



1    Reviews and Syntheses: Understanding the impacts of peatland catchment  
2    management on DOM concentration and treatability

3    Jennifer Williamson<sup>1\*</sup>, Chris Evans<sup>1</sup>, Bryan Spears<sup>2</sup>, Amy Pickard<sup>2</sup>, Pippa J. Chapman<sup>3</sup>, Heidrun  
4    Feuchtmayr<sup>4</sup>, Fraser Leith<sup>5</sup>, Susan Waldron<sup>6</sup>, Don Monteith<sup>4</sup>

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6    <sup>1</sup>UK Centre for Ecology & Hydrology, Environment Centre Wales, Deiniol Road, Bangor, Gwynedd, LL57 2UW

7    <sup>2</sup>UK Centre for Ecology and Hydrology, Bush Estate, Penicuik, Midlothian, EH26 0QB

8    <sup>3</sup> School of Geography, Faculty of Environment, University of Leeds, Leeds, LS2 9JT

9    <sup>4</sup>UK Centre for Ecology & Hydrology, Lancaster Environment Centre, Library Avenue, Bailrigg, Lancaster, LA1 4AP

10    <sup>5</sup>Scottish Water, 6 Castle Drive, Dunfermline, KY11 8GG

11    <sup>6</sup>School of Geographical and Earth Sciences, University of Glasgow, Glasgow G12 8QQ

12    \*Corresponding Author (jwl@ceh.ac.uk)

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14



15 **Abstract**

16 In the UK most large reservoirs constructed for public water supply are in upland areas and situated  
17 in catchments that contain at least some organic-rich soils. Dissolved organic matter (DOM) leaching  
18 from these soils imparts a brownish colour to water and raises treatment challenges for the water  
19 industry since excessive post-treatment concentrations result in the generation of potentially harmful  
20 disinfection by-products in drinking water. The primary method for maintaining sufficiently low pre-  
21 disinfection DOM concentrations is chemical coagulation, but in the past 15 years water companies  
22 have increasingly considered the capacity for catchment interventions to improve raw water quality  
23 at source, reducing the need for costly and complex engineering solutions in treatment works. There  
24 remains considerable uncertainty around the effectiveness of these catchment engineering-based  
25 measures and a comprehensive overview of the research in this area remains lacking. Here we review  
26 the peer-reviewed evidence for the effectiveness of four management options for upland organic soil-  
27 dominated catchments that are being considered by the water industry as options for controlling DOM  
28 releases. These are ditch blocking, revegetation, reducing forest cover, and cessation of managed  
29 burning. Results of plot scale investigations into effects of ditch blocking on ditch-blocking are  
30 available but largely equivocal, while there is a paucity of information regarding impacts at spatial  
31 scales of more direct relevance to water managers. The presence of plantation forestry on peat soils  
32 is generally associated with increasing DOM concentrations, although canopy removal has little short-  
33 term benefit and can even further increase concentrations. Although not widely studied, the available  
34 evidence suggests that *Sphagnum* mosses produce DOM that is more easily removed via conventional  
35 treatment processes compared to vascular plants such as heather and grass species. We found  
36 surprisingly little published research around the extent to which manipulation of in-reservoir  
37 processes might be used to mitigate or exacerbate changes in inflowing DOM as part of a catchment  
38 management approach.  
39 This review concluded that catchment management measures have rarely been monitored with  
40 downstream water quality as the focus, and that restoration impacts vary across sites. To mitigate the  
41 uncertainty surrounding restoration effects on DOM, measures should be undertaken on a site-  
42 specific basis, where the scale, effect size and duration of the intervention are considered in relation  
43 to subsequent biogeochemical processing that occurs in the reservoir, the treatment capacity of the  
44 water treatment works and future projected DOM trends.

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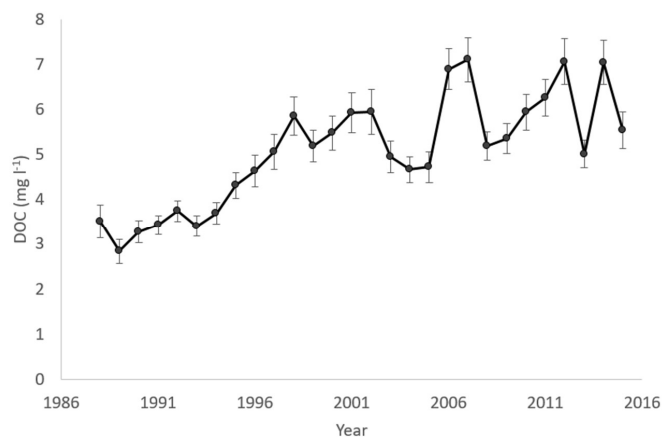
46



47 **Introduction**

48 Peatland restoration has become an integral part of the UK environment strategy, particularly in the  
49 drive toward Net Zero. It is founded on the potential to achieve multiple benefits that include  
50 improving biodiversity, enhancing carbon sequestration, and controlling water runoff and quality, in  
51 catchments that are deemed to have been degraded by anthropogenic stressors. Nearly three  
52 quarters of the storage capacity of drinking water reservoirs in the UK is sourced from peatland areas  
53 (Xu et al., 2018). The dissolved organic matter DOM concentrations of these water tend to be relatively  
54 high, and have been rising since the 1980s (e.g. Naden and McDonald, 1989; Robson and Neal, 1996;  
55 Harriman et al., 2001; Freeman et al., 2001; Worrall et al., 2004). Mean DOM concentrations in UK  
56 Upland Waters Monitoring Network (UWMN) surface waters, most of which are dominated by  
57 organic-rich soils, have approximately doubled over the last three decades being approximately  
58 double those seen in the late 1980s (Figure 1). At the sub-catchment scale, Chapman et al. (2010)  
59 found that water colour increased by between 22 and 155 percent over a 20 year period between  
60 1986 and 2006. This phenomenon has now been observed across much of industrialised North  
61 America and north-west Europe, and appears to largely result from an long-term increase in the  
62 solubility of terrestrial organic matter as soils recover from the effects of acid rain (Monteith et al.,  
63 2007; De Wit et al., 2021). Rising levels of DOM in waters draining many of these catchments pose  
64 considerable water treatment challenges, with respect to increasing treatment costs and risks of  
65 regulatory failure (see Figure 1). It has been proposed that peatland restoration measures might help  
66 slow or even reverse these DOM trends, but while some of the benefits of peatland restoration are  
67 now becoming clear (e.g. Glenk and Martin-Ortega, 2018), evidence for impacts on water quality have  
68 been more difficult to glean.

