

1 **Updated estimation of forest biomass carbon pools in China, 1977–2018**

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11

12 **Abstract.** China is one of the major forest countries in the world and the accurate estimation
13 of its forest biomass carbon (C) pool is critical for evaluating the country's C budget and
14 ecosystem services of forests. Although several studies have estimated China's forest biomass
15 using national forest inventory data, most of them were limited to the period of 2004–2008. In
16 this study, we extended our estimation to the most recent period of 2014–2018. Using datasets
17 of eight inventory periods from 1977 to 2018 and the continuous biomass expansion factor
18 method, we estimated that the total biomass C pool and average biomass C density in Chinese
19 forests increased from 4717 Tg C (1 Tg = 10^{12} g) in the period of 1977–1981 to 7975 Tg C in
20 the period of 2014–2018 and 38.2 Mg C ha⁻¹ to 45.8 Mg C ha⁻¹ (1 Mg = 10^6 g), respectively,
21 with a net increase of 3258 Tg C and an annual sink of 88.0 Tg C yr⁻¹. Over the recent 10
22 years (2009–2018), the average national forest biomass C density and C sink were 44.6 Mg C
23 ha⁻¹ and 154.8 Tg C yr⁻¹, respectively, much larger than those of 39.6 Mg C ha⁻¹ and 63.3 Tg
24 C yr⁻¹ in the period 1977–2008. These pronounced increases were largely attributed to
25 afforestation practices, forest growth, and environmental changes. Our results have
26 documented the importance of ecological restoration practices, provided an essential basis for
27 assessing ecosystem services, and helped to achieve China's C neutrality target.

28 **Keywords:** Forest biomass; C sink; C density; China; Ecological restoration projects

29

30 **1 Introduction**

31 Terrestrial ecosystems carbon (C) sinks, which absorb approximately 30% of annual
32 anthropogenic carbon dioxide (CO₂) emissions, are mostly contributed by forests (Pan et al.,
33 2011; Terrer et al., 2021). Globally, forests cover ~30% of the land area (Fahey et al., 2010;
34 Guo et al., 2013), and sequester large amounts of C in woody biomass and soils (Pugh et al.,
35 2019). Even small changes in the forest C pool could induce profound feedback on the
36 climate system of the planet (He, 2012). Thus, forests play fundamental roles in regulating the
37 global C balance and mitigating climate change (Pan et al., 2011; Harris et al., 2021).

38 China has a forest area of ~0.2 billion hectares, accounting for 5.51% of the global total
39 forest and ranking fifth among countries (FAO, 2021). The forests in China are distributed
40 over a wide range of climatic conditions and are diverse in forest types, which makes them
41 unique for forest C cycle research (Fang et al., 2010). Meanwhile, China's forests especially
42 planted forests are generally young with low biomass C density, implying a great C
43 sequestration potential in the future (Xu et al., 2010; Zhao et al., 2019). In 2020, the Chinese
44 government announced a goal to achieving C neutrality by 2060, for which sequestering more
45 C through forest C sinks have been regarded as an essential part of China's action plans (Yu et
46 al., 2021). Therefore, accurate estimates of the forest biomass C pool and sink capacity are
47 crucial for China's aim of reducing net greenhouse gas emissions (Xu et al., 2010) and in
48 reaching national C neutrality.

49 However, there are several limitations in the previous studies. On the one hand, most of
50 the estimates of China's forest biomass C pool (and the corresponding C sink) were based on
51 the national forest inventory data no later than the 7th National Forest Inventory (2004–2008)
52 (e.g., Fang et al., 1996, 2001, 2007; Fang & Chen, 2001; Xu et al., 2010; Guo et al., 2013;
53 Zhang et al., 2013; Li et al., 2015; Zhang et al., 2015). Nevertheless, China's large-area
54 planted forests formed by extensive afforestation since the 1980s have just grown into the
55 rapid-growing middle-aged stage in the most recent decade (2009–2018) (Lu et al., 2018),
56 thus clarifying how China's forest C pool has changed since the 2010s is of vital importance
57 to accurately evaluate the C sink formed by large-scale afforestation. Meanwhile, a
58 four-decade long estimate of the forest C pool can also provide necessary information for
59 exploring the driving mechanism of China's forest C sink and validating the conclusions from
60 various models. On the other hand, the estimated C pool and/or sinks of China's forest
61 differed considerably among their dedicated periods. For example, the estimate of the forest C
62 sink during 1994–1998, ranged from -2.9 to 108 Tg C yr⁻¹ (Fang et al., 2007; Zhang et al.,
63 2013; Li et al., 2015; Zhao et al., 2019). This large discrepancy could be due to limitations in

64 sample size and data representativeness, diversity of data sources, inconsistent
65 biomass-volume fit relationships (Li et al., 2015; Tang et al., 2018), or particularly
66 divergences in the methodologies. Therefore, it is necessary to adopt a unified and
67 well-validated method to update the estimations of the forest biomass C pool at the national
68 scale over the past four decades, especially the most recent decade (2009–2018), to fill gaps
69 in our knowledge regarding China’s forest C pool and its changes.

