

1 **Throughfall exclusion and fertilization effects on tropical dry forest tree plan-**
2 **tations, a large-scale experiment**

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20

21 **Abstract.** Across tropical ecosystems, global environmental change is causing drier climatic conditions and increased
22 nutrient deposition. Such changes represent large uncertainties due to unknown interactions between drought and
23 nutrient availability in controlling ecosystem net primary productivity (NPP). Using a large-scale manipulative exper-
24 iment, we studied for four years whether nutrient availability affects the individual and integrated responses of above-
25 and below-ground ecosystem processes to through-fall exclusion in 30-year-old mixed plantations of tropical dry
26 forest tree species in Guanacaste, Costa Rica. We used a factorial design with four treatments: control (CN), fertiliza-
27 tion (F), drought (D), and drought+fertilization (D+F). While we found that a 13-15% reduction in soil moisture only
28 led to weak effects in the studied ecosystem processes, NPP increased as a function of F and D+F. The relative con-
29 tribution of each biomass flux to NPP varied depending on the treatment, with woody biomass being more important
30 for F and root biomass for D+F and D. Moreover, the F treatment showed modest increases in maximum canopy
31 cover. Plant functional type (*i.e.*, N-fixation or deciduousness) and not the experimental manipulations was the main
32 source of variation in tree growth. Belowground processes also responded to experimental treatments, as we found a
33 decrease in nodulation for F plots and an increase in microbial carbon use efficiency for F and D plots. Our results
34 emphasize that nutrient availability, more so than modest reductions in soil moisture, limits ecosystem processes in
35 tropical dry forests and that soil fertility interactions with other aspects of drought intensity (*e.g.*, vapor pressure def-
36 icit) are yet to be explored.
37

38 **1 Introduction**

39 Global environmental change is affecting primary productivity in tropical forest ecosystems. Among the main
40 factors behind this variation in productivity are the changes in the hydrologic regime due to an increase in rainfall
41 seasonality (Feng et al., 2013), increases in atmospheric water demand (McDowell et al., 2020), and regional decreases
42 in soil moisture (Seneviratne et al., 2010). In other words, the tropics are getting drier. Results from observational
43 studies found that droughts may increase tropical tree mortality rates (Powers et al., 2020; Chazdon et al., 2005),
44 reduce above-ground biomass productivity (Phillips et al., 2009; Castro et al., 2018), reduce the production of seeds
45 and flowers (O'Brien et al., 2018), and increase the abundance of high wood density and deciduous tree species
46 (Swenson et al., 2020; Aguirre-Gutiérrez et al., 2020). However, how tropical forests respond to drought depends on
47 other environmental factors such as soil fertility and the interaction with other disturbances (Brando et al., 2014;
48 Brodrigg et al., 2020; Becknell et al., 2021). Accounting for how these environmental variables modulate ecosystem
49 responses to drought will enhance our understanding of the impacts of global environmental change in tropical forests,
50 which play a disproportionate role in global carbon dynamics and provide ecosystem services to a quarter of the
51 world's population (Wright, 2005; Lewis, 2006).

52 One largely overlooked factor is the potential role of nutrient availability in mediating tropical forests' vul-
53 nerability to drought. Tropical forests exist in a highly heterogeneous mosaic of soil fertility, parent material, and
54 topography (Townsend et al., 2008; Augusto et al., 2017; Waring et al., 2021), properties that shape forest composition
55 (Condit et al., 2013; Werden et al., 2018a), and function (Clark and Clark, 2000; Cunha et al., 2022). Nutrient-limited
56 environments harbor a greater proportion of slow-growing, drought-tolerant species, whereas fast-growing drought-
57 avoiding species dominate nutrient-rich environments (Oliveira et al., 2021), which suggests that soils play an im-
58 portant role in determining the heterogeneity of tropical forest responses to drought. Moreover, anthropogenic activi-
59 ties can cause an increase in atmospheric nitrogen and phosphorus deposition across ecosystems (Wang et al., 2017),
60 and yet the consequences of these changes in combination with rainfall variation remain unknown in tropical forests
61 (Matson et al., 1999; Hietz et al., 2011). In summary, the empirical evidence needed to characterize drought-nutrient
62 interactions has yet to be documented but is highlighted as a priority to parameterize vegetation dynamics models
63 (Smith et al., 2014).

64 **1.1 Nutrient and water availability modulate ecosystem processes**

65 Soil fertility is an important factor modulating the responses of forest productivity to rainfall variation. For
66 instance, TDF stands growing in more fertile soils tend to show higher increases in productivity with higher rainfall
67 than stands in nutrient-poor soils (Medvigy et al., 2019; Becknell et al., 2021). High nutrient availability alleviates
68 drought stress because plants with higher leaf nitrogen maximize water use efficiency at the cost of photosynthetic
69 nitrogen use efficiency (Lambers et al., 2008), which will enhance photosynthetic capacity in favorable conditions.
70 At the same time, nutrient limitation negatively affects water use efficiency in crop species and tropical seedlings
71 with potential costs to productivity via reductions in carbon assimilation (Santiago, 2015). Other processes besides
72 primary productivity provide insight into ecosystem responses to global environmental change. Leaves, and more

73 precisely canopy cover, are the center for carbon assimilation in forest ecosystems. Recent evidence suggests that
74 the patterns of leaf flushing and leaf shedding are changing at a global scale because of climate change (Piao et al.,
75 2019). While it is well documented that tropical leaf phenological cycles depend on plant water status and the start
76 of the rainy season (Frankie et al., 1974; Borchert, 1994), phosphorus fertilization seems to reduce leaf life span in
77 Eastern Amazon forests (Cunha et al., 2022). A decrease in leaf canopy cover affects productivity by decreasing the
78 photosynthetic area (Doughty and Goulden, 2008), while changes in the timing of leaf flushing or shedding may
79 create a cascade of effects with unknown consequences, which will affect organisms that depend on these processes
80 (Coley, 1998). Thus, quantifying the combined effects of rainfall reductions and soil fertility on leaf production is
81 key to disentangling the interactions between primary productivity, canopy processes, nutrient availability, and
82 climate.

83 The extent to which nutrient and water availability interactions affect below-ground processes is highly
84 uncertain, particularly in TDFs (Phillips et al., 2016; Allen et al., 2017). The increase of specific nutrients (*i.e.*, via
85 nitrogen deposition) might cause an imbalance in stoichiometry or increase water demand, which plants will adjust
86 by increasing transpiration rates or producing more root biomass (Lu et al., 2018; Waring et al., 2019; Cunha et al.,
87 2022). It is also highly uncertain how these changes may affect the microbial processes that determine carbon
88 cycling. For instance, whether soil microbial carbon use efficiency (CUE; the proportion of total carbon consumed
89 that is used to grow new biomass) can acclimate in response to changes in water and nutrient availability is not
90 known. Current knowledge suggests that microbes with high CUE produce more biomass that upon death becomes
91 protected from future microbial attack by adhering to mineral surfaces (Cotrufo et al., 2013). Under drought, the
92 CUE of the microbial community may decrease owing to the need to use carbon for survival strategies rather than
93 for growth (Schimel et al., 2007). However, it is possible that reducing microbial nutrient limitation may alleviate
94 the impacts of drought on CUE due to microbes investing less energy in resource acquisition (Schimel et al., 2007).
95 Other aspects of soil microbial processes may be affected by drought or modulated by soil nutrient availability
96 (Ahmed et al., 2018). Soil priming refers to the decomposition of older recalcitrant organic matter following the soil
97 microbial community's stimulation by adding labile organic matter (Liu et al., 2020). If drought alters patterns of
98 fine root growth and rhizodeposition (Preece and Peñuelas, 2016), this may lead to altered priming with altered
99 consequences of soil organic carbon storage. Identifying the extent to which shifts in nutrient and precipitation
100 regimes alter soil carbon cycling in TDFs is critical to increasing our understanding of climate change consequences
101 in this important biome (Knorr et al., 2005; Chadwick et al., 2016).

102 **1.2 Experimental framework**

103 Carbon cycling in TDFs is likely limited by both water and nutrient availability (Lugo and Murphy, 1986;
104 Medvigy et al., 2019; Becknell et al., 2021). This co-limitation of resources highlights the importance of quantifying
105 the individual and interactive roles of these two factors in shaping ecosystem processes in this important and
106 threatened biome (Hoekstra et al., 2005; Miles et al., 2006). Large-scale manipulative experiments are needed to
107 understand the interactions between drought and nutrient limitation, although to date an experiment testing these two
108 factors simultaneously has not been implemented in tropical forests. While nutrient addition experiments have

109 shown mixed (strong, weak and none) effects on tree growth in tropical forests (Wright et al., 2018; Hou et al.,
110 2020; Cunha et al., 2022), results from throughfall exclusion experiments suggest an initial decrease in woody
111 productivity over the first two years and an increase in mortality after five years (Meir et al., 2015). Most of these
112 large-scale experiments have been conducted in wet tropical forests (mean annual rainfall > 2000 mm) (Meir et al.,
113 2015; Wright et al., 2018), despite TDF's documented vulnerability to drought (Powers et al., 2020).

114 To investigate whether nutrient availability modulates changes in ecosystem processes in response to
115 reduced rainfall manipulations we established a large-scale, fully factorial experiment in mixed-species plantations
116 as model TDF stands. We used rain-out shelters covering 50% of the forest floor area to reduce soil moisture and/or
117 fertilizer applications to increase soil nutrient availability (Fig. 1). We focused data collection on above- and below-
118 ground ecosystem processes that contribute to carbon cycling. For over a 4-yr period, we performed measurements
119 on tree diameter growth, canopy cover, and litterfall production as aboveground processes, and measurements of on
120 fine roots production, nodulation, microbial CUE, and soil priming as belowground processes. We then integrated
121 ecosystem-level responses to the experimental manipulations by quantifying net primary productivity, aboveground
122 primary productivity, and the carbon allocation between above and belowground biomass.

