Throughfall exclusion and fertilization effects on tropical dry forest tree plantations, a large-scale experiment

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Abstract. Across tropical ecosystems, global environmental change is causing drier climatic conditions and increased nutrient deposition. Such changes represent large uncertainties due to unknown interactions between drought and nutrient availability in controlling ecosystem net primary productivity (NPP). Using a large-scale manipulative experiment, we studied for four years whether nutrient availability affects the individual and integrated responses of above- and below-ground ecosystem processes to throughfall exclusion in 30-year-old mixed plantations of tropical dry forest tree species in Guanacaste, Costa Rica. We used a factorial design with four treatments: control, fertilization (F), drought (D), and drought+fertilization (D+F). While we found that a 13-15% reduction in soil moisture only led to weak effects in the studied ecosystem processes, NPP increased as a function of F and D+F. The relative contribution of each biomass flux to NPP varied depending on the treatment, with woody biomass being more important for F and root biomass for D+F and D. Moreover, the F treatment showed modest increases in maximum canopy cover. Plant functional type (*i.e.*, N-fixation or deciduousness) and not the experimental manipulations was the main source of variation in tree growth. Belowground processes also responded to experimental treatments, as we found a decrease in nodulation for F plots and an increase in microbial carbon use efficiency for F and D plots. Our results emphasize that nutrient availability, more so than modest reductions in soil moisture, limits ecosystem processes in tropical dry forests and that soil fertility interactions with other aspects of drought intensity (*e.g.*, vapor pressure deficit) are yet to be explored.

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1 Introduction

Global environmental change is affecting primary productivity in tropical forest ecosystems. Among the main factors behind this variation in productivity are the changes in the hydrologic regime due to an increase in rainfall seasonality (Feng et al., 2013), increases in atmospheric water demand (McDowell et al., 2020), and regional decreases in soil moisture (Seneviratne et al., 2010). In other words, the tropics are getting drier. Results from observational studies found that droughts may increase tropical tree mortality rates (Powers et al., 2020; Chazdon et al., 2005), reduce above-ground biomass productivity (Phillips et al., 2009; Castro et al., 2018), reduce the production of seeds and flowers (O'Brien et al., 2018), and increase the abundance of high wood density and deciduous tree species (Swenson et al., 2020; Aguirre-Gutiérrez et al., 2020). However, how tropical forests respond to drought depends on other environmental factors such as soil fertility and the interaction with other disturbances (Brando et al., 2014; Brodribb et al., 2020; Becknell et al., 2021). Accounting for how these environmental variables modulate ecosystem responses to drought will enhance our understanding of the impacts of global environmental change in tropical forests, which play a disproportionate role in global carbon dynamics and provide ecosystem services to a quarter of the world's population (Wright, 2005; Lewis, 2006).

One largely overlooked factor is the potential role of nutrient availability in mediating tropical forests' vulnerability to drought. Tropical forests exist in a highly heterogeneous mosaic of soil fertility, parent material, and topography (Townsend et al., 2008; Augusto et al., 2017; Waring et al., 2021), properties that shape forest composition (Condit et al., 2013; Werden et al., 2018a), and function (Clark and Clark, 2000; Cunha et al., 2022). Nutrient-limited environments harbor a greater proportion of slow-growing, drought-tolerant species, whereas fast-growing drought-avoiding species dominate nutrient-rich environments (Oliveira et al., 2021), which suggests that soils play an important role in determining the heterogeneity of tropical forest responses to drought. Moreover, anthropogenic activities can cause an increase in atmospheric nitrogen and phosphorus deposition across ecosystems (Wang et al., 2017), and yet the consequences of these changes in combination with rainfall variation remain unknown in tropical forests (Matson et al., 1999; Hietz et al., 2011). In summary, the empirical evidence needed to characterize drought-nutrient interactions has yet to be documented but is highlighted as a priority to parameterize vegetation dynamics models (Smith et al., 2014).

1.1 Nutrient and water availability modulate ecosystem processes

Soil fertility is an important factor modulating the responses of forest productivity to rainfall variation. For instance, TDF stands growing in more fertile soils tend to show higher increases in productivity with higher rainfall than stands in nutrient-poor soils (Medvigy et al., 2019; Becknell et al., 2021). In low-nutrient environments, plants maximize transpiration rates to increase mass flow nutrient uptake, but variations in water availability could limit these processes with potential costs to ecosystem productivity (Santiago, 2015). Other processes besides primary productivity provide insight into ecosystem responses to global environmental change. Leaves, and more precisely canopy cover, are the center for carbon assimilation in forest ecosystems. Recent evidence suggests that the patterns of leaf flushing and leaf shedding are changing at a global scale because of climate change (Piao et al., 2019). While

Deleted: High nutrient availability alleviates drought stress because plants with higher leaf nitrogen maximize water use efficiency at the cost of photosynthetic nitrogen use efficiency, which will enhance photosynthetic capacity in favorable conditions.

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it is well documented that TDF leaf phenological cycles depend on plant water status and the start of the rainy season (Frankie et al., 1974; Borchert, 1994), phosphorus fertilization seems to reduce leaf life span in Eastern Amazon forests (Cunha et al., 2022). A decrease in leaf canopy cover affects productivity by decreasing the photosynthetic area (Doughty and Goulden, 2008), while changes in the timing of leaf flushing or shedding may create a cascade of effects with unknown consequences, which will affect organisms that depend on these processes (Coley, 1998). Thus, quantifying the combined effects of rainfall reductions and soil fertility on leaf production is key to disentangling the interactions between primary productivity, canopy processes, nutrient availability, and climate.

The extent to which nutrient and water availability interactions affect below-ground processes is highly uncertain, particularly in TDFs (Phillips et al., 2016; Allen et al., 2017). The increase of specific nutrients (*i.e.*, via nitrogen deposition) might cause an imbalance in stoichiometry or increase water demand, which plants will adjust by increasing transpiration rates or producing more root biomass (Lu et al., 2018; Waring et al., 2019; Cunha et al., 2022). It is also highly uncertain how these changes may affect the microbial processes that determine carbon cycling. For instance, whether soil microbial carbon use efficiency (CUE; the proportion of total carbon consumed that is used to grow new biomass) can acclimate in response to changes in water and nutrient availability is not known. Current knowledge suggests that microbes with high CUE produce more biomass that upon death becomes protected from future microbial attack by adhering to mineral surfaces (Cotrufo et al., 2013). Under drought, the CUE of the microbial community may decrease owing to the need to use carbon for survival strategies rather than for growth (Schimel et al., 2007). However, it is possible that reducing microbial nutrient limitation may alleviate the impacts of drought on CUE due to microbes investing less energy in resource acquisition (Schimel et al., 2007). Other aspects of soil microbial processes may be affected by drought or modulated by soil nutrient availability (Ahmed et al., 2018). Soil priming refers to the decomposition of older recalcitrant organic matter following the soil microbial community's stimulation by adding labile organic matter (Liu et al., 2020). If drought alters patterns of fine root growth and rhizodeposition (Preece and Peñuelas, 2016), this may lead to altered priming with altered consequences of soil organic carbon storage. Identifying the extent to which shifts in nutrient and precipitation regimes alter soil carbon cycling in TDFs is critical to increasing our understanding of climate change consequences in this important biome (Knorr et al., 2005; Chadwick et al., 2016).

