# Geographical controls and anthropogenic impacts on dissolved organic carbon from mountainous rivers: Insights from optical properties and carbon isotopes

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Abstract. Mountainous rivers (MRs) are one of the critical systems in transporting dissolved organic carbon (DOC) from terrestrial environments to downstream ecosystems. However, how geographical factors and anthropogenic impacts control the composition and export of DOC in mountainous rivers remains largely unclear. Here, we explore DOC dynamics in three subtropical mountainous catchments (i.e., the Yinjiang, Shiqian, and Yuqing catchments) in southwest China which are- heavily influenced by anthropogenic activities. Water chemistry, stable and radioactive carbon isotopes of DOC ( $\delta^{13}C_{DOC}$  and  $\Delta^{14}C_{DOC}$ ) and optical properties (UV absorbance and fluorescence spectra) for river water were employed to assess the biogeochemical processes and controlling factors of on riverine DOC. The radiocarbon ages of the DOC in the Yinjiang River varied widely, ranging from 928 years before present to modern. Both allochthonous and autochthonous sources had an important effect onriverine DOC export. Both allochthonous and autochthonous organic carbon were important sources of riverine DOC. Results from carbon isotopes suggested that the in stream processing of particulate organic carbon (POC) is also an important source of DOC. DOC in eCatchments with higher channel slope gradients and lower annual air temperature was-were characterized by lower <u>DOC</u> concentrations, enriched  $\delta^{13}C_{DOC}$  and  $\Delta^{14}C_{DOC}$  of DOC, and more aromatic dissolved organic matter (DOM), which was were oppositedistinet from to those with gentle channel slopes and higher temperature. Variabilities in DOC concentrations and  $\delta^{13}C_{DOC}$  were also regulated explained by land use with the DOC in urban and agricultural land, showing that higher DOC concentrations and morewith <sup>13</sup>C-depleted characters were observed in urban and agricultural land use areas. FurthermoreMoreover, DOM in catchments with a higher proportion of urban and agricultural land was less aromatic, less recently produced and exhibited had a higher degree of humification in catchments with a higher proportion of urban and agricultural land use area. This research highlights the significance of incorporating geographical controls on DOC sources and anthropogenic impacts on DOM composition into the understanding of the MRs to better understand their DOC dynamics and quality of DOM in mountainous rivers which are globally abundantdissolved organic matter (DOM).

# **1** Introduction

Dissolved organic carbon (DOC) plays a fundamental role in the riverine carbon cycle with approximately 0.26 Pg (1Pg =10<sup>15</sup>g) of DOC exported from global rivers to the ocean each year, accounting for more than half of the total organic carbon 35 export (Cai, 2011; Raymond and Spencer, 2015). Owing to continued climate warming and rapidly land use changes, it is important to gain a better understanding of the spatial and temporal dynamics of DOC transport in river systems (Butman et al., 2014; Fasching et al., 2016; Zhong et al., 2021). For example, elevated temperature has a dominant effect on DOC concentration and dissolved organic matter (DOM) composition by changing increasing enhancing decomposition and photochemical 40 degradation rates of DOM (Zhou et al., 2018), contributing to significant CO<sub>2</sub> emissions from inland waters (Raymond et al., 2013). Additionally, DOC provides energy and nutrient sources for aquatic biota (Findlay et al., 1998), adsorbing heavy metals and organic pollutants (Aiken et al., 2011). Riverine DOC can also restrict in-stream primary production by, decreasingaltering light penetration and temperature in the water column, thereby serving and thus restricts primary production and serves as an important determinant of-aquatic ecological and biogeochemical processes in aquatic environments (Ask et al., 2009). Therefore, disentanglingunderstanding the processes controlling riverine DOC dynamics is crucial for a greater understanding of would enrich-

- 45 our knowledge on future aquatic ecosystem functioning and global carbon cycles. Recent advances in spectroscopic techniques, especially the UV-visible spectrophotometry and fluorescence spectroscopy, and widespread application of stable and radiocarbon isotopes on bulk DOC have provided insights into the composition, source, and age of DOM in freshwater ecosystems (Coble, 1996; Fellman et al., 2010; Marwick et al., 2015; Minor et al., 2014; Sanderman et al., 2009). These new techniques, and have led 50 to significant improvements in our understanding of the biogeochemical processes of DOC in river systems, which will continue

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to be effective tools for researchers to gain deeper insights into the riverine carbon cycle.

Recent studies have shown that geographical (e.g., elevation and channeleatehment slope) controls on DOC export may also be important for riverine carbon cycling (Connolly et al., 2018; Li Yung Lung et al., 2018). It was found that Compared with high-relief catchments, flow relief regions with longer water residence time, stronger hydrologic connectivity to rivers, and greater development of wetlands, have different catchment characteristics compared with high-relief catchments, and thus are typically characterized by greater releases of DOC ...... influence the release of DOC and the eventual composition of exported DOM (Harms et al., 2016; McGuire et al., 2005). A recent global study-also found that increasing elevation was associated with greater protein-like fluorescence DOM and lower specific ultraviolet absorbance at 254 nm (SUVA254), which indicated the effects of enhanced UV radiation and accumulation of autochthonous DOM at higher elevation areas with low temperatures (Zhou et al.,

60 2018). Specifically, DOC supply is likely regulated by the amount of soil organic carbon (SOC) stock with various catchment characteristics, and constrained by shallow soil depth and fast-high water flow velocity (Lee et al., 2019). In addition, the varying extent of hydrologic connectivity due to changing water residence time with different channeleatchment slopes may have significant influences on DOC dynamics (Connolly et al., 2018). Although geographical characteristics of catchment have proved to be useful in estimating DOC concentrations (Harms et al., 2016; Mzobe et al., 2020), the underlying mechanisms of small

- mountainous rivers (SMRs) that determine regulate their DOC dynamics remain poorly understood. Therefore, a greater-deep understanding of the geographical controls on DOC dynamics is strongly urgently needed. Studies on DOC are rarely reported insubtropical-Subtropical small mountainous riversSMRs which \_\_are characterized by steep channel\_slopes, high erosion rates, frequent rainfall events in wet seasons, and rapid change in hydrology during these rainfall events (Lee et al., 2019; Leithold et al., 2006; Qiao et al., 2019), whilehowever, the DOC dynamics in these rivers remain poorly studiedare still rarely reported. Moreover, Rrunoff, channeleatehment slope gradient, and SOC have been recognized as good predictors for DOC export in small mountainous riversSMRs (Lee et al., 2019). HoweverYet, the effectiveness of these factors, combined with land use patterns, in regulatinginfluencing the DOC dynamic is still far from well-understood (Lee et al., 2019; Moyer et al., 2013).
- Anthropogenic impacts, such as urban and agricultural land use, have led to significant alterations to the flux of DOC and the fate and quality of DOM of in global streams and rivers (Coble et al., 2022; Pilla et al., 2022; Wilson and Xenopoulos, 2008; 75 Xenopoulos et al., 2021). It was found that a Agricultural streams and rivers arewere dominated by microbial-derived, protein-like DOM, while urban freshwater ecosystems arewere characterized by microbial, humic-like, and autochthonous DOM (Williams et al., 2016; Xenopoulos et al., 2021). These effects are mainly due to alterations in the soil characteristics and thus loads and forms of carbon input into soil carbon pool, eventually affecting the aquatic carbon pool (Stanley et al., 2012). On the scale of years to decades, anthropogenic impacts canusually accelerate terrestrially sourced DOC export to aquatic ecosystems (Xenopoulos et al., 80 2021). On the scale of decades to centuries, however, anthropogenic impacts would shift natural DOM to forms of low-molecular weight, enhanced redox state with potentially increased lability, or increased aromaticity due to warmer climate and altered hydrology (Stanley et al., 2012; Xenopoulos et al., 2021). In addition, a warmer climate can enhance microbial activity and the in-stream production of DOM (He et al., 2016), and may simultaneously increasepromote the microbial degradation rate of soil DOM, thus reducing the potential input of DOM into rivers (Voss et al., 2015). Consequently, this will increase, increasing the 85 relative contribution of autochthonous DOM. HoweverNevertheless, elevated temperature can also enhance photo-chemical degradation and, reduce autochthonous microbial humic-like DOM (Henderson et al., 2009; Zhou et al., 2018), and-thus-may potentially limiting the accumulation of autochthonous DOM in inland waters. These two seemingly contradictory findings are due to the complex effect of temperature on organic matter. Clearly, As studies taking anthropogenic impacts on DOC into consideration was a recent pursuit progess (Wilson and Xenopoulos, 2008), along with the complex mechanisms and changing 90 influencing factors for its biogeochemical processes, it remains largely unknown how these impacts have regulated riverine DOC dynamics due to their complex regulating mechanisms and changing influencing factors.

