



1 **Updated estimation of forest biomass carbon pools in**  
2 **China, 1977–2018**

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12



13 **Abstract.** China is the largest reforestation country in the world and the accurate estimation of its forest  
14 biomass carbon (C) pool is critical for evaluating the country's C budget and ecosystem services of  
15 forests. Although several studies have estimated China's forest biomass using national forest inventory  
16 data, most of them were limited to the period of 2004–2008. In this study, we extended our estimation to  
17 the most recent period of 2014–2018. Using datasets of eight inventory periods from 1977 to 2018 and  
18 the continuous biomass expansion factor method, we estimated that the total biomass C pool and average  
19 biomass C density of Chinese forests increased from 4717 Tg C (1 Tg =  $10^{12}$  g) in the period of 1977–  
20 1981 to 7975 Tg C in the period of 2014–2018 and  $38.2 \text{ Mg C ha}^{-1}$  to  $45.8 \text{ Mg C ha}^{-1}$  (1 Mg =  $10^6$  g),  
21 respectively, with a net increase of 3258 Tg C and an annual sink of  $88.0 \text{ Tg C year}^{-1}$ . Over the recent 10  
22 years (2009–2018) the averaged national biomass C density and C sink showed a respective increase of  
23  $5.0 \text{ Mg C ha}^{-1}$  and  $91.5 \text{ Tg C year}^{-1}$  much larger than those of  $39.6 \text{ Mg C ha}^{-1}$  and  $63.3 \text{ Tg C year}^{-1}$  in the  
24 period of 1977–2008. These pronouncing increases were largely attributed to afforestation practices,  
25 forest age growth, and environmental changes. Our results have documented the importance of ecological  
26 restoration practices and provided an essential basis for assessing ecosystem services and achieving  
27 China's carbon neutrality target.

28 **Keywords:** Forest biomass; C sink; C density; China; ecological restoration projects

29



## 30 1. Introduction

31 Terrestrial ecosystems absorb approximately 30% of annual anthropogenic CO<sub>2</sub> emissions (Terrer  
32 et al., 2021). Forests, covering ~30% of the global land area (Fahey et al., 2010; Guo et al., 2013), play  
33 fundamental roles in global C balance and mitigating climate change (Pan et al., 2011; Harris et al., 2021).  
34 Globally, forests sequester large amounts of C in woody biomass and soils (Pugh et al., 2019). Thus, even  
35 small changes in the forest C pool should be considered profound feedback on climate change (He, 2012).  
36 Forest area in China ranks fifth globally, accounting for 5.51% of global total (National Forestry and  
37 Grasslands Administration, 2019). China's diverse climate conditions and forest types are unique for  
38 forest carbon cycle research (Fang et al., 2010). China's forests are generally young with low C density  
39 and have large areal proportion of afforestation and reforestation, implying great potential for C  
40 sequestration in the future (Xu et al., 2010; Zhao et al., 2019). Since the 1990s, numerous studies have  
41 investigated China's forest resources, C storage, and C sinks; however, most were limited to the period  
42 of 2004–2008 (e.g. Fang et al., 1996, 2001, 2007; Fang & Chen, 2001; Xu et al., 2010; Guo et al., 2013;  
43 Zhang et al., 2013; Li et al., 2015; Zhang et al., 2015). In these studies, the estimated C sinks of Chinas  
44 forest differed considerably among their dedicated periods, ranging from 21 to 99.1 Tg C year<sup>-1</sup> (Fang et  
45 al., 2001; Guo et al., 2013; Zhao et al., 2019). The differences might be attributed to the diverse methods  
46 utilise and data inconsistency. There are no consistent, comprehensive comparison studies available  
47 including forest census data after 2013 (the 8th National Forest Inventory) combined with previous  
48 nationwide survey data. Therefore, a continuously updated estimation of China's forest C pools and  
49 magnitudes of C sequestration will be of great importance.

50 In 2020, the Chinese government announced its promise for achieving carbon neutrality target by  
51 2060. Sequestering more C through afforestation, reforestation, and optimal forest management is the  
52 most important components of nature-based solutions to global warming mitigation, and have been an  
53 essential part of China's commitment (Yu et al., 2021). Accurately updating estimates of the forest  
54 biomass C pool and sink capacity are also crucial for Chinas aim of reducing greenhouse gas emissions  
55 (Xu et al., 2010) and in reaching national carbon neutrality.

56 Mean biomass density, biomass expansion factor (BEF), and remote sensing are three common  
57 methods for estimating large spatial-scale forest biomass carbon stocks (Guo et al., 2010; Zhang et al.,  
58 2013). Among these methods, the BEF method is considered the most reliable method for large-scale  
59 biomass C estimation (Fang et al., 1998; Fang & Wang, 2001; Guo et al., 2010; Zhang et al., 2021).  
60 Therefore, we used the BEF method to calculate forest biomass using eight national forest inventories  
61 compiled during the period 1977–2018. We specially focus on the changes in biomass C stocks in the  
62 most recent decade (the period of 2009–2018) and compared them with those in the earlier 30 years  
63 (1977 to 2008) to fill in the knowledge gaps in this decade.



