

1 **Global evaluation of ELMv1-CNP and the role of the phosphorus cycle in the historical**  
2 **terrestrial carbon balance**

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38 **Abstract**

39 The importance of carbon (C)-nutrient interactions to the prediction of future C uptake has long  
40 been recognized. The Energy Exascale Earth System Model (E3SM) land model (ELM) version 1  
41 is one of the few land surface models that include both N and P cycling and limitation (ELMv1-  
42 CNP). Here we provide a global scale evaluation of ELMv1-CNP using International Land Model  
43 Benchmarking (ILAMB) system. We show that ELMv1-CNP produces realistic estimates of  
44 present-day carbon pools and fluxes. Compared to simulations with optimal P availability,  
45 simulations with ELMv1-CNP produces better performance, particularly for simulated biomass,  
46 leaf area index (LAI), and global net C balance. We also show ELMv1-CNP simulated N and P  
47 cycling are in good agreement with data-driven estimates. We compared ELMv1-CNP simulated  
48 response to CO<sub>2</sub> enrichment with meta-analysis of observations from similar manipulation  
49 experiments. We show that ELMv1-CNP is able to capture the field observed responses for  
50 photosynthesis, growth, and LAI. We investigated the role of P limitation in the historical  
51 balance and show that global C sources and sinks are significantly affected by P limitation, as  
52 the historical CO<sub>2</sub> fertilization effect was reduced by 20% and C emission due to land use and  
53 land cover change was 11% lower when P limitation was considered. Our simulations suggest  
54 that introduction of P cycle dynamics and C-N-P coupling will likely have substantial  
55 consequences for projections of future C uptake.

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100 **1. Introduction**

101 The recent global carbon (C) budget showed that over the last half century global  
102 fossil CO<sub>2</sub> emissions have increased from about 3 Pg C/yr in 1960s to about 9.5 PgC/yr in the  
103 last decade (Friedlingstein et al., 2019). It has also been shown that land ecosystems play  
104 important roles in controlling the fractions of CO<sub>2</sub> emissions that remain in the atmosphere  
105 by taking up about 29% of total emissions (Le Quéré et al., 2018). Large uncertainties  
106 remain on the net land-atmosphere C exchange, mainly due to difficulties in quantifying the  
107 complex C cycle processes such as CO<sub>2</sub> fertilization effects, responses of carbon fluxes to  
108 temperature and precipitation variation, and C emissions associated with land use and land  
109 cover change (LULCC). These uncertainties will very likely hamper our ability to predict the  
110 future trajectories of atmospheric CO<sub>2</sub>.

111 One of the important uncertainties relates to our understanding of C-nutrient  
112 interactions and nutrient limitation and how they are represented in models. The  
113 importance of nitrogen (N) availability to predicted land C storage has been long recognized  
114 (Hungate et al., 2003). Although there were only two models in CMIP5 (the fifth phase of  
115 the Coupled Model Intercomparison Project) that accounted for N dynamics and N  
116 limitation (Thornton et al., 2007; Thornton et al., 2009; Arora et al., 2013), many ESMs  
117 participating in CMIP6 (the Coupled Model Intercomparison Project phase 6) are now  
118 including N cycle and C-N interactions (Davies-Barnard et al., 2020; Lawrence et al., 2019;  
119 Goll et al., 2017a; Smith et al., 2014; Sellar et al., 2019). The comparisons between these  
120 models have been summarized in Arora et al. (2020) and Davies-Barnard et al. (2020). In  
121 recent years, significant efforts have also gone into understanding phosphorus (P) cycle  
122 dynamics and the role of P limitation in land C storage (Jiang et al., 2019; Hou et al., 2020;  
123 Reed et al., 2015; Wieder et al., 2015b; Sun et al., 2017). Increasing numbers of models  
124 have developed the capability to include P cycle processes and C-N-P interactions (Wang et  
125 al., 2010; Goll et al., 2012; Thum et al., 2019; Goll et al., 2017b; Yang et al., 2014; Yang et al.,  
126 2019; Sun et al., 2021). It has been shown that considering P cycle dynamics and C-N-P  
127 interactions improves process representation and model fidelity compared with  
128 observational and experimental data in most models (Goll et al., 2017b; Yang et al., 2014).

129 Model simulations have also demonstrated the importance of P limitation to land C uptake  
130 (Zhang et al., 2014; Goll et al., 2012; Yang et al., 2016; Yang et al., 2019; Sun et al., 2021).  
131 Using an ensemble of 14 terrestrial ecosystem models to simulate the planned free-air CO<sub>2</sub>  
132 enrichment experiment AmazonFACE, Fleischer et al. (2019) showed that P availability  
133 reduced the projected CO<sub>2</sub>-induced C sink by about 50% compared to estimates from  
134 models assuming no phosphorus limitation. Taken together, understanding and  
135 representation of the role of P cycle dynamics in affecting terrestrial C balance is essential  
136 for the prediction of future terrestrial carbon uptake and atmospheric CO<sub>2</sub> concentration.

137 Field and modeling studies have shown that forest productivity tends to increase with  
138 increasing soil phosphorus availability (Vicca et al., 2012; Aragão et al., 2009; Wang et al.,  
139 2010). Despite these recent efforts, P cycle dynamics and C-N-P interactions are not yet  
140 included in most CMIP6 models. The Energy Exascale Earth System Model(E3SM) is one of  
141 the few models that have been developed a coupled C-N-P capability in the land component  
142 in CMIP6 (Burrows et al., 2020). The land model in E3SM, herein referred to as ELMv1-CNP,  
143 has been first applied in the Amazon region to test its capability and to evaluate the  
144 importance of P limitation in this region (Yang et al., 2019). Yang et al. (2019) provides an in-  
145 depth evaluation of ELMv1-CNP for the Amazon rainforest using field observational data,  
146 with a focus on how the introduction of P cycle dynamics and P limitation improved model  
147 simulated spatial variation of productivity. They show that effects of P limitation on C  
148 sources and sinks in the Amazon region are significant, reducing simulated CO<sub>2</sub> fertilization  
149 of new carbon uptake by as much as 31%.

150 This study expands the analysis in the Amazon region to the global scale and has two  
151 main aims: (1) to provide an evaluation of ELMv1-CNP performance on the global scale  
152 using both observational and experimental data, and (2) to quantify the role of P cycle  
153 dynamics and P limitation in affecting simulated C sources and sinks globally. We first  
154 evaluate the performance of ELMv1-CNP using the ILAMB benchmarking system (Collier et  
155 al., 2018), which has been widely used in the evaluation of land surface models and ESMs  
156 (Lawrence et al., 2019; Bonan et al., 2019; Zhu et al., 2019; Friedlingstein et al., 2019). We  
157 then evaluate ELMv1-CNP simulated N and P pools and fluxes with an observation-based

158 dataset. Realizing that the static benchmarking may not help constrain future model  
159 projections, we further evaluate ELMv1-CNP using experimental manipulations of  
160 atmospheric CO<sub>2</sub>. Finally, we take advantage of the P-enabled capability in ELMv1-CNP to  
161 quantify the effect of P dynamics on the simulated ecosystem responses to increasing  
162 atmospheric CO<sub>2</sub>, increasing N deposition, LULCC, and climate change on the global scale.  
163

## 164 **2. Method**

### 165 **2.1 Model Overview**

166 ELMv1-CNP is based on the Community Land Model version 4.5 (CLM4.5), which  
167 includes coupled C-N biogeochemistry from CLM4 (Thornton et al., 2007) and  
168 improvements to canopy photosynthesis, soil biogeochemistry and representation of  
169 nitrogen cycle dynamics (Koven et al., 2013; Bonan et al., 2011; Oleson et al., 2013).  
170 Recognizing the critical role of the tropical forests in the global carbon cycle and C-climate  
171 interactions and the important role of P cycle dynamics and P limitation in tropical forests,  
172 we implemented a fully prognostic P cycle and C-N-P interactions into ELMv1-CNP, enabling  
173 ELMv1-CNP to be one of the few land surface models that include both N and P cycle  
174 dynamics and limitation. The main model features include (1) a fully prognostic P cycle  
175 tracking various soil inorganic P pools, vegetation P pools, litter and soil organic P pools (2)  
176 the representation of P limitation on plant productivity and litter and soil organic matter  
177 decomposition based on a supply-demand approach (3) resolving N vs P limitation using  
178 the Liebig law (4) the vertically-resolved soil inorganic and organic P dynamics (5) the  
179 decoupling of P cycle from C and N cycle during decomposition due to phosphatase activity  
180 (6) the representation of adsorption-desorption dynamics based on soil order.

181 Besides the P cycling processes, the other important difference of ELMv1-CNP from  
182 CLM4.5 is the removal of instantaneous downregulation of photosynthesis from nutrient  
183 limitation. Instead, longer-term downregulation of productivity is enabled through the  
184 implementation of C, N, and P nonstructural vegetation storage pools. In CLM4.5, nutrient  
185 limitation is calculated at each time step as a function of potential GPP, stoichiometry of  
186 plant tissues, and nitrogen uptake. Any “excess” carbon due to nitrogen limitation is

187 immediately released to the atmosphere through instantaneous downregulation. This  
188 nutrient limitation can be highly variable over time and affects diurnal and seasonal cycles  
189 of gross primary productivity, which is not consistent with flux tower observations (Ghimire  
190 et al., 2016) or with short-term elevated CO<sub>2</sub> experiments that were done with and without  
191 nutrient fertilization (Metcalfe et al., 2017). In the current model, competition for available  
192 nutrients and plant uptake still occur every timestep given instantaneous demand that is a  
193 function of plant GPP and microbial nutrient immobilization (Oleson et al.,  
194 2013). However, nutrients taken up by plants are now first allocated to non-structural N  
195 and P storage pools instead of directly to structural pools. Nutrient limitation to allocation  
196 is determined by comparing plant nutrient demand (given GPP and stoichiometry) and the  
197 nutrient availability from the non-structural nutrient pools, which is a function of the pool  
198 size in relation to long-term demand. The “excess” carbon flux, which cannot be allocated  
199 due to nutrient limitation, is directed to the non-structural plant carbon (NSC) pool instead  
200 of downregulating GPP. This pool respire to the atmosphere with a given turnover time.  
201 Details about the representation of NSC can be found in the supporting information (Text  
202 S1)

203 The model version used in this study is the publicly released ELM v1 and can be  
204 downloaded along with all the parameter files at <https://github.com/E3SM-Project/E3SM>.  
205 In this version of the model, the fire module is activated by default. The soil erosion module  
206 is not activated. We assume soil C, N, and P cycling can take place to the 3.8m depth as the  
207 assumption in CLM4.5 (Koven et al., 2013). We also provide the key model parameters in  
208 Table S1 (PFT specific) and Table S2 (soil order specific). We note that only leaf parameters  
209 vary with PFT, but we include all other tissues in Table S1 to provide all parameters in the  
210 consistent format.

## 212 2.2 Simulations

213 The simulations presented here were first spun up to bring C, N, and P pools to  
214 equilibrium by recycling the GSWP3 (Global Soil Wetness Project Phase 3) climate forcing  
215 data (<http://hydro.iis.u-tokyo.ac.jp/GSWP3/>) between 1901-1920, along with constant

216 atmospheric CO<sub>2</sub>, N deposition and land cover type at year 1850. Spinup was accomplished  
217 through two steps: accelerated decomposition (AD) spinup and regular spinup. We ran the  
218 model for 250 years in the AD spinup mode. The purpose of the AD spinup is to accelerate  
219 the decomposition process and speed up the spinup process of the carbon and nutrient  
220 cycles. The AD spinup procedure was modified from that originally described by Thornton  
221 and Rosenbloom (2005), which used spatially invariant acceleration factors to accelerate  
222 decomposition in soil organic matter (SOM) pools. Here we updated the AD spinup by  
223 including the impacts of temperature and soil moisture on the acceleration factor. This  
224 resulted in higher acceleration factors in cool and/or dry climates, which are typically slower  
225 to achieve steady state. In addition, vegetation dead stem and coarse root mortality  
226 were accelerated by a factor of 10 to achieve steady state biomass more quickly. [The factor  
227 of 10 was chosen to have a good balance between faster acceleration and the  
228 disequilibrium between accelerated and non-accelerated steady states that requires a  
229 longer regular spinup following](#) Koven et al. (2013). In the AD spinup, supplemental soil  
230 mineral P was applied for the entire simulation such that there was no P limitation on C and  
231 N dynamics. During the transition between AD spinup and regular spinup, we initialized the  
232 soil inorganic pools using global P maps developed by (Yang et al., 2013). [For the grid cells  
233 that don't have values in](#) Yang et al. (2013), [we applied the nearest neighbor method to  
234 estimate the values.](#) Since the P cycle involves both biological and geochemical processes  
235 that occur on geological time scales, the initialization of P pools provides some reasonable  
236 estimates of soil P pools without running the model for millions of simulated years. [More  
237 details regarding the rationale of using the developed P maps for initialization can be found  
238 in](#) Yang et al. (2013). We then ran normal spinup for 600 years with active C, N, and P  
239 coupled biogeochemistry until C, N, and P pools reached equilibrium. The criteria for  
240 equilibrium are for global total NEE less than 0.1 PgC/yr averaged over 100 years, the  
241 threshold recommended for the C4MIP (Jones et al., 2016). We also ran a control simulation  
242 between 1850-2010 as a continuation of the normal spinup. We added the time series of  
243 labile P, secondary mineral P and occluded P for the control simulation (Fig. S1). There are  
244 very little changes in the inorganic P pools during the 161 years control simulation



245 suggesting that these pools can be considered in equilibrium for the time scale of our  
246 interest.

