



1 **Technical note: The recovery rate of free particulate**
2 **organic matter is strongly reduced by conventional**
3 **density fractionation of soil samples**

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7 **Abstract.** Ultrasonication combined with density fractionation (USD) is a method widely
8 used to quantify soil organic matter pools. A selective fractionation of free particulate
9 organic matter (fPOM) is crucial to avoid co-extraction of retained fPOM along with
10 occluded particulate organic matter (oPOM). In the present work, artificial fPOM was
11 extracted from two mineral matrices, sandy and loamy, after applying different approaches
12 for merging sample and dense medium. It could be shown that pouring the dense solution
13 to the mineral matrices leads to low recovery, whereas trickling the sample into the
14 solution, rotating after fill-up or applying a minimal and defined amount of ultrasound to
15 swirl up the sample causes nearly full recovery of the artificial fPOM. Applied to natural
16 soils, the results confirmed the low extraction rate of the fill-up approach. Moreover, it was
17 possible to show that the rotational approach results in only a slightly increased extraction
18 rate, whereas the ultrasound approach leads to a release of oPOM into the fPOM fraction
19 due to disruption of soil macro-aggregates. The trickle approach appears to be the most
20 appropriate way among the tested methods to achieve complete and selective extraction
21 of fPOM from natural soil samples.

22 **Introduction**

23 In soils, particulate organic matter (POM) occurs free (fPOM) as well as occluded within
24 soil aggregates (oPOM) (Golchin et al., 1994). Both organic matter pools with different
25 chemical composition, structure and decomposition rates are task of widespread
26 experimental issues regarding carbon pool balances, soil structural stability or turnover
27 times (von Lützwow et al., 2007; Wagai et al., 2009; Büks and Kaupenjohann, 2016; Graf-
28 Rosenfellner et al., 2016). A widely used method to separate fPOM and oPOM is
29 ultrasonication combined with density-fractionation (USD) (Kaiser and Berhe, 2014). Both
30 POM fractions are thereby determined indirectly by quantification of the operational non-
31 aggregated particulate free light fraction (fLF) and the occluded light fraction within soil
32 aggregates (oLF) (Golchin et al., 1994; Büks and Kaupenjohann, 2016). The congruence
33 between light fractions and actual POM pools is reduced by low recovery rates and the
34 carryover between the pools as recently shown for oPOM and mineral-associated organic
35 matter (MOM) (Büks et al., 2021). A sharp separation without cross-contamination
36 between the measured pools is therefore necessary.



37 This work focused on the separation of fPOM and oPOM, driven by two observations: A
38 pre-experiment following the specifications given below for the extraction of POM from soil
39 samples showed a separation of 28.7 ± 3.1 mg fPOM when the density fractionation
40 solution was added to the soil sample, but 44.8 ± 7.4 mg when the sample was gently
41 trickled through the solution ($n=3$, t-test, $p < 0.05$). The first of the two approaches is the
42 original and now commonly used in soil science (e.g. Golchin et al., 1994; Cerli et al.,
43 2012; Schrumpf et al., 2013). However, many works applying the USD do not specify the
44 method of bringing soil sample and dense solution together. Due to the very different
45 performance of both approaches shown, the aim of this work was to compare methods in
46 order to identify such with most accurate separation of fPOM and oPOM.

47 **Material and methods**

48 *The simple scenario: Extraction of LD-PE particles from mineral matrices*

49 In a first experiment, two simple model soils were prepared from a mineral matrix of
50 calcinated fine sand (89.7 % sand, 9.3 % silt, 1.0 % clay) and a calcinated clayey silt
51 (8.7 % sand, 69.7 % silt, 21.6 % clay), each amended with 1 wt% of weathered low-density
52 polyethylene made from cryo-milled film (LD-PE, weathered 96 h at 1000 W m^{-2} , 38°C and
53 50 % RH following DIN EN ISO 4892-2/3, $x_{10\%}=246 \mu\text{m}$, $x_{50\%}=435 \mu\text{m}$, $x_{90\%}=691 \mu\text{m}$,
54 $\rho=0.92 \text{ g cm}^{-3}$) as a well-defined fPOM representative. This setting provides low
55 physicochemical interaction between mineral and organic particles, that allowed for
56 focusing on artifacts caused by mechanical reasons such as sedimentation behavior and
57 impeded flotation. The textures of the two mineral matrices represent different
58 sedimentation rates, likely affecting the recovery rate of the LD-PE.

