1	Enabling a process-oriented hydro-biogeochemical model to simulate soil
2	erosion and nutrient losses
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20 Abstract

Water-induced erosion and subsequentassociated particulate carbon (C), nitrogen (N) and 21 phosphorus (P) nutrient losses were the vital parts of biogeochemical cycling. Identifying 22 their intensity and distribution characteristics is of great significance for the control of soil 23 and water loss and N/P nonpoint source pollution. This study incorporated the modules of 24 physical soil erosion and the particulate C, N and P losses into the process-oriented 25 hydro-biogeochemical model (Catchment Nutrients Management Model coupled 26 Denitrification-Decomposition, CNMM-DNDC) to enable it to predict soil and water loss. 27 The results indicated that the upgraded CNMM-DNDC i) performed well in simulating the 28 observed temporal dynamics and magnitudes of surface runoff, sediment and particulate N/P 29 losses in the lysimetric plot of the Jieliu catchment in Sichuan Province; ii) successfully 30 predicted the observed monthly dynamics and magnitudes of stream flow, sediment yield and 31 particulate N losses at the catchment outlet, with significant zero-intercept univariate linear 32 regressions and credible acceptable Nash-Sutcliffe indices larger higher than 0.74. The 33 upgraded CNMM-DNDC demonstrated that more proportion of the particulate N to total N 34 accounted for 16.2%-26.6% of the TN components during the period with larger 35 precipitations than that during the droughty period (16.2%-26.6% versus 2.3%-12.4%). The 36 intensities of soil erosion and particulate nutrient losses in the Jieliu catchment was closely 37 38 related to land use type in the order of sloping cultivated cropland > residential area > forest land. The scenario analysis demonstrated that high greenhouse gas (GHG) emissions 39 scenarios provided a greater risk of soil erosion than did low GHG emissions scenarios and 40

41 land use change (i.e., from the sloping upland to forest land) could help to mitigate soil and 42 water loss accelerated by climate change in the future. The upgraded model was 43 demonstrated to have the capability of predicting ecosystem productivity, hydrologic 44 nitrogen loads, emissions of GHGs and pollutant gases, soil erosion and particulate nutrient 45 losses, which renders it a potential may become a decision support tool for soil erosion and 46 nonpoint source pollution control coordinated with increasing production and reducing 47 GHGs and pollutant gases emissions in a catchment.

48 Keywords

49 CNMM-DNDC, ROSE, soil erosion, particulate carbon/nitrogen/phosphorus loss

50 **1. Introduction**

Water-induced erosion and subsequentassociated particulate carbon (C), nitrogen (N) and 51 52 phosphorus (P) nutrient losses are among the primary threats leading to the decline in soil fertility and the increases in land degradation, channel sedimentation and eutrophication of 53 downstream rivers and lakes (Berhe et al., 2018; Ekholm and Lehtoranta, 2012; Garcia-Ruiz et 54 al., 2015). This global environmental issue are becoming serioushas continued to deteriorate 55 (Ma et al., 2021; Yang et al., 2003). A previous study found that the vulnerability of 56 water-induced erosion increased over 51% of the global surface from 1982 to 2015 (Liu et al., 57 2019). Climate change and anthropogenic activities (such as land use change) are the two 58 principal driving forces that have complicated and altered the hydrological cycle and 59 water-induced erosion during recent decades (Piao et al., 2007; Zeng et al., 2015). 60 Quantitative assessments of the water-induced soil erosion intensity and identification of 61

62 its temporal and spatial distribution characteristics are of great importance for preventing soil

63	and water loss and have attracted the attention of researchers (e.g., Jetten et al., 2003; Jiang et
64	al., 2017; Panagos et al., 2015c). Lysimetric plot experiments have been developed as a direct
65	field measurement method for the accurate quantification of surface runoff and water-induced
66	erosion (e.g., Kosmas et al., 1997; Sumner et al., 1996; Zhu et al., 2009). However, the in situ
67	field measurements of water-induced water-reduced soil erosion with high cost of labor and
68	money can only cover a small piece of the sampling units. It is unrealistic to expect direct field
69	measurements to quantify water-induced erosion everywhere under various conditions.
70	Simulations of mathematical models are likely to compensate for the deficiency of direct
71	field measurements on soil erosion. The Universal Soil Loss Equation (USLE, Wischmeier and
72	Smith, 1978)-and, its revised version (RUSLE) (Renard et al., 1997) and its modified version
73	(MUSLE) (Williams 1975) have been developed into widely used empirical mathematical
74	models to directly calculate soil erosion based on rainfall, soil property, topography, cover and
75	management data. The USLE or RUSLE quantify only the various influencing factors that
76	impact the soil loss associated with soil erosion, which is not directly related to the process of
77	surface runoff and does not involve the specific process of sediment transport yet (Donovan,
78	2022; Meinen and Robinson, 2021). Fortunately, the physical process-based ROSE model
79	named after the name of developer (Rose et al., 1983) conceptualizes the soil erosion process
80	by conceiving three continuous and simultaneous physical processes, including rainfall
81	detachment, sediment entrainment and sediment deposition, thus providing good performance
82	in estimating sediment yield at the plot scale. However, the ROSE model focuses only on the
83	physical processes of water-induced erosion without engaging the C and N cycles of the

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84	ecosystem. The Soil and Water Assessment Tool (SWAT) (Arnold et al., 1998), a semi
85	distributed hydrological model, incorporates the RUSLE or MUSLE to predict soil erosion at
86	the level of hydrological response units, in which the routing of sediment transportation is not
87	considered and the modeling of the biogeochemical element cycle is relatively simple and
88	empirical (Ferrant et al., 2011; Pohlert et al., 2007). However, the transport of particulate C, N,
89	and P nutrients accompanied by water-induced erosion crucially depends on the C and N cycles
90	of ecosystems in a catchment. Previous research demonstrated that the C, N, and P contents in
91	the eroded soil were richer than that in the surface soil, which usually applied the elemental
92	enrichment module to predict (Sharply, 1980). Therefore, knowledge of the coupling between
93	the process-oriented hydro-biogeochemical model combined with the complex complicated C
94	and N cycles and the soil erosion model based on physical processes (e.g., such as ROSE) is
95	essential to accurately predict soil erosion and subsequentassociated particulate C, N, and P
96	nutrient transport.
97	A recently developed hydro-biogeochemical model (CNMM-DNDC) by Zhang et al.
98	(2018) might become a realistic tool that can be used to address the abovementioned problem.
99	The CNMM-DNDC model introduces the complex complicated C and N biogeochemical
100	modules (including the modules of decomposition, nitrification, denitrification and
101	fermentation) of a widely used biogeochemical model (DeNitrification-DeComposition model,
102	DNDC, Li et al., 1992) into the distributed hydrological framework of the Catchment Nutrients
103	Management Model (CNMM, Li et al., 2017). The adsorption-desorption, immobilization,
104	transposition of P element of the CNMM-DNDC model were originated from CNMM. The

