

1 ^[2]The recovery rate of free particulate organic 2 matter from soil samples is strongly affected by the 3 method of density fractionation

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7 **Abstract.** Ultrasonication combined with density fractionation (USD) is a method widely
8 used to ^[25]separate soil organic matter pools. A selective fractionation of free particulate
9 organic matter (fPOM) is crucial to avoid co-extraction of retained fPOM along with
10 occluded particulate organic matter (oPOM). In the present work, artificial fPOM was
11 extracted from two mineral matrices, sandy and loamy, after applying different approaches
12 for merging sample and dense medium. It is shown, that pouring the dense solution to the
13 mineral matrices ^[8]without mixing leads to low recovery, whereas trickling the sample into
14 the solution, rotating after fill-up or applying a minimal and defined amount of ultrasound to
15 swirl up the sample causes nearly full recovery of the artificial fPOM. Applied to natural
16 soils, our results confirmed the low extraction rate of the ^[7]unmixed approach. It was also
17 further that the rotational approach results in only a slightly increased extraction rate,
18 whereas the ultrasound approach leads to a release of oPOM into the fPOM fraction due
19 to disruption of soil macro-aggregates. The trickle approach appears to be the most
20 appropriate way among the tested methods to achieve complete and selective extraction
21 of fPOM from natural soil samples.

22 Introduction

23 In soils, particulate organic matter (POM) occurs free (fPOM) as well as occluded within
24 soil aggregates (oPOM) (Golchin et al., 1994). Both organic matter pools with different
25 chemical composition, structure and decomposition rates are ^[38]subject of widespread
26 experimental issues ^[38]into carbon pool balances, soil structural stability or turnover times
27 (von Lützwow et al., 2007; Wagai et al., 2009; Büks and Kaupenjohann, 2016; Graf-
28 Rosenfellner et al., 2016). A widely used method to separate fPOM and oPOM is
29 ultrasonication combined with density-fractionation (USD) (Kaiser and Berhe, 2014). Both
30 POM fractions are thereby determined indirectly by quantification of the operational non-
31 aggregated particulate free light fraction (fLF) and the occluded light fraction within soil
32 aggregates (oLF) (Golchin et al., 1994; Büks and Kaupenjohann, 2016). The congruence
33 between light fractions and actual POM pools is reduced by low recovery rates and the
34 carryover between the pools as recently shown for oPOM and mineral-associated organic
35 matter (MOM) (Büks et al., 2021). A sharp separation without cross-contamination
36 between the measured pools is therefore necessary.

37 ^[39]This work focuses on the separation of fPOM and oPOM, driven by two observations: ^[3]
38 (1) A pre-experiment following the specifications given below for the extraction of POM
39 from soil samples showed a separation of 28.7 ± 3.1 mg fPOM when the density
40 fractionation solution was added to the soil sample ^[13]without mixing, but 44.8 ± 7.4 mg
41 when the sample was gently trickled ^[14]into the ^[40]dense solution (^[26] \pm standard deviation,
42 $n=3$, t-test, $p < 0.05$). ^[4](2) The treatments of mixing soil sample and dense solution prior to
43 the extraction of fPOM apply a wide range of mechanical stress ^[40]ranging from non-mixing
44 (Büks and Kaupenjohann, 2016) to swaying (Graf-Rosenfellner et al., 2016), gentle
45 inversion (Golchin et al., 1994), swirling (Cerli et al., 2012), shaking (Schrumpf et al., 2014)
46 and ultrasonic pre-treatment (Don et al., 2009). Due to the very different performances of
47 the above approaches and the diversity of commonly applied treatments, the aim of this
48 work ^[39]is to compare methods with different underlying principles of mixing in order to
49 identify ^[40]those with most accurate separation of fPOM and oPOM.