1.2 Experimental framework

Carbon cycling in TDFs is likely limited by both water and nutrient availability (Lugo and Murphy, 1986; Castro et al., 2018; Medvigy et al., 2019; Becknell et al., 2021). This co-limitation of resources highlights the importance of quantifying the individual and interactive roles of these two factors in shaping ecosystem processes in this important and threatened biome (Hoekstra et al., 2005; Miles et al., 2006). Large-scale manipulative experiments are needed to understand the interactions between drought and nutrient limitation, although to date an experiment testing these two factors simultaneously has not been implemented in tropical forests. While nutrient addition experiments have shown mixed (strong, weak and none) effects on tree growth in tropical forests (Wright et al., 2018; Hou et al., 2020; Cunha et al., 2022), results from throughfall exclusion experiments suggest an initial

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121 decrease in woody productivity over the first two years and an increase in mortality after five years (Meir et al.,

122 2015). Most of these large-scale experiments have been conducted in wet tropical forests (mean annual rainfall >

123 2000 mm) (Meir et al., 2015; Wright et al., 2018), despite TDF's documented vulnerability to drought (Powers et al.,

124 2020).

125   To investigate whether nutrient availability modulates changes in ecosystem processes in response to

126 reduced rainfall manipulations we established a large-scale, fully factorial experiment in mixed-species plantations

127 as model TDF stands. We used rain-out shelters covering 50% of the forest floor area to reduce soil moisture and/or

128 fertilizer applications to increase soil nutrient availability (Fig. 1). We focused data collection on above- and below-

129 ground ecosystem processes that contribute to carbon cycling. For over a 4-yr period, we performed measurements

130 on tree diameter growth, canopy cover, and litterfall production as aboveground processes, and measurements of

131 fine roots production, nodulation, microbial CUE, and soil priming as belowground processes. We then integrated

132 ecosystem-level responses to the experimental manipulations by quantifying net primary productivity, aboveground

133 primary productivity, and the carbon allocation between above and belowground biomass.

2 Methods

135   Our experiment was conducted for four years (2016-2020) at Estación Experimental Forestal

136 Horizontes (hereafter Horizontes), in northwestern Costa Rica (10.711°N, 85.578°W) (Fig. 1). Before Horizontes

137 was incorporated into Área de Conservación Guanacaste (ACG), the lands were used for grazing and crops (Werden

138 et al., 2018b). Since 1989, Horizontes has served as a large-scale ecological and forestry research laboratory, and the

139 ~7500 ha area encompasses a mosaic of TDF at different successional stages (0-80 years), 64 ha of timber

140 plantations trials of native TDF species (Gutiérrez-Leitón, 2018), restoration trials (Werden et al., 2020), and a

141 Mesoamerican TDF arboretum (http://www.arbnet.org/morton-register/arboretum-del-bosque-seco-tropical). During

142 the study period, total annual rainfall averaged ~1547 mm, and median temperatures were 26.5 ± 1.6 °C during the

143 dry season and 25.6 ± 1.5 °C during the wet season (Fig. S1). Precipitation values were in range with the historical

144 average of ~1500 mm (Vargas G. et al., 2015). In Horizontes the start of the wet season is defined when cumulative

145 rainfall reaches 100 mm, which usually occurs in May and defines the beginning of the hydrological year (*i.e.*, 12

146 months after cumulative rainfall reaches 100 mm) (Aragão et al., 2007; Waring et al., 2019). Therefore our temporal

147 scale is the hydrological year as in TDFs the start of the rainy season determines the beginning of leaf production,

148 seed germination, and other ecological processes (Murphy and Lugo, 1986).

2.1 Experimental design

150   We conducted our experiment in tree plantations that were established in 1991 (Gutiérrez-Leitón,

151 2018). The plantations consist of three 8-10 ha blocks that each contains one of three focal species combined with

152 one of four species from a pool of 11 species native to Northwestern Costa Rica (Fig. S2). The plantations have not

153 received any management for 25 years prior to our experiment, trees were planted at a spacing of 3 x 3 m, and the

154 understory now contains a diverse community of 15 lianas and 50 trees/shrubs (Fig. 1). We selected six species that

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156 represent functional types common to the TDF based on species' ability to fix atmospheric nitrogen and leaf habit

157 phenology (Table 1) (Xu et al., 2016; Powers and Tiffin, 2010). We took a tree-centered approach in locating the

158 plots to include at least six individuals of each focal species in the four treatments, with a minimum of 12 trees per

159 plot. For this reason, the plot area ranged from 120-360 m^2 and contained a two-species combination that we

160 designated as a stand (Table S1). This experimental design was a compromise that allowed us to have at least four

161 individuals of each species within plots per treatment. Before selecting the plot locations, we did extensive surveys

162 of tree diameters to ensure that there were no systematic differences in tree diameters within species among

163 treatments (Fig. S3). Soil samples (0-10 cm depth) were collected in 2016 and 2021, by taking 7 to 10 cores (2.5 cm

164 diameter, one on each corner and three to six in the center line of the plot) and compositing cores by the plot.

165 Particle size distribution was collected in 2016 (Table S2), extractable elements (Olson extractable Cu, Zn, Mn, Fe,

166 K, and P), and total C and N for samples collected in the fifth year (2021).

167 We performed nutrient and drought manipulations using a fully factorial design with four treatments;

168 fertilization (F), drought (D), drought+fertilization (D+F), and un-manipulated control. We established four plot

169 replicates, per experimental treatment that each contained two of the six tree species, such that every species was

170 represented in one plot of each treatment. The D and D+F treatments consisted of a series of structures that covered

171 50% of the surface area at each plot and were suspended at a 40° angle at distances from 0.4 to 2.5 meters above the

172 ground (Fig. 1). The throughfall exclusion shelters were built with transparent polycarbonate corrugated sheets,

173 wood poles, and polyvinyl chloride pipes. To avoid lateral fine root growth outside the throughfall exclusion

174 structures, we dug a 50 cm deep trench around each exclusion plot that was covered with a barrier of double-folded

175 0.075 mm thick polyethylene film and then backfilled. Precipitation was routed off the throughfall exclusion plots

176 by a system of gutters and ground channels (Fig. 1). For the F and D+F treatments, a slow-release complete formula

177 (macro- and micro-nutrients) nutrient fertilizer was broadcasted uniformly over the entire plot area in two

178 applications during the rainy season each year. From 2016 to 2018 we used Basacote® Plus *3M* (Compo Expert

179 GmbH), and then due to low market availability from 2018 through 2020, we used Osmocote® Plus (The Scotts

180 Company LLC) (Table S3). Nutrient addition rates were targeted to 150 kg N ha^{-1} yr^{-1} (Table S1), similar to other

181 large-scale tropical forest fertilization experiments (Wright et al., 2011; Alvarez-Clare et al., 2013; Waring et al.,

182 2019). We placed fertilized plots more than 50 m away from other plots or down the slope from control and drought

183 plots whenever we could not find enough trees 50 m away. These measures were considering the possibility of

184 nutrient leaching from one plot to another one. Finally, because litterfall accumulated on the surfaces of the plastic

185 panels, every two weeks we used long brooms to sweep the litter off the panels and then place it under each panel.