123 **2 Methods**

124 Our experiment was conducted for four years (2016-2020) at Estación Experimental Forestal
125 Horizontes (hereafter Horizontes), in northwestern Costa Rica (10.711°N, 85.578°W) (Fig. 1). Before Horizontes
126 was incorporated into Área de Conservación Guanacaste (ACG), the lands were used for grazing and crops (Werden
127 et al., 2018b). Since 1989, Horizontes has served as a large-scale ecological and forestry research laboratory, and the
128 ~7500 ha area encompasses a mosaic of TDF at different successional stages (0-80 years), 64 ha of timber
129 plantations trials of native TDF species (Gutiérrez-Leitón, 2018), restoration trials (Werden et al., 2020), and a
130 Mesoamerican TDF arboretum (<http://www.arbnet.org/morton-register/arboretum-del-bosque-seco-tropical>). During
131 the study period, total annual rainfall averaged ~1547 mm, and median temperatures were 26.5 ± 1.6 °C during the
132 dry season and 25.6 ± 1.5 °C during the wet season (Fig. S1). Precipitation values were in range with the historical
133 average of ~1500 mm (Vargas G. et al., 2015). In Horizontes the start of the wet season is defined when cumulative
134 rainfall reaches 100 mm, which usually occurs in May and defines the beginning of the hydrological year (*i.e.*, 12
135 months after cumulative rainfall reaches 100 mm) (Aragão et al., 2007; Waring et al., 2019). Therefore our temporal
136 scale is the hydrological year as in TDFs the start of the rainy season determines the beginning of leaf production,
137 seed germination, and other ecological processes (Murphy and Lugo, 1986).

138 **2.1 Experimental design**

139 We conducted our experiment in tree plantations that were established in 1991 (Gutiérrez-Leitón,
140 2018). The plantations consist of three 8-10 ha blocks that each contains one of three focal species combined with
141 one of four species from a pool of 11 species native to Northwestern Costa Rica (Fig. S2). The plantations have not
142 received any management for 25 years prior to our experiment, trees were planted at a spacing of 3 x 3 m, and the

143 understory now contains a diverse community of 15 lianas and 50 trees/shrubs (Fig. 1). We selected six species that
144 represent functional types common to the TDF based on species' ability to fix atmospheric nitrogen and leaf habit
145 phenology (Table 1) (Xu et al., 2016; Powers and Tiffin, 2010). We took a tree-centered approach in locating the
146 plots to include at least six individuals of each focal species in the four treatments, with a minimum of 12 trees per
147 plot. For this reason, the plot area ranged from 120-360 m² and contained a two-species combination that we
148 designated as a stand (Table S1). This experimental design was a compromise that allowed us to have at least four
149 individuals of each species within plots per treatment. Before selecting the plot locations, we did extensive surveys
150 of tree diameters to ensure that there were no systematic differences in tree diameters within species among
151 treatments (Fig. S3). Soil samples (0-10 cm depth) were collected in 2016 and 2021, by taking 7 to 10 cores (2.5 cm
152 diameter, one on each corner and three to six in the center line of the plot) and compositing cores by the plot.
153 Particle size distribution was collected in 2016 (Fig. S4), extractable elements (Olson extractable Cu, Zn, Mn, Fe, K,
154 and P), and total C and N for samples collected in the fifth year (2021) (Table S2).

155 The experiment consisted of a fully factorial design with four experimental treatments: fertilization (F),
156 drought (D), drought+fertilization (D+F), and un-manipulated control (CN). We established four plot replicates, per
157 experimental treatment that each contained two of the six tree species, such that every species was represented in
158 one plot of each treatment. The D and D+F treatments consisted of a series of structures that covered 50% of the
159 surface area at each plot and were suspended at a 40° angle at distances from 0.4 to 2.5 meters above the ground
160 (Fig. 1). The throughfall exclusion shelters were built with transparent polycarbonate corrugated sheets, wood poles,
161 and polyvinyl chloride pipes. To avoid lateral fine root growth outside the throughfall exclusion structures, we dug a
162 50 cm deep trench around each exclusion plot that was covered with a barrier of double-folded 0.075 mm thick
163 polyethylene film and then backfilled. Precipitation was routed off the throughfall exclusion plots by a system of
164 gutters and ground channels (Fig. 1). For the F and D+F treatments, a slow-release complete formula (macro- and
165 micro-nutrients) nutrient fertilizer was broadcasted uniformly over the entire plot area in two applications during the
166 rainy season each year. From 2016 to 2018 we used Basacote® Plus 3M (Compo Expert GmbH), and then due to low
167 market availability from 2018 through 2020, we used Osmocote® Plus (The Scotts Company LLC) (Table S3).
168 Nutrient addition rates were targeted to 150 kg N ha⁻¹ yr⁻¹ (Table S1), similar to other large-scale tropical forest
169 fertilization experiments (Wright et al., 2011; Alvarez-Clare et al., 2013; Waring et al., 2019). We placed fertilized
170 plots more than 50 m away from other plots or down the slope from control and drought plots whenever we could
171 not find enough trees 50 m away. These measures were considering the possibility of nutrient leaching from one plot
172 to another one. Finally, because litterfall accumulated on the surfaces of the plastic panels, every two weeks we used
173 long brooms to sweep the litter off the panels and then place it under each panel.

174 2.2 Soil moisture

175 We quantified volumetric soil moisture at a 30 min frequency for the duration of the experiment with
176 an EM50 Digital data logger equipped with four 10-HS soil moisture probes (METER Group, Inc. USA). Probes
177 were distributed in two opposite pairs from the center of each plot, each pair consisting of a probe at 10 cm depth
178 and another probe at 40 cm depth in the soil.

179 2.3. Aboveground processes

180 2.3.1. Tree growth

181 From 2016 to 2020, we measured the diameter at breast height (DBH) for all stems greater than 2.5 cm
182 DBH annually at the end of the growing season. These measurements included the plantation trees and every stem
183 that recruited into the 2.5 DBH size class before and during the experiment. All trees and shrubs were identified to
184 species level and classified into nitrogen fixation and leaf habit (evergreen or deciduous) functional types. For stems
185 between 2.5 cm and 10 cm DBH, diameter was measured with a diameter tape at a marked point 130 cm above the
186 ground. In the case of stems > 10 cm DBH, we measured DBH increments using band dendrometers set at 130 cm.
187 For each stem we calculated relative growth (RGR) as $RGR_{i-f} = \log(DBH_f/DBH_i)/((DC_f-DC_i)/365)$, where DC
188 represents the day of the century, i and f final DBH and DC values for a given stem (Wright et al., 2011).

189 2.3.2. Canopy productivity

190 We measured canopy productivity using two complementary methods: litterfall traps and leaf area index.
191 To measure litterfall production, we deployed three 0.25 m² traps ~ 0.4 m above the ground in a transect along the
192 center of each plot. In plots with throughfall exclusion structures, traps were in the spaces between the
193 polycarbonate sheets. Litter was collected monthly from each trap, dried for 72 hours at 60 °C, and sorted into
194 leaves, small branches, flowers, fruits, and frass, and then weighed separately. We then calculated the annual
195 litterfall productivity in kg m⁻² yr⁻¹ for total litterfall (leaves, small branches, flowers, and fruits), only leaves, and
196 reproductive litterfall (flowers and fruits).

197 Leaf area index (LAI) was measured in seven points at each plot (four in each corner and three along the
198 center) every 10 to 30 days with an LAI-2200C Plant Canopy Analyzer (LI-COR Biosciences, Lincoln, NE, USA).
199 The variation in sampling frequency was caused by logistical constraints that wet seasons occasionally imposed on
200 our ability to reach the plots. Because of the high abundance of species from the Fabaceae family in the plots, LAI
201 measurements were performed after sunrise (between 0900 to 1100 h) given the associated nastic movements in
202 leaves after dawn and before dusk (Minorsky, 2019). For that reason, we took each measurement using a 45° angle
203 cap towards the center of the plot and performed scattering correction before and after each measurement cycle
204 throughout the entire experiment. LAI data were subsequently estimated from the first four gap fractions using the
205 software application FIV-2200 (LI-COR Biosciences, Lincoln, NE, USA). In 2017 tropical storm Nate, which
206 impacted 85% of the Costa Rican territory (Quesada-Román et al., 2020), caused a significant LAI decrease during
207 the month of November (Fig. S5). For that reason, we dropped the measurements of November and December 2017
208 from all the analyses involving LAI data. From the LAI data, we extracted leaf area duration (LAD, m² m⁻² d⁻¹),
209 which describes the temporal dynamics and leaf persistence in the canopy of broad-leaf plant communities (Ewert
210 and Pleijel, 1999; Norby et al., 2003). LAD is defined as the area under the non-linear curve of LAI as a function of
211 the Julian day:

$$212 \quad LAD = \int_b^e LAI(t)$$

213 Where, b , describes the beginning of the growing season in Julian days; e , the end of the growing season in
214 Julian days; $LAI(t)$, the function of LAI temporal variation during the given growing season (Pokorný et al., 2008).
215 The growing season in this case is defined by leaf flushing and leaf fall (Norby et al., 2003). We obtained the
216 growing season parameters b and e from plot-specific and year-specific generalized additive models by estimating
217 the Julian days in which LAI starts to increase (positive slope change) from the minimum and when it starts to
218 decrease (negative slope change) after the maximum LAI (Methods S1). Then we fitted the LAI temporal variation
219 during the growing season and integrated it from b to e to obtain the area under the non-linear function $LAI(t)$ (Fig.
220 S6). In addition to LAD, for each plot, we calculated the maximum LAI value during the growing season (LAI_{max} ,
221 $m^2 m^{-2}$), minimum LAI during the dry season (LAI_{min} , $m^2 m^{-2}$), leaf-less period (LLP, d), the beginning of leaf
222 flushing (GSB, d) and the seasonal LAI enlargement (LAE, %) which is the percentage change in LAI from the dry
223 season to the wet season (Pokorný et al., 2008).