50 Material and methods

51 *The simple scenario: Extraction of LD-PE particles from mineral matrices*

52 In a first experiment ^[43](Fig. 1), two simple model soils were prepared from a mineral
53 matrix of calcinated fine sand (89.7 % sand, 9.3 % silt, 1.0 % clay) and a calcinated clayey
54 silt (8.7 % sand, 69.7 % silt, 21.6 % clay), each amended with 1 wt% of weathered low-
55 density polyethylene made from cryo-milled film (LD-PE, weathered 96 h at 1000 W m^{-2} ,
56 38°C and 50 % ^[27]relative humidity following DIN EN ISO 4892-2/3, $x_{10\%}=246 \mu\text{m}$,
57 $x_{50\%}=435 \mu\text{m}$, $x_{90\%}=691 \mu\text{m}$, $\rho=0.92 \text{ g cm}^{-3}$) as a well-defined fPOM representative. ^[41]The
58 LD-PE is considered a feasible analogue of natural POM, as it provides a similar range of
59 density and particle size as well as widely non-reactive surfaces, which reduces surface
60 interactions with the mineral phase. This setting allowed for focusing on artifacts caused
61 by mechanical reasons such as sedimentation behavior and impeded flotation. The
62 textures of the two mineral matrices represent different sedimentation rates, likely affecting
63 the recovery rate of the LD-PE.

64 Four ^[9]treatments with each six replicates of 20 g soil sample and $100 \text{ ml } 1.6 \text{ g cm}^{-3}$ dense
65 sodium polytungstate solution (SPT) in 200 ml centrifuge bottles were tested: One in which
66 the soil samples were gently filled up with solution, but stayed further ^[7]unmixed, one in
67 which the soil samples were *trickled* into the solution, one in which the flasks were gently
68 ^[15]tilted by 90° and axially *rotated* 3x with 20 rpm to unhitch the sedimented soil matrix
69 from the bottom of the flask, and one that was agitated by ultrasonication (Branson©
70 Sonifier 250, sonotrode diameter 13 mm, frequency 40 kHz, immersion depth 15 mm,
71 power output $52.06 \pm 1.67 \text{ J s}^{-1}$) until the sediment was completely swirled up (*pre-*
72 *sonicated*). The respective time of sonication (t_{min}) was determined to be 7.0 ± 1.3 sec for
73 the sandy and 34.0 ± 1.9 sec for the loamy soil (see *Supplements*). The corresponding
74 energy densities w_{min} were calculated following North (1976) and amounted to
75 $3.0 \pm 0.5 \text{ J ml}^{-1}$ and $14.7 \pm 0.8 \text{ J ml}^{-1}$, respectively.

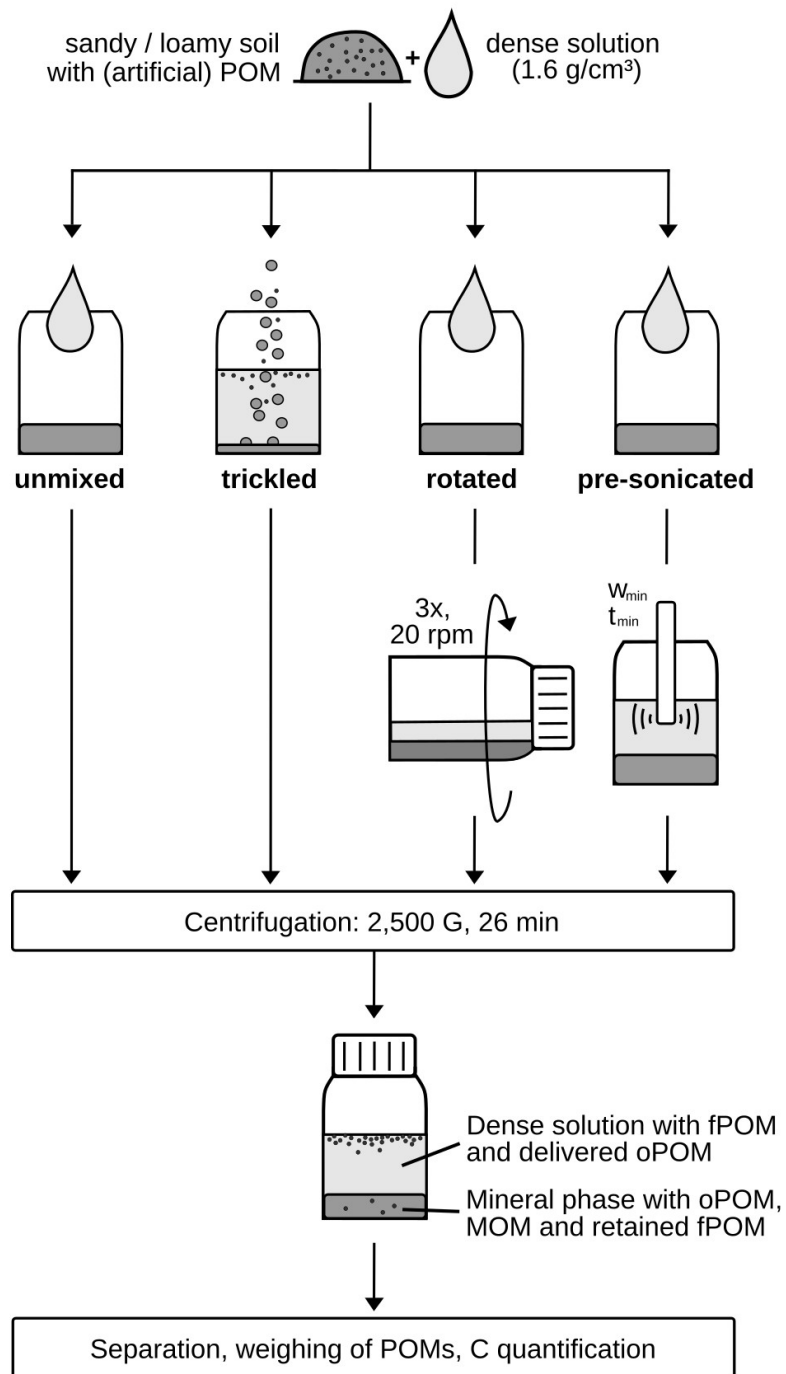
76 In order to extract the POM, samples were centrifuged at 3,500 G for 26 min. The
77 ^[34]floating LD-PE was collected by use of a water-jet vacuum pump and cleaned with
78 deionized water to remove remaining SPT salt by use of a 0.45 µm cellulose acetate
79 membrane-filter until the electrical conductivity of the filtrate fell below 50 µS cm⁻¹. The
80 extracted LD-PE was then flushed off the filter with deionized water into aluminum bottles,
81 frozen at -20°C, lyophilized ^[16](freeze-dried) and finally weighed to determine the recovery
82 rate.

