# Quantifying land carbon cycle feedbacks under negative CO<sub>2</sub> emissions

V. Rachel Chimuka<sup>1</sup>, Claude-Michel Nzotungicimpaye<sup>1,a</sup> & Kirsten Zickfeld<sup>1</sup>

Abstract. Land and ocean carbon sinks play a major role in regulating atmospheric CO<sub>2</sub> concentration and climate. However, their future efficiency depends on feedbacks in response to changes in atmospheric CO<sub>2</sub> concentration and climate, namely the concentration-carbon and climate-carbon feedbacks. Since carbon dioxide removal is a key mitigation measure in emission scenarios consistent with global temperature goals in the Paris agreement, understanding carbon cycle feedbacks under negative CO<sub>2</sub> emissions is essential. This study investigates land carbon cycle feedbacks under positive and negative CO<sub>2</sub> emissions using an Earth system model of intermediate complexity (EMIC) driven with an idealized scenario of atmospheric CO<sub>2</sub> increase and decrease, run in three modes. Our results show that the magnitude of carbon cycle feedbacks differs between the atmospheric CO<sub>2</sub> ramp-up and ramp-down phases. These differences are likely largely due to climate system inertia: the response in the ramp-down phase represents the response to both the prior positive emissions and negative emissions. To isolate carbon cycle feedbacks under negative emissions and quantify these feedbacks more accurately, we propose a novel approach that uses zero emissions simulations to reduce this inertia. We find that the magnitudes of the concentration-carbon and climate-carbon feedbacks under negative emissions are larger in our novel approach than in the standard approach. This has two implications: using feedback parameters from the standard approach will (1) underestimate land and ocean carbon release under negative emissions due to changes in CO<sub>2</sub> concentration alone (concentration-carbon feedback), and (2) underestimate land and ocean carbon gain due to changes in climate alone (climate-carbon feedback). Given that the concentration-carbon feedback is the dominant feedback, quantifying carbon cycle feedbacks with the standard approach will result in the underestimation of land and ocean carbon loss under negative emissions, thereby overestimating the effectiveness of negative emissions in drawing down CO<sub>2</sub>.

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# Summary of Comments on bg-2022-168-manuscript-version2 Mar 2023.pdf

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has two implications: using feedback parameters from the standard approach will (1) underestimate land and ocean carbon

20 release under negative emissions due to changes in CO2 concentration alone (concentration-carbon feedback), and (2)

underestimate land and ocean carbon gain due to changes in climate alone (climate-carbon feedback).

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I find this confusing to understand. Note that feedback parameters are a way to show the reponse of the system in a simple way to CO2 and T changes. Given their well known scenario-dependence I am not sure if people use them to find land and ocean C gain back from another scenario.

If you are just say that the feeback parameters are different between yours and the standard approach that's sufficient in my view.

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result in the underestimation of land and ocean carbon loss under negative emissions, thereby overestimating the effectiveness

of negative emissions in drawing down CO2.

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Again same thing can go here.

Can you highlight examples where people use feedback parameters to calculate emissions?

#### 1 Introduction

Anthropogenic CO<sub>2</sub> emissions have increased substantially since the preindustrial era, increasing the risk of "severe, pervasive and irreversible impacts" to the Earth system (IPCC, 2022). In an effort to reduce greenhouse gas emissions, nations adopted the Paris Agreement, which stipulated that surface warming should be kept well below 2°C above preindustrial levels and encouraged efforts to further limit it to 1.5°C (UNFCCC, 2015). Carbon dioxide removal (CDR) is a key mitigation measure in emission scenarios that are consistent with these climate goals (Ciais et al., 2013; Fuss et al., 2014; Rogelj et al., 2018; Rogelj et al., 2019; IPCC, 2022).

- The land and ocean carbon sinks play a major role in regulating atmospheric CO<sub>2</sub> concentration by absorbing approximately half of current anthropogenic CO<sub>2</sub> emissions (Friedlingstein et al., 2022). However, this rate of absorption is sensitive to changes in climate and atmospheric CO<sub>2</sub> concentration (Cox et al., 2000; Boer & Arora, 2010; Arora et al., 2013; Boer & Arora, 2013; Arora et al., 2020). As atmospheric CO<sub>2</sub> concentration increases, carbon sinks will take up more carbon through air-sea exchange and CO<sub>2</sub> fertilization, resulting in a negative concentration-carbon cycle feedback (Boer & Arora, 2010; Arora et al., 2013; Schwinger & Tjiputra, 2018). Conversely, changing climate, in response to the increasing CO<sub>2</sub> concentration, will decrease the ability of carbon sinks to take up carbon, resulting in a positive climate-carbon cycle feedback (Cox et al., 2000; Jones et al., 2003; Fung et al., 2005; Friedlingstein et al., 2006; Boer & Arora, 2010; Zickfeld et al., 2011; Boer & Arora, 2013; Friedlingstein et al., 2014; Schwinger & Tjiputra, 2018).
- Since the dominant feedback controlling land and ocean carbon uptake is the negative concentration-carbon feedback, the land 50 and ocean are currently carbon sinks (Arora et al., 2020). However, the implementation of negative emissions is expected to weaken or even reverse natural carbon sinks. If negative emissions are implemented but remain lower than positive emissions (net-positive emissions), the land and ocean carbon sinks continue to take up carbon, albeit at a lower rate (Tokarska & Zickfeld, 2015; Jones et al., 2016; Melnikova et al. 2021, Koven et al., 2022). On land, the rate of carbon uptake declines because ecosystem respiration increases more than gross primary productivity increases, whereas, in the ocean, the rate of uptake declines following the declining CO<sub>2</sub> emissions growth rate (Melnikova et al., 2021). Once the amount of CO<sub>2</sub> removed from the atmosphere exceeds the amount of CO<sub>2</sub> added to the atmosphere (net-negative emissions), the carbon sinks are expected to weaken further and may reverse (Cao & Caldeira, 2010; Tokarska & Zickfeld, 2015; Jones et al., 2016; Melnikova et al., 2021; Canadell et al., 2022; Koven et al., 2022). Decreasing CO2 levels will weaken the CO2 fertilization effect, decreasing net primary productivity (NPP) more than soil respiration, resulting in a flux of carbon into the atmosphere (Cao & Caldeira, 2010; Tokarska & Zickfeld, 2015). Furthermore, the gradient in the partial pressure of CO2 at the atmosphereocean interface will weaken and eventually reverse, resulting in the outgassing of CO<sub>2</sub> (Cao & Caldeira, 2010; Tokarska & Zickfeld, 2015). Carbon losses from the land and ocean following CDR are expected to significantly decrease the effectiveness of CDR in drawing down atmospheric CO<sub>2</sub> (Tokarska & Zickfeld, 2015; Jones et al., 2016; Zickfeld et al., 2021).

The behaviour of land carbon cycle feedbacks under positive and negative emissions is shown qualitatively in Figure 1. As the atmospheric CO<sub>2</sub> concentration increases under positive emissions, the land sequesters more carbon, reducing the atmospheric CO<sub>2</sub> concentration (Boer & Arora, 2010; Arora et al., 2013). However, under negative emissions, the declining atmospheric CO<sub>2</sub> concentration weakens and eventually reverses the land carbon sink, returning CO<sub>2</sub> to the atmosphere. The concentration-carbon feedback is negative because it promotes carbon sequestration under positive emissions and drives carbon loss under negative emissions. As the climate warms under positive emissions, the land loses carbon to the atmosphere, increasing the atmospheric CO<sub>2</sub> and causing further warming (Cox et al., 2000; Jones et al., 2003; Fung et al., 2005; Friedlingstein et al., 2006; Boer & Arora, 2010; Zickfeld et al., 2011; Boer & Arora, 2013; Friedlingstein et al., 2014). With cooling, the land carbon source weakens and eventually turns into a carbon sink, sequestering carbon and further cooling the climate under negative emissions. This positive climate-carbon feedback acts to amplify warming under positive emissions and enhance cooling under negative emissions.

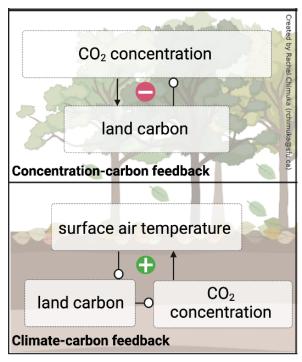


Figure 1: Carbon cycle feedback schematic illustrating the behaviour of the negative concentration-carbon feedback (top box) and positive climate-carbon feedback (bottom box). Each feedback loop starts with an increase (under positive emissions) or decrease (under negative emissions) in atmospheric CO<sub>2</sub> concentration or surface air temperature. Arrows indicate a positive coupling (change in the same direction) between components and lines with empty circles indicate a negative coupling (change in the opposite direction) between components.