186 **2.2 Soil moisture**

187 We quantified volumetric soil moisture at a 30 min frequency for the duration of the experiment with

188 an EM50 Digital data logger equipped with four 10-HS soil moisture probes (METER Group, Inc. USA). Probes

189 were distributed in two opposite pairs from the center of each plot, each pair consisting of a probe at 10 cm depth

190 and another probe at 40 cm depth in the soil.

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2.3. Aboveground processes

2.3.1. Tree growth

From December 2016 to December 2020, we measured the diameter at breast height (DBH) for all stems greater than 2.5 cm DBH annually at the end of the growing season. These measurements included the plantation trees and every stem that recruited into the 2.5 DBH size class before and during the experiment. All trees and shrubs were identified to species level and classified into nitrogen fixation and leaf habit (evergreen or deciduous) functional types. For stems between 2.5 cm and 10 cm DBH, diameter was measured with a diameter tape at a marked point 130 cm above the ground. In the case of stems > 10 cm DBH, we measured DBH increments using band dendrometers set at 130 cm. For each stem we calculated relative growth (RGR) as $RGR_{i\text{-}f} = log\big(DBH_f/DBH_i\big)\big/\big((DC_f\text{-}DC_i)/365\big)$, where DC represents the day of the century, i and f final DBH and DC values for a given stem (Wright et al., 2011).

2.3.2. Canopy productivity

We measured canopy productivity from January 2017 through December 2020 using two complementary methods: litterfall traps and leaf area index. To measure litterfall production, we deployed three 0.25 m^2 traps ~ 0.4 m above the ground in a transect along the center of each plot. In plots with throughfall exclusion structures, traps were in the spaces between the polycarbonate sheets. Litter was collected monthly from each trap, dried for 72 hours at 60 °C, and sorted into leaves, small branches, flowers, fruits, and frass, and then weighed separately. We then calculated the annual litterfall productivity in kg m^{-2} yr^{-1} for total litterfall (leaves, small branches, flowers, and fruits), only leaves, and reproductive litterfall (flowers and fruits).

Leaf area index (LAI) was measured in seven points at each plot (four in each corner and three along the center) every 10 to 30 days with an LAI-2200C Plant Canopy Analyzer (LI-COR Biosciences, Lincoln, NE, USA). The variation in sampling frequency was caused by logistical constraints that wet seasons occasionally imposed on our ability to reach the plots. Because of the high abundance of species from the Fabaceae family in the plots, LAI measurements were performed after sunrise (between 0900 to 1100 h) given the associated nastic movements in leaves after dawn and before dusk (Minorsky, 2019). For that reason, we took each measurement using a 45° angle cap towards the center of the plot and performed scattering correction before and after each measurement cycle throughout the entire experiment. LAI data were subsequently estimated from the first four gap fractions using the software application FIV-2200 (LI-COR Biosciences, Lincoln, NE, USA). In 2017 tropical storm Nate, which impacted 85% of the Costa Rican territory (Quesada-Román et al., 2020), caused a significant LAI decrease during the month of November (Fig. S4). For that reason, we dropped the measurements of November and December 2017 from all the analyses involving LAI data. From the LAI data, we extracted leaf area duration (LAD, m^2 m^{-2} d^{-1}), which describes the temporal dynamics and leaf persistence in the canopy of broad-leaf plant communities (Ewert and Pleijel, 1999; Norby et al., 2003). LAD is defined as the area under the non-linear curve of LAI as a function of the Julian day:

$$LAD = \int_b^e LAI\,(t)$$

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229   Where, b, describes the beginning of the growing season in Julian days; e, the end of the growing season in

230 Julian days; LAI (t), the function of LAI temporal variation during the given growing season (Pokorný et al., 2008).

231 The growing season in this case is defined by leaf flushing and leaf fall (Norby et al., 2003). We obtained the

232 growing season parameters b and e from plot-specific and year-specific generalized additive models by estimating

233 the Julian days in which LAI starts to increase (positive slope change) from the minimum and when it starts to

234 decrease (negative slope change) after the maximum LAI (Methods S1). Then we fitted the LAI temporal variation

235 during the growing season and integrated it from b to e to obtain the area under the non-linear function LAI (t) (Fig.

236 S5). In addition to LAD, for each plot, we calculated the maximum LAI value during the growing season (LAI_{max},

237 $m^2\ m^{-2}$), minimum LAI during the dry season (LAI_{min}, $m^2\ m^{-2}$), leaf-less period (LLP, d), the beginning of leaf

238 flushing (GSB, d) and the seasonal LAI enlargement (LAE, %) which is the percentage change in LAI from the dry

239 season to the wet season (Pokorný et al., 2008).

240 **2.4. Belowground processes**

241 **2.4.1 Fine-root and nodules production**

242   We measured fine root production from July 2016 through December 2020 using the ingrowth core

243 method (Waring et al., 2016). To do this, we installed seven ingrowth cores in each plot to a depth of 15 cm. With

244 this method, we quantified fine roots as the biomass of new root growth inside an 8 cm diameter cylindrical

245 ingrowth bag with a 2 mm nylon mesh. The cores were collected two months after deployment and a subsequent

246 new set of cores was installed right after collection. While deploying the cores, we filled them with sieved, root-

247 free soil collected on-site. During the first year of the experiment, cores were sampled in the dry season. However,

248 the clay-rich soils harden greatly during the dry season, which increased the difficulty of deploying new bags during

249 these times. For the following three years, ingrowth bags were harvested in June, August, and November, with the

250 modification that the bags harvested in June were deployed in November. We acknowledge that roots may have

251 grown, died, or decomposed during the dry season (Kummerow et al., 1990). However, this effect will lead to

252 minimal bias in annual totals, as dry season root growth and decomposition are expected to be negligible in the TDF

253 (Kavanagh and Kellman, 1992). After collecting the cores, fine roots were separated from the soil by washing them

254 over a 2 mm sieve. We counted the number of nodules on each root sample if present. Finally, root samples were

255 dried for 72 hours at 60 °C and weighed to estimate total fine root productivity in kg $m^{-2}\ yr^{-1}$.

