- Reviews and Syntheses: Understanding the impacts of peatland catchment
   management on DOM concentration and treatability
- Jennifer Williamson<sup>1\*</sup>, Chris Evans<sup>1</sup>, Bryan Spears<sup>2</sup>, Amy Pickard<sup>2</sup>, Pippa J. Chapman<sup>3</sup>,
   Heidrun Feuchtmayr<sup>4</sup>, Fraser Leith<sup>5</sup>, Susan Waldron<sup>6</sup>, Don Monteith<sup>4</sup>
- 5
- <sup>6</sup> <sup>1</sup>UK Centre for Ecology & Hydrology, Environment Centre Wales, Deiniol Road, Bangor, Gwynedd, LL57 2UW
- 7 <sup>2</sup>UK Centre for Ecology & Hydrology, Bush Estate, Penicuik, Midlothian, EH26 0QB
- 8 <sup>3</sup> School of Geography, Faculty of Environment, University of Leeds, Leeds, LS2 9JT
- <sup>4</sup>UK Centre for Ecology & Hydrology, Lancaster Environment Centre, Library Avenue, Bailrigg, Lancaster, LA1
   4AP
- 11 <sup>5</sup>Scottish Water, 6 Castle Drive, Dunfermline, KY11 8GG
- 12 <sup>6</sup>School of Geographical and Earth Sciences, University of Glasgow, Glasgow G12 8QQ
- 13 \*Corresponding Author (jwl@ceh.ac.uk)
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### 16 Abstract

17 In the United Kingdom, most large reservoirs constructed for public water supply are in upland 18 areas. Many are situated in catchments characterised by organic-rich soils, including peatlands. 19 Although these soils naturally leach large amounts of dissolved organic matter (DOM) to water, the 20 widespread degradation of upland peat in the UK is believed to have exacerbated rates of DOM loss. 21 High and rising DOM concentrations in these regions raise treatment challenges for the water 22 industry. 23 In the UK, water companies are increasingly considering whether upland catchment peat restoration 24 measures can slow down or even reverse rising source water DOM concentrations and thus reduce 25 the need for more costly and complex engineering solutions. There remains considerable

uncertainty around the effectiveness of such measures, and a comprehensive overview of the
research in this area remains lacking. Here we review the peer-reviewed evidence for the
effectiveness of four catchment management options in controlling DOM release from peat soils:
ditch blocking, revegetation, reducing forest cover, and cessation of managed burning.

Results of plot scale investigations into effects of ditch blocking on DOM leaching are currently largely equivocal, while there is a paucity of information regarding impacts at spatial scales of more direct relevance to water managers. There is some, although limited, evidence that terrestrial vegetation type may influence DOM concentrations and treatability. The presence of plantation forestry on peat soils is generally associated with elevated DOM concentrations, although reducing forest cover appears to have little short-term benefit, and associated disturbance may even increase

36 concentrations further.

Catchment management measures have rarely been monitored with downstream water quality as
the focus. To mitigate the uncertainty surrounding restoration effects on DOM, measures should be
undertaken on a site-specific basis, where the scale, effect size and duration of the intervention are

40 considered in relation to subsequent biogeochemical processing that occurs in the reservoir, the

- 41 treatment capacity of the water treatment works, and future projected DOM trends.
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#### 44 Introduction

Dissolved organic matter (DOM) is ubiquitous across surface waters, with particularly high 45 46 concentrations occurring in waters draining catchments with peat soils (e.g. Williamson et al., 2021). 47 DOM originates from the decomposition of plant material and soil, and from plant and algal production and microbial transformation within the water column (Tranvik et al., 2009). DOM in 48 49 rivers and lakes is subject to both biotic and abiotic processing, which change both concentrations 50 and chemical structure (e.g. Algesten et al., 2004; Tranvik et al., 2009) so that DOM concentrations 51 at the point of abstraction from reservoirs represent the sum of these removal and generation 52 processes (Figure 1).

53 Peatlands release particularly high amounts of organic matter as dissolved organic matter (DOM) 54 into drainage waters, and DOM concentrations have been rising since the 1980s (e.g. Naden and 55 Mcdonald, 1989; Robson and Neal, 1996; Harriman et al., 2001; Worrall et al., 2004; Evans et al., 56 2005). Mean DOM concentrations in UK Upland Waters Monitoring Network (UWMN) surface 57 waters, most of which are dominated by organic-rich soils, have approximately doubled over the last 58 three decades (Figure 2). At the sub-catchment scale, Chapman et al. (2010) found that water colour 59 increased by between 22 and 155 percent over a 20 year period between 1986 and 2006. This 60 phenomenon has now been observed across much of industrialised North America and Northern 61 Europe, and appears to result largely from a long-term increase in the solubility of terrestrial organic 62 matter as soils recover from the effects of acid rain (Monteith et al., 2007; De Wit et al., 2021; 63 Monteith et al., 2023). One consequence of these changes is that water treatment works in some 64 regions are having to adjust to much higher source water DOM concentrations than they were 65 originally designed to cope with, since most were built at a time of much higher atmospheric deposition, and hence lower DOM. Atmospheric deposition of pollutants across the UK uplands has 66 67 now declined to a very low level, and it is expected that in future, changes in DOM export will be 68 increasingly affected by other factors including temperature, changes in precipitation seasonality 69 and intensity and marine ion deposition (Monteith et al., 2023). Rising levels of DOM in waters 70 draining many of these peatland catchments pose considerable water treatment challenges, with 71 respect to increasing treatment costs and risks of regulatory failure (see Figure 1). It has been 72 proposed that peatland restoration measures might help slow or even reverse these DOM trends, 73 along with other important benefits including increased terrestrial carbon storage, water retention 74 and improvements in upland biodiversity (e.g. Glenk and Martin-Ortega, 2018).

75 Although consumption of DOM in drinking water is not directly harmful to people, coloured water 76 reduces customer satisfaction (Ritson et al., 2014) and can be indicative of further problems. 77 Indirectly, elevated DOM concentrations have implications for human health due to their potential 78 influence on treatment processes and the production of carcinogenic disinfectant by-products 79 (DBPs) such as trihalomethanes (THMs) during chemical disinfection, which are regulated by the 80 Drinking Water Inspectorate due to their potential carcinogenic properties (Ding and Chu, 2017). 81 DOM also may hamper the efficacy of chlorine as a disinfectant while simultaneously acting as a 82 substrate for bacterial regrowth (Prest et al., 2016), thus increasing the risk of regulatory failure 83 from bacterial contamination and the subsequent loss of customer trust.

