



1 Reviews and Syntheses: Understanding the impacts of peatland catchment
2 management on DOM concentration and treatability

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14



15 **Abstract**

16 In the UK most large reservoirs constructed for public water supply are in upland areas and situated
17 in catchments that contain at least some organic-rich soils. Dissolved organic matter (DOM) leaching
18 from these soils imparts a brownish colour to water and raises treatment challenges for the water
19 industry since excessive post-treatment concentrations result in the generation of potentially harmful
20 disinfection by-products in drinking water. The primary method for maintaining sufficiently low pre-
21 disinfection DOM concentrations is chemical coagulation, but in the past 15 years water companies
22 have increasingly considered the capacity for catchment interventions to improve raw water quality
23 at source, reducing the need for costly and complex engineering solutions in treatment works. There
24 remains considerable uncertainty around the effectiveness of these catchment engineering-based
25 measures and a comprehensive overview of the research in this area remains lacking. Here we review
26 the peer-reviewed evidence for the effectiveness of four management options for upland organic soil-
27 dominated catchments that are being considered by the water industry as options for controlling DOM
28 releases. These are ditch blocking, revegetation, reducing forest cover, and cessation of managed
29 burning. Results of plot scale investigations into effects of ditch blocking on ditch-blocking are
30 available but largely equivocal, while there is a paucity of information regarding impacts at spatial
31 scales of more direct relevance to water managers. The presence of plantation forestry on peat soils
32 is generally associated with increasing DOM concentrations, although canopy removal has little short-
33 term benefit and can even further increase concentrations. Although not widely studied, the available
34 evidence suggests that *Sphagnum* mosses produce DOM that is more easily removed via conventional
35 treatment processes compared to vascular plants such as heather and grass species. We found
36 surprisingly little published research around the extent to which manipulation of in-reservoir
37 processes might be used to mitigate or exacerbate changes in inflowing DOM as part of a catchment
38 management approach.

39 This review concluded that catchment management measures have rarely been monitored with
40 downstream water quality as the focus, and that restoration impacts vary across sites. To mitigate the
41 uncertainty surrounding restoration effects on DOM, measures should be undertaken on a site-
42 specific basis, where the scale, effect size and duration of the intervention are considered in relation
43 to subsequent biogeochemical processing that occurs in the reservoir, the treatment capacity of the
44 water treatment works and future projected DOM trends.

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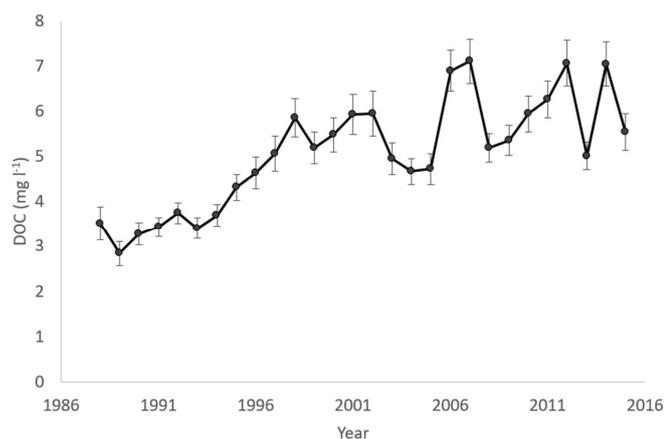
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47 Introduction

48 Peatland restoration has become an integral part of the UK environment strategy, particularly in the
49 drive toward Net Zero. It is founded on the potential to achieve multiple benefits that include
50 improving biodiversity, enhancing carbon sequestration, and controlling water runoff and quality, in
51 catchments that are deemed to have been degraded by anthropogenic stressors. Nearly three
52 quarters of the storage capacity of drinking water reservoirs in the UK is sourced from peatland areas
53 (Xu et al., 2018). The dissolved organic matter DOM concentrations of these water tend to be relatively
54 high, and have been rising since the 1980s (e.g. Naden and McDonald, 1989; Robson and Neal, 1996;
55 Harriman et al., 2001; Freeman et al., 2001; Worrall et al., 2004). Mean DOM concentrations in UK
56 Upland Waters Monitoring Network (UWMN) surface waters, most of which are dominated by
57 organic-rich soils, have approximately doubled over the last three decades being approximately
58 double those seen in the late 1980s (Figure 1). At the sub-catchment scale, Chapman et al. (2010)
59 found that water colour increased by between 22 and 155 percent over a 20 year period between
60 1986 and 2006. This phenomenon has now been observed across much of industrialised North
61 America and north-west Europe, and appears to largely result from an long-term increase in the
62 solubility of terrestrial organic matter as soils recover from the effects of acid rain (Monteith et al.,
63 2007; De Wit et al., 2021). Rising levels of DOM in waters draining many of these catchments pose
64 considerable water treatment challenges, with respect to increasing treatment costs and risks of
65 regulatory failure (see Figure 1). It has been proposed that peatland restoration measures might help
66 slow or even reverse these DOM trends, but while some of the benefits of peatland restoration are
67 now becoming clear (e.g. Glenk and Martin-Ortega, 2018), evidence for impacts on water quality have
68 been more difficult to glean.

69



70

71 Figure 1: Mean (+/- Standard error) annual dissolved organic carbon (DOC) concentrations from
72 UWMN sites. These sites are predominately situated in the north and west of the UK – see
73 www.uwmn.uk for more details.