CNMM-DNDC model has been used to conduct a comprehensive simulation of the 105 complexcomplicated hydrological and biogeochemical processes (such as ecosystem 106 productivity, hydrologic N loads, gaseous N losses and greenhouse gas emissions) of a 107 subtropical catchment with various landscapes (Zhang et al., 2018), a model evaluation of 108 nitrous oxide (N_2O) and nitric oxide (NO) emissions from a subtropical tea plantation (Zhang 109 110 et al., 2020b), a model evaluation and regional simulation of nitrate leaching in the black soil region of Northeast China (Zhang et al., 2021a) and a comprehensive model modification and 111 evaluation of NH₃ volatilization from fertilized croplands (Li et al., 2022b). However, the 112 CNMM-DNDC model still lacks the capacity to simulate the processes of soil erosion and 113 subsequentassociated particulate C, N, and P nutrient transportation. 114

Therefore, we hypothesize that the accurate simulation of soil erosion and 115 subsequentassociated particulate C, N, and P nutrient losses can be realized by incorporating 116 the soil erosion physical model and the element enrichment module into the process-oriented 117 hydro-biogeochemical model with complex complicated C and N cycles. Based upon the above 118 hypothesis, the objectives of this study were to i) introduce the ROSE model (a physical soil 119 erosion model) and the enrichment module of the particulate nutrients into the hydrological 120 process of the CNMM-DNDC model; ii) evaluate the performance of the CNMM-DNDC 121 model in simulating the temporal and spatial distributions of soil erosion and 122 123 subsequentassociated particulate C, N, and P transportation at the plot and catchment scales; and iii) investigate the impact of climate change and human activities (such as land use change) 124 125 on the losses of soil and particulate nutrients.

126 **2. Materials and methods**

127 **2.1 Catchment description**

The Jieliu catchment (31°16'N, 105°28'E, 400-600 m a.s.l.), located in Sichuan Province 128 of Southwest China (Zhu et al., 2009), was used for the model calibration and validation. This 129 catchment is situated in the upper reaches of the Yangtze River and has a typical subtropical 130 monsoon climate. During the period from 2005 to 2018, the annual mean temperature was 131 16.7 °C, and the average annual precipitation was 720 mm, 75% of which occurred during the 132 period between June and September (http://yga.cern.ac.cn). The soil in the catchment is 133 dominated by Calcaric purple soil, classified as a Pup-Orthic Entisol in the Chinese Soil 134 Taxonomy or as an Entisol classified in the U.S. Soil Taxonomy (Zhu et al., 2009). The total 135 area of the Jieliu catchment is approximately 35 ha, and it is dominated by sloping croplands 136 (584%), forest lands (31%) and the village residential areas (10%) forest lands (31%). The 137 primary crops cultivated in the sloping croplands are maize (Zea mays L.), winter wheat 138 (Triticum aestivum L.), rape (Brassica napus L.) and rice (Oryza sativa L.). The N, P and 139 potassium (K) fertilizers are applied at rates of 130-330 kg N ha⁻¹ yr⁻¹ (ammonium 140 bicarbonate or urea), 72–162 kg P ha⁻¹ yr⁻¹ (calcium superphosphate) and 45–68 kg K ha⁻¹ yr⁻¹ 141 (potassium chloride), respectively (Zhang et al., 2018). Four replicate lysimetric plots (an area 142 of 8 m by 4 m with a slope gradient of 7%, Fig. 1) were set to measure the surface runoff and 143 the losses of the particulate N and P (Zhu et al., 2009). To avoid unexpected seepage, each 144 lysimetric plot was hydrologically isolated with the cement-filled partition walls, which was 145 inserted at least 60 cm deep into the bedrock. A conflux trough with a bucket was built at the 146 topsoil to collect the surface runoff flow (Zhu et al., 2009). 147

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2.2 Overview of the CNMM-DNDC model

149	The CNMM-DNDC is a process-oriented hydro-biogeochemical model, which was
150	established following the basic physics, chemistry and biogeochemistry theories, through
151	incorporating the processes of C and N cycling of the DNDC into the hydrological framework
152	of the CNMM (Zhang et al., 2018). The core processes simulated by CNMM-DNDC include
153	thermal conduction, energy balance, hydraulic dynamics (e.g., soil evaporation, transpiration,
154	canopy interception, infiltration, percolation, surface runoff, subsurface flow and water uptake
155	by plants), C and N cycling (e.g., mineralization, immobilization, decomposition, nitrification,
156	denitrification, nitrate leaching, urea hydrolysis, plant uptake, and gas emissions), plant growth
157	(e.g., photosynthesis and respiration) and the discharge and water quality of the river-networks
158	<u>(Fig. S1).</u>
159	2.32 Model modifications
160	The CNMM-DNDC model can simulate the lateral movements of water-soluble nutrients
161	(e.g., ammonium, nitrate, phosphate and dissolved organic matter) by surface and subsurface
162	runoff, whereas it lacks the capabilities of simulating soil erosion and sediment transport
163	caused by surface runoff and the subsequentassociated transportation of particulate C, N, and P.
164	To address such a deficiency, this study incorporated the modules of soil erosion and element
165	enrichment into the lateral hydrological framework of the CNMM-DNDC model (Text S1).
166	Therefore, the upgraded CNMM-DNDC model was equipped with the ability to estimate the
167	movements of soil particles and particulate nutrients transported with surface runoff in the
168	lateral dimension (Fig. S1). The soil erosion module adopted the simplified ROSE model (Rose
169	et al., 1983; Stewart, 1985), which is a process-oriented soil erosion model. The ROSE model

170	is based on the dynamic equilibrium of three simultaneous processes, including rainfall
171	detachment, runoff detachment, and sediment deposition. In an individual erosion event, the
172	process of runoff detachment dominates, and the latter two processes of rainfall detachment
173	and sediment deposition can be generally neglected (Stewart, 1985). Therefore, in the
174	simplified ROSE module, as shown by Eq. (1), the sediment yield (Y_s , kg dry soil ha ⁻¹)
175	resulting from soil erosion was driven by the actual surface runoff (R_s , m) and concomitantly
176	regulated by the coverage fraction of vegetation (C_v , fraction) and the land's slope angle, which
177	was represented by the absolute value of the sine value of the $-$ land's slope angle (S ₁ ,
178	dimensionless), as shown by Eq. (1) and the coverage fraction of vegetation (C_{v} , fraction). The
179	complete physical processes for soil erosion of the ROSE module (Text S2) was the reason
180	why we chose it though the two processes which had minor effects on soil erosion in an
181	individual erosion event were neglected in the simplified ROSE module. The upgraded
182	CNMM-DNDC was expected to provide the effects of the field managements (e.g., tillage) on
183	soil chemical or physical properties to influence soil erosion instead of applying the empirical
184	mathematical formula to predict the effects of the field managements like what the USLE and
185	its revised or modified versions did (Panagos et al., 2015b; Meinen and Robinson, 2021).
	$Y_{\rm s} = 27 \times 10^6 (1 - C_{\rm v}) \eta S_{\rm l} R_{\rm s} $ (1)
186	Where R_s is calculated from the existing hydrological module of the CNMM-DNDC
187	model, in which R_s occurs in the following two cases. First, R_s is caused by the mechanism of

