# 1 Long-term changes of nitrogen leaching and the contributions of terrestrial

# 2 nutrient sources to lake eutrophication dynamics on the Yangtze Plain of

3 China

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## 15 Abstract

16 Over the past half-century, drastically increased chemical fertilizers have entered agricultural 17 ecosystems to promote crop production on the Yangtze Plain, potentially enhancing agricultural nutrient 18 sources for eutrophication in freshwater ecosystems. However, long-term trends of nitrogen dynamics 19 in terrestrial ecosystems and their impacts on eutrophication changes in this region remain poorly 20 studied. Using a process-based ecosystem model, we investigated the temporal and spatial patterns of nitrogen use efficiency (NUE) and nitrogen leaching on the Yangtze Plain from 1979 to 2018. The 21 22 agricultural NUE for the Yangtze Plain significantly decreased from 50 % in 1979 to 25% in 2018, with the largest decline of NUE in soybean, rice and rapeseed. Simultaneously, the leached nitrogen from 23 cropland and natural land increased with annual rates of 4.5 kg N ha<sup>-1</sup> yr<sup>-2</sup> and 0.22 kg N ha<sup>-1</sup> yr<sup>-2</sup>, 24 respectively, leading to an overall increase of nitrogen inputs to the fifty large lakes. We further 25 examined the correlations between terrestrial nutrient sources (i.e., the leached nitrogen, total 26

27 phosphorus sources, and industrial wastewater discharge) and the satellite-observed probability of eutrophication occurrence (PEO) at an annual scale, and showed that PEO was positively correlated 28 with the changes in terrestrial nutrient sources for most lakes. Agricultural nitrogen and phosphorus 29 30 sources were found to explain the PEO trends in lakes in the western and central part of the Yangtze 31 Plain, and industrial wastewater discharge was associated with the PEO trends in eastern lakes. Our results revealed the importance of terrestrial nutrient sources for long-term changes in eutrophic status 32 over the fifty lakes of the Yangtze Plain. This calls for region-specific sustainable nutrient management 33 34 (i.e., nitrogen and phosphorus applications in agriculture and industry) to improve the water quality of lake ecosystems. 35

# 36 1 Introduction

37 For the past half-century, China's demand for grain production has increased from 250 Mt in 1960 to 38 648 Mt in 2010 along with the growing population, industrial development, and human-diet changes (Zhao et al., 2008; Wang and Davis, 1998). Substantial chemical fertilizers (i.e., 35 mega-tons, Mt, 39 40 nitrogen fertilizers in 2014 (Yu et al., 2019) simultaneously entered agricultural ecosystems for the promotion of crop production. Although national grain production consequently increased from 132 Mt 41 42 in 1950 to 607 Mt in 2014 (Yu et al., 2019), such a level of fertilization has enhanced nitrogen discharge 43 to terrestrial and freshwater ecosystems, leading to a series of ecological and environmental concerns, 44 such as soil nitrogen pollution, water quality deterioration, and phytoplankton blooms (Zhang et al., 2019; Wang et al., 2021b; Qu and Fan, 2010). It was reported that approximately 14.5 Mt N yr<sup>-1</sup> was 45 46 discharged to surface water ecosystems over entire of China for the period of 2010-2014, which largely 47 exceeded the national safe level of nitrogen discharge (i.e., 5.2 Mt N yr<sup>-1</sup>) for the aquatic environment 48 (Yu et al., 2019). Such human-related nutrient enrichment poses a big challenge to China's sustainable development goals (Wang et al., 2022). 49

The Yangtze Plain, with a human population of 340 million and an agricultural area of 100 million hectares (Chen et al., 2020b; Hou et al., 2020), is experiencing unprecedented ecological and environmental issues (Guan et al., 2020; Feng et al., 2019). From 1990 to 2015, total crop production increased by 15 % at the expense of an increase of 89 % in nitrogen fertilizers over the Yangtze Plain 54 (Xu et al., 2019). Consequently, more frequent nitrogen pollution was observed in soil and water. For 55 example, heavy fertilizer usage and intensive livestock contributed to soil nitrogen pollution in the 56 Yangtze River Delta for the past four decades, leading to soil deterioration and nitrogen discharge (Zhao 57 et al., 2022). Nitrogen discharge related to human activities (i.e., fertilizer and manure applications, and 58 human food waste) largely increased the nutrient loading and accelerated the degradation of water quality in the Yangtze River since the 1990s (Chen et al., 2020c). Under the recent sustainable 59 60 development plans proposed by national and local governments, managing nitrogen sources from urban and crop systems is envisaged to mitigate severe soil and water pollution (Chen et al., 2020c; Zhao et 61 al., 2022; Shi et al., 2020). However, for the Yangtze Plain with a variety of crops and crop management, 62 the lack of insights into long-term changes in nitrogen dynamics, such as fertilizer application, plant 63 nitrogen uptake, and nitrogen leaching, has limited our solution of proposing effective policies related 64 65 to nutrient management.