74

75 Although consumption of DOM in drinking water is not directly harmful to people, coloured water
76 reduces customer satisfaction (Ritson et al., 2014) and can be indicative of further problems.
77 Indirectly, elevated DOM concentrations have implications for human health due to their potential

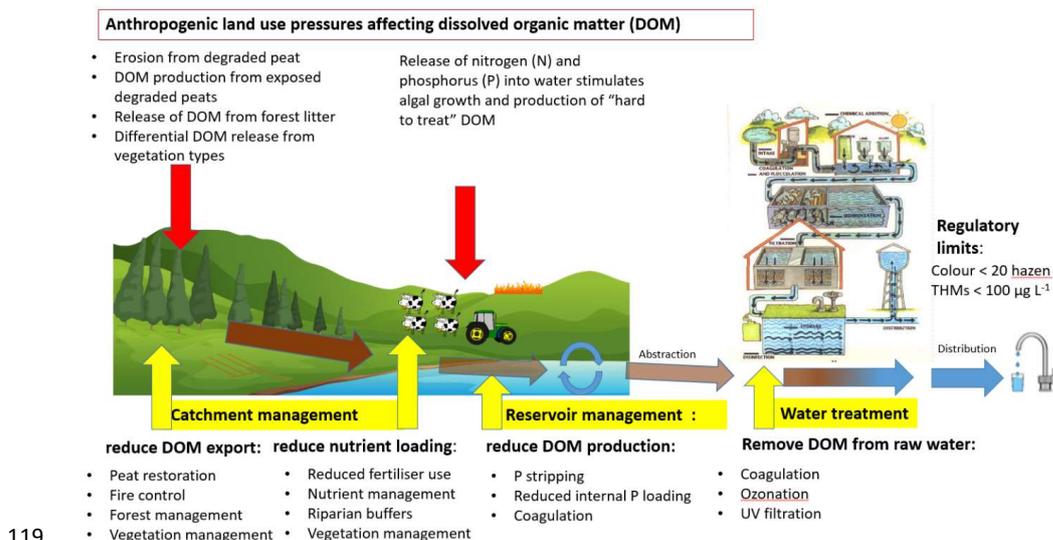


78 influence on treatment processes and the production of carcinogenic disinfectant by-products (DBPs)
79 such as trihalomethanes (THMs), which are regulated by the Drinking Water Inspectorate (DWI) due
80 to their potential carcinogenic properties. Chlorination, a standard disinfection process in most UK
81 WTWs, leaves free chlorine in the water supply as a residual disinfectant. Free chlorine reacts with
82 DOM remaining in the water supply following coagulation and filtration to form DBPs, including THMs.
83 Chloramination, the treatment of drinking water with chlorine and ammonia to form chloramine, has
84 been used as a method of reducing THM formation. However, it has been found that chloramination
85 promotes the formation of nitrogenous DBPs (e.g. Bond et al., 2011; Lavonen et al., 2013), which are
86 more carcinogenic than THMs (Ding and Chu, 2017) and are likely to be regulated in the future. DOM
87 also may hamper the efficacy of chlorine as a disinfectant while simultaneously acting as a substrate
88 for bacterial regrowth (Prest et al., 2016), thus increasing the risk of regulatory failure from bacterial
89 contamination and the subsequent loss of customer trust.

90 The composition of DOM can have a large influence on the performance of the water treatment
91 processes and the formation of DBPs upon chlorination (Matilainen et al., 2010). DOM in water
92 draining peatland areas tends to be predominantly hydrophobic, and relatively photoreactive and
93 biologically recalcitrant. It is relatively easily removed by conventional coagulation and filtration
94 during drinking water treatment due to the presence of charged functional groups (Matilainen et al.,
95 2010). Hydrophilic DOM, on the other hand, is mostly produced within the waterbodies by
96 phytoplankton activity (Imai et al., 2002), and is biologically labile but less easily degraded by sunlight
97 (Berggren and Del Giorgio, 2015; Berggren et al., 2018). The relative balance of hydrophobic to
98 hydrophilic DOM in water is referred to as hydrophobicity, and is conventionally assessed in the water
99 treatment system using Specific UV Absorbance measurements at 254 nm ($SUVA_{254}$), i.e. absorbance
100 at 254 nm per unit dissolved organic carbon concentration (Weishaar et al., 2003). Values greater than
101 4 indicate hydrophobic dominance, while values less than 2 show the DOM is primarily hydrophilic
102 and will not be effectively removed using conventional coagulation and filtration alone (Matilainen et
103 al., 2010).

104 Higher concentrations of DOM in raw water necessitate a greater amount of treatment to provide
105 potable water to customers (Monteith et al., 2021). This may include larger coagulant dosages, shorter
106 filter run times, and longer and more frequent cleaning of filtration units, and result in higher energy
107 costs, higher sludge removal costs and an increase in direct and indirect (energy-related) greenhouse
108 gas (GHG) emissions from the treatment process (Jones et al., 2016). Overall, the cost of DOM removal
109 in UK water supplies is estimated to be hundreds of millions of pounds, and has risen sharply in recent
110 years as a direct consequence of rising DOM concentrations. Major additional costs are incurred
111 where capital investment is needed to upgrade treatment infrastructure designed for lower
112 concentration ranges experienced in the past. It is important, therefore, for water industry decision
113 makers to understand the extent to which peatland restoration could make a positive contribution to
114 reducing DOM concentrations of raw water and thus relieve stresses on the treatment system and
115 potentially remove the need for major additional capital investment in treatment plant. This work
116 reviews the available peer-reviewed literature and provides a qualitative assessment of the impacts
117 of peatland restoration on DOM concentrations and treatability.

118



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120 Figure 2: Schematic showing anthropogenic pressures on peatland catchments, and the potential
 121 peatland management processes covered in this review.