188 excess infiltration, in which the water input (i.e., precipitation and irrigation) is greater than the

189	maximum infiltration capacity of the soil. Second, R_s is derived from the mechanism of excess
190	storage, in which precipitation or irrigation still occurs when the soil surface water content
191	exceeds the corresponding saturated water content. The direction of the surface runoff conflux
192	is estimated by the distributed weights of four neighboring grids (i.e., in the upper, lower, left
193	and right directions), which are calculated based on the elevation of these grids. $\frac{S_1}{S_1}$ is the sine
194	value of the slope radian value. η (dimensionless) is referred to as the efficiency of sediment
195	entrained by surface runoff, which depends on soil texture and C_v , as shown in Eq. (2).
196	Usually, the values of C_{\star} need to be calibrated by the soil exposure ratio and sediment
197	vield observations for a given study area. In this study, the value of C_{*} for the crop is
198	approximately equivalent to the growing index, which is estimated by the ratio of the
199	accumulated temperature from sowing to the present time to the accumulated thermal degree
200	for maturity in the plant growth module. Particularly, using the observed sediment yield in the
201	catchment outlet, the value of C_v for the natural vegetation (e.g., forest and grass) was
202	addressed and calibrated as half of the ratio of the real leaf area index (LAI) and the maximum
203	LAI, which is one of the model inputs. The value of C_{v} of the artificial lands (e.g., the urban or-
204	rural residential areas) was calibrated and set to 0.1, which represented the effects of concrete
205	roads and residential buildings on the reduction of the soil area exposed to erosion. Usually, the
206	values of C_* need to be calibrated by the soil exposure ratio and sediment yield observations
207	for a given study area. η (dimensionless) is referred to as the efficiency of sediment entrained
208	by surface runoff, which depends on soil texture and C_{*} , as shown in Eq. (2). In Eq. (2), a_{+} is
209	referred to as the rate of sediment carried by surface runoff on bare land, which differs for-

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various soil types and generally needs to be calibrated by the observed data of sediment loss fora given study area. Loch and Donnollan (1983) reported that a1 varies from 1.0% to 8.7% in-Middle Ridge clay loam and Irving clay soils. The soil mass balance was not considered in the upgraded model.

$$\eta = a_1 e^{-0.15C_{\rm v}} \tag{2}$$

214	In Eq. (2), a_1 is referred to as the rate of sediment carried by surface runoff on bare land,
215	which differs for various soil textures and generally needs to be calibrated by the observed data
216	of sediment loss for a given study area. Loch and Donnollan (1983) reported that a_1 varies
217	from 1.0% to 8.7% in Middle Ridge clay loam and Irving clay soils. Among the only eight soil
218	erosion observations conducted in the lysimetric plot from 2015 to 2017, four observations in
219	2016 were provided for model calibration. More soil erosion observation of the lysimetric plots
220	with different soil textures were needed to operate the CNMM-DNDC to establish the general
221	relationship between the a_1 and soil texture (e,g., soil clay, silt and sand contents) in future.
222	Moreover, the value of C_v for the natural vegetation (e.g., forest and grass) was addressed as
223	half of the ratio of the real leaf area index (LAI) and the maximum LAI (which is one of the
224	model inputs). For the crop system, the LAI was the function of the growing index, which is
225	estimated by the ratio of the accumulated temperature from sowing to the present time to the
226	accumulated thermal degree for maturity in the plant growth module. So the C _v value of the
227	crop was calculated by the growing index. The C _v value of the artificial lands (e.g., the urban or
228	rural residential areas) was calibrated and set to 0.1, which represented the effects of concrete

229 roads and residential buildings on the reduction of the soil area exposed to erosion. The $C_{\rm y}$

230 value of the artificial lands might be generally quantified using the coverage of building and

232 It is known that the C, N, and P elements of the eroded sediments are usually richer than those of the in situ soils from which the eroded sediments originate (Massey and Jackson, 1952; 233 Schiettecatte et al., 2008; Wan and El-Swaify, 1998). The above phenomenon is usually 234 referred to as sediment enrichment, which can be quantified by an empirically based 235 enrichment ratio (E). E is usually defined as the ratio of the concentration of C, N, and P 236 elements in the eroded sediment to that in the source soil (Sharpley, 1980; Teixeira and Misra, 237 238 2005). Generally, as more eroded sediment is produced, the richness of the C, N, and P elements decreases. The enrichment ratio of the C and N nutrients (E_{CN}) is estimated by Eq. (3), 239 which was adapted from McElroy et al. (1976) and Williams and Hann (1978). The 240 241 pre-exponential factor (k_1) of Eq. (3) was calibrated to 1.2 using the particulate N data observed at the lysimetric plot in this study. The enrichment ratio of P nutrients $(E_{\rm P})$ is 242 calculated by Eq. (4) cited from Sharpley (1980). 243

$$E_{\rm CN} = k_1 (Y_{\rm s} \times 10^{-4})^{-0.2468} \tag{3}$$

$$E_{\rm P} = e^{(2.46 - 0.2\log Y_{\rm s})} \tag{4}$$

The yields of particulate C ($P_{\rm C}$, kg C ha⁻¹), N ($P_{\rm N}$, kg N ha⁻¹), and P ($P_{\rm P}$, kg P ha⁻¹) nutrients caused by soil erosion were calculated based on *E*, $Y_{\rm s}$ and the content of the corresponding organic C ($C_{\rm C}$, g C ha⁻¹), N ($C_{\rm N}$, g N ha⁻¹), and P ($C_{\rm P}$, g P ha⁻¹) pools in topsoil