247 After the model was spun up, we ran the global historical transient simulations (1850–  
248 2010) at 0.5 degree spatial resolution using GSWP3 v2 climate forcing data, along with  
249 historical transient atmospheric CO<sub>2</sub> concentration, N deposition, land use and land cover  
250 change that are part of the CMIP6 protocols (<https://luh.umd.edu/data.shtml>). Input data  
251 and references are summarized in Table S3. We also ran a suite of single-factor simulations  
252 to examine the individual effects of changing environmental factors ([atmospheric CO<sub>2</sub>, land  
253 use and land cover change, climate, and nitrogen deposition, Table 1](#)). In addition to the  
254 ELM v1 simulations with a fully active P cycle, we also performed historical transient and  
255 single-factor simulations with P limitation switched off (supplementing P availability to fully  
256 meet demand at each grid cell and for each timestep [so there is no P limitation on  
257 productivity and decomposition](#)). We denoted the default ELM v1 simulations that have an  
258 active P cycle as the CNP configuration (ELMv1-CNP) and simulations assuming [no P  
259 limitation](#) as the CN configuration (ELMv1-CN).

260 We also performed one additional simulation where we initiated a global step increase  
261 of atmospheric CO<sub>2</sub> concentration, by +200ppm, starting from 2001 and continuing through  
262 2010. These simulations are designed to mimic the Free Air CO<sub>2</sub> Enrichment (FACE)  
263 experiments (Ainsworth and Long, 2005). To quantify model sensitivities to elevated CO<sub>2</sub>,  
264 we calculated the effect size (treatment divided by control) over the 10 years of simulation  
265 (2001-2010). We then evaluated model sensitivities to elevated CO<sub>2</sub> against meta-analysis  
266 from FACE experiments (Ainsworth and Long 2005).

267 All of the simulations are summarized in Table 1.

### 268 269 2.3. ILAMB

270 We used the International Land Model Benchmarking system (Collier et al., 2018; Luo et  
271 al., 2012; Hoffman et al., 2017) to assess the model performance. [ILAMB](#) was designed to  
272 use a wide array of observational data to constrain model results, including various land  
273 carbon pools and fluxes, inferred CO<sub>2</sub> concentration variability, and functional relationships.

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282 For each variable, ILAMB scores model performance for period mean, bias, root-mean-  
283 square error (RMSE), spatial distribution, interannual coefficient of variation, seasonal cycle,  
284 and long-term trend. These scores are aggregated into an overall score representing  
285 multiple aspects of model performance for each variable. These aggregated absolute scores  
286 are then used to calculate the relative score, which indicates the relative performance of  
287 each model with respect to other models used in the same analysis. The observational  
288 datasets used for the evaluation of carbon cycle in ILAMB are listed in Table S4.

289 In order to understand how the implementation of P cycling dynamics affects model  
290 performance, we evaluated the performance of both ELMv1-CNP and ELMv1-CN. In order to  
291 provide a context in terms of model performance in ILAMB, we provide the ILAMB  
292 evaluation of several other land models included in the Land Surface, Snow and Soil  
293 moisture Model Intercomparison Project (LS3MIP) as part of CMIP6 ([https://www.wcrp-  
294 climate.org/wgcm-cmip/wgcm-cmip6](https://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6)). LS3MIP includes a collection of model experiments  
295 including both offline land model experiments and coupled experiments (Van Den Hurk et  
296 al., 2016). We used the results from the offline land model experiments. Like our  
297 simulations, these experiments were performed at 0.5by0.5 spatial resolution and using the  
298 GSWP3 forcing data. Other model configurations in LS3MIP are identical to that used in  
299 CMIP6 historical simulations, which we used for the simulations in this study.

300

#### 301 2.4. GOLUM-CNP

302 Since there is no nutrient cycle metrics in ILAMB, we also compared major N and P pools  
303 and fluxes along with nutrient use efficiencies from ELMv1-CNP with the data-driven  
304 estimates of N and P pools and fluxes from the Global Observation-based Land-ecosystems  
305 Utilization Model of Carbon, Nitrogen, and Phosphorus (GOLUM-CNP) (Wang et al., 2018).  
306 GOLUM-CNP combines data-driven estimates of N and P inputs and outputs and observed  
307 stoichiometric ratios with a steady-state diagnostic model, providing global steady-state N  
308 and P pools and fluxes for large biomes. Despite large uncertainties and the steady-state  
309 assumptions, GOLUM-CNP provides a global data-driven product that can be used to test

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314 nutrient cycles in land surface models. [GOLUM-CNP has also been used in the evaluation of](#)  
315 [other land surface models](#) (Sun et al., 2021).  
316

### 317 3. Results

#### 318 3.1 Evaluations of ELM v1 using ILAMB

319 ILAMB includes many metrics that cover water, energy, and carbon pools and fluxes on  
320 both regional and global scales. Fig. 1 shows ILAMB benchmarking scores for ELMv1-CNP  
321 and ELMv1-CN, along with several other land models in CMIP6, which are provided to  
322 contextualize ILAMB scores for ELMv1-CNP. The relative model performance scores are  
323 shown in Fig. 1, indicating which model version performs better with respect to others. The  
324 full results produced by the ILAMB package can be found at [https://compy-](https://compy-dtn.pnl.gov/yang954/build/)  
325 [dtn.pnl.gov/yang954/ build/](https://compy-dtn.pnl.gov/yang954/build/).

326 Fig. 1 shows that the performance of ELMv1-CNP is comparable to other land models in  
327 CMIP6. ELMv1-CNP exhibits performance similar to CLM5 (CESM2) in terms of aggregated  
328 scores for carbon cycle metrics, while CLM5 shows better performance with respect to  
329 overall functional relationships, mainly due to a better score for functional relationship of  
330 burned area. The performance of each model varies for different variables. For example,  
331 ORCHIDEE land surface model in IPSL-CM6A-LR performs relatively well in inferred  
332 atmospheric carbon dioxide, leaf area index and GPP relationships.

333 Fig.1 also shows the comparison between ELM v1-CNP and ELM v1-CN, allowing us to  
334 quantify the impacts of including a prognostic P cycle and realistic P availability on model  
335 performance. For metrics in Fig. 1 that show the greatest differences between ELMv1-CNP  
336 and ELMv1-CN, the CNP version always has a higher score than CN. This is reflected in the  
337 relatively higher aggregated scores for carbon cycle variables and functional relationships  
338 in ELMv1 -CNP.  
339

340 Fig. 2 shows the Global Net Ecosystem Carbon Balance metric in ILAMB for ELM v1-CNP  
341 and ELM v1-CN. The observational data sets for this metric are from the Global Carbon  
342 Project (Fig. 2a)(Le Quéré et al., 2016) and from the inversion-based estimate (Hoffman et

343 al., 2014), both providing global totals of land carbon accumulation but for different  
344 historical time period (1850-2010 for Hoffman et al., 2014 and 1959-2010 for Le Quere et l.,  
345 2016). The simulated global C balance by both ELMv1-CNP and ELMv1-CN are in the range  
346 of uncertainty of observational estimates, with ELMv1-CNP simulated historical global  
347 carbon accumulation being a better match with mean observational estimates, particularly  
348 after 1950. ELMv1-CN estimated a net accumulation of land carbon of 22 Pg C over the  
349 period 1850-2010, which is much higher than the mean observational estimate of - 8Pg C.  
350 ELMv1-CNP estimated land carbon accumulation of 7 Pg C.

351 Fig. 3 shows the spatial distribution of vegetation biomass for the benchmark data and  
352 model bias in ILAMB. Overall both ELMv1-CN and ELMv1-CNP tend to overestimate  
353 biomass, compared to this specific global product of biomass (GEOCARBON). The high bias  
354 in the tropical region is much reduced in ELMv1-CNP simulations (Fig. 3a, 3b and 3c). The  
355 better performance of ELMv1-CNP is also reflected in the spatial Taylor diagram for  
356 biomass (Fig. 3d).

357 Another important benchmark in ILAMB is the functional relationships between two  
358 variables, for example the relationship between GPP and precipitation and the relationship  
359 between annual mean LAI and precipitation. An accurate simulation of these relationships  
360 in addition to individual benchmarks is an indication that the models are representing the  
361 underlying processes correctly. ELMv1-CNP produces a better functional relationship  
362 compared to ELMv1-CN. For example, for the relationship between LAI and precipitation  
363 ELMv1-CN overestimated LAI, particularly in regions with high precipitation, while the  
364 ELMv1-CNP configuration shows an improved relationship (Fig. 4). The improvement of the  
365 functional relationship is mainly due to the improvement in high precipitation regions, e.g.  
366 lowland tropical forest regions. In these regions, inclusion of P dynamics and P limitation  
367 reduced simulated bias in GPP and LAI, therefore leading to better match with the  
368 observations.

369

370 3.2. Evaluation of N and P cycling in ELMv1-CNP

371 We evaluated simulated nutrient use efficiencies against that from GOLUM-CNP product  
372 on the biome level. Here we define nutrient use efficiency as the ratio between annual NPP  
373 and annual nutrient uptake (for both N and P), with NUE for nitrogen use efficiency and  
374 PUE for phosphorus use efficiency (Finzi et al., 2007). ELMv1-CNP simulated NUE is higher  
375 in temperate and boreal forests and lower in tropical grassland and tundra, which is  
376 consistent with GOLUM-CNP (Fig. 5a). Temperate grassland NUE and PUE in ELMv1-CNP are  
377 higher in distribution because of the higher variation in NPP allocation to non-structural  
378 carbon pools. ELMv1-CNP predicted higher NUE in tropical lowland forests than GOLUM-  
379 CNP. ELMv1-CNP simulated PUE is generally consistent with GOLUM -CNP (Fig. 5b).  
380 However, ELMv1-CNP simulated PUE in tropical forests is much lower than that from  
381 GOLUM-CNP.

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382 We also evaluated ELMv1-CNP simulated N and P pools and major fluxes on the global  
383 scale for the period of 2001-2010 with the observationally derived products in GOLUM-  
384 CNP. Fig. S2 shows the comparison of N and P uptake from ELMv1-CNP and GOLUM-CNP at  
385 the biome level. ELMv1-CNP simulated plant N and P uptake is in agreement with GOLUM-  
386 CNP, with higher uptake fluxes in tropical forests and lower uptake in temperate and boreal  
387 forests. ELMv1-CNP simulated N uptake is lower in the tropical forests, compared to  
388 GOLUM-CNP (Fig. S2a). Conversely, simulated P uptake is higher than GOLUM-CNP  
389 estimates across the tropics (Fig. S2b).

### 391 3.2 Evaluations using CO<sub>2</sub> manipulation experiment

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392 Relative to the control simulation, increasing atmospheric CO<sub>2</sub> concentration by 200ppm  
393 increased gross primary productivity by 23% (global mean) over the 10 years of simulation  
394 (2001-2010). Nearly all PFTs showed more than a 10% increase in productivity, with more  
395 significant increases occurring in tropical regions and middle latitudes (Fig. 6a). The  
396 modeled response ratio of NPP is also showing widespread increases, and on the global  
397 scale our results showed a 25.8% increase in NPP in response to CO<sub>2</sub> enrichment (Fig. 6b).  
398 The simulated increases in GPP and NPP also showed, to a large extent, translated into  
399 increases in vegetation carbon (Fig 6c), with a global average response ratio of 18%. The

402 modeled response ratio of LAI is much smaller, a 5% increase globally (Fig 6d). The globally  
403 aggregated simulated effect size of CO<sub>2</sub> enrichment from ELMv1-CNP on GPP, NPP, LAI and  
404 NSC compare well to the observations from the meta-analysis (Fig. 7), particularly for GPP  
405 and LAI. ELMv1-CNP overestimated the responses of NPP. Both observations and  
406 simulations show large sensitivity of NSC to CO<sub>2</sub> enrichment, with larger variability in the  
407 model simulations.

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### 409 3.3. Carbon, nitrogen and phosphorus pools and fluxes

#### 410 3.3.1 Carbon budget

411 Major components of the global land C budget for present day (mean of 2001–2010) in  
412 ELMv1-CNP are shown in Fig 8a. These are from historical simulations with transient climate  
413 forcing, atmospheric CO<sub>2</sub> concentration, land use and land cover change, and N deposition.  
414 For the present day, model simulated total ecosystem C is 2588.73 Pg C, with about 22%  
415 stored in vegetation (575.45 Pg C), about 5% stored in litter and coarse wood debris (122.5  
416 Pg C), and 73% stored in soil organic matter (1890.78 Pg C). Model simulated vegetation C is  
417 within the range of inventory-based estimates from IPCC AR5 (450–650 Pg C). Our simulated  
418 vegetation C is also comparable to or slightly higher than observational estimates from the  
419 literature: 455Pg C (GEOCARBON, (Avitabile et al., 2016; Santoro et al., 2015), 550±100 Pg C  
420 (Houghton, 2003), 560±94 Pg C (Defries et al., 1999), and 450 Pg C (Erb et al., 2018). Model  
421 simulated total soil C is within the range of estimates from IPCC AR5 (1500-2400 Pg C) and  
422 that from Jobbágy and Jackson (2000) (1750±250 PgC). Model simulated total soil C is lower  
423 than several other observational estimates from the literature: 2376-2456 (Batjes, 2014),  
424 3000 Pg C (Köchy et al., 2015), which could be because ELMv1-CNP has yet to include an  
425 explicit representation of peatland carbon dynamics. As for the top 1m soil carbon, model  
426 simulated values of 1134.41 Pg C are within the range of estimates from the Harmonized  
427 World Soil Database (HWSD) (FAO/IIASA/ISRIC/ISSCAS/JRC, 2012) as reported by Todd-  
428 Brown et al. (2013) (890-1660 Pg C), but lower than the observational based estimate of  
429 1462–1548 Pg C from Batjes (2014) and 1325 Pg C from Köchy et al. (2015). Model  
430 simulated litter C (22.9 Pg C) is lower than the observational based estimate: 68 Pg C

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432 (Matthews, 1997) and  $43 \pm 3$  Pg C (Pan et al., 2011). Model simulated coarse wood debris C  
433 stock (99.6 Pg C) is higher than the observational based estimate: 75 Pg C (Matthews, 1997)  
434 and  $73 \pm 6$  Pg C (Pan et al., 2011).

