Revisiting and attributing the global controls on terrestrial 1 ecosystem functions of climate and plant traits at FLUXNET 2 sites withvia causal networks graphical models 3 4 Haiyang Shi<sup>1,2,4,56</sup>, Geping Luo<sup>1,2</sup>Luo<sup>2,3,54,6</sup>, Olaf Hellwich<sup>6</sup>Hellwich<sup>7</sup>, Alishir Kurban<sup>1,2</sup>Kurban<sup>2,3,54,6</sup>, Philippe De 5 Maeyer<sup>1,2,4</sup>Maeyer<sup>2,3,5,6</sup> and Tim Van de Voorde<sup>4,5</sup>Voorde<sup>5,6</sup> 6 7 <sup>41</sup> School of Earth Sciences and Engineering, Hohai University, Nanjing 211100, China. 8 <sup>2</sup>State Key Laboratory of Desert and Oasis Ecology, Xinjiang Institute of Ecology and Geography, Chinese 9 Academy of Sciences, Urumqi, Xinjiang, 830011, China. 10 <sup>23</sup>College of Resources and Environment, University of the Chinese Academy of Sciences, 19 (A) Yuquan 11 Road, Beijing, 100049, China. 12 <sup>34</sup>Research Centre for Ecology and Environment of Central Asia, Chinese Academy of Sciences, Urumqi, 13 China. 14 <sup>45</sup> Department of Geography, Ghent University, Ghent 9000, Belgium. 15 <sup>56</sup>Sino-Belgian Joint Laboratory of Geo-Information, Ghent, Belgium. 16 <sup>67</sup>Department of Computer Vision & Remote Sensing, Technische Universität Berlin, 10587 Berlin, Germany. 17 18 Correspondence to: Geping Luo (luogp@ms.xjb.ac.cn) and Olaf Hellwich (olaf.hellwich@tu-berlin.de)

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## 21 Abstract

- 22 Using statistical methods that do-not emphasizedirectly representing the systematic causality between variables
- 23 to attribute climate and plant traits to control ecosystem function may produce biased perceptions. We revisit
- 24 this issue using a <u>causal graphical model</u>, Bayesian network (BN), capable of quantifying causality by
- 25 <u>conditional probability tables</u>. Based on expert knowledge and climate, vegetation, and ecosystem function data
- 26 from the FLUXNET flux stations, we constructed a BN containing the causal relationship of 'climate-plant trait-
- ecosystem function'. Based on the sensitivity analysis function of the BN, we attributed the controlcontrols of
- 28 climate and plant traits to ecosystem function and compared the results with those based on Random forests and
- 29 correlation analysis. The main conclusions of this study include: BN can be used for the quantification of causal
- 30 relationships between complex ecosystems and elimatic and environmental systems, in response to elimate
- 31 <u>change</u> and enables the analysis of indirect effects among variables. The control of ecosystem
- 32 <u>function</u>Compared to BN, the feature importance difference between 'VPD and CSWI' and 'LAImax and Hc'
- 33 reported by elimate Random forests is higher and can be overestimated. With the causality relation between
- 34 <u>correlated</u> variables (especially mean temperature and mean vapor pressure deficit) may have been
- 35 underestimated previously, and constructed, BN-based sensitivity analysis can reduce the uncertainty in
- 36 <u>quantifying the importance of correlated variables. The understanding of</u> the mechanism of indirect effects of
- 37 climate variables on ecosystem function through plant traits should be emphasized in future studies. Further
- 38 inclusion of temporal information in BN holds promise for improving the analysis of lagged effects and
- 39 interactions and feedback effects between variablescan be deepened by the chain casuality quantification in
- 40 <u>BNs</u>.

## 41 **1 Introduction**

- 42 Terrestrial ecosystems provide a variety of important ecosystem functions for our society (Manning et al., 2018). 43 It is essential to understand the potential changes in ecosystem functions in the context of global climate change 44 (Grimm et al., 2013). The response of terrestrial ecosystem function to changes in climate change, plant traits, 45 and environmental conditions, and the corresponding mechanisms, are complex due to enormous spatial and 46 temporal variations across ecosystems, climate zones, and also space time scales (Diaz and Cabido, 1997; 47 Madani et al., 2018; Myers Smith et al., 2019). Given the enormous variations, on the global scale, these issues 48 have not been clarified well. 49 50 In the past decades, measurements of ecosystem functions are increasingly available to support studies of the
- 51 relations between ecosystem functions and climate and environmental systems. For example, eddy covariance
- 52 flux tower observations (Baldocchi, 2014) for carbon flux (i.e., net ecosystem exchange (NEE)) and water flux
- 53 (i.e., evapotranspiration (ET)) have been widely used to investigate changes in ecosystem functions and their
- 54 responses to climate change, vegetation condition changes, etc (Jung et al., 2020, 2010; Migliavacca et al., 2021;
- 55 Peaucelle et al., 2019). With the increase in such observations, various statistical analysis methods such as
- 56 emerging machine learning (Barnes et al., 2021; Migliavacca et al., 2021; Reichstein et al., 2019; Shi et al.,
- 57 2022b, a, 2020b; Tramontana et al., 2016) have been used to mine the hidden information on the effects of
- 58 climate change and its induced changes in vegetation, etc. on ecosystem function variables such as carbon and

59 water flux, which has not been understood in depth by process based models (e.g., biogeochemistry models 60 (Sakschewski et al., 2016)). For example, using Random forests (RF) and principal component analysis (PCA). 61 a recent study (Migliavacca et al., 2021) quantified the three main axes of terrestrial ecosystem function and 62 their drivers based on observations of carbon and water fluxes of FLUXNET (Pastorello et al., 2020) and 63 various climate and plant trait variables. Generally, data driven approaches have become increasingly important 64 recently in this area (Reichstein et al., 2019). 65 66 However, compared to the process based models, most of these data driven approaches lack representation of 67 the systematic causality and detailed processes in the relations between ecosystem function and elimate and 68 environments, despite the widely recognized complex causal interactions of ecosystems with climate and 69 environmental systems (Reichstein et al., 2014). Conventional methods such as multiple linear regression have 70 been questioned in attribution studies of the relationship between climate and the carbon cycle (Wang et al., 71 2022). For example, the use of multiple linear regression may underestimate the direct effect of soil moisture 72 possibly due to the covariance between variables (Wang et al., 2022). For machine learning techniques, although 73 current common algorithms such as RF (Migliavacca et al., 2021) can report the importance of features (IMP) to 74 measure their contributions to the prediction model. IMP based attribution to the target variable can also be 75 unreliable when we aim to explain systematic causality (Gregorutti et al., 2017). Therefore, it is commonly 76 important to recognize the difference between correlation and causality in these approaches and emphasize the 77 systematic causality in the systems and also detailed causal relations between features in a data driven approach. 78 79 Bayesian network (BN) is a causal model based on conditional probability representation (Friedman et al., 1997; 80 Pearl, 1985) that characterizes the transmission of cause and effect through conditional probabilities between 81 variables. Currently, BN has been used in modeling causal relationships in many fields and has demonstrated 82 advantages in causal interpretation, including in the fields such as hydrology and ecology (Chan et al., 2010; 83 Keshtkar et al., 2013; Milns et al., 2010; Pollino et al., 2007; Shi et al., 2021a, b; Trifonova et al., 2015). 84 However, BN has rarely been used in the study of attribution of changes in ecosystem function. Therefore, this 85 study used BN to attribute the controls of climate and plant traits on ecosystem function by quantifying the 86 causal relationships involved. The data used are from a previous study (Migliavacca et al., 2021) which 87 extracted ecosystem function, elimate, and plant trait variables for FLUXNET flux stations. The construction of 88 the causal structure of BN referred to the previous expert knowledge of this system (Reichstein et al., 2014). 89 Further, by comparing BN-based attribution analysis, linear correlation analysis, and RF-based IMP reported by 90 the previous study (Migliavaeca et al., 2021), we investigated the adding-values of using BN for causal analysis 91 and discussed its prospects in this paper. 92 **2** Methodology

# 93 **2.1 Data**

- 94 The used variables (Table 1) include the carbon and water fluxes of the FLUXNET flux tower sites and the
- 95 ecosystem function variables derived from them, and information on the corresponding climatic Ecosystem
- 96 <u>function is the capacity of natural processes and components to provide goods and services that satisfy human</u>