70 In this study, we used eight national forest inventories compiled during the period 1977–
71 2018, and conducted a well-validated biomass expansion factor (BEF) method to update the
72 estimate of China’s forest biomass C pool. Three major aims of the study are to (1)
73 objectively describe the long-term changes in China’s forest biomass C pool and C sink at the
74 national scale, especially focusing on the changes in the most recent decade (2009–2018), (2)
75 clarify the contributions of different forest zonal types, age-groups and forest stand origins to
76 China’s forest biomass C pool and its changes, and (3) qualitatively evaluate the potential
77 influencing factors of China’s forest C sink by comparing the changes in biomass C stocks in
78 the most recent decade with those in the earlier 30 years (1977–2008).

79

80 **2 Methods**

81

82 **2.1 Methods for estimation**

83 Mean biomass density (MBD), remote sensing, and BEF are three common methods for
84 estimating large spatial-scale forest biomass C stocks (Guo et al., 2010; Zhang et al., 2013).
85 The MBD method, defined as multiplying the mean biomass density value of each forest type
86 by its area, is widely used to estimate the biomass C stocks at different spatial scales.
87 However, because of investigators’ intentional tendencies to choose better-growing stands
88 during forest censuses, the MBD method usually overestimates the C pool (Fang et al., 2006;
89 Guo et al., 2010). The remote sensing method can provide the large-scale information of
90 vegetation, while there are several inherent methodological issues that must be addressed,
91 such as atmospheric and background noises, similar spectral characteristics of different
92 vegetation, and saturation of signals in dense vegetation (Zhang et al., 2013). Different from
93 the above two methods, the BEF method is based on the internal relationship between the
94 biomass and timber volume of forests (Fang et al., 2002). By establishing proper regression
95 models between biomass and timber volume, the BEF method can incorporate the effects of
96 forest age, forest stand density, and forest site quality on biomass density, thus achieving

97 appropriate estimates of the forest biomass C pool (Fang et al., 2002; Guo et al., 2010). Thus,
98 the BEF method has obvious advantages in estimating forest biomass at regional and national
99 scales (Fang et al., 1998; Fang & Wang, 2001; Guo et al., 2010; Teobaldelli et al., 2009).

100 Here, we used the continuous BEF method suggested by Fang et al. (2001) to estimate
101 China's forest biomass C stocks during 1977–2018, which is a well-validated approach that
102 enables upscaling estimates from field plots to a regional level. In this approach, the BEF is
103 calculated by the first-order derivative formula [Eq. (1)] using the ground survey data of
104 forest volume, and then BEF is used to calculate biomass [Eq. (2)]:

$$105 \quad BEF = a + b/x \quad (1)$$

$$106 \quad y = BEF \cdot V \quad (2)$$

107 where x , and V are the stock volume density and stock volume of a forest type at a certain age
108 in each province, and a and b are BEF function coefficients. BEF is the biomass expansion
109 factor, and y is the biomass of a forest type at a certain age. The coefficients in Eq. (1) were
110 retrieved from previous studies (Fang et al., 1998, 2002; Fang & Wang, 2001) (Table S1). A
111 constant C conversion factor of 0.5 was used to convert biomass into C (Fang et al., 2001).

112

113 **2.2 Data sources**

114 Eight national forest inventory datasets compiled by the Chinese Ministry of Forestry
115 Administration from 1977 to 2018 were used in this study. Forests' dominant tree species,
116 area, timber volume, forest age, and stand origins were reported for all provinces. According
117 to Fang (2000), five zonal forest types including cold-temperate coniferous, temperate
118 coniferous, temperate deciduous broad-leaved, temperate/subtropical mixed, and evergreen
119 broad-leaved are dominant tree species. To quantify age-related tree growth, forests were
120 further divided into five subgroups: young, middle-aged, premature, mature, and overmature.
121 Forest area and C stocks were calculated for each province. Chongqing Municipality, which
122 was separated from Sichuan Province in 1997, was merged into Sichuan here. The detailed
123 data of Taiwan, Hong Kong, and Macau are missing from the inventory datasets; thus, the
124 calculations did not account for these three regions.

125

126 **2.3 Data correction**

127 Since 1994, the canopy coverage criterion of forests in the national forest inventory has
128 been changed from >0.3 to >0.2 . We unified the criterion by adopting the power functions
129 [Eqs. (3) and (4)] provided by Guo et al. (2013):

$$130 \quad AREA_{0.2} = 1.290 \times AREA_{0.3}^{0.995} \quad (R^2 = 0.996) \quad (3)$$

131 $CARBON_{0.2}=1.147\times CARBON_{0.3}^{0.996}$ ($R^2=0.996$) (4)

132 where $AREA_{0.2}$ and $AREA_{0.3}$ are the forest areas (10^4 ha) with canopy coverages of 0.2 and 0.3,
133 respectively, and $CARBON_{0.2}$ and $CARBON_{0.3}$ are the biomass C pools (Tg C) with crown
134 densities of 0.2 and 0.3, respectively.

135

136 **3 Results**

137

138 **3.1 Forest biomass C pool and its changes**

139 The total forest biomass C stock, average biomass C density, and biomass C sink during
140 1977–2008 were 5447 Tg C, 39.6 Mg C ha⁻¹, and 63.3 Tg C yr⁻¹, respectively (Table 1). The
141 corresponding values were 7525 Tg C, 44.6 Mg C ha⁻¹, and 154.8 Tg C yr⁻¹, respectively,
142 during 2009–2018, making an increasing C sink of 91.5 Tg C yr⁻¹ (Table 1; Table S3).