59 Four scenarios with each six replicates of 20 g soil sample and 100 ml 1.6 g cm^{-3} dense
60 sodium polytungstate solution (SPT) in 200 ml centrifuge bottles were tested: One in which
61 the soil samples were gently *filled up* with solution, one in which the soil samples were
62 *trickled* into the solution, one in which the flasks were gently *rotated* horizontally 3x with
63 20 rpm to unhitch the sedimented soil matrix from the bottom of the flask, and one that
64 was agitated by ultrasonication (Branson© Sonifier 250, sonotrode diameter 13 mm,
65 frequency 40 kHz, immersion depth 15 mm, power output $52.06 \pm 1.67 \text{ J s}^{-1}$) until the
66 sediment was completely swirled up (*pre-sonicated*). The respective time of sonication
67 (t_{min}) was determined to be 7.0 ± 1.3 sec for the sandy and 34.0 ± 1.9 sec for the loamy soil
68 (see *Supplements*). The corresponding energy densities w_{min} were calculated following
69 North (1976) and amounted to $3.0 \pm 0.5 \text{ J ml}^{-1}$ and $14.7 \pm 0.8 \text{ J ml}^{-1}$, respectively.

70 In order to extract the POM, samples were centrifuged at 3,500 G for 26 min. The floated
71 LD-PE was collected by use of a water-jet vacuum pump and cleaned with deionized water
72 to remove remaining SPT salt by use of a $0.45 \mu\text{m}$ cellulose acetate membrane-filter until
73 the electrical conductivity of the filtrate fell below $50 \mu\text{S cm}^{-1}$. The extracted LD-PE was
74 then flushed off the filter with deionized water into aluminum bottles, frozen at -20°C ,
75 lyophilized and finally weighed to determine the recovery rate.



76 *The complex scenario: Extraction of POM from natural soils*

77 In a second experiment, two topsoil samples, sandy (89.7 % sand, 9.3 % silt, 1.0 % clay)
78 and loamy (25.5 % sand, 55.9 % silt, 18.7 % clay), were air-dried and sieved to receive
79 aggregates of 250 to 2000 μm in diameter. In six-fold replication, 20 g of soil aggregates
80 were gently adjusted via spray to a water content of 200 mg g^{-1} dry soil, low enough to
81 avoid aggregates sticking to each other or to the flask, and incubated for 2 weeks at 20°C
82 in the dark. After the removal of shoots, soil samples and SPT solution were merged
83 following the four approaches and the fPOM was extracted in the same manner given
84 above. Subsequently, the samples were refilled to 100 ml of SPT per flask and treated by
85 application of $w=50 \text{ J ml}^{-1}$ in the *fill-up*, *trickle* and *rotate* scenarios as well as $w=50 \text{ J ml}^{-1}$ -
86 w_{min} to the *pre-sonicated* variant. Afterwards the oPOM was extracted as above, followed
87 by centrifugation, collection, cleaning, freezing, lyophilization and quantification by
88 weighing. Finally, all POM samples were ground, dried at 105°C and the amount of organic
89 carbon was determined using an Elementar Vario EL III CNS Analyzer.