Advances in spectroscopic techniques, especially UV-visible spectrophotometry and fluorescence spectroscopy, have provided insights into the composition and sources of DOM in recent decades (Coble, 1996; Fellman et al., 2010; Minor et al., 2014). In addition, measurements of stable and radiocarbon isotopes on bulk DOC have also been widely utilized to characterize DOC in freshwater ecosystems (Marwick et al., 2015; Sanderman et al., 2009). All these techniques have led to significant improvements-

in our understanding of the biogeochemical processes of DOC in river systems.

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In this study, we evaluated how geographical controls (i.e., mean drainage slope channel slope, mean drainage elevation, and

annual air temperature) and anthropogenic impacts (i.e., land use patterns) affect the DOC dynamics and DOM characteristics in three subtropical catchments that contain many small to medium mountainous rivers in southwest China. Our prior observations

- 100 from these catchments showed that their-particulate organic carbon dynamics (POC) were highly affected by in-stream photosynthesis (Chen et al., 2021). <u>Here, Wwe further investigate the role of autochthonous processes in modulating their on DOC dynamics in this study</u>. We hypothesized that <u>catchments with a higher proportion of agricultural and urban</u> land use, together with more gentle channel slope, and geographical characteristics lower elevation-area would exhibiteharacterize by higher riverine DOC concentrations, considerably change the DOC dynamics and. We further hypothesize that there will be-showed a large
- 105 difference on DOM quality and carbon isotopes between these catchments and those with compared with other catchments that less influences byd-by agricultural and urban land uses but-with steeper channel slopes and higher elevation. Relationships of DOC concentrations, stable and radiocarbon isotopic values of DOC (radiocarbon isotopes were only available for nine sampling sites in the Yinjiang River), DOM quality assessed through optical metric, nutrient concentrations, and land use patterns versus geographical characteristics (i.e., mean drainage slopechannel slope, mean drainage elevation, and annual air temperature) were 110 examined. This study allows us to gain a deeper insight into the geographical controls and anthropogenic impacts on the DOC
  - dynamics and DOM quality in the subtropical, anthropogenically influenced small mountainous rivers SMRs.

#### 2 Materials and Methods

#### 2.1 Study area

The three rivers are tributaries of the Wujiang River (Fig. 1a), the largest tributary on the south bank of the upper Changjiang 115 River. The drainage area is 1231, 2101, and 1561 km<sup>2</sup> for the Yinjiang River (Y), Shiqian River (S), and Yuqing River (Q), respectively. Catchment characteristics (e.g., mean drainage elevation, annual air temperature, mean drainage slope channel slope, and proportion of urban and agricultural land uses) for the sub-catchments were determined-calculated using ArcGIS (version 10.2). The mean drainage elevation elevation of these three catchments ranges from 340 m to 2424 m with the lowest and highest elevation both reported are both exist in the Yinjiang River catchment, showing a great change of thein relief (Figs. 1a and S1a). 120 Similar to elevation, the Yinjiang River catchment has a greater variation in mean drainage slopechannel slope (from 14.3°-to 25.5°), while the channels<del>most of the catchments</del> in the Shiqian and Yuqing river catchments have<del>ve</del> a mean drainage slope channel slope of approximately 20°, except the segment-at the sub-catchment above site S8 (13.9°; Figs. 1b and S1b). Carbonate rock is widely distributed in the three catchments, accounting for a large proportion of the exposed strata (Han and Liu, 2004). The rRemaining areas are mainly covered by clastic rocks, igneous rocks, and low-grade metamorphic rocks. Forest, 125 agriculture, and urban land use/coverareas are the three dominant land uses in these studied catchments (Fig. 1c). Forest is generally distributed in high-elevation regions, while urban and agricultural land uses are mainly located in low-elevation regions-(Fig. 1). The Pproportion of urban and agricultural land uses in the Yinjiang and River catchment and the Shiqian Rriver catchments is<sub>5</sub> from 4.5% to 46.5% and from 9.6% to 41.3%, respectively, showing a large variation relative to the Yuqing River



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Figure 1. Map of the study area. (a) Overview of the sampling sites and elevation characteristics in the three study catchments, including the Yinjiang, Shiqian, and Yuqing catchments, (b) spatial distribution in channel slope, and (c) spatial variation in land-use patterns.

Surface water samples (n = 28) along the mainstem and major tributaries of the Yinjiang River, Shiqian River, and Yuqing River

## 2.2 Field sampling

140 and spring water samples (n = 4) were collected in September 2018 (Fig. 1). During the sampling period, two water samples (sites Y12 and Y15) were significantly affected by rainfall events, and an additional sample was collected at site Y12 before the rainfall event as it is proximityclose to the hydrological station. Unless stated otherwiseIf not specifically stated, the data at site Y12usedin the study is are based on the sample collected after rainfall event at site Y12 due to the availability of carbon isotopes. pH, water temperature, electrical conductivity (EC), and dissolved oxygen (DO) were measured by a multi-parameter water quality 145 probe (WTW, pH 3630/Cond 3630, Germany) in the field. For the analysis of ion concentrations, total phosphorus (TP), ammonium (NH4<sup>+</sup>-N), and total nitrogen (TN), water samples were filtered through 0.45 µm cellulose acetate membranes. Additionally, wWater samples for the concentrations and isotopes of DOC and DOM absorbance and fluorescence were filtered

through pre-combusted glass fibre filters (Whatman, 0.7 µm). The filtered water was stored in a Milli-Q water pre-washed low-density polyethylene container at low temperature (4°C) in the dark before optical properties analysis and acidified by

150 phosphoric acid to pH=2 for DOC analysis. Water samples were also filtered for determining dissolved inorganic carbon (DIC) through titration with hydrochloric acid and analyzing POC using retained suspended particles on the filter membranes.POC-analysis with detailed Moreover, there were additional the water samples were filtered through 0.22 µm cellulose-acetate filter membranes were used to determine water isotopes (δ<sup>18</sup>O and δD). Detailed information on sampling methods wasere provided in Chen et al. (2021) and Zhong et al. (2020).

# 155 **2.3 Laboratory analysis**

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The main cations (K<sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, and Mg<sup>2+</sup>) were measured by inductively coupled plasma emission spectrometer (ICP-OES), and the main anions (Cl<sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, and NO<sub>3</sub><sup>-</sup>) were measured by ion chromatography (Thermo Aquion<sub>3</sub>) (\_\_Chen et al., 2020). The normalized inorganic charge balance is within 5%, indicating the accuracy of the measured data. The concentrations of TP, TN, and NH<sub>4</sub><sup>+</sup>-N were analyzed using an automatic flow analyzer (Skalar Sans Plus Systems). DOC concentrations were determined with—on a total OC analyser (OI Analytical, Aurora 1030W, USA) with detection limit at 0.01 mg L<sup>-1</sup>. Water isotopes were measured by a Liquid Water Isotope Analyzer (Picarro L2140-i, USA) with measurement precisions atwere  $\pm$  0.3‰ for  $\delta^{18}$ O. The above analyses were carried out at the Institute of Surface Earth System Science, Tianjin University, and the relative deviations of the results were less than 5%.

For the determination of stable carbon isotope and radiocarbon isotope of DOC ( $\delta^{13}C_{DOC}$  and  $\Delta^{14}C_{DOC}$ ), water samples were first concentrated using a rotary evaporation and then oxidized through the wet oxidation method (Leonard et al., 2013). In thise study, nine water samples collected from the Yinjiang River were selected for  $\Delta^{14}C_{DOC}$  analysis as the Yinjiang River catchment has the greatest change in geographical characteristics (i.e., elevation and channel slope) and the highest proportion of agricultural and urban land uses among the three-studied catchments. The generated CO<sub>2</sub> was purified in a vacuum system for  $\delta^{13}C_{DOC}$  and  $\Delta^{14}C_{DOC}$  analyses, respectively.  $\delta^{13}C_{DOC}$  was directly determined by the MAT 253 mass spectrometer with an analysis accuracy of  $\pm 0.1\%$ . For  $\Delta^{14}C_{DOC}$  analysis, the purified CO<sub>2</sub> was transformed into graphite following the same method of  $\Delta^{14}C_{POC}$  analysis (Chen et al., 2021) and measured by an accelerator mass spectrometry (AMS) system with an analytical error of  $\pm 3\%$ .