97 needs, either directly or indirectly (de Groot et al., 2002). Ecosystem functions include the physicochemical and 98 biological processes within the ecosystem to maintain terrestrial life. Terrestrial ecosystems have provided a 99 variety of important ecosystem functions for our society (Manning et al., 2018). Plant traits' role as important 100 determinants of ecosystem functions has been widely recognized (Chapin Iii et al., 2000), and various trait 101 syndromes can result in distinct broad differences in ecosystem functions (Reichstein et al., 2014). In the context 102 of global climate change, it is also essential to understand the potential changes in ecosystem functions (Grimm 103 et al., 2013). The response of terrestrial ecosystem function to changes in climate, plant traits, and the 104 corresponding mechanisms, are complex due to enormous spatial and temporal variations across ecosystems, 105 climate zones, and also space-time scales (Diaz and Cabido, 1997; Madani et al., 2018; Myers-Smith et al., 106 2019). Given the enormous variations, on the global scale, these issues have not been clarified well. 107 108 In the past decades, measurements of ecosystem functions are increasingly available to support studies of the 109 relations between ecosystem functions and climate variables. For example, eddy-covariance flux tower 110 observations (Baldocchi, 2014) for carbon flux (i.e., net ecosystem exchange (NEE)) and water flux (i.e., 111 evapotranspiration (ET)) have been widely used to investigate changes in ecosystem functions and their 112 responses to climate change, vegetation condition changes, etc (Jung et al., 2020, 2010; Migliavacca et al., 2021; 113 Peaucelle et al., 2019). With the increase in such observations, various statistical analysis methods such as 114 emerging machine learning (Barnes et al., 2021; Migliavacca et al., 2021; Reichstein et al., 2019; Shi et al., 115 2022b, a, 2020b; Tramontana et al., 2016) have been used to mine the hidden information on the effects of 116 climate change and its induced changes in vegetation, etc. on ecosystem function variables such as carbon and 117 water flux, which has not been understood in depth by process-based models (e.g., biogeochemistry models 118 (Sakschewski et al., 2016)). For example, using Random forests (RF) and principal component analysis (PCA), 119 a recent study (Migliavacca et al., 2021) quantified the three main axes of terrestrial ecosystem function and 120 their drivers based on observations of carbon and water fluxes of FLUXNET (Pastorello et al., 2020) and 121 various climate and plant trait variables. Generally, data-driven approaches have become increasingly important 122 recently in this area (Reichstein et al., 2019). 123 124 However, compared to the process-based models, most of these data-driven approaches lack representation of 125 the causality and detailed processes in the relations between ecosystem function and climate, despite the widely 126 recognized complex causal interactions of ecosystems with climate systems (Reichstein et al., 2014). 127 Conventional methods such as multiple linear regression have been questioned in attribution studies of the 128 relationship between climate and the carbon cycle (Wang et al., 2022). For example, the use of multiple linear 129 regression may underestimate the direct effect of soil moisture possibly due to the covariance between variables 130 (Wang et al., 2022). For machine learning techniques, current common algorithms such as RF (Migliavacca et 131 al., 2021) can report the importance of features (IMP) to measure their contributions to the prediction model. 132 However, IMP-based attribution to the target variable can also be unreliable if considerable confounders and 133 correlations between predictor variables exist (Strobl et al., 2008; Toloşi and Lengauer, 2011). The less relevant 134 predictors can replace the predictors (due to correlation) and thus receive undeserved high feature 135 importance (Strobl et al., 2008). Correlations between predictors can lead to biased feature-importance-based 136 findings. It is thus important to recognize the difference between correlation and causality in these approaches,

rep	resent detailed causal relations between features, rather than the unreliable feature importance rankings
gen	erated from correlated features.
Bay	vesian network (BN) is a causal graphical model based on conditional probability representation (Friedman
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201	5). However, BN has rarely been used in the study of the attribution of changes in ecosystem function.
The	erefore, this study used BN to attribute the controls of climate and plant traits to ecosystem function by
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whi	ich extracted ecosystem function, climate, and plant trait variables for FLUXNET flux stations. The
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<u>et a</u>	1., 2014). Further, by comparing BN-based attribution analysis, linear correlation analysis, and RF-based
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for	causal analysis and discussed its prospects in this paper.
1 110	e used variables (Table 1) include the carbon and water fluxes of the FLUXNET flux tower sites and the
eco	system function variables derived from them, and information on the corresponding climate variables as we
as p	
a)	plant traits:
	plant traits: Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration
	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem
b)	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem
0)	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem (NEPmax), Gross Primary Productivity at light saturation (GPPsat), Mean basal ecosystem respiration at
0)	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem (NEPmax), Gross Primary Productivity at light saturation (GPPsat), Mean basal ecosystem respiration at reference temperature of 15 °C (Rb) <del>, ), and apparent carbon-use efficiency (aCUE).</del>
0)	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem (NEPmax), Gross Primary Productivity at light saturation (GPPsat), Mean basal ecosystem respiration at reference temperature of 15 °C (Rb <del>).</del> ), and apparent carbon-use efficiency (aCUE). Plant trait variables: ecosystem scale foliar nitrogen concentration (Nmass), Maximum Leaf Area Index
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c)	Ecosystem function variables: underlying Water Use Efficiency (uWUE), maximum evapotranspiration (ETmax), maximum surface conductance (GSmax), maximum net CO <sub>2</sub> uptake of the ecosystem (NEPmax), Gross Primary Productivity at light saturation (GPPsat), Mean basal ecosystem respiration at reference temperature of 15 °C (Rb)-), and apparent carbon-use efficiency (aCUE). Plant trait variables: ecosystem scale foliar nitrogen concentration (Nmass), Maximum Leaf Area Index (LAImax), Maximum vegetation height (Hc), Aboveground Biomass (AGB)-). Of the total 202 sites (Migliavacca and Musavi, 2021), 101 sites have Nmass data, 153 sites have LAImax data, and 199 sites
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173 Table 1. The variables used and the discretization of their values in BN.

Variable node	Definition and	Туре	Approach (Migliavacca et al.,	Discretization in
	units		2021)Approach (Migliavacca et al.,	BN (equal quantile
			2021)	thresholds <del> for</del>
				classifications:
				<u>0%, 33.33%,</u>
				66.67%, and 100%
				percentile values)
uWUE	underlying Water	Ecosystem	calculated from GPP, VPD, ETIt	0. <u>0068</u> , 2. <u>551</u> ,
	Use Efficiency	function	was calculated from GPP, VPD, and ET (Zhou et al., 2014). The median of the	3. <u>18,</u> 5 <del>, 5.5</del> .332
	[gC kPa^0.5		half-hourly retained uWUE values was	
	kgH <sub>2</sub> O <sup>-1</sup> ]		used for each site. It was further filtered by the following conditions: (i)	
			SWin > 200 W m <sup><math>-2</math></sup> ; (ii) no precipitation	
			event for the last 24 hours, when precipitation data are available; and	
			(iii) during the growing season: daily	
			<u>GPP &gt; 30% of its seasonal amplitude.</u>	
ETmax	maximum	Ecosystem	ETmax was computed as the 95th	0. <del>05<u>059</u>, 0.<u>15</u><u>17</u>,</del>
	evapotranspiration	function	percentile of ET in the growing season. It was also filtered by the same filtering	0. <del>30</del> 23, 0.45 <u>423</u>
	in the growing		applied to the uWUE calculation.	
	season [mm]			
GSmax	maximum surface	Ecosystem	<u>GSmax was</u> computed by inverting the	0 <u>.0013</u> , 0. <del>01</del> <u>0077</u> ,
	conductance [m s <sup>-</sup>	function	Penman-Monteith equation after calculating the aerodynamic	0. <del>02<u>0123</u>,</del>
	1]		conductance. The 90th percentile of the	0. <del>06<u>0566</u></del>
			half-hourly GS of each site was calculated and used as the GSmax of	
			each site.	
NEPmax	maximum net	Ecosystem	NEPmax was computed as the 90th	<del>0<u>1.953</u>, 15<del>, 30,</del></del>
	CO2 uptake of the	function	percentile of the half-hourly net	4 <u>5.3, 24.4, 42.82</u>
	ecosystem [umol		ecosystem production in the growing season (when daily GPP is $> 30\%$ of	<del>13<u>.3, 24.4, 42.02</u></del>
	$CO_2 \text{ m}^{-2} \text{ s}^{-1}$ ]		the GPP amplitude).	
GPPsat	Gross Primary	Ecosystem	<u>GPPsat was</u> computed as the 90th	<del>0, 15, 30, 50</del> 3.042
	Productivity at	function	percentile estimated from half-hourly data by fitting the hyperbolic light	17.49, 27.74, 47.6
	light saturation		response curves The 90th percentile	
	[umol CO <sub>2</sub> m <sup>-2</sup> s <sup>-</sup>		from the GPPsat estimates of each site was extracted.	
	1]		was extracted.	
Rb	Mean basal	Ecosystem	<u>Rb was</u> derived from night-time NEE	0 <u>.144</u> , 2 <del>, 4, <u>.07,</u></del>
	ecosystem	function	measurements. For each site, the mean of the daily Rb value was computed.	<u>3.</u> 12 <u>, 10.67</u>
	respiration at a		st are dury ite suite was compared.	
	reference			
	temperature of			
	15 °C [umol CO <sub>2</sub>			
	m <sup>-2</sup> s <sup>-1</sup> ]			
NmassaCUE	ecosystem scale	Plant	computed as the community	<u>-1.19, 0.<del>5, 1.25,</del></u>
	foliar nitrogen	trait <u>Ecosystem</u>	weighted average of foliar N% of	<del>2.<u>4,</u>0,4.5</del> .74,1
	concentration	function	the major species at the site	

