
897 made available for model evaluation and assimilation. As a result, and in parallel to the growing  
898 complexity of TBMs incorporating new biogeo- physical processes related to the carbon and water  
899 cycles, new observation operators are being developed to be able to make use of this new wealth of  
900 data. With these new perspectives ahead, the global land surface modeling community should  
901 investigate more deeply some of the issues highlighted in this study and linked to multiple data  
902 streams assimilation, initial model state optimisation and/or the inclusion of the spin up in the DA  
903 system, etc., in order to achieve significant reduction in land surface model projection uncertainties.

904  
905

## 906 **Code availability**

907 The ORCHIDEE model code is open source (<http://forge.ipsl.jussieu.fr/orchidee>) and the associated  
908 documentation can be found at <https://forge.ipsl.jussieu.fr/orchidee/wiki/Documentation>. The  
909 ORCHIDAS data assimilation scheme (in Python) is available through a dedicated web site  
910 (<https://orchidas.lsce.ipsl.fr/>). Information about the LMDz model, source code and contact is  
911 provided at <https://lmdz.lmd.jussieu.fr/le-projet-lmdz-en-bref-en>.

912

## 913 **Data availability**

914 This work used eddy covariance data acquired by the FLUXNET community  
915 (<https://fluxnet.org/data/la-thuille-dataset/>). The NDVI data are derived from the MODIS  
916 MOD09CMG collection 5 daily global reflectance products  
917 (<https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/products/MOD09CMG>). The  
918 surface atmospheric CO<sub>2</sub> concentration data uses measurements from The NOAA Earth System  
919 Laboratory (ESRL) archive (<ftp://ftp.cmdl.noaa.gov/ccg/co2/>), the CarboEurope IP project

920 ([http://ceatmosphere.lsce.ipsl.fr/database/index\\_database.html](http://ceatmosphere.lsce.ipsl.fr/database/index_database.html)), and the World Data Centre for  
921 Greenhouse Gases of the World Meteorological Organization Global Atmospheric Watch Programme  
922 (<http://gaw.kishou.go.jp>).

923

## 924 **Author contributions**

925 CB, NM, PP and FC conceived the research. CB developed the data assimilation system with  
926 contribution from FC (coupling with LMDz) and SL (parallelisation and post-processing). PP developed  
927 the offline transport (precomputed Jacobian matrix of LMDz) with contribution from SL. CB  
928 conducted the analysis, with contributions from NM and SL for spin-up ORCHIDEE simulations. PP, FC,  
929 and EK, provided the ancillary input fluxes for the global-scale simulations. EK and CB contributed to  
930 the development of the tangent linear version of the ORCHIDEE model. CB conceived and wrote the  
931 original draft with NM, PP, and FC. All co-authors reviewed the paper.