66 In recent several decades, national field surveys and satellite observations have been widely used to investigate nutrient loadings (Tong et al., 2017; Li et al., 2022), chlorophyll-a concentrations (Guan et 67 al., 2020), trophic state index (TSI) (Hu et al., 2022; Chen et al., 2020a) and algal bloom occurrence 68 69 (Huang et al., 2020) to assess eutrophication issues in local or regional lakes of the Yangtze Plain, all 70 of which revealed the lakes on the Yangtze Plain experienced eutrophication and algal blooms for the 71 past two decades. Cyanobacteria blooms were reported to frequently occur in Taihu and Chaohu lakes, with the peak expanded extent reported for 2006 (Qin et al., 2019). Since then, the magnitudes of algal 72 73 blooms significantly decreased from 2006 to 2013, and slightly increased again from 2013 to 2018 74 (Huang et al., 2020). Satellite observations revealed widespread and serious eutrophication issues in 75 large lakes of the Yangtze Plain for the periods of 2003-2011 and 2017-2018, although significantly 76 decreasing trends were found in 20 out of 50 lakes throughout the periods (Guan et al., 2020). Moreover, 77 35-year Landsat-derived trophic state index (TSI) also indicated that hyper-eutrophic and eutrophic 78 lakes mainly characterized the Yangtze Plain, with slight increase in TSI from 1986 to 2012 and then 79 decrease since 2012 (Hu et al., 2022). National field surveys demonstrated that although total 80 phosphorus concentrations overall decreased from 2006 to 2014, it still remained under high levels

81 (i.e.,  $> 50 \ \mu g \ L^{-1}$ ) in eastern China lakes (Tong et al., 2017). Various laws and guidelines were 82 implemented on regional and national scales to control eutrophication problems, such as the Guidelines 83 on Strengthening Water Environmental Protection for Critical Lakes in 2008 and the Water Pollution 84 Control Action Plan in 2015 (Huang et al., 2019). Nevertheless, the eutrophication issues are still 85 challenging to control and improve under the scarcity of effective strategies for the whole Yangtze Plain 86 due to the unknown causes of eutrophication issues.

87 To understand the primary causes of eutrophication in the lakes of the Yangtze Plain, previous studies 88 have attempted to determine the contributions of riverine nutrient exports and lacustrine nutrient loading 89 to algal blooms in individual lakes, such as Taihu and Chaohu lakes (Tong et al., 2017; Tong et al., 90 2021; Xu et al., 2015). Based on field-measured phytoplankton biomass and nutrient concentrations, 91 algal blooms in Taihu Lake were primarily attributed to excessive nutrient loads from 1993 to 2015 92 (Zhang et al., 2018). Overloaded nutrients, in combination with climatic warming, were found to 93 regulate the seasonal variations of cyanobacteria blooms in Chaohu Lake based on the monthly nutrient monitoring at discrete points (Tong et al., 2021). However, these studies only tracked the primary 94 95 drivers of algal blooms for individual hyper-eutrophic lakes (i.e., Taihu and Chaohu lakes), which is insufficient to understand regional variations in terms of the causes of eutrophication and support the 96 97 design of effective management strategies to mitigate eutrophication issues across different eutrophic states of lakes. Furthermore, lacustrine nutrient loading is always associated with terrestrial nutrient 98 sources, such as synthetic fertilizers, livestock manure, and industrial sewage (Wang et al., 2019b; Yu 99 100 et al., 2018). For example, Wang et al. (2019b) identified that diffuse sources contributed 90% to 101 riverine exports of total dissolved nitrogen, and point sources discharged 52% of riverine phosphorus 102 exports to Taihu Lake, where diffuse sources are synthetic fertilizers and atmospheric deposition, and 103 point sources are sewage and manure discharge. It was also reported that chemical fertilizer and 104 wastewater discharge provided primary nitrogen sources for the Chaohu Lake (Yu et al., 2018). 105 Unfortunately, all these studies did not examine the impacts of vegetation uptake and soil retention on 106 terrestrial nutrient sources, making it insufficient to comprehensively understand the linkage between 107 terrestrial nutrient sources and eutrophication in regional lake ecosystems.

In this study, we employed a process-based dynamic vegetation model, LPJ-GUESS (Smith et al., 2014), to investigate terrestrial nitrogen dynamics for the past four decades, examining the primary drivers of eutrophication trends in fifty large lakes of the Yangtze Plain (covering 63% of the whole plain). We simulated the vegetation dynamics, nitrogen cycles for agricultural and natural ecosystems from 1979 to 2018, and then assessed the temporal trends of nitrogen use efficiency and nitrogen leaching. The terrestrial nutrient sources were used to examine their linkage with the satellite-derived eutrophication changes for fifty large lakes.

#### 115 2 Materials and Methods

#### 116 2.1 Study area

The Yangtze Plain (Fig. 1) is in the middle and lower basin of the Yangtze River. It covers a total area 117 of 7.8  $\times 10^6$  km<sup>2</sup> from Hunan Province to Shanghai City, and accommodates approximately 5000 118 119 freshwater lakes, ponds and reservoirs (Hou et al., 2017). Its sub-tropical monsoon climate provides annual mean temperature (~15°C) and precipitation (~1000 mm) conditions favorable for crop 120 cultivation, in particular cereals and oil seeds, making the Yangtze Plain one of the top three food 121 122 production regions in China. Generally, rice-sown area contributed dominantly to agriculture areas 123 associated with climate conditions and human diet (Piao et al., 2010; Tilman et al., 2011). To enhance 124 crop production, double-cropping strategy has been widely implemented on the Yangtze Plain, such as 125 the rotation of early- and late-season rice (Chen et al., 2017), and the rotation of summer maize and 126 winter wheat (Xiao et al., 2021). Several common management practices were adopted by millions of 127 smallholders (Cui et al., 2018). For example, straw return, organic manure applications, and suitable 128 planting density were also recommended in recent years (Cui et al., 2018). Significantly increased 129 fertilizer applications to cropland were expected to stimulate crop yield over the past half century (Yu 130 et al., 2019; Zhang et al., 2015). Such management practice can certainly enhance agriculture 131 productivity, but also cause negative consequences to soil and aquatic environment (Liu et al., 2016a; Shi et al., 2020). However, since the policy of Reform and Opening-up of China in the 1980s (Zhang 132 et al., 2010), agricultural ecosystems have been confronted with great pressure from urban expansion 133

on the Yangtze Plain. Rapid urban expansion encroached on arable land, mainly on the eastern parts ofthe Yangtze Plain (Zhang et al., 2021).