435 Model simulated present day GPP ( $134.15$  Pg C/yr) is slightly higher than observational  
436 based estimate:  $123 \pm 8$  Pg C/yr (Beer et al., 2010),  $119 \pm 6$  Pg C/yr (Jung et al., 2011) and  $123$   
437 PgC/yr (IPCC AR5), and lower than  $150$ - $175$  Pg C/yr from Welp et al. (2011) that is derived  
438 based on oxygen isotopes of atmospheric CO<sub>2</sub>. A recent study based on satellite data  
439 suggested a global GPP of  $140$  Pg C/yr for year 2007 (Joiner et al., 2018). The comparisons  
440 between simulated carbon pools and fluxes and available observations are also included in  
441 Table 2.

442

### 443 3.3.2. Nitrogen budget

444 The ELMv1-CNP estimated N budget for the present day (2001–2010) is summarized in  
445 Fig 8b. Compared to the C cycle, there are fewer observational estimates for N pools and  
446 fluxes. Most of the literature values are from other model simulations. Although not  
447 appropriate for direct model evaluation, these modeling estimates from the literature  
448 provide a broad context for us to evaluate our simulated pools and fluxes.

449 Model simulated vegetation N is  $4.36$  Pg N, which is comparable to the estimates from  
450 some other modeling studies:  $3.8$  Pg N (Zaehle et al., 2010),  $5.3$  Pg N (Xu and Prentice,  
451 2008) and lower than the estimates of  $16$  Pg N (Lin et al., 2000) and  $18$  Pg N (Yang et al.,  
452 2009). Model simulated total soil organic matter N is  $188.79$  Pg N, which is reasonable  
453 considering the observational based estimate for  $1$ m of  $95$  Pg N (Post et al., 1985) and  $133$ –  
454  $140$  Pg N (Batjes, 2014). ELMv1-CNP estimated biological nitrogen fixation (BNF) of  $89$   
455 TgN/yr is within the range of estimates from literature. Vitousek et al. (2013) estimated that  
456 global BNF ranges between  $40$ – $100$  TgN/yr using a mass-balance approach. A meta-analysis  
457 by Davies-Barnard and Friedlingstein (2020) suggested that global inputs of BNF in natural  
458 ecosystems range between  $52$  and  $130$  TgN/yr, with a median global value of  $88$  TgN/yr. For  
459 the purpose of comparison, BNF estimates from CLM5 is  $96.4$  TgN/yr, slightly higher than

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462 our estimate. The comparisons between simulated N pools and fluxes and available  
463 observations are also included in Table 2.

464

465

### 466 3.3.3 Phosphorus budget

467

468 The ELMv1-CNP estimated P budget for the present day (2001–2010) is summarized in

469 Fig 8c. Very few observational data are available for P on the global scale. The only

470 observation-based global product is the global P maps developed by (Yang et al., 2013).

471 Model simulated vegetation P is 0.36 Pg P, which is comparable to the estimates from other

472 modeling studies ranging from 0.23 to 3 Pg P (Goll et al., 2012; Wang et al., 2010; Jahnke,

473 1992). Model simulated soil organic P is 3.75 Pg P, which is slightly lower than previous

474 studies 5.74 Pg P (Goll et al., 2012), 5-10 Pg P (Smil, 2000), and 8.6 Pg (Yang et al., 2013).

475 Model simulated soil mineral P for the top 40cm and 60cm is 63.24 Pg P and 81.32 Pg P

476 respectively, which are generally comparable to the estimate of 45 Pg P for top 50cm soil

477 from Yang et al. (2013). The comparisons between simulated P pools and fluxes and

478 available observations are also included in Table 2.

479

### 480 3.4. The effects of P limitation on historical carbon cycle

481 ELMv1-CNP calculates the extent of both N and P limitation for plant growth on the

482 global scale (Figs. 9a and 9b). Generally speaking, P is a more limiting nutrient in tropical

483 evergreen forests and savannas in South America and Africa, while N is more limiting in

484 temperate regions (Fig. 9a). The ratio between the P limitation factor and N limitation

485 factor illustrates the degree of N-P colimitation (Fig. 9b). N and P are co-limiting

486 productivity in tundra, boreal forests, and deserts.

487 Fig. 10 shows the simulated spatial patterns of productivity and carbon storage and

488 how they are affected by P dynamics and limitation. P dynamics strongly control land

489 carbon uptake and storage, particularly in tropical regions. Globally NPP is highest in

490 tropical evergreen forests and lower in middle to high latitude regions. Plant growth in

491 tropical regions, however, is generally limited by P availability, particularly in the central

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496 and eastern Amazon basin and tropical Africa. The reduced productivity due to P limitation  
497 translates into reduced vegetation carbon storage and soil carbon storage, with the  
498 exception of tropical savannas, where fire dynamics also play an important role in  
499 vegetation and soil carbon storage.

501 Fig. 11 shows the effects of P dynamics on historical global land carbon  
502 accumulation. The introduction of P dynamics leads to a 19.5% reduction in global C  
503 storage due to CO<sub>2</sub> fertilization between 1850 and 2010. The consideration of P dynamics  
504 also leads to a lower estimate of land use emissions on the global scale(143.89 PgC vs  
505 161.21 PgC) as CNP simulations generally show lower initial vegetation biomass. Increasing  
506 N deposition generally leads to a small carbon accumulation between 1850 and 2010 in  
507 both CN and CNP simulations globally. With P limitation, however, the global carbon  
508 accumulation from N deposition is reduced by about a third. Climate, although responsible  
509 for the large seasonal and interannual variability of carbon fluxes, has only minor impacts  
510 on historical carbon accumulation on the global scale for both CN and CNP simulations.  
511 When changes of all environmental factors are considered, the impact of P dynamics on  
512 carbon accumulation is the balance between a smaller CO<sub>2</sub> fertilization effect and lower  
513 land use emissions, with the net effect being slightly lower historical carbon accumulation  
514 globally.

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## 516 4. Discussions

### 517 4.1. ILAMB benchmarking

518 This study presents a global assessment of the ELMv1-CNP. Yang et al. (2019) evaluated  
519 the performance of ELMv1-CNP in the Amazon region using plot-level observations from the  
520 RAINFOR network and found that the model captures well the observed productivity and  
521 biomass gradient across the Amazon basin. Here we further evaluate the global model  
522 performance using the ILAMB benchmarking system – an open source land model  
523 evaluation system that is designed to assess model performance at site level, regional, and  
524 global scales in an integrated and comprehensive way.

Moved up [1]: Fig. 11 shows the simulated spatial patterns of productivity and carbon storage and how they are affected by P dynamics and limitation. P dynamics strongly control land carbon uptake and storage, particularly in tropical regions. Globally NPP is highest in tropical evergreen forests and lower in middle to high latitude regions. Plant growth in tropical regions, however, is generally limited by P availability, particularly in the central and eastern Amazon basin and tropical Africa. The reduced productivity due to P limitation translates into reduced vegetation carbon storage and soil carbon storage, with the exception of tropical savannas, where fire dynamics also play an important role in vegetation and soil carbon storage. ¶

540 We include several other land models in CMIP6 in our ILAMB analysis with the goal of  
541 providing a context for the performance of ELMv1-CNP. We found that ELMv1-CNP exhibits  
542 similar performance to other models. It is challenging to demonstrate a clear improvement  
543 or degradation for complex land surface models in ILAMB. For example, our analysis  
544 indicates that ELMv1-CNP performance is comparable to CLM5 in terms of the overall  
545 carbon cycle. Both ELMv1-CNP and CLM5 have a common ancestor CLM4.5, but they took  
546 very different approaches for further development. CLM5 had significant efforts undertaken  
547 in improving the representation of nitrogen cycle, while ELMv1-CNP was more focused on  
548 implementing a prognostic phosphorus cycle and C-N-P interactions. Model development  
549 activities in both models helped improved model performance through the lens of ILAMB  
550 but the sources of improvements are quite different. This highlights the need to include  
551 more process-level evaluations in ILAMB for the purpose of evaluating the impact of specific  
552 model improvements.

553 Although CLM5 and ELM-CNP perform similarly in terms of ILAMB scores, it is worth  
554 noting the unique role of P cycle dynamics in affecting C cycling and the importance of  
555 including P cycle limitation in earth system models for better prediction of carbon-climate  
556 feedbacks. The important role of soil P availability in affecting plant growth in tropical  
557 forests residing on highly weathered soils has long been recognized (Walker and Syers,  
558 1976; Vitousek et al., 2010; Butler et al., 2018; Elser et al., 2007). Recent work has also  
559 explored how increasing demand for P may attenuate predicted increase in NPP  
560 conceptually by comparing potential demand with potential nutrient availability in the 21<sup>st</sup>  
561 Century (Wieder et al., 2015b; Sun et al., 2017). Increasing numbers of land models have  
562 incorporated P cycle dynamics and P limitations (Sun et al., 2021; Nakhavali et al., 2021).  
563 Although both N and P limitation acts through reducing NPP, it is critical to include P cycling  
564 explicitly in models since P cycle dynamics are very different from the N cycling dynamics.  
565 The primary input for P is through rock weathering, which make it a very much non-  
566 renewable nutrient for the terrestrial ecosystems, whereas N fixation, the primary input for  
567 N, is more biologically driven. P cycling involves the transformation of various forms of P  
568 through a series of biological, enzymatical and geochemical processes with the turnover

569 time ranging from seconds to millions of years. N cycle dynamics are relatively simpler, with  
570 two inorganic forms and mostly biological and enzymatical processes involved. In addition,  
571 the interactions between N and P cycling also points to the need to include P cycle explicitly  
572 in land models. Increasing numbers of studies have shown that biological N fixation could  
573 be constrained by soil P availability (Hungate et al., 2004; Reed et al., 2013; Barron et al.,  
574 2008; Edwards et al., 2006; Crews et al., 2000). On the other hand, studies have also shown  
575 that increases in N availability can promote phosphatase activity and enhance biochemical  
576 mineralization and therefore accelerate P cycling (Mcgill and Cole, 1981; Wang et al., 2007;  
577 Houlton et al., 2008; Olander and Vitousek, 2000; Treseder and Vitousek, 2001; Marklein  
578 and Houlton, 2012). We will continue refine and improve the representation of the C-N-P  
579 interactions in the future development of ELM.

580 Also, ILAMB, despite being a comprehensive benchmarking tool for land surface models,  
581 is limited in scope in terms of the benchmarking data included. For example, Quesada et al.  
582 (2012) found that the decreasing west-east gradient in productivity is mostly related to total  
583 soil P [across the Amazon basin](#). Yang et al. (2019) show that consideration of soil P  
584 availability improved model simulated productivity, enabling the model to capture the  
585 productivity gradient from west to east across the Amazon basin. The problem is that this  
586 productivity gradient across the Amazon basin is not captured in ILAMB benchmark data so  
587 the “failure” of a CN model would not be captured by ILAMB.

588 We show that the model performance generally improved with realistic P availability  
589 through the implementation of a prognostic P cycle in ELM. Compared to ELMv1-CN,  
590 ELMv1-CNP simulated biomass has lower bias across the tropical regions as P limitation  
591 leads to lower productivity and hence lower biomass. ELMv1-CNP produces better ILAMB  
592 scores on the functional relationships between GPP, LAI and other forcing variables, mainly  
593 due to improved estimate of GPP and LAI in tropical regions. ELMv1-CNP also produces  
594 higher ILAMB scores for the integrated benchmarks such as global net ecosystem carbon  
595 balance and carbon dioxide concentration. We note that satisfactory performance for these  
596 two integrated metrics is most critical to a land model in ESMs as they are most relevant to  
597 the coupling between land ecosystems and radiatively-forced climate change.

598 ELMv1-CNP is not always better than ELMv1-CN from the benchmarks in the current  
599 ILAMB system. One of the benefits of a multi-metric analysis package like ILAMB is that we  
600 can compare performance at different levels of granularity, and it is rare that any one  
601 model has uniformly improved performance over any other single model on every fine-  
602 grained metric. By having multiple data sources for a given metric we can often see  
603 improvement against one data source and degradation compared to another for the same  
604 model output. For example, the ELMv1-CN model performs better than ELMv1-CNP for  
605 ecosystem respiration when comparing the Fluxnet metric, but ELMv1-CNP does better  
606 than ELMv1-CN for the GBAF metric on the same output variable. In the case of GPP and  
607 NEE, although ELMv1-CN is performing better or the same as ELMv1-CNP for both Fluxnet  
608 and GBAF metrics, the overall better scores of the ELMv1-CNP model for the relationship  
609 metrics connected to GPP give us more confidence that ELMv1-CNP is actually an  
610 improvement. Each metric has its own advantages and disadvantages, and there is still  
611 considerable subjectivity in how to interpret the multi-metric collection. For example, the  
612 site-level evaluations in iLAMB do not take into account site-specific disturbance histories,  
613 which can be an important driver of NEE variability over time at a given site.

614  
615 Although the ILAMB benchmarking system is very useful for evaluating model  
616 performance from different aspects simultaneously, interpretation of ILAMB scores  
617 deserves extra caution with known observational bias considered. For example, ILAMB uses  
618 LAI estimated from remote sensing observations from the Moderate Resolution Imaging  
619 Spectroradiometer (MODIS) as benchmarking data, while studies have suggested that  
620 MODIS LAI may be biased low due to reflectance saturation in dense canopies in the  
621 tropical forests (Shabanov et al., 2005; Huete et al., 2002; Kobayashi and Dye, 2005).  
622 Another example is the observational data for biomass. There are significant differences  
623 between the “tropical” and “GlobalCarbon” datasets and the “GeoCarbon” dataset for  
624 tropical biomass, but they were given about the same default weight in the ILAMB scoring  
625 system. Mitchard et al. (2014) investigated the marked differences between different  
626 estimates of Amazon biomass and suggested the regional biases in some remote sensing

627 products might be due to the lack of consideration of ecological variation in tree wood  
628 density and allometry. Further investigation of these datasets is needed to ensure the  
629 quality of biomass benchmarking data.