DOM absorbance of river water samples was measured from 250 to 750 nm using a UV (ultraviolet)-visible spectrophotometer (UV-2700, Shimadzu) with a 1 cm quartz cuvette. The UV-visible spectrophotometer was blanked with Milli-Q water prior to data collection. Decadic absorbance values were used to calculate absorption coefficients as belowfollow (Poulin et al., 2014):

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a_{254} = Abs_{254}/L
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(1)

Where, a<sub>254</sub> is the absorption coefficients (cm<sup>-1</sup>), Abs<sub>254</sub> is the absorbance at 254 nm, and L representsis the path length (cm). Specific UV absorbance at 254 nm (SUVA<sub>254</sub>) was determined according to<del>as follow (</del>Weishaar et al.<del>;</del> (2003)-(; Table 1):

 $SUVA_{254} = a_{254}/DOC$ .-concentration.

DOM absorbance of river water samples was measured from 250 to 750 nm using a UV (ultraviolet)-visible spectrophotometer (UV-2700, Shimadzu) with a 1 cm quartz cuvette. Specific UV absorbance at 254 nm (SUVA254) was determined by the UV absorbance and DOC concentration (Weishaar et al., 2003) (Table 1). DOM fluorescence was determined with a fluorescence spectrophotometer (F-7000, Hitachi, Japan) to quantify humic-like, fulvic-like, and protein-like fluorescencessubstances (Fellman et al., 2010). Refrigerated water samples for determine DOM absorbance and fluorescence 185 were analyzed within one week after sampling. The fate of humic-like fluorescencessubstances may be self-assembly particles or be adsorbed onto minerals, while protein-like fluorescences substances are tightly associated with biological processes, and biodegraded into inorganic matter (Fellman et al., 2010; He et al., 2016). The excitation wavelengths ranged from 220 to 400 nm at 5 nm increments and emission wavelength from 280 to 500 nm at 2 nm increments. Blanks were measured daily with the same settings to correct excitation-emission matrices (EEMs). Parallel factor analysis (PARAFAC) was performed using N-way toolbox 190 in Matlab (MathWorks, USA) to determine peaks (Andersson and Bro, 2000; Mostofa et al., 2019; Stedmon and Bro, 2008). Detailed procedures and criteria for application and validation of PARAFAC model are available in Yi et al. (2021). Identified PARAFAC model components were further compared with-to relevant published and reported fluorophores in the OpenFluor database (Murphy et al., 2014). Several common indices of DOM composition were determined from EEMs, including fluorescence index (FI); (McKnight et al., 2001), humification index (HIX); Ohno, 2002), and freshness index ( $\beta/\alpha$ ); Parlanti et 195 al., 2000)-(; Table 1).

> Index Name Calculation Description Reference  $SUVA_{254} = A_{254} a_{254} / DOC$ An indicator for the degree of aromaticity. It is Weishaar et al. SUVA254 concentration. A254-a254 is the (2003)positively correlated with aromaticity. decadic UV absorbance at 254 nm. A proxy for DOM source. Higher values (~1.9) Fluorescence McKnight et al. FI = Em450/Em500, at Ex 370 nm. associated with microbial source and lower (2001)index (FI) values (~1.4) correlated with terrestrial source. HIX =Indicator of humification status of DOM. Humification  $\sum 435-480/(\sum 300-345+\sum 435-480),$ Higher HIX values indicate an increasing Ohno (2002) index (HIX) degree of humification. at Ex 254 nm.  $\beta/\alpha = \text{Em}380 \ (\beta) \ / \text{ the Em intensity}$ Higher  $\beta/\alpha$  values are commonly associated Freshness index Parlanti et al. maximum between 420 and 435 nm with increasing contribution of recently (2000) $(\beta/\alpha)$ at Ex 310 nm (α). microbially produced DOM.

Table 1. Description of DOM optical parameters used in this study.

## 2.4. Statistical analysis

- 200 Normality of the data was first examined by a Kolmogorov-SmirnovShapiro-Wilk test using SPSS 26. Normally distributed data were analyszed by one-way ANOVA with Tukey's post-test for multiple comparisons. Nonparametric data with three or more comparisons were made by Kruskal–Wallis test followed by Holm's Stepdown Bonferroni correction. The Mann–Whitney U test was used for comparisons of distributions between two groups. Linear regression was applied using Origin (Pro) 2021 to quantify the relationship of DOC concentrations, DOM properties, carbon isotopes, and ion concentrations versus catchment characteristics
- 205 (i.e., mean drainage slopechannel slope, proportion of different land uses, mean annual air temperature, and mean drainage elevation) to identify the predominant influencing factors ofn DOC dynamics. Moreover, the correlationassociation among water chemistry and catchment characteristics was computed by Pearson's correlation coefficients (#R) by Origin (Pro) 2021. Values are presented as the mean ± standard deviation. All statistical tests were performed at 0.05 significanceconfidence interval level. In addition, all the statistical analyses were performed-once again after data from site Y12 were removed to test the possible skew of
- 210 findings as the sample was significantly affected by rainfall events. If not otherwise-mentioned otherwise, the results from site Y12 did not skew the findings at the significance level of p<0.05.</p>

Statistical analysis, including one-way analysis of variance (ANOVA) and Mann-Whitney tests was carried out using SPSS 26. Linear regression was applied using Origin (Pro) 2021 to quantify the relationship of DOC concentrations, carbon isotopes, and ion concentrations versus mean drainage slope, proportion of different land uses, and mean drainage elevation to identify the influencing factors of DOC dynamics. All statistical tests were performed at 0.05 confidence interval level.

#### **3** Results

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# 3.1 Spatial variations in water chemistry, DOC concentrations, and isotopes of DOC

Both river water and spring water were mildly alkaline, with pH varying from 7.2 to 8.9, and pH in the Yinjiang and Shiqian rivers was higher relative to that in the spring water (Fig. 2a). The average DO showed presented a decreasing similar trend values in between the Yinjiang River, Shiqian River, and Yuqing River, and springs with the majority of the river river water samples being DO supersaturated (Fig. 2b). The Shiqian River had the highest averagea higher water temperature (23.7 °C) than that in compared to the relatively low water temperature in the Yinjiang River and spring water, while the average spring water temperature (20.7 °C) was lower than river water (Fig. 2c). A strong positive correlation was found between EC and  $\delta^{18}$ O for the river water and spring water (Fig. S2a), and the  $\delta^{18}$ O showed an increasing trend from upstream to downstream in the Yinjiang Biver (Fig. S2b)

225 <u>River (Fig. S2b).</u>

The TP concentrations varied significantly in the study area, ranging from 0.03 to 0.27 mg L<sup>-1</sup>, and the average TP concentration was  $0.19 \pm 0.08$  mg L<sup>-1</sup> in the Yuqing River, considerablymuch higher than that in increased from  $0.10 \pm 0.03$  mg L<sup>-1</sup> in the Yuqing River (0.10 ± 0.03 mg L<sup>-1</sup>) to 0.19 ± 0.08 mg L<sup>-1</sup> in the Yuqing River (; Fig. 2f). In addition, the TP concentrations ranged between 0.11 to 0.24 mg L<sup>-1</sup> in the springs, averaging at 0.15 ± 0.06 mg L<sup>-1</sup>. The average NH<sub>4</sub><sup>+</sup>-N

concentrations in the Yinjiang (0.04 ± 0.03 mg L<sup>-1</sup>) and Shiqian (0.07 ± 0.05 mg L<sup>-1</sup>) rivers were higher than those in the Yuqing-River (0.04 ± 0.03 mg L<sup>-1</sup>) and springs (0.03 ± 0.04 mg L<sup>-1</sup>) (Fig. 2g). Within the rivers and springs, the water displayed similar NH4<sup>+</sup>-N concentrations; with the average value ats-of 0.04 ± 0.03 mg L<sup>-1</sup>, 0.07 ± 0.05 mg L<sup>-1</sup>, and-0.04 ± 0.03 mg L<sup>-1</sup> and 0.03 ± 0.04 mg L<sup>-1</sup> in the Yinjiang, Shiqian, Yuqing rivers, and spring water (Fig. 2g). In springs, the average NO<sub>3</sub><sup>-</sup>-N and TN concentrations were 1.93 ± 0.93 mg L<sup>-1</sup> and 2.88 ± 1.30 mg L<sup>-1</sup>, respectively, much-higher than those-the average in the three rivers (1.15 ± 0.36 mg L<sup>-1</sup> for average NO<sub>3</sub><sup>-</sup>-N-concentration and 1.77 ± 0.50 mg L<sup>-1</sup> for <u>TNaverage TN concentration</u>), though there were no significant differences for the overall NO<sub>3</sub><sup>-</sup>-N and TN concentrations betweenof the rivers and springs (Figs. 2h)

and 2i).