The goal of this study is to quantify land carbon cycle feedbacks under negative emissions. We address two research questions:

- (1) How does the magnitude of carbon cycle feedbacks under negative emissions compare to that under positive emissions?
- (2) Is the approach currently used to quantify carbon cycle feedbacks under positive emissions adequate to quantify feedbacks

under negative emissions? If not, how can this approach be improved upon? This study investigates carbon cycle feedbacks under positive and negative emissions in an Earth system model of intermediate complexity (EMIC) driven with an idealized scenario with a 1% per year increase and decrease in atmospheric CO<sub>2</sub> concentration. Our study adds to the small but growing body of research on carbon cycle feedbacks under negative emissions (Schwinger & Tjiputra, 2018; Melnikova et al., 2021) by exploring the behaviour of these feedbacks, with a focus on land processes. We propose a novel approach for quantifying carbon cycle feedbacks under negative emissions and provide insight into the role of these feedbacks in determining the effectiveness of carbon dioxide removal in reducing CO<sub>2</sub> levels.

## 2 Methodology

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## 2.1 Model Description

The University of Victoria Earth System Climate Model (UVic ESCM, version 2.10) (figure 2) is a model of intermediate complexity with a horizontal grid resolution of 1.8° (meridional) x 3.6° (zonal) (Weaver et al., 2001; Mengis et al., 2020). The model consists of a simplified atmospheric model, a 3D ocean general circulation model, including ocean inorganic and organic carbon cycle models, coupled to a dynamic-thermodynamic sea ice model, and a land surface model coupled to a vegetation model (including permafrost) (Mengis et al., 2020). The atmosphere is a 2D energy-moisture balance model with dynamical wind feedbacks. Atmospheric heat and freshwater are transported through diffusion and advection (Weaver et al., 2001), based on wind velocities prescribed from monthly climatological wind fields from NCAR/NCEP reanalysis data (Eby et al., 2013). The 19-layer 3D ocean general circulation model is based on the Geophysical Fluid Dynamics Laboratory (GFDL) Modular Ocean Model Version 2 (MOM2) (Pacanowski, 1995). The coupled dynamic-thermodynamic sea ice model simulates sea ice dynamics through elastic, viscous and plastic deformation and flow mechanisms (Weaver et al., 2001). Ocean carbon is represented by an inorganic ocean carbon model following the Ocean Carbon Model Intercomparison Protocol (OCMIP), and a NPZD (nutrient, phytoplankton, zooplankton, detritus) model of ocean biology simulating carbon uptake by the biological pump, accounting for phytoplankton light and iron limitations (Keller er al., 2012). The land surface model, based on the Hadley Centre Met Office Surface Exchange Scheme (MOSES), simulates the terrestrial carbon cycle and is coupled to the Top-Down Representation of Interactive Foliage and Flora including Dynamics (TRIFFID) model which simulates vegetation and soil carbon (Meissner et al., 2003). This model version also includes a permafrost carbon model in the soil module that simulates permafrost carbon through a diffusion-based scheme (MacDougall & Knutti, 2016).

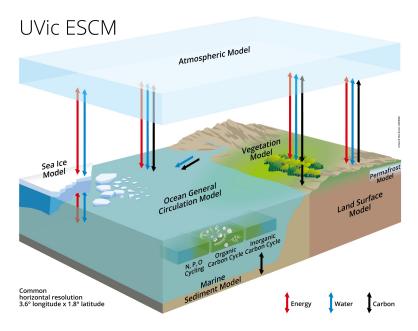


Figure 2: University of Victoria Earth System Climate Model (UVic ESCM) schematic. Energy, water and carbon exchanges between model components are represented by arrows. Figure reproduced with permission from Mengis et al. (2020).

#### 2.2 Model Simulations

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We performed a preindustrial spin-up simulation to equilibrate the model with the preindustrial  $CO_2$  concentration (~285ppm). All other greenhouse gas concentrations, surface land conditions and orbital parameters were held at 1850 levels according to the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental design protocol (Eyring et al., 2016). The solar forcing was set to the 1850 - 1873 mean and the volcanic forcing was held at its average over 1850 - 2014, also consistent with CMIP6 protocol (Eyring et al., 2016).

To explore how the magnitude of carbon cycle feedbacks under positive emissions differs from that under negative emissions, we ran the "CDR-reversibility" simulation from the Carbon Dioxide Removal Model Intercomparison Project (CDRMIP) (Keller et al., 2018). Starting from a preindustrial equilibrium state, atmospheric CO<sub>2</sub> concentration was prescribed to increase at 1% per year until quadrupling, then decline back to preindustrial levels at the same rate. We refer to the section of the prescribed CO<sub>2</sub> concentration trajectory with increasing CO<sub>2</sub> concentration as the ramp-up phase and the section with decreasing CO<sub>2</sub> concentration as the ramp-down phase.

We also ran a zero emissions simulation ("Zeroemit") for use in our novel approach for quantifying carbon cycle feedbacks under negative emissions. This simulation was initialized from the peak atmospheric CO<sub>2</sub> concentration in the "CDR-reversibility" simulation and run in emissions-driven configuration. Emissions were set to zero at the start of the simulation, then CO<sub>2</sub> was allowed to evolve for 500 years.

- 135 The "CDR-reversibility" and "Zeroemit" simulations were run in three modes, following the C4MIP protocol for the quantification of carbon cycle feedbacks (Friedlingstein et al., 2006; Arora et al., 2013; Jones et al., 2016; Arora et al., 2020):
  - 1. Fully coupled mode (FULL): the land and ocean carbon sinks are subject to changing atmospheric CO<sub>2</sub> concentration and climate.
  - 2. Biogeochemically coupled mode (BGC): the land and ocean carbon sinks are subject to changing CO<sub>2</sub> concentration alone. The radiation module stays fixed at the CO<sub>2</sub> level from which the simulation is initialized i.e., preindustrial CO<sub>2</sub> concentration for the "CDR-reversibility" simulation and quadruple the preindustrial CO<sub>2</sub> concentration for the "Zeroemit" simulation.
  - 3. Radiatively coupled mode (RAD): the land and ocean carbon sinks are subject to changes in climate alone. The land and ocean carbon sinks see a fixed CO<sub>2</sub> concentration: preindustrial CO<sub>2</sub> concentration in the "CDR-reversibility" simulation and quadruple the preindustrial CO<sub>2</sub> concentration in the "Zeroemit" simulation.

### 2.3 Approaches to Carbon Cycle Feedback Quantification

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In the first approach (referred to as the "standard" approach), we use the "CDR-reversibility" simulation to quantify carbon cycle feedbacks under positive and negative emissions. Although this simulation is highly idealized, the ramp-up phase is standardly used to quantify carbon cycle feedbacks under positive emissions, and therefore, allows easier comparison of these results to other literature. The ramp-up phase represents the response to positive emissions alone. However, the ramp-down phase represents the response to both the prior positive emissions and negative emissions because negative emissions are applied from a transient (that is, time-evolving) state (Zickfeld et al., 2016; Keller et al., 2018). As a result, carbon cycle feedbacks quantified from the ramp-down phase do not represent the response to negative emissions alone.

Our second and novel approach, therefore, aims to improve the quantification of carbon cycle feedbacks under negative emissions by isolating the response to negative emissions alone. We use an experimental design utilizing both the "CDR-reversibility" and "Zeroemit" simulations. Since the "Zeroemit" simulation quantifies the "committed" response to the prior positive emissions, the first 140 years of this simulation was subtracted from the ramp-down phase of the "CDR-reversibility" simulation to isolate the response to negative emissions alone. A similar approach was used in Zickfeld et al. (2016). The main assumption made here is that of linearity, that is, we assume that the committed carbon cycle response to the prior positive emissions and the carbon cycle response to negative emissions combine linearly to the total carbon cycle response in the ramp-down phase. From our approach – referred to as the "Ramp-down – Zeroemit" approach – we quantify carbon cycle feedbacks and compare them to those from the first approach.

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the land and ocean carbon sinks are subject to changing atmospheric CO2 concentration and climate.

Author: Subject:Note Date:2023-03-14 8:54:18 AM

This has to mention radiation too.

Number: 2 Author: Subject:Highlight Date:2023-03-14 8:54:25 AM

The radiation module stays fixed at the CO2 level

Author: Subject:Note Date:2023-03-14 9:55:38 AM

Please consider rewording this. It's better to say - "radiation module sees a specified time-invariant CO2 concentration".