64 **2. Methods**

65 **2.1 Data sources**

66 Eight national forest inventory datasets compiled by the Chinese Ministry of Forestry  
67 Administration from 1977 to 2018 were used in this study. Forest (the dominant tree species of the forest  
68 groups) area, timber volume, forest age, and type were reported for all provinces. According to Fang  
69 (2000), five zonal forest types including cold-temperate coniferous, temperate coniferous, temperate  
70 deciduous broad-leaved, temperate/subtropical mixed, and evergreen broad-leaved are dominate tree  
71 species. To quantify age-related tree growth, forests were further divided into five sub-groups: young,  
72 middle-aged, pre-mature, mature, and over-mature. Forest area and C stocks were calculated for each  
73 province. Chongqing municipality, which was separated from Sichuan province in 1997, was merged  
74 into Sichuan here. The detailed data of Taiwan, Hong Kong, and Macau are missing from the inventory  
75 datasets; thus, the calculations did not account for these three regions.

76 **2.2 Data correction**

77 In the early national forest inventory, the criterion of forest classification was set by the crown  
78 density at 0.3. It was revised to 0.2 in 1994. We unified the criterion by adopting the power functions  
79 (Eqs. (1) and (2)) provided by Guo et al. (2013):

80 
$$AREA_{0.2}=1.290\times AREA_{0.3}^{0.995} \quad (R^2=0.996) \quad (1)$$

81 
$$CARBON_{0.2}=1.147\times CARBON_{0.3}^{0.996} \quad (R^2=0.996) \quad (2)$$

82 where  $AREA_{0.2}$  and  $AREA_{0.3}$  are the forest areas ( $10^4$  ha) with a crown density of 0.2 and 0.3, respectively,  
83 and  $CARBON_{0.2}$  and  $CARBON_{0.3}$  are the biomass C pools (Tg C) with a crown density of 0.2 and 0.3,  
84 respectively.

85 **2.3 Statistical analysis**

86 Fang et al. (2001) used the first-order derivative formula to calculate BEF using ground survey data  
87 of forest volume [Eq. (3) and (4)]. This approach enabled upscaling estimates from field plots to a  
88 regional level. The stock volume density was calculated according to area and stock volume of each  
89 forest type and age group in each province, and then BEF was used to calculate biomass:

90 
$$BEF=a+b/x \quad (3)$$



91  $y = BEF \cdot V$  (4)

92 where  $x$ ,  $V$  are the stock volume and stock volume density of a forest type at a certain age, and  $a$  and  $b$   
93 are BEF function coefficients. BEF is the biomass expansion factor, and  $y$  is the biomass of a forest type  
94 at a certain age. BEF coefficients in Eq.(3) were retrieved from previous studies (Fang et al., 1998, 2002;  
95 Fang & Wang, 2001) (Table A1). A constant C conversion factor of 0.5 was used to convert biomass into  
96 C (Fang et al., 2001).

## 97 3 Results

### 98 3.1 Forest biomass C pool and its changes

99 The total forest biomass C stock, averaged biomass C density, and the biomass C sink during 1977–  
100 2008 were 5447 Tg C, 39.6 Mg C ha<sup>-1</sup>, and 63.3 Tg C year<sup>-1</sup>, respectively (Table 1). The corresponding  
101 values were 7525 Tg C, 44.6 Mg C ha<sup>-1</sup>, and 154.8 Tg C year<sup>-1</sup>, respectively, during 2009–2018, making  
102 an increase C sink of 91.5 Tg C year<sup>-1</sup> (Table 1). Compared with the forest biomass C pool during 1977–  
103 1981, it increased by 3258 Tg C (69.1%) during 2014–2018. In general, the C density of forest biomass  
104 increased by 7.61 Mg C ha<sup>-1</sup> (19.9%) during 1977–2018 (Table 1, Figure 1). It should be noted that the  
105 forest area increased by 41.0% from 1.24×10<sup>8</sup> ha during 1977–1981 to 1.74×10<sup>8</sup> ha during 2014–2018  
106 (Table 1). Accelerated expansion of area through afforestation and reforestation may lead to a large C  
107 sink of 180.2 Tg C year<sup>-1</sup> in 2014–2018 (Table 1, Figure 1). In addition, the forest biomass C pool varied  
108 considerably across the different periods. It was found to have decreased by 2.9 Tg C year<sup>-1</sup> over 1994–  
109 1998, which was thought to have been due to the decrease in area of natural forest from 1994–1998.