247	using Eqs. (5–7), respectively. BD (g m ⁻³) and D_s (m) refer to the soil bulk density and the
248	depth of topsoil, respectively. Eight of the soil organic C and N subpools participated in the
249	process of soil erosion, including the pools of very reliablelabile, reliablelabile, and resistant
250	decomposable litters, reliablelabile and resistant active microbes, reliablelabile, and resistant
251	humads-and passive humus, whereas five of the soil organic P subpools were involved in the
252	process of soil erosion, including the pools of active and passive organic P, active and dead
253	microorganism P, and inert stable P. Meanwhile, the flows of C, N and P among the pools of
254	the labile and resistant organic and inorganic were considered in the CNMM-DNDC. For
255	example, the C and N of the litter and humus pools and the P of the pools of the active or
256	passive organic P and the inert stable P could flow into inorganic pools and the microbe pools
257	by decomposition. The particulate C, N, and P losses calculated by the element enrichment
258	module were also deducted from the corresponding subpools of the topsoil. Subsequently, the
259	eroded soil and the particulate C, N, and P nutrients are transported with surface runoff and
260	eventually drain into streams. The upgraded model considered the mass balances of soil water
261	and the elements of C, N, and P, without considering soil body balance.
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$$P_{\rm C} = \sum_{i=1}^{8} \frac{10^{-7} E_{\rm CN} C_{\rm C_i} Y_{\rm s}}{\rm BD} \cdot D_{\rm s}$$
(5)

$$P_{\rm N} = \sum_{j=1}^{8} \frac{10^{-7} E_{\rm CN} C_{\rm N_j} Y_{\rm s}}{\rm BD} \cdot \rm D_{\rm s}$$
(6)

$$P_{\rm P} = \sum_{k=1}^{5} \frac{10^{-7} E_{\rm P} C_{\rm P_k} Y_{\rm s}}{\rm BD} \cdot D_{\rm s}$$
(7)

262 **2.43** Preparation for model simulation

The input data for driving the model operation consisted of the meteorological data at the 263 3-hour scale (including average air temperature, solar radiation, long wave radiation, wind 264 speed, humidity and total precipitation), the spatialized soil properties (including soil texture, 265 soil organic carbon, bulk density and pH), the gridded digital elevation model (DEM, Fig. 1) 266 with a resolution of 5 m \times 5 m, the spatial distribution of land use (Fig. 1) and cropping 267 systems, and the field management practices. Taking the efficiency of the model calculation 268 and the accuracy of the biogeochemical process description into consideration, the upgraded 269 270 CNMM-DNDC model conducted a simulation with a grid of $15 \text{ m} \times 15 \text{ m}$ from 2004 to 2017, with an initial spin-up period of ten years. The DEM, soil properties, land use, cropping 271 systems, field management practices and meteorological data from 2004 to 2014 were 272 273 primarily adapted from Zhang et al. (2018). The remaining meteorological data were adapted from the hourly observations provided at the National Science & Technology Infrastructure 274 (http://rs.cern.ac.cn). The information about the vertical layered soil properties (e.g., soil bulk 275 density, pH, clay content, field capacity, wilting point, saturated hydraulic conductivity, organic 276 C, and total N and P contents) of different land uses were listed in Table S1. The input data of 277 soil properties, DEM, land use, cropping systems, and field management practices were 278 resampled to the ASCII grids with a resolution of $15 \text{ m} \times 15 \text{ m}$ using the ArcGIS 10.0 software 279 package (ESRI, Redland, CA, USA). The observation data measured at the lysimetric plot and 280 the catchment outlet, which were listed in -Table S2, (Fig. 1) contributed to model calibration 281 and validation. The surface runoff, subsequentassociated sediment yield, and particulate and 282

283	total N losses from 2004 to 2006 with three replicates and the surface runoff,
284	subsequentassociated sediment yield, and total P loss from 2017 to 2018 with three replicates
285	measured at the lysimetric plots were adapted from Deng et al. (2011) and Hu (2020),
286	respectively. The monthly stream flow, sediment yield, and particulate and total N losses from
287	2007 to 2008 measured at the catchment outlet were directly cited from Deng et al. (2011).
288	Total N referred to the total amount of NH4 ⁺ , NO3 ⁻ , dissolved organic N and particulate N.
289	Total P referred to the total amount of dissolved organic and inorganic P and particulate P.
290	Among them, the observed data from the lysimetric plot in 2004 (with seven observation times)
291	and 2016 (with four observation times and a heavy precipitation event) and the observed data
292	from the catchment outlet in 2007 were used for model calibration, and the remaining observed
293	data were used for model validation. Previously, a comprehensive and systematic verification
294	of the CNMM-DNDC simulation on soil temperature, soil moisture, crop yield, water flows,
295	nitrate loss, fluxes of methane, ammonia, NO and N2O, and stream discharges of water and
296	NO3 ⁻ had been conducted by Zhang et al. (2018), which performed statistically in good
297	agreement with the observations.
298	2. <u>5</u> 4 Climate and land use scenario settings
299	Scenario analysis was adopted to assess the impact of climate change and land use change
300	on water-induced erosion and its accompanying nutrient losses. The baseline scenario was set
301	as the traditional land use types and managements The annual accumulated yields of sediment
302	and particulate C, N, and P nutrient losses at the outlet in 2008 (the year for model validation) -

303 with local and historical meteorologysimulated by the upgraded model were used as the

304	baseline values of the scenario analysis. Two groups of climate change and land use change
305	scenarios were designed: single-factor change and multifactor change scenarios (Table S3).
306	The single-factor change scenarios altered only one factor while keeping the others constant.
307	The single-factor change scenarios of climate change consisted of two parts. One part for air
308	temperature change was altered within the range of -4 °C to $+4$ °C with an interval of 0.2 °C-
309	(abbreviated as T_{air} + the increase value of air temperature or T_{air} - the decrease value of air
310	temperature). The other part for precipitation change was altered by the range from -30% to
311	+30% with an interval of 2% (abbreviated as P + the increase percentage of precipitation or P -
312	the decrease percentage of precipitation). For the sake of argument, we divided air temperature
313	and precipitation single-factor scenarios into four groups: lower and higher warming group (i.e.,
314	air temperature increased from 0°C to 2°C and from 2°C to 4°C), lower and higher cooling
315	group (i.e., air temperature decreased from 0°C to 2°C and from 2°C to 4°C); lower and higher
316	rain-enhanced group (i.e., precipitation increased from 0% to 20% and from 20% to 30%),
317	lower and higher rain-reduced group (i.e., precipitation decreased from 0% to 20% and from 20
318	to 30%). A single-factor change scenario of land use was designed as the sloping upland
319	changed into forest land with the lower soil erosion rate (i.e., UFL scenario). The existing land
320	use conversion to another type, such as the change from cropland to forest land or some other
321	land use, is a kind of compromise and required a sensitivity analysis to the model simulation
322	rather than representing the conditions of the real natural system (Dey and Mishra, 2017). The-
323	multifactor change group was designed to simultaneously consider climate and land use change.
324	The IPCC's Summary for Policy-makers (IPCC, 2021) points out that the average annual