122

123 **2. Evidence for the efficacy of catchment management approaches in the reduction of DOM**

124 **2.1. Ditch blocking**

125 Following peatland drainage, the resulting reductions in water tables, loss of peat forming plant
 126 species, and consequent drying and cracking of peat surfaces, exposed previously permanently
 127 saturated organic matter to oxidative processes, making it more vulnerable to erosion and dissolution
 128 into DOM (e.g. Clark et al., 2009). Extensive efforts have been made by the water industry and
 129 organisations concerned with peatland conservation to block ditches in an attempt to restore the
 130 hydrological, biogeochemical and ecological functions of these landscapes (Figure 3).

131 Of the studies relevant to UK peatlands found during this review, four out of five (Table 1) reported
 132 significant changes in DOM concentrations within peat soil pore water (i.e. plot scale) following ditch
 133 blocking, with a cross-study average 34% reduction (range 0 to 69%) (Wallage et al., 2006; Holl et al.,
 134 2009; Haapalehto et al., 2014; Strack et al., 2015; Menberu et al., 2017). While therefore suggesting a
 135 general tendency for ditch blocking to reduce pore water DOM concentrations, these studies do not
 136 necessarily imply that effects will be translated through to surface waters and ultimately to the point
 137 of abstraction.

138 At the ditch scale, results are more variable than those for pore waters (Table 1). The ten studies
 139 reviewed showed a mean 10% increase in DOM concentrations following ditch blocking, although this
 140 figure is skewed by the large increases reported by Worrall et al. (2007b) and Haapalehto et al. (2014)
 141 (100% and 50-75% increases respectively); the median change is zero. Importantly, no significant
 142 change in DOM concentration was reported in half of these studies (O'brien et al., 2008; Gibson et al.,
 143 2009; Armstrong et al., 2010; Wilson et al., 2011; Evans et al., 2018). Likewise, a recent study
 144 monitoring DOM concentrations six years after ditch blocking on a blanket bog found no reduction in
 145 DOM concentrations in the restored site compared to the ditched site (and both drained and restored
 146 site DOM concentrations remained elevated compared to the non-drained control (Pickard et al.,



147 2022). Differences in apparent effect size may be related to experimental design, including whether
 148 the work included a simultaneous control and the time period over which post-restoration monitoring
 149 was carried out.

150 Measuring and reporting water fluxes (and hence DOM fluxes) at a site- or catchment-scale requires
 151 careful consideration of the potential for dominant water flow pathways to be altered following ditch
 152 blocking. For example, Holden et al. (2017) showed that damming of drainage ditches in North Wales
 153 did reduce discharge along the original ditch lines following blanket bog re-wetting, but that most, or
 154 all, of the displaced flow instead left the peatland via overland flow or near-surface through-flow.
 155 Subsequent reporting from the same experiment demonstrated that DOM concentrations in water
 156 displaced along these surficial pathways were approximately the same as those in water travelling
 157 along the ditches, with the result that ditch-blocking was not found to have any clear effect on either
 158 DOM concentrations or fluxes at the catchment scale (Evans et al., 2018). Studies of DOM flux changes
 159 following ditch blocking report an average 24% reduction (range 0 – 88% reduction) in DOM flux,
 160 primarily attributed to decreased water fluxes from the restoration site.

161 **Table 1: Summary of the impacts of drainage ditch blocking on DOM concentrations and fluxes from peatlands, reported**
 162 **in increasing time since ditch blocking. BA = Before/After, CI = Control/Intervention**

Reference	Location	Sampling scale	Concentration or flux measured	Time since ditch blocking	Experimental Design	Change since ditch blocking
Worrall et al. (2007b)	UK, blanket bog	Ditches	DOM concentration	7 months	BACI	100% increase in DOM concentration.
Turner et al. (2013)	UK, blanket bog	0 and 1 st order ditches	DOM concentration and flux	1 year	BACI	DOM concentration decreased by 2.5% compared to control, DOM flux decreased by 2.2 – 9.2% as a result of decreased water export.
Gibson et al. (2009)	UK, blanket bog	Ditches	DOM concentration and flux	1 year	CI	DOM concentrations unchanged, water flux decreased by 39% meaning DOM flux also declined by the same amount.
Wilson et al. (2011)	UK, blanket bog	Ditches and headwater streams	DOM concentration and flux	2 years	BACI	DOM concentrations unchanged, fluxes were 88% lower in streams draining ditch-blocked catchments due to much lower estimated water export.
O'brien et al. (2008)	UK, blanket bog	Headwater streams	DOM flux and water colour	2 years	BACI	Water colour was unchanged. Fluxes decreased by 24% in streams as a result of decreasing water export.
Menberu et al. (2017)	Finland fen, pine mire and spruce mire	Pore water	DOM concentration	3 years	BACI	41% reduction in DOM concentration.
Evans et al. (2018)	UK, blanket bog	Ditches	DOM concentration	4 years	BACI	No change in DOM concentration
Wallage et al. (2006)	UK, blanket bog	Pore water	DOM concentration	5 years	CI	DOM concentration lower in porewaters adjacent to blocked ditches (69% lower compared to open ditches)



Haapalehto et al. (2014)	Finland, raised bog	Pore water	DOM concentration	5 years and 10 years	Chronosequence	DOM concentration approx. 10% lower in sites 5 years post restoration and 25% lower in sites 10 years post restoration
Haapalehto et al. (2014)	Finland, raised bog	Ditches	DOM concentration	5 years and 10 years	Chronosequence	Concentrations approx. 75% higher in sites 5 years post restoration and 50% higher in sites 10 years post restoration
Armstrong et al. (2010)	UK, blanket bog	Ditches	DOM flux	7 years	CI	No change in DOM flux
Strack et al. (2015)	Canada, bog	Pore water and ditch water	DOM concentration	10 years	CI	No change in pore water DOM concentration. Ditch water DOM concentrations were similar in spring and summer and up to 30% lower in the restored site in autumn.
Armstrong et al. (2010)	UK, blanket bog	Ditches from a survey in Northern England and Northern Scotland	DOM concentration	6 months to 18 years	Survey	DOM concentrations 28% lower on average in blocked drains compared to unblocked drains.
Holl et al. (2009)	Germany, ex-fenland extraction site	Pore water	DOM concentration	20 years	CI	DOM concentrations 37% lower at restored site compared to drained site.
Urbanova et al. (2011)	Czech Republic, bog	Pore water	DOM concentration	NA comparison between drained and intact sites	CI	No difference in DOM concentration between intact and moderately degraded site, 50% higher DOM concentrations at highly degraded site.
Pickard et al. (2022)	UK, blanket bog	Headwater streams	DOM concentration	6-8 years	CI	No difference in DOM concentration between drained and restored sites. DOM concentrations significantly higher (50% increase) in drained and restored sites compared to non-drained controls.