### 2.4 Carbon Cycle Feedback Framework

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We use integrated flux-based feedback parameters (Friedlingstein et al., 2006) to quantify carbon cycle feedbacks in both approaches, under both positive and negative emissions. The total change in land (ocean) carbon is expressed as the sum of two terms: a term representing the change in land (ocean) carbon in response to changes in atmospheric CO<sub>2</sub>, and a term representing the change in land (ocean) carbon in response to changes in surface air temperature:

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$$\Delta C_{L} = \beta_{L} \Delta C_{A} + \gamma_{L} \Delta T \qquad [1]$$

$$\Delta C_{\rm O} = \beta_{\rm O} \Delta C_{\rm A} + \gamma_{\rm O} \Delta T \qquad [2]$$

The concentration-carbon feedback parameter  $\beta$  quantifies the carbon cycle response to changes in CO<sub>2</sub> concentration in units of PgC ppm<sup>-1</sup>, whereas the climate-carbon feedback parameter  $\gamma$  quantifies the carbon cycle response to changes in climate in units of PgC  $^{\circ}$ C<sup>-1</sup>.

The change in land (ocean) carbon due to the increasing atmospheric  $CO_2$  concentration is determined using the biogeochemically coupled simulation. In this simulation, the land and ocean only respond to changes in the  $CO_2$  concentration, and therefore, this simulation can be used to quantify the concentration-carbon feedback parameter  $\beta$ . Warming is still observed in these simulations because the water use efficiency of vegetation increases at higher  $CO_2$  concentrations and changes in albedo due to shifts in vegetation structure and spatial distribution, result in a small warming effect (Cox et al., 2004, Boer & Arora, 2013; Arora et al., 2013). However, this warming is considered negligible in this feedback framework. Assuming that  $\Delta T = 0$  in Eq. (1) and (2), the change in land (ocean) carbon due to changes in atmospheric  $CO_2$  concentration is expressed as:

$$\Delta C_L = \beta_L \Delta C_A \qquad [3a]$$

$$\Delta C_0 = \beta_0 \Delta C_A$$
 [4a]

Equations (3a) and (4a) can then be rearranged to solve for the concentration-carbon feedback parameter  $\beta$  as follows:

$$\beta_L = \frac{\Delta C_L}{\Delta C_A} \qquad [3b] \quad \beta_O = \frac{\Delta C_o}{\Delta C_A} \qquad [4b]$$

The change in land (ocean) carbon due to climate change is determined using the radiatively coupled simulation. In this simulation, the land and ocean only respond to changes in climate, and therefore, this simulation can be used to quantify the climate-carbon feedback parameter  $\gamma$ . The change in land (ocean) carbon due to climate change is expressed as:

$$\Delta C_L = \gamma_L \Delta T$$
 [5a]  
 $\Delta C_O = \gamma_O \Delta T$  [6a]

Equations (5a) and (6a) can then be rearranged to solve for the climate-carbon feedback parameter  $\gamma$  as follows:

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$$\gamma_{\rm L} = \frac{\Delta C_{\rm L}}{\Delta T}$$
 [5b]  $\gamma_{\rm O} = \frac{\Delta C_{\rm o}}{\Delta T}$  [6b]

An alternative method for quantifying the change in land (ocean) carbon due to climate change uses the fully coupled and biogeochemically coupled simulations (Arora et al., 2013). Here, we refer to this method as the FULL-BGC method. Here, the change in land (ocean) carbon in the biogeochemically coupled simulation (BGC) is subtracted from that in the fully coupled simulation (FC) and expressed as the product of the climate-carbon feedback parameter, and the difference between the surface air temperature changes in the two simulations:

$$\Delta C_{L}^{CLIM} = \Delta C_{L}^{FC} - \Delta C_{L}^{BGC} = \gamma_{L} (\Delta T^{FC} - \Delta T^{BGC})$$
 [7]

 $\Delta C_{O}^{CLIM} = \Delta C_{O}^{FC} - \Delta C_{O}^{BGC} = \gamma_{O}(\Delta T^{FC} - \Delta T^{BGC})$  [8]

The resulting feedback parameters differ from those quantified from the RAD mode (Eq. (5b), (6b)) alone due to nonlinearities in carbon cycle feedbacks (Zickfeld et al., 2011; Schwinger & Tjiputra, 2018).

- Feedback parameters under positive emissions are computed at the peak atmospheric CO<sub>2</sub> concentration (quadruple the preindustrial level) using changes in carbon pools, atmospheric CO<sub>2</sub> concentration and surface air temperature computed relative to preindustrial levels. Feedback parameters under negative emissions are computed at the return to preindustrial levels (end of ramp-down phase) using changes in carbon pools, atmospheric CO<sub>2</sub> concentration and surface air temperature computed relative to the time of peak atmospheric CO<sub>2</sub>.
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Under positive emissions, feedback parameters are positive for land or ocean carbon gain and negative for land or ocean carbon loss. Under negative emissions, however, both atmospheric CO<sub>2</sub> concentration and surface air temperature decline, resulting in a negative denominator (see Eq. (3b), (4b), (5b) and (6b)). Therefore, the sign convention is reversed: feedback parameters are negative for a gain in land or ocean carbon (positive numerator divided by negative denominator) and positive for a loss in land or ocean carbon (negative numerator divided by negative denominator). The signs we refer to here, however, are not the signs of the feedback but rather the signs of the feedback parameters, which are generally opposite to the sign of the feedback under positive emissions because our feedback parameters are computed from the perspective of the land and ocean, whereas the sign of the feedback is determined from the perspective of the atmosphere.



Number: 1 Author: Subject:Highlight Date:2023-03-14 8:54:42 AM

Under positive emissions, feedback parameters are positive for land or ocean carbon gain and negative for land or ocean carbon

loss.



Author: Subject:Note Date:2023-03-14 9:56:26 AM

The carbon-cocentration feedback is -ve from the atmosphere's perspective, but positive from the land and ocean's perspective.

Please keep this distinction. Here in this sentence you are talking from feedback parameters for land and ocean.

That is,

 $beta_A = -(beta_L + beta_O).$ 

I see this is done at the end of this paragraph but please mention this earlier.



Number: 2 Author: Subject:Highlight Date:2023-03-14 8:54:57 AM

Therefore, the sign convention is reversed: feedback parameters

are negative for a gain in land or ocean carbon (positive numerator divided by negative denominator) and positive for a loss in

225 land or ocean carbon (negative numerator divided by negative denominator). The signs we refer to here, however, are not the

signs of the feedback but rather the signs of the feedback parameters, which are generally opposite to the sign of the feedback

under positive emissions because our feedback parameters are computed from the perspective of the land and ocean, whereas

the sign of the feedback is determined from the perspective of the atmosphere.



Author: Subject:Note Date:2023-03-14 8:56:15 AM

This is all very confusing, and the result of expressing change in CO2 concentration related to the peak. If change in CO2 concentration is found relative to PI, then change in CO2 concentration is still +ve until it becomes zero when CO2 gets down to its PI value again.

Please consider making this clear that the sign reversal is the result of how you are starting at the peak and not at the PI value.

# 2.4.1 Isolating the Response to Negative Emissions (Ramp-down – Zeroemit Approach)

230 When negative emissions are applied from a transient (time-evolving) state, the land and ocean respond to both the negative emissions and the prior emissions trajectory (Zickfeld et al., 2016). The land and ocean responses can, therefore, be expressed as the response to negative emissions plus an inertia term that represents the committed response to past history:

$$\Delta C_{L} = \Delta C_{L}^{NE} + \Delta C_{L}^{INERTIA} [9]$$

$$\Delta C_{O} = \Delta C_{O}^{NE} + \Delta C_{O}^{INERTIA} [10]$$

Using zero emissions simulations to quantify the inertia term, our novel approach isolates the response to negative emissions by taking the difference between the ramp-down phase of the CDR-reversibility simulation and the zero emissions simulation for a particular mode e.g., the fully coupled mode is shown below:

$$\Delta C_{L}^{NE} = \Delta C_{L} - \Delta C_{L}^{INERTIA} = \beta_{L}(\Delta C_{A} - \Delta C_{A}^{INERTIA}) + \gamma_{L}(\Delta T - \Delta T^{INERTIA}) = \beta_{L}(\Delta C_{A}^{NE}) + \gamma_{L}(\Delta T^{NE})$$
[11]  
$$\Delta C_{O}^{NE} = \Delta C_{O} - \Delta C_{O}^{INERTIA} = \beta_{O}(\Delta C_{A} - \Delta C_{A}^{INERTIA}) + \gamma_{O}(\Delta T - \Delta T^{INERTIA}) = \beta_{O}(\Delta C_{A}^{NE}) + \gamma_{O}(\Delta T^{NE})$$
[12]