325	global land precipitation is projected to increase by 10.5% and 30.2% at the 1.5 °C and 4 °C
326	warming levels, respectively. According to the correspondence between climate warming and
327	increasing precipitation in the IPCC's AR6, The IPCC's Summary for Policy-makers (IPCC,
328	2021) points out that the average annual global land precipitation is projected to increase by
329	10.5% and 30.2% at the 1.5 °C and 4 °C warming levels, respectively. According to the
330	correspondence between climate warming and increasing precipitation in the IPCC's AR6, the
331	multifactor change scenarios were designed into two multiple climate change scenarios: the
332	low and high greenhouse gas (GHG) emissions scenarios. The low GHG emissions scenario
333	represents air temperature and precipitation increasing by 1.5 °C and 10%, respectively, while
334	the high one represents air temperature and precipitation increasing by 4 °C and 30%,
335	respectively. For the sake of argument, we also divided air temperature and precipitation
336	single-factor scenarios into four sets. The scenarios with air temperature increases greater than
337	2 °C were defined as the higher warming scenarios, while the lower ones were defined as the
338	scenarios with air temperature changes from 0 °C to 2 °C. The scenarios with air temperature
339	reductions greater than 2 °C were defined as the higher cooling scenarios, while the lower-
340	cooling scenarios were defined as the scenarios with air temperature changes from -2 °C to-
341	0 °C. Similarly, the scenarios with precipitation increases greater than 20% were defined as the
342	higher rain-enhanced scenarios, while the lower ones were defined as the scenarios with
343	precipitation changes from 0% to 20%. The scenarios with precipitation decreasing more than
344	20% were defined as the higher rain-reduced scenarios, while the lower rain-reduced scenarios-
345	were defined as the scenarios with precipitation change from -20% to 0%. Furthermore, we
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also explored the effect of the low and high GHG emissions scenarios in a combination of land 346 use change scenarios (i.e., UFL scenario) on sediment yield and particulate nutrient yields. The 347 348 tillage scenario analysis was involved in the scenario analysis of alternative management practices, which were conducted as the no tillage operations of the short term in 2008 and the 349 long term from 2004 to 2008. The relative change deviations of the simulated annual 350 351 accumulated sediment and particulate nutrient losses at the catchment outlet of the designed scenarios from the baseline were provided as the quantitative evaluation index for scenario 352 analysis (Abdalla et al., 2020; Dubache et al., 2019). Moreover, the crop yield changes between 353 the designed scenarios and the baseline were evaluated in the scenario analysis. 354

355 **2.65** Evaluation of model performance and statistical analysis

The performance of the upgraded CNMM-DNDC model in simulating sediment and 356 particulate nutrient losses was evaluated using the normalized root mean square error (nRMSE), 357 the Nash–Sutcliffe index (NSI) and the slope, determination coefficient (R^2) and significance 358 level (p) of the zero-intercept univariate linear regression (ZIRULR) between the simulation 359 and observation. The nRMSE and NSI values are calculated by Eq. 8 and Eq. 9, respectively. 360 O_i and S_i are the observed and simulated values, respectively. \overline{O} is the mean value of the 361 observed data, and *n* is the number of paired samples. If the value of nRMSE is closer to 100, 362 the values simulated by the model are more coincident with the observed values (Cui et al., 363 2014; Smith et al., 1997). The value of the NSI provides the discrepancy between the simulated 364 values and the mean of the observed values, with a positive value indicating an acceptable 365 simulation (Li et al., 2022a). The closer to 1 the slope and R^2 of the ZIRULR are, the better the 366

simulated values match the observed values. The Origin 8.0 (OriginLab Ltd., Guangzhou, China) and ArcGIS 10.0 software packages were used for graph drawing. 368

nRMSE =
$$\frac{100}{\overline{O}} \sqrt{\frac{\sum_{i=1}^{n} (S_i - O_i)^2}{n}}$$
 (8)

NSI =
$$1 - \frac{\sum_{i=1}^{n} (S_i - O_i)^2}{\sum_{i=1}^{n} (O_i - \overline{O})^2}$$
 (9)

In addition, linear-Pearson correlations were carried out to study the relationships between 369 370 the variables relevant to soil erosion and that related to the biogeochemistry process. The Pearson correlation coefficient (r) is used to measure the correlation between two variables, 371 with the value ranging from -1 to 1. The R project was applied for the graph drawing of the 372 373 correlation matrix.

3. Results 374

3.1 Model performance in simulating soil erosion in the lysimetric plot 375

Among the only eight soil erosion observations conducted at the lysimetric plot from 2015-376 to 2017, four observations in 2016 were provided for model calibration. Given the limited size 377 of the samples, the performance of the upgraded CNMM-DNDC model was revealed using 378 379 only the graph of the predictions and observations (Fig. 2a-c), without a quantitative evaluation with the above statistical criteria. The temporal dynamic patterns of the simulated 380 surface runoff, sediment and concomitant particulate P yields were in accordance with the 381 382 observed values when either model calibration or validation was performed (Fig. 2 a-c). Nevertheless, on July 23, which was a heavy precipitation event (213 mm precipitation during 383 the seven days prior to the observation day) in 2016, the upgraded model overestimated the 384

367

observed sediment yield by approximately 6 times (3.6 versus 0.6 t ha⁻¹, Fig. 2b). However, the 385 simulated surface runoff and total P loss were only approximately 60% and 20% larger than the 386 observed values, respectively. Unfortunately, the simulated peaks of surface runoff and 387 sediment yield at the end of June 2015 lacked the support of the observations. Previous results-388 by the authors also demonstrated that the upgraded CNMM-DNDC performed well in-389 390 simulating surface runoff, sediment yield, and particulate N loss in 2004 (i.e., the verificationperiod) in the lysimetric plot, with significant ZIRs and credible nRMSE values of 15.2%, 391 32.0%, and 88.0%, respectively (Table S1 and Fig. 2d-g). Moreover, we conducted an 392 evaluation of the simulated and observed NH₄⁺ and NO₃⁻ losses accompanied by surface runoff 393 in the lysimetric plot (Fig. S21). The upgraded model generally captured the temporal variation 394 and magnitude of the observed NH4⁺ and NO3⁻ loss, although discrepancies existed in the 395 magnitude of the peak loss (i.e., the model underestimated NH₄⁺ loss caused by approximately 396 100 mm precipitation on September 4, 2006; Fig. S21). 397

398 3.2 Model performance in simulating soil erosion at the catchment outlet

The monthly observed and simulated stream flow, sediment yield, particulate and total N losses at the outlet of the Jieliu catchment from 2007 to 2008 are illustrated in Fig. 3. The observed stream flow and sediment yield began to increase dramatically with the concentrated precipitation in summer and early autumn but rarely occurred in winter and spring (Fig. 3a–c). The upgraded CNMM-DNDC model successfully predicted the above temporal pattern of the stream flow and sediment yield at the catchment outlet with <u>acceptable</u>eredible NSI values of 0.89 and 0.89 and significant ZIRULRs with R^2 values of 0.984 and 0.962 and slope values of 406 0.9885 and 0.9088 for model validation, respectively (Table 1). Moreover, model validation of
407 sediment yield resulted in a larger nRMSE (38.23%) than that of stream flow simulation
408 (34.57%).