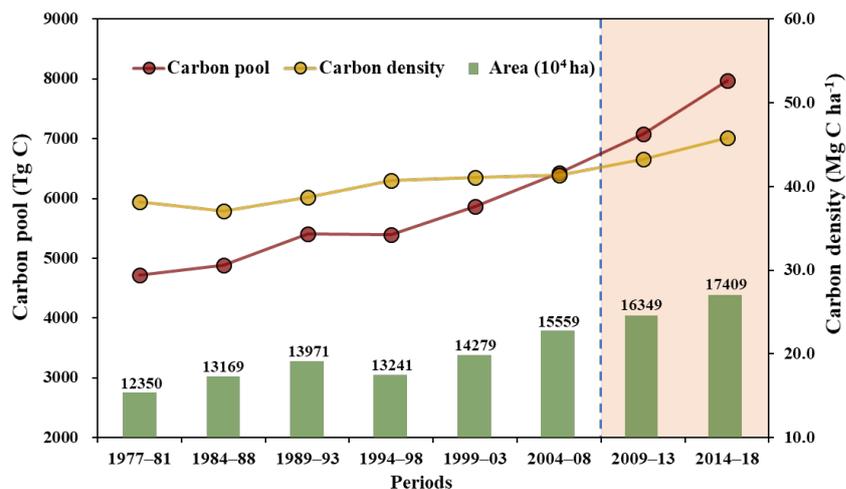
110 The biomass C pools of planted forests and natural forests increased significantly during the study  
111 (Table 2). The biomass C pool of planted forest increased from 250 Tg C during 1977–1981 to 1470 Tg  
112 C in 2014–2018. This indicated that biomass C sinks had an average of 33.0 Tg C year<sup>-1</sup>. The biomass C  
113 density of planted forests increased from 15.6 Mg C ha<sup>-1</sup> during 1977–1981 to 28.3 Mg C ha<sup>-1</sup> during  
114 2014–2018. For natural forests, the biomass C pool increased in most timesteps during the study periods.  
115 Especially in the past 10 years, the biomass C sink of natural forest has grown rapidly, indicating 100.8  
116 Tg C year<sup>-1</sup> during 2009–2013 and 128.1 Tg C year<sup>-1</sup> during 2014–2018 (Table 2). From 1977 to 2018,  
117 the increase in biomass C pool of natural forests was 2037 Tg C, indicating C sinks of 55.1 Tg C year<sup>-1</sup>



118 on average.

119 **Table 1** Forest area, biomass C pool, C density, and C sinks from 1977 to 2018

| Period                | Forest parameter          |               |                                    |                                   |
|-----------------------|---------------------------|---------------|------------------------------------|-----------------------------------|
|                       | Area (10 <sup>4</sup> ha) | C pool (Tg C) | C density (Mg C ha <sup>-1</sup> ) | C sink (Tg C year <sup>-1</sup> ) |
| 1977–1981             | 12350                     | 4717          | 38.2                               |                                   |
| 1984–1988             | 13169                     | 4885          | 37.1                               | 23.9                              |
| 1989–1993             | 13971                     | 5402          | 38.7                               | 103.5                             |
| 1994–1998             | 13241                     | 5388          | 40.7                               | -2.9                              |
| 1999–2003             | 14279                     | 5862          | 41.1                               | 94.9                              |
| 2004–2008             | 15559                     | 6427          | 41.3                               | 112.9                             |
| <b>Average</b>        |                           |               |                                    |                                   |
| <b>1977–2008</b>      | <b>13762</b>              | <b>5447</b>   | <b>39.6</b>                        | <b>63.3</b>                       |
| 2009–2013             | 16349                     | 7074          | 43.3                               | 129.4                             |
| 2014–2018             | 17409                     | 7975          | 45.8                               | 180.2                             |
| <b>Average</b>        |                           |               |                                    |                                   |
| <b>2009–2018</b>      | <b>16879</b>              | <b>7525</b>   | <b>44.6</b>                        | <b>154.8</b>                      |
| <b>Overall change</b> |                           |               |                                    |                                   |
| <b>1977–2018</b>      | <b>5059</b>               | <b>3258</b>   | <b>7.61</b>                        | <b>88.0</b>                       |



120

121 **Figure 1** Changes in the area, biomass C pool, and C density of forests from 1977 to 2018. The pink

122 box highlights the most recent results from the last ten years.

123

124



125 **Table 2** Area, biomass C pool (CP), C density (CD), and C sinks (CS) of planted and natural forests

| Period           | Planted forest     |             |                       |                         | Natural forest     |             |                       |                         |
|------------------|--------------------|-------------|-----------------------|-------------------------|--------------------|-------------|-----------------------|-------------------------|
|                  | Area               | CP          | CD                    | CS                      | Area               | CP          | CD                    | CS                      |
|                  | 10 <sup>4</sup> ha | Tg C        | Mg C ha <sup>-1</sup> | Tg C year <sup>-1</sup> | 10 <sup>4</sup> ha | Tg C        | Mg C ha <sup>-1</sup> | Tg C year <sup>-1</sup> |
| 1977–1981        | 1595               | 250         | 15.6                  |                         | 10755              | 4468        | 41.5                  |                         |
| 1984–1988        | 2347               | 418         | 17.8                  | 24.1                    | 10822              | 4467        | 41.3                  | -0.1                    |
| 1989–1993        | 2675               | 526         | 19.7                  | 21.6                    | 11296              | 4876        | 43.2                  | 81.9                    |
| 1994–1998        | 2914               | 642         | 22.0                  | 23.3                    | 10326              | 4746        | 46.0                  | -26.2                   |
| 1999–2003        | 3229               | 836         | 25.9                  | 38.7                    | 11049              | 5026        | 45.5                  | 56.2                    |
| 2004–2008        | 4000               | 1067        | 26.7                  | 46.2                    | 11559              | 5360        | 46.4                  | 66.7                    |
| <b>2009–2013</b> | <b>4665</b>        | <b>1183</b> | <b>25.4</b>           | <b>23.3</b>             | <b>11685</b>       | <b>5864</b> | <b>50.2</b>           | <b>100.8</b>            |
| <b>2014–2018</b> | <b>5193</b>        | <b>1470</b> | <b>28.3</b>           | <b>57.3</b>             | <b>12212</b>       | <b>6505</b> | <b>53.3</b>           | <b>128.1</b>            |
| <b>1977–2018</b> |                    |             |                       | <b>33.0</b>             |                    |             |                       | <b>55.1</b>             |