As the CDR-reversibility simulation is concentration-driven, carbon gained or lost by the land or ocean does not affect the atmospheric CO<sub>2</sub> concentration and surface air temperature as would be expected in the real world. Therefore, we assume that the "true" change in atmospheric CO<sub>2</sub> concentration in the ramp-down simulation is the sum of the change in atmospheric CO<sub>2</sub> concentration in the "CDR-reversibility" ramp-down phase and the change in the atmospheric CO<sub>2</sub> concentration due to the response of the land and ocean, which is further decomposed, into a correction for carbon pools responding to the change in CO<sub>2</sub> concentration in the ramp-down phase (rather than to the "true" change in CO<sub>2</sub> concentration), and an inertia term. The same is assumed for the surface air temperature:

$$\begin{split} \Delta \mathbb{C}_{A} &= \Delta C_{A} + \Delta C_{A}^{(L+O)} = \Delta C_{A} + \Delta C_{A}^{(DIFF)} + \Delta C_{A}^{(INERTIA)} \left[13\right] \\ \Delta \mathbb{T}_{A} &= \Delta T + \Delta T^{(L+O)} = \Delta T + \Delta T^{(DIFF)} + \Delta T^{(INERTIA)} \left[14\right] \end{split}$$

Assuming that  $\Delta C_A^{(DIFF)}$  and  $\Delta T^{(DIFF)}$  are negligible:

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$$\Delta \mathbb{C}_{A} = \Delta C_{A} + \Delta C_{A}^{(INERTIA)} [15]$$
  
$$\Delta \mathbb{T}_{A} = \Delta T + \Delta T^{(INERTIA)} [16]$$

We quantify the change in atmospheric CO<sub>2</sub> and temperature due to negative emissions alone as difference between the "true" change in change in atmospheric CO<sub>2</sub> concentration and the inertia term:

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When negative emissions are applied

Author: Subject:Note Date:2023-03-14 9:57:15 AM

Note that in your case, you are not applying negative emissions but rather CO2 concentration is specified.

Number: 2 Author: Subject:Highlight Date:2023-03-14 8:56:37 AM

the change in the atmospheric CO2 concentration due to the response of the land and ocean,

Author: Subject:Note Date:2023-03-14 8:56:44 AM

Are you assuming that the response of land and ocean doesn't change diagnosed emissions?

Number: 3 Author: Subject:Highlight Date:2023-03-14 8:56:53 AM

ΔCA (DIFF) and ΔT(DIFF)

Author: Subject:Note Date:2023-03-14 8:57:01 AM

These two terms haven't been defined? I am not sure what they represent.

$$\begin{split} \Delta C_A{}^{NE} &= \Delta \mathbb{C}_A \text{ - } \Delta C_A{}^{(INERTIA)} \left[17\right] \\ \Delta T^{NE} &= \Delta \mathbb{T}_A \text{ - } \Delta T^{(INERTIA)} \left[18\right] \end{split}$$

265 Substituting (15) into (17) and (16) into (18), then gives:

$$\Delta C_A^{NE} = \Delta C_A [19]$$
$$\Delta T^{NE} = \Delta T [20]$$

270 We can now rewrite Eq. (11) and [12] as:

$$\begin{split} \Delta C_L{}^{NE} &= \Delta C_L \text{-} \ \Delta C_L{}^{INERTIA} = \beta_L(\Delta C_A) + \gamma_L(\Delta T) \ [21] \\ \Delta C_O{}^{NE} &= \Delta C_O \text{-} \Delta C_O{}^{INERTIA} = \beta_O(\Delta C_A) + \gamma_O(\Delta T) \ [22] \end{split}$$

This can be rewritten for the biogeochemically and radiatively coupled simulations respectively as follows:

$$\begin{split} \Delta C_L{}^{NE} &= \Delta C_L - \Delta C_L{}^{INERTIA} = \beta_L(\Delta C_A) \; [23a] \\ \Delta C_O{}^{NE} &= \Delta C_O - \Delta C_O{}^{INERTIA} = \beta_O(\Delta C_A) \; [24a] \end{split}$$

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$$\Delta C_L^{NE} = \Delta C_L - \Delta C_L^{INERTIA} = \gamma_L(\Delta T) [25a]$$
$$\Delta C_O^{NE} = \Delta C_O - \Delta C_O^{INERTIA} = \gamma_O(\Delta T) [26a]$$

The feedback parameters are then computed by rearranging the equations above as follows:

$$\beta_{L} = \frac{\Delta C_{L} - \Delta C_{L}^{INERTIA}}{\Delta C_{A}} \qquad [23b] \quad \beta_{O} = \frac{\Delta C_{O} - \Delta C_{O}^{INERTIA}}{\Delta C_{A}} \qquad [24b]$$

$$\gamma_{L} = \frac{\Delta C_{L} - \Delta C_{L}^{INERTIA}}{\Delta T} \qquad [25b] \quad \gamma_{O} = \frac{\Delta C_{O} - \Delta C_{O}^{INERTIA}}{\Delta T} \qquad [26b]$$

The land (ocean) carbon changes, surface air temperature and CO<sub>2</sub> concentration changes are computed relative to the year of peak CO<sub>2</sub> concentration (year 140 in the CDR-reversibility simulation; year 1 in the zero emissions simulations).

#### **3 Results**

# 3.1 "CDR-reversibility" Carbon Cycle Feedback Analysis

Our results focus on the ramp-down phase of the "CDR-reversibility" simulation and compare the system response in this phase to that in the ramp-up phase. While the prescribed atmospheric CO<sub>2</sub> concentration for the "CDR-reversibility" simulations is the same, the temperature response differs by mode (**figure 3(a, b)**). In the FULL and RAD modes, surface air temperature increases approximately linearly with increasing atmospheric CO<sub>2</sub> concentration, continues to increase for approximately half a decade after atmospheric CO<sub>2</sub> concentration peaks, then decreases with decreasing CO<sub>2</sub> concentration. Surface air temperature declines more slowly in the ramp-down phase due to the thermal inertia of the ocean, and therefore, does not return to preindustrial levels by the end of the ramp-down phase. The temperature response in the FULL mode is consistent with earlier studies (Boucher et al., 2012; Zickfeld et al., 2016; MacDougall, 2019; Ziehn et al., 2020; Park & Kug, 2022). Surface air temperature in the BGC mode changes only marginally: surface air temperature increases slightly with increasing CO<sub>2</sub> concentration and decreases as the CO<sub>2</sub> concentration decreases. This temperature change is driven by biophysical responses to changing atmospheric CO<sub>2</sub>, in particular, changes in evaporative fluxes as plants adjust stomatal conductance based on atmospheric CO<sub>2</sub> levels. Biophysical effects are also responsible for the difference in warming between the FULL and RAD modes (Arora et al., 2020). The temperature response in the ramp-up phase of the FULL, BGC and RAD modes is consistent with Arora et al. (2020) while the temperature response in the ramp-up and ramp-down phases of all three modes is consistent with Schwinger & Tjiputra (2018).

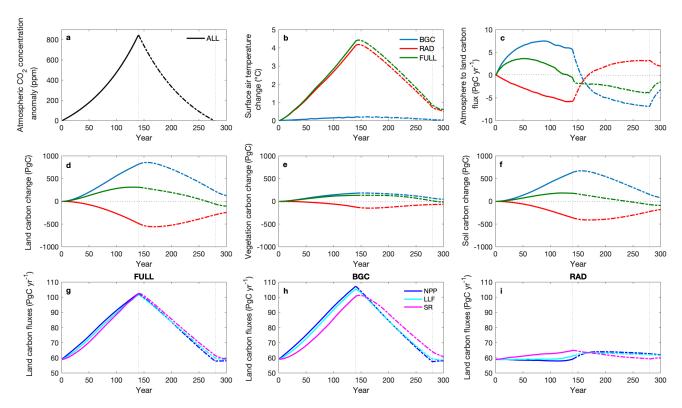


Figure 3: a. Prescribed atmospheric CO<sub>2</sub> concentration b. surface air temperature change c. atmosphere to land carbon flux and d. land e. vegetation and f. soil carbon changes in the fully coupled (FULL), biogeochemically coupled (BGC) and radiatively coupled (RAD) "CDR-reversibility" simulations. Panels a, b, and d - f are calculated relative to 1850 (preindustrial). Carbon fluxes for the three modes are shown in the bottom panels (g, h, i). NPP = net primary productivity, LLF = leaf litter flux and SR = soil respiration. Solid lines represent the ramp-up phase and dot-dashed lines represent the ramp-down phase. The vertical dotted lines mark the beginning and end of the ramp-down phase.