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Figure 1. Locations of the Yangtze Plain and the fifty large lakes studied here. (b) Detailed overviewof Wuhan region (red box in (a)) and the surrounding lakes.

# 139 2.2 Dynamic vegetation model

140 We used a dynamic ecosystem model, LPJ-GUESS (Smith et al., 2014; Olin et al., 2015b), to simulate vegetation dynamics (i.e., the establishment, growth, competition, and mortality of plants), soil 141 142 biogeochemistry, and carbon and nitrogen cycles for different ecosystems on the Yangtze Plain. The 143 model has been widely used to assess ecosystem carbon and nitrogen fluxes at regional and global scales 144 (Smith et al., 2014). Plant functional types (PFTs) and crop functional types (CFTs) are designed to 145 describe the different types of plants and crops with a set of pre-defined bioclimatic and physiological parameters, such as photosynthetic pathways, phenology, growth forms and life history strategies for 146 PFTs, as well as irrigation, fertilization, and rotation schemes for CFTs (Smith et al., 2014; Sitch et al., 147 148 2003; Olin et al., 2015a; Lindeskog et al., 2013).

Carbon and nitrogen fluxes between ecosystems and the atmosphere are calculated on a daily basis. For
natural PFTs, net primary production (NPP) is accumulated and allocated to different plant
compartments (i.e., leaves, roots, sapwood and heartwood for trees) at the end of each simulation year.

152 Soils are represented by 11 carbon and nitrogen pools with different decomposition rates (Parton et al., 1993; Parton et al., 2010), dependent on soil temperature and texture, water content, and base decay 153 rates (Smith et al., 2014). Atmospheric deposition and plant biological fixation provide nitrogen sources 154 for plant growth and development, while the decomposition of soil organic matter can release mineral 155 156 N into the soil and nitrogen-related gases into the atmosphere. Moreover, soluble nitrogen in soil can also leach with the surface runoff in the forms of dissolved organic and inorganic nitrogen (i.e., DON 157 and DIN). In the model, leaching of DON is a function of the decay rates of soil microbial carbon pool 158 and soil percolation, while DIN leaching depends on the available mineral nitrogen in soils and soil 159 percolation, as well as soil water content. 160

161 Crop growth starts from a seedling with initial carbon and nitrogen masses at a prescribed sowing date. Chemical fertilizer and livestock manure supply external nitrogen for crop growth. According to local 162 farmers' practice (Shi et al., 2020), chemical fertilizer and manure applications are often applied at three 163 164 different stages: sowing, tillering, and heading stages. Such fertilization schemes are also represented in the LPJ-GUESS (Olin et al., 2015a), where nitrogen fertilizer is applied when the crop development 165 stage reaches 0, 0.5, and 0.9 in response to three above stages, and the relative fertilization rate for each 166 stage are empirical parameters based on field surveys. Crop N uptake is simulated as the lesser between 167 168 crop N demand and accessible mineral N in soils, where the former depends on crop development stages and C:N ratios of leaves and roots, and the latter is affected by soil temperature and fine root biomass 169 (Olin et al., 2015a). Differing from natural PFTs, NPP is allocated to leaves and stems, root, and storage 170 organs for each CFT on a daily basis, according to the daily allocation strategies related to crop 171 172 development stages (Olin et al., 2015a).

# 173 2.3 LPJ-GUESS input, calibration, and evaluation dataset

#### 174 **2.3.1 Input data**

We ran LPJ-GUESS separating four land use types (natural land, cropland, pasture and urban) with a
500-year spin-up to simulate the vegetation dynamics and the associated nitrogen fluxes for the Yangtze
Plain from 1979 to 2018.

178 The gridded input data for LPJ-GUESS include climate, fractions of four land use types, total chemical fertilizer and manure application rates, cover fractions of each CFT within the cropland area, and soil 179 properties. We used daily temperature, precipitation, and shortwave radiation provided by the China 180 Meteorological Forcing Dataset (CMFD), with a spatial resolution of  $0.1^{\circ}$  and a temporal coverage of 181 182 1979-2018 (He et al., 2020). The 300-m Climate Change Initiative Land Cover (CCI-LC version 2.0) dataset was regrouped into four different land use types (i.e., urban, cropland, pasture, and natural land) 183 to obtain the cover fractions within each  $0.1^{\circ}$  grid cell for the period of 1992 to 2018 (Defourny et al., 184 2012) (see the details about regrouping process in Supplementary S1). Soil properties, i.e., fractions of 185 sand, clay and silt, organic carbon content, C:N, pH, and bulk density were extracted from the World 186 Inventory of Soil Property Estimates (WISE30sec) dataset (Batjes, 2016). Based on the original data 187 with a spatial resolution of 30 sec, we determined the dominant FAO soil type based on their relative 188 189 area in each grid cell, and used its properties as input data for the grid cell. Gridded chemical fertilizer 190 and manure application data were extracted from global fertilizer usage (Lu and Tian, 2017) and manure data (Zhang et al., 2017), which have spatial resolutions of 0.5° and 0.5', respectively. We resampled 191 192 the fertilizer and manure application data into the spatial resolution of  $0.1^{\circ}$  to represent the chemical 193 fertilizer and manure application for each grid cell from 1979 to 2014. The gridded monthly N 194 deposition data were also extracted from an external database as an input file (Lamarque et al., 2013). 195 It has a spatial resolution of 0.5°, and we used the value in the nearest grid cell to represent N deposition 196 in the simulations.