The composition of DOM can have a large influence on the performance of the water treatment processes and the formation of DBPs upon chlorination (Matilainen et al., 2010). DOM in water draining peatland areas tends to be predominantly hydrophobic, and relatively photoreactive and biologically recalcitrant (Anderson et al., 2019) and is relatively easily removed by conventional coagulation and filtration during drinking water treatment due to the presence of charged functional 89 groups (Matilainen et al., 2010). Hydrophilic DOM, on the other hand, is mostly produced within the 90 waterbodies by phytoplankton (Imai et al., 2002), and is biologically labile but less easily degraded by sunlight (Berggren and Del Giorgio, 2015; Berggren et al., 2018). The relative balance of 91 92 hydrophobic to hydrophilic DOM in water is referred to as hydrophobicity, and is conventionally 93 assessed in the water treatment system using Specific UV Absorbance measurements at 254 nm 94 (SUVA<sub>254</sub>), i.e. absorbance at 254 nm per unit dissolved organic carbon concentration (Weishaar et 95 al., 2003). Values greater than 4 L mg<sup>-1</sup> m<sup>-1</sup> indicate hydrophobic dominance, while values less than 2 96 L mg<sup>-1</sup> m<sup>-1</sup> indicate that the DOM is primarily hydrophilic and will not be effectively removed using 97 conventional coagulation and filtration alone (Matilainen et al., 2010).

98 Higher concentrations of DOM in raw water necessitate a greater amount of treatment to provide 99 potable water to customers (Monteith et al., 2021). This may include larger coagulant dosages, 100 shorter filter run times, and longer and more frequent cleaning of filtration units, and result in 101 higher energy costs, higher sludge removal costs and an increase in direct and indirect (energyrelated) greenhouse gas (GHG) emissions from the treatment process (Jones et al., 2016). Major 102 103 additional costs are incurred where capital investment is needed to upgrade treatment 104 infrastructure designed for lower concentration ranges experienced in the past (Monteith et al., 105 2021).

106 Peatland restoration (physical interventions to return them to a more natural state i.e. high water 107 table and active peat-forming vegetation) has been suggested as a catchment scale method for 108 reducing DOM concentrations in water draining peatlands (IUCN Peatland Programme 2022). The primary restoration methods undertaken to date in the UK uplands are: blocking of peatland 109 110 drainage to raise the water table, revegetation of bare peat with peatland species, removal of 111 plantation forestry to allow peatland species to recolonise and water tables to rise, and cessation of 112 managed burning to encourage growth of peatland plant species (Figure 1) (IUCN Peatland 113 Programme 2022). It is important, therefore, for water industry decision makers to understand the 114 extent to which peatland restoration could make a positive contribution to reducing DOM 115 concentrations of raw water and thus relieve stresses on the treatment system and potentially 116 remove the need for major additional capital investment in treatment plant. In this study, we review 117 the available peer-reviewed literature relating to the impacts of peatland restoration on DOM 118 concentrations and treatability of raw drinking water. Finally, we consider the possible influence of 119 catchment land-use on in-reservoir DOM cycling, and what impact this may have had on drinking 120 water treatability. We focus on the UK as a well-studied area in which peatlands make an important contribution to drinking water supplies (Xu et al., 2018), and where rising DOM concentrations are 121 122 having a significant impact on water treatment processes and costs, but the conclusions of the work 123 are likely be relevant to other areas with peat-derived water supplies.

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#### 125 2. Methods

To answer the question "will peatland restoration reduce DOM concentrations in raw water" we 126 127 explored the evidence within the peer-reviewed scientific literature for catchment management 128 approaches within peatland dominated drinking water catchments to influence DOM concentrations 129 in the soils and waters of peatland catchments. This was achieved by applying a standard set of 130 Boolean search terms within Web of Science and Google Scholar. The terms were: ("dissolved 131 organic matter" OR "dissolved organic carbon" OR "DOM" OR "DOC" OR "colour") AND ("peatland" 132 OR "bog" OR "fen" OR "moor") AND ("ditch blocking" OR "forest" OR "plantation" OR "managed 133 burning"). Initial results, including titles and abstracts, were rapidly reviewed to determine whether

the information within the papers was relevant, then relevant papers were read in full and included in the review. Given the geographic focus of the project, we prioritised papers from the UK and Ireland where available, but also drew on data from other temperate peatland regions where required. From the original searches, 272 papers were considered relevant enough for further reading and 104 were included in the review.

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#### 140 **3.** Evidence for the efficacy of catchment management approaches in the reduction of DOM

#### 141 **3.1. Ditch blocking**

Extensive areas of upland peatlands across the UK uplands were drained in the mid-20<sup>th</sup> century in 142 143 an attempt to increase agricultural productivity. Peatland drainage reduces water tables (Holden et 144 al., 2011), resulting in a loss of peat forming plant species. The consequent drying and cracking of 145 peat surfaces exposes previously permanently saturated organic matter to oxidative processes, 146 making them more vulnerable to erosion and dissolution into DOM (e.g. Clark et al., 2009). Extensive 147 efforts have been made by the water industry and organisations concerned with peatland 148 restoration to block ditches in an attempt to restore the hydrological, biogeochemical and ecological 149 functions of these landscapes (IUCN Peatland Programme 2023) (Figures 1 & 3).

150 Search results of the scientific literature showed that the impact of ditch blocking on DOM 151 concentrations had been assessed in pore waters, in ditches and in streams at the sites being 152 restored. Of the five plot-scale studies of pore water identified during this review, four (Table 1) 153 reported significant changes in DOM concentrations. The studies investigated effects between five 154 and twenty years following ditch blocking, and reported a cross-study mean 34% reduction in DOC 155 concentration (range 0 to 69%) (Wallage et al., 2006; Holl et al., 2009; Haapalehto et al., 2014; 156 Strack et al., 2015; Menberu et al., 2017). While therefore suggesting a general tendency for ditch 157 blocking to reduce pore water DOM concentrations, these studies do not necessarily imply that 158 effects will be translated through to surface waters and ultimately to the point of abstraction.