143 Compared with the forest biomass C pool during 1977–1981, it increased by 3258 Tg C
144 (69.1%) during the four decades up to 2014–2018. The C density of forest biomass increased
145 by 7.61 Mg C ha⁻¹ (19.9%) during 1977–2018 (Table 1, Figure 1). Meanwhile, the forest area
146 increased by 41.0% from 1.24×10^8 ha during 1977–1981 to 1.74×10^8 ha during 2014–2018
147 (Table 1). All these changes had led to a large C sink of 180.2 Tg C yr⁻¹ in 2014–2018 (Table
148 1, Figure 1). In addition, the forest biomass C pool varied considerably across the different
149 periods. It was found to have decreased by 2.9 Tg C yr⁻¹ over 1994–1998, which was thought
150 to have been due to the decrease in the area of natural forest from 1994–1998 (Table 1 and 2,
151 Figure 1).

152 The biomass C pools of planted forests and natural forests increased significantly during
153 the study periods (Table 2). The biomass C pool of the planted forest increased from 250 Tg C
154 in 1977–1981 to 1470 Tg C in 2014–2018. This indicated that biomass C sinks had an average
155 increase of 33.0 Tg C yr⁻¹. The biomass C density of planted forests increased from 15.6 Mg
156 C ha⁻¹ during 1977–1981 to 28.3 Mg C ha⁻¹ during 2014–2018. Compared with the previous
157 three decades (1977–2008), the average biomass C density and C sink in the recent decade
158 (2014–2018) increased by 4.6 Mg C ha⁻¹ and 10.0 Tg C yr⁻¹, respectively. For natural forests,
159 the biomass C pool increased in most timesteps during the study periods. Especially in the
160 recent 10 years, the biomass C sink of natural forests has grown rapidly, indicating 100.8 Tg
161 C yr⁻¹ during 2009–2013 and 128.1 Tg C yr⁻¹ during 2014–2018 (Table 2). Compared with the
162 previous three decades (1977–2008), the average biomass C density and C sink in the recent
163 decade (2014–2018) increased by 7.8 Mg C ha⁻¹ and 81.5 Tg C yr⁻¹, respectively. From 1977
164 to 2018, the increase in the biomass C pool of natural forests was 2037 Tg C, indicating C

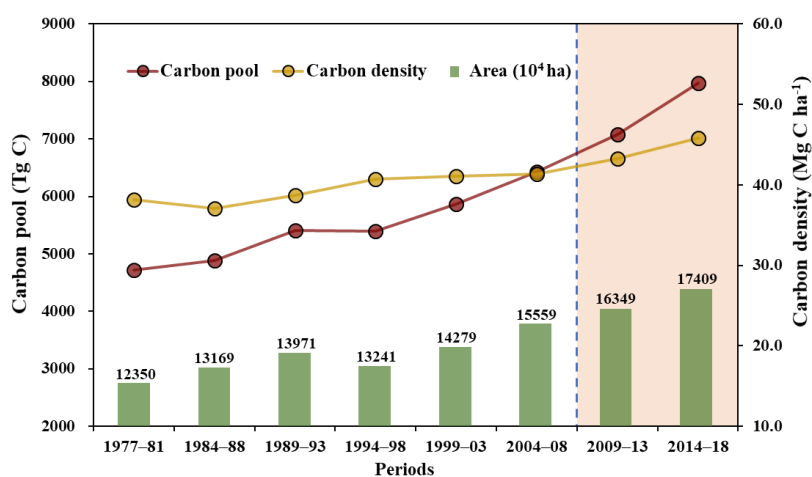
165 sinks of 55.1 Tg C yr⁻¹ on average.

166

167 **Table 1** Forest area, biomass C pool, C density, and C sinks from 1977 to 2018

| Period | Forest parameter | | | |
|-----------------------|-------------------------|-------------|---------------------------------|------------------------------|
| | Area 10 ⁴ ha | C pool Tg C | C density Mg C ha ⁻¹ | C sink Tg C yr ⁻¹ |
| 1977–1981 | 12350 | 4717 | 38.2 | |
| 1984–1988 | 13169 | 4885 | 37.1 | 23.9 |
| 1989–1993 | 13971 | 5402 | 38.7 | 103.5 |
| 1994–1998 | 13241 | 5388 | 40.7 | -2.9 |
| 1999–2003 | 14279 | 5862 | 41.1 | 94.9 |
| 2004–2008 | 15559 | 6427 | 41.3 | 112.9 |
| Average | | | | |
| 1977–2008 | 13762 | 5447 | 39.6 | 63.3 |
| 2009–2013 | 16349 | 7074 | 43.3 | 129.4 |
| 2014–2018 | 17409 | 7975 | 45.8 | 180.2 |
| Average | | | | |
| 2009–2018 | 16879 | 7525 | 44.6 | 154.8 |
| Overall change | | | | |
| 1977–2018 | 5059 | 3258 | 7.61 | 88.0 |

168



169

170 **Figure 1** Changes in the area, biomass C pool, and C density of forests from 1977 to 2018.