90 **Results**

91 *Recovery rates from mineral matrices*

92 The results show that *fill-up*, the commonly used method, provided by far the lowest
93 recovery rate in both the sandy and clayey mineral matrix ($68.3\pm 9.0\%$ and $58.9\pm 13.7\%$ of
94 the applied LD-PE, respectively). In contrast, *trickle*, *rotate* and *pre-sonicated* have
95 similarly high recovery rates ranging from $90.4\pm 5.8\%$ to $98.2\pm 1.1\%$ across all samples
96 (Fig. 1).

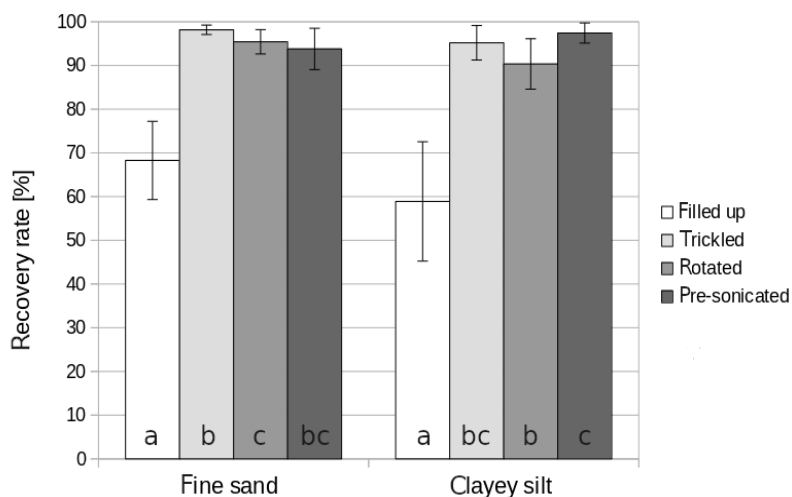









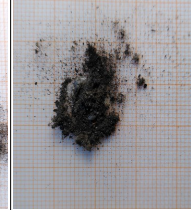
Figure 1: Recovery rates of fPOM (weathered LD-PE) from mineral matrices after fractionation with 1.6 g cm^{-3} dense SPT solution using different approaches ($n=6$, t -test, $p<0.05$). Small letters indicate Tukey's characters.



97 *Recovery rate and POM quality in natural soil samples*

98 The application of all four approaches to aggregates of the loamy natural soil showed, that
 99 the *filled up* samples released by far the lowest amount of fPOM and percentage of total
 100 SOC, followed by the *rotated* and clearly excelled several times over by the *trickled* and
 101 *pre-sonicated* variant (Table 1). Unlike the other fPOM, the *pre-sonicated* fraction
 102 contained large amounts of dark fine material in addition to coarse POM. This comes
 103 along with the lowest C:N ratio, slightly reduced compared to the other fPOMs, and an
 104 increased C:N ratio in the residuum. The yield of the *pre-sonicated* oPOM fraction was
 105 strongly reduced compared to the other variants and showed the release of almost
 106 exclusively fine material. This is in contrast to *fill-up*, *trickle* and *rotate*, which had similar
 107 appearance with traces of coarse material. In sum, the *trickled* sample had the largest
 108 release of Σ POM=fPOM+oPOM, followed by the *rotated* samples.

Tab. 1: Soil organic matter (SOM) release of a loamy topsoil after different approaches for merging sample and dense medium. fPOM refers to the free particulate organic matter floating after application of 0 J ml⁻¹, oPOM to the occluded particulate organic matter released after application of 50 J ml⁻¹ (*in case of the variant with minimum ultrasonication 15 and 35 J ml⁻¹, respectively). C_{tot} refers to the total carbon content of each organic matter fraction including the residuum. ± refers to the standard deviation. Small superscripts are Tukey's characters (p<0.05).