256 **2.4.2 Microbial CUE and priming**

257   To analyze microbial CUE, we collected ten soil samples (5 cm diameter, 15 cm depth) from each plot

258 during the wet season in August 2019 and homogenized them into one soil sample per plot. The samples were

259 expedited back to the University of Minnesota where a laboratory microcosm experiment was performed. Microbial

260 CUE was assessed using the ^{13}C glucose tracing method (Frey et al., 2013), briefly >97% ^{13}C glucose (Cambridge

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267 Isotope Laboratories) at a rate of 400 ug C g^{-1} soil was mixed with 25 g of each homogenized soil sample in 32 oz

268 mason jars (946.3 ml) with septa in the lids. Soil samples that did not rewet to maximum water-holding capacity

269 (WHC) from control, D, F, and D+F plots were brought to 20% WHC with the addition of the glucose solution, with

270 lab replications yielding n=4. To examine the effects of rewetting, additional soil samples from each field treatment

271 were rehydrated with a glucose solution to maximum soil WHC (n=4). Additional control soils were incubated

272 without the addition of glucose and received the same amount of deionized water as non-rewet samples as a non-

273 amended control, bringing the total to 48 jar incubations. Soil microcosms were well mixed with water or substrate

274 solution and incubated for one week at room temperature. During this time the production of $^{13}CO_2$ and total CO_2

275 were assayed every other day by taking gas samples from the microcosm headspace through the septa and inserting

276 them into 12 ml Exetainer vials (Labco Limited). After gas samples were taken, jars were opened for ~ 20 minutes

277 to allow for gas exchange. After the experiment was complete, Exetainer vials were shipped to West Virginia

278 University, where each gas sample was measured using an LI-6400 (LI-COR Biosciences, Lincoln, NE, USA) and

279 Picarro G2201-i (Picarro Inc., Santa Clara, CA, USA). Glucose and soil organic matter-derived CO_2 – C was

280 calculated via mass balance as described in Morrissey et al. (2017). Priming was then calculated as the difference in

281 soil organic matter CO_2 – C between the microcosms that received substrate solution and those that received water.

282 At the cessation of the incubation, total microbial biomass was obtained by a chloroform fumigation method (Witt et

283 al., 2000). Briefly, 8g of soil was suspended in 45 mL of 0.1 M K_2SO_4 with or without an additional 1ml of ethanol-

284 free chloroform and shaken for 4 hours (chloroform) or 2 hours (no chloroform) and filtered (90 mm GF/A filter

285 paper). Extracts were stored at -20°C until dissolved organic carbon was oxidized to CO_2 via a persulfate digestion

286 (Doyle et al., 2004). Digestion efficiency was determined using a standard curve of yeast extract solution ranging

287 from (0-200 mg C L^{-1}). The concentration and isotopic enrichment of the resulting CO_2 gas were measured on the

288 Picarro. Total and substrate-derived microbial biomass-C was calculated as the difference in C (mg) between

289 chloroformed and non-chloroformed soil extracts. Microbial CUE was calculated as substrate-derived biomass -C

290 divided by the total carbon consumed (substrate-derived CO_2 – C and biomass -C), where we distinguished

291 substrate-derived microbial biomass C from total microbial biomass by using the atom% ^{13}C to calculate the total

292 amount of ^{13}C-labeled biomass per gram of dry soil (Kane et al., 2023).

293 **2.5. Ecosystem productivity**

294   To quantify total net primary productivity (NPP) in kg m^{-2} yr^{-1}, we summed total litterfall, wood, and

295 root production in each plot for a given year. To estimate wood production, we calculated stem aboveground

296 biomass (AGB) using allometric equations for tropical tree species (Chave et al., 2014). For the allometric

297 equations, we measured the height of each stem using a Haglöf EC II-D electronic clinometer (Haglof Inc.,

298 Madison, MS, USA) and obtained wood density data from a functional trait database for the TDF of Guanacaste

299 (Powers and Tiffin, 2010). Mean wood density was substituted for species without wood density data. Annual

300 woody productivity then represented the sum of biomass increments from trees newly recruited into the 2.5 cm DBH

301 size class plus biomass gain from increased diameters in planted and existing recruited trees. Additionally, we

302 calculated aboveground net primary productivity (ANPP) by summing only woody and litterfall productivity, and

303 the aboveground:belowground productivity ratios (AGB:BGB) by dividing ANPP by the root production in each

304 plot for each year.

2.6. Statistical analysis

306   To test whether the throughfall exclusion structures affected soil moisture, we performed a linear

307 mixed model with the change in soil moisture for a given plot as the response variable, the presence of the

308 throughfall exclusion structure and the weekly time points from January 2017 to December 2020 were fixed effects,

309 and probe nested within plot nested within stand as a random intercept. This approach allowed us to test the effect

310 on soil moisture after the onset of the throughfall exclusion structures (Reid et al., 2015), while also accounting for

311 the intra-annual variation in soil moisture typical of the TDF (Schwartz et al., 2022). We ran separate models for

312 each depth (10 and 40 cm), and for the wet season and dry season due to the strong rainfall seasonality. To obtain

313 the change in soil moisture per plot, we divided the observation time into two periods, a pre-treatment (May 2016 to

314 late August 2016) that consisted of wet season soil moisture data before the shelters were set up, and an

315 experimental period (January 2017 to December 2020). We excluded from this analysis the data collected between

316 September and December 2016 as we finished establishing the rainout shelters three months into the rainy season.

317 After removing outliers using the interquartile method, we calculated the median pre-treatment soil moisture (SM_{PT},

318 m^3 m^{-3}) for each probe in each plot. We then calculated the treatment effect as the percentage change between each

319 soil moisture observation (SM_i, m^3 m^{-3}) and the SM_{PT}. To investigate inter-annual variation in wet season soil

320 moisture, we fitted additional linear mixed models to test whether soil moisture in plots without throughfall

321 exclusion varied as a function of year and depth, and the probe nested within the plot nested within stands as a

322 random intercept. In both cases, we calculated type III sum squares and the F value for each model and performed

323 Tukey's honest significant difference test (Tukey's HSD) for multiple comparisons.

324   We tested the effects of the experimental treatments on aboveground and belowground ecosystem

325 processes by fitting a series (one for each response variable) of a two-factorial linear mixed effects model. For tree

326 diameter RGR, we studied responses by understory and plantation trees separately due to differences in the life

327 history of individuals and the possible biases in growth associated with tree size (Iida et al., 2014). Moreover, in

328 addition to the treatment effects, we quantified the effects of two plant functional type classifications. For this, we

329 fitted a model that included leaf phenology (*e.g.*, deciduous and evergreen) and a model that included whether the

330 species was a nitrogen-fixer or not. Functional types are linked to physiological differences among tree species