The gridded fractions of CFTs were calculated based on observational data provided by the China 197 198 Meteorological Data Service Center (https://data.cma.cn/site/subjectDetail/id/101.html). The dataset 199 contains the information about the types, sowing and harvest dates for a total of eleven crops at 92 observational sites across the whole Yangtze Plain (listed in Table S1). An adaptive inverse distance 200 weighting method was then used to interpolate the maps of the relative fractions of all crops, and their 201 202 sowing and harvest dates for the period of 1992-2015 (see the details in Supplementary S2). Due to the 203 limited availability for the period of 1979-1991 and 2016-2018, we used the same crop information (i.e., 204 the fractions of crop types, sowing and harvest dates) from the nearest years.

#### 205 **2.3.2 Model calibration and evaluation data**

206 The model was calibrated based on the observed crop yield collected by the China Meteorological Data 207 Service Center (https://data.cma.cn/site/showSubject/id/102.html). The dataset provides crop yield data for eight main crops collected at different numbers of sites (i.e., winter wheat (number of sites: 37), 208 209 spring maize (6), summer maize (10), single-season rice (28), early-season rice (30), late-season rice (30), rapeseed (38), and soybean (15)), for the period of 2000-2013. For the Yangtze Plain, hybrid and 210 super-hybrid rice are widely cultivated to obtain high grain yield within short growing seasons due to 211 212 the enhanced photosynthetic rates associated with leaf-level chlorophyll and rubisco contents (Huang 213 et al., 2016). However, the default parameters for rice CFTs in LPJ-GUESS cannot capture the high-214 yield features of hybrid and super-hybrid rice. Therefore, we calibrated the relationship between the 215 leaf-based nitrogen content and the maximum catalytic capacity of rubisco (see the details in 216 Supplementary S3). We randomly selected five sites with rice yield data from 2000 to 2013 as the 217 calibration data, and the other rice yield data were used as the evaluation data. For parameters of other 218 CFTs (listed in Table S1), the default values performed satisfactorily in the comparison with all 219 observed yield data (Fig. 2). It is noted that regional mean yield for each crop was derived from the 220 evaluation data to compare the simulated values on the Yangtze Plain.

Simulated GPP and LAI were further compared with Global Solar-induced Chlorophyll Fluorescence Gross Primary Productivity (GOSIF GPP) and third generation of Global Inventory Modeling and Mapping Studies Leaf Area Index (GIMMS LAI3g) products to evaluate the performance of modelled vegetation variables. The global GOSIF GPP products have a spatial resolution of 0.05° and cover the period of 1992-2018 (Li and Xiao, 2019). Biweekly GIMMS LAI3g products with a spatial resolution of 0.25° were obtained and then converted to annual mean LAI3g maps from 1982 to 2011 (Zhu et al., 2013).

The modelled responses of nitrogen leaching to different fertilizer applications were evaluated based on an observational dataset published by Gao et al. (2016), where they collected nitrogen leaching for plots with 3 or 4 different levels of nitrogen fertilizer inputs for maize, rice, and wheat. In our study, we selected the observed responses without influences of phosphorus and potash fertilizers on the Yangtze Plain as the evaluation data (two samples for each crop). For these sites, individual simulations were
performed by assigning the full coverage of each corresponding crop growth and prescribing the levels
of nitrogen fertilizer applications as in the experimental site. It should be noted that we used the same
nitrogen fertilizer applications in the period prior to the field experiment.

#### 236 2.4 Assessment of long-term changes in nitrogen dynamics

We assessed long-term changes in nitrogen use efficiency (NUE) and nitrogen leaching over the past four decades. For the LPJ-GUESS simulated NUE and leached nitrogen, a linear regression was conducted on the annual mean values for the whole Yangtze Plain to determine the associated change rates (i.e., the regression slopes), and the significance was tested by a *t*-test. The mean leached nitrogen over the drainage area of all examined lakes was calculated to explore long-term changes in terrestrial nitrogen sources for lake ecosystems, and the associated temporal trends were assessed by the linear regression and *t*-test.

## 244 2.5 Examination of the primary driving forces of eutrophication dynamics

#### 245 2.5.1 Satellite-derived eutrophication changes

246 We used satellite-derived PEO data published in Guan et al. (2020) to represent the eutrophication 247 changes for fifty large lakes on the Yangtze Plain. The PEO was defined as the frequency of high chlorophyll-a concentrations (i.e.,  $> 10 \text{ mg m}^{-3}$ ) or algal bloom occurrences in satellite imagery for each 248 year. All full-resolution (300 m) MERIS and OLCI images were used to derive chlorophyll-a 249 250 concentrations by using a SVR-based piecewise retrieval algorithm, and also detect algal bloom through 251 two indices. High temporal resolutions for MERIS (i.e., 3 days) and OLCI (i.e., 1-2 days) ensure to 252 provide sufficient observations on rapidly dynamic lake ecosystems. The averaged PEO values for 253 pixels within each lake were then obtained to delineate the eutrophication status and changes in fifty 254 large lakes of the Yangtze Plain during the MERIS (i.e., 2003-2011) and OLCI (i.e., 2017-2018) 255 observational periods. However, due to the unavailability of the crop- and nitrogen-related data for the period of 2017-2018, we only used the PEO data derived from MERIS observations (i.e., 2003-2011) 256 257 here to examine their primary driving forces.