69



70

71 Figure 1: Mean (+/- Standard error) annual dissolved organic carbon (DOC) concentrations from  
72 UWMN sites. These sites are predominately situated in the north and west of the UK – see  
73 [www.uwmn.uk](http://www.uwmn.uk) for more details.

74

75 Although consumption of DOM in drinking water is not directly harmful to people, coloured water  
76 reduces customer satisfaction (Ritson et al., 2014) and can be indicative of further problems.  
77 Indirectly, elevated DOM concentrations have implications for human health due to their potential

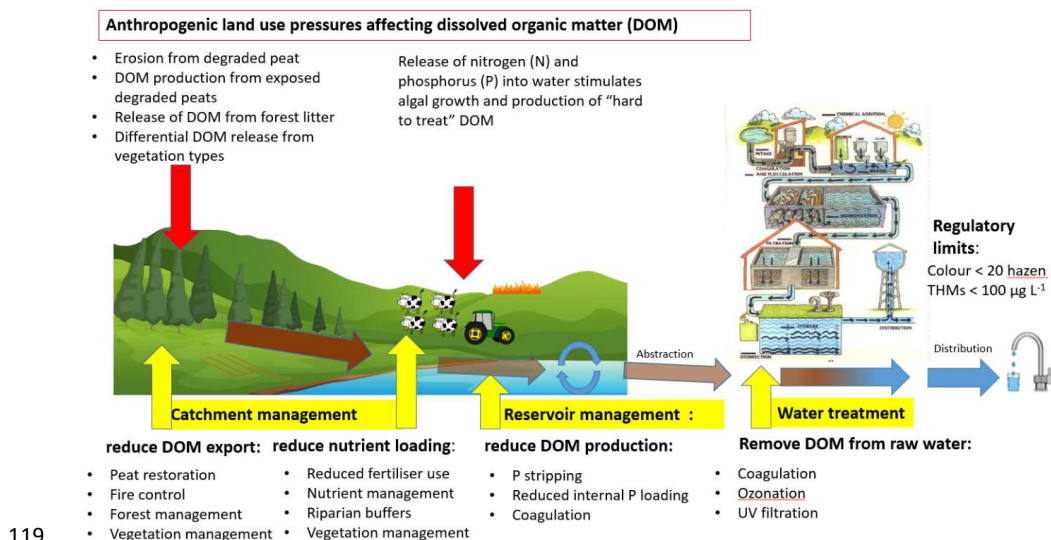


78 influence on treatment processes and the production of carcinogenic disinfectant by-products (DBPs)  
79 such as trihalomethanes (THMs), which are regulated by the Drinking Water Inspectorate (DWI) due  
80 to their potential carcinogenic properties. Chlorination, a standard disinfection process in most UK  
81 WTWs, leaves free chlorine in the water supply as a residual disinfectant. Free chlorine reacts with  
82 DOM remaining in the water supply following coagulation and filtration to form DBPs, including THMs.  
83 Chloramination, the treatment of drinking water with chlorine and ammonia to form chloramine, has  
84 been used as a method of reducing THM formation. However, it has been found that chloramination  
85 promotes the formation of nitrogenous DBPs (e.g. Bond et al., 2011; Lavonen et al., 2013), which are  
86 more carcinogenic than THMs (Ding and Chu, 2017) and are likely to be regulated in the future. DOM  
87 also may hamper the efficacy of chlorine as a disinfectant while simultaneously acting as a substrate  
88 for bacterial regrowth (Prest et al., 2016), thus increasing the risk of regulatory failure from bacterial  
89 contamination and the subsequent loss of customer trust.

90 The composition of DOM can have a large influence on the performance of the water treatment  
91 processes and the formation of DBPs upon chlorination (Matilainen et al., 2010). DOM in water  
92 draining peatland areas tends to be predominantly hydrophobic, and relatively photoreactive and  
93 biologically recalcitrant. It is relatively easily removed by conventional coagulation and filtration  
94 during drinking water treatment due to the presence of charged functional groups (Matilainen et al.,  
95 2010). Hydrophilic DOM, on the other hand, is mostly produced within the waterbodies by  
96 phytoplankton activity (Imai et al., 2002), and is biologically labile but less easily degraded by sunlight  
97 (Berggren and Del Giorgio, 2015; Berggren et al., 2018). The relative balance of hydrophobic to  
98 hydrophilic DOM in water is referred to as hydrophobicity, and is conventionally assessed in the water  
99 treatment system using Specific UV Absorbance measurements at 254 nm ( $SUVA_{254}$ ), i.e. absorbance  
100 at 254 nm per unit dissolved organic carbon concentration (Weishaar et al., 2003). Values greater than  
101 4 indicate hydrophobic dominance, while values less than 2 show the DOM is primarily hydrophilic  
102 and will not be effectively removed using conventional coagulation and filtration alone (Matilainen et  
103 al., 2010).

104 Higher concentrations of DOM in raw water necessitate a greater amount of treatment to provide  
105 potable water to customers (Monteith et al., 2021). This may include larger coagulant dosages, shorter  
106 filter run times, and longer and more frequent cleaning of filtration units, and result in higher energy  
107 costs, higher sludge removal costs and an increase in direct and indirect (energy-related) greenhouse  
108 gas (GHG) emissions from the treatment process (Jones et al., 2016). Overall, the cost of DOM removal  
109 in UK water supplies is estimated to be hundreds of millions of pounds, and has risen sharply in recent  
110 years as a direct consequence of rising DOM concentrations. Major additional costs are incurred  
111 where capital investment is needed to upgrade treatment infrastructure designed for lower  
112 concentration ranges experienced in the past. It is important, therefore, for water industry decision  
113 makers to understand the extent to which peatland restoration could make a positive contribution to  
114 reducing DOM concentrations of raw water and thus relieve stresses on the treatment system and  
115 potentially remove the need for major additional capital investment in treatment plant. This work  
116 reviews the available peer-reviewed literature and provides a qualitative assessment of the impacts  
117 of peatland restoration on DOM concentrations and treatability.