331 (Vargas G. et al., 2021; Powers and Tiffin, 2010; Vargas G. et al., 2015), and are important drivers explaining tree

332 growth responses to nutrient additions and water availability (Waring et al., 2019; Costa et al., 2010; Wright et al.,

333 2011; Toro et al., 2022). In these models, RGR was the response variable, and drought, fertilizer, and functional type

334 were the predictors. Additionally, we included the species' identity of each stem nested within the plot nested within

335 stand as random effects. In the case of biomass fluxes, microbial CUE, and LAI-derived metrics, these processes

336 (*e.g.*, total litterfall) were the response variables, the drought treatment was one factor, and the fertilizer treatment

337 was the second factor, we included their interaction and the experimental unit (*e.g.*, litterfall basket) nested within

338 the plot nested within stands as a random intercept. With these models, we were able to estimate the main effect of

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drought, the main effect of fertilization, and the interaction between drought and fertilization, while also accounting

for the effects of the plantation stand and the plot, and in the case of RGR plant functional type. We then calculated

type III sum squares and the F value for each model in an analysis of variance (ANOVA) given our unbalanced

design, and used Tukey's HSD test for multiple comparisons. To analyze the response of CUE from soils that were

held at field soil moisture to soils that were rewet, we calculated the natural log response ratio [*i.e.*, ln(RR)], defined

here as the mean of the rewet soils CUE divided by the mean CUE of the field soil moisture soils. Values of ln(RR)

below 0 indicate a decline in CUE to rewetting. All data management, and statistical analysis were done using R

software for statistical computing version 3.6.3 (R Core Team, 2021), and the packages mcvg (Wood, 2004, 2011),

nlme (Pinheiro et al., 2019), car (Fox and Weisberg, 2019), and tidyverse (Wickham et al., 2019).

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3 Results

3.1. Soil moisture and fertilization

At 40 cm depth, we found evidence ($p < 0.05$) of a ~ 13% reduction in soil moisture as a function of

the pretreatment period in the plots with a throughfall exclusion structure, contrary to a weak ~ 4% reduction in soil

moisture in the plots without throughfall exclusion (Fig. 2). At 10 cm we observed an average change of -15% in

throughfall exclusion plots, but this was not significantly different when compared to the non-droughted plots (-

9.43%) (Fig. 2). In both plots with throughfall exclusion and plots without exclusion there was strong evidence ($p <$

0.001), across all depths and in both seasons, that soil moisture increased or decreased following seasonal rainfall

patterns. Weekly median soil moisture values in the throughfall exclusion plots oscillated between 0.21-0.42 m^3 m^{-3}

at 10 cm depth and 0.25-0.44 m^3 m^{-3} at 40 cm depth, compared to 0.22-0.43 m^3 m^{-3} at 10 cm depth and 0.25-0.45 m^3

m^{-3} at 40 cm depth for plots without throughfall exclusion (Fig. 2). Wet season soil moisture followed the inter-

annual rainfall variability in which the average volumetric water content was around 0.39 m^3 m^{-3} during 2016 and

2017, while it was around 0.32 m^3 m^{-3} from 2018 to 2020 (Fig. S6). At the end of four years, extractable soil P

increased by 2-3 fold in plots receiving fertilizer, and extractable Fe also increased (Fig. S7); however, none of the

other soil chemical variables we measured differed among treatments.

3.2. Above-ground responses

3.2.1. Tree diameter relative growth rates (RGR)

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We found no evidence of changes in RGR as a function of drought (D) and fertilizer additions (F) for either

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understory (D: $F = 0.03$, *d.f.* $= 1$, $p = 0.8601$; F: $F = 0.22$, *d.f.* $= 1$, $p = 0.6580$) or plantation trees (D: $F = 2.35$, *d.f.*

$= 1$, $p = 0.1489$; F: $F = 1.14$, *d.f.* $= 1$, $p = 0.3041$). We found moderate evidence of an interaction between drought

and fertilizer for plantation trees ($F = 5.16$, *d.f.* $= 1$, **$p = 0.0499$**) but not for understory trees ($F = 5.04$, *d.f.* $= 1$, **$p =$**

0.0659) (Fig. 3), whereas the effects of fertilization caused an increase in RGR for trees in drought plots and a

decrease for trees in non-drought plots (Fig. S8). The nitrogen-fixing plant functional type (PFT) explained the

differences in RGR for understory ($F = 21.11$, *d.f.* $= 1$, **$p < 0.0001$**) and plantation trees ($F = 4.18$, *d.f.* $= 1$, **$p =$**

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380 **0.0512**) (Fig. 3) with non-N-fixers showing higher growth rates than N-fixers in both cases. On the other hand, the

381 deciduous PFT showed weaker effects on RGR for plantation trees ($F = 3.95$, $d.f. = 1$, $p = 0.0639$) (Table S4). RGR

382 varied idiosyncratically among plantation species in response to the experimental treatments, but we did not find

383 evidence of significant treatment effects (Fig. S9). We also found a higher number of dead trees over the four years

384 in plots with experimental manipulations and hence higher biomass losses (Table S5). Mortality, recruitment, and

385 survival for the trees and shrubs that were recruited in the plots did not differ in response to the experimental

386 treatments (Fig. S10).

387 **3.2.2. Canopy productivity**

388 The experimental manipulations showed no effects on fine litter production (drought: $F = 0.96$, $d.f. = 1$, p

389 $= 0.3473$; fertilizer: $F = 1.33$, $d.f. = 1$, $p = 0.2724$) and the production of leaves (drought: $F = 0.64$, $d.f. = 1$, $p =$

390 0.4404; fertilizer: $F = 1.39$, $d.f. = 1$, $p = 0.2646$). Nevertheless, the control plots produced on average 0.69 ± 0.14 kg

391 m^{-2} of fine litter, which was 12 % lower than in the fertilized plots with 0.78 ± 0.14 kg m^{-2} yr^{-1}, 13% less than $0.79 \pm$

392 0.24 kg m^{-2} yr^{-1} of the drought plots, and 8% lower than 0.75 ± 0.23 kg m^{-2} yr^{-1} in drought+fertilizer plots. We also

393 found a 40% decrease in the production of flowers, seeds, and fruits with nutrient additions ($F = 4.84$, $d.f. = 1$, $p =$

394 **0.0539**) (Fig. S10), but no effects with the throughfall exclusion ($F = 1.54$, $d.f. = 1$, $p = 0.2449$). In all the plots leaf

395 area index (LAI) increased ~73% from the dry season (median LAI: 1.22) to the wet season (median LAI: 5.10).

396 None of the metrics obtained from the LAI measurements changed in response to the experimental manipulations

397 (Fig. S12), the only exception being maximum LAI (LAI$_{max}$) which we found to be marginally higher for fertilized

398 plots ($F = 3.36$, $d.f. = 1$, $p = 0.0928$).