Loamy soil	filled up	trickled	rotated	pre-sonicated*
fPOM				
oPOM				
fPOM (g kg ⁻¹ dry soil)	5.44±1.67 ^a	14.94±1.96 ^b	9.68±0.95 ^c	15.64±1.69 ^b
oPOM (g kg ⁻¹ dry soil)	13.42±1.43 ^a	12.39±2.19 ^a	12.82±0.87 ^a	1.96±1.67 ^b
ΣPOM (g kg ⁻¹ dry soil)	18.86±3.10 ^a	27.33±4.15 ^b	22.20±1.82 ^c	17.60±3.36 ^a
fPOM (% C _{tot})	5.18±1.46 ^a	13.78±3.01 ^b	8.62±0.88 ^c	17.13±1.16 ^d
oPOM (% C _{tot})	17.31±5.00 ^a	13.54±1.21 ^a	13.88±0.83 ^a	1.86±1.65 ^b
residuum (% C _{tot})	77.50±5.76 ^{abc}	72.68±2.20 ^a	77.50±0.76 ^b	81.01±1.16 ^c
fPOM (C:N ratio)	26.05±0.93 ^{ab}	25.34±1.55 ^{ac}	27.62±1.55 ^b	24.15±0.61 ^c
oPOM (C:N ratio)	22.00±0.89 ^a	20.07±0.29 ^b	20.52±0.78 ^b	20.23±5.45 ^{ab}
residuum (C:N ratio)	12.15±0.27 ^a	11.79±0.32 ^a	12.01±0.35 ^a	12.53±0.20 ^b



109 Similar to the loamy soil, the *filled up* sandy soil samples showed the smallest amount of
 110 extracted fPOM followed by the *rotated* ones (Table 2). The *pre-sonicated* and *trickled*
 111 samples released the highest amount of fPOM significantly increased by about 93 %
 112 compared to the *filled up* samples. This pattern appears similarly with SOC. The release of
 113 oPOM from *pre-sonicated* samples was reduced compared to the *filled up*, *trickled* and
 114 *rotated* samples. In sum, the *filled up* samples released the smallest and the *trickled*
 115 sample the highest amount of Σ POM.

116 In contrast to the rougher treated loamy samples (15 J ml^{-1}), *pre-sonication* of sandy
 117 samples with 3 J ml^{-1} did not cause any additional release of fine material within the fPOM
 118 fraction. There were no significant differences of the C:N ratio between all variants, and all
 119 fPOM fractions showed a very similar appearance. On the other hand, the oPOM fractions
 120 of the *filled up* samples and, to a lesser extent, the *rotated* samples showed an increased
 121 number of coarse particles similar to those found within the fPOM fraction, whereas the
 122 *pre-sonicated* oPOM fraction contained nearly no coarse material. This comes along with
 123 the occurrence of the highest oPOM C:N ratio in the *filled up* samples and the lowest in the
 124 *pre-sonicated* and *trickled* samples. Similar to the loamy samples, the residual C:N ratios
 125 in all sandy soil variants are low compared to the fPOM and oPOM fractions, and showed
 126 the highest values in the *filled up* and *rotated* variants.

Tab. 2: Soil organic matter (SOM) release of a sandy topsoil after different approaches for merging sample and dense medium. fPOM refers to the free particulate organic matter floating after application of 0 J ml^{-1} , oPOM to the occluded particulate organic matter released after application of 50 J ml^{-1} (*in case of the variant with minimum ultrasonication 3 and 47 J ml^{-1} , respectively). C_{tot} refers to the total carbon content of each organic matter fraction including the residuum. \pm refers to the standard deviation. Small superscripts are Tukey's characters ($p < 0.05$).