	<del>[gN 100 g</del> -		sampled at the peak of the growing	[
	20 0			
	<sup>+</sup> ]apparent		season or gathered from the	
	<u>carbon-use</u>		literature (Musavi et al., 2016,	
	efficiency		<del>2015; Fleischer et al., 2015;</del>	
			Flechard et al., 2020)aCUE was	
			calculated by aCUE = 1- (Rb/GPP) and	
			the median value of daily aCUE is	
			used.	
<u>Nmass</u> LAImax	Maximum Leaf	Plant trait	collected from the literature	<del>0, 3, 6, 13<u>0.65,</u></del>
	Area Index [m <sup>2</sup>		(Migliavacca et al., 2011; Flechard	<u>1.15, 1.76, 4.44</u>
	m <sup>-2</sup> ]ecosystem		et al., 2020), the FLUXNET	
	scale foliar		Biological Ancillary Data	
	<u>nitrogen</u>		Management (BADM) product,	
	concentration [gN		and/or site principal	
	<u>100 g<sup>-1</sup>]</u>		investigatorsNmass was computed as	
			the community-weighted average of	
			foliar N% of the major species at the	
			site sampled at the peak of the growing	
			season or gathered from the literature	
			(Musavi et al., 2016, 2015; Fleischer et	
			al., 2015; Flechard et al., 2020).	
<u>LAImax</u> He	Maximum	Plant trait	collected from the literature	0 <del>, <u>.17, 2.27, 4.</u>5,</del>
	vegetation		(Migliavacca et al., 2011; Flechard	<del>20, 80<u>12.9</u></del>
	height [Leaf Area		et al., 2020), the BADM product,	
	<u>Index [m<sup>2</sup> m<sup>-2</sup>]</u>		and/or site principal	
			investigatorsLAImax was collected	
			from the literature (Migliavacca et al.,	
			2011; Flechard et al., 2020), the	
			FLUXNET Biological Ancillary Data	
			Management (BADM) product, and/or	
			site principal investigators.	
AGBHc	Aboveground	Plant trait	extracted from the satellite based	<del>0, 50, 150,</del>
	Biomass derived		GlobBiomass dataset (Santoro et	<del>350</del> 0.04, 1.7, 16.0,
	from the		al., 2021)Hc was collected from the	<u>80.1</u>
	Globbiomass		literature (Migliavacca et al., 2011;	
			Flechard et al., 2020), the BADM	
	project [t DM			1
			product, and/or site principal	
	project [t DM ha <sup>-1</sup> ]Maximum vegetation height		product, and/or site principal investigators.	

SWin	Mean incoming	Climate	SWin was from FLUXNET data.	<del>50, 125, 200,</del>
	shortwave			<del>275</del> 54.43, 134.18,
	radiation [W m <sup>-2</sup> ]			182.44, 266.04
Tair	Mean temperature	Climate	Tair was from FLUXNET data.	- <u>12, 5, 15, 30-</u>
	[degree C]			10.45, 6.62, 14.73,
				<u>28.1</u>
VPD	Mean Vapor	Climate	VPD was from FLUXNET data.	<del>0, 4, 8, 27<u>0.62,</u></del>
	Pressure Deficit			3.38, 5.76, 26.08
	[hPa]			
Р	Mean annual	Climate	<u>P was</u> from FLUXNET data.	<del>0, 40, 80,</del>
	precipitation			<del>260</del> <u>5.51, 45.28,</u>
	[cm/year]			<u>79.29, 256.61</u>
CSWI	cumulative soil	Climate-	computed as a measure of water	<del>-100, 20, 0, 5<u>-</u></del>
	water index	related soil	availability (Nelson et al.,	93.49, -1.24, 2.01,
		water	2018)CSWI was computed as a	<u>4.47</u>
		availability	measure of water availability (Nelson	
			<u>et al., 2018).</u>	

# 175 **2.2 BN for analyzing causal relations**

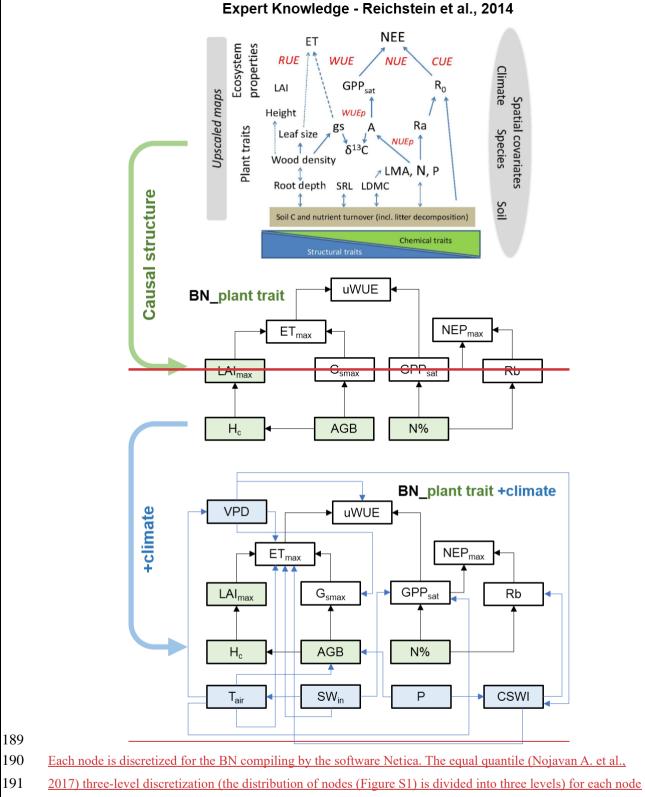
# 176 **2.2.1 BN structures**

Based on expert knowledge (Reichstein et al., 2014)(Reichstein et al., 2014), we constructed the structure of BN containing the causal relationships between plant traits and ecosystem function variables: 'BN\_plant\_trait'. The causal links between the variables were referred to the relationship diagram in the upper part of Figure 1.
Further, we added the climate variables and the corresponding causal relationships, expanding 'BN\_plant\_trait' to 'BN\_plant\_trait+\_climate', which further incorporates the climate variables and their impacts on the system(Figure 1).
Each node is discretized for the BN compiling by the software Netica, while the selection of the thresholds for

185 classifications (Table 1) is based on the distribution of the values of each node (Figure 2) and also the meanings

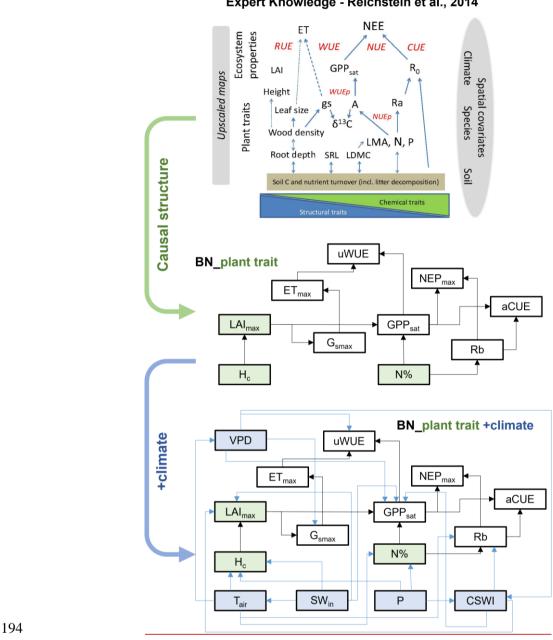
186 of the thresholds. In this step, the three level discretization (the distribution of a node is divided into three

187 levels) for each node is applied given the limitation of the amount of training data.