409	The observed particulate and total N losses revealed a similar temporal pattern to that of
410	sediment yield (Fig. 3d-e) ranged from 0 to 56.3 kg mon ⁻¹ and 0.9 to 283.1 kg N mon ⁻¹ with a
411	mean value of 10.5 and 55.9 kg N mon ⁻¹ , respectively. The corresponding simulated particulate
412	and total N losses resulted in ranges of 0.5 to 50.4 kg N mon ^{-1} and 18.8 to 196.0 kg N mon ^{-1}
413	with averages of 12.0 and 65.1 kg N mon ⁻¹ , respectively. The upgraded model provided an
414	overestimation of the particulate N loss in August 2007 and September 2008 by 11.3 and 14.8
415	kg N mon ⁻¹ , respectively. The particulate N losses in February 2007, March 2007, July 2007
416	and July 2008 and total N loss in summer were underestimated. However, in terms of the
417	validation, statistical comparisons between the simulated particulate and total N losses yielded
418	significant <u>ZIRULR</u> s with R^2 values of 0.885 and 0.986 and slope values of 0.9280 and
419	1.530.96, nRMSE values of 57.75% and 42.55%, and NSI values of 0.74 and 0.86, respectively
420	(n = 12; Table 1). Meanwhile, the upgraded CNMM-DNDC model successfully predicted the
421	temporal variation and magnitudes of NO_3^- loss at the catchment outlet, although the model
422	slightly underestimated the peak loss in July and August of 2007 and in September of 2008
423	(Fig. S32). The successfully prediction of the particulate N and NO_3^- losses and the
424	underestimation of the total N loss in July of 2007 might illustrate that the model
425	underestimated NH4 ⁺ or dissolved organic N losses in July of 2007. As the above results
426	demonstrated, the simulated and observed particulate and total N losses at the catchment outlet

427 indicated good agreement despite the slight underestimation of the individual large values428 when heavy precipitation occurred.

429 **3.3 Components of the simulated TN and PN at the catchment outlet**

The monthly components of TN and/or PN simulated from the original and upgraded 430 CNMM-DNDC model during the model validation of 2008 at the catchment outlet were 431 illustrated in Fig. 4. Among the TN components including PN, NH4⁺, dissolved organic 432 nitrogenN (DON) and NO₃, the simulation from both of the original and upgraded 433 CNMM-DNDC demonstrated that the proportion of NO₃⁻ at the catchment outlet was larger 434 than that of NH4⁺ during the period from May to September when the larger precipitations 435 appeared. Moreover, the upgraded CNMM-DNDC demonstrated that the PN accounted for up 436 to 16.2%-26.6% of the TN components during the period with larger precipitations. 437 438 Meanwhile, the labile or resistant humushumads N accounted for 11.3%-20.3% of the PN components, though the passive humus N accounted for the largest of the PN components. In 439 addition, compared with the original model, the upgraded model simulated the observed TN 440 with smaller nRMSE (42.55% versus 51.67%), better NSI (0.86 versus 0.80) and slightly 441 improved r^2 of the ZIRULRs (0.98 versus 0.970) though no significant difference was found of 442 the ZIRs between the original and upgraded model (Fig. 4). 443

444 3.4 Spatial distributions of sediment yield and particulate C, N, and P losses

Figure 5 illustrated the simulated spatial distributions of the sediment yield and particulate C, N, and P losses and the effects of different land uses on those in the validation year 2008. The annual accumulated sediment yield simulated by the upgraded model amounted to 0-106.6t ha⁻¹ yr⁻¹ with an average of 5.0 t ha⁻¹ yr⁻¹ in 2008, which was a moderate rainfall year (952

449	mm) with eight large rainstorm events (exceeding 50 mm rainfall within 24 hours). The
450	simulated annual accumulated particulate C, N, and P losses yielded 0-595.7 kg C ha ⁻¹ yr ⁻¹ ,
451	0-56.0 kg N ha ⁻¹ yr ⁻¹ , and $0-7.9$ kg P ha ⁻¹ yr ⁻¹ with averages of 63.6 kg C ha ⁻¹ yr ⁻¹ , 6.1 kg N
452	ha ⁻¹ yr ⁻¹ and 0.9 kg P ha ⁻¹ yr ⁻¹ , respectively. The sloping cultivated cropland areas contributed
453	to the greatest losses of sediment and particulate C, N, and P nutrients, with 68%, 60%, 58%
454	and 57% of the total, respectively. Approximately 21% of sediment loss came from the
455	residential areas as the second largest contributor to sediment loss, while the forest areas were
456	the secondary sources to particulate C, N, and P losses, with 30%, 32%, and 32% of total losses,
457	respectively. Meanwhile, the highest rates of the particulate C, N, and P losses per unit area
458	occurred in the sloping cultivated cropland areas, with 84.1 kg C ha ⁻¹ yr ⁻¹ , 7.7 kg N ha ⁻¹ yr ⁻¹
459	and 1.1 kg P ha ⁻¹ yr ⁻¹ , respectively. However, the residential areas yielded to the highest rates
460	of sediment, i.e., 8.6 t ha ⁻¹ yr ⁻¹ . The second largest loss rates per unit area of the particulate C,
461	N, and P appeared in the residential areas. These results demonstrated that sediment yield and
462	particulate C, N, and P losses caused by surface runoff in the Jieliu catchment were directly
463	relevant to the type of land use, and the sloping cultivated cropland area became the primary
464	source of sediment yield and particulate C, N, and P losses. Meanwhile, sediment and
465	particulate C, N, and P losses from the residential areas could not be neglected.
466	Moreover, the upgraded CNMM-DNDC model coupled the biogeochemical processes
467	with soil erosion, which was able to predict the crucial variables relevant to biogeochemical
468	processes, including the productivity, greenhouse gases, contaminated gases and NO_3^- loss and
469	the variables related to soil erosion, including the losses of sediment and particulate C, N and P

470 (Fig. S<u>4</u>3, Text S1).