932

933

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939

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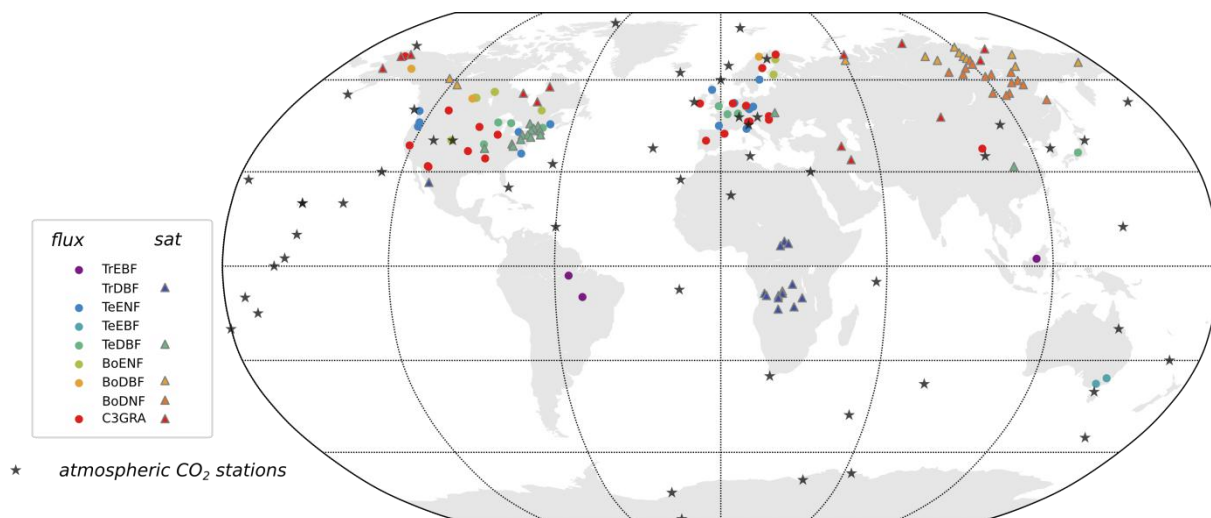
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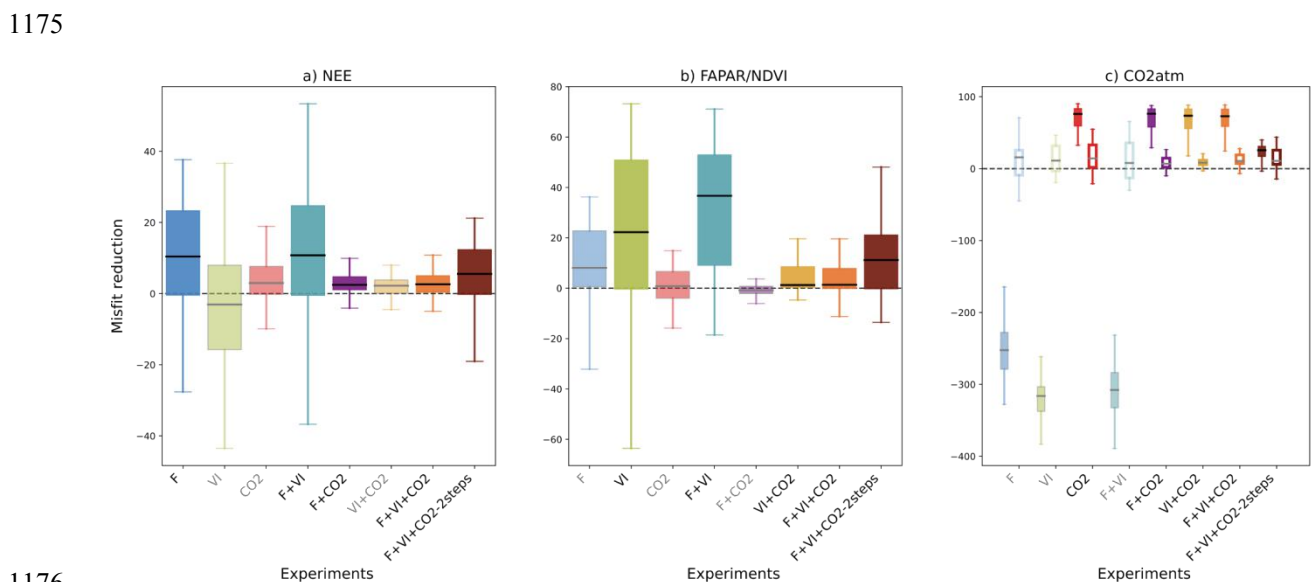
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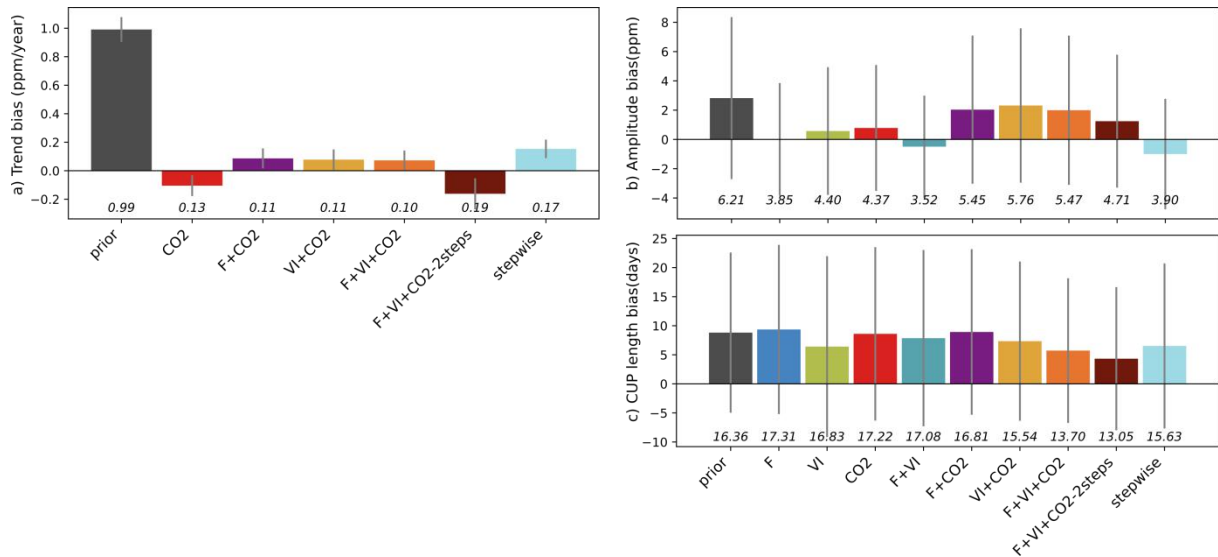
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 1173 **Figure 1: Location of the flux tower sites (circles), satellite pixels (triangles), and atmospheric CO<sub>2</sub>**  
 1174 **stations (black stars) used in this study.**