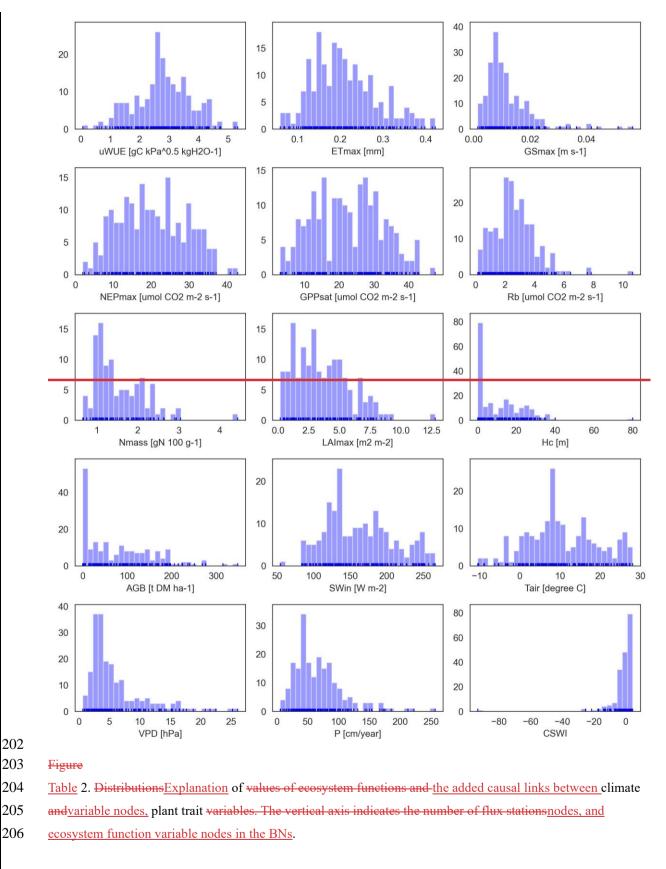
192 is applied by the discretization thresholds of 0%, 33.33%,66.67%, and 100% percentile values of the data

193 distribution (Table 1) given the limitation of the amount of training data.



Expert Knowledge - Reichstein et al., 2014

- 195 Figure 1. The structure of two Bayesian networks (BNs) for attribution of variations in ecosystem functions.
- 196 BN pure in the lower left part assumes that all variables of plant traits (box in slight green) and climate (box in
- 197 slight blue) directly affect the ecosystem function variables (box in white).. 'BN plant trait' in the median part
- 198 incorporated the causal effects of plant traits (box in slight green) on ecosystem functions (box in white) from
- 199 expert knowledge as the relation diagram on the upper part (Reichstein et al., 2014).
- 200 'BN plant trait+(Reichstein et al., 2014). 'BN plant trait climate' in the lower part further incorporated the
- 201 causal impacts of climate variables (box in light blue).





Casual links	Explanation	References

nedenedenedenedeYPDiwUEuWUE-GPP-VPD*JET(Zhou et al., 2014)YPDSomaxstomatal and surface conductance declines under an increase in VPD(Grossiord et al., 2020). Wever et al., 2002)YPDPPsatt[aff and canopy photosynthetic rates decline when atmospheric VPD increases due to stomatal closure(Yuan et al., 2019). Konings et al., 2017)TairVPDhigher air temperature corresponds to higher attracted water vapor pressure and can drive an increase in VPD(Wuen et al., 2019). Yuan et al., 2010). Yuan et al., 2009). Yuan et al., 2004). Yuan et al., 2003. Brown et al., 2004). Yuan et al., 2003. Brown et al., 2004). Yuan et al., 2003. Brown et al., 2004. Yuan et al., 2003. Brown et al., 2004. Yuan et al., 2005. Yuan et al., 2005. Yuan et al., 2005. Yuan et al., 2005. Yuan et al., 2007.SWinAlamaxSolar radiation affects vegetation conditions photoxynthesis and the water cycle. Yuan et al., 2015. Yuan et al., 2005. Yuan et al., 2007.SWinHe.Solar radiation affects vegetation conditions photoxynthesis and the water cycle. Yuan et al., 2007.SWinHe.Solar radiation affects ecosystem productivity and phant growth Yuan et al., 2007.SWinHe.<	Parent	Child		
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Image: Instrument of the second sec	VPD	<u>uWUE</u>	$\underline{uWUE} = \underline{GPP} \cdot \underline{VPD}^{0.5} / \underline{ET}$	<u>(Zhou et al., 2014)</u>
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dependence of ecosystem respiration Johnson, 2005; Wen et al., 2006)	<u>CSWI</u>	LAImax	soil moisture affects vegetation conditions	<u>(Patanè, 2011)</u>
	<u>CSWI</u>	<u>Rb</u>	soil moisture affects the temperature	(Xu et al., 2004; Flanagan and
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	<u>CSWI</u>	<u>GPPsat</u>	soil moisture can reduce GPP through	<u>(Green et al., 2019)</u>
ecosystem water stress			ecosystem water stress	

209 2.2.2 BN evaluation and node sensitivity analysis 210 Based on the Bayesian network (BN), the joint impacts of multiple variables and their causal relations are 211 analyzed. A BN can be represented by nodes X1, X2, X3 to Xn and the joint distribution (Pearl, 1985)(Pearl, 212 1985):  $Pa(X) = Pa(X_1, X_2, ..., X_n) = \prod_{i=1}^{n} Pa(X_i | pa(X_i))$ 213 (1)214 where pa(X<sub>i</sub>) is the probability of the parent node X<sub>i</sub>. Expectation-maximization (Moon, 1996) is used to address 215 the data with missing values and then compile the BN. 216 217 We used k-fold cross-validation to verify the reliability of the BN. The k-fold approach has been widely used in 218 previous studies for the validation of BNs (Marcot, 2012). In this study, k is set as 10 as commonly used 219 (Marcot and Hanea, 2021). We choose ETmax, GPPsat, and NEPmax for cross-validation of accuracy, and the 220 predicted status (status with the highest probability bar value) of the nodes will be compared with the actual 221 status and the classification accuracy will be calculated. 222 223 Sensitivity analysis is used for the evaluation of the strength of the causal relations between nodes based on 224 mutual information (MI). MI is calculated as the entropy reduction of the child node resulting from changes 225 found at the parent node (Shi et al., 2020a):  $MI = H(Q)-H(Q|F) = \sum_{q} \sum_{f} P(q, f) \log_2 \left( \frac{P(q, f)}{P(q)P(f)} \right)$ 226 (2)227 where H represents the entropy, Q represents the target node, F represents the set of other nodes and q and f 228 represent the status of Q and F. In this study, we assessed the sensitivity of ecosystem function variables to 229 climate and plant trait variables. 230 2.2.3 Comparing different approaches used for attribution analysis 231 Further, to clarify the adding-values of considering causality in the attribution analysis of controls on ecosystem 232 functions, the results of the BN-based sensitivity analysis (BN sens) were compared with the other two 233 approaches. They are the results of the absolute values of additional linear correlation analysis (linear corr) in 234 this study and the findings from the ref. (Migliavacca et al., 2021) using RF feature importance (RF imp). 235 BN sens and linear corr directly measure the effects of plant traits and climate variables on ecosystem function 236 variables, while RF imp measures their effects on the three principal components (PC1, PC2, and PC3) of 237 ecosystem function variables, which were reported as the three major axes of ecosystem function by the ref. 238 (Migliavacca et al., 2021). It was obtained from principal component analysis of 12 ecosystem function 239 variables which included the six variables uWUE, ETmax, GSmax, NEPmax, GPPsat, and Rb used in the 240 methods BN sens and linear corr. The first axis (PC1) explains 39.3% of the variance and is dominated by 241 maximum ecosystem productivity properties, as indicated by the loadings of GPPsat and NEPmax, and 242 maximum evapotranspiration (ETmax). The second axis (PC2) explains 21.4% of the variance and refers to 243 water-use strategies as shown by the loadings of water-use efficiency metrics, evaporative fraction, and GSmax. 244 The third axis (PC3) explains 11.1% of the variance and includes key attributes that reflect the carbon-use 245 efficiency of ecosystems. PC3 is dominated by apparent carbon-use efficiency, basal ecosystem respiration (Rb), 246 and the amplitude of evaporative fraction (Migliavacca et al., 2021). 247

248 249 Sensitivity analysis is used for the evaluation of the strength of the eausal relations between nodes based on 250 mutual information (MI).-MI is calculated as the entropy reduction of the child node resulting from changes 251 found at the parent node (Shi et al., 2020a);  $MI = H(Q)-H(Q|F) = \sum_{q} \sum_{f} P(q, f) \log_2 \left( \frac{P(q, f)}{P(q)P(f)} \right)$ 252 (2)253 where H represents the entropy, O represents the target node, F represents the set of other nodes and q and f 254 represent the status of O and F. 255 256 In this study, we assessed the sensitivity of ecosystem function variables to climate and plant trait variables. 257 Further, to clarify the adding values of considering causality in the attribution analysis of controls on ecosystem 258 functions, the results of the BN based sensitivity analysis were compared with the results of additional linear 259 correlation analysis and the previous study using RF (Migliavacca et al., 2021) without considering the causality 260 by comparing the ranking of MI, IMP (the feature importance metric of RF), and Pearson correlation 261 coefficients (the metric of linear correlation analysis) of climate and plant trait variables and their differences in 262 the results of the three methods. Although six ecosystem function variables were directly used in this study, the 263 target variables of the RF-based approach were the first three principal components (PC): PC1, PC2, and PC3 264 (Migliavacca et al., 2021) of the 12 ecosystem variables (including the six variables selected in this study), the 265 connotations of the target variables were relatively consistent between them.