83 *The complex scenario: Extraction of POM from natural soils*

84 In a second experiment ^[43](see also Fig. 1), two topsoil samples, sandy (89.7 % sand,
85 9.3 % silt, 1.0 % clay) and loamy (25.5 % sand, 55.9 % silt, 18.7 % clay), were air-dried
86 and sieved to receive aggregates of 250 to 2000 µm in diameter. In six-fold replication,
87 20 g of soil aggregates were gently adjusted via spray to a water content of 200 mg g⁻¹ dry
88 soil, low enough to avoid aggregates sticking to each other or to the flask, and incubated
89 for 2 weeks at 20 °C in the dark. After the removal of shoots ^[35]of randomly germinated
90 seeds, soil samples and SPT solution were merged following the four approaches and the
91 fPOM was extracted in the same manner given above. ^[17]Subsequently, all samples were
92 refilled to 100 ml of SPT per flask, and were equally treated by application of w=50 J ml⁻¹
93 with exception of the *pre-sonicated* treatment, that received w=50 J ml⁻¹-w_{min}. Afterwards
94 the oPOM was extracted as above, followed by centrifugation, collection, cleaning,
95 freezing, lyophilization and quantification by weighing. Finally, all POM samples were
96 ground, dried at 105°C and the amount of organic carbon ^[18]and total nitrogen were
97 determined using an Elementar Vario EL III CNS Analyzer.

98 ^[10]Statistics

99 Recovery rates from mineral matrices, fPOM, oPOM and ΣPOM release, proportions of
100 total carbon of the fPOM, oPOM and residuum fractions as well as corresponding C:N
101 ratios were compared for all soil matrices separately by one-way analysis of variance
102 (ANOVA) and Tukey test.



^[43]**Figure 1:** Separation of free particulate organic matter (fPOM) from mineral soil matrices and occluded particulate organic matter (oPOM). Four different treatments were used (unmixed, trickled, rotated 3x times with 20 rpm and swirled up by pre-sonication using a minimum of mechanical stress w_{min} and application time t_{min}) and applied in both, the simple and complex scenario.

103 **Results**

104 *Recovery rates from mineral matrices*

105 The results show that the ^[5,7]*unmixed* ^[9]treatment provided by far the lowest recovery rate
106 in both the sandy and clayey mineral matrix (68.3±9.0 % and 58.9±13.7 % of the applied
107 LD-PE, respectively). In contrast, *trickle*, *rotate* and *pre-sonicated* have similarly high
108 recovery rates ranging from 90.4±5.8 % to 98.2±1.1 % across all samples (Fig. 2).