171 The pink box highlights the results of the recent decade.

172

173 **Table 2** Area, biomass C pool, C density, and C sink of planted and natural forests

| Period | Planted forest | | | | Natural forest | | | |
|-------------------------------------|--------------------|-------------|-----------------------|-----------------------|--------------------|-------------|-----------------------|-----------------------|
| | Area | C pool | C density | C sink | Area | C pool | C density | C sink |
| | 10 ⁴ ha | Tg C | Mg C ha ⁻¹ | Tg C yr ⁻¹ | 10 ⁴ ha | Tg C | Mg C ha ⁻¹ | Tg C yr ⁻¹ |
| 1977–1981 | 1595 | 250 | 15.6 | | 10755 | 4468 | 41.5 | |
| 1984–1988 | 2347 | 418 | 17.8 | 24.1 | 10822 | 4467 | 41.3 | -0.1 |
| 1989–1993 | 2675 | 526 | 19.7 | 21.6 | 11296 | 4876 | 43.2 | 81.9 |
| 1994–1998 | 2914 | 642 | 22.0 | 23.3 | 10326 | 4746 | 46.0 | -26.2 |
| 1999–2003 | 3229 | 836 | 25.9 | 38.7 | 11049 | 5026 | 45.5 | 56.2 |
| 2004–2008 | 4000 | 1067 | 26.7 | 46.2 | 11559 | 5360 | 46.4 | 66.7 |
| Average 1977–2008 | 2794 | 623 | 22.3 | 30.3 | 10968 | 4824 | 44.0 | 33.0 |
| 2009–2013 | 4665 | 1183 | 25.4 | 23.3 | 11685 | 5864 | 50.2 | 100.8 |
| 2014–2018 | 5193 | 1470 | 28.3 | 57.3 | 12212 | 6505 | 53.3 | 128.1 |
| Average 2009–2018 | 4931 | 1327 | 26.9 | 40.3 | 11948 | 6185 | 51.8 | 114.5 |
| Overall change 1977–2018 | | | | 33.0 | | | | 55.1 |

174

175 3.2 Changes in biomass C pools in different zonal forest types

176 Compared with 1977–2008, temperate coniferous forest, temperate deciduous
 177 broad-leaved forest and evergreen broad-leaved forest all presented larger area during 2009–
 178 2018. With the exception of the temperate deciduous broad-leaved forest, the C sinks of four
 179 of the five forest types increased in the recent 10 years (2009–2018) in comparison to 1977–
 180 2008 (Table 3). In particular, the biomass C sink of evergreen broad-leaved forests during
 181 2009–2018 was 84.6 Tg C yr⁻¹, which is much higher than the average C sink for the previous
 182 30 years (22.8 Tg C yr⁻¹, 1977–2008). The C pool of the evergreen broad-leaved forest
 183 reached 2747 Tg C during 2014–2018 (Table 3, Table S2). Overall changes in the biomass C
 184 pools from 1977 to 2018 indicated that, with the exception of cold-temperate coniferous
 185 forests, the biomass C pools of four out of the five forest types all increased. The largest
 186 increase took place in the evergreen broad-leaved forest (1463 Tg C), which had an average
 187 annual C sink of 39.5 Tg C yr⁻¹ (Table S2). For more details about the area, C pool, C density
 188 and C sinks between 1977 and 2018, please refer to Table S2.

190 **Table 3** Area, biomass C pool, C density, and average C sink of different zonal forest types in
 191 the recent decade compared to the previous 30 years*

| Zonal forest types | Periods | Area 10 ⁴ ha | C pool Tg C | C density Mg C ha ⁻¹ | C sink Tg C yr ⁻¹ |
|--|------------------------|----------------------------|----------------|------------------------------------|---------------------------------|
| Cold-temperate coniferous forest | 1977–2008 2009–2018 | 2080 1844 | 1461 1321 | 70.2 71.6 | -8.2 11.3 |
| Temperate coniferous forest | 1977–2008 2009–2018 | 1125 1740 | 381 652 | 33.9 37.5 | 9.9 13.7 |
| Temperate deciduous broad-leaved forest | 1977–2008 2009–2018 | 3614 3714 | 1430 1730 | 39.6 46.6 | 26.2 19.5 |
| Temperate/subtropical mixed forest | 1977–2008 2009–2018 | 3989 3834 | 975 1308 | 24.4 34.1 | 12.6 25.7 |
| Evergreen broad-leaved forest | 1977–2008 2009–2018 | 2953 5748 | 1200 2515 | 40.7 43.7 | 22.8 84.6 |

192 * The average C sink for the previous 30 years was calculated by dividing the difference
 193 between the 2004–2008 and 1977–1981 C pools by 27. The average C sink over the recent
 194 decade was calculated by dividing the difference between the 2014–2018 and 2004–2008 C
 195 pools by 10.