266 3 Results

### 267 **3.1 Correlation analysis**

268 Linear correlation analysis of the variables (Figure  $\frac{32}{2}$ ) showed significant (P < 0.05) linear correlations between

the ecosystem function variables and some of the climate and plant trait variables. SWin<del>, and</del> VPD<del>, and</del> showed

270 negative correlations with these ecosystem function variables. <u>LAImax/ Hc showed significant positive</u>

271 relationships with most of the ecosystem function variables and significant negative relationships with SWin and

272 <u>VPD. Nmass only showed a positive relationship with ETmax.</u> In addition, the majority of the ecosystem

function variables showed significant (P < 0.05) positive correlations with each other.

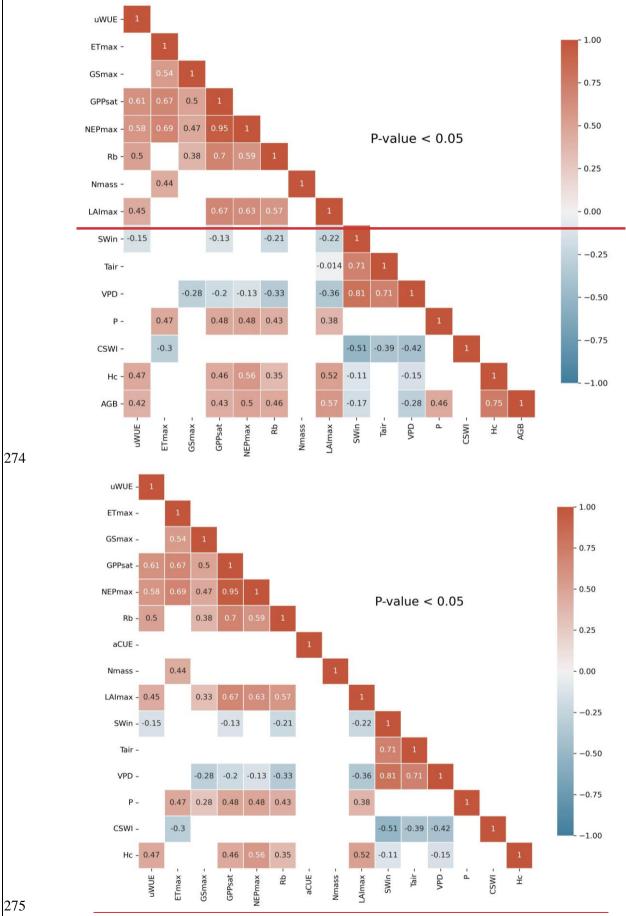
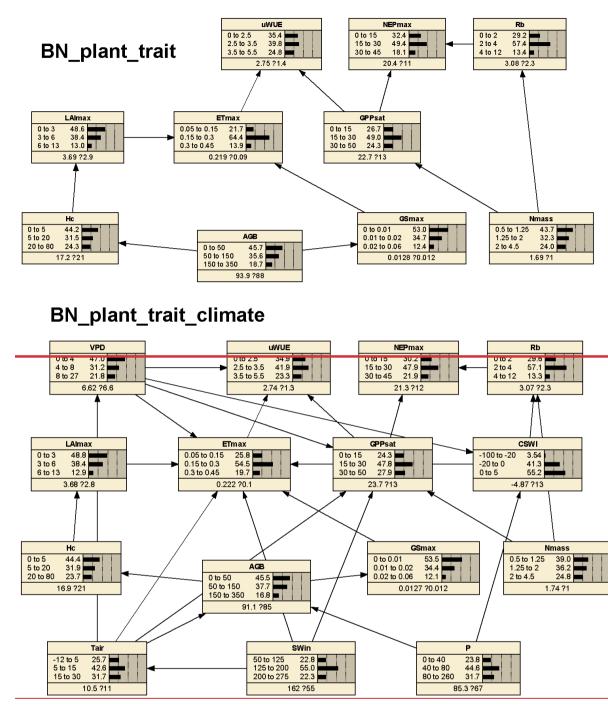


Figure <u>32</u>. Correlation coefficient matrix of ecosystem-<u>service</u> functions and climate and plant trait variables for FLUXNET sites. Only correlation coefficients with p-values less than 0.05 level of significance <u>areis</u> shown.

## 278 **3.2 BN-based analysis**

- 279 We compiled two different BNs (i.e., BN\_plant\_trait and BN\_plant\_trait\_climate) (Figure 43) and found that the
- 280 probability distributions of the values of the common nodes (ecosystem function and plant trait variable nodes)
  281 differed little between the two BNs. This indicates that the compilation was successful and that the inclusion of
- 281 differed little between the two BNs. This indicates that the compilation was successful and that the inclusion of 282 climate variables in BN plant trait climate did not alter the fit of the local networks of ecosystem function and
- 283 plant trait variables of BN plant trait.a little (e.g., in the probability distribution of LAImax, Hc, and Nmass)
- 284 between the two BNs. Compared to BN plant trait, in BN plant trait climate, the climate variables of sites
- with missing plant trait data forced the changes in the probability distributions of LAImax, Hc, and Nmass. In
- the EM algorithm, for sites with missing plant trait data, existing relationships (obtained from observations from
- 287 <u>other sites) between plant trait variables and climate variables are used in the data interpolation of plant trait</u>
- 288 variables. In BN\_plant\_trait\_climate, the added linkages of climate variables to plant trait variables resulted in
- 289 <u>higher probability values of the low-value status of the plant trait variables.</u>



292 <u>The 10-fold cross-validation of the nodes ETmax, GPPsat, and NEPmax showed relatively high accuracy. The</u>

293 classification accuracy (Table S1) of the status of ETmax was 60.9%, the classification accuracy of the status of

294 NEPmax was 84.2% and the classification accuracy of the status of GPPsat was 75.2%.

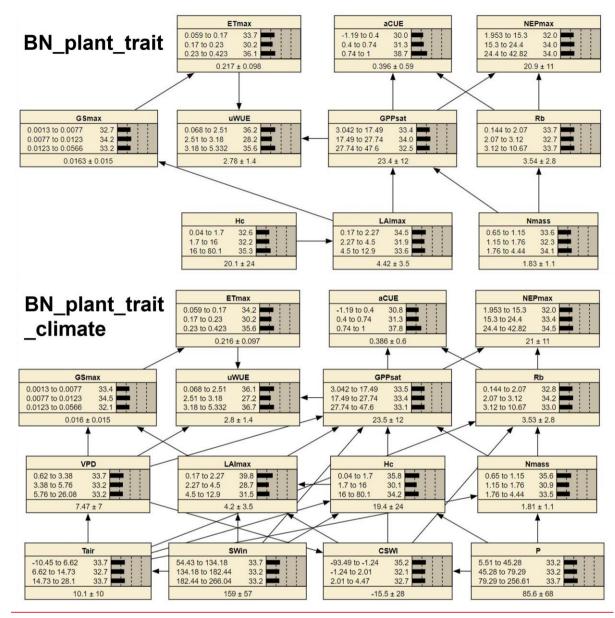


Figure 4.3. The compiled two BNs ('BN\_plant\_trait' and 'BN\_plant\_trait\_climate'). The bars of each node represent its probability distribution. At the bottom part of each node, the left and right side values of the '?±' are the mean and standard deviation of the distribution, respectively.

300

305

We performed sensitivity analyses (Figure 54) on the ecosystem function variables in both BNs to assess their sensitivity to various climate and plant trait variables. We also calculated the difference in sensitivity MI between the two BNs (Figure 54) to compare the change in sensitivity of ecosystem function to each variable after adding further climate variables to the plant trait variables only.