399 **3.3. Below-ground responses**

400 **3.3.1. Fine roots and nodule production**

401 We found no evidence that differences in the production of fine roots were due to the throughfall

402 exclusions ($F = 0.25$, $d.f. = 1$, $p = 0.6227$) or nutrient additions ($F = 0.73$, $d.f. = 1$, $p = 0.4105$); despite that, root

403 productivity in the control plots (0.112 ± 0.06 kg m^{-2} yr^{-1}) was ~15% less than in the drought plots with 0.133 ± 0.09

404 kg m^{-2} yr^{-1}, ~27% less than in the fertilized plots with 0.154 ± 0.09 kg m^{-2} yr^{-1}, and ~24% less than in the

405 drought+fertilizer plots 0.149 ± 0.12 kg m^{-2} yr^{-1}. In general, we observed a decrease in the production of nodules in

406 the fertilization treatment ($\chi^2 = 4.95$, $d.f. = 1$, $p = 0.0262$), because only 1 nodule was observed in plots with nutrient

407 additions during the experimental manipulations. Interestingly, nodule production was similar for drought,

408 drought+fertilizer, and control plots with 69, 57, and 53 respectively ($\chi^2 = 0.03$, $d.f. = 1$, $p = 0.8589$).

409 **3.3.2. Microbial carbon use efficiency (CUE)**

410 CUE was ~38% higher in soils from both the drought ($F = 4.31$, $d.f. = 1$, $p = 0.0621$) and fertilized plots (F

411 $= 4.10$, $d.f. = 1$, $p = 0.0678$) relative to control plots (Fig. 4). When the soils were rewet in the lab, the CUE

412 exhibited a negative response as quantified by the ln(RR) for both the drought ($F = 5.66$, $d.f. = 1$, $p = 0.0366$) and

415   fertilization treatments ($F = 0.73$, $d.f. = 1$, $\boldsymbol{p = 0.0809}$) (Fig. 4). There were interaction effects between experimental

416   treatments for both the CUE ($F = 5.33$, $d.f. = 1$, $\boldsymbol{p = 0.0462}$) and ln(RR) ($F = 4.76$, $d.f. = 1$, $\boldsymbol{p = 0.0597}$), showing

417   evidence of different responses to drought depending on nutrient availability and how CUE was negatively affected

418   by rewetting for drought plots (Fig. 4). Soil priming was similarly influenced by rewetting and across all treatments,

419   the soils held at field soil moisture showed negative priming (Fig. S13). Rewetting the soils in the lab led to greater

420   soil C priming in the drought plots ($F = 5.33$, $d.f. = 1$, $\boldsymbol{p = 0.0497}$), but not in the fertilized plots ($F = 0.0191$, $d.f. = $

421   1, $p = 0.8932$) (Fig. S13).

3.4. Ecosystem productivity and biomass allocation

423   Ecosystem level fluxes were more responsive to fertilization than to the throughfall exclusion (Fig. 5). Net

424   primary productivity (NPP) increased with nutrient additions ($F = 7.86$, $d.f. = 1$, $\boldsymbol{p = 0.0178}$), which led to 17% and

425   19% higher NPP in fertilizer and drought+fertilizer plots respectively relative to the control plots (Fig. 5). Although

426   we observed a 14% NPP increase in the drought plots ($F = 5.29$, $d.f. = 1$, $\boldsymbol{p = 0.0431}$), we found no evidence this

427   was different from the control plots after looking at the multiple comparisons (Fig. 5). Consistently, when

428   considering only above-ground net primary productivity (ANPP) we found that fertilizer increased the amount

429   biomass produced ($F = 5.81$, $d.f. = 1$, $\boldsymbol{p = 0.0362}$) which was 15% and 19% higher for fertilizer and

430   drought+fertilizer plots respectively relative to the control plots (Fig. 5). Moreover, the drought treatment decreased

431   ANPP ($F = 4.58$, $d.f. = 1$, $\boldsymbol{p = 0.0575}$). We found no evidence that the drought ($F = 0.30$, $d.f. = 1$, $p = 0.5960$) or

432   fertilizer plots ($F = 0.35$, $d.f. = 1$, $p = 0.5645$) allocated more belowground biomass (Fig. 5). We did not observe

433   interaction effects by the experimental treatments in either NPP ($F = 1.13$, $d.f. = 1$, $p = 0.30$), ANPP ($F = 0.77$, $d.f.$

434    $= 1$, $p = 0.3991$), or AGB:BGB ($F = 0.34$, $d.f. = 1$, $p = 0.5695$), although the response to nutrient additions in the

435   plots without throughfall exclusions was slightly higher for NPP and ANPP relative to plots in the drought treatment

436   (Fig. 5, panel b).

4 Discussion

438   Here we present the first attempt to experimentally test whether integrated ecosystem responses to rainfall

439   manipulations are limited by nutrient availability in the tropical dry forest (TDF) biome (Beier et al., 2012; Meir et

440   al., 2015). We found that a 13-15% reduction in soil moisture only leads to modest effects in the studied ecosystem

441   processes. By contrast, extractable P increased in the fertilized plots severalfold (Fig. S7) causing an increase in

442   primary productivity (both NPP and ANPP) (Fig. 5), a decrease in the nodule production, a decrease in the

443   production of seeds and flowers (Fig. S10), increases in LAI_{max} (Fig. S11), and an increase in CUE when compared

444   to the control plots (Fig. 4). Variation in tree relative growth rates (RGR) were mostly due to plant functional types

445   rather than the experimental treatments. However, there was a significant interaction in how understory trees

446   responded to both treatments leading to a reduction in the differences between N-fixing and non-N-fixing trees (Fig.

447   3). Collectively, these results suggest that reducing soil moisture by a modest amount is not sufficient to drive large

448   reorganizations in ecosystem processes, and that soil nutrient availability mildly modulate short-term changes in

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450 productivity. Below, we further explore the implications of these results in the context of how soil fertility could
451 affect tropical ecosystem responses to global environmental change.