159 Changes observed in DOM concentrations at a drainage ditch scale, are more variable than those for 160 pore waters (Table 1, Figure 3). The eleven studies reviewed showed a mean 8% increase in DOM 161 concentrations following ditch blocking, although this figure is skewed by the large increases reported by Worrall et al. (2007b) and Haapalehto et al. (2014) (100% increase immediately 162 163 following ditch blocking and 50-75% increases after ten and five years respectively); the median 164 change is zero. Importantly, no significant change in DOM concentration was reported in over half of these studies (O'brien et al., 2008; Gibson et al., 2009; Armstrong et al., 2010; Wilson et al., 2011; 165 166 Evans et al., 2018; Pickard et al., 2022). Likewise, a recent study found no reduction in DOM 167 concentrations in a restored site compared to the ditched site six years after ditch blocking, while 168 both drained and restored site DOM concentrations remained elevated compared to the non-169 drained control (Pickard et al., 2022). Differences between studies in apparent effect size may in part 170 be related to experimental design, including whether the work included a simultaneous control, and 171 the time period over which post-restoration monitoring was carried out.

Studies of DOM flux changes following ditch blocking report a mean24% reduction (range 0 – 88% reduction) in DOM flux, primarily attributed to decreased water fluxes from the restoration site. However, the measurement and reporting of water fluxes (and hence DOM fluxes) at a site- or catchment-scale requires careful consideration of the potential for dominant water flow pathways to be altered following ditch blocking. For example, Holden et al. (2017) showed that damming of drainage ditches in North Wales reduced discharge along the original ditch lines, but that most, or

all, of the displaced flow instead left the peatland via overland flow or near-surface through-flow.
Subsequent reporting from the same experiment demonstrated that DOM concentrations in water
displaced along these surficial pathways were approximately the same as those in water travelling
along the ditches, with the result that ditch-blocking was not found to have any clear effect on either
DOM concentrations or fluxes at the catchment scale (Evans et al., 2018).

183 We identified nine studies that have assessed the potential impact of ditch blocking on DOM 184 treatability and hence the ease of treatability within a conventional water treatment works. The majority of studies at UK and continental European ditch blocking locations, along with results from 185 186 their experimental work, showed little effect of ditch blocking on DOM treatability as measured by 187 commonly reported metrics such as SUVA, E2:E3 ratios (ratio of light absorbance at 250 and 365 nm) 188 and E4:E6 ratios (ratio of light absorbance at 465 and 665 nm) (Glatzel et al., 2003; Strack et al., 2015; Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018). While none of the studies 189 190 included direct measures of DOM hydrophobic and hydrophilic fractions, one measured THM 191 formation potential and found no change between water samples taken from drained and rewetted 192 blanket bog mesocosms (Gough et al., 2016), suggesting that in the short term ditch blocking may 193 not reduce THM formation following water treatment.

More broadly, therefore, while the evidence suggests that ditch blocking may reduce DOM concentrations within pore waters (Table 3, Figure 3), there is no published evidence for such activities to have successfully influenced DOM concentrations in runoff at a catchment scale, and thus at a level of potential relevance to raw water supply to treatment works. It is important to note, however, that catchment-scale studies are hugely challenging logistically and financially to design and maintain.

# 200 **3.2. Revegetation of bare peat**

Exposure of bare peat following anthropogenic disturbance has been an extensive problem in a number of UK peatland regions, most notably in the Peak District of Northern England (Pilkington et al., 2015). The subsequent erosion of the peat has caused significant problems for the water industry because of the high particulate loads from the catchment to the downstream reservoirs. There have been significant efforts in recent years to revegetate some of the most degraded upland peatland areas in order to stabilise these systems (Pilkington et al., 2015).

207 Published research on the impacts of revegetation of peatland areas on DOM is limited, but Qassim 208 et al. (2014) found that pore water DOM concentrations were higher in revegetated sites compared 209 to bare peat areas and vegetated controls over a five-year period. The initial revegetation mix in this 210 work was a nurse crop of Agrostis sp., Deschampsia flexuosa and Festuca sp. applied in combination 211 with additions of lime and fertiliser to ensure grass growth. Heather brash was also applied to 212 stabilise the peat surface and provide a seed source of peatland species. The use of lime is likely to 213 have increased DOM solubility through a reduction in acidity of the peat (Evans et al., 2012), and the 214 re-establishment of vegetation may have increased the production of 'new' DOM via root leachate 215 and fresh litter decomposition. Particulate losses from peatland systems decreased following 216 stabilisation of the peat surface through revegetation irrespective of gully blocking activities 217 (Pilkington et al., 2015), as overland flow velocities are lower on vegetated peat than bare peat 218 (Holden et al., 2008). However, the same study (Pilkington et al., 2015), and more recent 219 assessments of the effects of revegetation on DOM concentrations (Stimson et al., 2017; Alderson et 220 al., 2019), found no long-term changes in DOM concentrations following revegetation at the 221 headwater catchment scale.

222 Radiocarbon (<sup>14</sup>C) measurements of DOM in UK upland waters indicate that the principal source of 223 DOM in waters draining relatively undisturbed soils is recent primary production, probably formed 224 within the last few years (Evans et al., 2014). It follows, therefore, that plant productivity, and plant 225 tissue composition and degradability, which depend both on ambient environmental conditions and species composition, may be important factors, both for DOM concentrations and the treatability of 226 227 the DOM produced. In a laboratory-based extraction experiment, DOM leached from Sphagnum was more easily removed by a conventional coagulation process and decomposed more rapidly than 228 229 DOM leached from Molinia caerulea or Calluna vulgaris litter. In addition, M. caerulea and C. 230 vulgaris litter released more DOM per unit dry weight compared to Sphagnum litter (Ritson et al., 231 2016). At the field scale, published results are less clear cut: one study found that DOM 232 concentrations in pore waters were higher in areas of blanket bog dominated by C. vulgaris 233 compared to areas dominated by sedges or Sphaqnum species (Armstrong et al., 2012). In contrast, 234 Parry et al. (2015) found no correlation between dominant vegetation type (differentiated into 235 ericoids, grasses, sedges and bare peat) and stream water DOM concentrations in headwater 236 catchments. This may reflect the greater biotic (as well as soil) heterogeneity of peatland 237 environments at the catchment scale in comparison to single species plot experiments.