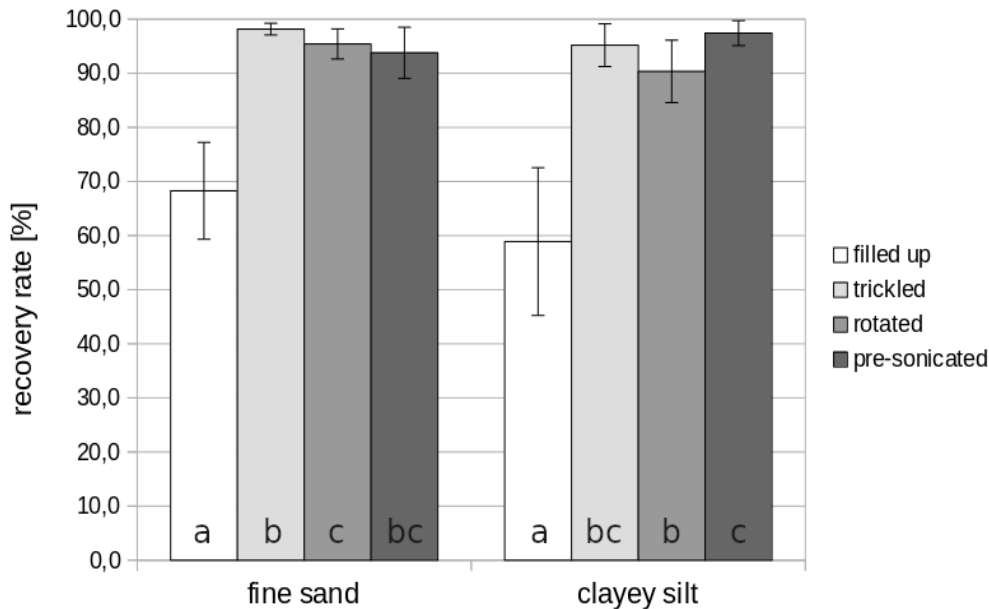







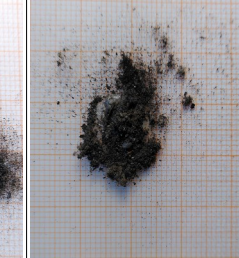


Figure 2: Recovery rates of fPOM (weathered LD-PE) from mineral matrices after fractionation with 1.6 g cm⁻³ dense SPT solution using different approaches (n=6, t-test, p<0.05). Small letters indicate Tukey's characters. ^[32]Error bars refer to standard deviation.

109 ^[21]*Recovery rate and characteristics of POM in natural soil samples*

110 The application of all four approaches to aggregates of the loamy natural soil showed, that
111 the ^[7]*unmixed* samples released by far the lowest ^[28]mass of fPOM and percentage of total
112 SOC, followed by the *rotated* and clearly excelled several times over by the *trickled* and
113 *pre-sonicated* ^[9]treatment (Table 1). ^[29]Unlike the other fPOMs, the fPOM of the *pre-*
114 *sonicated* treatment has significant amounts of dark fine material. This comes along with
115 the lowest C:N ratio, slightly reduced compared to the other fPOMs, and an increased C:N
116 ratio in the residuum. The yield of the *pre-sonicated* oPOM fraction was strongly reduced
117 compared to the other ^[9]treatments and showed the release of almost exclusively fine
118 material. This is in contrast to ^[7]*unmixed*, *trickle* and *rotate*, which had similar appearance
119 with traces of coarse material. In sum, the *trickled* sample had the largest release of
120 ΣPOM=fPOM+oPOM, followed by the *rotated* samples.

Tab. 1: Soil organic matter (SOM) release of a loamy topsoil after different approaches for merging sample and dense medium. fPOM refers to the free particulate organic matter floating after application of 0 J ml⁻¹, oPOM to the occluded particulate organic matter released after application of 50 J ml⁻¹ (*in case of the ^{9]}treatment with minimum ultrasonication 15 and 35 J ml⁻¹, respectively). ^{19]}C_{tot} refers to the percentage of total SOC contained in each fraction. ± refers to the standard deviation. Small superscripts are Tukey's characters ^{11]} and mark significant differences between the treatments of the loamy soil (p<0.05).