224 **2.4. Belowground processes**

225 **2.4.1 Fine-root and nodules production**

226 We measured fine root productivity from July 2016 through December 2020 using the ingrowth core
227 method (Waring et al., 2016). To do this, we installed seven ingrowth cores in each plot to a depth of 15 cm. The 8
228 cm diameter cylindrical ingrowth bags were made from 2 mm nylon mesh. The cores were collected two months
229 after deployment and a subsequent new set of cores was installed right after collection. While deploying the cores,
230 we filled them with sieved, root-free soil collected on-site. During the first year of the experiment, cores were
231 sampled in the dry season. However, the clay-rich soils harden greatly during the dry season, which increased the
232 difficulty of deploying new bags during these times. For the following three years, ingrowth bags were harvested in
233 June, August, and November, with the modification that the bags harvested in June were deployed in November. We
234 acknowledge that roots may have grown, died, or decomposed during the dry season (Kummerow et al., 1990).
235 However, this effect will lead to minimal bias in annual productivity totals, as dry season root growth and
236 decomposition are expected to be negligible in the TDF (Kavanagh and Kellman, 1992). After collecting the cores,
237 fine roots were separated from the soil by washing them over a 2 mm sieve. We counted the number of nodules on
238 each root sample if present. Finally, root samples were dried for 72 hours at 60 °C and weighed to estimate total fine
239 root productivity in $kg m^{-2} yr^{-1}$.

240 **2.4.2 Microbial CUE and priming**

241 To analyze microbial CUE, we collected ten soil samples (5 cm diameter, 15 cm depth) from each plot
242 during the wet season in August 2019 and homogenized them into one soil sample per plot. The samples were
243 expedited back to the University of Minnesota where a laboratory microcosm experiment was performed. Microbial
244 CUE was assessed using the ^{13}C glucose tracing method (Frey et al., 2013), briefly >97% ^{13}C glucose (Cambridge
245 Isotope Laboratories) at a rate of 400 $ug C g^{-1}$ soil was mixed with 25 g of each homogenized soil sample in 32 oz
246 mason jars (946.3 ml) with septa in the lids. Soil samples that did not rewet to maximum water-holding capacity

247 (WHC) from D, F, CN, and D+F plots were brought to 20% WHC with the addition of the glucose solution, with lab
248 replications yielding $n=4$. To examine the effects of rewetting, additional soil samples from each field treatment
249 were rehydrated with a glucose solution to maximum soil WHC ($n=4$). Additional control soils were incubated
250 without the addition of glucose and received the same amount of deionized water as non-rewet samples as a non-
251 amended control, bringing the total to 48 jar incubations. Soil microcosms were well mixed with water or substrate
252 solution and incubated for one week at room temperature. During this time the production of $^{13}\text{CO}_2$ and total CO_2
253 were assayed every other day by taking gas samples from the microcosm headspace through the septa and inserting
254 them into 12 ml Exetainer vials (Labco Limited). After gas samples were taken, jars were opened for ~ 20 minutes
255 to allow for gas exchange. After the experiment was complete, Exetainer vials were shipped to West Virginia
256 University, where each gas sample was measured using an LI-6400 (LI-COR Biosciences, Lincoln, NE, USA) and
257 Picarro G2201-i (Picarro Inc., Santa Clara, CA, USA). Glucose and soil organic matter-derived $\text{CO}_2 - \text{C}$ was
258 calculated via mass balance as described in Morrissey et al. (2017). Priming was then calculated as the difference in
259 soil organic matter $\text{CO}_2 - \text{C}$ between the microcosms that received substrate solution and those that received water.
260 At the cessation of the incubation, total microbial biomass was obtained by a chloroform fumigation method (Witt et
261 al., 2000). Briefly, 8g of soil was suspended in 45 mL of 0.1 M K_2SO_4 with or without an additional 1ml of ethanol-
262 free chloroform and shaken for 4 hours (chloroform) or 2 hours (no chloroform) and filtered (90 mm GF/A filter
263 paper). Extracts were stored at -20°C until dissolved organic carbon was oxidized to CO_2 via a persulfate digestion
264 (Doyle et al., 2004). Digestion efficiency was determined using a standard curve of yeast extract solution ranging
265 from (0-200 mg C L^{-1}). The concentration and isotopic enrichment of the resulting CO_2 gas were measured on the
266 Picarro. Total and substrate-derived microbial biomass-C was calculated as the difference in C (mg) between
267 chloroformed and non-chloroformed soil extracts. Microbial CUE was calculated as substrate-derived biomass -C
268 divided by the total carbon consumed (substrate-derived $\text{CO}_2 - \text{C}$ and biomass -C) (Frey et al., 2013).

269 2.5. Ecosystem productivity

270 To quantify total net primary productivity (NPP) in $\text{kg m}^{-2} \text{yr}^{-1}$, we summed total litterfall, wood, and
271 root production in each plot for a given year. To estimate wood production, we calculated stem aboveground
272 biomass (AGB) using allometric equations for tropical tree species (Chave et al., 2014). For the allometric
273 equations, we measured the height of each stem using a Hagl f EC II-D electronic clinometer (Haglof Inc.,
274 Madison, MS, USA) and obtained wood density data from a functional trait database for the TDF of Guanacaste
275 (Powers and Tiffin, 2010). Mean wood density was substituted for species without wood density data. Annual
276 woody productivity then represented the sum of biomass increments from trees newly recruited into the 2.5 cm DBH
277 size class plus biomass gain from increased diameters in planted and existing recruited trees. Additionally, we
278 calculated aboveground net primary productivity (ANPP) by summing only woody and litterfall productivity, and
279 the aboveground:belowground productivity ratios (AGB:BGB) by dividing ANPP by the root production in each
280 plot for each year.

281 2.6. Statistical analysis

282 To test whether the throughfall exclusion structures affected soil moisture, we performed a linear
283 mixed model with the change in soil moisture for a given plot as the response variable, the presence of the
284 throughfall exclusion structure and the weekly time points from January 2017 to December 2020 were fixed effects,
285 and probe nested within plot nested within stand as a random intercept. This approach allowed us to test the effect
286 on soil moisture after the onset of the throughfall exclusion structures (Reid et al., 2015), while also accounting for
287 the intra-annual variation in soil moisture typical of the TDF (Schwartz et al., 2022). We ran separate models for
288 each depth (10 and 40 cm), and for the wet season and dry season due to the strong rainfall seasonality. To obtain
289 the change in soil moisture per plot, we divided the observation time into two periods, a pre-treatment (May 2016 to
290 late August 2016) that consisted of wet season soil moisture data before the shelters were set up, and an
291 experimental period (January 2017 to December 2020). We excluded from this analysis the data collected between
292 September and December 2016 as we finished establishing the rainout shelters three months into the rainy season.
293 After removing outliers using the interquartile method, we calculated the median pre-treatment soil moisture (SM_{PT} ,
294 $m^3 m^{-3}$) for each probe in each plot. We then calculated the treatment effect as the percentage change between each
295 soil moisture observation (SM_i , $m^3 m^{-3}$) and the SM_{PT} . To investigate inter-annual variation in wet season soil
296 moisture, we fitted additional linear mixed models to test whether soil moisture in plots without throughfall
297 exclusion varied as a function of year and depth, and the probe nested within the plot nested within stands as a
298 random intercept. In both cases, we calculated type III sum squares and the F value for each model and performed
299 Tukey's honest significant difference test (Tukey's HSD) for multiple comparisons.

300 We tested the effects of the experimental treatments on aboveground and belowground ecosystem
301 processes by fitting a series (one for each response variable) of a two-factorial linear mixed effects model.
302 Ecosystem processes were the response variables, the drought treatment was one factor, and the fertilizer treatment
303 was the second factor, we included their interaction and the experimental unit (*e.g.*, litterfall basket) nested within
304 the plot nested within stands as a random intercept. With this model, we were able to estimate the main effect of
305 drought, the main effect of fertilization, and the interaction between drought and fertilization, while also accounting
306 for the effects of the plantation stand and the plot. We then calculated type III sum squares and the F value for each
307 model in an analysis of variance (ANOVA), with a Tukey's HSD for multiple comparisons. To analyze the response
308 of CUE from soils that were held at field soil moisture to soils that were rewet, we calculated the natural log
309 response ratio [*i.e.*, $\ln(RR)$], defined here as the mean of the rewet soils CUE divided by the mean CUE of the field
310 soil moisture soils. Values of $\ln(RR)$ below 0 indicate a decline in CUE to rewetting. All data management, and
311 statistical analysis were done using R software for statistical computing version 3.6.3 (R Core Team, 2021), and the
312 packages *mcv* (Wood, 2004, 2011), *nlme* (Pinheiro et al., 2019), *car* (Fox and Weisberg, 2019), and *tidyverse*
313 (Wickham et al., 2019).