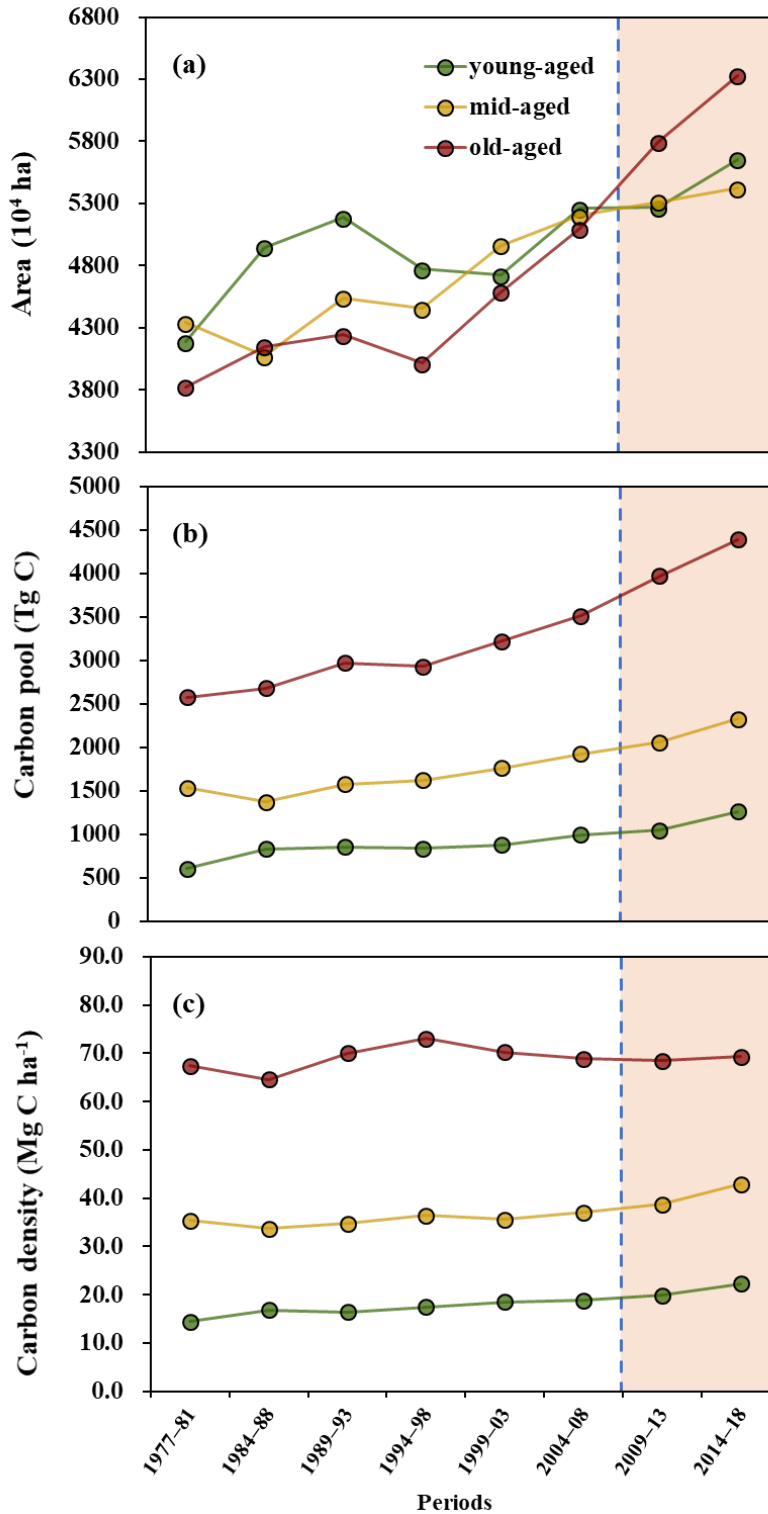
196

197 **3.3 Biomass C sequestration in different forest age groups**

198 In the original national forest inventory, only three age groups were recognised, namely
 199 young forests, middle-aged forests, and old-aged forests. In the subsequent inventories (after
 200 1984), forests were categorized into five different age groups as we mentioned above (Table
 201 S6). To facilitate the comparison of different periods, we grouped the premature forest, mature
 202 forest, and overmature forest into one forest age group—old-aged forest. The young and
 203 middle-aged forests remained unchanged. The 3 classes are aggregated from the 5 classes.

204 The growth rate by area and C pool of all forest age groups over the recent 10 years
 205 (2009–2018) were higher than those for the previous 30 years (1977–2008). In addition, the
 206 forest area and C pool for each age group reached the highest level recorded during 2014–
 207 2018. The area and C pool of old-aged forests were both the largest, at 6.33×10^7 ha and 4387
 208 Tg C, respectively (Figure 2). The area of young, middle-aged, and old-aged forests increased
 209 from 1977 to 2018 by 1.47×10^7 ha, 1.08×10^7 ha, and 2.51×10^7 ha, respectively (Figure 2,

210 Table S5). Meanwhile, the C pools increased by 657 Tg C, 791 Tg C, and 1810 Tg C,
 211 respectively (Figure 2, Table S5). The biomass C densities of young, middle-aged, and
 212 old-aged forests increased by 7.9, 7.5, and 1.9 Mg C ha⁻¹, respectively (Figure 2, Table S5).



213
 214 **Figure 2** Changes in area (a), C pool (b) and C density (c) of forest for each age group from
 215 1977 to 2018. The pink box highlights the latest results from the recent ten years.

216

217 **4 Discussion**

218

219 **4.1 China's forest C sink size**

220 National forest inventories provide the most comprehensive statistical and temporal
221 datasets for investigating forest change. Using data from eight forest inventories including the
222 most recent forest inventory period of 2014–2018, this study provided an updated estimate of
223 China's forest biomass C pool, and obtained how it has varied over the last 40 years (1977–
224 2018). Our results showed that the latest forest biomass C pool (7975 Tg C, 2014–2018) was
225 much larger than 4717 Tg C from 1977–1981, with an increase of 3258 Tg C (69.1%), and
226 accordingly, the C sink averaged 88.0 Tg C yr⁻¹. In particular, the biomass C pool increased
227 by 154.8 Tg C per year during the period of 2009–2018, which was significantly higher than
228 the average C sequestration rate of 63.3 Tg C yr⁻¹ in the earlier three decades (1977–2008). In
229 summary, China's forests acted as a significant C sink in biomass during 1977–2018, while
230 the C sink was further enhanced in the most recent decade.