Sandy soil	fill-up	trickle	rotate	US*
fPOM				
oPOM				
fPOM (g kg^{-1} dry soil)	6.86 ± 1.37^a	13.52 ± 2.97^b	9.37 ± 1.79^c	12.97 ± 2.81^b
oPOM (g kg^{-1} dry soil)	8.84 ± 0.20^a	7.28 ± 2.12^{ab}	7.81 ± 1.65^a	5.73 ± 1.33^b
Σ POM (g kg^{-1} dry soil)	15.70 ± 1.57^a	20.80 ± 5.09^b	17.18 ± 3.44^a	18.70 ± 4.14^{ab}
fPOM (% C_{tot})	4.68 ± 0.91^a	8.97 ± 1.62^b	6.67 ± 1.36^c	11.46 ± 2.16^d
oPOM (% C_{tot})	8.23 ± 1.67^a	6.37 ± 2.10^{ab}	7.65 ± 1.69^a	4.75 ± 1.39^b



residuum (% C _{tot})	87.10±2.26 ^a	84.66±2.33 ^{ab}	85.68±1.16 ^{ab}	68.79±2.84 ^b
fPOM (C:N ratio)	20.84±1.35 ^a	19.46±0.96 ^a	19.88±1.01 ^a	20.81±1.87 ^a
oPOM (C:N ratio)	18.94±0.47 ^a	16.02±0.66 ^b	17.39±1.09 ^c	15.45±0.77 ^b
residuum (C:N ratio)	8.76±0.21 ^a	9.40±0.48 ^b	8.75±0.15 ^a	9.13±0.52 ^{ab}

127 Discussion

128 It was possible to show significant differences in the extraction performance of the different
129 approaches. As demonstrated in the first experiment, the recovery rate of LD-PE particles
130 from sandy and loamy mineral matrices is strongly reduced by use of the conventional *fill-*
131 *up* method. This implies that filling the dense solution on top the soil sample causes parts
132 of the fPOM to be buried under the mineral matrix. Consequently, it is suggested that the
133 *fill-up* approach is not an adequate method to avoid incomplete extraction of fPOM. The
134 retained fPOM will be in turn found within the oPOM fraction leading to both
135 underestimation of the fPOM and overestimation of the oPOM fraction. The other
136 approaches were shown to have similar extraction performance in terms of non-occluded,
137 weakly interacting LD-PE particles within a solely mineral matrix.

138 However, during extraction of POM natural soils provide additional interference between
139 SOM and the mineral phase such as by physiochemical interaction of surfaces, biofilm
140 formation, density gradients of organic matter as well as occlusion within soil aggregates.
141 The second experiment was therefore performed with samples of aggregates from sandy
142 and loamy natural soils.

143 Similar to the first experiment, in both the sandy and loamy soil the extracted amount of
144 fPOM was strongly reduced in the *filled up* variant, but also in the *rotated* variant,
145 compared to the two others. Since the fPOM of the sandy soil shows a similar C:N ratio
146 and composition of coarse particles across all approaches, the fPOM of all sandy soil
147 variants can be considered free of (fine particulate) oPOM. In turn, the oPOM fractions of
148 the *filled up* and *rotated* variant contain more coarse material and have a significantly
149 higher C:N ratio compared to the others. In consequence, the *trickling* and *pre-sonication*
150 caused less cross-contamination and are, thus, both considered yielding and sharp
151 methods to extract fPOM from sandy soil samples. Due to its higher total POM yield,
152 *trickling* is to be preferred over *pre-sonication* for the quantification of soil carbon pools.

153 In contrast to the sandy soil, the fPOM of *pre-sonicated* loamy sample contains significant
154 amounts of fine material and a decreased C:N ratio. This artifact can be explained by the
155 application of mechanical stress through the use of w_{\min} to swirl up the soil sample. The
156 ultrasound led to the disruption of macro-aggregates and the release of a more strongly
157 degraded soil organic matter fraction. As shown by Wagai et al. (2009) and Cerli et al.
158 (2012), such fractions can have in some cases a lower C:N ratio. The effect is missing in
159 the sandy soil samples, which were treated with only 3 J ml⁻¹, but appears at 15 J ml⁻¹ with
160 loamy soils. Following Kaiser and Berhe (2014), the applied energy is well below ultrasonic
161 levels that have been reported to disperse soil aggregates, but may still break down very



162 weak macro-aggregates. In contrast, data of North (1979) and Golchin et al. (1994) point
 163 out, that even low dispersive energies $<10 \text{ J g}^{-1}$ already lead to a strong release of clay
 164 particles from aggregates of a clayey soil.