Loamy soil	^{7]} unmixed	trickled	rotated	pre-sonicated*
fPOM				
oPOM				
fPOM (g kg ⁻¹ dry soil)	5.44±1.67 ^a	14.94±1.96 ^b	9.68±0.95 ^c	15.64±1.69 ^b
oPOM (g kg ⁻¹ dry soil)	13.42±1.43 ^a	12.39±2.19 ^a	12.82±0.87 ^a	1.96±1.67 ^b
ΣPOM (g kg ⁻¹ dry soil)	18.86±3.10 ^a	27.33±4.15 ^b	22.20±1.82 ^c	17.60±3.36 ^a
fPOM (% C _{tot})	5.18±1.46 ^a	13.78±3.01 ^b	8.62±0.88 ^c	17.13±1.16 ^d
oPOM (% C _{tot})	17.31±5.00 ^a	13.54±1.21 ^a	13.88±0.83 ^a	1.86±1.65 ^b
residuum (% C _{tot})	77.50±5.76 ^{abc}	72.68±2.20 ^a	77.50±0.76 ^b	81.01±1.16 ^c
fPOM (C:N ratio)	26.05±0.93 ^{ab}	25.34±1.55 ^{ac}	27.62±1.55 ^b	24.15±0.61 ^c
oPOM (C:N ratio)	22.00±0.89 ^a	20.07±0.29 ^b	20.52±0.78 ^b	20.23±5.45 ^{ab}
residuum (C:N ratio)	12.15±0.27 ^a	11.79±0.32 ^a	12.01±0.35 ^a	12.53±0.20 ^b

121 Similar to the loamy soil, the ^{7]}unmixed sandy soil samples showed the smallest amount of
 122 extracted fPOM followed by the rotated ones (Table 2). The pre-sonicated and trickled
 123 samples released the highest amount of fPOM significantly increased by about 93 %
 124 compared to the ^{7]}unmixed samples. This pattern appears similarly with SOC. The release
 125 of oPOM from pre-sonicated samples was reduced compared to the ^{7]}unmixed, trickled
 126 and rotated samples. In sum, the ^{7]}unmixed samples released the smallest and the trickled
 127 sample the highest amount of ΣPOM.

128 In contrast to the rougher treated loamy samples (15 J ml⁻¹), pre-sonication of sandy
 129 samples with 3 J ml⁻¹ did not cause any additional release of fine material within the fPOM
 130 fraction. There were no significant differences of the C:N ratio between all ^{9]}treatments,
 131 and all fPOM fractions showed a very similar appearance. On the other hand, the oPOM
 132 fractions of the ^{7]}unmixed samples and, to a lesser extent, the rotated samples showed an
 133 increased number of coarse particles ^{30]} compared to the other treatments. These particles
 134 appeared to be similar to those found within the fPOM fraction, whereas the pre-sonicated

135 oPOM fraction contained nearly no coarse material. This comes along with the occurrence
 136 of the highest oPOM C:N ratio in the ^[7]unmixed samples and the lowest in the *pre-*
 137 *sonicated* and *trickled* samples. Similar to the loamy samples, the residual C:N ratios in all
 138 sandy soil ^[9]treatments are low compared to the fPOM and oPOM fractions, and showed
 139 the highest values in the ^[7]unmixed and *rotated* ^[9]treatments.

Tab. 2: Soil organic matter (SOM) release of a sandy topsoil after different approaches for merging sample and dense medium. fPOM refers to the free particulate organic matter floating after application of 0 J ml⁻¹, oPOM to the occluded particulate organic matter released after application of 50 J ml⁻¹ (*in case of the ^[9]treatment with minimum ultrasonication 3 and 47 J ml⁻¹, respectively). ^[9]C_{tot} refers to the percentage of total SOC contained in each fraction. ± refers to the standard deviation. Small superscripts are Tukey's characters ^[11]and mark significant differences between the treatments of the sandy soil (p<0.05).