471 **3.5** Sediment yield and particulate C, N, and P losses under different scenarios

The simulated results of the single-factor change scenarios of precipitation and 472 473 temperature were presented in Figure 6. The sediment yield and particulate C, N, and P losses (i.e., the target variables) increased with precipitation or air temperature which was reflected by 474 the positive values. The more positive the slope value is, the greater the target variables 475 increase and vice versa. The slopes between the air temperature changes of the higher and 476 lower cooling and warming scenarios and the sediment yield changes yielded -1.25, -1.00, 477 478 -0.38 and -0.40, respectively. Compared to the slopes of the lower warming scenarios and the lower cooling scenarios, the slopes of the higher warming scenarios and the higher cooling 479 scenarios provided 21% and 5% higher yields of sediment, respectively. Meanwhile, the 480 481 changes in particulate C, N, and P losses provided similar but stronger responses to the higher cooling scenarios. However, the particulate nutrient losses showed a complicated response to 482 the warming scenarios. The changes in the particulate nutrient losses provided an increasing 483 tendency in response to the increase of air temperature. For the lower warming scenarios, the 484 particulate nutrient losses increased with air temperature. The changes in the particulate 485 nutrient losses provided an increasing tendency in response to the increase in air temperature. 486 In terms of the higher warming scenarios, the particulate nutrient losses were still increasing, 487 but the rates of increase rate decreased. Compared to the baseline scenario, the scenarios with 488 the air temperature change from 0 °C to -1 °C provided a slightly raising in crop yields, but the 489 crop yields were decreased as the air temperature continued to reduce. And the crop yields 490

491 were reduced with the increasing air temperature. These results proved that the increase in air
492 temperature decreased the losses of sediment but increased the particulate C, N, and P losses,
493 although the promoting effect became weaker for the higher warming scenarios.

The slopes between the precipitation changes of the higher and lower rain-reduced and 494 rain-enhanced scenarios and the sediment yield changes resulted in the values of 0.27, 0.37, 495 496 0.52 and 0.65, respectively. In comparison with the lower rain-enhanced and rain-reduced scenarios, the slopes of the higher rain-enhanced and rain-reduced scenarios provided 24% 497 higher and 34% lower yields of sediment, respectively. Meanwhile, the changes in particulate 498 499 nutrient losses provided similar but weaker responses to the changes in precipitation. The above results demonstrated that the losses of sediment and particulate nutrients increased with 500 the increasing precipitation. In addition, the contribution from such an elevation role of 501 precipitation tended to be stronger for the higher rain-enhanced scenarios. Furthermore, the 502 changes in sediment and particulate C, N, and P losses were more sensitive to the precipitation 503 scenarios than to the temperature scenarios. The precipitation altered by the range from -30%504 to +30% posed a minor influence on crop yields (within $\pm 0.03\%$). Comparison with the 505 baseline, the scenarios with the precipitation increasing within 18% yielded to a slightly 506 increased crop yields, while crop yields slightly decreased with the scenarios of the reducing 507 508 precipitation and over 20% increased precipitation.

Table 2 illustrated the results of the multifactor change scenarios and the land use change single-factor scenario ($\underline{U}FL$ scenario). Compared to the baseline scenario, the $\underline{U}FL$ scenario reduced stream flow, sediment yield, and particulate nutrient losses by -12.2%, -3.6%, -5.6%,

512	-7.0%, and $-7.2%$, respectively. In comparison with the baseline scenario, the low GHG
513	emissions scenario with air temperature increasing by 1.5 °C and precipitation increasing by
514	10% increased the stream flow, sediment yield and particulate C, N, and P losses by 21.2%,
515	4.1%, 5.3%, 5.3% and 5.3%, respectively. The increasing effects of the high GHG emissions
516	scenarios on the sediment and particulate nutrient losses were more than three times those of
517	the low GHG emissions scenarios. The crop yield change between the low GHG emissions
518	scenario and the baseline scenario yielded to -6.0%, while the crop yield of the high GHG
519	emissions scenario accounted for 16.6% lower than the baseline. The low GHG emissions
520	under the $\underline{U}FL$ scenario increased the stream flow and sediment yield by 5.2% and 0.2%,
521	respectively, but decreased the particulate C, N, and P losses by -0.8%, -2.3%, and -2.5%,
522	respectively. Moreover, the high GHG emissions under the UFL scenario increased the stream
523	flow, sediment yield, and particulate C, N, and P losses by 47.9%, 9.2%, 9.3%, 7.8%, and 7.7%,
524	respectively. The short-term and long-term no-tillage scenarios decreased the losses of
525	particulate nutrients by approximately 2.5%1% and 20%, respectively, but provided almost no
526	effect on sediment yield compared with the baseline scenario (Fig. S5data not shown).
527	3.6 Relationship among the variables relevant to soil erosion, productivity and C/N losses
528	Figure 7 illustrated the relationships between the variables relevant to soil erosion and
529	biogeochemistry for different land use types, which were derived from model simulation. No
530	soil erosion in the winter-flooding paddy with the paddy rice-flooding fallow regime (RF)RF-
531	erop system because of the year-round flooding regime. For the other three land use types, the
532	significant positive correlations ($r > 0.88$) between sediment yield and particulate nutrients

533	were found, because they were entrained by water and moved with water flow. With regard to
534	the sloping uplands (SU) SU crop system, the particulate nutrients were significantly correlated
535	with NO ₃ ⁻ losses through leaching ($r > 0.6$), though the correlation coefficient between
536	sediment yields and NO_3^- losses through leaching only yielded to 0.26 (insignificantly). For the
537	seasonally waterlogged paddy SP crop system, the variables related to soil erosion (including
538	sediment yields and particulate nutrients) were negatively correlated with NH ₃ emissions ($r >$
539	0.65), while they were positively correlated with NO ₃ ⁻ losses through runoff ($r < -0.61$). As to
540	the forest land (FL)FL, significantly positive correlations between the variables related to soil
541	erosion and NO ₃ ⁻ losses through leaching/runoff were found ($r > 0.72$), which might be
542	because all these variables were related to the precipitation. The productivity performed
543	negative impacts on sediment yield and particulate nutrients in the RF an FL systems while the
544	productivity provided a slightly negative impact on sediment yield but a slightly positive
545	impact on the particulate nutrients in the SP system.
546	4. Discussion
547	4.1 Effect of land use on soil erosion and particulate C, N, and P losses
548	Land use change has been considered one of the most important factors affecting the
549	intensity and distribution of surface runoff and soil erosion (Dunjó et al., 2004; Kosmas et al.,
550	1997; Wei et al., 2007; Zhang et al., 2021b). Our study also provided consistent results, which
551	indicated that the intensity of soil erosion and the corresponding particulate C, N, and P losses
552	in the Jieliu catchment were closely related to land use, with the following order: sloping
553	cultivated cropland > residential area > forest land. The residential area with the waterproofed
554	concrete roads and residential buildings, which was the secondary source to soil erosion, might 27