The evidence available to date suggests that while revegetation of peatland sites has stabilised bare peat surfaces (e.g. Pilkington et al., 2015), and is likely to have reduced particulate organic matter loss, it may not have significantly changed DOM export from peat headwater catchments. Laboratory based work has shown that the species present could impact DOM treatability, with *Sphagnum* derived DOM being more easily treatable that *M. caerulea* or *C. vulgaris* litter (Ritson et al., 2016). This suggests that catchment management via revegetation should aim to achieve high cover of *Sphagnum* species compared to vascular plants to maximise DOM treatability (Table 3).

# 245 3.3. Plantation forestry / deforestation

246 It has long been recognised that plantation forestry activities can have detrimental impacts on 247 reservoir water quality and treatability. For example, in 1984 it was shown that drainage and 248 deforestation resulted in large sedimentation issues at Crai Reservoir in south Wales (Stretton, 1984 249 cited in: Hudson et al. 1997), while large pulses of nutrients (N and P) to upland streams were 250 observed after forest-felling (Neal, 2002). This review covers the impact of ground preparation and 251 forest planting, in-situ forest growth, and forest removal (including forest to bog restoration) on 252 peat on DOM concentration and quality. Note that UK blanket bogs do not naturally support trees, 253 and that virtually all forestry activities on peat in the UK involve drainage and planting with non-254 native conifers.

255 To reduce the impacts of forest operations on sediment and nutrient loss and consequent raw water quality in the UK, the Forest and Water Guidelines now state that no more than 20% of a drinking 256 257 water catchment should be felled in any 3 year period (Forestry Commission, 2017). In addition to 258 this, although primarily to conserve soil carbon stocks rather than for improved water quality, the 259 2000 Forestry Commission guidance note on forest and peatland habitats (Patterson and Anderson, 260 2000) states that approval will no longer be given for forestry planting or regeneration on active 261 raised bog or inactive raised bogs that could be restored to active bog, and areas of active blanket 262 bog greater than 25 ha area and peat > 45 - 50 cm depth.

A recent review for Yorkshire Water (Chapman et al., 2017) noted that conventional conifer site preparation on peat, peaty gley and peaty podzol soils would be expected to increase DOM concentrations. This would be largely due to the implemented drainage increasing the depth of the water table and consequently the production of DOM via increased aeration of the peat surface 267 (Clark et al., 2009). In the absence of extensive primary data on the effects of forest establishment 268 from the UK, research from Fennoscandia supports this conclusion; Jandl et al. (2007), in their 269 review of studies of the effect of forest management on soil carbon sequestration, highlighted two 270 Finnish studies where DOM concentrations increased following drainage ditch installation but 271 returned to pre-drainage levels later in the forest cycle, while Schelker et al. (2012) observed 272 increased colour in sites being prepared for forestry in northern Sweden. Furthermore, Rask et al. (1998) reported an increase in colour in streams draining peat dominated catchments following 273 274 afforestation in Finland, while in Sweden afforestation has also been linked to long-term increases in 275 water colour (Skerlep et al., 2019). However, it should be noted that forest management in 276 Fennoscandia often involves relatively limited levels of disturbance (e.g. ditching to accelerate 277 growth of existing mixed native trees species) whereas in the UK it typically involves ditching, 278 ploughing and active planting with non-native monocultures.

279 At a regional to national scale in the UK, recent work suggests that the presence of plantation 280 forestry on peat soils is associated with higher DOM concentrations in streams and rivers compared 281 to peat soils supporting semi-natural vegetation (Williamson et al., 2021). The presence of conifers 282 on peat soils in a UK and Irish context is associated with higher pore water DOM concentrations across the four studies covered in this review (Table 2), with a mean difference of approximately 283 284 130%. The exception to this pattern was found in spruce plantations in north Wales where DOM 285 concentrations in pore waters were 19% lower than in adjacent blanket bog, though this pattern was not seen in pore water samples from under other plantation species (Gough et al., 2012). We found 286 287 only one study (Gaffney et al., 2018) that compared DOM concentrations in drainage ditches 288 between forested and blanket bog areas, with DOM concentrations approximately 100% higher in 289 the former. The presence of forestry on peat had less clear cut impacts on streamwater DOM 290 concentrations, with two out of three studies reporting no significant difference between streams 291 draining catchments with forestry and intact blanket bogs (Shah et al., 2021; Flynn et al., 2022), and 292 the third showing DOM concentrations approximately 25% higher in a stream draining a forested 293 catchment compared to a blanket bog catchment (Cummins and Farrell, 2003).

294 Clear felling tends to cause an increase in DOM, though the effects are not universal across studies 295 and locations. Three of five studies of streamwater DOM concentrations reported increases 296 following felling (Cummins and Farrell, 2003; Zheng et al., 2018; Shah and Nisbet, 2019), with a mean 297 increase of approximately 43%, although the two studies in the Flow Country showed no change 298 (Muller et al., 2015) and 6% lower concentrations compared to the control site (Muller and Tankere-299 Muller, 2012), which was attributed to the success of buffer strips between the plantation and the 300 monitored stream. The mean increase in DOM concentrations in ditches was nearly 200% (ranging from a 50% increase to a 500% increase, see Table 2) (Cummins and Farrell, 2003; Muller and 301 Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018). Most studies measuring DOM 302 303 concentrations from forestry on peat were relatively short-term in timeframe, lasting two years or 304 shorter. Only two studies monitored DOM concentrations for five years or longer.

305 There has been comparatively little research on the effects of forest presence on the treatability of 306 DOM, although Gough et al. (2012) evaluated DOM concentrations and SUVA<sub>254</sub> values in waters 307 draining catchments forested with different tree species. They found that pore water leachates from 308 pine and larch plantation yielded particularly high DOM concentrations relative to a blanket bog 309 control (19 and 13 mg L<sup>-1</sup>, respectively, compared to 9 mg L<sup>-1</sup>). Leachates also had lower SUVA<sub>254</sub> 310 values (1.2 and 2.4 respectively, compared to 3.3 L mg<sup>-1</sup> m<sup>-1</sup>). This would suggest that DOM leaching 311 from plantations dominated by these tree types may be less easily treatable than DOM from blanket 312 bogs. Similarly, samples taken from Scottish blanket and raised bog sites (Howson et al., 2021)

found that SUVA<sub>254</sub> values were lower from forested sites, again suggesting that forestry on peat results in less aromatic, hydrophobic DOM that may be less easily removed via conventional coagulation, possibly because of additional DOM inputs from litter.