#### 315 3.1.1 Land Carbon Change in the FULL Mode

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Figure 3(d) shows land carbon changes as a function of time. In the FULL mode, the land gains carbon at a decreasing rate, then begins to slowly lose carbon 7 years before the peak atmospheric CO<sub>2</sub> concentration is reached. Similar carbon uptake and loss patterns are observed for the soil carbon pool, which starts losing carbon roughly 20 years before the peak in atmospheric CO<sub>2</sub> concentration, but vegetation carbon loss begins 2 years after the peak atmospheric CO<sub>2</sub> concentration (figure 3(e, f)). Our results are qualitatively consistent with Ziehn et al. (2020). However, they differ from other studies (MacDougall, 2019; Arora et al., 2020) wherein the land carbon pool remains a carbon sink in the ramp-up phase. MacDougall (2019) shows that the soil carbon sink switches into a source later in the ramp-up phase than our results show. Furthermore, other studies (Boucher et al., 2012; Zickfeld et al., 2016) show that both vegetation and soil carbon sinks persist throughout the ramp-up phase.

Here, the land loses carbon throughout the ramp-down phase (figure 3(d)) whereas, earlier studies show continued land carbon uptake in the early ramp-down phase followed by land carbon loss (Boucher et al., 2012; Zickfeld et al., 2016; Park & Kug, 2021). Changes in land carbon are governed by the balance between net primary productivity (NPP) and soil respiration. Carbon gain is driven by the CO<sub>2</sub> fertilization effect: photosynthesis is enhanced under increasing CO<sub>2</sub> concentration, increasing NPP (figure 3(g)) (Arora et al. 2013). Soil respiration also increases with warming (figure 3(g)). Initially, soil respiration remains below NPP, but the rate of increase of NPP declines faster and soil respiration exceeds NPP towards the end of the ramp-up phase. This occurs due to the different response timescales of NPP and soil respiration: NPP depends on atmospheric CO<sub>2</sub> changes, whereas soil respiration depends on temperature change, which lags behind the change in CO<sub>2</sub> concentration (Cao & Caldeira, 2010). In the ramp-down phase, NPP decreases as the CO<sub>2</sub> fertilization effect weakens, whereas soil respiration continues to increase for a year before decreasing at a slower rate than NPP, driven by decreasing surface air temperature and soil carbon.

## 3.1.2 Land Carbon Change in the BGC Mode

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In the BGC mode, the land sequesters carbon in the ramp-up phase, remains a carbon sink until 16 years after the peak in CO<sub>2</sub> concentration, then switches into a source of carbon (**figure 3(d)**). A similar lag is observed for both vegetation and soil carbon pools, but the soil carbon sink persists for five years longer than the vegetation carbon sink (**figure 3(e, f)**). The land sequesters carbon in the ramp-up phase due to the CO<sub>2</sub> fertilization effect, which increases NPP (**figure 3(h)**) (Arora et al. 2013). In the UVic ESCM, soil respiration depends on soil temperature, moisture, and carbon content (Cox et al., 2001; Mengis et al., 2020). Since changes in surface air temperature in the BGC mode are small (**figure 3(b)**), changes in the first two factors are negligible and soil carbon content is the main driver of soil respiration changes. Soil respiration increases with increasing soil carbon, but NPP remains higher, resulting in land carbon uptake in the ramp-up phase (**figure 3(h)**). In the ramp-down phase, NPP decreases as the CO<sub>2</sub> fertilization effect weakens, whereas soil respiration continues to increase before decreasing at a slower rate than NPP, following changes in soil carbon (**figure 3(h)**). NPP declines below soil respiration, and the land switches into a carbon source.

# 3.1.3 Land Carbon Change in the RAD Mode

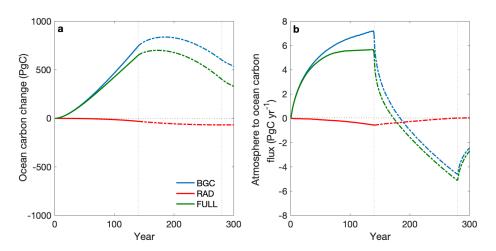
The land loses carbon in the ramp-up phase of the RAD mode, remains a carbon source until roughly 30 years after the peak in atmospheric CO<sub>2</sub> concentration, then switches into a carbon sink (figure 3(d)). Both vegetation and soil carbon pools exhibit a similar lag, but the vegetation carbon pool remains a carbon source for a decade longer than the soil carbon pool (figure 3(e, f)). The land loses carbon in the ramp-up phase because NPP decreases as plant respiration rates increase (see figure S1), whereas soil respiration increases with warming (figure 3(i)) consistent with earlier literature (Arora et al., 2020). NPP later increases due to vegetation shifts that occur on decadal to centennial timescales (see figure S2) but remains lower than soil respiration. In the ramp-down phase, NPP increases (figure 3(i)) as gross primary productivity increases and plant respiration decreases with cooling, then later declines as gross primary productivity declines, because cooler temperatures negatively

impact vegetation growth in the high latitudes (see figures S1, S3). Soil respiration decreases steadily with declining surface air temperature, and after a few decades, declines below NPP, and the land switches into a carbon sink.

#### 3.1.4 Ocean Carbon Change in the FULL, BGC and RAD Modes

In the FULL mode, the ocean gains carbon at a steady rate, then begins to slowly lose carbon roughly three decades after the peak in atmospheric CO<sub>2</sub> concentration (**figure 4(a)**). In the ramp-up phase, the partial pressure of CO<sub>2</sub> in the atmosphere increases, strengthening the partial pressure gradient and driving an influx of CO<sub>2</sub> into the ocean (**figure 4(b)**). In the ramp-down phase, the gradient in partial pressure weakens and eventually reverses, and the ocean carbon sinks switches into a source. Earlier studies forced with the "CDR-reversibility" simulation also show ocean carbon uptake in the ramp-up phase (MacDougall, 2019; Arora et al., 2020) followed by delayed carbon loss in the ramp-down phase (Boucher et al., 2012; Zickfeld et al., 2016).

The ocean exhibits a delayed response in the ramp-down phase of the BGC and RAD modes consistent with Schwinger & Tjiputra (2018). In the BGC mode, the ocean takes up carbon in the ramp-up phase, remains a carbon sink for approximately half a century after the peak atmospheric CO<sub>2</sub> concentration, then switches into a source of carbon (figure 4(a)). The partial pressure gradient of CO<sub>2</sub> strengthens in the ramp-up phase, driving CO<sub>2</sub> uptake, then weakens and reverses in the ramp-down phase, promoting carbon loss, but the magnitude of the flux is larger than in the FULL mode (figure 4(b)). In the RAD mode, the ocean loses carbon in the ramp-up phase, remains a carbon source for over a century in the ramp-down phase, then switches into a weak carbon sink (figure 4(a)). The ocean outgasses in the ramp-up phase possibly due to climate effects on ocean circulation and the solubility pump (Cox et al., 2000; Fung et al., 2005; Friedlingstein et al., 2006; Zickfeld et al., 2011). In the ramp-down phase, the ocean remains a carbon source for over a century before switching into a weak carbon sink. Ocean carbon changes in the BGC and RAD modes are also driven by the concentration-carbon and climate-carbon feedbacks. An in-depth discussion of the mechanisms behind the ocean carbon response is beyond the scope of this paper.



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Figure 4: a. Ocean carbon change and b. atmosphere to ocean carbon flux in the fully coupled (FULL), biogeochemically coupled (BGC) and radiatively coupled (RAD) "CDR-reversibility" simulations. Ocean carbon change is calculated relative to 1850 (preindustrial). Solid lines represent the ramp-up phase and dot-dashed lines represent the ramp-down phase. The vertical dotted lines mark the beginning and end of the ramp-down phase.