126 **3.2 Changes of biomass C pools in different zonal forest types**

127 Compared with during 1977–2008, areas of temperate coniferous forest, temperate deciduous broad-  
 128 leaved forest and evergreen broad-leaved forest increased during 2009–2018. With the exception of the  
 129 temperate deciduous broad-leaved forest, C sinks of four of the five forest types increased in the past 10  
 130 years (2009–2018) in comparison to 1977–2008 (Table 3). Particularly, the biomass C sink of evergreen  
 131 broad-leaved forests during 2009–2018 was 84.6 Tg C year<sup>-1</sup>, which is much higher than the average C  
 132 sink for the previous 30 years (22.8 Tg C year<sup>-1</sup>, 1977–2008). For more details about the area, C pool, C  
 133 density and C sinks between 1977 and 2018, please refer to Table A2. There was an increase in area,  
 134 biomass C density, with the biomass C pool remaining the same from 2009 to 2018. The C pool of the  
 135 evergreen broad-leaved forest reached 2747 Tg C during 2014–2018 (Table 3, Table A2). Overall changes  
 136 in the biomass C pools from 1977 to 2018 indicated that, with the exception of cold-temperate coniferous  
 137 forests, the biomass C pools of four out of the five forest types all increased. The largest increase took  
 138 place in the evergreen broad-leaved forest (1463 Tg C), which had an average annual C sink of 39.5 Tg  
 139 C year<sup>-1</sup> (Table A2).



140 **Table 3** Area, biomass C pool (CP), C density (CD), and average C sinks (CS) of different zonal forest  
141 types in the recent decade compared to the previous 30 years\*

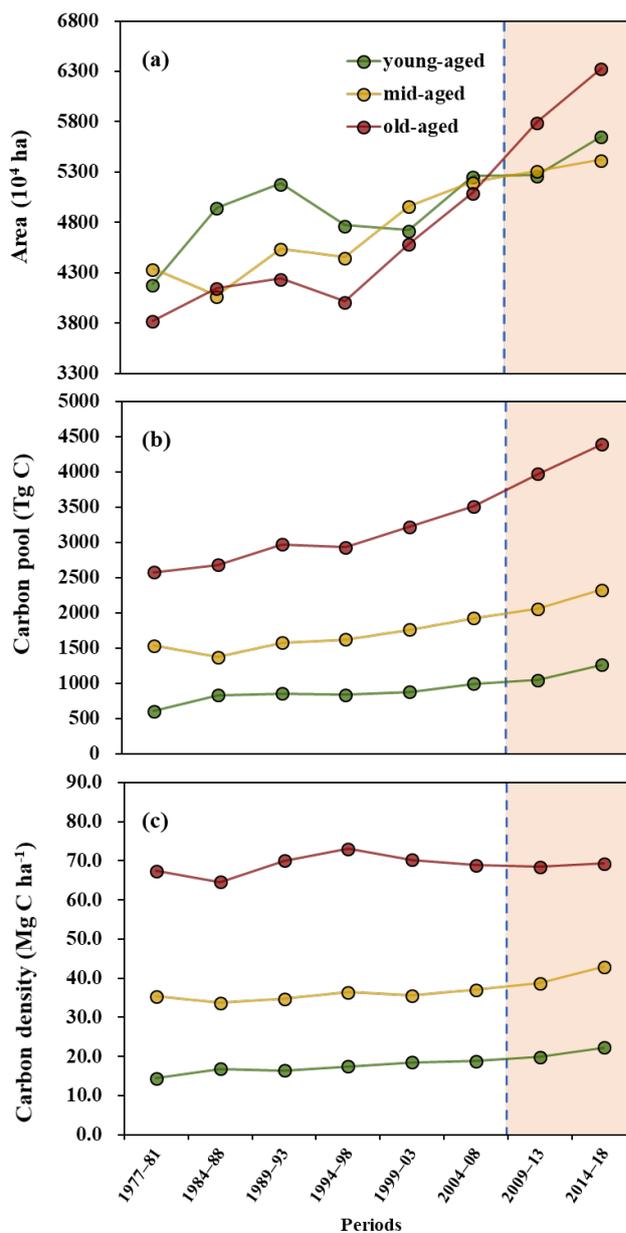
| Zonal forest types                         | Periods   | Area<br>10 <sup>4</sup> ha | CP<br>Tg C | CD<br>Mg C ha <sup>-1</sup> | CS<br>Tg C year <sup>-1</sup> |
|--|-----------|----------------------------|------------|-----------------------------|-------------------------------|
| Cold-temperate<br>coniferous forest        | 1977–2008 | 2080                       | 1461       | 70.2                        | -8.2                          |
| Temperate coniferous<br>forest             | 2009–2018 | 1844                       | 1321       | 71.6                        | 11.3                          |
| Temperate deciduous<br>broad-leaved forest | 1977–2008 | 1125                       | 381        | 33.9                        | 9.9                           |
| Temperate/subtropical<br>mixed forest      | 2009–2018 | 1740                       | 652        | 37.5                        | 13.7                          |
| Evergreen broad-leaved<br>forest           | 1977–2008 | 3614                       | 1430       | 39.6                        | 26.2                          |
|  | 2009–2018 | 3714                       | 1730       | 46.6                        | 19.5                          |
|  | 1977–2008 | 3989                       | 975        | 24.4                        | 12.6                          |
|  | 2009–2018 | 3834                       | 1308       | 34.1                        | 25.7                          |
|  | 1977–2008 | 2953                       | 1200       | 40.7                        | 22.8                          |
|  | 2009–2018 | 5748                       | 2515       | 43.7                        | 84.6                          |