Sandy soil	^[7] unmixed	^[24] trickled	^[24] rotated	^[24] pre-sonicated*
fPOM				
oPOM				
fPOM (g kg ⁻¹ dry soil)	6.86±1.37 ^a	13.52±2.97 ^b	9.37±1.79 ^c	12.97±2.81 ^b
oPOM (g kg ⁻¹ dry soil)	8.84±0.20 ^a	7.28±2.12 ^{ab}	7.81±1.65 ^a	5.73±1.33 ^b
ΣPOM (g kg ⁻¹ dry soil)	15.70±1.57 ^a	20.80±5.09 ^b	17.18±3.44 ^a	18.70±4.14 ^{ab}
fPOM (% C _{tot})	4.68±0.91 ^a	8.97±1.62 ^b	6.67±1.36 ^c	11.46±2.16 ^d
oPOM (% C _{tot})	8.23±1.67 ^a	6.37±2.10 ^{ab}	7.65±1.69 ^a	4.75±1.39 ^b
residuum (% C _{tot})	87.10±2.26 ^a	84.66±2.33 ^{ab}	85.68±1.16 ^{ab}	68.79±2.84 ^b
fPOM (C:N ratio)	20.84±1.35 ^a	19.46±0.96 ^a	19.88±1.01 ^a	20.81±1.87 ^a
oPOM (C:N ratio)	18.94±0.47 ^a	16.02±0.66 ^b	17.39±1.09 ^c	15.45±0.77 ^b
residuum (C:N ratio)	8.76±0.21 ^a	9.40±0.48 ^b	8.75±0.15 ^a	9.13±0.52 ^{ab}

140 Discussion

141 This work was able to show significant differences in the extraction performance of the
 142 different approaches. As demonstrated in the first experiment, the recovery rate of LD-PE
 143 particles from sandy and loamy mineral matrices is strongly reduced by use of the
 144 ^[5,7]unmixed method. This implies that filling the dense solution on top the soil sample
 145 causes parts of the fPOM to be buried under the mineral matrix. Consequently, it is
 146 suggested that the ^[7]unmixed approach is not an adequate method to avoid incomplete

147 extraction of fPOM. The retained fPOM will be in turn found within the oPOM fraction
148 leading to both underestimation of the fPOM and overestimation of the oPOM fraction. The
149 other approaches, in turn, were shown to have similar extraction performance in terms of
150 non-occluded, weakly interacting LD-PE particles within a solely mineral matrix.

151 ^[31]However, physiochemical interaction of surfaces, biofilm formation, particle density of
152 organic and inorganic matter as well as occlusion within soil aggregates could provide
153 additional interference between SOM and the mineral phase during extraction of POM
154 from natural soils (Bronick and Lal, 2005; Kaiser and Berhe, 2014). The second
155 experiment was therefore performed with samples of aggregates from sandy and loamy
156 soils.

157 Similar to the first experiment, in both the sandy and loamy soil the extracted amount of
158 fPOM was strongly reduced in the ^[7]unmixed ^[9]treatment, but also in the *rotated*
159 ^[9]treatment, compared to the two others. Since the fPOM of the sandy soil shows a similar
160 C:N ratio and composition of coarse ^[42](^[20]less degraded) particles across all approaches,
161 the fPOM of all sandy soil ^[9]treatments can be considered free of (fine ^[42], more strongly
162 degraded particulate) oPOM. In turn, the oPOM fractions of the ^[7]unmixed and *rotated*
163 ^[9]treatment contain more coarse material and have a significantly higher C:N ratio
164 compared to the others. ^[12]This indicates the input of parts of the coarser fPOM fraction,
165 that has a higher C:N ratio. In consequence, the *trickling* and *pre-sonication* caused less
166 cross-contamination and are, thus, both considered yielding and sharp methods to extract
167 fPOM from sandy soil samples. Due to its higher total POM yield, *trickling* is to be
168 preferred over *pre-sonication* for the quantification of soil carbon pools.

169 In contrast to the sandy soil, the fPOM of *pre-sonicated* loamy sample contains significant
170 amounts of fine, ^[20]more decomposed material and a decreased C:N ratio. This artifact can
171 be explained by the application of mechanical stress through the use of w_{\min} to swirl up the
172 soil sample. The ultrasound led to the disruption of macro-aggregates and the release of a
173 more strongly degraded ^[12]and less coarse soil organic matter fraction. As shown by Wagai
174 et al. (2009) and Cerli et al. (2012), such fractions can have in some cases a lower C:N
175 ratio. The effect is missing in the sandy soil samples, which were treated with only 3 J ml^{-1} ,
176 but appears at 15 J ml^{-1} with loamy soils. Following Kaiser and Berhe (2014), the applied
177 energy is well below ultrasonic levels that have been reported to disperse soil aggregates,
178 but may still break down very weak macro-aggregates. In contrast, data of North (1979)
179 and Golchin et al. (1994) point out, that even low dispersive energies $<10 \text{ J g}^{-1}$ already
180 lead to a strong release of clay particles from aggregates of a clayey soil.