118



119

120 Figure 2: Schematic showing anthropogenic pressures on peatland catchments, and the potential  
 121 peatland management processes covered in this review.

122

## 123 2. Evidence for the efficacy of catchment management approaches in the reduction of DOM

### 124 2.1. Ditch blocking

125 Following peatland drainage, the resulting reductions in water tables, loss of peat forming plant  
 126 species, and consequent drying and cracking of peat surfaces, exposed previously permanently  
 127 saturated organic matter to oxidative processes, making it more vulnerable to erosion and dissolution  
 128 into DOM (e.g. Clark et al., 2009). Extensive efforts have been made by the water industry and  
 129 organisations concerned with peatland conservation to block ditches in an attempt to restore the  
 130 hydrological, biogeochemical and ecological functions of these landscapes (Figure 3).

131 Of the studies relevant to UK peatlands found during this review, four out of five (Table 1) reported  
 132 significant changes in DOM concentrations within peat soil pore water (i.e. plot scale) following ditch  
 133 blocking, with a cross-study average 34% reduction (range 0 to 69%) (Wallage et al., 2006; Holl et al.,  
 134 2009; Haapalehto et al., 2014; Strack et al., 2015; Menberu et al., 2017). While therefore suggesting a  
 135 general tendency for ditch blocking to reduce pore water DOM concentrations, these studies do not  
 136 necessarily imply that effects will be translated through to surface waters and ultimately to the point  
 137 of abstraction.

138 At the ditch scale, results are more variable than those for pore waters (Table 1). The ten studies  
 139 reviewed showed a mean 10% increase in DOM concentrations following ditch blocking, although this  
 140 figure is skewed by the large increases reported by Worrall et al. (2007b) and Haapalehto et al. (2014)  
 141 (100% and 50-75% increases respectively); the median change is zero. Importantly, no significant  
 142 change in DOM concentration was reported in half of these studies (O'brien et al., 2008; Gibson et al.,  
 143 2009; Armstrong et al., 2010; Wilson et al., 2011; Evans et al., 2018). Likewise, a recent study  
 144 monitoring DOM concentrations six years after ditch blocking on a blanket bog found no reduction in  
 145 DOM concentrations in the restored site compared to the ditched site (and both drained and restored  
 146 site DOM concentrations remained elevated compared to the non-drained control (Pickard et al.,



147 2022). Differences in apparent effect size may be related to experimental design, including whether  
 148 the work included a simultaneous control and the time period over which post-restoration monitoring  
 149 was carried out.

150 Measuring and reporting water fluxes (and hence DOM fluxes) at a site- or catchment-scale requires  
 151 careful consideration of the potential for dominant water flow pathways to be altered following ditch  
 152 blocking. For example, Holden et al. (2017) showed that damming of drainage ditches in North Wales  
 153 did reduce discharge along the original ditch lines following blanket bog re-wetting, but that most, or  
 154 all, of the displaced flow instead left the peatland via overland flow or near-surface through-flow.  
 155 Subsequent reporting from the same experiment demonstrated that DOM concentrations in water  
 156 displaced along these surficial pathways were approximately the same as those in water travelling  
 157 along the ditches, with the result that ditch-blocking was not found to have any clear effect on either  
 158 DOM concentrations or fluxes at the catchment scale (Evans et al., 2018). Studies of DOM flux changes  
 159 following ditch blocking report an average 24% reduction (range 0 – 88% reduction) in DOM flux,  
 160 primarily attributed to decreased water fluxes from the restoration site.

161 **Table 1: Summary of the impacts of drainage ditch blocking on DOM concentrations and fluxes from peatlands, reported**  
 162 **in increasing time since ditch blocking. BA = Before/After, CI = Control/Intervention**

| Reference              | Location                               | Sampling scale                      | Concentration or flux measured | Time since ditch blocking | Experimental Design | Change since ditch blocking  |
|------------------------|--|-------------------------------------|--------------------------------|---------------------------|---------------------|--|
| Worrall et al. (2007b) | UK, blanket bog                        | Ditches                             | DOM concentration              | 7 months                  | BACI                | 100% increase in DOM concentration.  |
| Turner et al. (2013)   | UK, blanket bog                        | 0 and 1 <sup>st</sup> order ditches | DOM concentration and flux     | 1 year                    | BACI                | DOM concentration decreased by 2.5% compared to control, DOM flux decreased by 2.2 – 9.2% as a result of decreased water export.           |
| Gibson et al. (2009)   | UK, blanket bog                        | Ditches                             | DOM concentration and flux     | 1 year                    | CI                  | DOM concentrations unchanged, water flux decreased by 39% meaning DOM flux also declined by the same amount.                               |
| Wilson et al. (2011)   | UK, blanket bog                        | Ditches and headwater streams       | DOM concentration and flux     | 2 years                   | BACI                | DOM concentrations unchanged, fluxes were 88% lower in streams draining ditch-blocked catchments due to much lower estimated water export. |
| O'brien et al. (2008)  | UK, blanket bog                        | Headwater streams                   | DOM flux and water colour      | 2 years                   | BACI                | Water colour was unchanged. Fluxes decreased by 24% in streams as a result of decreasing water export.                                     |
| Menberu et al. (2017)  | Finland fen, pine mire and spruce mire | Pore water                          | DOM concentration              | 3 years                   | BACI                | 41% reduction in DOM concentration.  |
| Evans et al. (2018)    | UK, blanket bog                        | Ditches                             | DOM concentration              | 4 years                   | BACI                | No change in DOM concentration   |
| Wallage et al. (2006)  | UK, blanket bog                        | Pore water                          | DOM concentration              | 5 years                   | CI                  | DOM concentration lower in porewaters adjacent to blocked ditches (69% lower compared to open ditches)                                     |