316 Recently there have been attempts to restore previously afforested fen and bog peatlands in parts 317 of Europe and North America under what is often referred to as 'forest-to-bog' restoration (Chimner 318 et al., 2017; Andersen et al., 2017), and national policies on peat restoration may lead to its 319 expansion in the future. Some of the studies listed in Table 2 (Muller and Tankere-Muller, 2012; 320 Muller et al., 2015; Gaffney et al., 2018; Shah and Nisbet, 2019; Gaffney et al., 2020; Howson et al., 321 2021; Shah et al., 2021) monitored the impacts of felling as part of ongoing forest-to-bog restoration 322 monitoring, with the main differences in management being that the trees were felled to waste (the 323 practice of leaving felled trees in-situ to rot) and there was less ground disturbance at the site 324 compared with the use of machinery to extract felled timber (Gaffney, 2017). However, the practice 325 of felling trees to waste has been suggested to provide a potential additional DOM source as the trees slowly decompose (Muller et al., 2015), with mulched fallen trees providing a major source of 326 327 water soluble DOM (Howson et al., 2021).

328 As bog vegetation regenerated after forest to bog restoration in the Flow Country, DOM 329 concentrations reduced from elevated levels towards those seen in forest control areas. The time 330 frame for complete recovery to pre-intervention levels is to date inconsistent, with some areas still 331 showing elevated DOM in the restoration sites relative to the control sites after 17 years (Gaffney et 332 al., 2018). In others, DOM concentrations had returned to those seen in intact blanket bog within the same time frame (Howson et al., 2021), or were showing inconsistent effects across sub-333 334 catchments (Pickard et al., 2022). Other studies have reported shorter-term perturbations in DOM 335 (~4-5 years) following forest-to-bog restoration, including within a Scottish lowland raised bog area, 336 Flanders Moss, where stream water baseline DOM levels were reached within two years at one site 337 (Shah, 2018). In a Finnish study of the impacts of forest to mire restoration, a short-term peak in 338 pore water DOM concentration following initial restoration activity was followed by a return to 339 reference concentrations within six years (Menberu et al., 2017).

340 In summary, coniferous afforestation of peatlands increases DOM concentrations in pore waters and 341 streams, both during site establishment, potentially during the forest growth, and again as the trees 342 are felled (summarised in Table 3). Forest-to-bog restoration as a method of land management 343 produces short-term increases in DOM concentrations while trees are felled and brash remaining on 344 site decomposes. However, given a long enough timeframe, DOM concentrations appear to reduce 345 back towards levels seen from comparable control locations. From a water company perspective it is 346 important to note that this time frame can be up to 20 years in blanket bogs, i.e. considerably longer 347 than the standard funding cycle. Removing felled timber and brash from the site, rather than felling 348 to waste, would be expected to greatly reduce the magnitude and duration of any DOM peak.

# 349 3.4. Managed burning

Managed burning of peatland vegetation (Figures 1 & 5) (primarily the burning of Calluna sp. as part 350 351 of grouse moor management) is a contentious issue within peatland conservation and management 352 (e.g. Davies et al., 2016) and has been extensively reviewed and debated over the past decade, 353 particularly in relation to the impacts on DOM (Worrall et al., 2010; Holden et al., 2012; e.g. Brown 354 et al., 2015; Harper et al., 2018) . There is little evidence within these reviews to suggest that DOM 355 concentrations or colour increase within peat pore waters following managed burns. A recent study 356 showed no change in DOM concentrations following low and high intensity burning (Grau-Andres et 357 al., 2019), and in previous studies pore water DOM concentrations were unchanged (Clay et al.,

2009; Clay et al., 2012; Worrall et al., 2013) or decreased (Worrall et al., 2007a). At the catchment 358 359 scale, positive correlations between the extent of burning and DOM concentrations and water 360 colour have been interpreted as causal (Clutterbuck and Yallop, 2010; Yallop et al., 2010; 361 Ramchunder et al., 2013) but this has been questioned in the literature (Holden et al., 2012). 362 Burning as a management practice is designed to ensure that there is a mosaic of variously aged 363 heather habitat, so it seems plausible that these effects are more linked to changes in vegetation 364 cover. As previously discussed C. vulgaris produced higher amounts of DOM than Sphagnum in the 365 laboratory (Ritson et al., 2016) and at plot scale (Armstrong et al., 2012). It is also worth noting that 366 Evans et al. (2017b) found that a wildfire in Northern Ireland resulted in a temporary reduction of 367 DOM concentrations in a downstream monitoring lake, which was attributed to re-acidification of 368 catchment soils following the fire, as well as the loss of DOM-producing vegetation cover.

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### 370 **4: Discussion**

### 371 4.1: Role of peatland catchment management

372 Table 3 summarises the range and extent of the current peer-reviewed evidence for the impacts of 373 peatland restoration on DOM concentrations in raw water and the treatability of the DOM present. 374 However, considerable knowledge gaps remain regarding the effects of peatland restoration on raw 375 water DOM concentrations and treatability. Our thorough screening of the literature revealed 376 remarkably few published primary studies in this area, despite a widespread belief among UK 377 conservation, policy and water industry groups that peatland degradation has driven increased DOM 378 concentrations in upland water supplies (e.g. Anderson, 2012). This lack of evidence, and the mixed 379 findings of those studies that have been undertaken, suggest that generalisations of the effects of 380 most of the interventions examined must be taken with considerable caution.

The available literature does indicate that both revegetation of bare peat (particularly to *Sphagnum* dominated bog) and ditch blocking is associated with decreased DOM concentrations within pore waters and ditches, at the location where restoration occurs. However, and in contrast to reported positive impacts of these restoration actions with respect to carbon sequestration, soil particulate losses, flood management and upland biodiversity (Loisel and Gallego-Sala, 2022), evidence that such impacts may translate to quantitatively significant changes within the larger and more heterogeneous catchments that provide drinking water resources is generally lacking.