231 In terms of the order of magnitude, our estimates of biomass C stocks are comparable
232 with those from previous studies (e.g., Fang et al., 2007; Zhang et al., 2013; Li et al., 2015;
233 Zhang et al., 2021; Zhao et al., 2019, 2021), while there are some discrepancies between the
234 size of the C pool in this study and those reported by others. In particular, most studies
235 provided smaller estimates than ours in the periods prior to 1994 (Table S7). This may be due
236 to methodological differences in the conversion of canopy coverage criteria. Some studies did
237 not consider the shift from the old criterion (>0.3 canopy coverage) to the new criterion (>0.2
238 canopy coverage), which led to shrinking forest areas and thus significant underestimation of
239 the C pool in the earlier periods (e.g., Li et al., 2015; Zhang et al., 2021). Others used a linear
240 model proposed by Fang et al. (2007) to correct the inventory data (e.g., Zhang et al., 2013;
241 Zhao et al., 2019, 2021), while the linear conversion equations would underestimate forest
242 areas and biomass C stocks in the provinces with large amounts of forests (Figure S1).
243 Obviously, these underestimates would inevitably induce previous studies to report higher C
244 sinks than those of this study. Moreover, the divergences in BEF model parameters, root to
245 shoot ratio, and C conversion factor would also contribute to the discrepancies among the
246 estimates of C stocks, thus inducing the different C sequestration rates (e.g., Zhao et al., 2019,
247 2021).

248 Despite the differences in the estimates of biomass C stocks and their changes, most
249 previous studies, and this work all agreed that the average biomass C sink of China's forests

250 since the 1980s is approximately 100 Tg C yr⁻¹. In addition, several studies based on field
251 investigations pointed out that both the dead organic matter and soils in China's forest also
252 functioned as C sinks over the past four decades, with average values of 6.7 and 57.3 Tg C
253 yr⁻¹, respectively (Zhu et al., 2017; Yang et al., 2014; Fang et al., 2018). Together with the
254 biomass C sink estimated in this study, it suggested that China's forest ecosystems would
255 sequester approximately 100–200 Tg C yr⁻¹ in total over the last four decades. Recently, some
256 studies based on “top-down” atmospheric inversions reported that the net land C sink of
257 China could reach 0.8–1.1 Pg C yr⁻¹ during the 2010s, and suggested that this large sink was
258 mainly attributed to forest ecosystems (Wang et al., 2020, 2022). However, according to the
259 size of the forest C sink we mentioned above, that large C sink could not be supported by this
260 and other studies based on field investigations including national forest inventories.

261

262 **4.2 Potential influencing factors of biomass C sinks**

263 As we mentioned above, China's forests presented an enhanced biomass C sink in most
264 provinces during the recent decade (Table S3). Further analyses of this study showed that the
265 enhancement of the biomass C sink widely occurred in China's forests during 2009–2018
266 (Table S3), although the enhancing extent would be different between forest stand origins or
267 among forest zonal types/age-groups (Table 2, 3, S7). It has been demonstrated that forest
268 area expansion and increased forest growth jointly contributed to the enhancement of China's
269 forest C sink, in which area expansion contributed more to planted forests while forest growth
270 was a great contributor to natural forests (Fang et al., 2014a; Li et al., 2016). This conclusion
271 could also explain the enhanced C sink during the period of 2009–2018 observed in this study.
272 Our results showed that between the 7th Forest Inventory (2004–2008) and the 9th Forest
273 Inventory (2014–2018), the area of planted forest increased by 30% (from 0.40×10^8 to
274 0.52×10^8 ha), while the C sink increased by only 24% (from 46.2 to 57.3 Tg C yr⁻¹) during the
275 same period, indicating that area expansion is the main factor driving the enhanced C sink of
276 planted forests (Table 2). In contrast, the area of natural forest increased by only
277 approximately 6% (from 1.15×10^8 to 1.22×10^8 ha), but the corresponding C sink nearly
278 doubled (from 66.7 to 128.1 Tg C yr⁻¹) (Table 2), suggesting that the enhanced C sink of
279 natural forests is mainly contributed by forest growth rather than area expansion.

280 The area expansion of China's forest could be largely due to national ecological projects.
281 Since the late 1970s, China has launched six key national ecological projects to restore
282 degraded ecosystems, and to protect the country's environment (Lu et al., 2018). Within the
283 framework of these projects, large-scale afforestation and reforestation have been conducted,

284 which contributed 71.2% of the total forest area expansion in China during 1977–2018 (Table
285 2), resulting China having the largest planted forest area in the world (Guo et al., 2013; Lu et
286 al., 2018). Obviously, such area expansion, which was mainly led by ecological projects,
287 would promote the increase in the biomass C sink in China’s forests, especially in planted
288 forests.