Figure 2. Spatial variations in water chemistry in the Yinjiang (Y), Shiqian (S), Yuqing (Q) rivers, and springs. (a) pH, (b) DO, (c) water temperature, (d) DOC, (e) δ<sup>13</sup>C<sub>DOC</sub>, (f) TP, (g) NH<sub>4</sub><sup>+</sup>-N, (h) NO<sub>3</sub><sup>-</sup>-N, and (i) TN. In each box plot, the end of the box represents the 25th and 75th percentiles, the blue solid dot represents the average, the horizontal line inside the box represents the median, and whiskers represent 1.5 times the upper and lower interquartile ranges (IQR). The magenta solid dot represents the outlier (data points outside of the 1.5 interquartile ranges). Letters above the boxes represent significant differences between the grouping of river and/or spring water based on statistical analyses at the significance level of 0.05is with *p*<0.05 (e.g., Y-Spring above panel (a) indicates that represents the pH in river water of the Yinjiang River was significantly different from that in the spring water).</li>

DOC concentrations in the three study rivers varied from 0.36 to 2.85 mg L<sup>-1</sup> with the highest average concentrations in the Yuqing River (1.70 ± 0.04 mg L<sup>-1</sup>)-(; Fig. 2d). The DOC concentrations in spring water were significantly lower than that in the
surface water of the Shiqian and Yuqing rRivers (Fig. 2d), and the average DOC concentration in spring water (0.74 ± 0.30 mg L<sup>-1</sup>) was also lower than the average DOC concentration in the Yinjiang River (1.27 ± 0.66 mg L<sup>-1</sup>), indicating there must be other sources of DOC besides groundwater. Concentrations of DOC were lower in springs (average 0.74 ± 0.30 mg L<sup>-1</sup>) than in the Shiqian and Yuqing river water. An analysis of Pearson's correlation coefficients revealed significant pairwise interdependencies between DOC and catchment characteristics in the correlation matrix (Fig. S3 in the Supplement). There is aA strong negative correlation was found between DOC and averagemean channel slope in the rivers (Fig. 3a). Conversely, the proportion of urban and agricultural land uses displayed a positive correlation with DOC (Fig. 4a). In addition, DOC was positively related to

increasing anthropogenically derived Cl<sup>-</sup> concentrations and NH<sub>4</sub><sup>+</sup>-N concentrations (Figs. 4b and c), indicating the anthropogenic impacts on DOC export.

 $\frac{\text{ForIn regards to the } \delta^{13}\text{C}_{\text{DOC}}, \text{although Tthe average } \delta^{13}\text{C}_{\text{DOC}} \text{ values showed a decreasing trend in the Yinjiang River, Shiqian}}{River, Yuqing River, and springs, averaging at -26.6 ± 1.8‰, -27.5 ± 1.1‰, -27.8 ± 0.9‰, and -29.1 ± 2.7‰, respectively, there were no statistically significant differences on the overall <math>\delta^{13}\text{C}_{\text{DOC}}$  values between the three rivers and springs (Fig. 2e). Unlike DOC, Aa strong negative correlation with mean channel slope was found for  $\delta^{13}\text{C}_{\text{DOC}}$  (Fig. 3b). In addition, there was a strong negative correlation between  $\delta^{13}\text{C}_{\text{DOC}}$  and NO<sub>3</sub><sup>-</sup>-N (Fig. 4d). Moreover,  $\delta^{13}\text{C}_{\text{DOC}}$  was negatively correlated withto DOC concentrations (Fig. 5a), but positively correlated withto  $\delta^{13}\text{C}_{\text{POC}}$  of these three rivers (Fig. 5b).

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The  $\Delta^{14}C_{DOC}$  of the Yinjiang River varied widely from -109‰ to 33‰ with an average of -54.7±39.9‰ (Table 2), which is lower than the global average (-11.5±134‰) (Marwick et al., 2015). The radiocarbon ages of the DOC ranged from 928 years BP (i.e., before present) to present, and the youngest  $\Delta^{14}C_{DOC}$  (33.3‰) was found at site Y12. Similar to-the  $\delta^{13}C_{DOC}$ ,  $\Delta^{14}C_{DOC}$  was positively related to— averagemean channel slope (Fig. 3c), and there was a positive trend-was-found between channel slope and  $\Delta^{14}C_{POC}$  (Fig. 3d). In addition,  $\Delta^{14}C_{DOC}$  was positively correlated withto  $\Delta^{14}C_{POC}$  (Fig. 5c). No significant correlations were found between  $\Delta^{14}C_{POC}$  and proportion of urban and agricultural land uses or ions which reflect-the human disturbances (e.g., anthropogenically derived Cl<sup>-</sup> concentration, NH<sub>4</sub><sup>+</sup>-N, and NO<sub>3</sub><sup>-</sup>-N; Fig. S3).



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Figure 63. Mean drainage slope channel slope (°) controls on (a) DOC concentrations, (b) stable carbon isotopes of DOC ( $\delta^{13}C_{DOC}$ ), (c) radiocarbon isotope of DOC ( $\Delta^{14}C_{DOC}$ ), and (d) radiocarbon isotope of POC ( $\Delta^{14}C_{POC}$ ), and (e) SUVA<sub>254</sub>. The  $\Delta^{14}C_{DOC}$  and  $\Delta^{14}C_{POC}$  are only available for the Yinjiang River. Outliers in orange were excluded from analyses in panels (c) and (d) as they were samples at site Y12 collected after a rainfall event in panels (c) and (d) and sample collected at site S3 due to the highly influence by the road construction, which was evidenced by high POC and TSM concentration (Chen et al., 2021). The statistical test used a significance level of 0.055%.



280 Figure 74. Land use pattern and anthropogenic impacts on DOC concentrations, indicated by relationships between DOC and (a) proportion of urban and agricultural land use, (b) anthropogenic Cl<sup>-</sup> concentration (i.e., <u>Cl<sup>-</sup>anthro,-</sub>calculated as the total Cl<sup>-</sup> concentration minus atmospheric contributed Cl<sup>-</sup> concentration, which is the lowest Cl<sup>-</sup> concentration at site Y5 in the Yinjiang River), (c) NH<sub>4</sub><sup>+</sup>-N concentrations, and (d) relationship between NO<sub>3</sub><sup>-</sup>-N and δ<sup>13</sup>C<sub>DOC</sub> in these three rivers. The statistical test used a significance level of 5%0.05.</u>



**Figure 5.** Scatter plot showing (a)  $\delta^{13}C_{DOC}$  versus  $\frac{1}{DOC}$  in river water, (b) relationship between  $\delta^{13}C_{DOC}$  and  $\Delta^{14}C_{DOC}$  in the Yinjiang River, (c)  $\delta^{13}C_{DOC}$  against  $\delta^{13}C_{POC}$  in the Yinjiang River (Y), Shiqian River (S), and Yuqing River (Q), and (dc) relationship between  $\Delta^{14}C_{POC}$  and  $\Delta^{14}C_{DOC}$  in the Yinjiang River. For panel (b), outlier in orange was excluded from analyses as the sample at site Y12 was collected after a rainfall event. For panel (dc) the DOC with modern age at site Y12 was shown in the top-right corner. The statistical test used a significance level of  $\frac{5\%0.05}{0.05}$ .

River	Samples	$\Delta^{14}C_{DOC}$ (‰)	DOC-Age (yr BP)	SD of DOC-Age (yr BP)	
Yinjiang River	Y1	-92	774	25	
	Y2	-74	616	23	
	Y3	-52	430	27	
	Y5	-40	326	27	
	Y9	-59	491	27	
	Y11	-51	417	27	
	Y12	33	Modern	28	
	Y13	-49	401	24	
	Y14	-109	928	28	

**Table 2.**  $\Delta^{14}C_{DOC}^{14}C_{Values}$  and age of DOC in the Yinjiang River.

# 295 **3.2. Riverine DOM Optical Properties**

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The averaged SUVA<sub>254</sub> were  $3.3 \pm 1.1$ ,  $3.1 \pm 1.8$ , and  $2.8 \pm 0.3$  L mg<sup>-1</sup> m<sup>-1</sup> in the Yinjiang, Shiqian, and Yuqing rivers, respectively. Although no spatial differences in SUVA<sub>254</sub> were found across the three rivers (Fig. S4a), SUVA<sub>254</sub> varied in a wide range in the study area and showed an increasing trend with increasing mean drainage slopechannel slope (Fig. 3e), which indicated that DOM in the lower reaches with <u>a</u> gentle <u>channel</u> slope was less aromatic. Furthermore, there was a significant negative correlation between SUVA<sub>254</sub> and proportion of urban and agricultural land uses (Fig. 6a).