|                          |                                     |   |                   |  |                |   |
|--------------------------|-------------------------------------|---|-------------------|--|----------------|---|
| Haapalehto et al. (2014) | Finland, raised bog                 | Pore water  | DOM concentration | 5 years and 10 years                           | Chronosequence | DOM concentration approx. 10% lower in sites 5 years post restoration and 25% lower in sites 10 years post restoration  |
| Haapalehto et al. (2014) | Finland, raised bog                 | Ditches   | DOM concentration | 5 years and 10 years                           | Chronosequence | Concentrations approx. 75% higher in sites 5 years post restoration and 50% higher in sites 10 years post restoration   |
| Armstrong et al. (2010)  | UK, blanket bog                     | Ditches   | DOM flux          | 7 years  | CI             | No change in DOM flux   |
| Strack et al. (2015)     | Canada, bog                         | Pore water and ditch water                                      | DOM concentration | 10 years                                       | CI             | No change in pore water DOM concentration. Ditch water DOM concentrations were similar in spring and summer and up to 30% lower in the restored site in autumn.                               |
| Armstrong et al. (2010)  | UK, blanket bog                     | Ditches from a survey in Northern England and Northern Scotland | DOM concentration | 6 months to 18 years                           | Survey         | DOM concentrations 28% lower on average in blocked drains compared to unblocked drains.   |
| Holl et al. (2009)       | Germany, ex-fenland extraction site | Pore water  | DOM concentration | 20 years                                       | CI             | DOM concentrations 37% lower at restored site compared to drained site.   |
| Urbanova et al. (2011)   | Czech Republic, bog                 | Pore water  | DOM concentration | NA comparison between drained and intact sites | CI             | No difference in DOM concentration between intact and moderately degraded site, 50% higher DOM concentrations at highly degraded site.  |
| Pickard et al. (2022)    | UK, blanket bog                     | Headwater streams   | DOM concentration | 6-8 years                                      | CI             | No difference in DOM concentration between drained and restored sites. DOM concentrations significantly higher (50% increase) in drained and restored sites compared to non-drained controls. |

163

164

165 Nine studies to date have assessed the potential impact of ditch blocking on DOM treatability and  
 166 hence the ease of treatability within a conventional water treatment works. They found that the  
 167 majority of studies at UK and continental European ditch blocking locations, along with results from  
 168 their experimental work, showed little effect of ditch blocking on DOM treatability as measured by  
 169 commonly reported metrics such as SUVA, E2:E3 ratios (ratio of light absorbance at 250 and 365 nm)  
 170 and E4:E6 ratios (ratio of light absorbance at 465 and 665 nm) (Glatzel et al., 2003; Strack et al., 2015;  
 171 Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018). While none of the studies included direct  
 172 measures of DOM hydrophobic and hydrophilic fractions, one measured THM formation potential and





173 found no change between water samples taken from drained and rewetted blanket bog mesocosms  
174 (Gough et al., 2016), suggesting that in the short term ditch blocking may not reduce THM formation  
175 following water treatment.

176 More broadly, therefore, while the evidence suggests that ditch blocking may reduce DOM  
177 concentrations within pore waters (Table 3), there is no published evidence for such activities to have  
178 successfully influenced DOM concentrations in runoff at a catchment scale, and thus at a level of  
179 potential relevance to raw water supply to treatment works. It is important to note, however, that  
180 catchment-scale studies are hugely challenging logistically and financially to design and maintain and  
181 are currently very rare over timescales suitable to detect land management effects on water quality.

182



183

184 Figure 3: Drainage ditches before (left) and after (right) blocking on a blanket bog in North Wales, the ditches run down  
185 the slope and individual dams can be seen crossing the ditches (Photos: Chris Evans).

186

## 187 2.2. Re-vegetation of bare peat

188 Exposure of bare peat following anthropogenic disturbance has been an extensive problem in a  
189 number of UK peatland regions, most notably in the Peak District. The subsequent erosion of the peat  
190 has caused significant problems for the water industry because of the high particulate loads from the  
191 catchment to the downstream reservoirs. There have been significant efforts in recent years to  
192 revegetate some of the most degraded upland peatland areas in order to stabilise these systems.

193 Published research on the impacts of revegetation of peatland areas on DOM is limited, but Qassim  
194 et al. (2014) found that pore water DOM concentrations were higher in revegetated sites compared  
195 to bare peat areas and vegetated controls over a five-year period. The initial revegetation mix in this  
196 work was a nurse crop of *Agrostis* sp., *Deschampsia flexuosa* and *Festuca* sp. in combination with  
197 additions of lime and fertiliser to ensure grass growth. Heather brash was applied to stabilise the peat  
198 surface and provide a seed source of peatland species. The use of lime is likely to have increased DOM  
199 solubility through a reduction in acidity of the peat (Evans et al., 2012), and the re-establishment of  
200 vegetation may have increased the production of 'new' DOM via root leachate and fresh litter  
201 decomposition. Particulate losses from peatland systems decreased following stabilisation of the peat  
202 surface through revegetation irrespective of gully blocking activities (Pilkington et al., 2015), as  
203 overland flow velocities are lower on vegetated peat than bare peat (Holden et al., 2008). However,  
204 the same study (Pilkington et al., 2015), and more recent assessments of the effects of revegetation





205 on DOM concentrations (Stimson et al., 2017; Alderson et al., 2019), found no long-term changes in  
206 DOM concentrations following revegetation at the headwater catchment scale.

207 Radiocarbon ( $^{14}\text{C}$ ) measurements of DOM in UK upland waters indicate that the principal source of  
208 DOM in waters draining relatively undisturbed soils is recent primary production, probably formed  
209 within the last few years (Evans et al., 2014). It follows, therefore, that plant productivity, and plant  
210 tissue composition and degradability, which depend both on ambient environmental conditions and  
211 species composition, may be important factors, both for DOM concentrations and the treatability of  
212 the DOM produced. In a laboratory-based extraction experiment DOM leached from *Sphagnum* was  
213 more easily removed by a conventional coagulation process and decomposed more rapidly than DOM  
214 leached from *Molinia caerulea* or *Calluna vulgaris* litter. In addition, *M. caerulea* and *C. vulgaris* litter  
215 released more DOM per unit dry weight compared to *Sphagnum* litter (Ritson et al., 2016). At the field  
216 scale published results are less clear cut: one study found that DOM concentrations in pore waters  
217 were higher in areas of blanket bog dominated by *C. vulgaris* compared to areas dominated by sedges  
218 or *Sphagnum* species (Armstrong et al., 2012). In contrast, Parry et al. (2015) found no correlation  
219 between dominant vegetation type (differentiated into ericoid, grasses, sedges and bare peat) and  
220 stream water DOM concentrations in headwater catchments. This may reflect the greater  
221 heterogeneity of peatland environments at the catchment scale in comparison to single species  
222 experiments.