#### 258 2.5.2 Examination of the correlations between nutrient and PEO anomalies

To examine the impacts of terrestrial nutrient sources on eutrophication changes in fifty large lakes of 259 260 the Yangtze Plain, we used the simulated nitrogen leaching (LN) and anthropogenic phosphorus sources 261 (i.e., total phosphorus from chemical fertilizer and manure, TP) representing the agricultural nutrient 262 sources, and industrial wastewater discharge (IW) representing industrial nutrient sources. The gridded 263 phosphorus fertilizer data were extracted from a global dataset developed by Lu and Tian (2017), while the phosphorus content in manure was calculated based on the nitrogen contents of manure products 264 265 and the associated N:P ratios of different animals' excrement (Table S3). Annual industrial wastewater 266 discharge data were obtained from the China City Statistical Yearbook (https://data.cnki.net/trade/Yearbook/Single/N2018050234?zcode=Z011). Note that both agricultural phosphorus sources and 267 industrial wastewater discharge are inventory data. 268

269 The 9-year mean (2003-2011) of three nutrient-related variables (i.e., LN, TP and IW) was used in a 270 principal component analysis (PCA) followed by a K-means clustering (Hartigan and Wong, 1979) to 271 classify examined fifty lakes based on similarities of terrestrial nutrient sources. In this process, all 272 variables were normalized (across all years and lakes) based on the z-score method to remove the influence of different magnitudes in nutrient-related variables. We derived the first two principal 273 components (PCs) from all normalized variables through a PCA, and the lakes were classified into three 274 275 classes based on the first two PCs through the clustering methods. Finally, the annual anomalies of these nutrient-related variables and PEOs relative to their 9-year means were used to determine the primary 276 drivers of temporal trends in eutrophication for each lake class. 277

278 3 Results

#### 279 3.1 Evaluation of LPJ-GUESS simulation

For the evaluation of LPJ-GUESS simulation for the past four decades, the simulated LAI, GPP and
crop yield were compared with observation-based estimates. Mean crop yields agreed well with the
observed values, with mean relative errors of < 10% (Fig. 2). The comparison of simulated and observed</li>
LAI, and GPP were also satisfactory with overall high accuracy (i.e., a mean relative error of ~20% and

the root squared relative errors of < 30%) and spatial distributions consistent with observed patterns 284 285 (Fig. S1 and S2). Considering the difference in spatial scales between the grid cells and the gridded evaluation data (i.e., the observed LAI and GPP maps), the overall performance of vegetation simulation 286 over the different land use types was considered acceptable. In addition, the simulated responses of 287 288 nitrogen leaching to different fertilizer applications at the experimental sites showed overall similar trends as the observation ones for all three crops (i.e., maize, rice, and wheat), despite varying 289 magnitudes of differences between the simulated and observed leached nitrogen at certain fertilizer 290 291 level (Fig. 3).



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Figure 2. Comparison between the simulated and observed crop mean yields of different crops on the
Yangtze Plain; the mean values were averaged over the period 2000-2015 and across totally 179 sites.
Error bars show one standard deviation of crop yield.



Figure 3. The simulated and observed responses of the leached nitrogen to different levels of fertilizerapplication rates for three main crop types (i.e., maize, rice, and wheat) over the Yangtze Plain. Note

that the solid and dotted lines represented two different pairs of simulated and observed response ofleached nitrogen, respectively.

## 301 3.2 Long-term changes of nitrogen use efficiency over the Yangtze Plain

302 The average NUE for 1979 to 2018 was calculated to examine the spatial patterns of plant nitrogen uptake on the Yangtze Plain. Considerable variations were detected across the entire Yangtze Plain, 303 304 with NUE values ranging from 5% to 60% (Fig. 4a). Two hotspots of high NUE were in the Hubei and 305 Jiangsu Province (see locations in Fig.1), dominated by cultivations of single-season rice and winter wheat under the moderate levels (i.e., ~200 kg N ha<sup>-1</sup> yr<sup>-1</sup>) of fertilizer applications (Fig. S3). The NUE 306 307 values also differed among different crop types for the past four decades. The largest NUE values were 308 found for soybean (74.0 %  $\pm$  11.0 %, Fig 5), while the lowest values were found for late-season rice  $(15.9\% \pm 4.3\%).$ 309

310 Due to the unprecedented increase of chemical fertilizer application since the 1980s, the crop NUE on the Yangtze Plain has significantly decreased from ca. 50% in 1979 to 25% in 2018 (p < 0.05, in Fig. 311 4b), with an overall annual change rate of -0.55 % yr<sup>-1</sup>. Overall, regions with relatively high levels of 312 313 NUE depicted a moderate or even slight increase for the past four decades, while the regions dominated low-level NUE (i.e., Hubei and Hunan provinces in Fig. 1) experienced strongly declining trends (Fig. 314 4a&4c), as a result of the enhanced fertilizer applications. Considerable differences in magnitudes and 315 trends of NUE were also examined among the crop types. Significant decreases (t-test, p < 0.05) in the 316 decadal NUEs were found for seven crop types (annotated with "\*" in Fig. 5), with the largest decrease 317 for the double cropping of early- and late-season rice (Fig. 4c and S3). In contrast, three crop types 318 experienced increasing trends of NUE, including peanut, spring wheat, and sugarcane (Fig. 5). 319



Figure 4. Nitrogen use efficiency (NUE) on the Yangtze Plain from 1979 to 2018. (a) Spatial
distributions of climatological NUE (1979-2018), and the inset (b) shows the long-term trends of the
area mean NUE; (c) Changes in NUE between the first (1979-1988) and the last (2009-2018) decades.