163

164

165 Nine studies to date have assessed the potential impact of ditch blocking on DOM treatability and
 166 hence the ease of treatability within a conventional water treatment works. They found that the
 167 majority of studies at UK and continental European ditch blocking locations, along with results from
 168 their experimental work, showed little effect of ditch blocking on DOM treatability as measured by
 169 commonly reported metrics such as SUVA, E2:E3 ratios (ratio of light absorbance at 250 and 365 nm)
 170 and E4:E6 ratios (ratio of light absorbance at 465 and 665 nm) (Glatzel et al., 2003; Strack et al., 2015;
 171 Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018). While none of the studies included direct
 172 measures of DOM hydrophobic and hydrophilic fractions, one measured THM formation potential and



173 found no change between water samples taken from drained and rewetted blanket bog mesocosms
174 (Gough et al., 2016), suggesting that in the short term ditch blocking may not reduce THM formation
175 following water treatment.

176 More broadly, therefore, while the evidence suggests that ditch blocking may reduce DOM
177 concentrations within pore waters (Table 3), there is no published evidence for such activities to have
178 successfully influenced DOM concentrations in runoff at a catchment scale, and thus at a level of
179 potential relevance to raw water supply to treatment works. It is important to note, however, that
180 catchment-scale studies are hugely challenging logistically and financially to design and maintain and
181 are currently very rare over timescales suitable to detect land management effects on water quality.

182



183

184 Figure 3: Drainage ditches before (left) and after (right) blocking on a blanket bog in North Wales, the ditches run down
185 the slope and individual dams can be seen crossing the ditches (Photos: Chris Evans).

186

187 2.2. Re-vegetation of bare peat

188 Exposure of bare peat following anthropogenic disturbance has been an extensive problem in a
189 number of UK peatland regions, most notably in the Peak District. The subsequent erosion of the peat
190 has caused significant problems for the water industry because of the high particulate loads from the
191 catchment to the downstream reservoirs. There have been significant efforts in recent years to
192 revegetate some of the most degraded upland peatland areas in order to stabilise these systems.

193 Published research on the impacts of revegetation of peatland areas on DOM is limited, but Qassim
194 et al. (2014) found that pore water DOM concentrations were higher in revegetated sites compared
195 to bare peat areas and vegetated controls over a five-year period. The initial revegetation mix in this
196 work was a nurse crop of *Agrostis* sp., *Deschampsia flexuosa* and *Festuca* sp. in combination with
197 additions of lime and fertiliser to ensure grass growth. Heather brash was applied to stabilise the peat
198 surface and provide a seed source of peatland species. The use of lime is likely to have increased DOM
199 solubility through a reduction in acidity of the peat (Evans et al., 2012), and the re-establishment of
200 vegetation may have increased the production of 'new' DOM via root leachate and fresh litter
201 decomposition. Particulate losses from peatland systems decreased following stabilisation of the peat
202 surface through revegetation irrespective of gully blocking activities (Pilkington et al., 2015), as
203 overland flow velocities are lower on vegetated peat than bare peat (Holden et al., 2008). However,
204 the same study (Pilkington et al., 2015), and more recent assessments of the effects of revegetation



205 on DOM concentrations (Stimson et al., 2017; Alderson et al., 2019), found no long-term changes in
206 DOM concentrations following revegetation at the headwater catchment scale.

207 Radiocarbon (^{14}C) measurements of DOM in UK upland waters indicate that the principal source of
208 DOM in waters draining relatively undisturbed soils is recent primary production, probably formed
209 within the last few years (Evans et al., 2014). It follows, therefore, that plant productivity, and plant
210 tissue composition and degradability, which depend both on ambient environmental conditions and
211 species composition, may be important factors, both for DOM concentrations and the treatability of
212 the DOM produced. In a laboratory-based extraction experiment DOM leached from *Sphagnum* was
213 more easily removed by a conventional coagulation process and decomposed more rapidly than DOM
214 leached from *Molinia caerulea* or *Calluna vulgaris* litter. In addition, *M. caerulea* and *C. vulgaris* litter
215 released more DOM per unit dry weight compared to *Sphagnum* litter (Ritson et al., 2016). At the field
216 scale published results are less clear cut: one study found that DOM concentrations in pore waters
217 were higher in areas of blanket bog dominated by *C. vulgaris* compared to areas dominated by sedges
218 or *Sphagnum* species (Armstrong et al., 2012). In contrast, Parry et al. (2015) found no correlation
219 between dominant vegetation type (differentiated into ericoid, grasses, sedges and bare peat) and
220 stream water DOM concentrations in headwater catchments. This may reflect the greater
221 heterogeneity of peatland environments at the catchment scale in comparison to single species
222 experiments.