223 The evidence available to date suggests that while revegetation of peatland sites has stabilised bare  
224 peat surfaces (e.g. Pilkington et al., 2015), and is likely to have reduced particulate organic matter loss,  
225 it has not changed DOM export from peat headwater catchments. Laboratory based work has shown  
226 that the species present could impact DOM treatability, with *Sphagnum* derived DOM being more  
227 easily treatable than *M. caerulea* or *C. vulgaris* litter (Ritson et al., 2016). This suggests that catchment  
228 management via revegetation should aim to achieve high cover of *Sphagnum* species compared to  
229 vascular plants to maximise DOM treatability (Table 3). However, as with other restoration measures  
230 there is currently little in the peer-reviewed literature to demonstrate the effectiveness of this at a  
231 catchment scale.

### 232 2.3. Plantation forestry / deforestation

233 It has long been recognised that forestry activities can have detrimental impacts on reservoir water  
234 quality and treatability. For example, in 1984 it was shown that drainage and deforestation resulted  
235 in large sedimentation issues at Crai Reservoir in south Wales (Stretton, 1984 cited in: Hudson et al.  
236 1997). Large pulses of nutrients (N and P) can also occur after forest-felling (Neal, 2002).

237 To reduce the impacts of forest operations on sediment and nutrient loss and consequent raw water  
238 quality in the UK, the Forest and Water Guidelines now state that no more than 20% of a drinking  
239 water catchment should be felled in any 3 year period (Forestry Commission, 2017). In addition to  
240 this, although primarily to conserve soil carbon stocks rather than for improved water quality, the  
241 2000 Forestry Commission guidance note on forest and peatland habitats (Patterson and Anderson,  
242 2000) states that approval will no longer be given for forestry planting or regeneration on active raised  
243 bog or inactive raised bogs that could be restored to active bog, and areas of active blanket bog greater  
244 than 25 ha area and > 45 – 50 cm depth.

245 A recent review for Yorkshire Water (Chapman et al., 2017) noted that conventional conifer site  
246 preparation on peat, peaty gley and peaty podzol soils would be expected to increase DOM  
247 concentrations. This would be largely due to the implemented drainage reducing the height of the  
248 water table and consequently increasing the production of DOM via increased aeration of the peat



249 surface (Clark et al., 2009). Jandl et al. (2007), in their review of studies of the effect of forest  
 250 management on soil carbon sequestration, highlighted two Finnish studies where DOM  
 251 concentrations increased following drainage ditch installation but returned to pre-drainage levels later  
 252 in the forest cycle, while Schelker et al. (2012) observed increased colour in sites being prepared for  
 253 forestry in northern Sweden. Furthermore, Rask et al. (1998) reported an increase in colour in streams  
 254 draining peat dominated catchments following afforestation in Finland, while in Sweden afforestation  
 255 has also been linked to long-term increases in water colour (Skerlep et al., 2019). At a regional to  
 256 national scale in the UK recent work suggests that the presence of plantation forestry on peat soils  
 257 increases DOM concentrations in streams and rivers compared to peat soils with semi-natural  
 258 vegetation (Williamson et al., 2021).

259

260 Table 2: UK studies reporting DOM concentration monitoring of forestry activities on peat. Note that  
 261 where percentage differences are preceded by ~ concentrations were not explicitly listed in text,  
 262 figures and tables or supplementary information so are estimated from graphs.

| Paper                            | Location                      | Forestry activity monitored                                | Scale                            | % difference                   |
|----------------------------------|-------------------------------|--|----------------------------------|--------------------------------|
| Muller and Tankere-Muller (2012) | Flow Country                  | Felling compared to blanket bog                            | Stream (upstream and downstream) | -6%                            |
| Zheng et al. (2018)              | Central Scotland              | Felling compared to windfarm on blanket bog                | Stream                           | ~ 100%                         |
| Muller et al. (2015)             | Flow Country                  | Felling compared to blanket bog                            | Stream                           | No difference                  |
| Shah and Nisbet (2019)           | Central Scotland (raised bog) | Before / after felling                                     | Stream                           | 0%, 29% & 51% (mean 27%)       |
| Cummins and Farrell (2003)       | Ireland                       | Before / after felling                                     | Stream                           | ~0 – 100%                      |
| Gaffney et al. (2020)            | Flow Country                  | Before / after felling and felling compared to blanket bog | Stream                           | No significant difference      |
| Muller et al. (2015)             | Flow Country                  | Before / after felling                                     | Ditch                            | ~ 75%                          |
| Gaffney et al. (2018)            | Flow Country                  | Before / after felling                                     | Ditch                            | ~ 150%                         |
| Cummins and Farrell (2003)       | Ireland                       | Before / after felling                                     | Ditch                            | ~50%                           |
| Gaffney et al. (2018)            | Flow Country                  | Felling compared to blanket bog                            | Ditch                            | ~500%                          |
| Muller and Tankere-Muller (2012) | Flow Country                  | Felling compared to blanket bog                            | Ditch                            | 30-325% (overall average 159%) |
| Gough et al. (2012)              | North Wales                   | Presence / absence of forestry                             | Pore waters                      | -19% - 111% (average 45%)      |
| Howson et al. (2021)             | Flow Country                  | Presence / absence of forestry                             | Pore waters                      | ~ 66%                          |



|                            |                               |  |             |                           |
|----------------------------|-------------------------------|--|-------------|---------------------------|
| Howson et al. (2021)       | Central Scotland (raised bog) | Presence / absence of forestry               | Pore waters | ~14%                      |
| Flynn et al. (2022)        | Ireland                       | Presence / absence of forestry               | Pore waters | ~400%                     |
| Gaffney et al. (2018)      | Flow Country                  | Presence / absence of forestry               | Ditch       | ~ 100%                    |
| Flynn et al. (2022)        | Ireland                       | Presence / absence of forestry               | Stream      | No significant difference |
| Shah et al. (2021)         | Flow Country                  | Presence / absence of forestry – time series | Stream      | No significant difference |
| Cummins and Farrell (2003) | Ireland                       | Presence / absence of forestry               | Stream      | ~25%                      |

263

264 The presence of forestry on peat soils in a UK and Irish context is associated with higher pore water  
 265 DOM concentrations across the four studies covered in this review (Table 2), with a mean difference  
 266 of approximately 130%. The exception to this pattern was found in spruce plantations in north Wales  
 267 where DOM concentrations in pore waters were 19% lower than in adjacent blanket bog (Gough et  
 268 al., 2012). We found only one study (Gaffney et al., 2018) comparing DOM concentrations at a ditch  
 269 scale between forested and intact blanket bog areas, with DOM concentrations being approximately  
 270 100% higher in ditches draining the forested areas. At the stream scale the presence of forestry on  
 271 peat had less clear cut impacts on DOM concentrations, with two out of three studies reporting no  
 272 significant difference between streams draining catchments with forestry and intact blanket bogs  
 273 (Shah et al., 2021; Flynn et al., 2022), and the third showing an DOM concentrations approximately  
 274 25% higher in a stream draining a forested catchment compared to a blanket bog catchment (Cummins  
 275 and Farrell, 2003).