142 \* The average C sink for the previous 30years was calculated by dividing the difference between  
143 the 2004–2008 and 1977–1981 C pools by 27. The average C sink over the recent decade was calculated  
144 by dividing the difference between the 2014–2018 and 2004–2008 C pools by 10.

### 145 3.3 Biomass C sequestration in different forest age groups

146 In the original national forest inventory, only three age groups were recognised, namely young  
147 forests, middle-age forests, and old-age forests. In the subsequent inventories, forests were categorised  
148 into five different age groups. These were young, middle-age, pre-mature, mature, and over-mature  
149 forests. To ensure a consistent temporal comparison, the old growth forests from the first inventory were  
150 reclassified into pre-mature, mature, and over-mature forest groups.

151 The growth rate by area and C pool of all forest age groups over the last 10 years (2009–2018) was  
152 higher than for the previous 30 years (1977–2008). In addition, forest area and C pool for each age group  
153 reached the highest level recorded during 2014–2018. The area and C pool of old-aged forests were both  
154 the largest, at  $6.33 \times 10^7$  ha and 4387 Tg C, respectively (Figure 2). The area of young, middle-aged, and  
155 old-aged forests increased from 1977 to 2018 by  $1.47 \times 10^7$  ha,  $1.08 \times 10^7$  ha, and  $2.51 \times 10^7$  ha, respectively.  
156 Meanwhile, C pools increased by 657 Tg C, 791 Tg C, and 1810 Tg C, respectively. The biomass C  
157 densities of young, middle-aged, and old-aged forests increased by 7.9, 7.5, and 1.9 Mg C ha<sup>-1</sup>,  
158 respectively.



159

160 **Figure 2** Changes in area (a), C pool (b) and C density of forest for each age group from 1977 to 2018.

161 The pink box highlights the latest results from the last ten years.



162 **4 Discussion**

163 National forest inventories provide the most comprehensive spatial and temporal datasets for  
164 investigating forest change. Using data from eight forest inventories, this study provides an update on  
165 China's estimated forest biomass C, as well as obtaining the country's forest biomass C pool and how it  
166 has varied over the last 40 years (1977–2018). This includes the most recent forest inventory period of  
167 2014–2018. The estimated biomass C pool in this study is similar to the results reported by Zhang et al.  
168 (2015) and Zhao et al. (2021), lower than those by Fang et al. (2007), and higher than those by Zhang et  
169 al. (2021). Fang et al. (2007) determined a forest biomass C sink of 75.2 Tg C year<sup>-1</sup> from 1977 to 2003,  
170 which is higher than the results found by this study (52.0 Tg C year<sup>-1</sup>). This could be due to the linear  
171 relationship in the conversion process of crown density from 0.3 to 0.2 by Fang et al. (2007), but in this  
172 study the power functions (Eqs. (1) and (2)) relationship conversion were adopted. Zhang et al. (2021)  
173 did not use a unified standard for crown density (1977–1993), instead using data with crown density  
174 greater than or equal to 0.3, lowering the C pool results during the same period.