314 3 Results

315 3.1. Soil moisture and fertilization

316 At 40 cm depth, we found evidence ($p < 0.05$) of a $\sim 13\%$ reduction in soil moisture as a function of
317 the pretreatment period in the plots with a throughfall exclusion structure, contrary to a weak $\sim 4\%$ reduction in soil
318 moisture in the plots without throughfall exclusion (Fig. 2). At 10 cm we observed an average change of -15% in
319 throughfall exclusion plots, but this was not significantly different when compared to the non-droughted plots ($-$
320 9.43%) (Fig. 2). In both plots with throughfall exclusion and plots without exclusion there was strong evidence ($p <$
321 0.001), across all depths and in both seasons, that soil moisture increased or decreased following seasonal rainfall
322 patterns. Weekly median soil moisture values in the throughfall exclusion plots oscillated between $0.21\text{-}0.42 \text{ m}^3 \text{ m}^{-3}$
323 at 10 cm depth and $0.25\text{-}0.44 \text{ m}^3 \text{ m}^{-3}$ at 40 cm depth, compared to $0.22\text{-}0.43 \text{ m}^3 \text{ m}^{-3}$ at 10 cm depth and $0.25\text{-}0.45 \text{ m}^3$
324 m^{-3} at 40 cm depth for plots without throughfall exclusion (Fig. 2). Wet season soil moisture followed the inter-
325 annual rainfall variability in which the average volumetric water content was around $0.39 \text{ m}^3 \text{ m}^{-3}$ during 2016 and
326 2017, while it was around $0.32 \text{ m}^3 \text{ m}^{-3}$ from 2018 to 2020 (Fig. S7). At the end of four years, extractable soil P
327 increased by 2-3 fold in plots receiving fertilizer, and extractable Fe also increased (Fig. S8); however, none of the
328 other soil chemical variables we measured differed among treatments.

329 3.2. Above-ground responses

330 3.2.1. Tree diameter relative growth rates (RGR_{dbh})

331 We found no evidence of changes in RGR_{dbh} as a function of drought (D) and fertilizer additions (F) for
332 either understory (D: $F = 0.03$, $d.f. = 1$, $p = 0.8601$; F: $F = 0.22$, $d.f. = 1$, $p = 0.6580$) or plantation trees (D: $F =$
333 2.35 , $d.f. = 1$, $p = 0.1489$; F: $F = 1.14$, $d.f. = 1$, $p = 0.3041$). We found moderate evidence of an interaction between
334 drought and fertilizer for plantation trees ($F = 5.16$, $d.f. = 1$, $p = \mathbf{0.0499}$) but not for understory trees ($F = 5.04$, $d.f.$
335 $= 1$, $p = \mathbf{0.0659}$) (Fig. 3), whereas the effects of fertilization caused an increase in RGR for trees in drought plots
336 and a decrease for trees in non-drought plots (Fig. S9). The nitrogen-fixing plant functional type (PFT) explained the
337 differences in RGR_{dbh} for understory ($F = 21.11$, $d.f. = 1$, $p < \mathbf{0.0001}$) and plantation trees ($F = 4.18$, $d.f. = 1$, $p =$
338 $\mathbf{0.0512}$) (Fig. 3) with non-N-fixers showing higher growth rates than N-fixers in both cases. On the other hand, the
339 deciduous PFT showed weaker effects on RGR_{dbh} for plantation trees ($F = 3.95$, $d.f. = 1$, $p = \mathbf{0.0639}$) (Table S4).
340 RGR_{dbh} varied idiosyncratically among plantation species in response to the experimental treatments, but we did not
341 find evidence of significant treatment effects (Fig. S10). We also found a higher number of dead trees over the four
342 years in plots with experimental manipulations and hence higher biomass losses (Table S5). Mortality, recruitment,
343 and survival for the trees and shrubs that were recruited in the plots did not differ in response to the experimental
344 treatments (Fig. S11).

345 3.2.2. Canopy productivity

346 The experimental manipulations showed no effects on fine litter production (drought: $F = 0.96$, $d.f. = 1$, p
347 $= 0.3473$; fertilizer: $F = 1.33$, $d.f. = 1$, $p = 0.2724$) and the production of leaves (drought: $F = 0.64$, $d.f. = 1$, $p =$
348 0.4404 ; fertilizer: $F = 1.39$, $d.f. = 1$, $p = 0.2646$). Nevertheless, the control plots produced on average $0.69 \pm 0.14 \text{ kg}$
349 m^{-2} of fine litter, which was 12% lower than in the fertilized plots with $0.78 \pm 0.14 \text{ kg m}^{-2} \text{ yr}^{-1}$, 13% less than $0.79 \pm$

350 0.24 kg m⁻² yr⁻¹ of the drought plots, and 8% lower than 0.75 ± 0.23 kg m⁻² yr⁻¹ in drought+fertilizer plots. We also
351 found a 40% decrease in the production of flowers, seeds, and fruits with nutrient additions ($F = 4.84$, $d.f. = 1$, $p =$
352 **0.0539**) (Fig. S12), but no effects with the throughfall exclusion ($F = 1.54$, $d.f. = 1$, $p = 0.2449$). In all the plots leaf
353 area index (LAI) increased ~73% from the dry season (median LAI: 1.22) to the wet season (median LAI: 5.10).
354 None of the metrics obtained from the LAI measurements changed in response to the experimental manipulations
355 (Fig. S13), the only exception being maximum LAI (LAI_{max}) which we found to be marginally higher for fertilized
356 plots ($F = 3.36$, $d.f. = 1$, $p = \mathbf{0.0928}$).

357 3.3. Below-ground responses

358 3.3.1. Fine roots and nodule production

359 We found no evidence that differences in the production of fine roots were due to the throughfall
360 exclusions ($F = 0.25$, $d.f. = 1$, $p = 0.6227$) or nutrient additions ($F = 0.73$, $d.f. = 1$, $p = 0.4105$); despite that, root
361 productivity in the control plots (0.112 ± 0.06 kg m⁻² yr⁻¹) was ~15% less than in the drought plots with 0.133 ± 0.09
362 kg m⁻² yr⁻¹, ~27% less than in the fertilized plots with 0.154 ± 0.09 kg m⁻² yr⁻¹, and ~24% less than in the
363 drought+fertilizer plots 0.149 ± 0.12 kg m⁻² yr⁻¹. In general, we observed a decrease in the production of nodules in
364 the fertilization treatment ($\chi^2 = 4.95$, $d.f. = 1$, $p = \mathbf{0.0262}$), because only 1 nodule was observed in plots with nutrient
365 additions during the experimental manipulations. Interestingly, nodule production was similar for drought,
366 drought+fertilizer, and control plots with 69, 57, and 53 respectively ($\chi^2 = 0.03$, $d.f. = 1$, $p = 0.8589$).

367 3.3.2. Microbial carbon use efficiency (CUE)

368 CUE was ~38% higher in soils from both the drought ($F = 4.31$, $d.f. = 1$, $p = \mathbf{0.0621}$) and fertilized plots (F
369 = 4.10, $d.f. = 1$, $p = \mathbf{0.0678}$) relative to control plots (Fig. 4). When the soils were rewet in the lab, the CUE
370 exhibited a negative response as quantified by the ln(RR) for both the drought ($F = 5.66$, $d.f. = 1$, $p = \mathbf{0.0366}$) and
371 fertilization treatments ($F = 0.73$, $d.f. = 1$, $p = \mathbf{0.0809}$) (Fig. 4). There were interaction effects between experimental
372 treatments for both the CUE ($F = 5.33$, $d.f. = 1$, $p = \mathbf{0.0462}$) and ln(RR) ($F = 4.76$, $d.f. = 1$, $p = \mathbf{0.0597}$), showing
373 evidence of different responses to drought depending on nutrient availability and how CUE was negatively affected
374 by rewetting for drought plots (Fig. 4). Soil priming was similarly influenced by rewetting and across all treatments,
375 the soils held at field soil moisture showed negative priming (Fig. S14). Rewetting the soils in the lab led to greater
376 soil C priming in the drought plots ($F = 5.33$, $d.f. = 1$, $p = \mathbf{0.0497}$), but not in the fertilized plots ($F = 0.0191$, $d.f. =$
377 1, $p = 0.8932$) (Fig. S14).

378 3.4. Ecosystem productivity and biomass allocation

379 Ecosystem level fluxes were more responsive to fertilization than to the throughfall exclusion (Fig. 5). Net
380 primary productivity (NPP) increased with nutrient additions ($F = 7.86$, $d.f. = 1$, $p = \mathbf{0.0178}$), which led to 17% and
381 19% higher NPP in fertilizer and drought+fertilizer plots respectively relative to the control plots (Fig. 5). Although

382 we observed a 14% NPP increase in the drought plots ($F = 5.29$, $d.f. = 1$, $p = \mathbf{0.0431}$), we found no evidence this
383 was different from the control plots after looking at the multiple comparisons (Fig. 5). Consistently, when
384 considering only above-ground net primary productivity (ANPP) we found that fertilizer increased the amount
385 biomass produced ($F = 5.81$, $d.f. = 1$, $p = \mathbf{0.0362}$) which was 15% and 19% higher for fertilizer and
386 drought+fertilizer plots respectively relative to the control plots (Fig. 5). Moreover, the drought treatment decreased
387 ANPP ($F = 4.58$, $d.f. = 1$, $p = \mathbf{0.0575}$). We found no evidence that the drought ($F = 0.30$, $d.f. = 1$, $p = 0.5960$) or
388 fertilizer plots ($F = 0.35$, $d.f. = 1$, $p = 0.5645$) allocated more belowground biomass (Fig. 5). We did not observe
389 interaction effects by the experimental treatments in either NPP ($F = 1.13$, $d.f. = 1$, $p = 0.30$), ANPP ($F = 0.77$, $d.f.$
390 $= 1$, $p = 0.3991$), or AGB:BGB ($F = 0.34$, $d.f. = 1$, $p = 0.5695$), although the response to nutrient additions in the
391 plots without throughfall exclusions was slightly higher for NPP and ANPP relative to plots in the drought treatment
392 (Fig. 5, panel b).