630

631 The current version of ILAMB includes analysis of 28 variables using more than 60  
632 datasets or data products. None of these variables, however, are directly related to nutrient  
633 cycles. As more land surface models are implementing N and P dynamics, it is becoming  
634 increasingly important to include metrics for nutrient stocks and fluxes. Davies-Barnard et  
635 al. (2020) assessed five nitrogen-enabled land surface models in CMIP6 and called out the  
636 need to have better constraints of nitrogen cycle processes. The need is equally urgent, if  
637 not more, to synthesize more observations to better constrain the P cycle processes, as less  
638 synthesized data are available for P. Encouragingly, recent studies have started to develop  
639 observational datasets based estimate of N and P cycling on the global scale for model  
640 evaluation, such as the GOLUM-CNP dataset we used in this study. We hope to highlight the  
641 need and engage the broader community in developing additional nutrient datasets that  
642 can be included in ILAMB.

643 Other metrics that would be useful are the responses from N and P addition  
644 experiments. As Yang et al. (2014) showed, fertilization experiments at sites along the  
645 Hawaii chronosequence provided a useful evaluation testbed to assess model simulated  
646 responses to N and P fertilization effects. FACE experiments are useful for model evaluation  
647 as shown here (section 4.2) and in other studies (Wieder et al., 2019; Davies-Barnard et al.,  
648 2020). Warming studies that include an explicit focus on nutrient cycle responses will be  
649 another good evaluation opportunity (Melillo et al., 2002). An existing challenge is to  
650 provide a common protocol to use these types of experiments in the ILAMB benchmarking  
651 system.

652

#### 653 4.2 Evaluations using GOLUM-CNP

654

655 On the biome level ELMv1-CNP simulated nutrient use efficiencies are consistent with  
656 the observation-based estimates from GOLUM-CNP. This indicates that the representation

657 of N and P cycling and C-N-P coupling is reasonable in ELMv1-CNP. In terms of nutrient  
658 uptake, both show the highest N and P uptake in tropical forests, due to the high N and P  
659 demand associated with high productivity. ELMv1-CNP predicted lower N uptake in the  
660 tropical forests, compared to GOLUM-CNP. Nutrient uptake in ELMv1-CNP is a function of  
661 nutrient availability and nutrient demand, with demand being determined by available  
662 carbon for allocation, allocation fractions to different plant tissues and plant tissue  
663 stoichiometry. The simulated NPP at the biome level matches well with NPP from GOLUM-  
664 CNP except for Tundra (Fig. S3). The different C:N and C:P stoichiometric ratios for  
665 vegetation tissues used in ELMv1-CNP and GOLUM-CNP could also contribute to the  
666 difference in C:N ratios of leaf, wood, and fine root in GOLUM-CNP are all lower than  
667 ELMv1-CNP (21, 126, and 40 in GOLUM vs 30, 500, and 42 in ELMv1-CNP). This suggests for  
668 given amount of carbon allocation, N uptake would be lower in ELMv1-CNP. Soil P  
669 availability might be overestimated considering ELMv1-CNP estimated P leaching is much  
670 lower than the estimate of Wang et al. (2018), therefore leading to relatively higher P  
671 uptake in ELMv1-CNP. Differences in allocation factors could also be contributing to the  
672 differences in nutrient uptake between ELMv1-CNP and GOLUM-CNP. For example, the  
673 mean allocation fraction to fine root is higher in GOLUM-CNP compared to ELM-CNP, while  
674 allocation fraction to leaf is lower in GOLUM-CNP, particularly in forest ecosystems (Fig. S4  
675 and S6). GOLUM-CNP also has higher NPP allocation fraction to woody biomass in boreal  
676 forests (Fig. S5)

#### 677 4.3. Evaluations using CO<sub>2</sub> manipulation experiments

678 Our simulated large increase in GPP with CO<sub>2</sub> enrichment (23%) is in agreement with  
679 field observations that photosynthetic assimilation increased 28% under elevated CO<sub>2</sub>  
680 (Ainsworth and Long, 2005). Our simulated 26% increase in NPP is higher than the 17%  
681 increase in observed increase in dry matter production in the FACE experiments (Ainsworth  
682 and Long, 2005; Wieder et al., 2019). Our simulated 18% increase in biomass is higher than  
683 the estimates from Terrer et al. (2019), which provides a data-driven estimate of global CO<sub>2</sub>  
684 fertilization effect on biomass and show a relative increase in biomass of 12±3% for a 250  
685

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699 ppm CO<sub>2</sub> increase. A meta-analysis of woody plants responses to elevated CO<sub>2</sub> shows a  
700 mean effects of 22.3% on biomass (Baig et al., 2015). Among CLM4, CLM4.5 and CLM5,  
701 ELMv1-CNP is more comparable to CLM5 with a strong simulated response of GPP, NPP, and  
702 vegetation carbon in response to CO<sub>2</sub> enrichment, while CLM4 and CLM4.5 showed very  
703 weak CO<sub>2</sub> effects (Wieder et al., 2019).

704 The much stronger sensitivity of photosynthesis to elevated CO<sub>2</sub> in ELMv1-CNP is due to  
705 the removal of instantaneous downregulation of photosynthesis as a response to nutrient  
706 limitation. The instantaneous downregulation assumption in CLM4 and CLM4.5 has been  
707 shown to be inconsistent with experimental results (Metcalf et al., 2017). Despite large  
708 uncertainty, it is encouraging that simulated NSC response to elevated CO<sub>2</sub> is largely  
709 consistent with the observational data (Fig. 7). The low sensitivity of LAI in ELMv1-CNP is  
710 also consistent with field observations. Our results suggest the assumption we made  
711 regarding the fate of photosynthate is reasonable. Yang et al. (2016) showed that enhanced  
712 phosphatase enzyme production response to increasing CO<sub>2</sub> could have important impacts  
713 on P availability and sustain forest productivity under elevated CO<sub>2</sub>. In simulating the  
714 planned free-air CO<sub>2</sub> enrichment experiment AmazonFACE, ELMv1-CNP simulated  
715 phosphatase activity increased about 20% over 15 years (Fleischer et al., 2019). Here we  
716 show that introduction of NSC pools further improve the response of vegetation processes  
717 to changes in P availability and P limitation.

718  
719 Our findings are consistent with field studies that show the strong increase of NSC under  
720 elevated CO<sub>2</sub> condition (eCO<sub>2</sub>), particularly when nutrient availability is low (Wong, 1990;  
721 *Körner et al.* (2005). Several studies evaluating CLM4.5 using carbon isotope data also  
722 suggested that model performance would be better with the introduction of an NSC pool  
723 (Mao et al., 2016; Raczka et al., 2016; Duarte et al., 2017). However, large uncertainties  
724 remain regarding the turnover rate of the NSC pool. Further synthesis of field  
725 measurements on NSC in CO<sub>2</sub> enrichment experiments are needed to evaluate and  
726 constrain the representation of NSC in models.

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727 Our simulated strong sensitivity of photosynthesis to CO<sub>2</sub> enrichment is consistent with  
728 recent studies that show large GPP growth during the twentieth century (Campbell et al.,  
729 2017; Haverd et al., 2020; Ehlers et al., 2015). Ellsworth et al (2017) also showed a large  
730 increase of photosynthesis in response to elevated CO<sub>2</sub> in a temperate forest FACE  
731 experiment.

732 The increased sensitivity of GPP and NPP to CO<sub>2</sub> enrichment in ELMv1-CNP, compared  
733 with the predecessors CLM 4 and CLM4.5, will very likely reduce the bias in the atmospheric  
734 fraction of human CO<sub>2</sub> emissions in previous coupled simulations as noted by Hoffman et al.  
735 (2014). In fact, CO<sub>2</sub> concentration metrics in ILAMB, which translate model simulated NEE  
736 into atmospheric CO<sub>2</sub> signal using an atmospheric transport model (Collier et al., 2018), is  
737 intended for the evaluation of this sensitivity. The inferred atmospheric CO<sub>2</sub> concentration  
738 from ELM v1 is very reasonable compared with observed NOAA flask data (Fig. S7 and S8).

#### 739 **4.4. Model estimated carbon, nitrogen, and phosphorus pools and fluxes**

741 Global C, N, and P pools in our ELMv1-CNP simulation are in good agreement with  
742 recent independent global estimates, indicating that ELMv1-CNP is capable of simulating  
743 the contemporary C, N and P cycles. In Yang et al. (2019) it was shown that introduction of  
744 more realistic mortality processes improved the model representation of longitudinal  
745 spatial patterns of biomass across the Amazon basin. Here we show that an overall high  
746 bias in biomass production is corrected through limits of vegetation production in response  
747 to P availability, without compromising the improved spatial gradients obtained through  
748 the mortality mechanism. It is worth mentioning that our understanding of nutrient stocks  
749 and fluxes is much less advanced in comparison with the global C cycle. This has been  
750 increasingly acknowledged for the global N cycle as increasing numbers of land surface  
751 models have incorporated N cycle dynamics and C-N interactions (Zaehle et al., 2010;  
752 Wieder et al., 2019; Davies-Barnard et al., 2020; Smith et al., 2014; Sellar et al., 2019; Goll  
753 et al., 2017a; Gerber et al., 2010). Biological N fixation and N-use efficiency have been  
754 identified as the key processes that need to be better constrained for land surface models  
755 (Davies-Barnard et al., 2020).

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758 Our understanding of P stocks and fluxes are even less advanced than that for the N  
759 cycle, as shown in this study and other modeling studies that include P as a limiting  
760 nutrient. This is mainly due to: (1) various forms of P with different level of availability for  
761 plants and microbes, (2) geochemical processes in conjunction with biological processes  
762 controlling P availability, and (3) technical challenges in measuring soil P. For example,  
763 Hedley fractionation data provide a comprehensive picture of different P forms in soils and  
764 has been used for model evaluation and/or initialization in all the land surface models that  
765 include a prognostic phosphorus cycle (Wang et al., 2010; Goll et al., 2012; Yang et al.,  
766 2014; Yang et al., 2019). However, this extraction method is time-consuming and  
767 challenging, and not many routine measurements have been made using this technique.  
768 As such, observational estimates of P pools and fluxes are extremely limited. Although  
769 recent global Hedley database development (Yang and Post, 2011; Hou et al., 2018) has  
770 been helpful in global model development and evaluation, more observational data on P  
771 stocks and fluxes are needed to better constrain P-enabled models.

772

773

#### 774 **4.5. Effects of accounting for the P cycle dynamics on simulated carbon balance**

##### 775 **4.5.1. Spatial variation of nutrient limitation**

776 Our simulated nutrient limitation pattern broadly agrees with the findings from Elser et  
777 al. (2007) which supports the generally accepted notion that tropical ecosystems residing  
778 on highly weathered soils are P limited (Walker and Syers, 1976; Lebauer and Treseder,  
779 2008). A recent study that predicted spatial patterns of N and P limitation using the ratios  
780 of leaf N and P resorption efficiencies also found a shift from P limitation to N limitation  
781 with increasing latitude (Du et al., 2020). Lebauer and Treseder (2008) showed that N  
782 limitation is widespread, even in tropical regions. This is consistent with our model  
783 simulations which show that although P is more limiting in tropical forests, N is also a  
784 limiting nutrient. The geographic distribution of nutrient limitation is generally in  
785 agreement with that from Goll et al. (2012) and Wang et al. (2010). Goll et al. (2012)  
786 suggests that P limits C uptake mainly in low latitude regions and high latitudes, while N is

787 the limiting nutrient in temperate regions. It is worth mentioning that in Goll et al. (2012) N  
788 and P limitation generally have distinct geographic occurrence while this study suggests NP  
789 co-limitation occurs in many parts of the world. Wang et al. (2010) also showed that  
790 productivity in tropical forests and savanna is limiting by P, while most other biomes are  
791 limited by N. This is broadly consistent with our results but with a few key differences.  
792 Wang et al. (2010) suggests that P is the limiting nutrient for savannas, whereas our results  
793 show savannas are more limited by N. This may have to do with the lack of representation  
794 of fire disturbance in Wang et al. (2010). Savannas are subject to regular wildfires, which  
795 could have significant effects on nutrient cycle dynamics and nutrient limitation. For  
796 example, it has been suggested that while combustion causes significant gaseous losses of  
797 N from burned ecosystems, P is largely retained as ash (Herbert et al., 2003). Braakhekke et  
798 al (2017) also showed that there are strong losses of N due to fire. Furthermore, Wang et  
799 al. (2010) suggested that tropical forests are limited only by P, not by N, whereas our  
800 results indicate that N and P both limit tropical forest productivity, although P limitation is  
801 more dominant in most of the lowland tropical forests. This is consistent with a recent  
802 meta-analysis of nutrient fertilization experiments in tropical forests (Wright et al., 2018).