**Figure 86.** Land use pattern impacts on DOM character. (a) SUVA<sub>254</sub>, (c) C2, and (d) freshness index ( $\beta/\alpha$ ) decreased with the increasing proportion of urban and agricultural land use area in the studied eatchmentss. Outlier (site S3) was excluded from analysies in panel (a) as the sample was strongly influenced by-the road construction, which was evidenced by high POC and TSM concentration (Chen et al., 2021). (b) C1 and (e) humification index (HIX) were positively related to the increasing proportion of urban and agricultural land use areas. However, there was no significant correlation between (f) fluorescence index and the proportion of urban and agricultural land uses.

FourTwo humic-like fluorescence components (C1 and C3) and one protein-like fluorescence components component (C2) were identified by PARAFAC model in these three rivers (Fig. 37; Table S1). terrestrial humic-like substances (C1 and 310 C3) and microbial protein like substances (C2) (Fig. 3). The excitation wavelength for the peak of C4 is less than 240 nm, and it is therefore not discussed in this study due to inner filter effects. Component C1 iswas similar to traditionally defined peak M and, sourced form microbial processes or autochthonous production (Kim et al., 2022; Li et al., 2016; Walker et al., 2009). Component C2 was previously related to recent biological production (DeFrancesco and Guéguen, 2021; Du et al., 2019; Lambert et al., 2017). C3 was the most widely found component in previous research among three fluorescence components, 315 and was identified as traditional fulvic-like peaks A and C, representing terrestrial delivered OM or authochthonous microbial sourced OM (Amaral et al., 2016; Ryan et al., 2022; Shutova et al., 2014). Terrestrial humic-like components were significantly different among the three catchments, and Although C1 and C2 varied more widely for in the Yinjiang River compared with the Shiqian and Yuqing rivers, the two fluorescence components did not show a statistical difference among the threebetween different rivers (Figs. 3a7a, \_\_ and eb). However, a greater proportion of C3 was found in the Shiqian and Yuqing rivers, exhibiting a distinctive signature from compared with the Yinjiang River (Fig. 37c). The Shiqian and Yuqing 320 rivers had a higher proportion of average microbial protein like substances than the Yinjiang River (Fig. 3b). The fluorescence components did not exhibit any significant variations with changing channel slope (Fig. S3), but C1 and C2 were positively or negatively related to proportion of urban and agricultural land uses (Figs. 6b and c).



**Figure 37.** Fluorescence components identified by the PARAFAC model for these three rivers. The abscissa of the figure on the right is excitation wavelength (nm), and the ordinate is emission wavelength (nm). Fluorescence peaks are C1 (295/402), C2 (275/338), and C3 (325/440), with wavelengths (nm) for excitation and emission, respectively. In each box plot, the end of the box represents the 25th and 75th percentiles, the blue solid dot represents the average, the horizontal red line represents the median, and whiskers represent 1.5 IQR. The magenta solid dot represents the outlier, which is outside of the 1.5 interquartile ranges. Letters above the boxes represent significant difference between the grouping of rivers based on statistical analysis with p < 0.05.

For In regards to the fluorescence indexes, Overall, the overall fluorescence property did not vary significantly among the three rivers (Figs. S4b, c, and d). FI varied in a narrow range compared with  $\beta/\alpha$  and HIX. FI of DOM ranged from 1.66 to 1.94, averaging 1.78 (Fig. S4d), indicating a mixture of DOM of xeed terrestrial and microbial origins-of-DOM. In comparison,  $\beta/\alpha$  varied from 0.70 to 1.22 (Fig. S4b) and HIX varied from 0.33 to 0.65 (Fig. S4c), with greater variability among these three rivers. Although no significant correlation was observed between channel slope and the fluorescence indexes, they (except for FI) were found to be closely related to land use pattern (Figs. 6d, e, f, and S3). The less recently produced DOM ( $\beta/\alpha$ ) in the urban and agricultural streams-and rivers was also characterized by a higher proportion of C1 and lower proportion of C2 (Fig. S3).



**Figure 4.** (a) Variations of SUVA<sub>254</sub> (in L mg<sup>+</sup>-m<sup>+</sup>)) with mean drainage slope and (b) spatial variations in the DOM optical parameters in the Yinjiang River (Y), Shiqian River (S), and Yuqing River (Q).



345 4.2-1 Geomorphologic controls on DOC export

<u>Channel Catchment slope has been proved to serve asis</u> an important predictor of DOC concentrations since <u>channel</u> slope is a key factor <u>in</u> affecting runoff velocity and thus controlling the water retention time (Harms et al., 2016; Mu et al., 2015; Mzobe et al., 2020). A shorter water retention time in high relief regions can reduce DOC export from soil organic matter stocks (Fig.  $6a_{3a}$ ) (; Connolly et al., 2018) and mobilize fresher organic carbon with younger ages (Figs.  $6e_{3c}$  and  $6d_{3d}$ ), which can be rapidly mineralized (Catalán et al., 2016). FurthermoreIn comparison, when relatively <sup>14</sup>C-depleted DIC and CO<sub>2</sub> (aq) derived from <u>carbonate</u> weathering is incorporated into the enhanced primary production in low relief regions, it would also produce aged organic carbon (Figs. <u>6e and 6d\_3d</u>) (; Chen et al., 2021). Furthermore, aged DOC in the river systems has been attributed to old

soil organic matter in deeper layer input into rivers through deeper flow paths (Barnes et al., 2018; Masiello and Druffel, 2001). Incomparison, the longer water retention time in the lower reaches of the three rivers with gentle slopes provides a favorable

- 355 physicochemical condition for autochthonous DOC, which is generally characteristic of <sup>13</sup>C depleted values (Fig. 6b). This also indicates that low relief regions with stronger higher hydrologic connectivity to streams and rivers in river network are likely a the major source of riverine DOC (Connolly et al., 2018; Mzobe et al., 2020). The shallow soil depth in steeper regions may also be an influencing factor in constraining DOC generation (Lee et al., 2019). The correlation of SUVA<sub>254</sub> with mean drainage channel slope suggests that steeper catchments tend to export DOC with less aromaticity (Fig. 4a3e), indicating the 360 geomorphologic effects on DOM characteristics (Harms et al., 2016). Previous research has reported that the aromatic content of DOM tends to decline as DOM is typically derived from deep soil profiles (Inamdar et al., 2011), which is attributed to the sorption of aromatic DOM when subsurface flow water percolates through the soil profile (Inamdar, 2011). Channel Slope-slope in a number of Arctic watersheds has also been found to be positively associated with the FI, which was possibly due to the differences in soil characteristics (e.g., volumetric water content and soil temperature) and the resulting changing extent of microbial processing (Harms et al., 2016). Moreover, channel slope was negatively associated with terrestrial humic-like 365 organic material due to the effects of climate and organic layer thickness (Harms et al., 2016). However, there were no similar correlation-found between channel slope and fluorescence components/indexes in this study, demonstrating the likely complicated mechanisms (e.g., soil property, catchment characteristics, and anthropogenic activities) in regulating DOM. DOC in low relief regions was characterized by more <sup>13</sup>C depleted values, which may be due to the greater inputs of
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C3-derived (e.g., rice) organic carbon (e.g., from rice).