388 There is stronger evidence pointing to the risks posed by the afforestation of (naturally unforested) 389 peatlands, and the subsequent management of such plantations. Felling operations, including those 390 associated with ongoing forest management and those associated with forest-to-bog restoration, 391 tend to lead to increasing DOM concentrations and potentially reduced treatability of exported 392 DOM. In the published literature we have been unable to find experimental evidence incorporating 393 local changes in water chemistry following interventions with changes in downstream DOM 394 processing, to show whether water quality effects are detectable at the point of abstraction for 395 water treatment works. This extension beyond the plot and hillslope scale represents a significant 396 gap in current understanding, as DOM processing continues within the aquatic environment 397 downstream of peatlands, and may be affected by upstream management.

Robust quantification of the impacts of catchment management on DOM concentration and
 treatability at the point of abstraction clearly represents a major current evidence gap. The spatial
 and temporal scale required to understand these impacts, as well as the need for robust Before After-Control Impact (BACI) experiments, entail significant cost, which perhaps explains the current

dearth of reliable information. This is particularly pertinent when changes in water chemistry may
 take a number of years to be seen, depending on catchment dynamics as well as possible in reservoir processes. Our review has highlighted that studies of different land management
 approaches have not been followed downstream to monitor their impacts to the wider catchment.

#### 406 **4.2: DOM processing in drinking water catchments**

407 The general paucity of evidence to support widespread (terrestrial) catchment-focussed 408 interventions specifically to manage source water DOM concentrations and treatability leads then to 409 the question as to whether there are other water quality management options that could be applied 410 within reservoirs and whether these have been comparatively overlooked for DOM. DOM in rivers 411 and lakes is subject to both biotic and abiotic processing, which affect both concentrations and 412 chemical structure (e.g. Tranvik et al., 2009) and hence treatability. For example DOM is lost to 413 respiration (Koehler et al., 2012; Stets et al., 2010), sedimentation (Einola et al., 2011; Von 414 Wachenfeldt and Tranvik, 2008), photo-oxidisation (via UV radiation) (Moody et al., 2013; Koehler et 415 al., 2014) and flocculation with naturally-occurring aluminium and iron (Mcknight et al., 1992; 416 Koehler et al., 2014).

More importantly for treatability, however, DOM is generated within lakes and reservoirs via 417 418 photosynthesis (production of algal exudates and release via cell lysis) and through processing of 419 particulate matter (Tranvik et al., 2009) so that DOM concentrations at the point of abstraction from 420 reservoirs represent the sum of these removal and generation processes. Consequently, the 421 resulting DOM tends to be relatively transparent and hydrophilic in comparison with DOM 422 generated by organic rich soils, and thus presents different challenges for treatment, particularly as 423 the hydrophilic DOM is not easily removed through coagulation (Matilainen et al., 2010) and may 424 lead to the need for additional capital investment in order to effectively reduce residual DOM in 425 drinking water.

Algal production, and hence within-reservoir generation of DOM, is often limited by the availability of phosphorus, nitrogen, or both. Hence, waterbodies with high concentrations of inorganic nutrients are likely to generate additional DOM within the water column (Feuchtmayr et al., 2019; Evans et al., 2017a). Further, evidence is growing on the importance of lake and reservoir bed sediments as a direct source of DOM to the water column, with reducing conditions occurring during stratification of lakes and reservoirs causing redissolution of previously sedimented organic matter (Peter et al., 2017).

In their assessment of DOM in lake and reservoir inflows and outflows, Evans et al. (2017a)
concluded that any measures that can reduce N and P export from the catchment (e.g. Spears and
May, 2015) or release from sediments, or which can strip nutrients from the water column (e.g.
Spears et al., 2016), could provide effective mitigation for high DOM concentrations by reducing
algal DOM production.

It is pertinent, therefore, to consider whether measures which reduce in-reservoir DOM production, 438 439 and/or favour in-reservoir DOM removal, may be as – or perhaps more – effective than measures 440 aimed at reducing DOM export from the terrestrial catchment. For lakes acting as DOM sources, 441 management regimes that reduce nutrient (primarily N and P) inputs from catchments and/or 442 internal loading of nutrients and DOM from sediment to the water column may be more effective 443 than those focussed on reducing inflowing DOM concentrations directly. Restricting nutrient inputs 444 is also likely to reduce organic nitrogen concentrations relative to organic carbon concentrations, 445 which has the added benefit of reducing the formation potential of nitrogenous DBPs. In addition,

Birk et al. (2020) suggest that rising DOM loading from the catchment may act to dampen algal responses to nutrients through light limitation of primary production within some European lakes. If, by extension, this also limits in-reservoir DOM production then catchment interventions that relieve DOM load, but not nutrient load, may result in an increase in in-reservoir DOM production. Even in the case of less nutrient-rich water bodies, it appears that reducing N and P loadings would be beneficial for water treatment as this is likely to restrict additional DOM formation.

## 452 **5. Conclusion**

453 In summary, our review demonstrates that catchment management initiatives, while providing clear overall restoration benefits for peatlands, have yet to deliver a generalised solution to the challenge 454 455 of stabilising or reversing DOM increases in drinking water sources. There is some evidence that 456 catchment interventions may provide benefits for DOM export in specific cases, but (with the 457 possible exception of forest management activities) these have rarely been demonstrated 458 consistently or at the whole-catchment scale. Furthermore, it now seems clear that the recent 459 decadal-scale increase in surface water DOC concentrations was the result of an external driver (i.e. decreasing acid deposition), both in the UK and across large parts of Europe and North America, and 460 461 cannot realistically be 'managed away'. However, catchment management measures that reduce in-462 reservoir DOM production, or favour in-reservoir DOM removal, may be as or more effective, 463 particularly with respect to more nutrient rich systems. More generally, it seems clear that 464 catchment management should be considered part of the response strategy to rising DOM levels, 465 and as part of a process to improve the resilience of source waters, not a panacea. It is therefore 466 important that research science and the water industry work together to measure variables at the 467 temporal and spatial scale required and to develop effective tools to predict likely future DOM levels 468 resulting from a combination of large-scale and catchment-scale drivers, to ensure that investments 469 in both catchment management measures and DOM treatment infrastructure are correctly targeted, 470 integrated, timely and cost-effective.