289 The increased growth in China’s forest could be due to several reasons. On the one hand,
290 environmental changes (e.g., elevated CO₂, climatic change, nitrogen deposition, etc.) may be
291 an important reason for the increase in China’s forest growth over the recent decade (Piao et
292 al., 2009a, b; Tian et al., 2011; Pan et al., 2011, 2013; Fang et al., 2014b; Liu et al., 2021). We
293 noticed that differing from what happened in the old-aged forest, in which the C density
294 remained roughly steady, the C densities in the young- and middle-aged forests showed a
295 significant increase from 1977 to 2018, especially during 2009–2018 (Figure 2). A previous
296 study pointed out that such an increase in the C density of the forest at an age-stage often
297 means accelerated tree growth induced by environmental changes (Fang et al., 2014b).
298 Meanwhile, after excluding the potential impacts from tree species and forest age, our
299 regression analysis showed that the average C density presented significantly positive
300 relationships with atmospheric CO₂ concentration, mean annual temperature (MAT), and
301 nitrogen deposition (Figure S2), which could also reflect the promoting effect of elevated CO₂,
302 rising temperature and increased nitrogen deposition on forest growth. Over the past four
303 decades, China’s forests have experienced significant increases in CO₂ concentration (Global
304 Monitoring Laboratory, <https://www.gml.noaa.gov/>), MAT (China Meteorological Data
305 Service Center, <https://data.cma.cn/data/index.html>) and nitrogen deposition (Eyring et al.,
306 2013), which have increased from 342 ppm, 10.5 °C and 0.8 g N m⁻² during the first five
307 years of the 1980s to 404 ppm, 11.6 °C and 1.4 g N m⁻² during 2014–2018, respectively. In
308 particular, the annual mean growth rates of CO₂ and MAT in the most recent decade (2.29
309 ppm yr⁻¹ and 0.07 °C yr⁻¹, respectively) were greater than those in the previous three decades
310 (1.68 ppm yr⁻¹ and 0.04 °C yr⁻¹, respectively). All these changes would accelerate the growth
311 of forests in China, and thus lead to the enhanced biomass C sink in the past 40 years,
312 especially in the most recent decade.

313 On the other hand, the implementation of ecological projects could be another important
314 reason for the increased forest growth in China. These projects implemented a series of forest
315 management practices, such as forest enclosure, tending, and reduction of timber harvesting,
316 to promote the growth of forests and achieved remarkable effects (Xu et al., 2017; Fang et al.,
317 2018; Lu et al., 2018). For example, due to the illegal occupation of forestland and vast

318 excessive logging, China's natural forest experienced a sharp decline in the area during the
319 period of 1994–1998 (Table 2) (National Forestry Administration, 2000). Although the stock
320 volume density and C density continued to rise, the severely shrinking area induced
321 reductions in forest stock volumes and C stocks (Table 2). Thus, the government launched the
322 Natural Forest Protection project in 1998 (National Forestry Administration, 2000). As a
323 result, the area and biomass C pool of natural forests increased greatly from 1.03×10^8 ha and
324 4746 Tg C during 1994–1998 to 1.22×10^8 ha and 6505 Tg C during 2014–2018, respectively
325 (Table 2). Meanwhile, in the recent decade, extensive young forests planted by these projects
326 have gradually entered the middle-aged or premature stages, in which forests usually have
327 rapid growth rates (Guo et al., 2013). Our results showed that during 1977–2018, the areas of
328 middle- and old-aged forests expanded from 4.3×10^7 and 3.8×10^7 ha to 5.4×10^7 and 6.3×10^7
329 ha, respectively (Figure 2, Table S5), suggesting that a large area of young forests entered a
330 rapid growth stage with high C sequestration ability. The large C sinks brought by these
331 forests could be an important source of power for China's forest C sinks after 2010 (Cai et al.,
332 2021; Yu et al., 2021).

333

334 **4.3 Effects of human intervention on forest C sink management**

335 In current forest C sink management, human intervention exists in many aspects, among
336 which the most important is the cultivation of planted forest. Planted forests play a critical
337 role in enhancing ecosystem services, absorbing atmospheric CO₂, and mitigating climate
338 change (Fang et al., 2001; Li et al., 2018, 2019; Lu et al., 2018; Tang et al., 2018). In this
339 study, we found that China's planted forest is an important C sink, acting as the main source
340 of the forest C sink in nearly half of China's provinces (Table S4), and currently contributing
341 more than 30% of the total forest biomass C sink across the whole country (Table 2). This
342 result proved that afforestation indeed promoted the growth of China's forest C sink.

343 However, it could be easy to fall into the misunderstanding of using this result to prove
344 the success of China's afforestation and further overemphasize its importance in forest C sink
345 management. The national forest inventory only records the forest stands that are successfully
346 established and last retained, thus the area of planted forest would be much less than the
347 actual afforestation area. From 1977 to 2018, the cumulative afforestation area in China was
348 approximately 1.9×10^8 ha, nearly four times the current planted forest (National Forestry and
349 Grasslands Administration, 2019a). This implied that most of the trees cultivated in
350 afforestation projects have failed to survive to the current moment. This situation is
351 particularly widespread in the vast arid and semi-arid regions of China. Compared with