393 **4 Discussion**

394 Here we present the first attempt to experimentally test whether integrated ecosystem responses to rainfall
395 manipulations are limited by nutrient availability in the tropical dry forest (TDF) biome (Beier et al., 2012; Meir et
396 al., 2015). We found that a 13-15% reduction in soil moisture only leads to modest effects in the studied ecosystem
397 processes. By contrast, extractable P increased in the fertilized plots severalfold (Fig. S8) causing an increase in
398 primary productivity (both NPP and ANPP) (Fig. 5), a decrease in the nodule production, a decrease in the
399 production of seeds and flowers (Fig. S12), increases in LAI_{max} (Fig. S13), and an increase in CUE when compared
400 to the control plots (Fig. 4). Variation in tree relative growth rates (RGR_{dbh}) were mostly due to plant functional
401 types rather than the experimental treatments. However, there was a significant interaction in how understory trees
402 responded to both treatments leading to a reduction in the differences between N-fixing and non-N-fixing trees (Fig.
403 3). Collectively, these results suggest that reducing soil moisture by a modest amount is not sufficient to drive large
404 reorganizations in ecosystem processes, and that soil nutrient availability mildly modulate short-term changes in
405 productivity. Below, we further explore the implications of these results in the context of how soil fertility could
406 affect tropical ecosystem responses to global environmental change.

407 **4.1. Nutrient and water limitations on ecosystem productivity**

408 In a broad sense, we found that nutrient availability had a stronger control on forest productivity than a
409 ~15% reduction in soil moisture. While this result does not resonate with the expectation that water availability
410 imposes a greater limitation on productivity across environmental gradients than soil fertility (Harrington et al.,
411 1995; Santiago and Mulkey, 2005; Toledo et al., 2011; Sala et al., 2012; Poorter et al., 2016), it provokes the
412 question to what extent are tropical dry forests resilient to drought stress? Our data point to other aspects related to
413 drought intensity and not soil moisture alone that could be key factors in how water availability shapes TDF primary
414 productivity (Anderegg et al., 2013). Recent studies from northwestern Costa Rica have shown that abnormal
415 drought stress due to a strong ENSO event in 2015 caused biomass loss due to an increase in tree mortality, a

416 decrease in reproductive biomass production, and reductions in productivity (O'Brien et al., 2018; Castro et al.,
417 2018; Powers et al., 2020). The main characteristics of the 2015 ENSO were the elevated temperatures and a
418 substantial rainfall reduction for the region (Santoso et al., 2017), which can increase the severity of drought effects
419 in forest ecosystems due to increased atmospheric water demand (Brodrribb et al., 2020; McDowell et al., 2020).
420 Thus, while throughfall exclusion experiments manipulate soil moisture, it is possible that a combination of factors
421 such as the vapor pressure deficit, the rainfall patterns (intensity and seasonality), and their linkages to soil moisture,
422 is a more important aspect of drought stress for forested ecosystems than soil moisture alone.

423 We observed the strongest experimental signal in the fertilization treatment (F and D + F) regardless of the
424 throughfall reductions. Such responses agree with known evidence of nutrient limitation on productivity in tropical
425 forests (Alvarez-Clare et al., 2013; Wright et al., 2018; Waring et al., 2019; Cunha et al., 2022), which has also been
426 observed in ecosystem models for the TDF (Medvigy et al., 2019). Interestingly the contribution of each biomass
427 flux to NPP depended on the combined effects of drought and fertilization, with root productivity contributing more
428 in droughted plots and woody productivity in fertilized plots (Fig. S15). Increases in root and woody productivity in
429 response to nutrient additions have been observed in secondary wet tropical forests (Wright et al., 2018) and Eastern
430 Amazon forests (Cunha et al., 2022). In a nearby secondary TDF Waring et al. (2019) found no significant effect of
431 nitrogen and/or phosphorus additions on productivity, however, in contrast to that study, our experiment included
432 the additions of both macro and micro-nutrients (Table S2). Moreover, the increase in productivity as a function of
433 fertilization showed a bigger, yet not significant, increase without the presence of throughfall structures (Fig. 5,
434 panel b). This trend resembles observed patterns in nearby stands of TDF, where forests in fertile soils are more
435 responsive to increases in rainfall than forests in infertile soils (Becknell et al., 2021). At the same time, our results
436 are comparable to other throughfall exclusion experiments in which fine litter production was not affected by the
437 drought treatment in a consistent manner (Nepstad et al., 2002; Brando et al., 2006; Schwendenmann et al., 2010),
438 with a lot of its variation possibly linked to inter-annual climatic variability (Brando et al., 2008).

439 4.1.1 Canopy dynamics and tree growth

440 While maximum canopy cover (LAI_{max}) showed a mild increase in the fertilization treatment, the temporal
441 dynamics of leaf phenology showed no changes (Fig. S13). It is possible that the timing of leaf phenology may also
442 depend on intra- and interspecific responses to environmental factors that shape soil water availability including
443 temperature, atmospheric water demand, and soil water retention. For example, the tree species *Coussarea racemosa*
444 A. Rich modified its vegetative and reproductive phenology in response to a rainfall manipulation in the eastern
445 Amazon (Brando et al., 2006), while at the forest level changes were observed in LAI_{max} but not the timing of leaf
446 production (Brando et al., 2008). However, the opposite was observed in a fertilization experiment in the same
447 region where nutrient additions reduced leaf life span and had no effects on LAI_{max} (Cunha et al., 2022). In a
448 throughfall exclusion experiment combined with fertilization in loblolly pine (*Pinus taeda* L.) plantations, there
449 were no changes in the LAI_{max} in response to rainfall reduction but an increase in the LAI_{max} in the fertilized plots
450 (Samuelson et al., 2014), which is qualitatively consistent with our data. This body of knowledge suggests that the
451 effects of experimental manipulations on canopy cover might be context-dependent.

452 No species showed significant changes in RGR_{dbh} , but the understory trees showed a reduction in the
453 differences between N-fixing and non-N-fixing trees. For F and D this was due to a reduction in growth rates by
454 non-N-fixing trees, while for D+F due to an increase in the growth rates by N-fixing trees (Fig. 4). One possible
455 reason for these patterns could be increased resource availability due to decreased competition. The D+F plots in
456 which these three species were present experienced the highest biomass losses due to mortality during the four years
457 of experimental manipulation (Table S5; Fig. S11). Even though it is hard to determine the cause of death, an initial
458 spike in tree mortality has been observed in a long-term throughfall exclusion experiment in the Amazon (Costa et
459 al., 2010), which also caused an increase in growth rates of remaining trees (Rowland et al., 2015). Interestingly,
460 Meir et al. (2018) found that tree growth and mortality in the same experiment reached an equilibrium in the long-
461 term (> 10 years), reporting similar values to trees in a 1 ha plot without rainfall manipulation. The lack of
462 responsiveness in the F and D plots, in addition to the biomass losses in some of the D+F plots (Table S5), supports
463 the idea that the availability of resources such as light could be the cause of higher RGR_{dbh} in the D+F compared to
464 the other treatments (Fig. S16). The lowest RGR_{dbh} were found in plots with the D treatment, with the strongest
465 experimental effect on *D. retusa*, *E. cyclocarpum*, and *S. glauca* (Fig. S10). These results are very similar to what
466 has been found in other tropical throughfall exclusion experiments (Meir et al., 2015), in which there is an overall
467 negative effect on tree diameter growth by a decrease in soil moisture.

468 4.1.2 Belowground responses

469 The fertilized plots showed no nodule production. This observed trend suggests that fertilizer addition
470 alleviates nutrient limitations for legumes (Toro et al. 2022), and confirms the facultative nature of nodulation
471 (Barron et al., 2011). While not statistically significant, nodule production was the highest for both drought
472 treatments (D and D+F). In part, a decrease in soil moisture slows down the rate of nitrogen mineralization and
473 limits plant nutrient uptake (Borken and Matzner, 2009; He and Dijkstra, 2014). Comparable to our results, the
474 legume species *Robinia pseudoacacia* L. also increased nodulation in a drought experiment (Wurzburger and
475 Miniat, 2014). Moreover, trees tend to rely more on deeper water sources with less access to nutrients (Querejeta et
476 al., 2021). This allocation of root biomass might also enhance nodulation in legumes as there might be changes in
477 the vertical profile of nutrients in the soil, particularly in arid environments where plants can have deep roots
478 (Tumber-Dávila et al., 2022). However, the lack of data on root production beyond the top 15 cm in our experiment
479 makes it hard to confirm this is the case.

480 Our soil incubation results suggest that global environmental change has the potential to alter microbial
481 CUE and the susceptibility of soil carbon to pulse rainfall events in tropical dry forests. After three years of
482 treatment, soil microbes in the D and F soils had significant increases in CUE (Fig. 4). Increases in CUE are
483 commonly attributed to shifts in the microbial community (Domeignoz-Horta et al., 2020), changes in the
484 availability of soil C (Morris et al., 2022), or a reduction in carbon investment by microbes in enzymes to fuel the
485 nutrient acquisition (Manzoni et al., 2012). In this experiment, however, the increases in CUE in the D and F soils
486 but not the D + F soils hinder our ability to narrow down which of these mechanisms may be driving our results.
487 Quantifying the shifts in microbial community composition, as well as the identity of microbes that are active

488 decomposers, may shed light on the mechanistic underpinning of the CUE response observed here. Importantly,
489 these differences in CUE across treatments also appeared to impact the response of the soils to large, simulated
490 rainfall events. Regardless of treatment, rewetting the soils to water holding capacity led to a large reduction in CUE
491 (Fig. 4). While not statistically significant, there was a clear trend of greater CUE declines in the treatment soils,
492 particularly the D soils. This trend suggests that when large rainfall events occur in disturbed soils, a decrease in
493 microbial CUE could potentially lead to a stronger Birch Effect and enhance the soil C loss (Schimel, 2018). In
494 support, we found that rewetting the soils also led to the glucose addition driving greater priming of soil carbon
495 losses, a result that was particularly pronounced for the D soils (Fig. S14). By contrast, the glucose addition in soils
496 that were held at field soil moisture conditions led to the net mineralization of soil C by the microbial community.
497 Collectively, our soil incubation results highlight a critical need for more research on the potential for global change
498 to lead to shifts in microbial community composition and traits in TDFs.