276 Tree felling tends to produce larger increases in DOM, though the effects are not universal across  
 277 studies and locations. At the stream scale three of five studies reported increases following felling  
 278 (Cummins and Farrell, 2003; Zheng et al., 2018; Shah and Nisbet, 2019), with a mean increase of  
 279 approximately 43%, although the two studies in the Thurso catchment showed no change (Muller et  
 280 al., 2015) and a 6% decrease in concentrations (Muller and Tankere-Muller, 2012), which was  
 281 attributed to the success of buffer strips between the plantation and the monitored stream. At the  
 282 ditch scale the mean increase in DOM concentrations was nearly 200% (ranging from a 50% increase  
 283 to a 500% increase, see Table 2) (Cummins and Farrell, 2003; Muller and Tankere-Muller, 2012; Muller  
 284 et al., 2015; Gaffney et al., 2018).

285 There has been comparatively little research on the effects of forest presence on the treatability of  
 286 DOM, although Gough et al. (2012) evaluated DOM concentrations and SUVA<sub>254</sub> values in waters  
 287 draining catchments forested with different tree species. They found that pore water leachates from  
 288 pine and larch plantation yielded particularly high DOM concentrations relative to a blanket bog  
 289 control (19 and 13 mg L<sup>-1</sup>, respectively, compared to 9 mg L<sup>-1</sup>). Leachates also had lower SUVA<sub>254</sub> values  
 290 (1.2 and 2.4 respectively, compared to 3.3 L mg<sup>-1</sup> m<sup>-1</sup>). This would suggest that DOM leaching from  
 291 plantations dominated by these tree types may be less easily treatable than DOM from blanket bogs.  
 292 Similarly, samples taken from Scottish blanket and raised bog sites (Howson et al., 2021) found that  
 293 SUVA<sub>254</sub> values were lower from forested sites, again suggesting that forestry on peat results in less  
 294 aromatic, hydrophobic DOM that may be less easily removed via conventional coagulation.



295 Recently there have been attempts to restore previously afforested fen and bog peatlands in parts of  
296 Europe and North America under what is often referred to as ‘forest-to-bog’ restoration (Chimner et  
297 al., 2017; Andersen et al., 2017). Although still a relatively new practice within the UK, this type of  
298 restoration has been carried out for 18 years in the Flow Country in northern Scotland, and national  
299 policies on peat restoration may lead to its expansion in the future. Some of the studies listed in Table  
300 2 (Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018; Shah and Nisbet, 2019;  
301 Gaffney et al., 2020; Howson et al., 2021; Shah et al., 2021) monitored the impacts of felling as part  
302 of ongoing forest-to-bog restoration monitoring, with the main differences in management being that  
303 the trees were felled to waste (the practice of leaving felled trees *in-situ* to rot) and there was less  
304 ground disturbance at the site compared with the use of machinery to extract felled timber (Gaffney,  
305 2017). However, the practice of felling trees to waste has been suggested to provide a potential  
306 additional DOM source as the trees slowly decompose (Muller et al., 2015), with mulched fallen trees  
307 providing a major source of water soluble DOM (Howson et al., 2021).

308 As bog vegetation regenerated in the Flow Country, DOM concentrations reduced from elevated levels  
309 towards those seen in forest control areas, although the time frame for complete recovery to pre-  
310 intervention levels is to date inconsistent, with some areas showing elevated DOM in the restoration  
311 sites compared to the control sites after 17 years (Gaffney et al., 2018). However, in others DOM  
312 concentrations had returned to those seen in intact blanket bog within the same time frame (Howson  
313 et al., 2021), or were showing inconsistent effects across sub-catchments, with the most upstream  
314 catchments showing increased DOM concentrations compared to bog controls, an effect not seen  
315 further downstream (Pickard et al., 2022). Other studies have reported shorter-term increases in DOM  
316 (~4-5 years), including an assessment of forest -to-bog restoration of a Scottish lowland raised bog  
317 area, Flanders Moss, where stream water baseline DOM levels were reached within two years at one  
318 site (Shah, 2018). In a Finnish study of the impacts of forest to mire restoration, a short-term peak in  
319 pore water DOM concentration following initial restoration activity was followed by a return to  
320 reference concentrations within six years (Menberu et al., 2017).

321 Management of peatland for conifer plantation increases DOM concentrations in pore waters and  
322 streams, both during site establishment, potentially during the forest growth, and again as the trees  
323 are felled (by up to 500%) (summarised in Table 3). Forest to bog restoration as a method of land  
324 management produces short-term increases in DOM concentrations while trees are felled and brash  
325 remaining on site decomposes. However, given a long enough timeframe, DOM concentrations appear  
326 to reduce back towards levels seen from comparable control locations. Water companies should note  
327 that this time frame can be up to 20 years in blanket bogs, a time frame considerably longer than the  
328 standard funding cycle.