- 471
- 472 The authors declare that they have no conflict of interests.
- 473

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476

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830 Tables:

831 Table 1: Summary of the impacts of drainage ditch blocking on DOM concentrations and fluxes from peatlands, reported

832 in increasing time since ditch blocking. BA = Before/After, CI = Control/Intervention. Reference to chronosequence in the

833 survey design refers to a sampling strategy whereby sites that had had interventions at different times were used as a

834 proxy for control sites, while survey refers to a short term one-off sampling of multiple locations.

Reference	Location	Sampling scale	Concentration or flux measured	Time since ditch blocking	Experimental Design	Change since ditch blocking
Worrall et al. (2007b)	UK, blanket bog	Ditches	DOM concentration	7 months	BACI	100% increase in DOM concentration.
Turner et al. (2013)	UK, blanket bog	0 and 1 <sup>st</sup> order ditches	DOM concentration and flux	1 year	BACI	DOM concentration decreased by 2.5% compared to control, DOM flux decreased by 2.2 – 9.2% as a result of decreased water export.
Gibson et al. (2009)	UK, blanket bog	Ditches	DOM concentration and flux	1 year	CI	DOM concentrations unchanged, water flux decreased by 39% meaning DOM flux also declined by the same amount.
Wilson et al. (2011)	UK, blanket bog	Ditches and headwater streams	DOM concentration and flux	2 years	BACI	DOM concentrations unchanged, fluxes were 88% lower in streams draining ditch-blocked catchments due to much lower estimated water export.
O'brien et al. (2008)	UK, blanket bog	Headwater streams	DOM flux and water colour	2 years	BACI	Water colour was unchanged. Fluxes decreased by 24% in streams as a result of decreasing water export.
Menberu et al. (2017)	Finland fen, pine mire and spruce mire	Pore water	DOM concentration	3 years	BACI	41% reduction in DOM concentration.
Evans et al. (2018)	UK, blanket bog	Ditches	DOM concentration	4 years	BACI	No change in DOM concentration
Wallage et al. (2006)	UK, blanket bog	Pore water	DOM concentration	5 years	CI	DOM concentration lower in porewaters adjacent to blocked ditches (69% lower compared to open ditches)
Haapalehto et al. (2014)	Finland, raised bog	Pore water	DOM concentration	5 years and 10 years	Chronosequence	DOM concentration approx. 10% lower in sites 5 years post restoration and 25% lower in sites 10 years post restoration
Haapalehto et al. (2014)	Finland, raised bog	Ditches	DOM concentration	5 years and 10 years	Chronosequence	Concentrations approx. 75% higher in sites 5 years post restoration and 50% higher in sites 10 years post restoration
Armstrong et al. (2010)	UK, blanket bog	Ditches	DOM flux	7 years	CI	No change in DOM flux
Strack et al.	Canada,	Pore water	DOM	10 years	CI	No change in pore water

(2015)	bog	and ditch water	concentration			DOM concentration. Ditch water DOM concentrations were similar in spring and summer and up to 30% lower in the restored site in autumn.
Armstrong et al. (2010)	UK, blanket bog	Ditches from a survey in Northern England and Northern Scotland	DOM concentration	6 months to 18 years	Survey	DOM concentrations 28% lower on average in blocked drains compared to unblocked drains.
Holl et al. (2009)	Germany, ex-fenland extraction site	Pore water	DOM concentration	20 years	CI	DOM concentrations 37% lower at restored site compared to drained site.
Urbanova et al. (2011)	Czech Republic, bog	Pore water	DOM concentration	NA comparison between drained and intact sites	CI	No difference in DOM concentration between intact and moderately degraded site, 50% higher DOM concentrations at highly degraded site.
Pickard et al. (2022)	UK, blanket bog	Headwater streams	DOM concentration	6-8 years	CI	No difference in DOM concentration between drained and restored sites. DOM concentrations significantly higher (50% increase) in drained and restored sites compared to non-drained controls.

836 Table 2: UK studies reporting DOM concentration monitoring of forestry activities on peat. Note that where percentage

837 differences are preceded by ~ concentrations were not explicitly listed in text, figures and tables or supplementary

838 information so are estimated from graphs.

Paper	Location	Forestry activity monitored	Scale	Timescale of monitoring	% difference
Muller and Tankere- Muller (2012)	Flow Country	Felling compared to blanket bog	Stream (upstream and downstream)	1 year post felling	-6%
Zheng et al. (2018)	Central Scotland	Felling compared to windfarm on blanket bog	Stream	1 year ~ 8 years after felling	~ 100%
Muller et al. (2015)	Flow Country	Felling compared to blanket bog	Stream	3 months before ~ 1 year after	No difference
Shah and Nisbet (2019)	Central Scotland (raised bog)	Before / after felling	Stream	1 year before and up to 8 years after	0%, 29% & 51% (mean 27%)
Cummins and Farrell (2003)	Ireland	Before / after felling	Stream	5 years	~0-100%

Gaffney et al. (2020)	Flow Country	Before / after felling and felling compared to blanket bog	Stream	2 years	No significant difference
Muller et al. (2015)	Flow Country	Before / after felling	Ditch	3 months before ~ 1 year after	~ 75%
Gaffney et al. (2018)	Flow Country	Before / after felling	Ditch	1 year post felling	~ 150%
Cummins and Farrell (2003)	Ireland	Before / after felling	Ditch	5 years	~50%
Gaffney et al. (2018)	Flow Country	Felling compared to blanket bog	Ditch	0 – 17 years post felling. 1 year of measurement	~500%
Muller and Tankere- Muller (2012)	Flow Country	Felling compared to blanket bog	Ditch	1 year post felling	30-325% (overall average 159%)
Gough et al. (2012)	North Wales	Presence / absence of forestry	Pore waters	1 off sampling	-19% - 111% (average 45%)
Howson et al. (2021)	Flow Country	Presence / absence of forestry	Pore waters	~ 20 months	~ 66%
Howson et al. (2021)	Central Scotland (raised bog)	Presence / absence of forestry	Pore waters	~ 20 months	~14%
Flynn et al. (2022)	Ireland	Presence / absence of forestry	Pore waters	~ 2 years	~400%
Gaffney et al. (2018)	Flow Country	Presence / absence of forestry	Ditch	0 – 17 years post felling 1 year of measurement	~ 100%
Flynn et al. (2022)	Ireland	Presence / absence of forestry	Stream	~ 2 years	No significant difference
Shah et al. (2021)	Flow Country	Presence / absence of forestry – time series	Stream	25 years	No significant difference
Cummins and Farrell (2003)	Ireland	Presence / absence of forestry	Stream	5 years	~25%