803

#### 804 **4.5.2. The implications for global carbon cycle and climate**

805 Historical C accumulation is a result of many complex and sometimes counteracting  
806 processes controlling C fluxes and stocks (Lawrence et al., 2019), including accumulation of  
807 carbon on land due to CO<sub>2</sub> fertilization, accumulation due to nitrogen deposition, carbon  
808 fluxes due to climate variability and climate change, and losses and gains due to land cover  
809 conversion and regrowth following historical land cover changes (LULCC fluxes). Over the  
810 long term, two of the dominant processes controlling C accumulation in terrestrial  
811 ecosystems are C emissions due to LULCC and C uptake due to the CO<sub>2</sub> fertilization effect. P  
812 cycle dynamics have important impacts on both of these processes, but with opposite sign.  
813 Globally, considering P cycle dynamics leads to lower carbon emissions associated with  
814 deforestation by about 11% (161.21 Pg in CN vs 143.89 in CNP). Conversely, CO<sub>2</sub>  
815 fertilization at the global scale is reduced by 20% when P limitation is included during the

816 historical time period (134 Pg C vs 108 Pg C). In general, the ELMv1-CN simulation shows a  
817 CO<sub>2</sub> fertilization effect on biomass that is too strong, which leads to a stronger than  
818 observed carbon sink compared to observational constraints from both Hoffman et al.  
819 (2014) and Le Quere et al. (2016). ELMv1-CN simulation also produces stronger carbon  
820 emissions from LULCC due to having higher biomass compared to ELMv1-CNP. The CO<sub>2</sub>  
821 fertilization effect in the ELMv1-CN simulations is strong enough to overcome the LULCC  
822 losses with the net result being too large of a sink throughout the historical time period for  
823 the CN model. Both model configurations lose carbon too slowly due to LULCC in the period  
824 from 1850–1940, when compared to the Hoffman et al. (2014) global estimate. Both  
825 models also predict continued losses over the period 1940–1965, while the Hoffman et al.  
826 (2014) estimate switches from net carbon loss to net carbon accumulation around 1940.  
827 These are clearly shown in Fig. S9, which shows the time series of simulated change in land  
828 carbon storage in response to changes in CO<sub>2</sub>, LULCC, N deposition, and climate during  
829 1850–2010. The ELMv1-CN and ELMv1-CNP models are similar to many other CMIP6  
830 models with respect to this bias in the timing of transition from net land carbon source to  
831 net land sink as shown in our ILAMB analysis of other land models in CMIP6.

832  
833 We also note that, over the historical time period, P became more limiting as simulated  
834 historical C accumulations became increasingly divergent between CN and CNP simulations.  
835 This is mainly caused by stimulated plant productivity under higher atmospheric CO<sub>2</sub>, which  
836 leads to higher plant demand for P that is not balanced by increased supply of newly  
837 mineralized P from the soil. This is consistent with other global modeling studies with  
838 explicit representation of P cycle dynamics (Goll et al., 2012; Zhang et al., 2014), as well as  
839 diagnostic studies that evaluated how CO<sub>2</sub> fertilization simulated by CMIP5 models could  
840 be constrained by soil P availability using a mass balance approach (Wieder et al., 2015b;  
841 Sun et al., 2017). Taken together, the limiting effect of P availability on C uptake will likely  
842 have substantial consequences for projections of future C uptake.

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846 **4.6. Limitations and future development**

847 While the ELMv1-CNP simulations presented here show that the model is capable of  
848 representing contemporary C, N and P stocks and fluxes and capturing the observed  
849 ecosystem responses to changes in atmospheric CO<sub>2</sub>, the current configuration does have  
850 limitations.

851 While the model represents disturbances such as fire and the interactions between  
852 disturbances and nutrient cycle dynamics, these interactions and how they affect carbon  
853 cycle processes have not been well constrained with observational data. There is a growing  
854 body of literature investigating the biogeochemical signature of fire. For example, a meta-  
855 analysis by Butler et al. (2018) shows that fire led to significantly higher concentration of  
856 soil mineral P and lower soil and litter C:P and N:P ratios, therefore decoupling the P cycle  
857 from the C and N cycles. We will take advantage of these recent findings to improve model  
858 fidelity on this front.

859 Another area that needs to be improved is the treatment of N fixation and how that is  
860 linked to P availability. N fixation in ELMv1-CNP is represented as a function of NPP  
861 (Cleveland et al., 1999). While providing a reasonable global estimate of N fixation, the  
862 approach ignores existing mechanistic understanding of nitrogen fixation processes  
863 (Wieder et al., 2015a). Furthermore, several lines of evidence suggest that both symbiotic  
864 and free-living N fixation rates depend on the availability of other elements, such as P and  
865 molybdenum (Reed et al., 2013; Nasto et al., 2014). N fixation could have important  
866 implications for the spatial distribution of N limitation vs P limitation. In the future we plan  
867 to have a more mechanistic representation of N fixation in ELM.

868 In ELMv1-CNP, P limitation is represented by downregulating plant growth when P  
869 demand is greater than soil P availability. The mechanisms by which P fundamentally limits  
870 ecosystem productivity remain uncertain (Jiang et al., 2019). Some studies proposed that  
871 there are linear or log-linear relationships between leaf P concentration and  
872 photosynthetic parameters, although the relationship has been shown to be weak (Walker  
873 et al., 2014). P fertilization experiments in P limited ecosystems do not support this  
874 proposed relationship. A P fertilization experiment on highly weathered soils in Australia

875 showed that although leaf P concentration increased significantly (+50%) compared to  
876 unfertilized trees, photosynthetic capacity was unaffected (Crous et al., 2015).  
877 Another fertilization experiment in Hawaii showed that the increase of aboveground NPP  
878 with P fertilization was caused mainly by increases in LAI instead of photosynthesis per unit  
879 leaf area (Herbert and Fownes, 1995). Further laboratory and field experiments are needed  
880 to help us better understand and represent the role of P in photosynthesis. Investigating  
881 the detailed mechanisms through which leaf P concentration affects photosynthesis is an  
882 active field of research (Jiang et al., 2019; Norby et al., 2017; Crous et al., 2015), and  
883 representing these relationships in land models remains an outstanding challenge.

884 Uncertainty also remains regarding the ELMv1-CNP representation of sorption dynamics  
885 and biochemical mineralization and their responses to changes in atmospheric CO<sub>2</sub> and  
886 climate (Fleischer et al., 2019). Motivated by our previous modeling studies, several recent  
887 field studies have started focusing on improving our mechanistic understanding and  
888 providing quantitative relationships for modelling these processes (Cabugao et al., 2017;  
889 Brenner et al., 2019). A recent study that upscaled site-measurements of potential  
890 phosphatase activity to continental Europe using machine learning technique provides a  
891 potential pathway toward generating benchmark data for biochemical mineralization on  
892 regional to global scale (Sun et al., 2020). ELMv1-CNP is likely underestimating P leaching,  
893 in comparison to the estimate of Wang et al. (2018), which could contribute to the  
894 underestimate of P uptake and overestimate of land carbon sink. We will further improve  
895 the representation of P leaching in ELMv1. There are other mechanisms that could sustain  
896 productivity with increasing P limitation but were not considered in ELMv1-CNP, such as  
897 flexible stoichiometry and dynamic allocation. These will be investigated further in future  
898 versions of E3SM. However, as Fleischer et al. (2019) pointed out, since plant N:P ratios in  
899 highly P limited tropical forests are already at the high end of the observed spectrum, the  
900 role of stoichiometry plasticity in sustaining tropical productivity could be limited.

901 While the representation of NSC has helped ELMv1-CNP to capture the interannual  
902 variability of atmospheric CO<sub>2</sub> and to generate ecosystem responses to elevated CO<sub>2</sub>  
903 consistent with FACE measurements, the sizes and turnover times of NSC pools are not well

904 constrained. We will synthesize limited measurements on NSC from literature that include  
905 observational and experimental data as well as measurements from isotopic studies to  
906 better understand the dynamics of the NSC pool and to evaluate and refine its  
907 representation in ELM. We also advocate for more measurements on NSC and how they  
908 respond to environmental changes in diverse ecosystems to have a more complete  
909 understanding and quantification of NSC.

910 Finally, although models such as ELMv1-CNP and CLM5 perform similarly when  
911 evaluated against present-day metrics as gathered in ILAMB, we expect that the  
912 differences among models in their representation of observed processes and in their  
913 assumptions about how changes in atmospheric composition and climate will impact  
914 ecosystem processes will lead to diverging predictions under future climate scenarios. We  
915 will explore those differences and their consequences in future work.

916

## 917 **5. Conclusions**

918 In this study, we provide an evaluation of ELMv1-CNP using the ILAMB benchmarking  
919 system, comparison with CO<sub>2</sub> manipulation experiments, and comparison with other  
920 observational and modeling studies. Benchmarking with ILAMB indicates ELMv1-CNP  
921 produces realistic estimates of present-day carbon pools and fluxes. Compared to a  
922 simulation with optimal P availability, ELMv1-CNP produces better performance,  
923 particularly for the metrics that are most relevant to land-atmosphere exchange. Our  
924 results from CO<sub>2</sub> manipulation experiments suggest that ELMv1-CNP is able to capture  
925 observed responses to elevated CO<sub>2</sub>, including those for GPP, NPP, vegetation C, and LAI.  
926 Further analysis suggests that the introduction of a non-structural carbon pool in ELMv1-  
927 CNP is largely responsible for these improvements. Evaluating global C, N, and P pools and  
928 fluxes in the context of literature values suggests that ELMv1-CNP provides a reasonable  
929 representation of contemporary global scale C, N and P cycles.

930 We highlight the data needs for global land model evaluation, particularly the need for  
931 more synthesis datasets on nutrient pools and fluxes, as well as observations from  
932 manipulation experiments that provide additional benchmark data for nutrient cycle

933 evaluation. This need is becoming increasingly pressing as more land models are including N  
934 and P cycle dynamics and C-N-P interactions. We also identify challenges in constraining P  
935 cycle dynamics and point to the need for soil P measurements.

936 Our simulations suggest, probably not surprisingly, that in general P is the more limiting  
937 nutrient in the tropical regions while N is more limiting in the middle to high latitudes.  
938 However, our results also suggest widespread N and P colimitation, even in the tropical  
939 regions where P limitation is more dominant. Our results show that C sources and sinks are  
940 significantly affected by P limitation, as the historical CO<sub>2</sub> fertilization effect was reduced by  
941 20% and C emission due to LULCC was 11% lower when P limitation was considered. We  
942 conclude that introduction of P cycle dynamics and C-N-P coupling will likely have  
943 substantial consequences for projections of future C uptake.

944

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956 10.11578/E3SM/dc.20180418.36). The input data is available at  
957 <https://web.lcrcl.gov/public/e3sm/inputdata/>.  
958 The model outputs used in this study can be downloaded at the website:  
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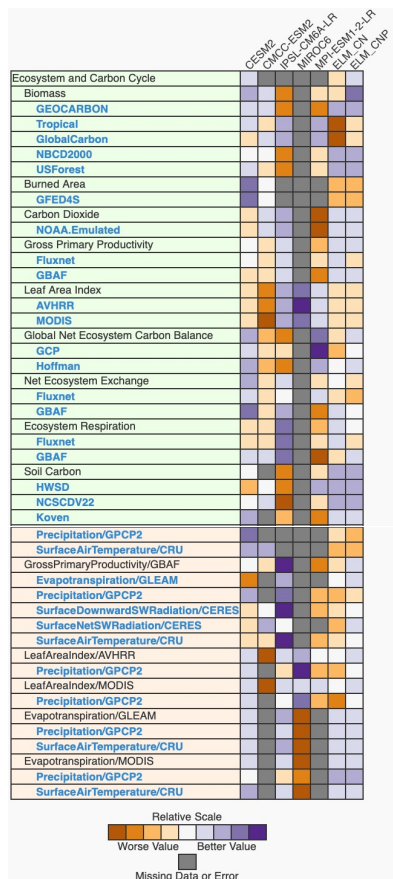
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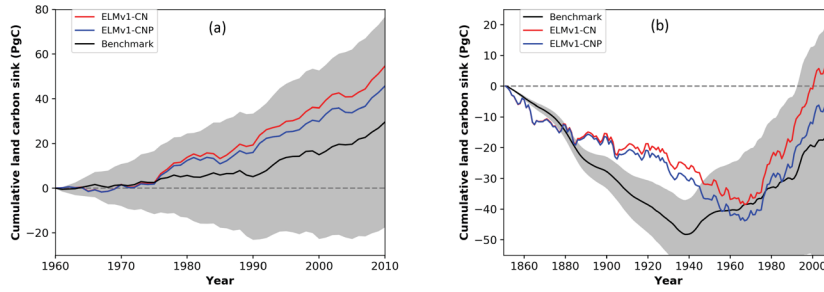




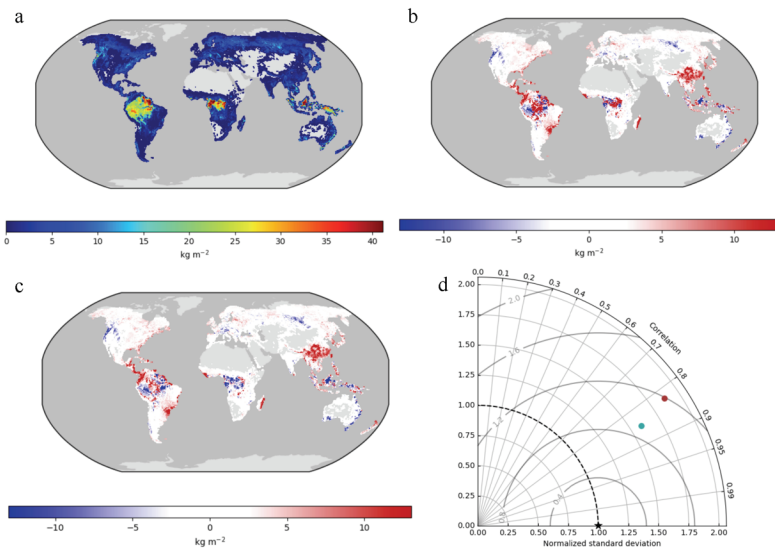
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 1341 Figure 1: ILAMB carbon cycle scores for ELMv1-CNP and ELM-CN and a few land models in  
 1342 CMIP6. Shown here is the relative score, indicating the performance of each model relative to  
 1343 other models. References for benchmarking data for each variable are provided in Table S4. [The](#)  
 1344 [datasets that are in green boxes are either carbon pools or fluxes while the datasets in orange](#)  
 1345 [boxes are relationships between carbon pools/fluxes and environmental variables such as](#)  
 1346 [precipitation or temperature.](#) Outputs for other land models are from the LS3MIP offline  
 1347 simulations archive in CMIP6. These simulations were performed using the same resolution and  
 1348 forcing data as this study. CLM4.5 is the land model in CMCC-ESM2. CLM5 is the land model for

1349 CESM2. OCHIDEE is the land model for IPSL. JSBACH is the land model for MPI-ESM1.2. VISIT is  
1350 the land model for MIROC6.