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**Figure 5.** Decadal values of NUE for each crop functional type, averaged over the Yangtze Plain for the past four decades. Significantly decreasing trends (p < 0.05) are annotated with \* using a t-test.



Figure 6. Tempo-spatial patterns of leached nitrogen from cropland (LNC), natural land (LNN), and total leached nitrogen (LN) for the period of 1979-2018. Spatial distributions of climatological (**a**) LNC, (**c**) LNN, and (**e**) LN. The insets (**b**), (**d**) and (**f**) represent the long-term changes of mean LNC, LNN and LN, where the red lines are the linear fitting lines between years and nitrogen leaching. Inter-annual changes of (**g**) LNC, (**h**) LNN, and (**i**) LN for all examined lakes (L01-L50) from 1979 to 2018. Statistically significantly positive trends (p < 0.05) are annotated with '\*' on top of the panel, and see Fig. 1 for ID numbers of lakes.

## 335 **3.3** Temporal and spatial patterns of nitrogen leaching for the past four decades

336 Along with the overall decreases in NUE, the leached nitrogen from both agricultural (LNC, averaged 337 across cropland area) and natural systems (LNN, averaged across the natural area) experienced a statistically significant increase (t-test, p < 0.05) over the past four decades, with the different rates (4.5 338 kg N ha<sup>-1</sup>yr<sup>-2</sup> and 0.22 kg N ha<sup>-1</sup> yr<sup>-2</sup> derived through the linear regression, respectively in Fig. 6b, 6d). 339 340 The increased LNC was primarily associated with increased fertilizer applications (increased 2.5 times 341 from 1979 to 2018), while the increased LNN was mainly linked to enhanced atmospheric deposition 342 (explained 75.8%  $\pm$  6.8% of the increases in nitrogen sources) for natural ecosystems on the Yangtze Plain. The LNC were an order of magnitude larger than the LNN. The high levels of LNC were found 343

mainly in the Hunan Province (see Fig. 1 and Fig. 6a), with an average LNC value of 149 kg N ha<sup>-1</sup> yr<sup>-</sup>
<sup>1</sup>. In contrast, considerable spatial variations in LNN were revealed between the north and south parts
of the Yangtze Plain (Fig. 6c).

347 To understand nitrogen sources for each corresponding lake ecosystem on the Yangtze Plain, we 348 calculated the mean leached nitrogen (LN, averaged across the ground area) over the entire catchment 349 of each studied lake provided by the HydroLAKES dataset (Messager et al., 2016). The LN values ranged from 29 kg N ha<sup>-1</sup> yr<sup>-1</sup> in Gehu Lake (L46 in Fig. 6i) to 153 kg N ha<sup>-1</sup> yr<sup>-1</sup> in Donghu Lake (L05 350 in Fig. 6i), indicating the considerable difference between the western lakes in the Hunan Province and 351 352 the eastern lakes in the Jiangsu Province. All examined lakes experienced statistically significantly increasing trends in the LN (t-test, p < 0.05) over the past four decades (Fig. 6i), where the agricultural 353 354 activities contributed 94 %  $\pm$  5 % to the LN changes.

# 355 **3.4 Driving forces of terrestrial nutrient sources to eutrophication changes**

The leached nitrogen (LN), total phosphorus sources (TP), and industrial wastewater discharge (IW) 356 357 were used to represent terrestrial nutrient sources and were further investigated in terms of their linkages 358 to the observed PEOs. In the PCA analysis, the first two principal components (PCs) explained 48.7% 359 and 33.6% of variations in terrestrial nutrient sources (Fig. 7), where the first PC primarily depicts positive dependence on IW but negative links with LN, and the second PC reveals negative dependences 360 on TP and IW. All fifty lakes were clustered into three classes based on the first two PCs (Fig. 7). Lakes 361 in class I (n = 22) had positive loading in the direction of the total phosphorus sources, with the main 362 coverage of the middle Yangtze Plain (i.e., Jiangxi and Anhui Province in Fig. 1), while class II cover 363 364 the most of lakes (n = 17) in the western regions (i.e., the Hunan Province and the western parts of the 365 Hubei Province in Fig. 1). The lakes of class III (n = 11) are primarily located on the eastern Yangtze Plain, except for two lakes (i.e., Donghu and Tangxun lakes) which located at the urban area of Wuhan 366 367 City.

The correlations between annual anomalies of PEO and the three nutrient variables (relative to their means for 2003-2011) were examined for all three lake classes. The PEO anomalies were significantly 370 correlated with different nutrient variables for three lake classes, indicating spatial variations of driving 371 factors for eutrophication changes on the Yangtze Plain (Fig. 8). Specifically, both LN and TP anomalies exhibited significantly positive correlations (p < 0.001) with the PEO trends in lakes of class 372 I and II (Fig. 8a&b), indicating that the primary influence of agriculture-related sources to the increasing 373 374 trends of PEO. In contrast, the annual PEO dynamics in lakes of class III showed a significantly positive correlation (p < 0.05) with industrial wastewater discharge (Fig. 8c), meaning that the temporal trends 375 376 of annual PEO in eastern parts of the Yangtze Plain were mainly associated with industrial wastewater discharge. Note that the significantly negative correlations between the PEO and IW anomalies were 377 found for class I and II (Fig. 8c), which might be mechanistically unlikely. However, lakes in class I 378 and II are mainly located in western and central regions, with intensive agriculture activities and high 379 fertilizer applications (Chen et al., 2016). Such agriculture ecosystems provided substantial nutrient 380 381 sources for eutrophication growth and development, greatly larger than available nutrient from 382 industrial wastewater. In addition, agriculture nutrient sources generally increased with enhanced 383 fertilizer applications, while industrial wastewater discharge showed overall decreasing trends (Li et al., 384 2013; Lyu et al., 2016). In such cases, industrial wastewater showed negative correlation with PEO 385 anomalies for class I and II lakes. It was also acknowledged that such correlation between industrial 386 wastewater and eutrophication changes might be affected by spatial variability in examined lakes within 387 each class.