306 The sensitivity of different ecosystem function variables to plant traits and climate variables was highly variable

307 in both BNs-(except for the similar pattern between GPPsat and NEPmax)... The magnitude of sensitivity of

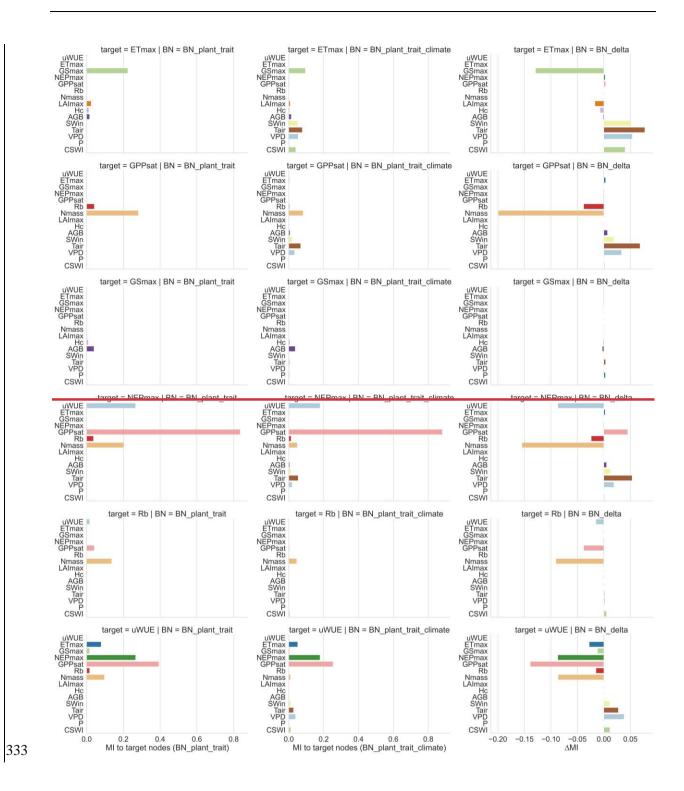
308 ecosystem functional function nodes to plant traits and climate variables was related to whether these plant traits

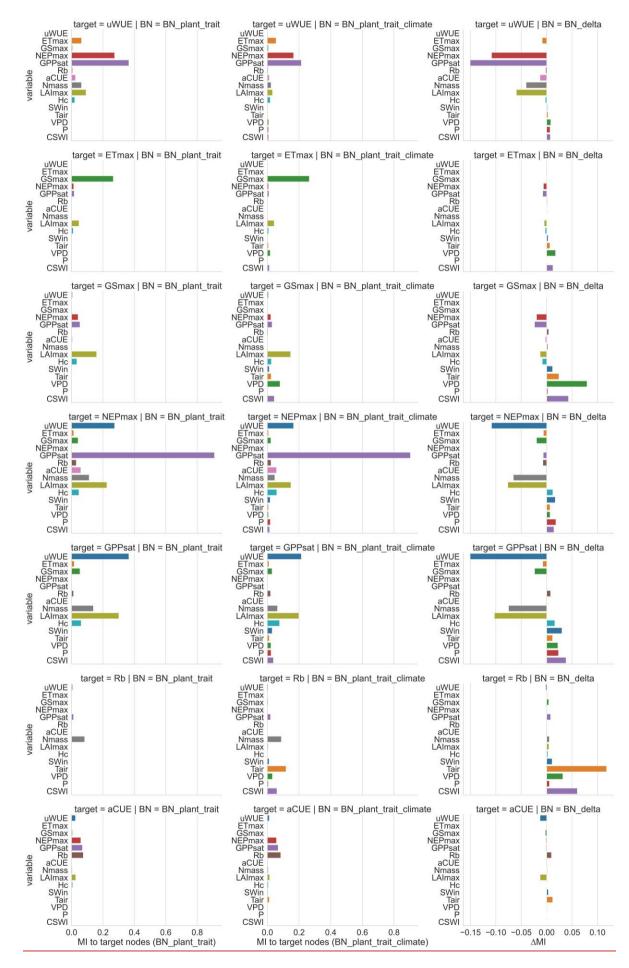
309 and climate variables were set as their parent nodes. In BN\_plant\_trait, for the carbon fluxes GPPsat and

310 NEPmax, Nmass, and LAImax had a higher sensitivity due to Nmass and LAI being set as their parent

- 311 <u>nodenodes</u>. For the water flux ETmax, it does not have high sensitivity to plant trait variables such as LAImax,
- 312 He, and AGBHc, although these plant trait variables are set as the parent nodes of ETmax. This indicates the
- 313 difference in the strength of the control effects of plant traits on carbon and water fluxes.
- 314

315 In the sensitivity analysis of BN plant trait climate, the sensitivity patterns of the ecosystem function variables 316 changed as a result of the inclusion of climate variables and the change in causality they introduced. The 317 sensitivity of the ecosystem function variables (except GSmax and Rb) to climate variables was significantly 318 increased (especially for Tair-and, VPD). Their sensitivity to plant trait variables (e.g., Nmass and LAImax) 319 decreased. Among the controls for ETmax and uWUE, climate variables showed a role beyond plant traits. 320 while among the controls for GPPsat and NEPmax, the climate variable Tair also showed a significant role at a 321 similar level to, and CSWI). Nmass. The control of plant traits on ecosystem function in BN plant trait is also 322 partially transformed into an indirect effect of climate variables by first controlling plant trait variables and then 323 controlling ecosystem function. For example, in BN plant trait climate, for ETmaxGPPsat, a decrease in the 324 sensitivity of ETmaxGPPsat to LAImax and an increase in the sensitivity to Tair was observed after the 325 loopcausal chain of Tair controllinginfluencing Hc, LAImax, and then ETmaxGPPsat was set. This can be 326 explained by the fact that higher temperatures promote vegetation growth and thus may increase LAImax, which 327 then indirectly contributes toalters the increase in ETmax.probability distribution of the GPPsat node. In 328 previous studies based on statistical methods that did not consider the systematic chain causality, this indirect 329 control on ETmaxGPPsat from Tair may have been included in the contribution of LAImax to ETmaxGPPsat. 330 Similarly, a chain causality of P by first affecting Nmass and then indirectly GPPsat was also found. However, 331 the effect of P by first affecting Hc, LAImax, and then indirectly affecting ETmax and GSmax appears to be not 332 large.





- Figure <u>54</u>. Sensitivity of ecosystem function variables to other variables in different networks based on mutual
- information (MI). The left column is the sensitivity analysis of BN\_plant\_trait, the middle column is the
- 337 sensitivity analysis of BN\_plant\_trait\_climate, and the right column is the difference between the reported
- 338 sensitivity of BN\_plant\_trait\_climate and the sensitivity of BN\_plant\_trait. For BN\_plant\_trait, the MI values of
- climate variables to ecosystem function variables are all 0 because they do not contain climate variables. For
- 340 each ecosystem function in these two BNs, its sensitivity to its child node is not shown (set as 0) because child
- 341 nodes are not considered causal variables and thus are not evaluated in the attribution.
- 342

# 343 3.3 Comparing results from RF-based, BN-based analysis, and correlation analysis

- 344 <u>All three methods show the importance of the plant trait variables in explaining the variation of various</u>
- 345 <u>ecosystem function variables (Figure 5). LAImax was the most important of the three methods in explaining the</u>
- 346 <u>variation of maximum ecosystem productivity properties (corresponding to PC1). In contrast to the results of the</u>
- 347 <u>other two methods, in linear\_corr, SWin and VPD were the least important, while P was more important.</u>
- 348 <u>Comparing RF\_imp and BN\_sens, the overall pattern of importance is similar, but there are differences. For</u>
- 349 water-use strategies (corresponding to PC2), Hc is ranked first and LAI last in RF imp, but in BN sens, LAI is
- 350 slightly more important than Hc. In linear corr, Hc and LAI are of similar importance. For PC3, VPD ranks first
- and is more important than Tair in RF imp. But in BN sens, Tair is more important than VPD. Among the three
- 352 moisture-related climate variables (i.e., VPD, P, and CSWI), CSWI appears to be the least important in RF\_imp
- 353 <u>but is comparable to VPD in BN\_sens.</u>
- 354
- 355 <u>Given the limitations of RF\_imp in responding to the correlated variables (Strobl et al., 2008), the difference</u>
- 356 <u>between the significance of VPD and CSWI reported by RF\_imp may be overestimated. For the ecosystem</u>

357 <u>functions related to water-use strategies, the difference between LAImax and Hc reported by BN\_sens is also</u>

358 <u>much smaller than the difference reported by RF\_imp. It implied that, with the causality relation between</u>

- 359 correlated variables constructed, BN\_sens reduced the uncertainty in quantifying the importance of correlated
- 360 <u>variables.</u>

	Methods	Nmass	LAImax	Hc	SWin	Tair	VPD	Р	CSWI
PC1	RF_imp	10.80%	16.60%	14.50%	7.60%	9.10%	11.70%	6.70%	4.00%
PC2	RF_imp	5.10%	4.50%	14.90%	10.70%	11.20%	7.40%	9.00%	8.30%
PC3	RF_imp	7.00%	2.80%	5.40%	9.30%	8.00%	15.40%	6.50%	4.90%
GPPsat	BN_sens	0.0635	0.1980	0.0766	0.0299	0.0116	0.0221	0.0232	0.0380
NEPmax	BN_sens	0.0464	0.1482	0.0588	0.0168	0.0064	0.0065	0.0181	0.0142
ETmax	BN_sens	0.0006	0.0424	0.0076	0.0028	0.0063	0.0174	0.0006	0.0122
uWUE	BN_sens	0.0228	0.0321	0.0174	0.0012	0.0023	0.0080	0.0066	0.0072
GSmax	BN_sens	0.0022	0.1464	0.0246	0.0115	0.0239	0.0793	0.0019	0.0429
Rb	BN_sens	0.0880	0.0043	0.0021	0.0106	0.1177	0.0317	0.0053	0.0602
aCUE	BN_sens	0.0049	0.0138	0.0056	0.0033	0.0117	0.0009	0.0004	0.0007
GPPsat	linear_corr		0.67	0.46	0.13		0.20	0.48	
NEPmax	linear_corr		0.63	0.56			0.13	0.48	
ETmax	linear_corr	0. <mark>44</mark>						0.47	0.30
uWUE	linear_corr		0.45	0.47	0.15				
GSmax	linear_corr						0.28		
Rb	linear_corr		0.57	0.35	0.21		0.33	0.43	
aCUE	linear_corr				~			~	