499 **4.2 Conclusions**

500 Our results highlight that forest productivity is sensitive to soil fertility and that this might interact with
501 changes in soil moisture. However, despite adding both macro- and micro-nutrients, our results confirm that the
502 short-term responses of tropical dry forest trees to fertilization treatments are modest at best, contrary to the
503 observed strong responses in nutrient-depleted eastern Amazon forests (Cunha et al., 2022). At the same time, the
504 nodulation data indicate that there might be a tight coupling between nutrient availability and water availability in
505 this system. Studying the role of soil moisture on plant nutrient acquisition dynamics remains a largely unexplored
506 venue in TDF ecology. Considering the observed patterns, a total throughfall exclusion will be necessary to cause
507 soil moisture to decrease by greater than 15 % and manipulations of the atmospheric water demand (e.g., vapor
508 pressure deficit) could help to improve our understanding of drought in tropical forests. Moreover, little is known of
509 how these belowground processes interact with microbial community dynamics, such as CUE, also affected by
510 nutrient additions or reductions in soil moisture. Beyond these processes, disentangling the causes and consequences
511 of colimitation by water and nutrients in productivity could help to elucidate how future climatic conditions will
512 affect carbon cycling in the TDF.

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847

848 **TABLES AND FIGURE CAPTIONS**

849 **Table 1.** Focal tree species present in the experimental manipulations and their functional and hydraulic traits
 850 measured in other studies (Data from Powers and Tiffin, 2010; Powers et al, 2020). Here we present species leaf
 851 habit (LH), nitrogen fixation (NF), specific leaf area (SLA, cm² g⁻¹), wood density (WD, g cm⁻³), water potential at
 852 turgor loss point (Ψ_{TLP} , Mpa), and the water potential at 50 % accumulation of embolisms (Ψ_{P50} , Mpa).

Family	Species	LH	NF	SLA	WD	Ψ_{TLP}	Ψ_{P50}
Bignoniaceae	<i>Handroanthus impetiginosus</i> (Mart. ex DC.) Mattos	DC	N	97.85	0.71	-1.95	-3.15
Fabaceae	<i>Dalbergia retusa</i> Hemsl.	DC	Y	67.70	0.80	-1.99	-4.71
Fabaceae	<i>Enterolobium cyclocarpum</i> (Jacq.) Griseb.	DC	Y	145.51	0.38	-1.75	-2.73
Fabaceae	<i>Hymenaea courbaril</i> L.	SD	N	69.45	0.84	-1.91	-4.2
Meliaceae	<i>Swietenia macrophylla</i> King.	DC	N	68.86	0.67	-1.65	-2.92
Simaroubaceae	<i>Simarouba glauca</i> DC.	EV	N	54.89	0.41	-1.98	-2.81

853

854 **Fig. 1. a)** Geographical location and layout of a throughfall exclusion by fertilization experiment in Northwestern
855 Costa Rica. **b)** Picture of a throughfall exclusion structure in a 30-year-old *Swietenia macrophylla* King. and
856 *Hymenaea courbaril* L. plantation.

857

858 **Fig. 2.** Volumetric soil moisture records at two depths for plots with a throughfall exclusion structure and plots
859 without it. **a)** Temporal variability at a weekly resolution median volumetric soil moisture with its associated 75 and
860 25 percentiles, where the dashed vertical line represents the date when the structures were established. **b)** Histogram
861 distribution of the percent difference between soil moisture during the experiment (SM_{Exp}) and the soil moisture
862 preceding the experimental treatments (SM_{Pre}) for each depth during the dry season and the wet season, where the
863 vertical lines represent the median $SM_{Exp} - SM_{Pre}$ percent value for plots with a throughfall exclusion structure
864 (dashed) and plots without it (continuous). Reported results from a linear mixed effect model comparing weekly
865 $SM_{Exp} - SM_{Pre}$ percent values for each depth during the dry and wet seasons.

866

867 **Fig. 3.** Diameter relative growth rate responses (RGR) of plantation (panel a) and understory (panel b) trees to
868 fertilization (F), drought (D), and drought plus fertilization (D + F) over a period of four years (2016-2020). Bar
869 plots showing the mean RGR with the associated standard error (error bars) were obtained from a total of 194
870 plantation trees and 462 understory trees in 16 experimental plots. Lowercase letters stand for multiple comparisons
871 among experimental treatments from a Post-Hoc Tukey's honest significance difference test.

872

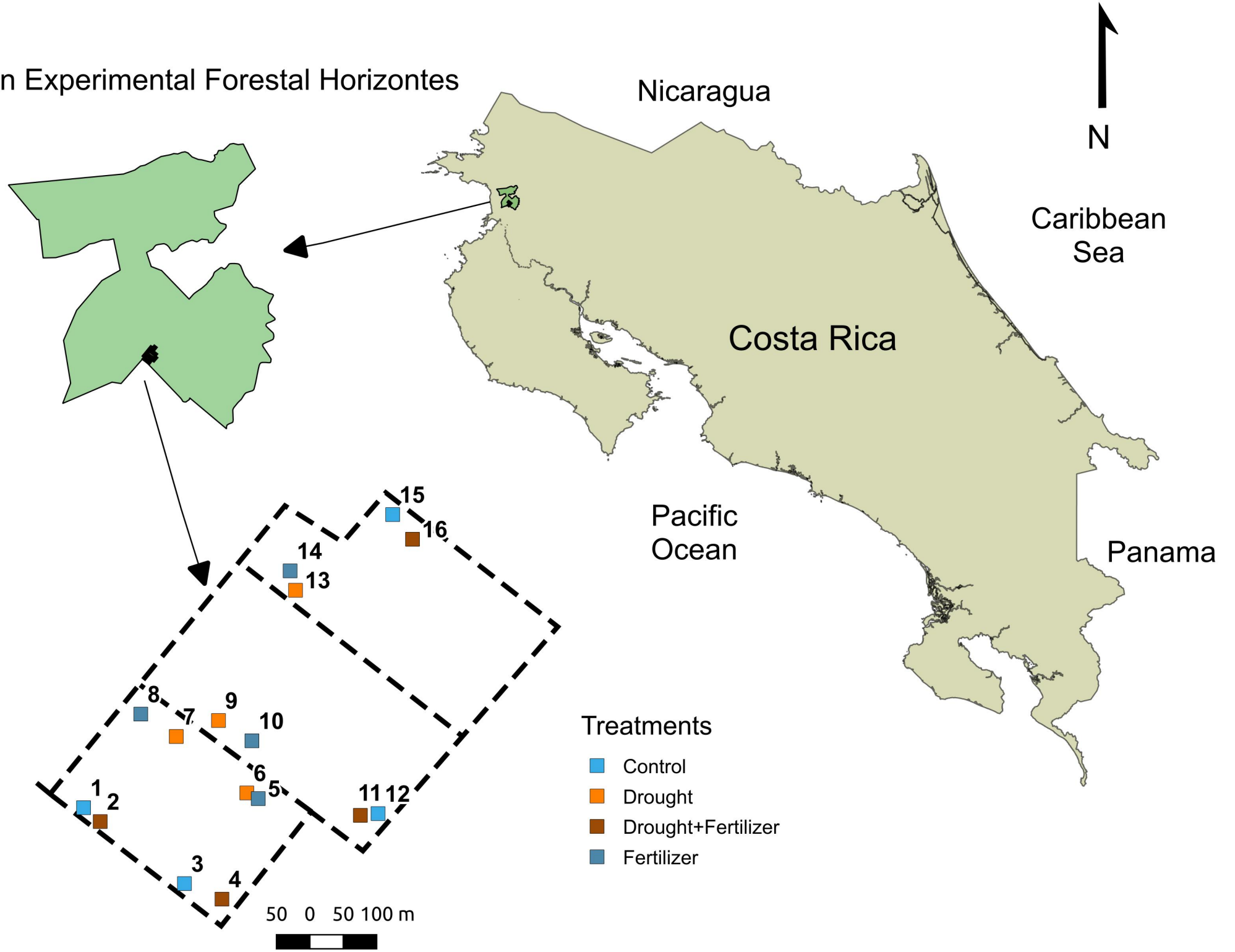
873 **Fig. 4.** Microbial carbon use efficiency (CUE) and the log-response ratio between lab rewet and non-rewet samples
874 $\ln(RR)$ in control, fertilization (F), drought (D), and drought plus fertilization (D + F) during the wet season of 2019.
875 Panel a) shows bar plots with the mean response with the associated standard error ($n=4$) and panel b) shows
876 interaction plots among experimental treatments. No significant differences were present after performing a Post-
877 Hoc Tukey's honest significance difference test, despite the evidence of a moderate effect of F and D in both CUE
878 and $\ln(RR)$.