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1352 Figure 2: ELMv1-CNP and ELMv1-CN simulated global land carbon accumulation for the time  
1353 period (a) 1960-2010 and (b) and 1850-2010. Benchmark data (black lines with uncertainty  
1354 estimate in grey) are from (a) Global carbon project (Le Quere et al., 2016) and (b) Hoffman et  
1355 al. (2014).  
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1364 Figure 3: Global pattern of simulated biomass: (a) benchmark data, (b) ELMv1-CN bias (c)

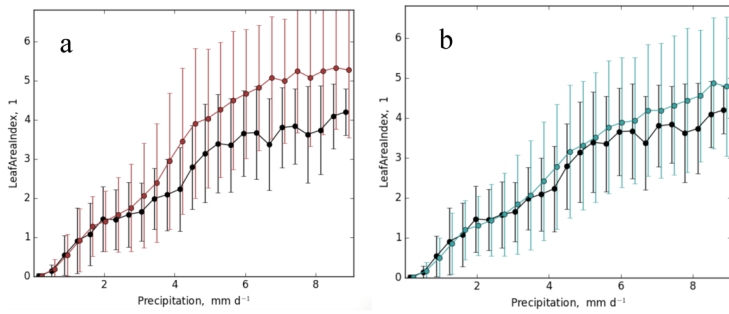
1365 ELMv1-CNP bias and (d) spatial Taylor diagram for model-benchmark comparison (red dot is for

1366 ELMv1-CN and blue dot is for ELMv1-CNP). Benchmark data here is from the GEOCARBON

1367 product (Saatchi et al.,2011).

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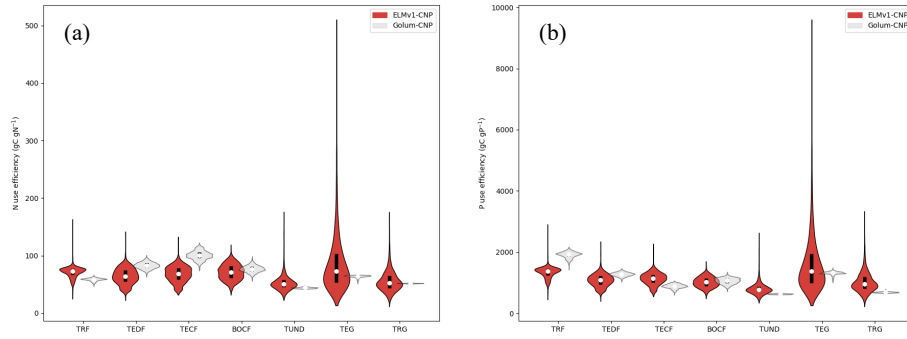
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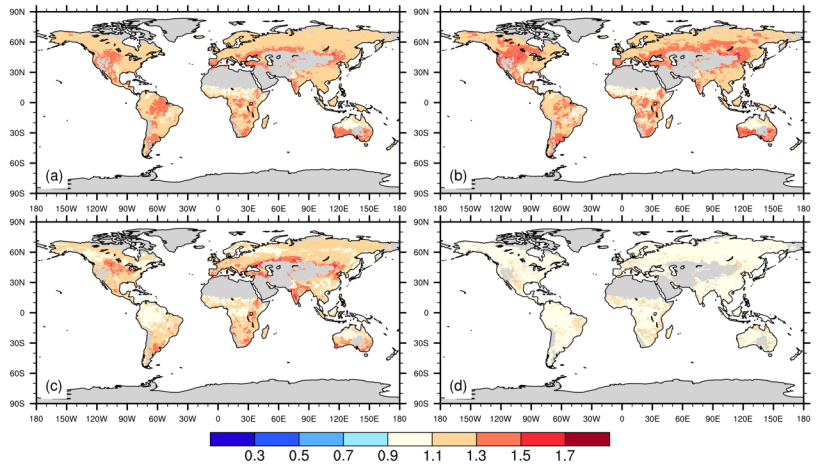
1372 Figure 4: ILAMB relationship plot between LAI and climatological annual precipitation and (a)  
1373 ELMv1-CN (b) ELMv1-CNP. Black line is the observationally derived relationship. Error bars  
1374 indicate one standard deviation of LAI for all grid cells within the precipitation bin. Observed  
1375 LAI is from MODIS LAI product.

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 1386 Figure 5: Violin plots of **(a)** nitrogen use efficiency (NUE) and **(b)** phosphorus use efficiency  
 1387 (PUE) from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate  
 1388 deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF),  
 1389 temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells  
 1390 in each biome (open circles) and the probability density distribution (balloons).

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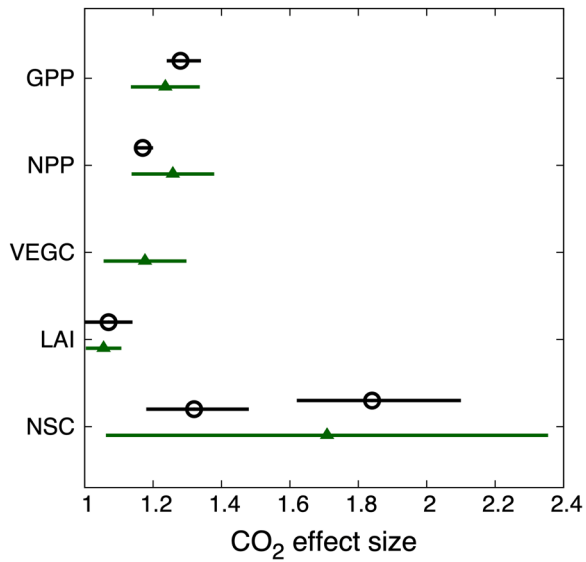
1397 Figure 6: Spatial distribution of the effect size of CO<sub>2</sub> enrichment on (a) GPP (b) NPP (c)

1398 Vegetation carbon (d) LAI. Effect sizes were calculated for each grid cell as the mean annual

1399 values of GPP, NPP, vegetation carbon and LAI from CO<sub>2</sub> enrichment simulation divided those

1400 from the control simulations between 2001-2010.

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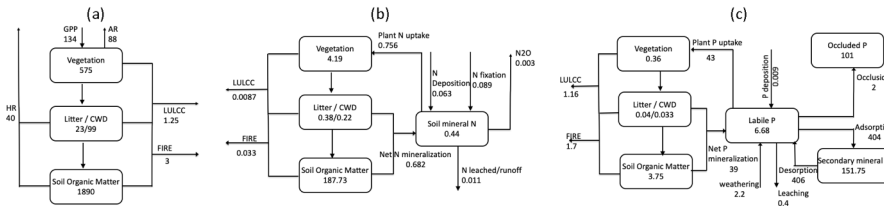
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1403 Figure 7: Observed (open circles) and simulated (green triangles) effect size of CO<sub>2</sub> enrichment  
 1404 on GPP, NPP, LAI, vegetation carbon and non-structural carbon. Observations show the mean  
 1405 (±95% confidence interval; Ainsworth and Long, 2005). There are two observations of NSC  
 1406 shown here, one is for sugar with a mean value of 1.3 and the other is for starch with a mean  
 1407 value of 1.8, while model conceptualization of NSC includes both sugar and starch. Simulated  
 1408 responses show the global mean effect sizes (± stand derivation; calculated to provide an  
 1409 estimate of spatial variation).

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1415 Figure 8: (left) terrestrial C cycle, (middle) N cycle, and (right) P cycle as simulated by ELMv1-  
 1416 CNP, shown here are mean values between 2001-2010. Vegetation and soil C, N and P pools  
 1417 are in units of Pg C, Pg N and Pg P, respectively. C and N fluxes are given in Pg C yr<sup>-1</sup> and Pg N yr<sup>-1</sup>,  
 1418 and P fluxes are given in Tg P yr<sup>-1</sup>. AR stands for autotrophic respiration and HR stands for  
 1419 heterotrophic respiration.

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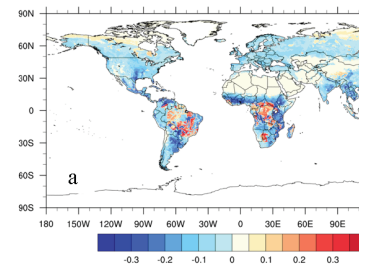
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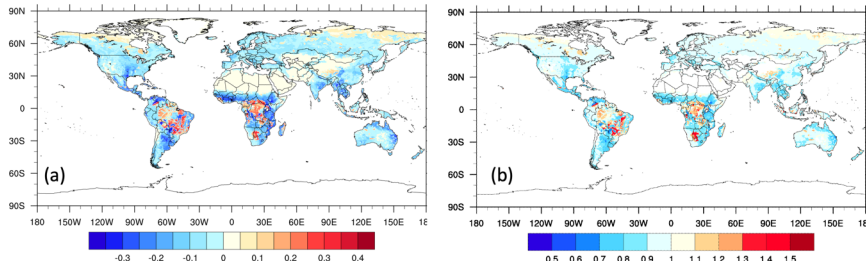
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1433 Figure 9: (a) Spatial variation of the extent of nutrient limitation on plant growth. Regions with  
 1434 a negative value are more limited by N, while regions with a positive value are more limited by  
 1435 P. Larger absolute values are associated with stronger limitation. Values plotted are the  
 1436 proportion by which plant growth is reduced due to N limitation or P limitation:  $1-f_P$  when  $f_P$   
 1437  $< f_N$  and  $f_N-1$  when  $f_N < f_P$ , where  $f_P$  is the limitation factor on plant growth considering P  
 1438 supply and demand, while  $f_N$  is the limitation factor on plant growth considering N supply and  
 1439 demand (Yang *et al.*, 2014). (b) Spatial variation of the ratios between P limitation and N  
 1440 limitation indicating the degree of co-limitation. Values plotted are the ratios between  $f_N$  and  
 1441  $f_P$ :  $f_N/f_P$ . Regions with values less than 1 indicate more N limitation and regions with values  
 1442 greater than 1 are more limited by P. Values close to 1 indicate NP co-limitation. Definition of  
 1443 colimitation is subjective here, but difference of 10% or less between the values for  $f_N$  and  $f_P$   
 1444 would lead to a range of about 0.9 to 1.1 in the plotted ratio.

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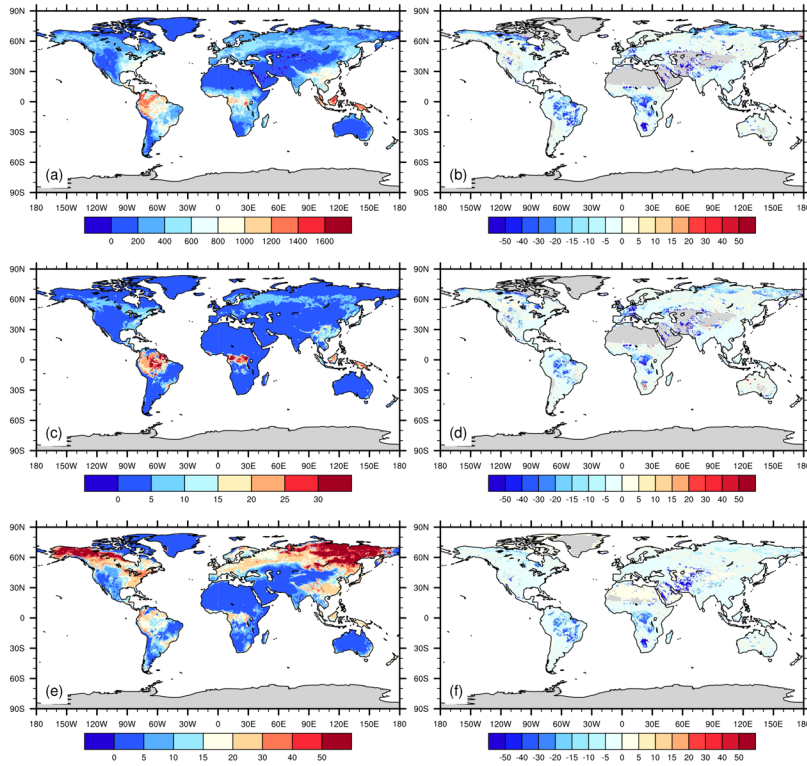
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1458 Fig. 10: Average estimates of (a) net primary productivity ( $\text{g C m}^{-2} \text{yr}^{-1}$ ) (c) vegetation carbon ( $\text{kg C m}^{-2}$ ) and (e) soil organic carbon ( $\text{kg C m}^{-2}$ ) for the years 2001-2010 and the effects of

1459 phosphorus dynamics (expressed as percentage deviation between CNP and CN configurations, unitless) on (b) net primary productivity (d) vegetation carbon (f) soil carbon as estimated by

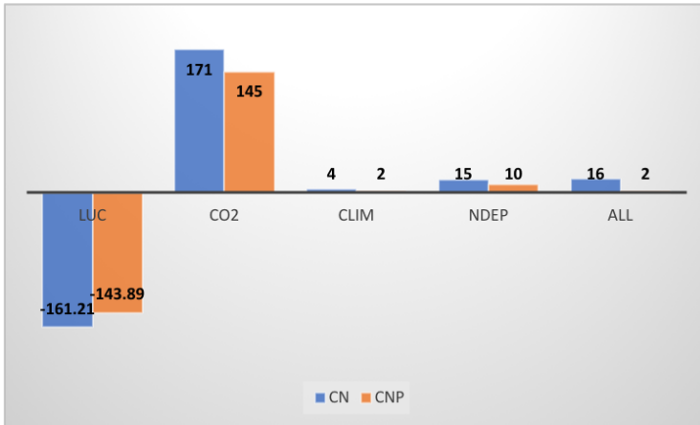
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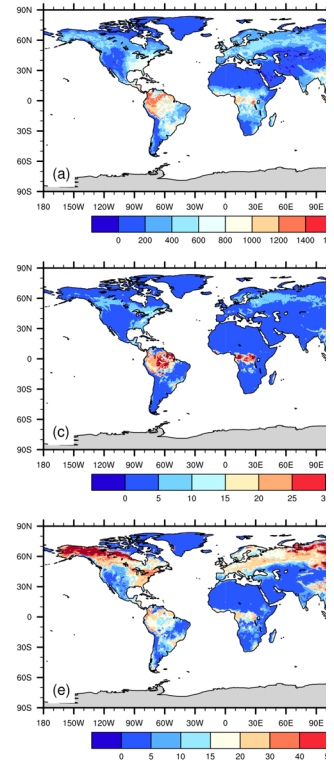
1464 ELMv1.