362 Figure 5. Comparisons of relationships of ecosystem functional variables to plant traits and climate variables in 363 different analyses. Method RF imp is Random forest variable importance (Migliavacca et al., 2021) (see 364

Methodology section). Method linear corr is Linear correlation analysis with the absolute values of Pearson

365 correlation coefficients (see Methodology section). Method BN sens is a BN-based sensitivity analysis with

366 sensitivity values MI reported. To compare the differences between cause based and non-cause based attribution 367 or contribution analyses, we compared the importance ranking of variables based on the RF based IMP in the

368 study of Migliavacca et al., the absolute values of the correlation coefficients from the correlation analysis in

369 this study, and the values of MI from the BN based sensitivity analysis.

370

371 Since plant traits such as LAImax, He, and AGB were not set as parent nodes of carbon fluxes such as NEPmax 372 and GPPsat in BN in this study, the effects of The values in each method group are in red for high values and in 373 blue for low values.

374 LAImax, Hc, and AGB on carbon flux related variables in ecosystem function were weaker in the BN based

375 sensitivity analysis than in the RF based and correlation analyses. However, AGB did not show a significant

376 linear correlation with GSmax in the correlation analysis, suggesting that its control effect on GSmax may be

377 nonlinear but detected by both RF and BN based attribution analyses. Of the meteorological variables, Tair 378 showed stronger control over ecosystem function variables in the BN based attribution (compared to other

379 climate and plant trait variables), implying that the RF based imputation of IMP may have underestimated the

380 role of Tair.

	Methods	Nmass	LAImax	Hc	AGB	SWin	Tair	VPD	Р	CSWI
PC1	RF_imp	10.80%	16.60%	14.50%	15.50%	7.60%	9.10%	11.70%	6.70%	4.00%
PC2	RF_imp	5.10%	4.50%	14.90%	5.10%	10.70%	11.20%	7.40%	9.00%	8.30%
PC3	RF_imp	7.00%	2.80%	5.40%	10.70%	9.30%	8.00%	15.40%	6.50%	4.90%
uWUE	BN sens	0.010	0.000	0.000	0.001	0.011	0.027	0.038	0.000	0.011
ETmax	BN_sens	0.000	0.008	0.005	0.014	0.051	0.077	0.054	0.001	0.040
GSmax	BN_sens	0.000	0.002	0.008	0.037	0.000	0.003	0.000	0.003	0.000
NEPmax	BN_sens	0.049	0.000	0.000	0.005	0.012	0.053	0.019	0.000	0.001
GPPsat	BN_sens	0.082	0.000	0.000	0.007	0.019	0.068	0.033	0.000	0.002
Rb	BN_sens	0.046	0.000	0.000	0.000	0.000	0.001	0.002	0.000	0.005
uWUE	linear_corr		0.45	0.47	0.42	0.15				
ETmax	linear_corr	0.44							0.47	0.30
GSmax	linear_corr							0.28		
NEPmax	linear_corr		0.63	0.56	0.50			0.13	0.48	
GPPsat	linear_corr		0.67	0.46	0.43	0.13		0.20	0.48	
Rb	linear_corr		0.57	0.35	0.46	0.21		0.33	0.43	

381

382 Figure 6. Comparisons of relationships of ecosystem functional variables to plant traits and climate variables in 383 different analyses. Method RF imp is Random forest variable importance (Migliavacca et al., 2021). Method 384 linear corr is Linear correlation analysis with the absolute values of Pearson correlation coefficients.-Method 385 BN sens is a BN-based sensitivity analysis with sensitivity values MI reported-PC1, PC2, and PC3 are the first 386 three major axes of ecosystem function reported in the study by Migliavacca et al. (Migliavacca et al., 2021) 387 obtained from principal component analysis of 12 ecosystem function variables which including the six 388 variables uWUE, ETmax, GSmax, NEPmax, GPPsat, and Rb used in this study (Method BN sens and 389 linear corr in the lower part). The first axis (PC1) explains 39.3% of the variance and is dominated by 390 maximum ecosystem productivity properties, as indicated by the loadings of GPPsat and NEPmax, and 391 maximum evapotranspiration (ETmax). The second axis (PC2) explains 21.4% of the variance and refers to 392 water-use strategies as shown by the loadings of water-use efficiency metrics, evaporative fraction, and GSmax. 393 The third axis (PC3) explains 11.1% of the variance and includes key attributes that reflect the carbon-use 394 efficiency of ecosystems. PC3 is dominated by apparent carbon use efficiency, basal ecosystem respiration (Rb), 395 and the amplitude of evaporative fraction (Migliavacca et al., 2021). The values in each row are in red for high 396 values and in blue for low values, for rows with very few values, the color based indication is not reliable in 397 ranking the control effects of plant traits and climate variables.

## 398 4 Discussions

Previous studies of 'climate plant trait ecosystem function' relationships have predominantly used only non causal statistical methods such as RF (Migliavacca et al., 2021). Based on BN, this study investigates the
 prospect of using causal networks to revisit and attribute the control of climate and plant trait changes to
 ecosystem function. Compared to traditional correlation analysis and machine learning methods, BN can

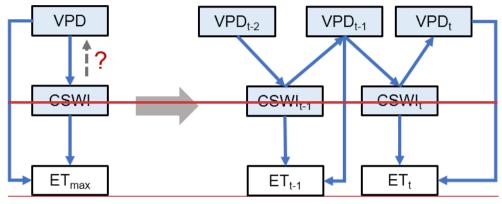
403 uncover the effects of causal relationships between variables. This causality discovery can improve on previous
 404 findings for studies of ecosystem climate interactions.

405

406 Based on BN, this study investigates the prospect of using causal graphical models to revisit and attribute the 407 control of climate and plant trait variations to ecosystem functions. Because of the inclusion of the constraints 408 provided by expert knowledge (Reichstein et al., 2014), (Reichstein et al., 2014) and other perceptions from 409 many previous studies. BN-based attribution analysis is relatively reliable and can update our knowledge of the 410 contribution of some teleconnection variables through causal chains. However, since the structure of the expert 411 knowledge graph did not connect LAImax, Hc, and AGB to GPP (Figure 1), LAImax, Hc, and AGB are not set 412 as parent nodes of GPPsat in our BN, and thus the sensitivity of carbon fluxes to LAImax, Hc, AGB, etc. is 0 or 413 elose to 0. Therefore, in our BN, the causal controls of LAImax, Hc, and AGB on GPPsat are not shown 414 although they are commonly thought to strongly influence GPPsat and NEPmax. This also demonstrates from 415 another perspective the importance of a reasonable parent node in the attribution analysis using BN, where if a 416 variable cannot be connected to the target variable through a causal loop, the sensitivity of the target variable to 417 it may be low, and this will therefore affect the assessment of the strength of causality. If we want to explicitly 418 measure the response of the target variable to the causality of a variable, it is indeed necessary to set up a causal 419 link between them in BN. 420 421 In this study, it was found that the indirect impacts of some meteorological variables such as Tair may be 422 underestimated in the attribution of ecosystem function when using a non-causal approach. This suggests the 423 feasibility of quantifying indirect causal effects among various variables to help us gain a more systematic 424 understanding. The effective implementation of BN-based causal analysis may depend on the reliability of the 425 causal relationships provided by expert knowledge (directional links between variables). We can establish the 426 connection relationships and network structures between variables from expert knowledge and assign the 427 specific quantification of the connection relationships (conditional probability tables) to the observations and 428 data (Shi et al., 2021a). In the future, we can revisit the linkages of ecosystem functions with climate and 429 environmental systems using BN based causal analysis to understand the strength and mechanisms of the 430 relationships between direct, indirect, and remotely related effects of variables. Such a data driven causal 431 analysis framework provides more structured information about elimate, plant traits, and ecosystem systems, 432 thus making the data driven approach more transparent and interpretable (compared to previous black box 433 models (Rudin, 2019)).(Shi et al., 2021a). If further combined with findings from process-based models, it is 434 promising to significantly improve our understanding of the complex 'climate-plant trait-ecosystem function' 435 relationships by comparing detailed relationships and structural influences between variables. 436 437 Besides, the BN in this study was mainly based on data averaged over multiple years, thus possibly partially 438 underestimating the effect of temporal variations in the relationships between variables. Another limitation of 439 the BN proposed above is that the causal relationships between variables are unidirectional, while it is difficult