Microbial degradation has been well recognized as awas hypothesized to be the critical factor in controlling organic material preservation in soils (Barnes et al., 2018; Eusterhues et al., 2003). Previous studies have showed that riverine DOC dynamics are controlled not only by terrestrial organic matter input but also by internal processes (Lambert et al., 2022; Raymond et al., 2004). In-stream processes, divided into biotic processes (e.g., biodegradation) and abiotic processes (e.g., absorption, desorption, photo-oxidation, dissolution, and primary and secondary production), modulate the DOC cycling with varying degrees in rivers (He et al., 2016; Mineau et al., 2016; Raymond et al., 2004). Previous studies have reported athe decreasing  $\delta^{13}C_{DOC}$  with a corresponding increase in DOC concentrations (Fig. 5a) in spring water (Nkoue Ndondo et al., 2020) and for TOC in soil profiles (Lloret et al., 2016; Nkoue Ndondo et al., 2020). This can be explained by the lateral transportation of DOC from microbial active soil horizons into rivers (Lambert et al., 2011), resulting in the enhanced biodegradation of DOC with the preferential removal of  ${}^{12}C_{5}$ . As a result, and thus the remaining DOC of lower concentrations is typicallywas characterized by a heavier  ${}^{13}C_{DOC}$  (Nkoue Ndondo et al., 2020; Opsahl and Zepp, 2001). (Burt and Pinay, 2005) can be explained by the lateral transportation of DOC from microbial active soil horizons into rivers, resulting in the enhanced biodegradation of DOC with the preferential removal of  ${}^{12}C_{5}$ . As a result, and thus the remaining DOC of lower concentrations is typicallywas characterized by a heavier  ${}^{13}C_{DOC}$  (Nkoue Ndondo et al., 2020; Opsahl and Zepp, 2001). (Burt and Pinay, 2005) can be explained by the lateral transportation of DOC from microbial active soil horizons into rivers, resulting in the enhanced biodegradation of DOC with the preferential removal of  ${}^{12}C_{5}$  and thus the remaining DOC was characterized by heavier  ${}^{13}C_{DO$ 

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Groundwater with large SOC inputs due to highly active microbial activities has long been long recognized as a significant

source of DOC, especially under warm and wet conditions (McDonough et al., 2020; Shen et al., 2014). Bacteria could contribute approximately 30% to the groundwater DOC (Shen et al., 2014), and therefore has a great effect on in-stream processing of DOC. As the river flow recedes, deep groundwater is the dominant contributor for maintaining runoff in headwater streams and mesoscale catchments (Hood et al., 2006; Tiwari et al., 2014). Several studies have reported noted that there were increased 390 groundwater contributions with distance downstream at the watershed scale (Asano et al., 2020; Cowie et al., 2017; Iwasaki et al., 2021), suggestingshowing the important role of groundwater to downstream water in mountainous rivers, though this is not aelearly general phenomenon due to great differences in catchment characteristics (e.g., bedrock and topography) and climate (e.g., precipitation; Somers and McKenzie, 2020). The A general positive relationship trend between conductivity and  $\delta^{18}$ O are were due largely to-likely indicating the mixing of two end-members for river water (Fig. S2a), though it may also indicate the evaporation 395 processes in the catchment (Zhong et al., 2020). The trend showed that one of the end-members was characterized by high-conductivity with <sup>18</sup>O-enriched (groundwater), and the other was characterized by low-conductivity with  $\delta^{18}$ O-depleted headstream water. Similar relationships were also identified found in other mountainous rivers (Lambs, 2004). In addition,  $\delta^{18}$ O values increased progressively from upstream to downstream (Fig. S2b), which also validates also supporting the two sources (i.e., headstream water, and groundwater) of downstream river water, indicating that groundwater was likely-to be an important 400 contributor tosource of downstream river water. Despite that Although above discussions indicate that groundwater inflow-was seemed to be of great importance to river water, especially to downstream river water, groundwater DOC was likely not to be the most important source of riverine DOC due toas the relatively low-concentrations of groundwater DOC concentrations as compared with riverine DOC concentrations (Fig. 2d). Moreover, the groundwater contributioninput into the rivers was probably much less significant in the wet season, even in catchments where DOC is mainly derived fromeomes from groundwater at the 405 low water level stage (Lloret et al., 2016). The DOC concentration in groundwater was much lower than that in the surface water (Fig. 2d), and the average DOC concentration (0.74 mg L<sup>4</sup>) in groundwater were, 44%, 51%, and 57% lower than the average DOC concentration in the Yinjiang, Shiqian, and Yuqing rivers, respectively. Thus, we infer that groundwater is an important but not a crucial source of riverine DOC in the three study rivers. and plays an important role in diluting riverine DOC concentrations during base flow conditions, which is consistent with other studies (Tiwari et al.,



It is worth noting that T the decrease in DOC concentration with increasing mean drainage slopechannel slope (Fig. 3a) may also be controlled by annual air temperature and land use pattern (Fig. S3). Changing exports of terrestrially derived DOC have been widely reported with increasing air temperature (Creed et al., 2018; Parr et al., 2019; Voss et al., 2015). A lower altitude is generally associated with a higher annual air temperature (Fig. S3), which promotes terrestrial primary production and dissolutiondegradation of POC (Mayer et al., 2006) and therefore the accumulation of large quantities of OC in soils (Creed et al., 2018). However, it is also worth noting that the higher temperature may facilitates microbial degradation of soil DOC (Voss et al., 2015).

Previous research has found significant changes in DOC concentrations and DOM composition in agricultural and urban



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#### 4.3-2 Anthropogenic impacts on DOC

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landscapes (Spencer et al., 2019; Stanley et al., 2012; Williamson et al., 2021). Conversion of native forest and pasture to row crop agriculture <u>may leadled</u> to substantial losses of SOC stores as a result of greatly accelerated erosion rates and decomposition rates (Guo and Gifford, 2002; Montgomery, 2007; Stanley et al., 2012). In comparison, natural vegetation could greatly reduce SOC input into rivers by effectively reducing soil erosion through consolidation effect of soil by roots and interception of rainfall by stems and leaves (Zhang et al., 2019). Anthropogenic activities are closely related to mean drainage elevation  $(R^2 = 0.59, p<0.001)$ . Agricultural activities mainly occur in low-elevation areas (Fig. S3), which tend to liberate SOC through erosion over longer timescales and cause an elevated DOC export into rivers (Fig. 7a4a), although DOC of urban sources can also make a huge contribution to the riverine DOC pool (Sickman et al., 2007). Yet, anthropogenic impacts can also decrease DOC concentrations (Spencer et al., 2019; Williams et al., 2010) or lead to undetectable changes in DOC concentrations (Veum et al., 2009). These uncertain responses of DOC concentration are mainly due to diverse farming practices and associated changing effects on terrestrial and aquatic carbon dynamics (Stanley et al., 2012).

- Anthropogenic activities are important factors for the pervasive increase in nutrient and ion concentrations (Chetelat et al., 2008; Smith and Schindler, 2009). When no evaporites are exposed in a catchment, the riverine Cl<sup>-</sup> concentrations excluding atmospheric contribution (Cl<sup>-</sup>anthro) can be regarded as mainly of anthropogenic origin (Cl<sup>-</sup>anthro; (Meybeck, 1983). <u>As shown in</u> <u>results, TtT</u>he positive relationship between DOC concentrations and Cl<sup>-</sup>anthro <u>as shown in Fig. 4b</u> also demonstrated anthropogenic impacts on DOC export (Fig. 7b<u>4b</u>), which is partly due to the anthropogenic activities as evidenced by the significant correlation
- 435 of Cl<sup>-</sup>anthro<sup>-</sup>versus DOC concentrations (R<sup>2</sup> = 0.30, p<0.05). Nutrient enrichment has been a well-known contributor to eutrophication (Paerl, 2009). Together with increasing water residence time due to damming, our results show that enhanced nutrient inputs into rivers will promote algae production (Chen et al., 2021) and eventually accumulation of DOC (Fig. 7e4c)-(Chen et al., 2021). The lower δ<sup>13</sup>C<sub>DOC</sub> with increasing NO<sub>3</sub><sup>-</sup>-N further indicated the greater algae or C3 plant derived DOC accumulation with a higher level of nutrients (Fig. 4d). As autoehthonous DOC is relatively depleted in <sup>13</sup>C (Marwick et al., 2015).
- 440 the lower δ<sup>13</sup>C<sub>DOC</sub> with increasing NO<sub>3</sub><sup>-</sup>-N indicated the autochthonous source (Fig. 7d). Anthropogenic impacts on DOM characters and age have been widely proposed in the last two decades (Butman et al., 2014; Coble et al., 2022; Vidon et al., 2008; Zhou et al., 2021). There are no clear relationships between land use and <sup>14</sup>C ages in our study area, which may be the result of large variations in soil characteristics and limited <sup>14</sup>C data. However, DOM characters were found to be closely related to land use pattern (Fig. S26). Although significant relationshipscorrelation with urban and agricultural land uses were found for C1 and C2
- (Figs. 6b and c), it remainswas still unclear how the autochthonous contribution to riverine DOC pool varied with land use change becauseas C1 and C2 arewere both likely derived from autochthonous production but exhibitshowed opposing trendste trend with increasing urban and agricultural land uses. Overall DOM in catchments with a higher proportion of urban and agricultural land use area was distinct from other catchments as it was less aromatic (SUVA<sub>254</sub>, Fig. S2a6a), less recently produced (β/α, Fig. S2b6d), and had a higher degree of humification (HIX, Fig. S2e6e). SUVA<sub>254</sub> values for the three study rivers were comparable
- 450 with those reported in coastal glacier mountainous streams with late succession in southeast Alaska  $(3.4 \pm 0.5 \text{ L mg}^{-1} \text{ m}^{-1}, \text{ n} = 5;$ Holt et al. 2021) and in the anthropogenic influenced downstream of the Yangtze River  $(3.4 \pm 1.1 \text{ L mg}^{-1} \text{ m}^{-1}, \text{ n} = 82;$  Zhou et al. 2021). Lower DOM aromaticity in the urban and agricultural streams and rivers was consistent with previous studies (Hosen et al., 2014; Kadjeski et al., 2020), though it was not a universal phenomenon (Zhou et al., 2021). Furthermore, the less aromatic and recently produced DOM could be due to soil organic materials from deep soil profiles because of the increased soil erosion rates
- 455 <u>associated with anthropogenic activities (Inamdar et al., 2011; Stanley et al., 2012).</u> The less recently produced DOM in the urbanand agricultural streams and rivers was also characterized by lower proportion of microbial protein-like substances (Fig. S3).