223 The evidence available to date suggests that while revegetation of peatland sites has stabilised bare
224 peat surfaces (e.g. Pilkington et al., 2015), and is likely to have reduced particulate organic matter loss,
225 it has not changed DOM export from peat headwater catchments. Laboratory based work has shown
226 that the species present could impact DOM treatability, with *Sphagnum* derived DOM being more
227 easily treatable than *M. caerulea* or *C. vulgaris* litter (Ritson et al., 2016). This suggests that catchment
228 management via revegetation should aim to achieve high cover of *Sphagnum* species compared to
229 vascular plants to maximise DOM treatability (Table 3). However, as with other restoration measures
230 there is currently little in the peer-reviewed literature to demonstrate the effectiveness of this at a
231 catchment scale.

232 2.3. Plantation forestry / deforestation

233 It has long been recognised that forestry activities can have detrimental impacts on reservoir water
234 quality and treatability. For example, in 1984 it was shown that drainage and deforestation resulted
235 in large sedimentation issues at Crai Reservoir in south Wales (Stretton, 1984 cited in: Hudson et al.
236 1997). Large pulses of nutrients (N and P) can also occur after forest-felling (Neal, 2002).

237 To reduce the impacts of forest operations on sediment and nutrient loss and consequent raw water
238 quality in the UK, the Forest and Water Guidelines now state that no more than 20% of a drinking
239 water catchment should be felled in any 3 year period (Forestry Commission, 2017). In addition to
240 this, although primarily to conserve soil carbon stocks rather than for improved water quality, the
241 2000 Forestry Commission guidance note on forest and peatland habitats (Patterson and Anderson,
242 2000) states that approval will no longer be given for forestry planting or regeneration on active raised
243 bog or inactive raised bogs that could be restored to active bog, and areas of active blanket bog greater
244 than 25 ha area and > 45 – 50 cm depth.

245 A recent review for Yorkshire Water (Chapman et al., 2017) noted that conventional conifer site
246 preparation on peat, peaty gley and peaty podzol soils would be expected to increase DOM
247 concentrations. This would be largely due to the implemented drainage reducing the height of the
248 water table and consequently increasing the production of DOM via increased aeration of the peat



249 surface (Clark et al., 2009). Jandl et al. (2007), in their review of studies of the effect of forest
 250 management on soil carbon sequestration, highlighted two Finnish studies where DOM
 251 concentrations increased following drainage ditch installation but returned to pre-drainage levels later
 252 in the forest cycle, while Schelker et al. (2012) observed increased colour in sites being prepared for
 253 forestry in northern Sweden. Furthermore, Rask et al. (1998) reported an increase in colour in streams
 254 draining peat dominated catchments following afforestation in Finland, while in Sweden afforestation
 255 has also been linked to long-term increases in water colour (Skerlep et al., 2019). At a regional to
 256 national scale in the UK recent work suggests that the presence of plantation forestry on peat soils
 257 increases DOM concentrations in streams and rivers compared to peat soils with semi-natural
 258 vegetation (Williamson et al., 2021).

259

260 Table 2: UK studies reporting DOM concentration monitoring of forestry activities on peat. Note that
 261 where percentage differences are preceded by ~ concentrations were not explicitly listed in text,
 262 figures and tables or supplementary information so are estimated from graphs.

Paper	Location	Forestry activity monitored	Scale	% difference
Muller and Tankere-Muller (2012)	Flow Country	Felling compared to blanket bog	Stream (upstream and downstream)	-6%
Zheng et al. (2018)	Central Scotland	Felling compared to windfarm on blanket bog	Stream	~ 100%
Muller et al. (2015)	Flow Country	Felling compared to blanket bog	Stream	No difference
Shah and Nisbet (2019)	Central Scotland (raised bog)	Before / after felling	Stream	0%, 29% & 51% (mean 27%)
Cummins and Farrell (2003)	Ireland	Before / after felling	Stream	~0 – 100%
Gaffney et al. (2020)	Flow Country	Before / after felling and felling compared to blanket bog	Stream	No significant difference
Muller et al. (2015)	Flow Country	Before / after felling	Ditch	~ 75%
Gaffney et al. (2018)	Flow Country	Before / after felling	Ditch	~ 150%
Cummins and Farrell (2003)	Ireland	Before / after felling	Ditch	~50%
Gaffney et al. (2018)	Flow Country	Felling compared to blanket bog	Ditch	~500%
Muller and Tankere-Muller (2012)	Flow Country	Felling compared to blanket bog	Ditch	30-325% (overall average 159%)
Gough et al. (2012)	North Wales	Presence / absence of forestry	Pore waters	-19% - 111% (average 45%)
Howson et al. (2021)	Flow Country	Presence / absence of forestry	Pore waters	~ 66%



Howson et al. (2021)	Central Scotland (raised bog)	Presence / absence of forestry	Pore waters	~14%
Flynn et al. (2022)	Ireland	Presence / absence of forestry	Pore waters	~400%
Gaffney et al. (2018)	Flow Country	Presence / absence of forestry	Ditch	~ 100%
Flynn et al. (2022)	Ireland	Presence / absence of forestry	Stream	No significant difference
Shah et al. (2021)	Flow Country	Presence / absence of forestry – time series	Stream	No significant difference
Cummins and Farrell (2003)	Ireland	Presence / absence of forestry	Stream	~25%

263

264 The presence of forestry on peat soils in a UK and Irish context is associated with higher pore water
265 DOM concentrations across the four studies covered in this review (Table 2), with a mean difference
266 of approximately 130%. The exception to this pattern was found in spruce plantations in north Wales
267 where DOM concentrations in pore waters were 19% lower than in adjacent blanket bog (Gough et
268 al., 2012). We found only one study (Gaffney et al., 2018) comparing DOM concentrations at a ditch
269 scale between forested and intact blanket bog areas, with DOM concentrations being approximately
270 100% higher in ditches draining the forested areas. At the stream scale the presence of forestry on
271 peat had less clear cut impacts on DOM concentrations, with two out of three studies reporting no
272 significant difference between streams draining catchments with forestry and intact blanket bogs
273 (Shah et al., 2021; Flynn et al., 2022), and the third showing an DOM concentrations approximately
274 25% higher in a stream draining a forested catchment compared to a blanket bog catchment (Cummins
275 and Farrell, 2003).