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 1466 Fig. 11: Cumulative global carbon storage (Pg C) from 1850 to 2010 from ELMv1-CN and ELMv1-  
 1467 CNP simulations with changes in land use and land cover change (LUC), atmospheric CO<sub>2</sub> (CO<sub>2</sub>),  
 1468 climate (CLIM), N deposition (NDEP), and all factor combined (ALL). These are calculated as the  
 1469 accumulation of NEE between 1850 and 2010 for the historical transient model simulations  
 1470 listed in Table 1.

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 Fig. 11: Average estimates and effects of phosphorus dynamics on (a,b) net primary productivity, (c,d) vegetation carbon and (e,f) soil organic carbon for the years 2001-2010, as estimated by ELM v1. The effects of P dynamics are expressed as percentage deviation between CNP and CN configurations ¶

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Table 1: Summary of model simulations

Experiment	P coupling	CO <sub>2</sub> forcing	LULCC	Climate forcing	N depos
Ctrl_CN	off	1850	1850	steady state <sup>a</sup>	1850
Ctrl_CNP	on	1850	1850	steady state <sup>a</sup>	1850
Hist_CN_CO <sub>2</sub>	off	transient	1850	steady state <sup>a</sup>	1850
Hist_CNP_CO <sub>2</sub>	on	transient	1850	steady state <sup>a</sup>	1850
Hist_CN_LUC	off	1850	transient	steady state <sup>a</sup>	1850
Hist_CNP_LUC	on	1850	transient	steady state <sup>a</sup>	1850
Hist_CN climate	off	1850	1850	transient <sup>b</sup>	1850
Hist_CNP climate	on	1850	1850	transient <sup>b</sup>	1850
Hist_CN_NDep	off	1850	1850	steady state <sup>a</sup>	transient
Hist_CNP_Ndep	on	1850	1850	steady state <sup>a</sup>	transient
Hist_CN all	off	Transient	A d	transient <sup>b</sup>	transient
Hist_CNP all	on	transient	transient	transient <sup>b</sup>	transient
FACE_CO <sub>2</sub>	on	+200ppm (1991-2010)	transient	transient <sup>b</sup>	transient

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1498 a Cycling of 20-year time series of GSWP3 reanalysis product (1901-1920)

1499 b Historical time series of GSWP3 reanalysis product (1901-2010)

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1516 Table 2: Comparison of ELMv1-CNP Simulated Mean Global Stocks and Fluxes of C, N and P  
 1517 between 2001 and 2010 to Observation-based Estimates

	ELMv1-CNP	Observation-based Estimates		
			Source	Methodology
GPP (Pg C yr <sup>-1</sup> )	134.15	123±8	Beer et al., 2010	Using eddy covariance flux data and various diagnostic models
		150-175	Welp et al., 2011	Based on oxygen isotopes of atmospheric CO <sub>2</sub>
		119±6	Jung et al., 2011	upscaled FLUXNET observations to the global scale using the machine learning technique, model tree ensembles (MTE).
		121.60 - 129.42	Zhang et al., 2017	Light use efficiency theory, MODIS satellite data and climate data
		140	Joiner et al., 2018	Satellite Data-Driven Models and Eddy Covariance Flux Data
NPP (Pg C yr <sup>-1</sup> )	46.09	55±11	Turner et al., 2006	MODIS products
		33-49	Smith et al., 2016	MODIS NPP algorithm driven by long-term Global Inventory Modeling and Mapping Studies (GIMMS) FPAR and LAI data
Vegetation C (Pg C)	575.45	550±100	Houghton, 2003	Literature synthesis
		560±94	Defries et al., 1999	
Soil carbon (Pg C)	1890.78	1750±250	Houghton, 2003	Literature synthesis
		2344	Jobbagy and Jackson, 2000	based on >2700 soil profiles in three global databases supplemented with data for climate, vegetation, and land use.
		3000	Kochy et al., 2015	Based on the Harmonized World Soil Database(HWSD), but with more detailed estimates for permafrost and tropical wetland soil carbon
		2376–2456	Batjes, 2014	Top 2m. Based on 4353 soil profiles distributed globally and the FAO Soil Map of the World.
Top 1m soil carbon (Pg C)	1134.41	1462-1548	Batjes, 2014	Based on 4353 soil profiles distributed globally and the FAO Soil Map of the World.
		1325	Kochy et al., 2015	Based on the Harmonized World Soil Database(HWSD), but with more detailed estimates for permafrost and tropical wetland soil carbon
		1502	Jobbagy and Jackson, 2000	based on >2700 soil profiles in three global databases supplemented with

				data for climate, vegetation, and land use.
Soil organic N (Pg N)	188.79	95	Post et al. 1985	Based on 3100 soil profiles and a global map of Holdridge life zones
		133-140	Batjes et al., 2014	Top 1m. Based on 4353 soil profiles distributed globally and the FAO Soil Map of the World
N fixation (Tg N yr <sup>-1</sup> )	89	40-100	Vitousek et al., 2013	Estimates for Pre-industrial. Combining information on N fluxes with <sup>15</sup> N relative abundance data for terrestrial ecosystems
		52-130	Davies-Barnard and Friedlingstein (2020)	Based on a comprehensive meta-analysis of field measurements
N uptake (Tg N yr <sup>-1</sup> )	760	570	Wang et al., 2018	Data-driven estimates. Observations include observed stoichiometric ratios, N and P external input fluxes, and the fraction of gaseous losses of N to total (gaseous and leaching) losses of N from a global data set of <sup>15</sup> N measurements in soils
N Leaching (Tg N yr <sup>-1</sup> )	12	38	Wang et al., 2018	Data-driven estimates. See above
		28	Mayorga et al., 2010	based on a mass-balance approach for the land surface (watershed) and river system for year 2000
P uptake (Tg P yr <sup>-1</sup> )	43	26	Wang et al., 2018	Data-driven estimates. See above
P leaching (Tg P yr <sup>-1</sup> )	0.46	2.6	Wang et al., 2018	Data-driven estimates. See above
P occlusion (Tg P yr <sup>-1</sup> )	1.85	1.3	Wang et al., 2018	Data-driven estimates. See above

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Supporting Information for

**Global evaluation of ELMv1-CNP and the role of the phosphorus cycle in the historical terrestrial carbon balance**

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**Contents of this file**

Text S1

Figures S1 to S9

Tables S1 to S4

**Introduction**

This supporting information provides supplementary text, figures and tables for the main article.

### Text S1: Additional description of the representation of Non-structural (NSC) pool in ELMv1-CNP

In the current model configuration, there are no direct feedbacks of the non-structural carbon pool on plant activities. When soil nutrient supply is high, allocation to new NSC decreases and allocation to biomass construction increases, and the size of the NSC pool declines; however, existing NSC turns over to the atmosphere and is not utilized by plants.

The respiration from the NSC pool  $R_{NSC}$  is calculated using the following equation:

$$R_{NSC} = br_{cpool} * C_{NSC} * Q_{10}^{(T_{air}-298.15)/10}$$

Where  $br_{cpool}$  is the respiration base rate at 25°C, which is set to  $10^{-9}$  gC m<sup>-2</sup> s<sup>-1</sup>. This is equivalent to roughly a 3-year turnover time for the NSC pool  $C_{NSC}$ , which is broadly consistent with observations that indicate a range of values across plant components and NSC forms with a weighted mean of 2-3 years (Richardson et al., 2015). The  $Q_{10}$  parameter, which has a value of 1.5 in these simulations, controls the strength of the temperature response.  $T_{air}$  is the 2-meter air temperature (K).

Nutrients are allocated from the non-structural pools using the following equation:

$$N_{alloc} = \frac{N_{demand}}{\max\left(\frac{N_{NS,max}}{N_{NS}}, 1\right)}$$

Where  $N_{demand}$  is the amount of nutrient (nitrogen or phosphorus) required to allocate all NPP during a model timestep to plant structural pools given the stoichiometry.  $N_{NS}$  is the size of the non-structural nutrient pool, and  $N_{NS,max}$  is the size of the pool above which there is no nutrient limitation.

$$N_{NS,max} = Nstor * NPP_{N,annual}$$

$NPP_{N,annual}$  is the previous year's total of annual net primary productivity for the nutrient of interest. The parameter  $Nstor$  is set to 3 for these simulations. Therefore, nutrient limitation only affects allocation when there are less than 3 years of non-structural nutrient storage. This is consistent with the average age of NSC found by (Richardson et al., 2015), though that analysis found a 2-pool NSC model may have more predictive skill, which we will consider implementing in future work.

These computations of  $N_{alloc}$  are done for both nitrogen and phosphorus. The actual allocation of carbon, nitrogen and phosphorus is set by whichever nutrient is more limiting at that timestep given the lower of the ratios  $N_{alloc}:N_{demand}$ .



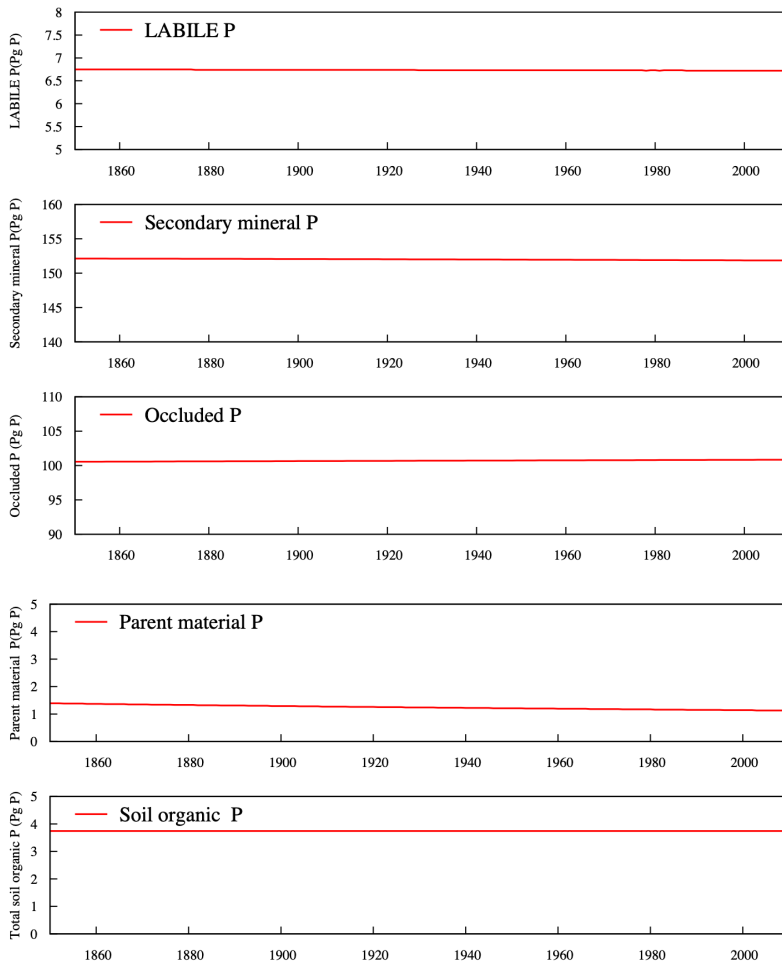


Figure S1: Time series of globally-integrated P pools for the control run, which is the continuation of the normal spinup.

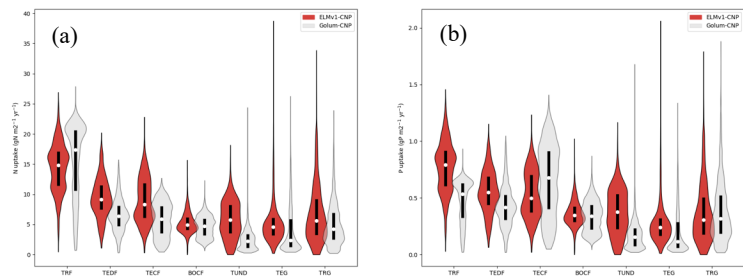


Figure S2: Violin plots of [\(a\)](#) nitrogen uptake ( $\text{g N m}^{-2} \text{yr}^{-1}$ ) and [\(b\)](#) phosphorus uptake ( $\text{g P m}^{-2} \text{yr}^{-1}$ ) from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF), temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells in each biome (open circles) and the probability density distribution (balloons).

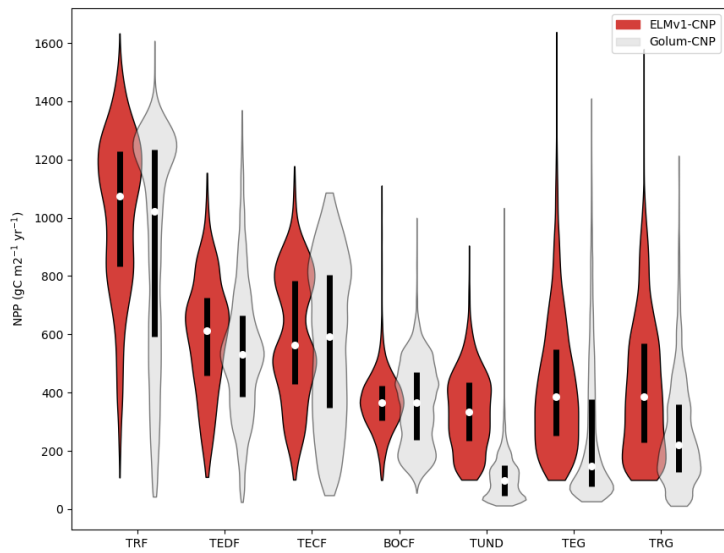


Figure S3: Violin plots of NPP ( $\text{g C m}^{-2} \text{ yr}^{-1}$ ) from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF), temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells in each biome (open circles) and the probability density distribution (balloons).