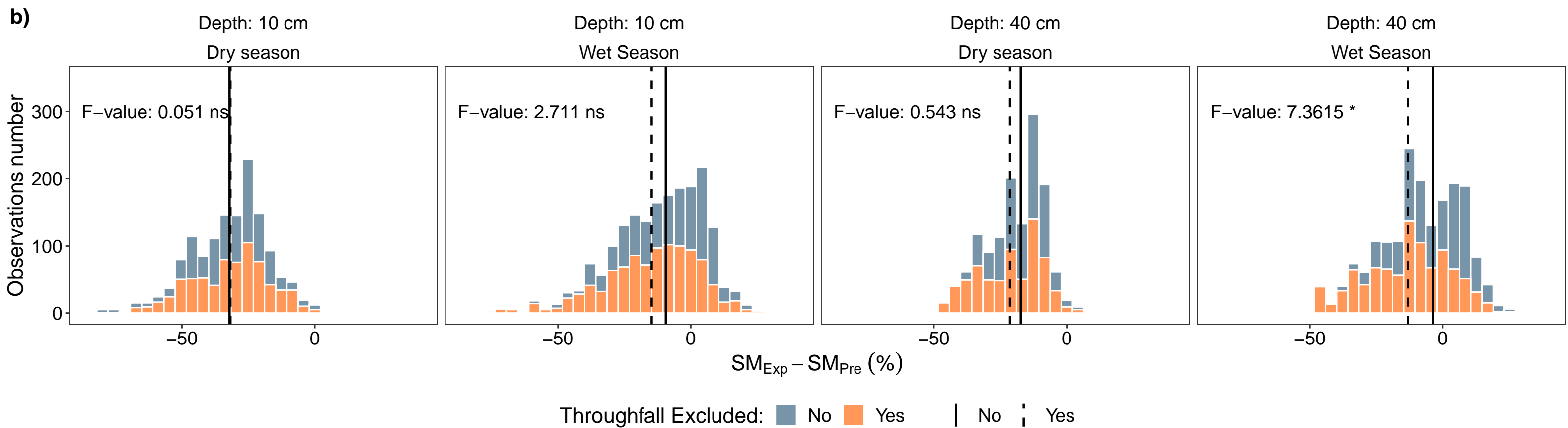
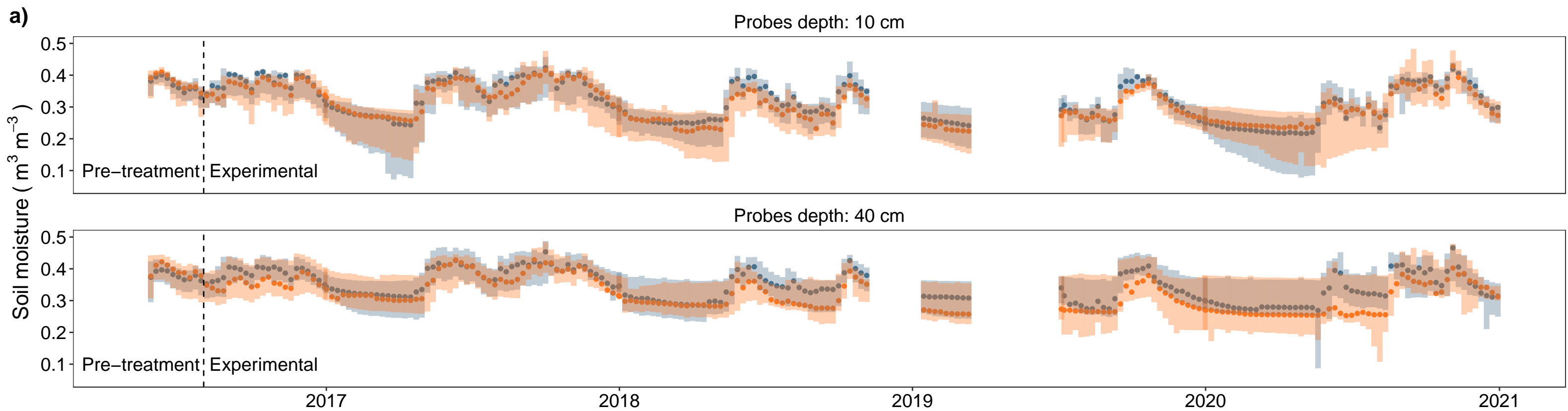
879

880 **Fig. 5.** Responses of ecosystem net primary productivity (NPP), aboveground net primary productivity (ANPP), and
881 aboveground to belowground ratios to fertilization (F), drought (D), and drought plus fertilization (D + F) over a
882 period of four years (2016-2020). Panel a) shows median values for each experimental manipulation with their
883 associated standard error ($n=4$) with significance values after performing a Post-Hoc Tukey's honest significance
884 difference test where $p < 0.05$ (*) and $p < 0.1$. Panel b) shows the interactions between F and D treatments where for
885 NPP and ANPP there was a greater response of non-drought plots to fertilization.

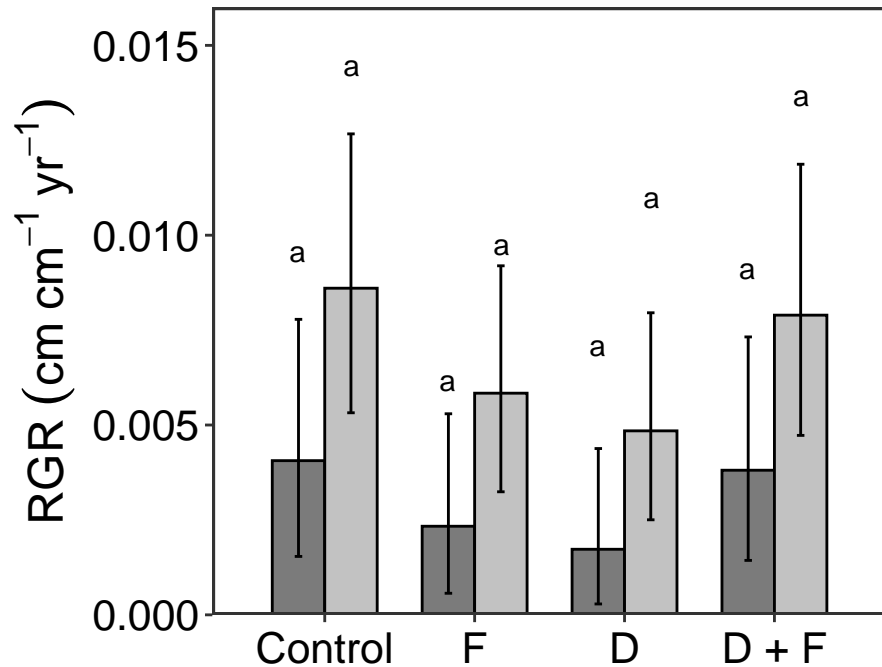
a)