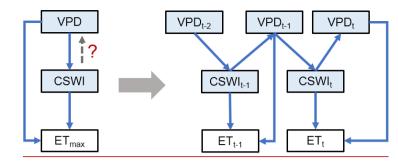
- 440 to represent interactions and feedback between variables (Marcot and Penman, 2019). In future studies, to
- 441 address these two issues, BN based on temporal dynamics can be promising (Figure 7). By refining the
- 442 interaction of temporal lags between variables, it is possible to incorporate not only temporal variation but also

- 443 control factors that attribute interactions and feedback between variables. For example, the interaction and
- 444 feedback mechanisms of VPD, soil moisture, and ET with lag effects (Figure 7) and their impacts on ecosystems
- have attracted extensive interest from researchers (Anderegg et al., 2019; Humphrey et al., 2021; Lansu et al.,
- 446 2020; Liu et al., 2020; Xu et al., 2022; Zhou et al., 2019), but conventional statistical methods have been
- 447 ineffective in analyzing such relationships with both interactive causality and temporal lags. In contrast, the BN
- 448 proposed here, which incorporates feedback effects and lagged effects that were common in climate ecosystem
- 449 relations (Lin et al., 2019), is potentially able to address this issue from a data driven approach. When further
- 450 combined with the findings of process based models, our understanding of climate and ecosystem interactions
- 451 and feedback and their mechanisms in time is hopefully deepened.
- 452



454 BN essentially factorizes the joint probability distribution among data variables into a series of conditional 455 probability distributions (Ramazi et al., 2021), and the reliability of this approach relies on the setting of causal 456 control relationships among nodes. Expert knowledge is thus critical in the construction of BNs, especially when 457 modeling complex systems. In addition to the causal relationship between nodes, the meaning represented by 458 each node, the data source/ approach, and the spatial and temporal resolution may also have impacts on the 459 results. For example, in this study, for multiple water use efficiency-related variables in the ref. (Migliavacca et 460 al., 2021), we chosed uWUE, and for Rb, we chosed the mean value of Rb. The results of BN-based analysis 461 may vary if different representations or meanings of nodes are selected. The way the data of each variable is 462 observed/ produced, the spatial and temporal resolution of the data, etc. can also affect the understanding of the 463 role of these variables in the data-driven BN. Some variables may be very important in the attribution of actual 464 ecosystem function variation, but their importance may be underestimated due to limitations in the inherent 465 observational accuracy of their data, and differences in their spatial and temporal scales from other variables. In 466 addition, some variables such as soil moisture may be difficult to obtain due to the lack of continuous site-scale 467 long-term observations. Using the water balance method to calculate CSWI as a proxy may introduce errors. 468 Since the CSWI calculation method relies on P, etc., the obtained relationship between P, CSWI, and other nodes 469 may have contained empirical components. If the availability of measurements of some nodes is low, modelers 470 should be cautious about the empirical dependencies with other nodes that may be included in the alternative 471 data approaches. Thus, the alternative use of multiple derivatives of a variable and data generated by different 472 methods for the construction of different BNs can help us to recognize how the uncertainty in the nodes and data 473 can influence BN-based attribution findings. Different node discretization schemes may also affect the 474 conditional probability table between nodes as well as the sensitivity (Nojavan A. et al., 2017). Other alternative 475 discretization schemes with the commonly used three levels may also be effective, such as using 'mean-std'

476	(mean minus 1 standard deviation) and 'mean+std' (mean plus 1 standard deviation) as discretization thresholds,
477	which will result in a change in the relationship between BN nodes. And further if extreme values such as 5th
478	and 95th pencentile are used in the node value discretization, it may be beneficial on quantifying the causal
479	control of extreme conditions of nodes on other nodes.
480	
481	When considering higher-order effects (Bairey et al., 2016), the relationships between plant traits, climate
482	variables, and ecosystem function variables can be very complex. One variable may affect the relationship
483	between two other variables rather than directly affecting these two variables (Bairey et al., 2016). BN may have
484	limitations in directly analyzing such higher-order effects because BN requires the modeler to explicitly set
485	direct causal relationships between nodes. To analyze the higher-order effects, we can add nodes that directly
486	represent the relationship between the variables. For example, the correlation coefficient of two variables can be
487	used as a node and this node is connected to other nodes in the BN so that the control effect of other nodes on
488	this correlation coefficient can be explored. Such implements may be useful to deepen the impact of various
489	higher order effects.
490	
491	Besides, the BN in this study was mainly based on data averaged over multiple years, thus possibly partially
492	underestimating the effect of temporal variations in the relationships between variables. Another limitation of
493	the BN proposed above is that the causal relationships between variables are unidirectional, while it is difficult
494	to represent interactions and feedback between variables (Marcot and Penman, 2019). In future studies, to
495	address these two issues, BN based on temporal dynamics can be promising (Figure 6). By refining the
496	interaction of temporal lags between variables, it is possible to incorporate not only temporal variation but also
497	control factors that attribute interactions and feedback between variables. For example, the interaction and
498	feedback mechanisms of VPD, soil moisture, and ET with lag effects (Figure 6) and their impacts on ecosystems
499	have attracted extensive interest from researchers (Anderegg et al., 2019; Humphrey et al., 2021; Lansu et al.,
500	2020; Liu et al., 2020; Xu et al., 2022; Zhou et al., 2019), but conventional statistical methods have been
501	ineffective in analyzing such relationships with both interactive causality and temporal lags. In contrast, the BN
502	proposed here, which incorporates feedback effects and lagged effects that were common in climate-ecosystem
503	relations (Lin et al., 2019), is potentially able to address this issue from a data-driven approach. In the practical
504	modeling, different periods of the same node may still be not independent. Therefore, the split scheme of such
505	periods may be critical. For example, a period between two precipitation events can be treated as one sample,
506	which can enhance independence between periods. Subsequently, a such period can be divided into smaller
507	periods such as t, t-1, t-2, etc. to aggregate the node values to appropriate time scales. Thus one sample can
508	represent the interaction relationship between variables with lags in this period. Finally, we can integrate records
509	of such periods between two precipitation events from sites across different climate zones and biomes to build
510	synthesis models for global analysis of such problems. If further combined with the findings of process-based
511	models, our understanding of climate and ecosystem interactions and feedback and their mechanisms in time is
512	hopefully deepened.
513	
I.	



515 Figure  $\frac{76}{2}$ . The future BNs with the temporal causality further considered addressing the causality of the

516 interaction between variables. The VPD-CSWI-ET relationship is used here as an example. t, t-1, and t-2 denote

517 the current period, the last period, and the period before the last period, respectively. The network on the left

518 only considers the effect of VPD on CSWI without considering the feedback of CSWI on the VPD. The network

on the right characterizes the VPD-CSWI interaction with the feedback from CSWI at period t-1 to VPD at
 period t.

# 521 5 Conclusion

522 By emphasizing causality, basedBased on BN, we revisited and attributed the contribution of climate and plant 523 traits to global terrestrial ecosystem function. The major conclusions of this study include:

- BN can be used for the quantification of causal relationships between complex ecosystems and elimatic
   and environmental systems in response to climate change and enables the analysis of indirect effects among
   variables.
- 527 <u>2. The control of ecosystem functionCompared to BN, the feature importance difference between 'VPD and</u>
   528 <u>CSWI' and 'LAImax and Hc' reported</u> by <del>climate</del><u>Random forests is higher and can be overestimated.</u>
- 529 3. With the causality relation between correlated variables (especially Tair and VPD) may have been
   530 underestimated in the past, and constructed, BN\_sens can reduce the uncertainty in quantifying the
   531 importance of correlated variables.
- 532 2.4. The understanding of the mechanism of indirect effects of climate variables on ecosystem function through
   533 plant traits should be emphasized in future studies.can be deepened by the chain casuality quantification in
   534 BNs.
- 535 3. Further inclusion of temporal information in BN holds promise for improving the analysis of lagged effects
   536 and interactions and feedback effects between variables.
- 537 538

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544	Author Contributions
545	HS and GL initiated this research and were responsible for the integrity of the work as a whole. HS performed
546	formal analysis and calculations and drafted the manuscript. HS werewas responsible for the data collection and
547	analysis. GL, PDM, TVdV, OH, and AK contributed resources and financial support.
548	Competing interests
549	The authors declare that they have no conflict of interest.
550	Code availability
551	The codes that were used for all analyses are available from the first author (shihaiyang16@mails.ucas.ac.cn)
552	upon request.
553	Data availability
554	The data used in this study can be accessed by contacting the first author (shihaiyang16@mails.ucas.ac.cn) upon
555	request.
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558	

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