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**Figure 7.** Loading plot of the principal component analysis (PCA) based on three nutrient-related variables. The color of scattering points represents the distributions of lakes in longitudinal order, and the directions of nutrient-related variables (i.e., LN leached nitrogen; TP total phosphorus sources; IW industrial wastewater) were annotated with blue arrows.





399 4 Discussions

#### 400 **4.1 Significant decline in nitrogen use efficiency**

The overall low mean NUE (27 %) and declining trends in NUE (-0.55 % yr<sup>-1</sup>) characterized agricultural 401 ecosystems on the Yangtze Plain for the past four decades, which are consistent with previous studies 402 using statistical datasets and numerical modelling (Zhang et al., 2015; Yu et al., 2019). Over-403 fertilization was primarily responsible for decline in NUE from 1979 to 2018 (Shi et al., 2020; Zhang 404 et al., 2015). Nitrogen fertilizer applications significantly increased by 2.5 times for past four decades, 405 greatly exceeding the increase magnitudes in crop production (+26.3%), which potentially contributed 406 to markedly decreasing NUE over the Yangtze Plain. Moreover, fertilization-induced increases of crop 407 408 yield always decrease with the increase in fertilizer applications, and eventually disappear when crop yield reaches the upper limits (Zhang et al., 2015), suggesting that high fertilization rates are more likely 409

to generate the further decline in NUE over the Yangtze Plain. Over-fertilization might potentially
enhance nitrogen accumulation in soil that can be available for crop growth and development in next
years (Yang et al., 2006), thereby indicating that temporally increasing fertilization rates are generally
accompanied by declining NUE in agriculture ecosystems.

414 Considerable difference in NUE was examined among different crops, with the largest NUE values in 415 soybean for the past four decades (Fig. 5) as previously-documented NUE variations from 1961 to 2011 (Zhang et al., 2015). Generally, soybean has high NUEs mostly due to high protein contents (i.e., > 416 50%) in its grains (Fabre and Planchon, 2000). With the enhanced leaf nitrogen concentrations related 417 418 to its biological fixation, soybean tends to achieve a higher photosynthesis rate and delay leaf senescence (Kaschuk et al., 2010; Ma et al., 2022), both of which potentially contributed to its generally 419 high NUE. Furthermore, double-cropping rice showed an overall lower NUE than single-season rice 420 421 (Fig. 5). It has been previously reported to occur in other double-cropping systems based on field 422 experiments, such as rice-wheat cropping (Liu et al., 2016b; Yi et al., 2015), rice-rapeseed cropping (Wang et al., 2021a), and wheat-maize cropping (Xiao et al., 2021). Indeed, fertilizer applications 423 applied for the former crop could have accumulated nitrogen in soil that can be also taken by the latter 424 cultivated crop for their growth and development (Shi et al., 2020). In this regard, chemical fertilizer 425 426 applications for the latter crop can potentially generate the decline in its NUE.

# 427 **4.2** Primary causes of eutrophication changes.

428 Our study revealed that the primary nutrient causes of eutrophication changes varied with regions over the Yangtze Plain, where agricultural nutrient sources were strongly linked with eutrophication changes 429 in western and central lakes, while industrial wastewater showed a significantly positive correlation 430 431 with PEO trends in eastern lakes. Such spatial variations indicated that scientific policies and measures 432 were required to be implemented at local scales to mitigate eutrophication issues in lake ecosystems. 433 Separately, sustainable agriculture development should be encouraged to improve nitrogen/phosphorus use efficiency and thus reduce agriculture nutrient sources available for western and central lakes to 434 435 potentially control eutrophication issues. In recent years, several agriculture practices have been recommended and implemented, such as optimal fertilization schemes and residue removal, to pursue 436

high-efficiency agriculture on the Yangtze Plain (Cui et al., 2018; Shi et al., 2020). However, smallholders were hesitant to adopt those knowledge-based practices, resulting in their poor performance on agriculture sustainability (Cai et al., 2023). By contrast, national policies about formulated fertilization was implemented in 2012, and fertilizer consumption started to decline since 2014 (Deng et al., 2021), which was expected to reduce agricultural nutrient sources in western and central lakes.

In the eastern parts of the Yangtze Plain, policies and measures about mitigating eutrophication issues 443 444 were suggested to mainly focus on the decline and treatment in industrial sewage due to its large 445 contributions to nutrient exports delivered to lakes from the adjacent cities. The Jiangsu Province in the eastern parts of the Yangtze Plain (see the locations in Fig. 1) experienced rapid economic and industrial 446 447 development since the policy of Reform and Opening-up of China since 1980s (Shen et al., 2020), suggesting that the associated industrial wastewater discharge might be enhanced and then discharge 448 449 substantial nutrients to phytoplankton communities in lake ecosystems. In such cases, various national strategies and policies have been gradually implemented to promote the green growth of industries on 450 451 the Yangtze Plain. Considerable efforts were made to encourage the reclamation of wastewater, 452 investment in the advances in wastewater treatment technology and installment of municipal wastewater treatment plants (Li et al., 2013; Lyu et al., 2016). Furthermore, industrial structures were also 453 454 encouraged to transform from secondary to tertiary industries under the environment-friendly targets of 455 economic development (Huang et al., 2015). All these measures were expected to contribute to the 456 decline in industrial sewage on the Yangtze Plain.