181 In addition, the oPOM yield of the *pre-sonicated* ^[9]treatment is strongly reduced coming
182 along with an increased SOC content of the residuum. This effect did not appear with
183 plastic particles in the first experiment and might be related to ultrasonic comminution of
184 natural POM ^[22]leading to stronger sorption of the fine particle fraction to the mineral matrix
185 as described by Büks et al. (2021). Although *pre-sonication* provides the highest fPOM
186 yield in loamy soils, this method is not recommended due to the low total POM yield as
187 well as aggregate disruption and cross-contamination between POM pools. The greatest

188 release of total POM by far is achieved using the *trickle* approach, which caused no signs
 189 of cross-contamination.

190 Based on the performance of the four approaches (Table 3), the following general
 191 recommendations are made on their use. The ^[5,7,8]*unmixed* method is greatly affected by
 192 its very low fPOM recovery and fPOM artifacts within the oPOM fraction. *Rotating* shows
 193 characteristics similar to the ^[7]*unmixed* approach. It allows a higher, but still insufficient
 194 POM recovery from natural soil samples, while applying an undefined amount of
 195 mechanical stress to aggregates. Together with the *trickle* approach, *pre-sonication* shows
 196 the highest fPOM yield, might be effective when applied to sandy soils, but causes cross-
 197 contamination and low oPOM yield with loamy soils. The *trickling* method, in turn, avoids
 198 mechanical agitation, has high recovery of fPOM combined with the highest total POM
 199 yield and hardly shows any visible nor measured cross-contamination. Suitable for a wide
 200 range of water contents, it might be, however, inadequate for the application on very moist
 201 or saturated field-fresh or pre-incubated samples that adhere to the sampling container in
 202 such way that it is difficult to transfer without mechanical stress e.g. by use of a spoon.

Table 3: Performance of the four different approaches (^[7]*unmixed*, trickling, rotation and pre-sonication).
 oPOM recovery is called unknown, if ^[33]the oPOM fraction is contaminated with fPOM material.

		recovery		cross-contamination	
		fPOM	oPOM	oPOM in fPOM	fPOM in oPOM
sandy	^[7] <i>unmixed</i>	low	unknown	no	yes
	<i>trickled</i>	high	high	no	^[33] no
	<i>rotated</i>	medium	unknown	no	yes
	<i>pre-sonicated</i>	high	low	no	^[33] no
loamy	^[7] <i>unmixed</i>	low	unknown	no	yes
	<i>trickled</i>	high	high	no	no
	<i>rotated</i>	medium	unknown	no	yes
	<i>pre-sonicated</i>	high	low	yes	no

203 Based on our findings, a modification of the common approaches is recommended, that
 204 includes gentle *trickling* of field fresh or pre-incubated samples with water contents below
 205 field capacity into the density separation solution instead of adding the solution to the
 206 sample. This reduces mechanical stress to the sample and avoids burying significant parts
 207 of the fPOM under the mineral phase during the extraction of the fLF, which is then co-
 208 extracted along with the oPOM in the following step.

209 Conclusion

210 The complete and selective extraction of POM fractions with ultrasonication/density
 211 fractionation (USD) is an important step of SOM pool quantification ^[36]and the assessment

212 of their properties. It is shown, that the ^[5,7]*unmixed* ^[23]and *rotated* approach cause strongly
213 decreased recovery of fPOM and a ^[23]contamination of the occluded light fractions with
214 fPOM. This causes the misquantification of both fractions and might lead to the
215 underestimation of the labile and an overestimation of the intermediate soil carbon pool. In
216 addition to a number of less suitable alternatives, *trickling* (the soil sample into the dense
217 solution) is identified as best approach with high fPOM recovery and low cross-
218 contamination. As a consequence, a modification of USD practice by replacing ^[5]*mixing*
219 approaches with the *trickling* procedure is suggested. ^[37]However, mechanical stress
220 patterns might affect different soils with different intensities making other treatments more
221 suitable, which should be considered in upcoming experiments. ^[1]For the sake of
222 reproducibility, fractionation studies should describe the way of merging sample and dense
223 solution explicitly.

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228 **Author contribution**

229 Frederick Büks developed and conducted the experiment, analyzed the data and prepared
230 the manuscript.

231 **Competing interests**

232 The author declares that he has no conflict of interest.

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