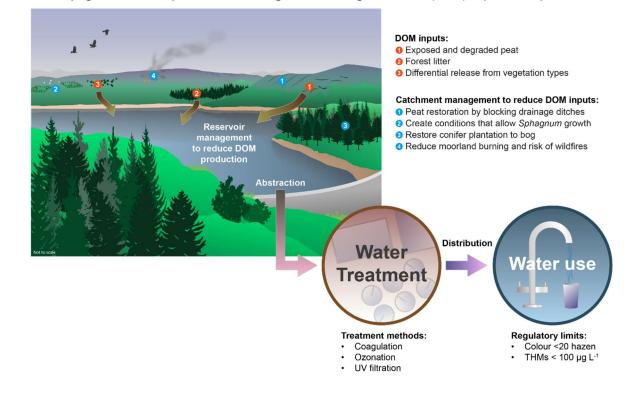
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Table 3: summary of the published impacts of catchment management activities on DOM concentrations and treatability, focussing on those studies relevant in a UK and Irish context. Numbers in brackets refer to the number of 843 studies showing that effect in each case, while the overall impacts on DOM concentration and treatability for water 844 treatment are shown as +/=/- (positive/neutral/negative) for concentrations and treatability respectively.

Catchment intervention	Impact on DOM concentration	Impact on DOM treatability
Ditch blocking (=/=)	Increase (2) (Worrall et al., 2007b; Haapalehto et al., 2014) No change (8) (O'brien et al., 2008; Gibson et al., 2009; Armstrong et al., 2010; Wilson et al., 2011; Urbanova et al., 2011; Turner et al., 2013; Strack et al., 2015; Evans et al., 2018) Decrease (5) (Wallage et al., 2006; Holl et al., 2009; Armstrong et al., 2010; Haapalehto et al., 2014; Menberu et al., 2017)	<b>No change (5)</b> (Glatzel et al., 2003; Strack et al., 2015; Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018)
Revegetation (to grass species) (=/-)	Increase (2) (Qassim et al., 2014; Ritson et al., 2016) No change (4) (Parry et al., 2015; Pilkington et al., 2015; Stimson et al., 2017; Alderson et al., 2019)	<b>Decrease (1)</b> (Ritson et al., 2016)
Revegetation (to heather) (-/-)	Increase (2) (Armstrong et al., 2012; Ritson et al., 2016) No change (1) (Parry et al., 2015)	Decrease (1) (Ritson et al., 2016)
Revegetation (to <i>Sphagnum</i> ) (+/+)	Decrease (1) (Armstrong et al., 2012)	Improve (1) (Ritson et al., 2016)
Forest presence (-/-)	Increase (5) (Cummins and Farrell, 2003; Gough et al., 2012; Gaffney et al., 2018; Howson et al., 2021; Flynn et al., 2022) No change (2) (Shah et al., 2021; Flynn et al., 2022)	Decrease (2) (Gough et al., 2012; Howson et al., 2021)
Clearfell and forest-to- bog conversion (-/-)	Increase (6) (Cummins and Farrell, 2003; Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018; Zheng et al., 2018; Shah and Nisbet, 2019) No change (3) (Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2020)	<b>Decrease (1)</b> (Zheng et al., 2018)
Managed burning (-/no evidence)	Increase (3) (Clutterbuck and Yallop, 2010, Yallop et al., 2010, Ramchunder et al., 2013) No change (4) (Clay et al., 2009; Clay et al., 2012; Worrall et al., 2013; Grau-Andres et al., 2019) Decrease (1) (Worrall et al., 2007a)	

#### 846 Figure legends:

Figure 1: Schematic showing anthropogenic pressures on peatland catchments, and the potential peatland management
 processes covered in this review.

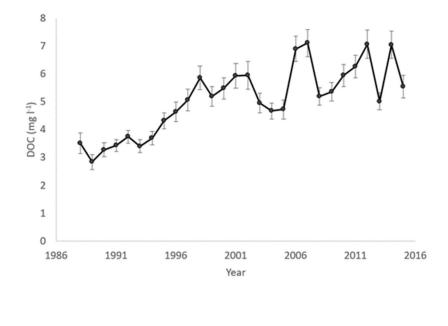


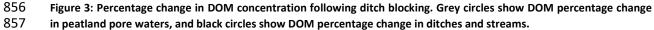
#### Anthropogenic land use pressures affecting dissolved organic matter (DOM) export from peat

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Figure 2: Mean (+/- Standard error) annual dissolved organic carbon (DOC) concentrations from the 23 UK Upland Water
 Monitoring Network sites. These sites are predominately situated in the north and west of the UK – see www.uwmn.uk
 for more details.





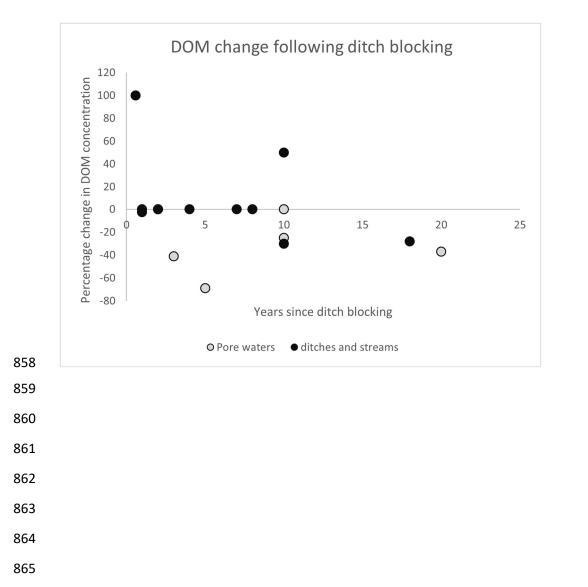


Figure 4: Drainage ditches before (left) and after (right) blocking on a blanket bog in North Wales, the ditches run down
 the slope and individual dams can be seen crossing the ditches (Photos: Chris Evans).