In addition, the widespread dams and reservoirs for irrigation and water supply would lead to the prolonged water retention time across river systems, entailing a great change in organic carbon reactivity and CO<sub>2</sub> emissions (Catalán et al., 2016; Ran et al., 2021; Yi et al., 2021) and providing a favorable environment for aquatic photosynthesis and bacterial production. It is worth noting that phytoplankton and bacteria are important factors in controlling the rate of DOM-POM exchange due to their

different growth rates and degradation efficiencies (He et al., 2016). Therefore, the carbon cycling in the three rivers is affected by

a combination of factors, including in-stream processes, geographical controls, and anthropogenic impacts. However, it should be noticed that anthropogenic activities and other impacts are spatially related to elevation and slope, which in turn would influence the river water quality and aquatic processes. It is evident that DOC dynamics are complicated in the study rivers, and a comprehensive assessment of the biogeochemical processes of DOC and their multiple controlling factors will advance our understanding of the carbon cycling.

# 4.1-3 Multiple influencing factors on riverine DOCCombined effects of geomorphologic and anthropogenic controls on **DOC** and comparison of $\Delta^{14}C_{DOC}$ in mountainous rivers

In this study, geomorphologic characteristics and anthropogenic activities were identified as significant drivers of DOC export and 470 DOM composition across broad spatial scales. We further discussed how the two critical factors together shift the riverine DOC. The riverine DOC age ranged from modern to greater than 1000 years, which is mainly determined by its sources and aquatic processing (Butman et al., 2012; Koch et al., 2022; Moyer et al., 2013). Generally, DOC is mainly derived from surface soil, decaying terrestrial plants, and autochthonous production, which usually contain a modern carbon pool (Findlay and Sinsabaugh, 2004; Zhou et al., 2018). In addition, old carbon is usually exported from many pools, such as deep soil layers, peat, shale, groundwater, and terrestrial organisms which incorporate inorganic carbon from weathering 475 sources (Butman et al., 2014; Moyer et al., 2013; Raymond et al., 2004). Petroleum-based carbon export through wastewater, urban, and agricultural runoff has recently been recognized as a potentially important source of old carbon (Butman et al., 2012; Griffith et al., 2009; Sickman et al., 2010). The DOC ages of the Yinjiang River were younger than that of DOC reported in agricultural rivers (Moyer et al., 2013; Sickman et al., 2010) or treated wastewater (Griffith et al., 2009), which is typically more than 1000 years old. However, we cannot conclude that DOC in the Yinjiang River was not influenced by 480 anthropogenic activities as the wide range of anthropogenically-impacted DOC ages (Butman et al., 2014) and various sources of anthropogenic DOC as discussed above, which led to-no insignificant correlation-found between the proportion of urban and agricultural land uses and isotopes of organic carbon (Fig. S3). The input of large amounts of young terrestrial-derived organic matter through surface runoff during rainfall events couldwas likely to explain the young age of 485 the DOC and POC at site Y12 (Table 2 and Fig. 545c), where the sample was collected after a rainfall event (Chen et al., 2021). Combined with stable carbon isotope, radiocarbon was an effective tool for tracing the sources of carbon (Marwicket al., 2015; Raymond et al., 2004). The strong relationship between  $\delta^{13}$ CDOC and  $\Delta^{14}$ CDOC serve as an indicator of two end-member mixing of DOC (Fig. 5b), ). which was also revealed by tThe indices of DOM composition (Fig. 4b) also revealed that DOM was derived from both autochthonous (relatively-<sup>14</sup>C-depleted and <sup>13</sup>C-depleted DOC) and allochthonous (relatively-490 <sup>14</sup>C-enriched and <sup>13</sup>C- enriched DOC) sources but with changing extent of humification. Furthermore, Additionally, there was a weak positive correlation between the  $\delta^{13}C_{DOC}$  and  $\delta^{13}C_{POC}$  of these three rivers ( $\mathbb{R}^2 = 0.24, p < 0.05$ ) (Fig. 5e5b); indicating indicated that DOC and POC may have derived from the same source. The We also found a strong positive correlation between  $\Delta^{14}C_{DOC}$  and  $\Delta^{14}C_{POC}$  in the Yinjiang River ( $\mathbb{R}^2 = 0.67, p < 0.01$ ) (: Fig. 5d5c). further suggests that the possible contribution of

dissolution and biodegradation of POC to riverine DOC, as recently emphasized by He et al. (2016). The coupling between
 Δ<sup>14</sup>C<sub>DOC</sub> and Δ<sup>14</sup>C<sub>POC</sub> was an unusual relationship rarely found in other rivers (Campeau et al., 2020; Longworth et al., 2007). Campeau et al. (2020) haves attributed this relationship to common controls of landscape and/or hydrology on the sources of organic carbon in the rivers, and this correlation could be masked by the mixing of waters from other tributaries, which further support the combined geographical controls (e.g., as a driver of landscape) and anthropogenic impacts (e.g., influence the hydrology by dam constructions, see discussion below, which would be further discussed below) on DOC sources. Based on the above discussion, other than the of geographical and/or hydrological impacts, the coupling relationship likely further suggests that

the possible contribution of dissolution and biodegradation of POC to riverine DOC, as recently emphasized by He et al. (2016).

In addition, the widespread dams and reservoirs for irrigation and water supply would lead to the prolonged water retention time across river systems, entailing a great change in organic carbon reactivity and CO<sub>2</sub> emissions (Catalán et al., 2016; Ran et al., 2021; Yi et al., 2021) and providing a favorable environment for aquatic photosynthesis and bacterial production. This may and therefore— have significantly influenced the organic carbon dynamics in the study rivers (Chen et al., 2021). Therefore, the carbon cycling in the three rivers is affected by a combination of factors, including geographical controls, anthropogenic impacts, and in-stream processes. ParticularlyMoreover, geographical controls on DOM were mainly evidenced by carbon isotopes, while anthropogenic impacts were supported by the DOM fluorescence characters (Figs. 3 and 6). However, it should be noticed that anthropogenic activities and other impacts are spatially related to elevation, air temperature, and channel slope (Fig. S3), which in turn would influence the river water quality and aquatic processes. It is evident that DOC dynamics are complicated

in the study rivers, and a comprehensive assessment of the biogeochemical processes of DOC and their multiple controlling factors will advance our understanding of carbon cycling.