#### 329 **2.4. Managed burning**

330 Managed burning of peatland vegetation (Figure 4) (primarily burning heather for grouse moor  
331 management) is a contentious issue within peatland conservation and management (e.g. Davies et al.,  
332 2016) and has been extensively reviewed over the past decade, particularly in relation to the impacts  
333 on DOM (Worrall et al., 2010; Holden et al., 2012; e.g. Brown et al., 2015), and most recently by Harper  
334 et al. (2018). There is little evidence within these reviews to suggest that DOM concentrations or  
335 colour increase within pore water at the plot scale following managed burns. A recent study showed  
336 no change in DOM concentrations following low and high intensity burning (Grau-Andres et al., 2019),  
337 and in previous studies plot scale DOM concentrations were unchanged (Clay et al., 2009; Clay et al.,  
338 2012; Worrall et al., 2013) or decreased (Worrall et al., 2007a). At the catchment scale it has been  
339 suggested that managed burning contributes to increases in water colour and DOM concentrations  
340 (Clutterbuck and Yallop, 2010; Yallop et al., 2010; Ramchunder et al., 2013). Burning as a management



341 practice is designed to ensure that there is a mosaic of different aged heather habitat so it seems  
 342 plausible that these effects are linked to changes in vegetation cover. As previously discussed *C.*  
 343 *vulgaris* produced higher amounts of DOM than *Sphagnum* in the laboratory (Ritson et al., 2016) and  
 344 at plot scale (Armstrong et al., 2012). It is also worth noting that Evans et al. (2017b) found that a  
 345 wildfire in Northern Ireland resulted in a temporary reduction of DOM concentrations in a  
 346 downstream monitoring lake, which was attributed to re-acidification of catchment soils following the  
 347 fire.



348  
 349 **Figure 4: Burning of vegetation on peat in North Wales (Photo: Chris Evans).**

350

351 **Table 3: summary of the published impacts of catchment management activities on DOM concentrations and treatability,**  
 352 **focussing on those studies relevant in a UK and Irish context. Numbers in brackets refer to the number of studies showing**  
 353 **that effect in each case. Colour coding shows whether the overall conclusion is that effects are positive (green), no /**  
 354 **limited change (yellow), or negative (red).**

| Catchment intervention        | Impact on DOM concentration  | Impact on DOM treatability  |
|-------------------------------|--|---|
| Ditch blocking                | <b>Increase (2)</b> (Worrall et al., 2007b; Haapalehto et al., 2014)<br><b>No change (8)</b> (O'brien et al., 2008; Gibson et al., 2009; Armstrong et al., 2010; Wilson et al., 2011; Urbanova et al., 2011; Turner et al., 2013; Strack et al., 2015; Evans et al., 2018)<br><b>Decrease (5)</b> (Wallage et al., 2006; Holl et al., 2009; Armstrong et al., 2010; Haapalehto et al., 2014; Menberu et al., 2017) | <b>No change (5)</b> (Glatzel et al., 2003; Strack et al., 2015; Gough et al., 2016; Lundin et al., 2017; Peacock et al., 2018) |
| Revegetation to grass species | <b>Increase (2)</b> (Qassim et al., 2014; Ritson et al., 2016)<br><b>No change (4)</b> (Parry et al., 2015; Pilkington et al., 2015; Stimson et al., 2017; Alderson et al., 2019)  | <b>Decrease (1)</b> (Ritson et al., 2016)   |



|  |    |   |   |
|--|----|---|---|
| Revegetation heather                   | to | <b>Increase (2)</b> (Armstrong et al., 2012; Ritson et al., 2016)<br><b>No change (1)</b> (Parry et al., 2015)  | <b>Decrease (1)</b> (Ritson et al., 2016)                     |
| Revegetation <i>Sphagnum</i>           | to | <b>Decrease (1)</b> (Armstrong et al., 2012)  | <b>Improve (1)</b> (Ritson et al., 2016)                      |
| Forest presence                        |    | <b>Increase (5)</b> (Cummins and Farrell, 2003; Gough et al., 2012; Gaffney et al., 2018; Howson et al., 2021; Flynn et al., 2022)<br><b>No change (2)</b> (Shah et al., 2021; Flynn et al., 2022)  | <b>Decrease (2)</b> (Gough et al., 2012; Howson et al., 2021) |
| Clearfell and forest to bog conversion |    | <b>Increase (6)</b> (Cummins and Farrell, 2003; Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2018; Zheng et al., 2018; Shah and Nisbet, 2019)<br><b>No change (3)</b> (Muller and Tankere-Muller, 2012; Muller et al., 2015; Gaffney et al., 2020) | <b>Decrease (1)</b> (Zheng et al., 2018)                      |
| Managed burning                        |    | <b>Increase (3)</b> (Clutterbuck and Yallop, 2010, Yallop et al., 2010, Ramchunder et al., 2013)<br><b>No change (4)</b> (Clay et al., 2009; Clay et al., 2012; Worrall et al., 2013; Grau-Andres et al., 2019)<br><b>Decrease (1)</b> (Worrall et al., 2007a)              |   |

355

356

### 357 3: Catchment management impacts on downstream DOM processing

358 As indicated by Table 3, there remain considerable knowledge gaps in the area of effects of peatland  
 359 restoration on raw water DOM concentrations and treatability. This review highlights that both  
 360 revegetation of bare peat (particularly to *Sphagnum* dominated bog) and ditch blocking have been  
 361 associated with decreased DOM concentrations within pore waters and ditches at the location  
 362 restoration occurs. The available evidence also suggests, again at this local scale, that plantation  
 363 forestry presence and felling tend to lead to increasing DOM concentrations and potentially reduced  
 364 treatability of exported DOM. However, the evidence for impacts at the stream scale is more  
 365 equivocal. In the published literature we have been unable to find experimental evidence  
 366 incorporating local changes in water chemistry in the vicinity of interventions with downstream DOM  
 367 processing to show whether water quality effects are detectable at the point of abstraction for water  
 368 treatment works. This extension beyond the plot and hillslope scale represents a significant gap in  
 369 current understanding, as DOM processing continues within the aquatic environment downstream of  
 370 peatland restoration sites.

371 DOM is not conservatively mixed through rivers and lakes but is subject to both biotic and abiotic  
 372 processing, which change both concentrations and chemical structure (e.g. Tranvik et al., 2009). Loss  
 373 pathways for DOM include: respiration (Koehler et al., 2012; Stets et al., 2010), sedimentation (Einola  
 374 et al., 2011; Von Wachenfeldt and Tranvik, 2008), photo-oxidisation (via UV radiation) (Moody et al.,  
 375 2013; Koehler et al., 2014) and flocculation with naturally-occurring aluminium and iron (Mcknight et



376 al., 1992; Koehler et al., 2014). DOM is generated within lakes and reservoirs via photosynthesis  
377 (production of algal exudates and release via cell lysis) and through processing of particulate matter  
378 (Tranvik et al., 2009) so that DOM concentrations at the point of abstraction from reservoirs represent  
379 the sum of these removal and generation processes.