452 **4.1. Nutrient and water limitations on ecosystem productivity**

453 In a broad sense, we found that nutrient availability had a stronger control on forest productivity than a
454 ~15% reduction in soil moisture. While this result does not resonate with the expectation that water availability
455 imposes a greater limitation on productivity across environmental gradients than soil fertility (Harrington et al.,
456 1995; Santiago and Mulkey, 2005; Toledo et al., 2011; Sala et al., 2012; Poorter et al., 2016), it provokes the
457 question to what extent are tropical dry forests resilient to drought stress? Our data point to other aspects related to
458 drought intensity and not soil moisture alone that could be key factors in how water availability shapes TDF primary
459 productivity (Anderegg et al., 2013). Recent studies from northwestern Costa Rica have shown that abnormal
460 drought stress due to a strong ENSO event in 2015 caused biomass loss due to an increase in tree mortality, a
461 decrease in reproductive biomass production, and reductions in productivity (O'Brien et al., 2018; Castro et al.,
462 2018; Powers et al., 2020). The main characteristics of the 2015 ENSO were the elevated temperatures and a
463 substantial rainfall reduction for the region (Santoso et al., 2017), which can increase the severity of drought effects
464 in forest ecosystems due to increased atmospheric water demand (Brodribb et al., 2020; McDowell et al., 2020).
465 Thus, while throughfall exclusion experiments manipulate soil moisture, it is possible that a combination of factors
466 such as the vapor pressure deficit, the rainfall patterns (intensity and seasonality), and their linkages to soil moisture,
467 is a more important aspect of drought stress for forested ecosystems than soil moisture alone.
468 We observed the strongest experimental signal in the fertilization treatment (F and D + F) regardless of the
469 throughfall reductions. Such responses agree with known evidence of nutrient limitation on productivity in tropical
470 forests (Alvarez-Clare et al., 2013; Wright et al., 2018; Waring et al., 2019; Cunha et al., 2022), which has also been
471 observed in ecosystem models for the TDF (Medvigy et al., 2019). Interestingly the contribution of each biomass
472 flux to NPP depended on the combined effects of drought and fertilization, with root productivity contributing more
473 in droughted plots and woody productivity in fertilized plots (Fig. S14). Although, the observed changes in woody
474 and root production were not statistically significant when analyzed individually. Changes in root and woody
475 productivity in response to nutrient additions have been observed in secondary wet tropical forests (Wright et al.,
476 2018) and Eastern Amazon forests (Cunha et al., 2022). In a nearby secondary TDF Waring et al. (2019) found no
477 significant effect of nitrogen and/or phosphorus additions on productivity, however, in contrast to that study, our
478 experiment included the additions of both macro and micro-nutrients (Table S2). Moreover, the increase in
479 productivity as a function of fertilization showed a bigger, yet not significant, increase without the presence of
480 throughfall structures (Fig. 5, panel b). This trend resembles observed patterns in nearby stands of TDF, where
481 forests in fertile soils are more responsive to increases in rainfall than forests in infertile soils (Becknell et al., 2021).
482 Our results are comparable to other throughfall exclusion experiments in which fine litter production was not
483 affected by the drought treatment (Nepstad et al., 2002; Brando et al., 2006; Schwendenmann et al., 2010), with
484 most of its variation linked to inter-annual climatic variability rather than the experimental manipulations (Brando et
485 al., 2008).

4.1.1 Canopy dynamics and tree growth

While maximum canopy cover (LAI_{max}) showed a mild increase in the fertilization treatment ($p = 0.09$), the temporal dynamics of leaf phenology showed no changes (Fig. S12). It is possible that the timing of leaf phenology may also depend on intra- and interspecific responses to environmental factors that shape soil water availability including temperature, atmospheric water demand, and soil water retention. For example, the tree species *Coussarea racemosa* A. Rich modified its vegetative and reproductive phenology in response to a rainfall manipulation in the eastern Amazon (Brando et al., 2006), while at the forest level changes were observed in LAI_{max} but not the timing of leaf production (Brando et al., 2008). However, the opposite was observed in a fertilization experiment in the same region where nutrient additions reduced leaf life span and had no effects on LAI_{max} (Cunha et al., 2022). In a throughfall exclusion experiment combined with fertilization in loblolly pine (*Pinus taeda* L.) plantations, there were no changes in the LAI_{max} in response to rainfall reduction but an increase in the LAI_{max} in the fertilized plots (Samuelson et al., 2014), which is qualitatively consistent with our data. This body of knowledge suggests that the effects of experimental manipulations on canopy cover might be context dependent.

No species showed significant changes in RGR, but the understory trees showed a reduction in the differences between N-fixing and non-N-fixing trees. For F and D this was due to a reduction in growth rates by non-N-fixing trees, while for D+F due to an increase in the growth rates by N-fixing trees (Fig. 4). One possible reason for these patterns could be increased resource availability due to decreased competition. The D+F plots in which these three species were present experienced the highest biomass losses due to mortality during the four years of experimental manipulation (Table S5; Fig. S10). Even though it is hard to determine the cause of death, an increase in growth rates of understory trees has been observed after the mortality of larger trees (Rowland et al., 2015). The lack of responsiveness in the F and D plots, in addition to the biomass losses in some of the D+F plots (Table S5), supports the idea that the availability of resources such as light could be the cause of higher RGR in the D+F compared to the other treatments (Fig. S15). The lowest RGR were found in plots with the D treatment, with the strongest experimental effect on *D. retusa*, *E. cyclocarpum*, and *S. glauca* (Fig. S9). Yet not significant, these results are very similar to what has been found in other tropical throughfall exclusion experiments (Meir et al., 2015), in which there is an overall negative effect on tree diameter growth by a decrease in soil moisture.

4.1.2 Belowground responses

The fertilized plots showed only one nodule during the length of the experiment. This observed trend suggests that fertilizer addition alleviates nutrient limitations for legumes (Toro et al. 2022), and confirms the facultative nature of nodulation (Barron et al., 2011). While not statistically significant, nodule production was the highest for both drought treatments (D and D+F). In part, a decrease in soil moisture slows down the rate of nitrogen mineralization and limits plant nutrient uptake (Borken and Matzner, 2009; He and Dijkstra, 2014). Comparable to our results, the legume species *Robinia pseudoacacia* L. also increased nodulation in a drought experiment (Wurzburger and Miniat, 2014). Moreover, trees tend to rely more on deeper water sources with less access to nutrients (Querejeta et al., 2021). This allocation of root biomass might also enhance nodulation in legumes as there

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Deleted: an initial spike in tree mortality has been observed in a long-term throughfall exclusion experiment in the Amazon, which also caused

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Deleted: found that tree growth and mortality in the same experiment reached an equilibrium in the long-term (> 10 years), reporting similar values to trees in a 1 ha plot without rainfall manipulation.