Carbon isotopes of DOC and its concentration in mountainous rivers were summarized in Table 3. Global average Δ<sup>14</sup>C<sub>DOC</sub> is -11.5 ± 134‰, higher than that (-54.7±39.9‰) in the Yinjiang River (-54.7 ± 39.9‰; Tables 2 and 3) (; Marwick
et al., 2015); while-which was similar to many other mountainous rivers (e.g., the Mackenzie River; Campeau et al., 2020) and small mountainous rivers in Puerto Rico; Moyer et al., 2013). Δ<sup>14</sup>C<sub>DOC</sub> values for the world's mountainous streams and rivers were shown by climate (according to the Köppen–Geiger climate classification (Beck et al., 2018); Table 3) and; ranged from tropical monsoon climate (Marwick et al., 2015), temperate oceanic climate (Evans et al., 2007), cold semi-arid climates (Spencer et al., 2014) to continental subarctic climate (Hood et al., 2009). DOC of young age in-the mountainous rivers were reported across climate (Evans et al., 2007; Mayorga et al., 2005; Voss et al., 2022). While the most aged DOC was observed in the Tibetan Plateau (Song et al., 2020; Spencer et al., 2014) and the Gulf of Alaska (Hood et al., 2009). The riverine aged DOC from these regions with cold climate was mainly sourced from melting glacier–melt with high bioavailability (Hood et al., 2009; Spencer et al., 2014) or derived from permafrost thaws in deeper soil horizons with

deeper flow paths (Song et al., 2020). As global air temperature increases, the greater input of the aged yet microbially
 labile DOC into the rivers would lead to increasing emission of CO<sub>2</sub> and CH<sub>4</sub> and therefore further intensify global warming

(Vonk and Gustafsson, 2013).

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Rivers/Region	Sampling Date (mmyyyy)	<u>Climate</u>	<u>DOC (mg</u> <u>L<sup>-1</sup>)</u>	$\frac{\delta^{13}C_{DOC} (\%)}{}$	$\underline{\Delta^{14}C_{DOC}}(\%)$	References
<u>The Yinjiang River</u> (China)	<u>08/2018</u>		$1.3 \pm 0.7$	$-26.6 \pm 1.9$	$-55 \pm 38$	<u>This study</u>
Zambezi (Mozambique)	02/2012-04/2012	_	<u>2.4 ± 0.6</u>	$-21.9 \pm 2.4$	$64 \pm 23$	- (Marwick et al., 2015)
Betsiboka (Madagascar)	01/2012-02/2012	- - - - -	<u>1.3 ± 0.6</u>	$-22.8 \pm 2.1$	$\underline{86 \pm 43}$	
Amazon <sup>a</sup>	05/1995-10/1996		$1.9 \pm 0.7$	$-26.0 \pm 3.0$	<u>94 ± 176</u>	(Mayorga et al., 2005)
Guanica and Fajardo (Puerto Rico)	09/2004-03/2008		<u>2.3 ± 2.1</u>	$-26.1 \pm 3.1$	$\underline{-55\pm105}$	(Moyer et al., 2013)
<u>North-West Australia</u> (Australia)	<u>05/2010 and</u> <u>06/2011</u>	_	<u>1.5 ± 0.7</u>	$-25.0 \pm 1.7$	<u>-67 ± 124</u>	(Fellman et al., 2014)
Santa Clara (USA)	<u>11/1997-03/1998</u>		<u>6.2 ± 2.7</u>	$-26.1 \pm 0.9$	$-148 \pm 58$	(Masiello and Druffel, 2001)
Conwy (Wales) <sup>b</sup>		Temperate	<u>9.2 ± 7.3</u>	$-28.0 \pm 1.8$	$\underline{105 \pm 6}$	(Evans et al., 2007)
Brocky Burn (Scotland)	<u>02/1998 and</u> <u>06/1998</u>	_		$-27.9 \pm 0.2$	$\underline{29 \pm 12}$	(Palmer et al., 2001)
Southeast Alaska	<u>07/2013</u>		$\underline{0.8\pm0.2}$	<u>-27.0 ± 1.6</u>	<u>-93 ± 77</u>	(Holt et al., 2021)
_Gulf of Alaska	07/2008	_	<u>1.2 ± 0.5</u>	$-23.9 \pm 1.1$	<u>-207 ± 121</u>	(Hood et al., 2009)
<u>Alaska<sup>c</sup></u>	05/2012-10/2012		<u>3.7 ± 4.1</u>	$-27.4 \pm 0.8$	$-10 \pm 55$	(Behnke et al., 2020)
Kolyma (Russia) <sup>d</sup>	01/2003-12/2003	_		$-28.5 \pm 1.3$	<u>57 ± 51</u>	(Neff et al., 2006)
Hudson (USA) <sup>e</sup>	<u>01/2004</u>	_	<u>5.9 ± 0.7</u>	$-27.0 \pm 0.0$	$-26 \pm 13$	(Raymond et al., 2004)
Central Ontario (Canada)	<u>1990-1992</u>	<u>Continental</u>	$\underline{6.4 \pm 4.5}$		<u>96 ± 79</u>	(Schiff et al., 1997)
<u>Mackenzie River Basin</u> (Canada) <sup>f</sup>	<u>06/2018</u>	_	<u>4.3 ± 1.8</u>	$-26.9 \pm 0.2$	<u>-55 ± 72</u>	(Campeau et al., 2020)
Mulde (Germany)	08/2008-10/2010	_	<u>9.8 ± 7.3</u>	$-26.6 \pm 0.5$	<u>7 ± 27</u>	(Tittel et al., 2013)
Fraser (Canada)	07/2009-05/2011	_	<u>4.1 ± 5.6</u>	$-26.5 \pm 0.5$	$\underline{58\pm34}$	(Voss et al., 2022)
Yangtze River source region (China)	02/2017-12/2017	-	<u>2.9 ± 1.4</u>	$-27.9 \pm 3.3$	<u>-397 ± 185</u>	(Song et al., 2020)
<u>Tibetan Plateau (China)</u>		Continental/Dry	$0.27 \pm 0.0$	$-23.5 \pm 0.2$	$-209 \pm 71$	(Spencer et al., 2014)

Table 3. Comparison on carbon isotopes of DOC from mountainous rivers worldwide.

<sup>a</sup> Only rivers draining mountainous areas from the Andean Cordillera were reported. <sup>b</sup> Data were obtained from Marwick et al. (2015). <sup>c</sup>

<u>Calculated from mean values.</u> <sup>d</sup> Only mountainous and upland rivers were reported. <sup>e</sup> Only Upper Hudson River was reported. <sup>f</sup> Only tributaries sourced from Cordillera were reported.

# **5** Conclusions

This study provided a deeper insight into the DOC dynamics and their influencing factors in anthropogenically-impacted subtropical <u>small mountainous rivers</u>SMRs. Variations in DOC concentrations are regulated by both internal processes and external geographical and anthropogenic disturbances. Carbon isotopes and fluorescence indices revealed that autochthonous (e.g., <u>from primary producers within the aquatic system</u>microbial sources) and allochthonous (e.g., from terrestrial plants) DOC wereboth significant contributors to the overall riverine DOC export. Other than autochthonous production, internal processes, such asconversion from POC to DOC, may also serve as an important DOC source. We observed a positive relationship between DOC

concentrations and anthropogenic land use but a negative correlation between DOC concentration and channel catchment slope.

- 540 <u>Carbon isotopes variations were mainly due to changing mean channel slope, while fluorescence properties of DOM were highly</u> influenced by land use cover. Additionally, we found increased aromaticity with elevated channel slope and reduced agricultural and urban land uses, indicating the geographical and anthropogenic controls on DOM character. We attribute these diverse DOC responses to altered water retention time, the SOC dynamics, and autochthonous productionwater flow paths. Additionally, we found increased aromaticity with elevated catchment slope, indicating the geographical controls on DOM.
- 545 character. This study highlights that the combination of dual carbon isotopes and optical properties are useful tools in tracing the origin of riverine DOC and its in-stream processes. We also highlight the importance of geographical and anthropogenic impacts on DOC concentrations and DOM composition in small mountainous riversSMRs. With continued economic development and population growth, anthropogenic impacts on DOC are expected to be increasingly evident. However, anthropogenic impacts may alter various biogeochemical processes of DOC in different catchments with changing geographical features due to complicated regulating mechanisms of organic carbon cycling, which to date remains poorly understood. Thus, fFurther studies are warranted to fully understand the combined effects of local geographical controls and increasing anthropogenic impacts on DOC cycling.

Data availability. Data are available from the corresponding author Lishan Ran upon request at <a href="https://www.lishan.com">listan@hku.hk</a>.

555 *Author contributions*. JZ and SL conceived and designed the study. WW, JZ, and ZY contributed to the fieldwork. SC, WW, YY and KMM contributed to the laboratory work and data analyses. SC wrote the original draft. LR, JZ and YY reviewed and edited the manuscript.

Competing interests. The authors declare that they have no conflict of interest.

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