(a)

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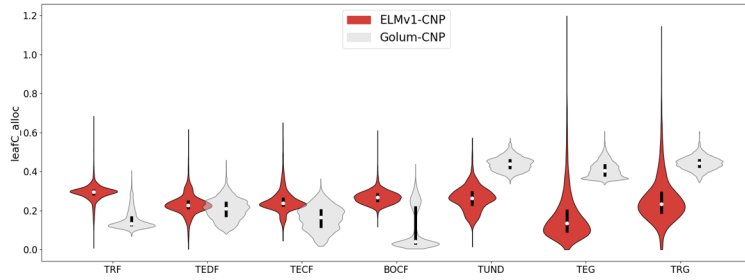


Figure S4:: Violin plots of NPP allocation fractions to leaf from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF), temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells in each biome (open circles) and the probability density distribution (balloons).

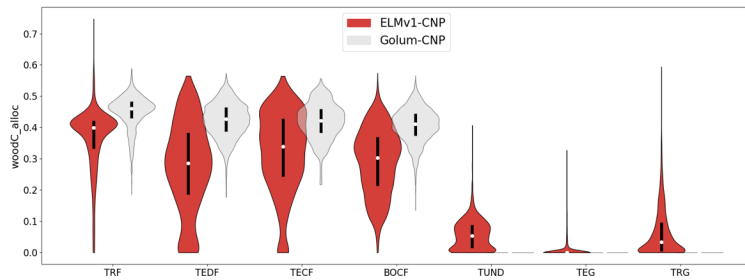


Figure S5:: Violin plots of NPP allocation fractions to wood from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF), temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells in each biome (open circles) and the probability density distribution (balloons).

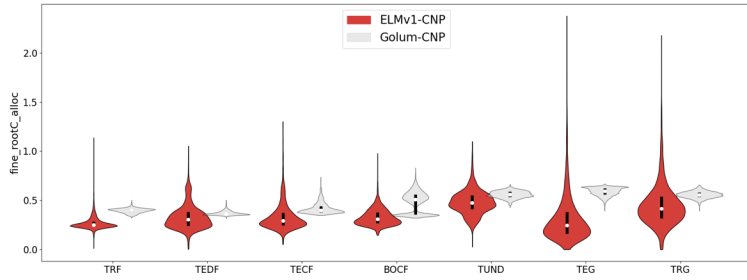
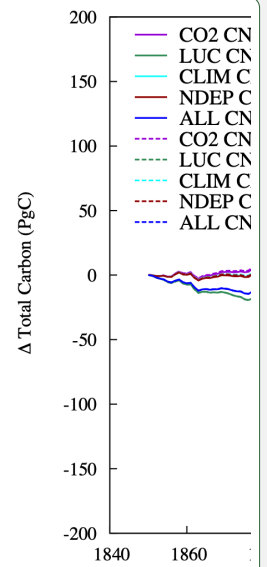


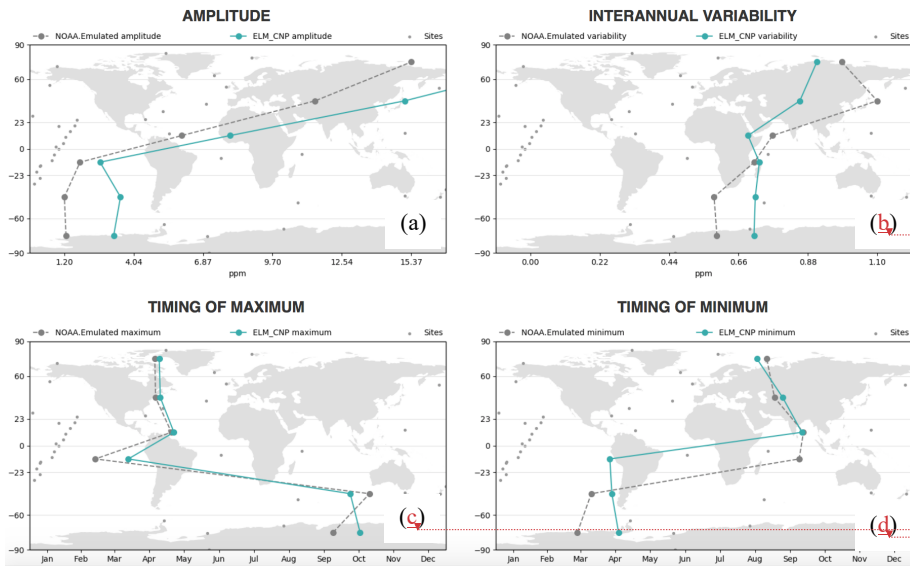
Figure S6: Violin plots of NPP allocation fractions to fine root from ELMv1-CNP and GOLUM-CNP for seven biomes: tropical rainforest (TRF), temperate deciduous forest (TEDF), temperate coniferous forest (TECF), boreal coniferous forest (BOCF), temperate grassland (TEG) and tropical grassland (TRG). Plots show the medians of all grid cells in each biome (open circles) and the probability density distribution (balloons).

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Figure S7: Simulated change in land carbon storage in response to changes in CO<sub>2</sub>, land use and land cover change, N deposition, climate during 1850-2010. Unit: Pg C. CN is for ELMv1-CN and CNP is for ELMv1-CNP



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Figure S7: The comparison of atmospheric CO<sub>2</sub> concentrations inferred from ELM v1-CNP land-carbon fluxes (green lines) with in situ flask measurements from NOAA's global Cooperative Air Sampling Network (gray lines) in ILAMB: (a) the mean seasonal amplitude over flask sites [ppm], (b) the range of interannual variability [ppm], (c) the average month-of-year when the peak CO<sub>2</sub> concentration occurs, and (d) the average month-of-year when the lowest CO<sub>2</sub> concentration occurs. Observations and measurements are binned within 30° latitude increments; small gray dots indicate individual flask sampling locations.

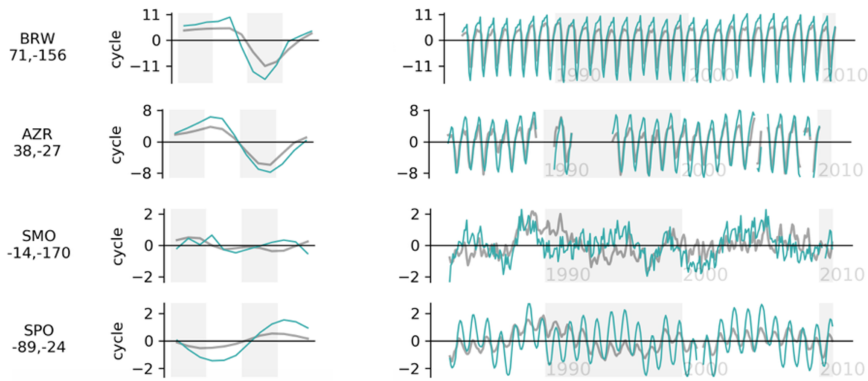


Figure S8: The comparison of atmospheric CO<sub>2</sub> concentrations [ppm] inferred from ELM v1-CNP land-carbon fluxes (green lines) with in situ flask measurements from NOAA's global Cooperative Air Sampling Network (gray lines) in ILAMB: Mean seasonal cycles (left) and full time series (right) for four flask sites: (d) Barrow (71°N, 156°W), (e) Azores (38°N, 27°W), (f) Samoa (14°S, 170°W), and (g) South Pole (89°S, 24°W). Grey shading in the seasonal cycle plots (left) is meant to show the magnitude of seasonality. Grey shading in the time series plots (right) is to show the decades (e.g. 1990 -2000).

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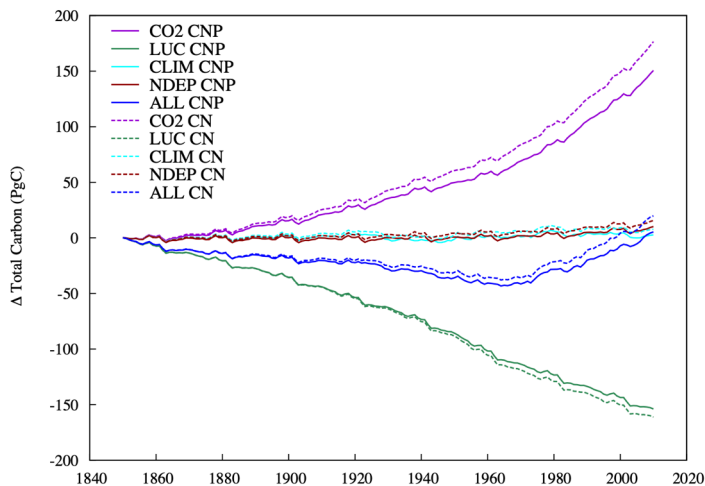


Figure S9: Simulated change in land carbon storage in response to changes in CO<sub>2</sub>, land use and land cover change, N deposition, climate during 1850-2010. Unit: Pg C. CN is for ELMv1-CN and CNP is for ELMv1-CNP

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Table S1: PFT dependent parameters\*

PFT	Leaf C:N	Leaf C:P	Fine root C:N	Fine root C:P	Live wood C:N	live wood C:P	Dead wood C:N	Dead wood C:P
Needleleaf evergreen tree - temperate	35	525	42	1000	50	3000	500	3000
Needleleaf evergreen tree - boreal	40	400	42	1000	50	3000	500	3000
Needleleaf deciduous tree - boreal	25	250	42	1000	50	3000	500	3000
Broadleaf evergreen tree - tropical	30	600	42	1000	50	3000	500	3000
Broadleaf evergreen tree - temperate	30	450	42	1000	50	3000	500	3000
Broadleaf deciduous tree - tropical	25	500	42	1000	50	3000	500	3000
Broadleaf deciduous tree - temperate	25	375	42	1000	50	3000	500	3000
Broadleaf deciduous tree - boreal	25	250	42	1000	50	3000	500	3000
Broadleaf evergreen shrub - temperate	30	450	42	1000	50	3000	500	3000
Broadleaf deciduous shrub - temperate	25	375	42	1000	50	3000	500	3000
Broadleaf deciduous shrub - boreal	25	250	42	1000	50	3000	500	3000
C3 arctic	25	250	42	1000				
C3 grass	25	375	42	1000				
C4 grass	25	375	42	1000				

\*The sources of the parameters are provided in detail in the supporting information of Yang et al. (2016)



Table S2: Soil order dependent parameters\*

	$S_{max}$	$K_s$	$r_{adsorp}$	$r_{desorp}$	$r_{weathering}$	$R_{occlusion}$
Andisol	1000	0.6	0.005	0.00022	0.005	1e-6
Gelisol	500	0.6	0.005	0.00022	0.001	1e-6
Histosol	500	0.6	0.005	0.00022	0.001	1e-6
Entisol	432	0.49	0.005	0.00022	0.001	1e-6
Inceptisol	500	0.6	0.005	0.00022	0.001	1e-6
Aridisol	700	0.3	0.005	0.00022	0.001	1e-6
Vertisol	700	0.3	0.005	0.00022	0.001	1e-6
Mollisol	700	0.3	0.005	0.00022	0.001	1e-6
Alfisol	700	0.06	0.005	0.00022	0.001	1e-6
Spodosol	925	0.03	0.005	0.00022	0.001	1e-6
Ultisol	1000	0.03	0.004	0.00022	0.0001	1e-6
Oxisol	1000	0.03	0.004	0.00022	0.0001	1e-6

\*The sources of the parameters are provided in detail in the supporting information of Yang et al. (2016)

Table S3: List of Input Data

	Input data	Reference
Meteorological forcing	The Global Soil Wetness Project forcing dataset (GSWP3)	<a href="http://hydro.iis.u-tokyo.ac.jp/GSWP3/">http://hydro.iis.u-tokyo.ac.jp/GSWP3/</a>
Land use change	Land-Use harmonization data set (LUH2)	Hurtt et al., 2020
N deposition		Hegglin et al., 2016
CO <sub>2</sub>		Meinshausen et al., 2017
P deposition		Mahowald et al. (2008)
Soil P maps	Global maps of different P pools	Yang et al. (2013)

Table S4: Observational Dataset Used for Carbon Cycle Evaluation in ILAMB

Variable	Datasets	References
Biomass	GEOCARBON	Saatchi et al., 2011
	NBCD2000	Kellendorfer et al., 2013
	USForest	Blackard et al., 2008
Burned area	GFED4S	Giglio et al., 2010
Carbon dioxide	NOAA.Emulated	Dlugokencky et al., 2020
Gross primary productivity	Fluxnet	Lasslop et al., 2010
	GBAF	Jung et al., 2011
Leaf area index	AVHRR	Myneni et al., 1997
	MODIS	De Kauwe et al., 2011
Global Net Ecosystem Carbon Balance	GCP	Le Quere et al., 2016
	Hoffman	Hoffman et al., 2014
Net ecosystem exchange	Fluxnet	Lasslop et al., 2010
	GBAF	Jung et al., 2010
Ecosystem respiration	Fluxnet	Lasslop et al., 2010
	GBAF	Jung et al., 2010
Soil carbon	HWSD	Todd-Brown et al., 2013
	NCSCDV22	Hugelius et al., 2013
	Koven	Koven et al., 2017

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