175 Results from the current study revealed that the latest forest biomass C pool (7975 Tg C, 2014–  
176 2018) was much larger than 4717 Tg C from 1977–1981, with an increase of 3258 Tg C (69.1%), and  
177 accordingly, the C sink averaged 88.0 Tg C year<sup>-1</sup>. Since the late 1970s, China has launched six key  
178 national ecological restoration projects, including the Three-North Shelter Forest Program which  
179 initiative aims to protect the country's environment, as well as to restore degraded ecosystems (Lu et al.,  
180 2018). Through large-scale afforestation and reforestation projects, China now has the largest planted  
181 forest area in the world (Guo et al., 2013; Lu et al., 2018), which contributed 71.2% of total forest area  
182 expansion in China from 1977 to 2018 (Table 2). On the one hand, with these ecological restoration  
183 projects, management practices including forest enclosure, tending, and reduction of timber harvesting,  
184 have promoted the growth of natural forests (Xu et al., 2017; Fang et al., 2018; Lu et al., 2018). As a  
185 result, the area and biomass C pool of natural forests has increased greatly from 1.08 × 10<sup>8</sup> ha and 4,468  
186 Tg C during 1977–1981 to 1.22 × 10<sup>8</sup> ha and 6,505 Tg C during 2014–2018, respectively. Moreover, the  
187 natural forest resource protection projects have prevented C loss from the vegetation and subsequently  
188 enhanced C stocks sinks, as well as allowing the area and biomass C pool of natural forests to increase  
189 (Xu et al., 2010; Fang et al., 2014a).



190 On the other hand, large-scale plantation projects since the 1970s (Guo et al., 2013) have resulted  
191 in extensive forests reaching maturity. From 1977 to 2018, the area of middle- and old-age forests, and  
192 biomass C density of young and middle-aged forests has increased. Meaning, a large area of immature  
193 forest has there converted to reach the next age level for each period. The increase in biomass C density  
194 is an important factor for the growth of biomass C pools (Fang et al., 2014a). It is worth noting that  
195 biomass C density of old-aged forests has gradually stabilised over the last 10 years (2009–2018) (Figure  
196 2). In addition to the death of trees due to natural disasters, the main reason for this decline may be the  
197 implementation of deforestation measures in old-aged forests. According to the ‘Forest Law of the  
198 Peoples Republic of China’, mature forests are subject to reforestation after selective controlled selective  
199 cutting, thinning, and clearing of trees (Zhao et al., 2021). Several studies (Nabuurs et al., 2013; Coulstion  
200 et al., 2015; Harel et al., 2021) have found that ageing forests have a slowing C accumulation rate and a  
201 reduced change of C accumulation. Therefore, selective clearing and reforestation are more profitable  
202 for ways of improving levels of forest C sequestration (Nabuurs et al., 2013; Zhao et al., 2021).

203 In compared to the previous 30 years from 1977–2008, total forest area, biomass C stock, and  
204 averaged biomass C density increased tremendously in the last 10 years from 2009–2018, especially for  
205 C stock and C density. The C sink was 154.8 Tg C year<sup>-1</sup> over the last 10 years, which was much higher  
206 than that of 63.3 Tg C year<sup>-1</sup> during the previous 30 years. With a slowing down growth rate of forest  
207 area (Figure 1, Table 1), the increase in C density by the ongoing afforestation projects and forest growth  
208 has been an important driving factor for the rapid increase in C pool over the last decade from 2009–  
209 2018 (Zhao et al., 2021). The huge C sinks brought about by large-area forests with increased biomass C  
210 density should be an important source of power for Chinas forest C sinks after 2010 (Yu et al., 2021).

211 Historical environmental changes may be another important reason for promoting Chinas forest  
212 growth over the last decade. Owing to the use of fossil fuels and other human activities over the past few  
213 decades, China has experienced continuous increases in atmospheric CO<sub>2</sub> concentration and significant  
214 warming (Wei et al., 2009; Piao et al., 2010; Tian et al., 2011). From 1977 to 2018, the average annual  
215 change (2.29 ppm) in CO<sub>2</sub> concentration in China over the last ten years (2009–2018) is greater than that  
216 for the previous 30 years (1977–2008) (1.68 ppm; Global Monitoring Laboratory,  
217 <https://www.gml.noaa.gov/>). Elevated CO<sub>2</sub> concentrations strengthen C fertilisation in trees (Beedlow et



218 al., 2004; Norby et al., 2005; Piao et al., 2009; Tian et al., 2011), which was one of the most important  
219 factors driving the long-term C sink in China (Pan et al., 2011). In addition, average annual temperatures  
220 for Chinese forest areas (11.3°C) over the last 10 years (2009–2018) were higher than those (10.8°C)  
221 from the previous 30 years (1977–2008; China Meteorological Data Service Center,  
222 <https://data.cma.cn/data/index.html>). The warming climate promotes biomass C accumulation both by  
223 accelerating photosynthesis and elongating growing seasons (Zhou et al., 2001; Piao et al., 2006; Fang  
224 et al., 2014b). This may also lead to an increase in the forest biomass C pool in China. In summary,  
225 expansion of Chinas forests (increasing area), forest growth (increasing C density), and environmental  
226 changes have contributed to the promotion of C sinks, particularly in recent decade (Fang et al., 2014a,  
227 b; Li et al., 2016; Zhao et al., 2019; Zhao et al., 2021).