276 Tree felling tends to produce larger increases in DOM, though the effects are not universal across
277 studies and locations. At the stream scale three of five studies reported increases following felling
278 (Cummins and Farrell, 2003; Zheng et al., 2018; Shah and Nisbet, 2019), with a mean increase of
279 approximately 43%, although the two studies in the Thurso catchment showed no change (Muller et
280 al., 2015) and a 6% decrease in concentrations (Muller and Tankere-Muller, 2012), which was
281 attributed to the success of buffer strips between the plantation and the monitored stream. At the
282 ditch scale the mean increase in DOM concentrations was nearly 200% (ranging from a 50% increase
283 to a 500% increase, see Table 2) (Cummins and Farrell, 2003; Muller and Tankere-Muller, 2012; Muller
284 et al., 2015; Gaffney et al., 2018).

285 There has been comparatively little research on the effects of forest presence on the treatability of
286 DOM, although Gough et al. (2012) evaluated DOM concentrations and SUVA₂₅₄ values in waters
287 draining catchments forested with different tree species. They found that pore water leachates from
288 pine and larch plantation yielded particularly high DOM concentrations relative to a blanket bog
289 control (19 and 13 mg L⁻¹, respectively, compared to 9 mg L⁻¹). Leachates also had lower SUVA₂₅₄ values
290 (1.2 and 2.4 respectively, compared to 3.3 L mg⁻¹ m⁻¹). This would suggest that DOM leaching from
291 plantations dominated by these tree types may be less easily treatable than DOM from blanket bogs.
292 Similarly, samples taken from Scottish blanket and raised bog sites (Howson et al., 2021) found that
293 SUVA₂₅₄ values were lower from forested sites, again suggesting that forestry on peat results in less
294 aromatic, hydrophobic DOM that may be less easily removed via conventional coagulation.



295 Recently there have been attempts to restore previously afforested fen and bog peatlands in parts of
296 Europe and North America under what is often referred to as ‘forest-to-bog’ restoration (Chimner et
297 al., 2017; Andersen et al., 2017). Although still a relatively new practice within the UK, this type of
298 restoration has been carried out for 18 years in the Flow Country in northern Scotland, and national
299 policies on peat restoration may lead to its expansion in the future. Some of the studies listed in Table
300 2 (Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018; Shah and Nisbet, 2019;
301 Gaffney et al., 2020; Howson et al., 2021; Shah et al., 2021) monitored the impacts of felling as part
302 of ongoing forest-to-bog restoration monitoring, with the main differences in management being that
303 the trees were felled to waste (the practice of leaving felled trees *in-situ* to rot) and there was less
304 ground disturbance at the site compared with the use of machinery to extract felled timber (Gaffney,
305 2017). However, the practice of felling trees to waste has been suggested to provide a potential
306 additional DOM source as the trees slowly decompose (Muller et al., 2015), with mulched fallen trees
307 providing a major source of water soluble DOM (Howson et al., 2021).

308 As bog vegetation regenerated in the Flow Country, DOM concentrations reduced from elevated levels
309 towards those seen in forest control areas, although the time frame for complete recovery to pre-
310 intervention levels is to date inconsistent, with some areas showing elevated DOM in the restoration
311 sites compared to the control sites after 17 years (Gaffney et al., 2018). However, in others DOM
312 concentrations had returned to those seen in intact blanket bog within the same time frame (Howson
313 et al., 2021), or were showing inconsistent effects across sub-catchments, with the most upstream
314 catchments showing increased DOM concentrations compared to bog controls, an effect not seen
315 further downstream (Pickard et al., 2022). Other studies have reported shorter-term increases in DOM
316 (~4-5 years), including an assessment of forest -to-bog restoration of a Scottish lowland raised bog
317 area, Flanders Moss, where stream water baseline DOM levels were reached within two years at one
318 site (Shah, 2018). In a Finnish study of the impacts of forest to mire restoration, a short-term peak in
319 pore water DOM concentration following initial restoration activity was followed by a return to
320 reference concentrations within six years (Menberu et al., 2017).

321 Management of peatland for conifer plantation increases DOM concentrations in pore waters and
322 streams, both during site establishment, potentially during the forest growth, and again as the trees
323 are felled (by up to 500%) (summarised in Table 3). Forest to bog restoration as a method of land
324 management produces short-term increases in DOM concentrations while trees are felled and brash
325 remaining on site decomposes. However, given a long enough timeframe, DOM concentrations appear
326 to reduce back towards levels seen from comparable control locations. Water companies should note
327 that this time frame can be up to 20 years in blanket bogs, a time frame considerably longer than the
328 standard funding cycle.