380 DOM produced via these processes is relatively transparent and hydrophilic in comparison with DOM  
381 generated by organic rich soils, and thus presents different challenges for treatment, particularly as  
382 the hydrophilic DOM is not easily removed through coagulation (Matilainen et al., 2010) and may lead  
383 to the need for additional capital investment in order to effectively reduce residual DOM in drinking  
384 water.

385 Importantly, in-reservoir algal production, and hence within-reservoir generation of DOM, is often  
386 limited by the availability of phosphorus, nitrogen or both. Hence, waterbodies with high  
387 concentrations of inorganic nutrients, either delivered externally from their catchments or re-released  
388 internally from sediments, are likely to generate additional DOM within the water column  
389 (Feuchtmayr et al., 2019; Evans et al., 2017a). Further, evidence is growing on the importance of lake  
390 and reservoir bed sediments as a direct source of DOM to the water column, with reducing conditions  
391 occurring during stratification of lakes and reservoirs causing redissolution of previously sedimented  
392 organic matter (Peter et al., 2017).

393 In their assessment of DOM in lake inflows and outflows, including those of several reservoirs, Evans  
394 et al. (2017a) concluded that any measures that can reduce N and P export from the catchment or  
395 release from sediments, or which can strip nutrients from the water column, could provide effective  
396 mitigation for high DOM concentrations by reducing algal DOM production. For example, measures  
397 for reducing nutrient loading to lakes from the catchment (Spears and May, 2015) and bed sediments  
398 (Spears et al., 2016) can be effective in reducing algal biomass in UK lakes - although the effects on  
399 algal DOM production in relation to drinking water treatment require further assessment. To date,  
400 this option has rarely been considered in relation to DOM-related treatment issues, although nutrient  
401 management is often considered in relation to other (taste and odour) related treatment issues. The  
402 available evidence therefore suggests that measures to reduce taste and odour problems could deliver  
403 co-benefits in relation to DOM levels.

404 A future research focus should therefore include answering the question of whether measures which  
405 reduce in-reservoir DOM production, and/or favour in-reservoir DOM removal, may be as – or perhaps  
406 more – effective than measures aimed at reducing DOM export from the terrestrial catchment. For  
407 lakes acting as DOM sources, management regimes that reduce nutrient (primarily N and P) inputs  
408 from catchments and/or internal loading of nutrients and DOM from sediment to the water column  
409 may be more effective than those focussed on reducing inflowing DOM concentrations directly.  
410 Restricting nutrient inputs is also likely to reduce organic nitrogen concentrations relative to organic  
411 carbon concentrations, which has the added benefit of reducing the formation potential of  
412 nitrogenous DBPs. In addition, Birk et al. (2020) suggest that rising DOM loading from the catchment  
413 may act to dampen algal responses to nutrients through light limitation of primary production within  
414 some European lakes. If, by extension, this also limits in-reservoir DOM production then catchment  
415 interventions that relieve DOM load, but not nutrient load, may result in an increase in in-reservoir  
416 DOM production. Even in the case of less nutrient-rich water bodies, it appears that reducing N and P  
417 loadings would be beneficial for water treatment as this is likely to restrict additional DOM formation.

418

419





420 **4. Conclusions**

421 Increasing DOM concentrations in reservoirs draining catchments dominated by peat soils are a cause  
422 for concern for water companies, from both regulatory compliance and treatment cost perspectives.  
423 To a large extent this increase appears to be a long-term large-scale phenomenon, driven by  
424 improvements in air quality, and thus beyond the direct control of catchment managers. While it is  
425 likely that atmospheric deposition-driven changes in DOM are beginning to level off it is also feasible  
426 that future climate change could also contribute to further increases in concentrations. The  
427 production of DOM in peat soils, for example, is known to be highly sensitive to soil temperature (Clark  
428 et al., 2009) while long-term increases in precipitation have also been linked with DOM increases (De  
429 Wit et al., 2021).

430 To date, catchment management initiatives, while providing clear overall restoration benefits for  
431 peatlands, do not appear to have produced a generalised solution to the challenge of stabilising or  
432 reversing DOM increases in drinking water sources, although there is some evidence that catchment  
433 interventions may provide benefits for DOM export in specific cases. We have identified some areas  
434 where there is mounting evidence for the importance of certain catchment interventions. In  
435 particular, short-term effects of forest felling and harvesting activities have repeatedly shown to have  
436 detrimental effects on DOM concentrations. Catchment interventions may also provide co-benefits  
437 such as reductions in sediment and particulate organic carbon loadings to reservoirs, reductions in  
438 greenhouse gas emissions and enhancement of biodiversity, which may justify the implementation of  
439 measures when all benefits are combined, even if the direct benefits for DOM alone may not.

440 Our review of the published literature highlights a major current evidence gap of importance to the  
441 water industry: the quantification of the impacts of catchment management on DOM concentration  
442 and treatability at the point of abstraction. The size of the research challenge with respect to the  
443 necessary spatial and temporal scale and need for robust Before-After-Control Impact (BACI) of any  
444 field experiment cannot be underestimated, and perhaps explains in part the current dearth of reliable  
445 information. This is particularly pertinent when changes in water chemistry may take a number of  
446 years to be seen, depending on catchment dynamics and within reservoir processes. Our review has  
447 highlighted that in-reservoir biogeochemical processes should be considered alongside catchment  
448 land management approaches by the water industry to maximise the potential for upstream solutions  
449 to rising DOM concentrations in source waters.

450 Catchment management measures that reduce in-reservoir DOM production, or favour in-reservoir  
451 DOM removal, may be as or more effective, particularly with respect to more nutrient rich systems.  
452 More generally, it seems clear that catchment management should be considered part of the response  
453 strategy to rising DOM levels, and as part of a process to improve the resilience of source waters, not  
454 a panacea. It is therefore important that the water industry also develops effective tools to predict  
455 likely future DOM levels resulting from a combination of large-scale and catchment-scale drivers, to  
456 ensure that investments in both catchment management measures and DOM treatment  
457 infrastructure are correctly targeted, integrated, timely and cost-effective.

458

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460

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463

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