#### 3.1.5 Sensitivity of Land and Ocean Carbon Pools

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To assess the sensitivity of land and ocean carbon pools to changes in atmospheric CO<sub>2</sub> and temperature, we plot carbon changes in the BGC mode as a function of atmospheric CO<sub>2</sub> concentration (figure 5) and carbon changes in the RAD mode as a function of surface air temperature (figure 6). The trajectory of carbon change differs in the ramp-up and ramp-down phases of the BGC mode (figure 5), a behavior referred to as hysteresis. Hysteresis in the land carbon pool is primarily driven by the soil carbon pool, although the contribution from the vegetation carbon pool is also significant (figure 5(a, c, d)). The width of the hysteresis – measured as the vertical distance between the ramp-up and ramp-down trajectories – initially increases, then decreases (figure 5(a - d)), except in the vegetation carbon pool where the width of the hysteresis increases throughout the ramp-down phase (figure 5(c)). The land and ocean carbon pools in the RAD mode also exhibit hysteresis (figure 6). The hysteresis in the land carbon pool is dominated by the soil carbon pool (figure 5(d)), and the width of the hysteresis appears to increase throughout the ramp-down phase for all carbon pools except the vegetation carbon, which shows nearly constant hysteresis. The observed hysteresis in the land and ocean carbon pools in the BGC and RAD modes is likely largely due to climate system inertia: the carbon cycle response under negative emissions, that is, in the ramp-down phase, is a combination of the response to both negative emissions and the prior positive emissions.

Despite the restoration of preindustrial atmospheric CO<sub>2</sub> levels in the BGC mode, the land and ocean carbon pools do not return to their preindustrial states. At the end of the ramp-down phase, the land carbon pool holds approximately 250 PgC more than at preindustrial, with 80 PgC remaining in vegetation and 170 PgC remaining in the soil (figure 5(a, c, d)), whereas the ocean carbon pool holds much more carbon (615PgC) than at preindustrial (figure 5(b)). In the RAD mode, the land and ocean carbon lost in the ramp-up phase is not completely regained in the ramp-down phase, though this response would not be expected given the asymmetric surface air temperature response in this mode. By the end of the RAD mode, the land carbon pool holds approximately 300 PgC less than at preindustrial, with the vegetation carbon pool accounting for 70 PgC and the soil carbon pool accounting for the remaining 230PgC (figure 6(a, b, c)). The ocean holds only 70PgC less than at preindustrial, but unlike the land carbon pool, a miniscule amount of ocean carbon is regained in the ramp-down phase (figure 5(b)).

Previous studies have shown carbon cycle hysteresis in the FULL mode of the "CDR-reversibility" simulation (Boucher et al., 2012; Zickfeld et al., 2016; Jeltsch-Thömmes et al., 2020; Park & Kug, 2022), consistent with our results (see figure S4). However, in most of these studies, the vegetation and soil carbon pools do not return to their preindustrial states by the end of the ramp-down phase (Boucher et al., 2012; Zickfeld et al., 2016; Park & Kug, 2022). Our results for the FULL mode of the

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is a combination

of the response to both negative emissions and the prior positive emissions.

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I would rather say "is a combination of the response to both increasing and then decreasing CO2 concentrations" since your simulations are concentration driven and you haven't shown diagnosed emissions.

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emaining in vegetation and 170 PgC remaining in the soil (figure 5(a, c, d)), whereas the ocean carbon pool holds much more carbon (615PgC) than at preindustrial (figure 5(b)

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This is expected given the time lags associated with cVeg and cSoil.

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though this response would not be

405 expected given the asymmetric surface air temperature response in this mode

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Even if, temperature response were perfectly symmetric we would still see hysteresis due to time lags associated with the turnover time in cVeg and cSoil pools.

"CDR-reversibility" simulation show that the vegetation and soil carbon pools are very close to their preindustrial states by the end of the ramp-down phase (see figure S4), consistent with Ziehn et al. (2020), who show a near-return to the preindustrial state in the vegetation carbon pool.

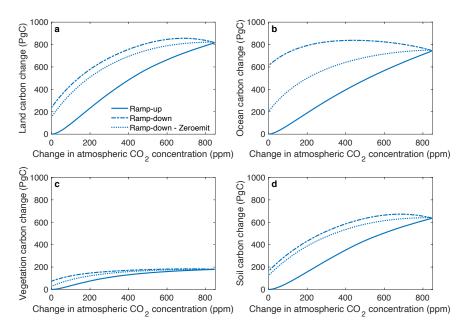
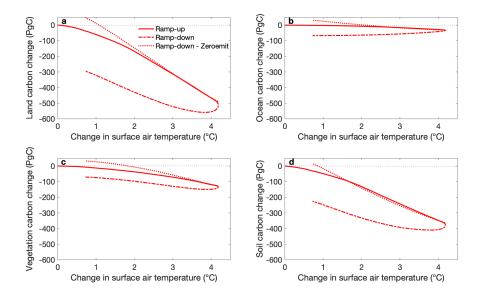


Figure 5: a. Land b. ocean c. vegetation and d. soil carbon pool changes as a function of atmospheric CO<sub>2</sub> concentration, taken from the biogeochemically coupled (BGC) "CDR-reversibility" simulation ramp-up and ramp-down phases, and "ramp-down – zeroemit" simulation. All values are calculated relative to 1850 (preindustrial).



425 Figure 6: a. Land b. ocean c. vegetation and d. soil carbon pool changes as a function of surface air temperature change, taken from the radiatively coupled (RAD) "CDR-reversibility" simulation ramp-up and ramp-down phases, and "ramp-down – zeroemit" simulation. All values are calculated relative to 1850 (preindustrial).

# 3.1.6 Carbon Cycle Feedback Parameters quantified from "CDR-reversibility" simulations

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Table 1 shows the carbon cycle feedback parameters quantified using the Friedlingstein et al. (2006) carbon cycle feedback framework (see Section 2.4). The concentration-carbon feedback parameter (β), which quantifies the concentration-carbon feedback, is computed as the change in land or ocean carbon per unit change in atmospheric CO<sub>2</sub> concentration in the BGC mode. The climate-carbon feedback parameter (γ) quantifies the climate-carbon feedback as the change in land or ocean carbon per unit change in surface air temperature in the RAD mode (referred to as the RAD approach). An alternative approach to quantifying the climate-carbon feedback involves taking the difference between the fully coupled and biogeochemically coupled simulations and computing the change in land or ocean carbon per unit change in surface air temperature from that difference (referred to here as the FULL-BGC approach).

In the "CDR-reversibility" simulation, the magnitudes of  $\beta$  and  $\gamma$  for both land and ocean are smaller under negative emissions than under positive emissions, except the ocean climate-carbon feedback parameter, which is larger. (**Table 1**). Climate-carbon feedback parameters calculated using the FULL-BGC approach (shown in parentheses) are consistent in sign with those calculated using the RAD approach, but the magnitudes of these feedback parameters are larger (**see Figure S5** for hysteresis figures for this approach). Carbon cycle feedback parameters are smaller under negative emissions because the land and ocean carbon pools show a lagged response to changes in CO<sub>2</sub> concentration and climate in the early ramp-down phase. In the ocean, this lagged response to changes in climate is much greater, and carbon loss continues throughout the ramp-down phase (shown by the positive ocean climate-carbon feedback parameter under negative emissions). As a result, feedback parameters under negative emissions are underestimated. Improving this quantification could be achieved by quantifying and removing this inertia.

Simulations(s) used for calculation of feedback parameters	Positive Emissions				Negative Emissions			
	$\beta_{L}$	βο	$\gamma_{ m L}$	γο	$\beta_{L}$	βο	$\gamma_{ m L}$	γο
	(PgC ppm <sup>-1</sup> )		$(PgC \ ^{\circ}C^{-1})$		(PgC ppm <sup>-1</sup> )		(PgC °C⁻¹)	
"CDR-reversibility" simulation taken at 4xCO <sub>2</sub> for positive emissions and at return to preindustrial for negative emissions	0.96	0.88	-117.8 (-121.5)	-7.36 (-22.7)	0.68	0.16	-56.4 (-67)	10.8 (31.1)

"Ramp-up – Zeroemit" approach taken at 4xCO <sub>2</sub> for positive emissions and at return to preindustrial for negative emissions	0.96	0.88	-117.8	-7.36	0.80	0.84	-157.1	-18.1

Table 1: Carbon cycle feedback parameters under positive and negative emissions quantified at 4xCO<sub>2</sub> (quadruple the preindustrial CO<sub>2</sub> level) from the "CDR-reversibility" simulation and using the proposed "Ramp-up – Zeroemit" approach. Feedback parameters for negative emissions are positive for land or ocean carbon loss and negative for land or ocean carbon gain, opposite to the sign convention for feedbacks under positive emissions. Values shown in parentheses were calculated using the FULL-BGC approach for quantifying climate-carbon feedbacks (see Eq. (7) and (8)). Feedback parameters quantified from the "CDR-reversibility" simulation can also be derived from Figures 5 and 6 respectively by taking the slope of the land or ocean response at the same time points at which they are computed.