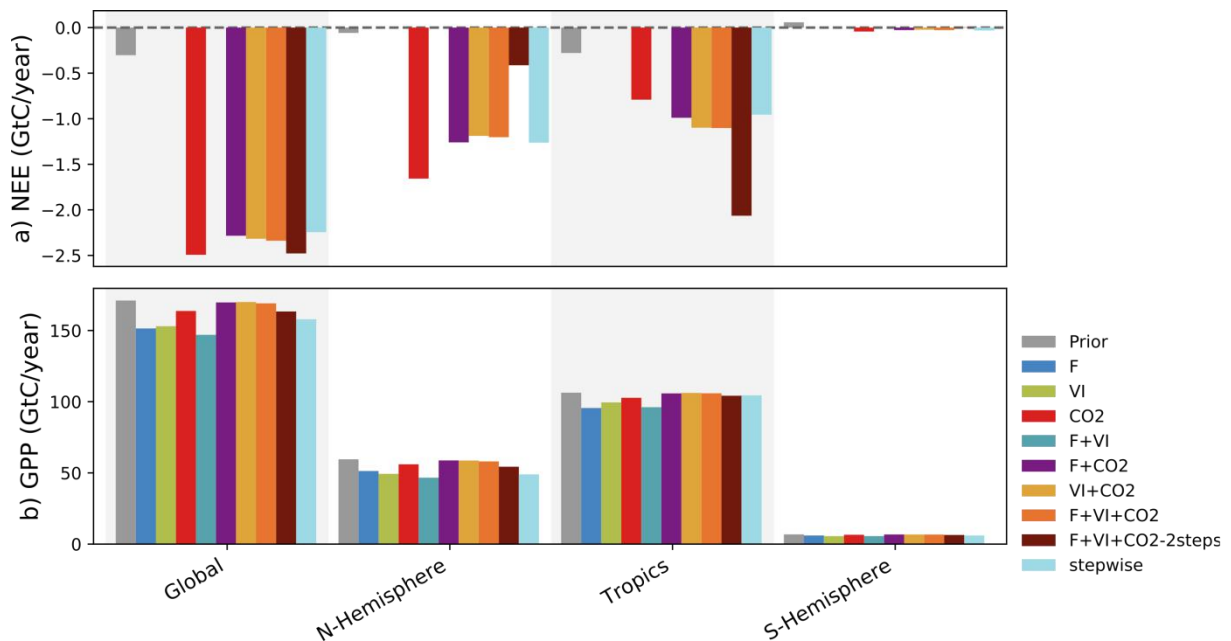


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 1177 **Figure 2: For all data streams, boxplots of the reduction of the model-data mismatch following the**  
 1178 **different assimilation experiments. For a given data stream, the assimilation experiments in which**  
 1179 **it is involved are labeled in black (x-axis) and the boxplot colors are dark colored; and in gray / light**  
 1180 **colors otherwise (back-compatibility check). For the atmospheric CO<sub>2</sub> concentration data at**  
 1181 **stations, the misfit reduction is calculated both for the raw (not detrended) data (left solid boxplot**  
 1182 **of each assimilation experiment, with colored boxplots) and the detrended data (right white**  
 1183 **boxplot of each assimilation experiment).**