228 The estimation involved in the study are presented with some uncertainties. In general, the national  
229 forest inventory data were assumed to have small errors of less than 5% (Fang et al., 2001). Survey  
230 accuracy of the forest area and timber volume was over 90% (National Forestry and Grasslands  
231 Administration, 2019). The method used to calculate biomass from surveyed stand volume data, and the  
232  $R^2$  of the BEF function (Eq. (3) and (4)) of the dominant tree species was higher than 0.80 (Table A1),  
233 suggesting our estimates of forest biomass were statistically reliable. Previous studies have shown  
234 estimate error of forest biomass at national scale using the BEF function are not likely to exceed 3%  
235 (Fang & Chen, 2001; Fang et al., 1996, 2002). Despite these uncertainties, the results of this study provide  
236 relatively high accuracy and a comprehensive assessment of the forest C budget.

## 237 **5 Conclusion**

238 In this study, we estimated forest biomass C storage and its changes in China over the past 40 years  
239 (1977–2018) and especially updated their estimates in the most recent decade (2009–2018), using the  
240 biomass expansion factor method and eight national forest inventories conducted every five years. We  
241 concluded that Chinese forest biomass C pool increased by 3258 Tg C with an annual C sink of 88.0 Tg  
242 C year<sup>-1</sup> from 1977 to 2018. The biomass C pool and C sink in the last 10 years (7525 Tg C and 154.8  
243 Tg C year<sup>-1</sup>, 2009–2018) were much higher than those of the previous 30 years (5447 Tg C and 63.3 Tg  
244 C year<sup>-1</sup>, 1977–2008), although the C sink strength displayed large variations in different periods.



245 Expansion of forest area, forest growth, and environmental changes were proposed as the main drivers  
246 of this significant C increase especially in the recent decade. Our study updates the previous estimates of  
247 Chinas forest C storage and its changes and provides an essential basis for policy -making for ecosystem  
248 services and the carbon neutrality target in China.

#### 249 **Author contribution**

250 JY Fang and C Yang designed the study and conducted the analysis, C Yang analysed the data and  
251 wrote the first draft, ZD Guo provided part of datasets, WJ Sun, JL Zhu, CJ J, YH Feng, SH Ma, Y Shi,  
252 and JY Fang revised the manuscript and gave final approval for publication.

#### 253 **Competing Interest**

254 The authors declare that they have no known competing financial interests or personal relationships  
255 that could have appeared to influence the work reported in this paper.

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372 **Appendix A**

373 **Table A1** BEF function parameters of the main forest types in China<sup>a</sup> (Guo *et al* 2010)

| Forest type   | Function parameter: BEF= $a+b/x$ |          |          |                       |          |
|---|----------------------------------|----------|----------|-----------------------|----------|
|   | <i>a</i>                         | <i>b</i> | <i>n</i> | <i>R</i> <sup>2</sup> | <i>P</i> |
| <i>Picea</i> , <i>Abies</i>                           | 0.5519                           | 48.861   | 24       | 0.7764                | <0.001   |
| <i>Cunninghamia lanceolata</i>                        | 0.4652                           | 19.141   | 90       | 0.9401                | <0.001   |
| <i>Cupress</i>  | 0.8893                           | 7.3965   | 19       | 0.8711                | <0.001   |
| <i>Larix</i>  | 0.6096                           | 33.806   | 34       | 0.8212                | <0.001   |
| <i>Pinus koraiensis</i>                               | 0.5723                           | 16.489   | 22       | 0.9326                | <0.001   |
| <i>P. armandii</i>                                    | 0.4581                           | 32.666   | 10       | 0.7769                | <0.001   |
| <i>P. massoniana</i> , <i>P. yunnanensis</i>          | 0.5034                           | 20.547   | 51       | 0.8676                | <0.001   |
| <i>P. sylvestris</i> var <i>mongolica</i>             | 1.112                            | 2.6951   | 15       | 0.8478                | <0.001   |
| <i>P.s tabuliformis</i>                               | 0.869                            | 9.1212   | 112      | 0.9063                | <0.001   |
| Other pines and coniferous forests                    | 0.5292                           | 25.087   | 18       | 0.8622                | <0.001   |
| <i>Tsuga</i> , <i>Cryptomeria</i> , <i>Keteleeria</i> | 0.3491                           | 39.816   | 30       | 0.7899                | <0.001   |
| Mixed conifer-deciduous forest                        | 0.8136                           | 18.466   | 10       | 0.9953                | <0.001   |
| <i>Betula</i>   | 1.0687                           | 10.237   | 9        | 0.7045                | <0.001   |
| <i>Casuarina</i>                                      | 0.7441                           | 3.2377   | 10       | 0.9549                | <0.001   |
| Deciduous oaks  | 1.1453                           | 8.547    | 12       | 0.9795                | <0.001   |
| <i>Eucalyptus</i>                                     | 0.8873                           | 4.5539   | 20       | 0.802                 | <0.001   |
| Lucidophyllous forests                                | 0.9292                           | 6.494    | 23       | 0.8259                | <0.001   |
| Mixed deciduous and <i>Sassafras</i>                  | 0.9788                           | 5.3764   | 32       | 0.9333                | <0.001   |
| Nonmerchantable woods                                 | 1.1783                           | 5.5585   | 17       | 0.9483                | <0.001   |
| <i>Populus</i>  | 0.4969                           | 26.973   | 13       | 0.9183                | <0.001   |
| Tropical forest                                       | 0.7975                           | 0.4204   | 18       | 0.8715                | <0.001   |

374 <sup>a</sup> where *a* and *b* are constants for a forest type and *x* (m<sup>3</sup> ha<sup>-1</sup>) is mean timber volume per unit area, the unit of BEF  
 375 is Mg m<sup>-3</sup>; *n* is number of samples.