329 **2.4. Managed burning**

330 Managed burning of peatland vegetation (Figure 4) (primarily burning heather for grouse moor
331 management) is a contentious issue within peatland conservation and management (e.g. Davies et al.,
332 2016) and has been extensively reviewed over the past decade, particularly in relation to the impacts
333 on DOM (Worrall et al., 2010; Holden et al., 2012; e.g. Brown et al., 2015), and most recently by Harper
334 et al. (2018). There is little evidence within these reviews to suggest that DOM concentrations or
335 colour increase within pore water at the plot scale following managed burns. A recent study showed
336 no change in DOM concentrations following low and high intensity burning (Grau-Andres et al., 2019),
337 and in previous studies plot scale DOM concentrations were unchanged (Clay et al., 2009; Clay et al.,
338 2012; Worrall et al., 2013) or decreased (Worrall et al., 2007a). At the catchment scale it has been
339 suggested that managed burning contributes to increases in water colour and DOM concentrations
340 (Clutterbuck and Yallop, 2010; Yallop et al., 2010; Ramchunder et al., 2013). Burning as a management



341 practice is designed to ensure that there is a mosaic of different aged heather habitat so it seems
 342 plausible that these effects are linked to changes in vegetation cover. As previously discussed *C.*
 343 *vulgaris* produced higher amounts of DOM than *Sphagnum* in the laboratory (Ritson et al., 2016) and
 344 at plot scale (Armstrong et al., 2012). It is also worth noting that Evans et al. (2017b) found that a
 345 wildfire in Northern Ireland resulted in a temporary reduction of DOM concentrations in a
 346 downstream monitoring lake, which was attributed to re-acidification of catchment soils following the
 347 fire.



348
 349 **Figure 4: Burning of vegetation on peat in North Wales (Photo: Chris Evans).**

350

351 **Table 3: summary of the published impacts of catchment management activities on DOM concentrations and treatability,**
 352 **focussing on those studies relevant in a UK and Irish context. Numbers in brackets refer to the number of studies showing**
 353 **that effect in each case. Colour coding shows whether the overall conclusion is that effects are positive (green), no /**
 354 **limited change (yellow), or negative (red).**

Catchment intervention	Impact on DOM concentration	Impact on DOM treatability
Ditch blocking	Increase (2) (Worrall et al., 2007b; Haapalehto et al., 2014) No change (8) (O'brien et al., 2008; Gibson et al., 2009; Armstrong et al., 2010; Wilson et al., 2011; Urbanova et al., 2011; Turner et al., 2013; Strack et al., 2015; Evans et al., 2018) Decrease (5) (Wallage et al., 2006; Holl et al., 2009; Armstrong et al., 2010; Haapalehto et al., 2014; Menberu et al., 2017)	No change (5) (Glatzel et al., 2003; Strack et al., 2015; Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018)
Revegetation to grass species	Increase (2) (Qassim et al., 2014; Ritson et al., 2016) No change (4) (Parry et al., 2015; Pilkington et al., 2015; Stimson et al., 2017; Alderson et al., 2019)	Decrease (1) (Ritson et al., 2016)



Revegetation heather	to	Increase (2) (Armstrong et al., 2012; Ritson et al., 2016) No change (1) (Parry et al., 2015)	Decrease (1) (Ritson et al., 2016)
Revegetation <i>Sphagnum</i>	to	Decrease (1) (Armstrong et al., 2012)	Improve (1) (Ritson et al., 2016)
Forest presence		Increase (5) (Cummins and Farrell, 2003; Gough et al., 2012; Gaffney et al., 2018; Howson et al., 2021; Flynn et al., 2022) No change (2) (Shah et al., 2021; Flynn et al., 2022)	Decrease (2) (Gough et al., 2012; Howson et al., 2021)
Clearfell and forest to bog conversion		Increase (6) (Cummins and Farrell, 2003; Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018; Zheng et al., 2018; Shah and Nisbet, 2019) No change (3) (Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2020)	Decrease (1) (Zheng et al., 2018)
Managed burning		Increase (3) (Clutterbuck and Yallop, 2010, Yallop et al., 2010, Ramchunder et al., 2013) No change (4) (Clay et al., 2009; Clay et al., 2012; Worrall et al., 2013; Grau-Andres et al., 2019) Decrease (1) (Worrall et al., 2007a)	

355

356

357 **3: Catchment management impacts on downstream DOM processing**

358 As indicated by Table 3, there remain considerable knowledge gaps in the area of effects of peatland
 359 restoration on raw water DOM concentrations and treatability. This review highlights that both
 360 revegetation of bare peat (particularly to *Sphagnum* dominated bog) and ditch blocking have been
 361 associated with decreased DOM concentrations within pore waters and ditches at the location
 362 restoration occurs. The available evidence also suggests, again at this local scale, that plantation
 363 forestry presence and felling tend to lead to increasing DOM concentrations and potentially reduced
 364 treatability of exported DOM. However, the evidence for impacts at the stream scale is more
 365 equivocal. In the published literature we have been unable to find experimental evidence
 366 incorporating local changes in water chemistry in the vicinity of interventions with downstream DOM
 367 processing to show whether water quality effects are detectable at the point of abstraction for water
 368 treatment works. This extension beyond the plot and hillslope scale represents a significant gap in
 369 current understanding, as DOM processing continues within the aquatic environment downstream of
 370 peatland restoration sites.

371 DOM is not conservatively mixed through rivers and lakes but is subject to both biotic and abiotic
 372 processing, which change both concentrations and chemical structure (e.g. Tranvik et al., 2009). Loss
 373 pathways for DOM include: respiration (Koehler et al., 2012; Stets et al., 2010), sedimentation (Einola
 374 et al., 2011; Von Wachenfeldt and Tranvik, 2008), photo-oxidisation (via UV radiation) (Moody et al.,
 375 2013; Koehler et al., 2014) and flocculation with naturally-occurring aluminium and iron (Mcknight et



376 al., 1992; Koehler et al., 2014). DOM is generated within lakes and reservoirs via photosynthesis
377 (production of algal exudates and release via cell lysis) and through processing of particulate matter
378 (Tranvik et al., 2009) so that DOM concentrations at the point of abstraction from reservoirs represent
379 the sum of these removal and generation processes.

380 DOM produced via these processes is relatively transparent and hydrophilic in comparison with DOM
381 generated by organic rich soils, and thus presents different challenges for treatment, particularly as
382 the hydrophilic DOM is not easily removed through coagulation (Matilainen et al., 2010) and may lead
383 to the need for additional capital investment in order to effectively reduce residual DOM in drinking
384 water.