# 3.2 Isolating Carbon Cycle Feedbacks under Negative Emissions

## 3.2.1 "Zeroemit" Simulation: Quantifying Climate System Inertia

Zero emissions simulations quantify committed changes due to prior positive emissions. Changes in atmospheric CO<sub>2</sub> concentration in zero emissions simulations are driven by the carbon sinks, which in turn are influenced by the CO2 concentration and climate. Following cessation of emissions, the CO<sub>2</sub> concentration in the FULL mode declines steadily, mainly driven by ocean carbon uptake consistent with results from MacDougall et al. (2020) (figure 7(a)). The CO<sub>2</sub> concentration in the BGC mode declines more than in the FULL mode because both land and ocean remain carbon sinks. In the RAD mode, the CO<sub>2</sub> concentration increases as both land and ocean release CO<sub>2</sub> into the atmosphere. Changes in atmospheric CO<sub>2</sub> concentration, together with changes in ocean heat uptake and surface albedo, drive changes in surface air temperature. In the FULL mode, the warming effect of declining ocean heat uptake dominates over the cooling effect of declining CO<sub>2</sub> concentration resulting in continued warming (MacDougall et al., 2020) (figure 7(b); figure S6). The decline in CO<sub>2</sub> concentration is partly offset by permafrost carbon release from the soil (figure 7(e)). Surface air temperature in the RAD mode increases more than in the FULL mode because the CO<sub>2</sub> concentration increases, causing further warming. Surface air temperature remains relatively constant in the BGC mode. In the FULL mode, the land switches into a source of carbon after emissions cease, consistent with the behaviour of the UVic ESCM in the Zero Emissions Commitment Model Intercomparison Project (ZECMIP) (MacDougall et al., 2020) (figure 7(c)). The vegetation carbon pool continues to take up carbon (figure 7(d)) whereas, the soil switches into a source of carbon (figure 7(e)). The ocean remains a carbon sink after cessation of emissions (figure 7(f)). In the BGC mode, the ocean remains a strong carbon sink after CO2 emissions are set to zero, whereas the land initially takes up carbon, stabilizes, then becomes a weak carbon sink again (figure 7(c, f)). The vegetation carbon pool takes up carbon throughout the zero emissions phase whereas, the soil initially takes up carbon, stabilizes, then slowly releases CO<sub>2</sub> (figure 7(d, e)). Both land and ocean release CO<sub>2</sub> to the atmosphere in the RAD mode (figure 7(c, f)) with both vegetation and soil carbon pools driving the land carbon release (figure 7(d, e)).

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vegetation carbon pool takes up carbon throughout the zero emissions phase whereas, the soil initially takes up carbon,

stabilizes, then slowly releases CO2



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This and several other changes described in C fluxes are hard to follow looking at Figure 7 because Figure 7 shows pools and not fluxes.

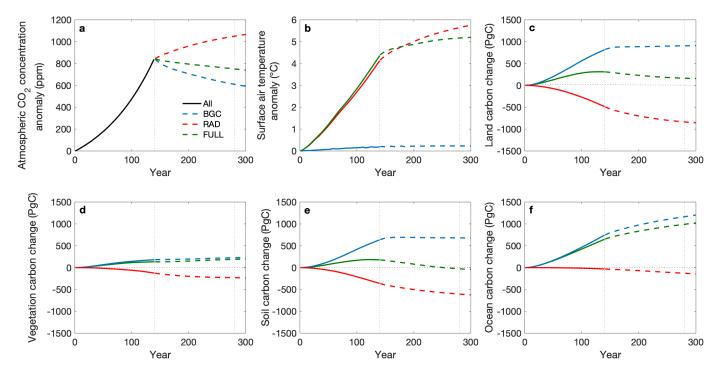


Figure 7: a. Atmospheric CO<sub>2</sub> concentration anomaly b. surface air temperature change c. land carbon change d. vegetation carbon change e. soil carbon change and f. ocean carbon change for the zero emissions simulations relative to 1850 (preindustrial). ALL = the CDR-reversibility ramp-up phase from which all modes are initialized; BGC = biogeochemically coupled, RAD = radiatively coupled and FULL = fully coupled. Solid lines are for the ramp-up phase; dashed lines are for the zero emissions phase.

#### 3.2.2 "Ramp-down – Zeroemit" Approach: Isolating the Response to Negative Emissions

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The "Ramp-down – Zeroemit" approach uses the zero emissions simulations described in the previous section to isolate the response to negative emissions in the "CDR-reversibility" simulations by taking the difference between the ramp-down phase of the RAD (BGC) "CDR-reversibility" simulation and the RAD (BGC) zero emissions simulation. In the BGC mode, despite our attempt to reduce climate system inertia in our novel approach, carbon pools do not return to their preindustrial states at the time atmospheric CO<sub>2</sub> returns to preindustrial levels (**figure 5**). In the RAD mode, all carbon pools gain more carbon than they held at preindustrial (**figure 6**).

The "Ramp-down – Zeroemit" approach removes the initial carbon increase in the "CDR-reversibility" BGC mode (figure 5) and removes the initial carbon decrease in the "CDR-reversibility" RAD mode (figure 6) reducing the width of the hysteresis. Zickfeld et al. (2016) used zero emissions to isolate the response to negative emissions and observed a reduction in the initial carbon change at the beginning of the ramp-down phase consistent with our results. One possible reason why the hysteresis persists may be irreversible changes in vegetation distribution in the "CDR-reversibility" ramp-down phase that are caused by state changes rather than inertia. When negative emissions are applied, the earth system is in a state of elevated CO<sub>2</sub> concentration and surface air temperature, which may lead to a different vegetation response than to an equivalent amount of

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When negative emissions are applied

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Note that these simulations are concentration driven.

positive emissions applied from a preindustrial state (Zickfeld et al., 2021). Alternatively, the hysteresis may show that the linearity assumption made in this experiment is not satisfied; the linearity assumption made here is that the committed carbon cycle response to past positive emissions and the carbon cycle response to negative emissions combine linearly to the total carbon cycle response in the ramp-down phase in this experimental design (see Section 2.4.1: Eq. (9) and (10)).

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After isolating the response to negative emissions alone in the "Ramp-down – Zeroemit" approach, the magnitudes of  $\beta_L$  and  $\beta_O$  are smaller under negative emissions as compared to their respective magnitudes under positive emissions, but the magnitudes of  $\gamma_L$  and  $\gamma_O$  become larger under negative emissions (**Table 1**). Under negative emissions, the magnitudes of  $\beta$  and  $\gamma$  from our novel approach are larger compared to those from the "CDR-reversibility" simulation, implying greater land and ocean carbon loss due to changes in  $CO_2$  concentration alone and greater land and ocean carbon gain due to changes in climate alone under negative emissions. For example, a decrease in atmospheric  $CO_2$  of one ppm would result in the loss of 0.68 PgC of land carbon in the standard approach and 0.80 PgC of land carbon gain of 56.4 PgC in the standard approach and almost three times as much (157.1 PgC) in our approach due to changes in climate alone.

#### 515 4 Discussion and conclusions

Our results from the "CDR-reversibility" simulation show that, due to changes in CO<sub>2</sub> concentration alone, carbon pools take up carbon in the ramp-up phase, continue to take up carbon in the early ramp-down phase, then switch into sources of carbon. Due to changes in climate alone, carbon pools lose carbon in the ramp-up phase, continue to lose carbon in the ramp-down phase, then switch into carbon sinks. Furthermore, the land and ocean carbon pools do not return to their preindustrial states at the end of both modes, suggesting that land and ocean carbon changes in the ramp-up phase are irreversible on centennial timescales. The differences in the magnitudes of carbon cycle feedbacks in the ramp-up and ramp-down phases, as quantified by feedback parameters, are likely largely due to climate system inertia. This inertia generally reduces the magnitude of both feedbacks under negative emissions relative to feedbacks under positive emissions, implying reduced land and ocean carbon loss due to changes in CO<sub>2</sub> concentration alone and reduced land carbon gain due to the changes in climate. The exception is the ocean that continues to lose carbon under negative emissions, implying increased carbon loss due to changes in climate alone.