Estación Experimental Forestal Horizontes

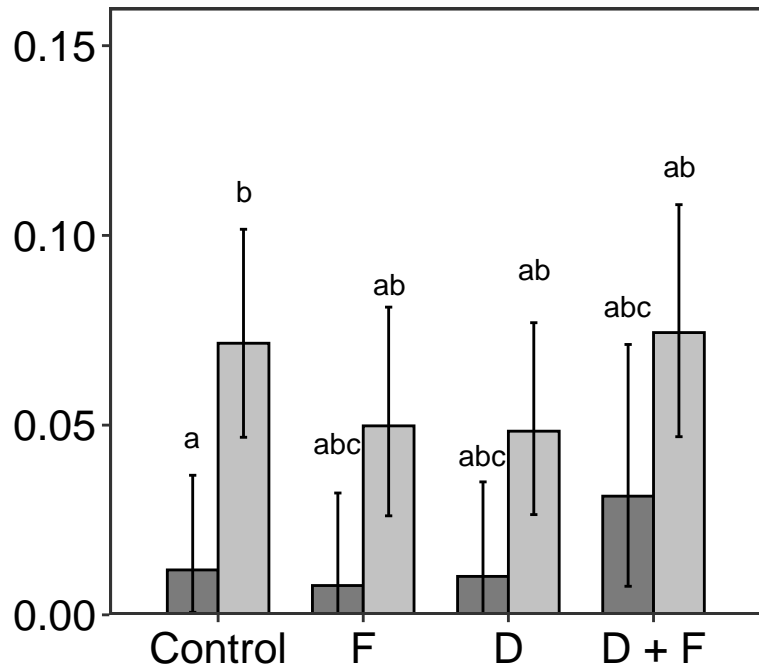
**b)**



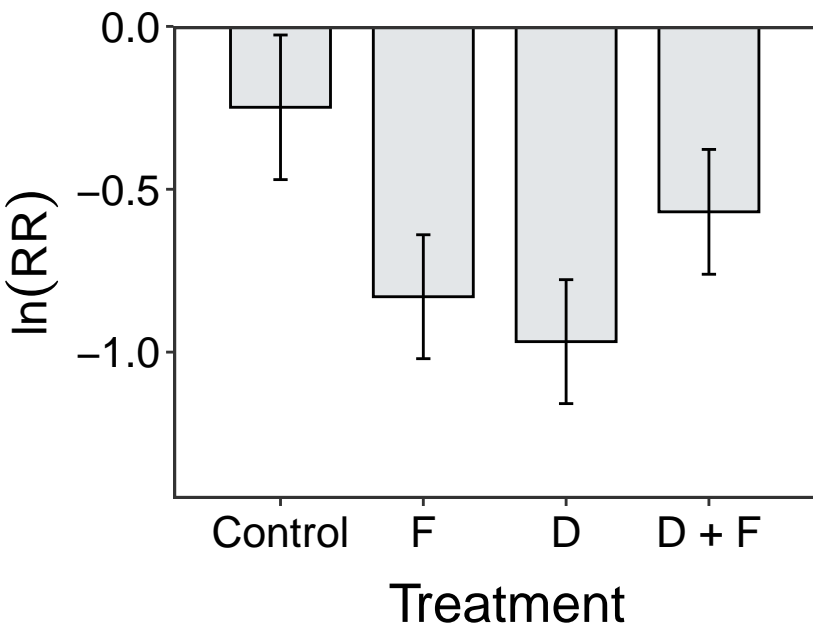
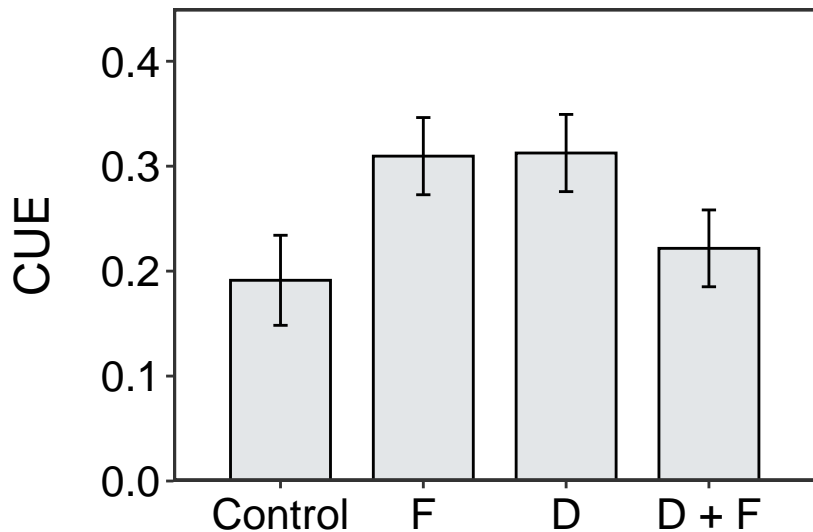
a) Plantation



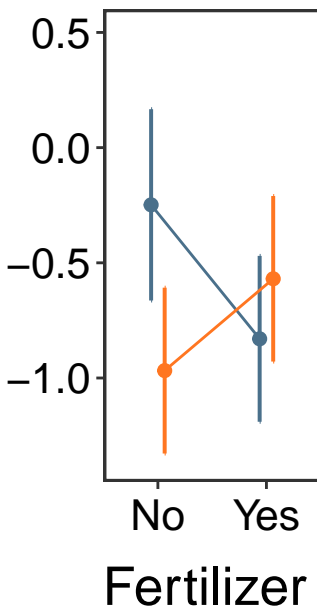
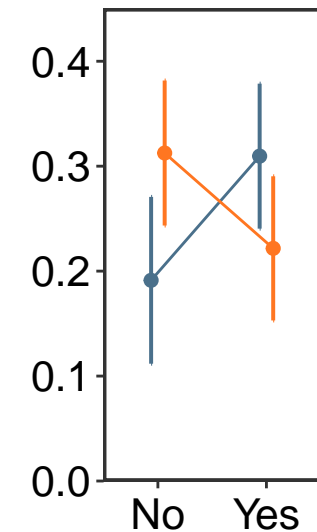
b) Understory

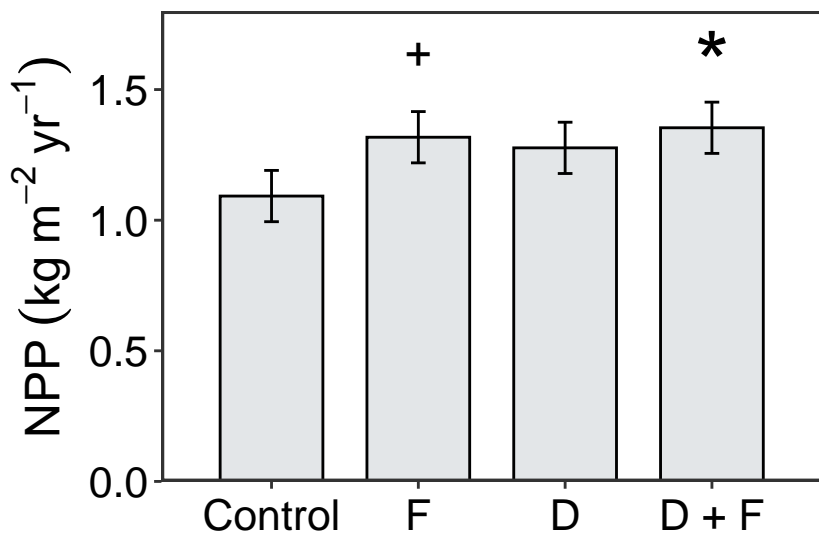


N-fixing Yes No

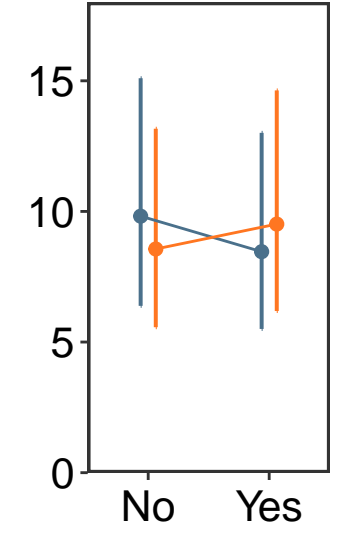
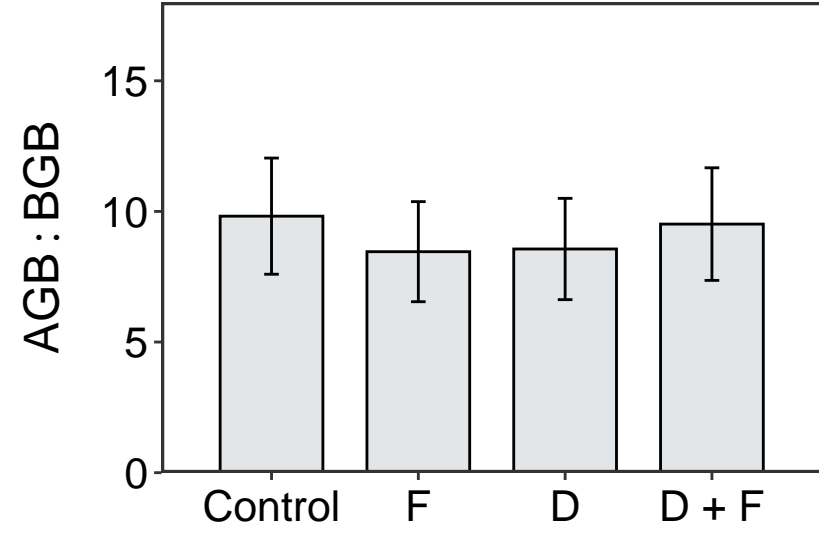
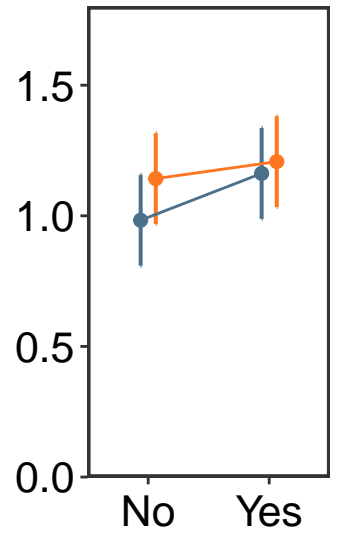
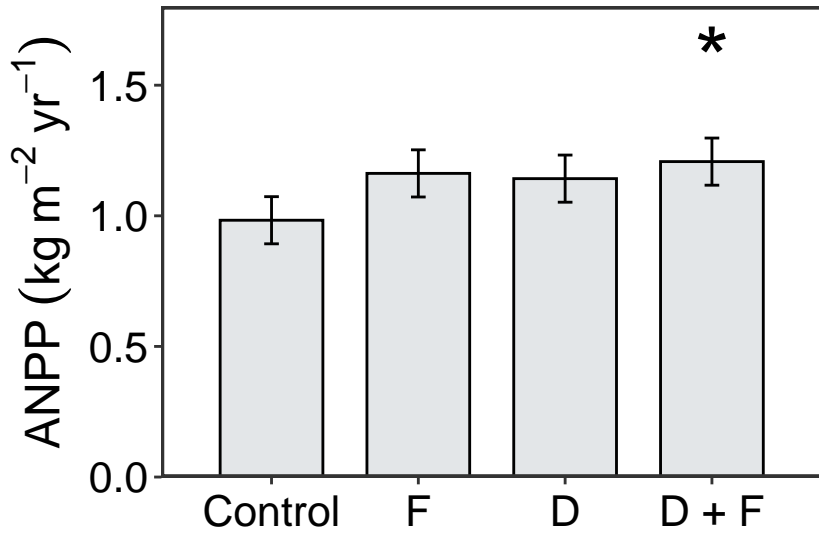
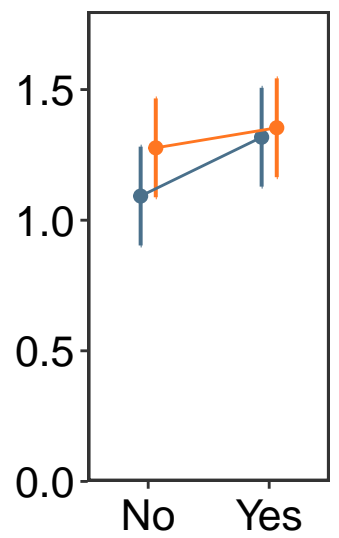
a)**b)**

Drought — No — Yes



a)**b)**

Drought — No — Yes



Treatment

Fertilizer