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539 might be changes in the vertical profile of nutrients in the soil, particularly in arid environments where plants can
540 have deep roots (Tumber-Dávila et al., 2022). However, the lack of data on root production beyond the top 15 cm in
541 our experiment makes it hard to confirm this is the case.
542   Our soil incubation results suggest that global environmental change has the potential to alter microbial
543 CUE and the susceptibility of soil carbon to pulse rainfall events in tropical dry forests. After three years of
544 treatment, soil microbes in the D and F soils had significant increases in glucose-based quantifications of CUE (Fig.
545 4). Increases in CUE are commonly attributed to shifts in the microbial community (Domeignoz-Horta et al., 2020),
546 changes in the availability of soil C (Morris et al., 2022), or a reduction in carbon investment by microbes in
547 enzymes to fuel the nutrient acquisition (Manzoni et al., 2012). In this experiment, however, the increases in CUE in
548 the D and F soils but not the D + F soils hinder our ability to narrow down which of these mechanisms may be
549 driving our results. Quantifying the shifts in microbial community composition, as well as the identity of microbes
550 that are active decomposers, may shed light on the mechanistic underpinning of the CUE response observed here.
551 Importantly, these differences in CUE across treatments also appeared to impact the response of the soils to large,
552 simulated rainfall events. Regardless of treatment, rewetting the soils to water holding capacity led to a large
553 reduction in CUE (Fig. 4). While not statistically significant, there was a clear trend of greater CUE declines in the
554 treatment soils, particularly the D soils. This trend suggests that when large rainfall events occur in disturbed soils, a
555 decrease in microbial CUE could potentially lead to a stronger Birch Effect and enhance the soil C loss (Schimel,
556 2018). In support, we found that rewetting the soils also led to the glucose addition driving greater priming of soil
557 carbon losses, a result that was particularly pronounced for the D soils (Fig. S13). By contrast, the glucose addition
558 in soils that were held at field soil moisture conditions led to the net mineralization of soil C by the microbial
559 community. Collectively, our soil incubation results highlight a critical need for more research on the potential for
560 global change to lead to shifts in microbial community composition and traits in TDFs.

561 **4.2 Conclusions**

562   Our results highlight that forest productivity is sensitive to soil fertility and that this might interact with
563 changes in soil moisture. However, despite adding both macro- and micro-nutrients, our results confirm that the
564 short-term responses of tropical dry forest trees to fertilization treatments are modest at best, contrary to the
565 observed strong responses in nutrient-depleted eastern Amazon forests (Cunha et al., 2022). At the same time, the
566 nodulation data indicate that there might be a tight coupling between nutrient availability and water availability in
567 this system. Studying the role of soil moisture on plant nutrient acquisition dynamics remains a largely unexplored
568 venue in TDF ecology. Considering the observed patterns, a total throughfall exclusion will be necessary to cause
569 soil moisture to decrease by greater than 15 % and manipulations of the atmospheric water demand (e.g., vapor
570 pressure deficit) could help to improve our understanding of drought in tropical forests. Moreover, little is known of
571 how these belowground processes interact with microbial community dynamics, such as CUE, also affected by
572 nutrient additions or reductions in soil moisture. Beyond these processes, disentangling the causes and consequences
573 of colimitation by water and nutrients in productivity could help to elucidate how future climatic conditions will
574 affect carbon cycling in the TDF.

5. Acknowledgements

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915 **TABLES AND FIGURE CAPTIONS**

916 **Table 1.** Focal tree species present in the experimental manipulations and their functional and hydraulic traits

917 measured in other studies (Data from Powers and Tiffin, 2010; Powers et al, 2020). Here we present species leaf

918 habit (LH) as deciduous (DC), semi-deciduous (SD), or evergreen (EV), whether the species is nitrogen fixer (NF),

919 specific leaf area (SLA, $cm^2 g^{-1}$), wood density (WD, g cm^{-3}), water potential at turgor loss point (Ψ_{TLP}, Mpa), and

920 the water potential at 50 % accumulation of embolisms (Ψ_{P50}, Mpa).

Family	Species	LH	NF	SLA	WD	Ψ_{TLP}	Ψ_{P50}
Bignonaceae	*Handroanthus impeteginosus* (Mart. ex DC.) Mattos	DC	N	97.85	0.71	-1.95	-3.15
Fabaceae	*Dalbergia retusa* Hemsl.	DC	Y	67.70	0.80	-1.99	-4.71
Fabaceae	*Enterolobium cyclocarpum* (Jacq.) Griseb.	DC	Y	145.51	0.38	-1.75	-2.73
Fabaceae	*Hymenaea courbaril* L.	SD	N	69.45	0.84	-1.91	-4.2
Meliaceae	*Swietenia macrophylla* King.	DC	N	68.86	0.67	-1.65	-2.92
Simaroubaceae	*Simarouba glauca* DC.	EV	N	54.89	0.41	-1.98	-2.81

921

923 **Fig. 1. a)** Geographical location and layout of a throughfall exclusion by fertilization experiment in Northwestern
924 Costa Rica. **b)** Picture of a throughfall exclusion structure in a 30-year-old *Swietenia macrophylla* King. and
925 *Hymenaea courbaril* L. plantation.
926
927 **Fig. 2.** Volumetric soil moisture records at two depths for plots with a throughfall exclusion structure and plots
928 without it. **a)** Temporal variability at a weekly resolution median volumetric soil moister with its associated 75 and
929 25 percentiles, where the dashed vertical line represents the date when the structures were established. **b)** Histogram
930 distribution of the percent difference between soil moisture during the experiment (SM_{Exp}) and the soil moisture
931 preceding the experimental treatments (SM_{Pre}) for each depth during the dry season and the wet season, where the
932 vertical lines represent the median SM_{Exp} - SM_{Pre} percent value for plots with a throughfall exclusion structure
933 (dashed) and plots without it (continuous). Reported results from a linear mixed effect model comparing weekly
934 SM_{Exp} - SM_{Pre} percent values for each depth during the dry and wet seasons.
935
936 **Fig. 3.** Diameter relative growth rate responses (RGR) of plantation (panel a) and understory (panel b) trees to
937 fertilization (F), drought (D), and drought plus fertilization (D + F) over a period of four years (2016-2020). Bar
938 plots showing the mean RGR with the associated standard error (error bars) were obtained from a total of 194
939 plantation trees and 462 understory trees in 16 experimental plots. Lowercase letters stand for multiple comparisons
940 among experimental treatments from a Post-Hoc Tukey's honest significance difference test.
941
942 **Fig. 4.** Microbial carbon use efficiency (CUE) and the log-response ratio between lab rewet and non-rewet samples
943 ln(RR) in control, fertilization (F), drought (D), and drought plus fertilization (D + F) during the wet season of 2019.
944 Panel a) shows bar plots with the mean response with the associated standard error (n=4) and panel b) shows
945 interaction plots among experimental treatments. No significant differences were present after performing a Post-
946 Hoc Tukey's honest significance difference test, despite the evidence of a moderate effect of F and D in both CUE
947 and ln(RR).
948
949 **Fig. 5.** Responses of ecosystem net primary productivity (NPP), aboveground net primary productivity (ANPP), and
950 aboveground to belowground ratios to fertilization (F), drought (D), and drought plus fertilization (D + F) over a
951 period of four years (2016-2020). Panel a) shows median values for each experimental manipulation with their
952 associated standard error (n=4) with significance values after performing a Post-Hoc Tukey's honest significance
953 difference test where $p < 0.05$ (*) and $p < 0.1$. Panel b) shows the interactions between F and D treatments where for
954 NPP and ANPP there was a greater response of non-drought plots to fertilization.