352 natural forests, planted forests are more sensitive and vulnerable to drought stress because of
353 their high transpiration rate, high plant density and low biodiversity (Isbell et al., 2015;
354 Martin-Benito et al., 2010; Zhong et al., 2021). Therefore, planted forests in arid and semi-arid
355 regions such as Northwest China generally present low survival rates due to frequent drought
356 events (Cao et al., 2008, 2011; Wang et al., 2020; Zhang et al., 2022). Although people try to
357 improve the survival rate of trees by selecting an appropriate afforestation method, choosing
358 proper tree species, and cooperating with a series of management measures, such as the
359 application of fertilizer and irrigation, tending operations, etc. (Liu et al., 2016; Zhou et al.,
360 2013), it is still a significant challenge to achieve successful afforestation in the arid and
361 semi-arid regions of China (Wang et al., 2014; Yu et al., 2019; Zhong et al., 2021; Zhou et al.,
362 2013). Currently, most of the land available for afforestation in China is distributed in arid and
363 semi-arid regions or other regions with poor site conditions (Zhang et al., 2018a), which
364 would be bound to greatly decrease in the success rate of afforestation. Thus, the realizability
365 of enhancing forest C sinks through large-scale afforestation must be carefully evaluated in
366 the future.

367 Compared with the implementation of large-scale afforestation, our results suggested that
368 enhancing the regeneration of old-growth forests, especially those in natural forests, would be
369 of greater significance for the future management of forest C sinks. We noticed that from
370 1977 to 2018, the biomass C density of old-aged forests generally remained stable (Table 2).
371 This result suggested that the observed C sink of old-aged forests would mainly derive from
372 their area expansion, i.e., the transformation from young- and middle-aged forests to old-aged
373 forests, rather than forest growth. Due to the high mortality rate of old-growth trees, old-aged
374 forests, especially overmature forests would even present a decline in C density, thus leading
375 to a negative contribution to the C sink (Zhao et al., 2021). Therefore, for old-aged forests, it
376 is necessary to properly adopt practices such as thinning, selective cutting, sanitary cutting,
377 and reforestation (Zhao et al., 2021) to maintain a healthy state, avoid C release caused by the
378 large-scale death of trees, and promote their regeneration to function as a significant C sink.
379 This would be critical for the maintenance and management of forest C sinks. Of course, such
380 artificial regeneration practices would inevitably remove biomass C from forests, but it does
381 not mean an equivalent amount of C release because C in harvesting timbers is often turned
382 into deposited C in wood products, e.g., furniture, house building, instruments, etc., which
383 could exist for tens or even hundreds of years (Skog, 2008; van Deusen, 2010; Zhang et al.,
384 2018b), providing sufficient growth time for young trees after regeneration. However, it
385 should be noted that several studies have found that ageing forests could still maintain rising

386 C densities, although their biomass C accumulation rates gradually decreased (Cao et al.,
387 2012; Luysaert et al., 2008; Yue et al., 2018; Zhao et al., 2014). Thus, the adoption of
388 artificial regeneration practices in old-aged forests also needs to be carefully evaluated to
389 avoid unnecessary C release.

390

391 **4.4 Uncertainty of estimations**

392 The estimation involved in the study is presented with some uncertainties. In general, the
393 national forest inventory data were assumed to have small errors of less than 5% (Fang et al.,
394 2001). The survey accuracy of the forest area and timber volume was over 90% (National
395 Forestry and Grasslands Administration, 2019b). The method used to calculate biomass from
396 surveyed stand volume data, and the R^2 of the BEF function [Eq. (1) and (2)] of the dominant
397 tree species was higher than 0.80 (Table S1), suggesting that our estimates of forest biomass
398 were statistically reliable. Previous studies have shown that the estimated error of forest
399 biomass at the national scale using the BEF function is unlikely to exceed 3% (Fang & Chen,
400 2001; Fang et al., 1996, 2002). A constant C conversion factor of 0.5 may introduce a
401 systematic error of -5.9%–2.5% (Ma et al., 2020). Despite these uncertainties, the results of
402 this study provide relatively high accuracy and a comprehensive assessment of the forest C
403 budget.

404

405 **5 Conclusions**

406

407 In this study, we estimated forest biomass C storage and its changes in China over the
408 past 40 years (1977–2018) and updated their estimates in the most recent decade (2009–2018)
409 using the biomass expansion factor method and eight national forest inventories conducted
410 every five years. We concluded that the Chinese forest biomass C pool increased by 3258 Tg
411 C with an annual C sink of 88.0 Tg C yr⁻¹ from 1977 to 2018. The biomass C pool and C sink
412 in the recent 10 years (7525 Tg C and 154.8 Tg C yr⁻¹, 2009–2018) were much higher than
413 those of the previous 30 years (5447 Tg C and 63.3 Tg C yr⁻¹, 1977–2008), although the C
414 sink strength displayed large variations in different periods. Afforestation practices, forest
415 growth, and environmental changes were proposed as the main drivers of this significant C
416 increase especially in the recent decade. Our study updates the previous estimates of China's
417 forest C storage and its changes and provides an essential basis for policy-making for
418 ecosystem services and the carbon neutrality target in China.

419

420 **Author contribution**

421 JY Fang and C Yang designed the study, C Yang and Y Shi analysed the data, ZD Guo
422 provided part of datasets, WJ Sun, Y Shi, JL Zhu, CJ J, YH Feng, SH Ma, and JY Fang wrote
423 the manuscript and gave final approval for publication.

424

425 **Competing Interest**

426 The authors declare that they have no known competing financial interests or personal
427 relationships that could have appeared to influence the work reported in this paper.

428

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433

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