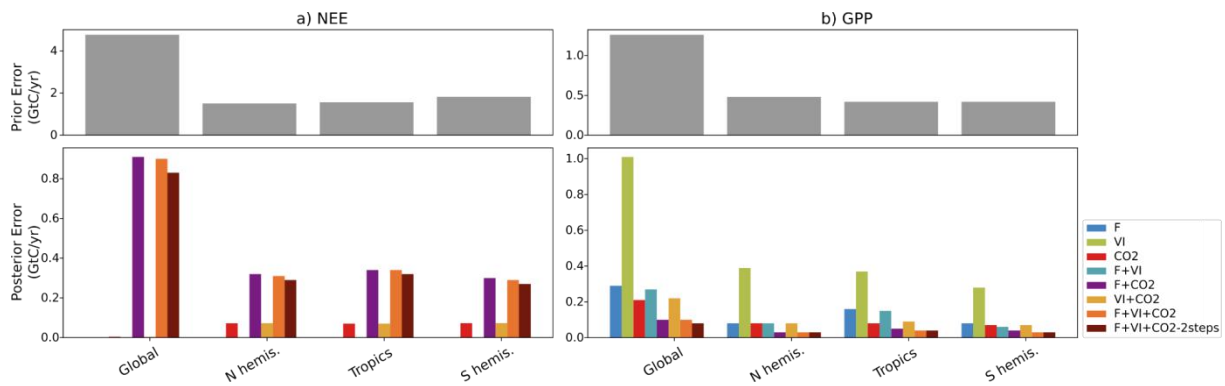
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**Figure 3: Residual biases of the atmospheric CO<sub>2</sub> time series between those measured at stations and the simulations (prior and optimized for each assimilation experiment), in terms of trend, magnitude of the seasonal cycle and length of the carbon uptake (CUP). The study results are compared to those obtained using a sequential approach (Peylin et al., 2016). The bars show for each quantity the mean bias relative to the measurements over the period 2000-2009. The standard deviations of the differences between observations and simulations over all stations are shown as the gray vertical lines, and the RMSD are provided below in italic.**



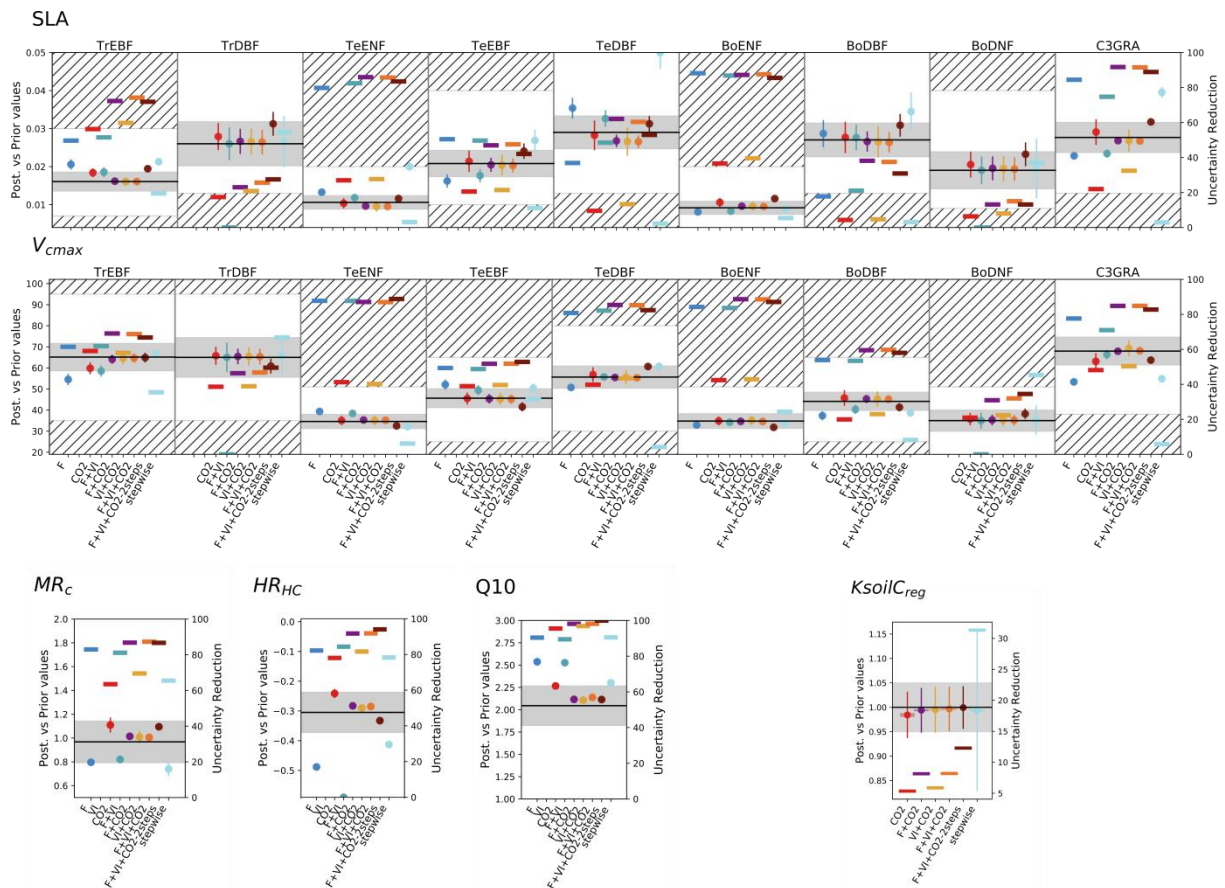
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**Figure 4: Global and regional C budget for NEE and GPP, and for the northern hemisphere (30°N-90°N), tropics (30°N-30°S) and southern hemisphere (30°S-90°S), regions, for the prior model and the model calibrated for the several assimilation experiments. For NEE, only the experiments involving atmospheric CO<sub>2</sub> data are shown. The period considered is 2000-2009.**