# 457 **4.3 Limitations and Uncertainties**

Using the LPJ-GUESS model, we investigated the long-term changes and spatial variations of nitrogen dynamics (i.e., plant nitrogen uptake and nitrogen leaching) over the Yangtze Plain for the past four decades, and then examined the contributions of terrestrial nutrient sources to eutrophication changes in fifty large lakes. However, due to the lacking representation of a phosphorus cycle in the LPJ-GUESS model, we used external phosphorus fertilizer and manure application rates to represent the agricultural

phosphorus sources, without consideration of potential impacts from plant and soil processes. 463 Phosphorus fertilizer applications significantly increased from 6.5 kg P ha<sup>-1</sup> in 1980 to 22.0 kg P ha<sup>-1</sup> in 464 2014, and previous studies also reported that the overall low phosphorus use efficiency (< 40%) 465 characterized the Yangtze Plain from 2001 to 2015 (Zheng et al., 2018), both of which were similar to 466 467 nitrogen patterns on the Yangtze Plain for the past four decades. In addition, the leached nitrogen showed strong dependence on fertilizer applications ( $R^2 = 0.92$ , p < 0.001 in Fig. S4) over the Yangtze 468 Plain for the past four decades. In this regard, we considered agricultural phosphorus sources as the 469 potential driving force for eutrophication changes under the low levels of phosphorus use efficiency 470 over the Yangtze Plain (Li et al., 2017; Zheng et al., 2018). Nevertheless, we also acknowledge that the 471 use of phosphorus application data can generate uncertainties in our analysis, and thus processes related 472 to phosphorus cycles are needed to add into LPJ-GUESS in the future to study the interactions of 473 474 leached nitrogen and phosphorus on lake ecosystems.

475 Another source of uncertainty is associated with the transport processes that mediate the quantity and quality of terrestrial nutrients discharged to surface water ecosystems, as well as the impacts of 476 aquaculture-related nutrient sources. Lateral transport rates of runoff and dissolved matter depend on 477 soil properties, topography, and hydrological conditions over the drainage area (Solomon et al., 2015; 478 479 Tang et al., 2014; Tang et al., 2018), which is required to further consider at regional scales to link to the dynamics of terrestrial nutrient exports for lake ecosystems on the Yangtze Plain. In addition, 480 intensive and widespread freshwater aquaculture across the Yangtze Plain can contribute to accessible 481 482 nutrient sources for eutrophication development and phytoplankton growth (Guo and Li, 2003; Wang 483 et al., 2019a). Satellite observations revealed that 17 out of 50 lakes on the Yangtze Plain have 484 established enclosure fishery nets to increase fish production (Dai et al., 2019). Consequently, 485 substantial nutrients in fish food can directly enter aquaculture zones, promoting the contents of 486 nitrogen and phosphorus in these lakes. These associated drivers are required to be comprehensively 487 assessed to draw a complete picture of accessible nutrient sources for phytoplankton communities and then specify the anthropogenic impacts on water quality and eutrophication deterioration on the Yangtze 488 489 Plain.

490 Uncertainties in the PEO data can originate from the uneven distributions of valid numbers of satellite 491 observations across the fifty large lakes of the Yangtze Plain. Under the influence of observational 492 conditions (i.e., cloud coverage and thick aerosols), the imagery with high-quality observations 493 distributed unevenly across the different years and seasons, which potentially resulted in certain impacts 494 on the derived annual PEOs and their temporal trends. Alternatively, the annual PEOs were calculated based on the quarterly values to minimize such uncertainties. Nevertheless, more frequent satellite 495 496 observations (e.g., MODIS observations) will still be required to obtain a more accurate assessment of 497 eutrophication changes in lake ecosystems.

#### 498 5 Conclusions

499 We used the LPJ-GUESS model to investigate the long-term changes of nitrogen dynamics over the Yangtze Plain for the past four decades, and then examined their potential functions as the driving forces 500 of eutrophication changes in fifty large lakes of the Yangtze Plain. Significant decreases in NUE 501 502 dominated the whole Yangtze Plain, with the largest decrease in rice, soybean and rapeseed. The 503 leached nitrogen from both cropland and natural land showed statistically significant increasing trends 504 for all fifty examined lakes, indicating increased availability of terrestrial nitrogen sources in lake 505 systems for the past four decades. Two classes of lakes located in the western and central parts of the 506 Yangtze Plain showed significantly positive correlations between anomalies of PEO and agricultural 507 nutrient sources (i.e., the leached nitrogen and total phosphorus sources), and the PEO anomalies in the 508 remaining class (11 eastern lakes in the eastern parts of the Yangtze Plain) were positively correlated with the industrial wastewater discharge. The impacts of agricultural and industrial nutrient sources on 509 eutrophication changes further emphasize the importance of region-specific policies and measures (i.e., 510 511 sustainable management of agricultural nitrogen and phosphorus in western and central regions, and the 512 decline in wastewater-related nutrient discharge in eastern regions) to improve water environments.

513 *Data availability*. Data used in this study are archived by the authors and are available upon request.

*Author contributions.* QG, JT, LF and GS designed the framework and methodology of the study. QG
drafted the first version of the manuscript and analyzed the results. QG, JT and GS performed the

- 516 calibration of the LPJ-GUESS model. All co-authors contributed critically to the manuscript editing
- 517 and writing processes.
- 518 *Competing interests.* The authors declare that they have no conflict of interest.
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738