385 Importantly, in-reservoir algal production, and hence within-reservoir generation of DOM, is often
386 limited by the availability of phosphorus, nitrogen or both. Hence, waterbodies with high
387 concentrations of inorganic nutrients, either delivered externally from their catchments or re-released
388 internally from sediments, are likely to generate additional DOM within the water column
389 (Feuchtmayr et al., 2019; Evans et al., 2017a). Further, evidence is growing on the importance of lake
390 and reservoir bed sediments as a direct source of DOM to the water column, with reducing conditions
391 occurring during stratification of lakes and reservoirs causing redissolution of previously sedimented
392 organic matter (Peter et al., 2017).

393 In their assessment of DOM in lake inflows and outflows, including those of several reservoirs, Evans
394 et al. (2017a) concluded that any measures that can reduce N and P export from the catchment or
395 release from sediments, or which can strip nutrients from the water column, could provide effective
396 mitigation for high DOM concentrations by reducing algal DOM production. For example, measures
397 for reducing nutrient loading to lakes from the catchment (Spears and May, 2015) and bed sediments
398 (Spears et al., 2016) can be effective in reducing algal biomass in UK lakes - although the effects on
399 algal DOM production in relation to drinking water treatment require further assessment. To date,
400 this option has rarely been considered in relation to DOM-related treatment issues, although nutrient
401 management is often considered in relation to other (taste and odour) related treatment issues. The
402 available evidence therefore suggests that measures to reduce taste and odour problems could deliver
403 co-benefits in relation to DOM levels.

404 A future research focus should therefore include answering the question of whether measures which
405 reduce in-reservoir DOM production, and/or favour in-reservoir DOM removal, may be as – or perhaps
406 more – effective than measures aimed at reducing DOM export from the terrestrial catchment. For
407 lakes acting as DOM sources, management regimes that reduce nutrient (primarily N and P) inputs
408 from catchments and/or internal loading of nutrients and DOM from sediment to the water column
409 may be more effective than those focussed on reducing inflowing DOM concentrations directly.
410 Restricting nutrient inputs is also likely to reduce organic nitrogen concentrations relative to organic
411 carbon concentrations, which has the added benefit of reducing the formation potential of
412 nitrogenous DBPs. In addition, Birk et al. (2020) suggest that rising DOM loading from the catchment
413 may act to dampen algal responses to nutrients through light limitation of primary production within
414 some European lakes. If, by extension, this also limits in-reservoir DOM production then catchment
415 interventions that relieve DOM load, but not nutrient load, may result in an increase in in-reservoir
416 DOM production. Even in the case of less nutrient-rich water bodies, it appears that reducing N and P
417 loadings would be beneficial for water treatment as this is likely to restrict additional DOM formation.

418

419



420 **4. Conclusions**

421 Increasing DOM concentrations in reservoirs draining catchments dominated by peat soils are a cause
422 for concern for water companies, from both regulatory compliance and treatment cost perspectives.
423 To a large extent this increase appears to be a long-term large-scale phenomenon, driven by
424 improvements in air quality, and thus beyond the direct control of catchment managers. While it is
425 likely that atmospheric deposition-driven changes in DOM are beginning to level off it is also feasible
426 that future climate change could also contribute to further increases in concentrations. The
427 production of DOM in peat soils, for example, is known to be highly sensitive to soil temperature (Clark
428 et al., 2009) while long-term increases in precipitation have also been linked with DOM increases (De
429 Wit et al., 2021).

430 To date, catchment management initiatives, while providing clear overall restoration benefits for
431 peatlands, do not appear to have produced a generalised solution to the challenge of stabilising or
432 reversing DOM increases in drinking water sources, although there is some evidence that catchment
433 interventions may provide benefits for DOM export in specific cases. We have identified some areas
434 where there is mounting evidence for the importance of certain catchment interventions. In
435 particular, short-term effects of forest felling and harvesting activities have repeatedly shown to have
436 detrimental effects on DOM concentrations. Catchment interventions may also provide co-benefits
437 such as reductions in sediment and particulate organic carbon loadings to reservoirs, reductions in
438 greenhouse gas emissions and enhancement of biodiversity, which may justify the implementation of
439 measures when all benefits are combined, even if the direct benefits for DOM alone may not.

440 Our review of the published literature highlights a major current evidence gap of importance to the
441 water industry: the quantification of the impacts of catchment management on DOM concentration
442 and treatability at the point of abstraction. The size of the research challenge with respect to the
443 necessary spatial and temporal scale and need for robust Before-After-Control Impact (BACI) of any
444 field experiment cannot be underestimated, and perhaps explains in part the current dearth of reliable
445 information. This is particularly pertinent when changes in water chemistry may take a number of
446 years to be seen, depending on catchment dynamics and within reservoir processes. Our review has
447 highlighted that in-reservoir biogeochemical processes should be considered alongside catchment
448 land management approaches by the water industry to maximise the potential for upstream solutions
449 to rising DOM concentrations in source waters.

450 Catchment management measures that reduce in-reservoir DOM production, or favour in-reservoir
451 DOM removal, may be as or more effective, particularly with respect to more nutrient rich systems.
452 More generally, it seems clear that catchment management should be considered part of the response
453 strategy to rising DOM levels, and as part of a process to improve the resilience of source waters, not
454 a panacea. It is therefore important that the water industry also develops effective tools to predict
455 likely future DOM levels resulting from a combination of large-scale and catchment-scale drivers, to
456 ensure that investments in both catchment management measures and DOM treatment
457 infrastructure are correctly targeted, integrated, timely and cost-effective.

458

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460

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463

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