376 **Reference**

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 380



381 **Table A2** Area, biomass C pool (CP), C density (CD) and C sink (CD) of different zonal forest types in  
 382 China

| Zonal forest types                      | Period           | Area<br>10 <sup>4</sup> ha | CP<br>Tg C | CD<br>Mg C ha <sup>-1</sup> | CS<br>Tg C year <sup>-1</sup> |
|---|------------------|----------------------------|------------|-----------------------------|-------------------------------|
| Cold-temperate coniferous forest        | 1977–1981        | 2174                       | 1463       | 67.3                        |                               |
|   | 1984–1988        | 2196                       | 1481       | 67.4                        | 2.6                           |
|   | 1989–1993        | 2350                       | 1683       | 71.6                        | 40.4                          |
|   | 1994–1998        | 2131                       | 1637       | 76.8                        | -9.3                          |
|   | 1999–2003        | 1826                       | 1263       | 69.2                        | -74.7                         |
|   | 2004–2008        | 1805                       | 1242       | 68.8                        | -4.3                          |
|   | 2009–2013        | 1803                       | 1287       | 71.4                        | 8.9                           |
|   | 2014–2018        | 1885                       | 1355       | 71.9                        | 13.8                          |
|   | <b>1977–2018</b> |                            |            |                             | <b>-2.9</b>                   |
| Temperate coniferous forest             | 1977–1981        | 837                        | 284        | 33.9                        |                               |
|   | 1984–1988        | 1055                       | 319        | 30.3                        | 5.0                           |
|   | 1989–1993        | 1114                       | 386        | 34.6                        | 13.3                          |
|   | 1994–1998        | 1025                       | 335        | 32.7                        | -10.2                         |
|   | 1999–2003        | 1144                       | 410        | 35.8                        | 15.0                          |
|   | 2004–2008        | 1573                       | 552        | 35.1                        | 28.4                          |
|   | 2009–2013        | 1707                       | 616        | 36.1                        | 12.9                          |
|   | 2014–2018        | 1773                       | 689        | 38.8                        | 14.5                          |
|   | <b>1977–2018</b> |                            |            |                             | <b>10.9</b>                   |
| Temperate deciduous broad-leaved forest | 1977–1981        | 2425                       | 870        | 35.9                        |                               |
|   | 1984–1988        | 3793                       | 1400       | 36.9                        | 75.7                          |
|   | 1989–1993        | 4129                       | 1539       | 37.3                        | 27.8                          |
|   | 1994–1998        | 3694                       | 1566       | 42.4                        | 5.4                           |
|   | 1999–2003        | 3824                       | 1626       | 42.5                        | 11.8                          |
|   | 2004–2008        | 3820                       | 1577       | 41.3                        | -9.7                          |
|   | 2009–2013        | 3838                       | 1687       | 44.0                        | 22.1                          |
|   | 2014–2018        | 3590                       | 1772       | 49.4                        | 17.0                          |
|   | <b>1977–2018</b> |                            |            |                             | <b>24.4</b>                   |
| Temperate/subtropical mixed forest      | 1977–1981        | 3697                       | 816        | 22.1                        |                               |
|   | 1984–1988        | 3459                       | 703        | 20.3                        | -16.2                         |
|   | 1989–1993        | 4158                       | 901        | 21.7                        | 39.7                          |
|   | 1994–1998        | 4239                       | 1016       | 24.0                        | 23.1                          |
|   | 1999–2003        | 4528                       | 1256       | 27.7                        | 47.9                          |
|   | 2004–2008        | 3855                       | 1156       | 30.0                        | -20.0                         |
|   | 2009–2013        | 3720                       | 1203       | 32.3                        | 9.4                           |
|   | 2014–2018        | 3948                       | 1413       | 35.8                        | 42.0                          |
|   | <b>1977–2018</b> |                            |            |                             | <b>16.1</b>                   |
| Evergreen broad-leaved forest           | 1977–1981        | 3217                       | 1284       | 39.9                        |                               |
|   | 1984–1988        | 2666                       | 982        | 36.8                        | -43.2                         |



|                  |      |      |      |             |
|------------------|------|------|------|-------------|
| 1989–1993        | 2221 | 893  | 40.2 | -17.8       |
| 1994–1998        | 2151 | 834  | 38.8 | -11.9       |
| 1999–2003        | 2956 | 1308 | 44.3 | 94.9        |
| 2004–2008        | 4506 | 1901 | 42.2 | 118.6       |
| 2009–2013        | 5282 | 2282 | 43.2 | 76.3        |
| 2014–2018        | 6213 | 2747 | 44.2 | 92.9        |
| <b>1977–2018</b> |      |      |      | <b>39.5</b> |

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