To quantify the carbon cycle inertia, that is, the response to prior positive emissions, we ran zero emissions simulations in fully coupled, biogeochemically coupled and radiatively coupled modes. Consistent with previous studies, the ocean continues to sequester carbon in the fully coupled zero emissions simulation (MacDougall et al., 2020). The terrestrial biosphere switches into a carbon source after emissions cease. Carbon uptake, largely by the ocean sink, decreases the atmospheric CO<sub>2</sub> concentration. Surface air temperature increases due to the interplay between declining CO<sub>2</sub> concentration and ocean heat

uptake (Matthews & Caldeira, 2008; Solomon et al., 2009; Arora et al., 2013). While the carbon cycle response is consistent with the behaviour of the UVic ESCM in the Zero Emissions Commitment Model Intercomparison Project (ZECMIP) (MacDougall et al., 2020), the UVic ESCM response in ZECMIP is noticeably different from the rest of the Earth system models. On centennial times, the UVic ESCM is the only model with a positive zero emissions commitment. However, most of the other models do not represent permafrost carbon. The carbon pools in the biogeochemically coupled and radiative coupled zero emissions simulations also exhibit inertia: the land and ocean continue to sequester carbon after cessation of emissions in the biogeochemically coupled simulation, whereas both carbon pools release CO<sub>2</sub> in the radiatively coupled simulation.

Assuming linearity in the response to prior positive emissions and negative emissions (see Section 2.4.1: Eq. (9) and (10)), we subtract the zero emissions simulations from the "CDR-reversibility" simulations, to isolate the response to negative emissions alone. We find that under negative emissions, the magnitudes of  $\beta$  and  $\gamma$  from our novel approach are generally larger as compared to those from the "CDR-reversibility" simulation, implying greater land and ocean carbon loss due to changes in CO<sub>2</sub> concentration and greater land and ocean carbon gain due to changes in climate if feedback parameters from our approach are applied instead. Furthermore, land and ocean carbon changes in the ramp-up phase remain irreversible in our approach.

A similar feedback analysis was conducted for ocean carbon cycle feedbacks using the Norwegian Earth System Model (NorESM) (Schwinger & Tjiputra, 2018). Schwinger and Tjiputra calculated ocean concentration-carbon and climate-carbon feedback parameters using the same carbon cycle feedback framework and "CDR-reversibility" simulations used here. Their results also show a lagged ocean carbon response to positive emissions in the ramp-down phase, and as a result, the magnitude of both carbon cycle feedbacks is smaller under negative missions than under positive emissions.

We compare carbon cycle feedback parameters under positive emissions quantified from the "CDR-reversibility" simulation to model means and standard deviations from CMIP5 and CMIP6 – the fifth and sixth phases of the Coupled Model Intercomparison Project – respectively (Arora et al., 2020) (see Table S1). The concentration-carbon feedback parameter for land ( $\beta_L$ ) is generally consistent with those from CMIP5 and CMIP6, while the ocean concentration-carbon feedback parameter ( $\beta_D$ ) lies slightly above the CMIP6 range. The land climate-carbon feedback parameter ( $\gamma_L$ ) lies well above the CMIP5 and CMIP6 ranges, implying a stronger sensitivity to warming relative to CMIP5 and CMIP6 models. The ocean climate-carbon feedback parameter ( $\gamma_D$ ) is consistent with those from CMIP5 and CMIP6. We have included in the supplement feedback parameters at twice the preindustrial CO<sub>2</sub> concentration (2xCO<sub>2</sub>), which are more relevant, in terms of atmospheric CO<sub>2</sub> levels and warming, for real-world mitigation scenarios (Table S2).

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We use the UVIC ESCM, an EMIC, due to the number of simulations and length of model integration required in this study. Compared to comprehensive Earth system models, EMICs generally have coarser resolution and represent less Earth system processes at a lower level of detail. Moreover, the version of the UVic ESCM used here does not represent the nitrogen cycle on land and its coupling to the carbon cycle, which has ramifications for the estimated magnitude of carbon cycle feedbacks. Models without a nitrogen cycle exhibit greater land carbon gain under positive emissions relative to other CMIP5 and CMIP6 models, that is, the concentration-carbon feedback parameter is more positive (Table S1). They also exhibit greater carbon loss under positive emissions, that is, the climate-carbon feedback parameter is more negative. Therefore, the magnitude of both carbon cycle feedbacks in this study is generally larger under positive emissions relative to other CMIP5 and CMIP6 models with a nitrogen cycle. Due to the exclusion of the nitrogen cycle, the UVic ESCM is expected to exhibit greater land carbon gain due to changes in climate alone under negative emissions relative to CMIP5 and CMIP6 models with a nitrogen cycle. Nitrogen remineralization will likely decline as surface air temperature declines, reducing land carbon gain due changes in climate alone in a model with the nitrogen cycle. The direction of land carbon change due to changes in CO<sub>2</sub> concentration alone is less certain. With the consideration of nitrogen limitation, the already weakened CO2 fertilization effect under declining CO<sub>2</sub> concentrations could be further constrained, exacerbating the carbon loss due to changes in CO<sub>2</sub> concentration alone. However, this may be counteracted by an enhanced rate of photosynthesis as declining CO<sub>2</sub> concentrations decrease carbon-nitrogen ratios.

Each of the two approaches used here to quantify carbon cycle feedback parameters has its benefits and drawbacks. Because the "CDR-reversibility" simulation is commonly used in literature (Schwinger & Tjiputra, 2018; Keller et al., 2018; Zickfeld et al., 2016), it allows easier comparison of results across models. However, research shows that this idealized scenario may delay the land sink-to-source transition, and underestimate ocean carbon uptake and the strength of the permafrost carbon feedback (MacDougall, 2019). The main limitation is that carbon cycle feedback parameters quantified for the ramp-down phase include carbon cycle inertia effects, making this approach inaccurate for quantifying carbon cycle feedbacks under negative emissions.

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In their 2016 paper, Zickfeld et al. used zero emissions simulations to correct for the thermal and carbon cycle inertia in a suite of "CDR-reversibility" simulations, similar to our novel approach in this study. This reduced, but did not eliminate the climate system inertia, consistent with our results. Although our approach does not eliminate the inertia, it provides a more accurate estimate of the magnitude of carbon cycle feedbacks under negative emissions by reducing the response to prior positive emissions, bringing the estimate closer to a quantification of carbon cycle feedbacks under negative emissions alone. We hypothesize that the remaining inertia may be related to irreversible changes in vegetation distribution in the "CDR-reversibility" simulations. Alternatively, the linearity assumption made in this experimental design may not hold. If the responses to prior positive emissions and negative emissions are not additive, then the zero emissions simulations may not quantify and remove all the inertia in the "CDR-reversibility" simulations. Lastly, the remaining inertia may be associated

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with the different configurations in which the "CDR-reversibility" and "zeroemit" simulations were run: the former were run in concentration-driven mode whereas, the latter were emissions-driven. Therefore, changes in land and ocean carbon fluxes affect the atmospheric CO<sub>2</sub> concentration in the zero emissions simulations, but not in the "CDR-reversibility" simulations.

Carbon cycle feedbacks under negative emissions have been quantified from the ramp-down phase of the "CDR-reversibility" simulation. However, this approach underestimates the magnitudes of carbon cycle feedbacks because the response in the ramp-down phase includes climate system inertia effects that generally weaken both feedbacks. Our novel approach aims to reduce the inertia in the ramp-down phase, thereby improving the quantification of carbon cycle feedbacks under negative emissions. We find that the magnitudes of the concentration-carbon and climate-carbon feedbacks under negative emissions are larger in our approach as compared to the standard approach. This has two implications: using feedback parameters from the standard approach will (1) underestimate land and ocean carbon release under negative emissions due to changes in CO<sub>2</sub> concentration alone (concentration-carbon feedback), and (2) underestimate land and ocean carbon gain due changes in climate alone (climate-carbon feedback). Given that the concentration-carbon feedback is the dominant feedback, quantifying carbon cycle feedbacks under negative emissions from the "CDR-reversibility" simulation will result in the underestimation of carbon loss under negative emissions, thereby overestimating the effectiveness of negative emissions in drawing down CO<sub>2</sub>.

Future research should test the robustness of these results in a multi-model framework. A first step could be analyzing the "CDR-reversibility" simulations in three modes (biogeochemically coupled, radiatively coupled and fully coupled) in the next CMIP phase. In addition, positive and negative CO<sub>2</sub> emissions could be applied from an equilibrium state to overcome issues related to climate system inertia.

#### 620 5 Code/Data Availability

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The UVic ESCM data will be made available after publishing and the model code for UVic ESCM 2.10 is available at http://terra.seos.uvic.ca/model/2.10/.

#### **6 Author contribution**

K.Z. developed the research question and worked with C.N. on the initial data analysis. V.R.C ran the model simulations and worked with K.Z. to analyse and interpret the model data and write the manuscript. C.N. also helped revise the manuscript.

### 7 Competing interests

The authors declare no competing interests.

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