1198  
 1199 **Figure 5: For NEE (left) and GPP (right) prior errors (top), and posterior errors obtained for each assimilation**  
 1200 **experiment (bottom), over the regions considered. For NEE, only the experiments involving atmospheric CO<sub>2</sub>**  
 1201 **data are shown.**

1202



1203  
 1204 **Figure 6: Prior and posterior parameter values and uncertainties for a set of optimized parameters (two PFT-**  
 1205 **dependent parameters -  $SLA$  and  $V_{cmax}$  - and four non-PFT dependent). The prior value is shown as the**  
 1206 **horizontal black line and the prior uncertainty (standard deviation) as the gray area encompassing it along**  
 1207 **the x-axis. For the PFT-dependent parameters, each box corresponds to a given PFT; empty boxes indicate**  
 1208 **that this parameter was not constrained for the corresponding PFTs. The white zone (non-dashed area)**  
 1209 **corresponds to the allowed range of variation. The optimized values are provided for each assimilation**



1210 experiment (the eight ones considered in this study and the one from Peylin et al. (2016) – "stepwise"); the  
1211 corresponding posterior errors are displayed as the vertical bars. Note that the prior values presented here  
1212 are those used in this study, and not those of the stepwise (which are higher/lower for the photosynthesis  
1213 and respiration / phenological parameters). For each assimilation experiment is also provided the  
1214 uncertainty reduction (right y-axis) as the thick opaque horizontal bars. For *KsoilC\_reg*, the posterior values  
1215 displayed here correspond to the mean over the ecoregions (without Antarctica) considered; the semi-  
1216 transparent horizontal bars on either side of the posterior values correspond to the standard deviation of the  
1217 estimates.

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Name	Description	Data stream
<u>Photosynthesis</u>		
<b>V<sub>cmax</sub></b>	maximum carboxylation rate ( $\mu\text{mol.m}^{-2}.\text{s}^{-1}$ )	F, CO2
<b>G<sub>s,slope</sub></b>	Ball-Berry slope	F, CO2
<b>T<sub>opt</sub></b>	optimal photosynthesis temperature ( $^{\circ}\text{C}$ )	F, CO2
<b>SLA</b>	specific leaf area ( $\text{m}^2.\text{g}^{-1}$ )	F, CO2
<u>Soil water availability</u>		
<b>H<sub>um,cste</sub></b>	root profile ( $\text{m}^{-1}$ )	F, CO2
<u>Phenology</u>		
<b>LAI<sub>MAX</sub></b>	maximum LAI value	F, CO2
<b>K<sub>pheno,crit</sub></b>	multiplicative parameter of the threshold that determines the start of the growing season	F, VI, CO2
<b>T<sub>senes</sub></b>	temperature threshold for senescence ( $^{\circ}\text{C}$ )	F, VI, CO2
<b>L<sub>age,crit</sub></b>	average critical age of leaves (days)	F, VI, CO2
<b>K<sub>LAI,happy</sub></b>	LAI threshold to stop using carbohydrate reserves	F, VI, CO2
<u>Respiration</u>		
<b>Q10</b>	temperature dependency of heterotrophic respiration	F, CO2
<b>HR<sub>H,c</sub></b>	Offset of the function for moisture control factor of heterotrophic respiration	F, CO2
<b>MR<sub>c</sub></b>	Offset of the affine relationship between temperature and maintenance respiration	F, CO2
<b>K<sub>soilC,site</sub></b>	Multiplicative factor of initial slow and passive carbon pools	F
<b>K<sub>soilC,reg</sub></b>	Multiplicative factor of initial slow and passive carbon pools	CO2