165 In addition, the oPOM yield of the *pre-sonicated* variant is strongly reduced coming along
 166 with an increased SOC content of the residuum. This effect did not appear with plastic
 167 particles in the first experiment and might be related to ultrasonic comminution of POM as
 168 described in Büks et al. (2021). Although *pre-sonication* provides the highest fPOM yield in
 169 loamy soils, this method is not recommended due to the low total POM yield as well as
 170 aggregate disruption and cross-contamination between POM pools. The greatest release
 171 of total POM by far is achieved using the *trickle* approach, which caused no signs of cross
 172 contamination.

173 Based on the performance of the four approaches (Table 3), the following general
 174 recommendations are made on their use. The commonly used *fill-up* method is greatly
 175 affected by its very low fPOM recovery and fPOM artifacts within the oPOM fraction.
 176 *Rotating* shows characteristics similar to the *fill-up* approach. It allows a higher, but still
 177 insufficient POM recovery from natural soil samples, while applying an undefined amount
 178 of mechanical stress to aggregates. Together with the *trickle* approach, *pre-sonication*
 179 shows the highest fPOM yield, might be effective when applied to sandy soils, but causes
 180 cross-contamination and low oPOM yield with loamy soils. The *trickling* method, in turn,
 181 avoids mechanical agitation, has high recovery of fPOM combined with the highest total
 182 POM yield and hardly shows any visible nor measurable cross-contamination. Suitable for
 183 a wide range of water contents, it might be, however, inadequate for the application on
 184 very moist or saturated field-fresh or pre-incubated samples that adhere to the sampling
 185 container in such way that it is difficult to transfer without mechanical stress e.g. by use of
 186 a spoon.

Table 3: Performance of the four different approaches (fill-up, trickling, rotation and pre-sonication). oPOM recovery is called unknown, if the the oPOM fraction is contaminated with fPOM material.

		recovery		cross-contamination	
		fPOM	oPOM	oPOM in fPOM	fPOM in oPOM
sandy	filled up	low	unknown	no	yes
	trickled	high	high	no	n
	rotated	medium	unknown	no	yes
	pre-sonicated	high	low	no	n
loamy	filled up	low	unknown	no	yes
	trickled	high	high	no	no
	rotated	medium	unknown	no	yes
	pre-sonicated	high	low	yes	no

187 Based on the findings, a modification of the traditional approach is recommended, that
 188 includes gentle *trickling* of field fresh or pre-incubated samples with water contents below



189 field capacity into the density separation solution instead of adding the solution to the
190 sample. This avoids burying significant parts of the fPOM under the mineral phase during
191 the extraction of the fLF, which is then co-extracted along with the oPOM in the following
192 step.

193 **Conclusion**

194 The complete and selective extraction of POM fractions with ultrasonication/density
195 fractionation (USD) is an important step of SOM pool quantification. It is shown, that the
196 common approach (filling dense solution onto the soil sample) causes strongly decreased
197 recovery of fPOM and a cross-contamination with fPOM and oPOM between the free and
198 occluded light fractions. This causes the misquantification of both fractions and might lead
199 to the underestimation of the labile and an overestimation of the intermediate soil carbon
200 pool. In addition to a number of unsuitable alternatives, *trickling* (the soil sample into the
201 dense solution) has been identified as best approach with high fPOM recovery and low
202 cross-contamination. As a consequence, a modification of common USD practice by
203 replacing the *fill-up* with the *trickling* procedure is suggested.

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208 **Author contribution**

209 Frederick Büks developed and conducted the experiment, analyzed the data and prepared
210 the manuscript.

211 **Competing interests**

212 The author declares that he has no conflict of interest.



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