1221 **Table 1: List of the ORCHIDEE parameters to be optimized and data streams that constrain them (F for *in situ***  
1222 **flux measurements, VI for normalized satellite NDVI data, CO2 for atmospheric CO<sub>2</sub> concentration data).**

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1224

experiment name	flux data	NDVI data	atmospheric CO <sub>2</sub> concentrations	number of optimized parameters	number of observations
<b>F</b>	<b>x</b>			133	150792
<b>VI</b>		<b>x</b>		19	149916
<b>CO2</b>			<b>x</b>	114	6360
<b>F+VI</b>	<b>x</b>	<b>x</b>		152	300708
<b>F+CO2</b>	<b>x</b>		<b>x</b>	182	157152
<b>VI+CO2</b>		<b>x</b>	<b>x</b>	114	156276
<b>F+VI+CO2</b> <b>F+VI+CO2-2steps</b>	<b>x</b>	<b>x</b>	<b>x</b>	182	307068

1225 **Table 2: Characteristics of the various assimilation experiments (flux data – F, satellite NDVI vegetation index**  
1226 **– VI, and atmospheric CO<sub>2</sub> concentration – CO<sub>2</sub>).**

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1228

	<b>NEE</b>	<b>LE</b>	<b>VI</b>	<b>CO2</b>
<b>R</b>	1.75	1.75	0.33	1.22
$E [\mathbf{d}_a^o \cdot \mathbf{d}_b^{oT}]$	1.49	1.49	0.21	1.16
$ratio^R$	1.17	1.17	1.55	1.05
<b><math>\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T</math></b>	1.45	8.30	0.2	15.17
$E [\mathbf{d}_b^a \cdot \mathbf{d}_b^{oT}]$	0.92	5.45	0.24	6.29
$ratio^B$	1.59	1.52	0.83	2.41
<b><math>\mathbf{H}_o \cdot \mathbf{B} \cdot \mathbf{H}_o^T + \mathbf{R}</math></b>	2.28	23.63	0.38	15.22
$E [\mathbf{d}_b^o \cdot \mathbf{d}_b^{oT}]$	1.75	22.11	0.31	6.39
$ratio^{BR}$	1.17	1.07	1.23	2.38
<b><math>\mathbf{H}_o \cdot \mathbf{A} \cdot \mathbf{H}_o^T</math></b>	0.25	1.82	0.07	3.26
$E [\mathbf{d}_b^a \cdot \mathbf{d}_a^{oT}]$	-0.45	-5.12	-0.15	-2.13
$ratio^A$	-0.56	-0.36	-0.43	-1.53

1229 **Table 3: Consistency diagnostics of the error covariance matrices for the F (using NEE and LE data), VI, and**  
1230 **CO2, assimilation experiments. The ratios are calculated with the mathematical expectation term as the**  
1231 **denominator.**

1232

	<b>OI</b>		<b>Relative DFS</b>	
	<b>1-step</b>	<b>2-step</b>	<b>1-step</b>	<b>2-step</b>
<b>flux</b>	0.000586	0.000577	74.65	76.9
<b>NDVI</b>	0.000048	0.000048	11.12	11.68
<b>CO2</b>	0.002654	0.002035	14.23	11.42

1233 **Table 4: Observation influence and relative DFS statistics of each data stream for the joint assimilation**  
1234 **experiments F+VI+CO2 and F+VI+CO2-2steps.**

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