be because it provided the largest surface runoff among these three land use types in the 555 concerned year of 2008 (Fig. S6), though the limited soil was exposed for erosion. There were 556 three major reasons why forest land contributed to the lowest losses of sediment and particulate 557 nutrients among the above three land uses. First, canopy interception reduced the amount of 558 rainfall reaching the ground, which directly decreased the occurrence of runoff and 559 560 subsequentassociated erosion (Greene and Hairsine, 2004; Hou et al., 2020; Vasquez-Mendez et al., 2010). Several previous studies also reported that forest land with a thick canopy 561 exhibited a lower amount of runoff than did other land uses (Mehri et al., 2018; Mohammad 562 and Adam, 2010; Nunes et al., 2011). Fortunately, the direct protection mechanism by canopy 563 interception was involved in the CNMM-DNDC model, which was calculated using the leaf 564 area index (Zhang et al., 2018). Second, the litter cover of forest land protects the soil surface 565 from the direct splash and detachment of raindrops, which can decrease the formation of 566 mechanical crusts and increase the infiltration capacity and hence diminish the potential for 567 surface runoff and soil erosion (Casermeiro et al., 2004; Lemenih et al., 2005; Wainwright et 568 al., 2002). However, the CNMM-DNDC did not take the protection of litter cover on the soil 569 surface into consideration. Further observation data and studies are needed to introduce the 570 mechanism of the effect of litter cover on surface runoff and soil sediment into the 571 CNMM-DNDC model. Last, forest land is equipped with higher soil organic matter and 572 573 hydraulic conductivity than other land uses, which can indirectly enhance soil infiltration and reduce surface runoff (Abrishamkesh et al., 2011; Fu et al., 2000; Lemenih et al., 2004). The 574 excellent soil properties of forest land soil (e.g., higher soil organic matter and vertical 575

576	saturated hydraulic conductivity) have been involved in the CNMM-DNDC model inputs.
577	Moreover, as the forest litterfall returned to the soil and participated in further C and N cycling,
578	the content of soil organic matter was enhanced and accumulated. With regard to the scenario
579	analysis, we found that the scenarios related to the forest landFL contributed to greater
580	decreases in sediment yield than surface runoff (Table 2). The results of the lysimetric plot
581	experiments by Chen et al. (2012) also demonstrated that vegetation types and human
582	interference had a relatively small impact on surface runoff but had an appreciable effect on
583	sediment yield.
584	The canopy of the cultivated cropland served as a weaker hindrance to rainfall-, which
585	suffered from more surface runoff, than that of the forest canopy, which suffered from more
586	surface runoff. However, the different effects on soil erosion and rainfall interception by
587	various crop planting density (Panagos et al., 2015a), e.g., the wide row maize and the dense
588	grass-like wheat, and different crop types (Willianm, 1990) needed more observations to
589	modify and evaluate the CNMM-DNDC in future. Furthermore, frequent agricultural activities
590	(i.e., tillage) loosen the subsurface soil and nutrients, which raises the risk of soil erosion and
591	the associated subsequent loss of particulate nutrients (Gregorich et al., 1998; Moldenhauer et
592	al., 1967; Muukkonen et al., 2009). The CNMM-DNDC model has taken the vertical mixing
593	effect of tillage on the <u>chemical <u>upper and lower</u> soil <u>properties</u> into consideration, and this</u>
594	process left the subsurface soil organic nutrients unprotected and prone to erosion. This
595	explained the reduction in particulate C, N, and P nutrient losses under the no-tillage scenarios
596	(Fig. S5data not shown). However, several studies found that tillage disturbed the soil structure

597	and pore size distribution (Carof et al., 2007; Castellini and Ventrella, 2012; Kay and
598	VandenBygaart, 2002; Nunes et al., 2010), which made the effect of agricultural activities on
599	surface runoff and soil erosion difficult to model (Leitinger et al., 2010). Given that the vertical
600	mixing effect of tillage on soil chemical properties instead of soil physical properties was not
601	considered in the CNMM-DNDC, the yields of surface runoff and sediment resulting from the
602	no-tillage scenario were not decreased compared with the baseline scenario with tillage (Fig.
603	<u>\$5)</u> .
604	4.2 Effect of climate change on soil erosion and particulate C, N, and P losses
605	In past decades, the frequent occurrence of warming and extreme weather events (e.g.,
606	extreme precipitation events) has been irrefutable (IPCC, 2019). From 1998 to 2021, the
607	observed annual average air temperature and annual precipitation in the Jieliu catchment also
608	presented an increasing trend but did not have a significant regression relationship (Fig. <u>\$4</u> <u>\$7</u>).
609	In CNMM-DNDC, the biogeochemical processes were strongly influenced by air or soil
610	temperature (Table S4). There were two reasons of why the simulated soil erosion responded to
611	the air temperature changes. On one hand, the vegetation growth was sensitive to the air
612	temperature changes, which might be that the climate-sensitive vegetation growth affected the
613	C_v which was the effect factor of the soil erosion in Eq. 1. The increasing air temperature
614	provided a positive effect on the vegetation growth (e.g., leaf area index, Fig. S8), which
615	increased the precipitation interception by canopy to direct decrease the soil erosion. However,
616	the raising air temperature might shorten the duration of the vegetation growth period, which
617	directly shortened the period of the soils protected by crop canopy and lengthened the time of

618	the bare soils exposed to the surface runoff increasing the risk of erosion (Fig. S8). This
619	increasing risk of sediment yield when air temperature increased was not shown in this case
620	might because that the heavy rainfall events almost occurred the duration with vegetation
621	growth (Fig. S8). Besides, the decreasing air temperature weakened the processes of the
622	respiration and photosynthesis, which led to a slower vegetation growth (Fig. S8). On the other
623	hand, compared to the baseline scenarios, the climate warming scenarios, with a better
624	vegetation growth, conducted a higher evapotranspiration, which led to a reduction on soil
625	moisture content, to indirectly reduce the surface runoff and soil erosion. The asymmetric
626	response of sediment yield and particulate nutrient losses to the cooling and warming scenarios
627	might result from the different effects response of the cooling and warming of air temperature
628	on the vegetation growth to the cooling and warming of air temperature. The growth of
629	vegetation was strongly inhibited by the low temperature in the cooling scenarios through
630	affecting the duration and start time of the phenological stages. Our results of the scenario
631	analysis indicated that the losses of sediment slightly decreased with the scenarios treated with
632	climate warming alone, which lay in the higher C_v caused by the enhanced vegetation growth
633	(Ficklin et al., 2009; Zhang et al., 2020a; Zhou et al., 2003). We found that the decreasing
634	effect of increasing air temperature on sediment loss decreased (especially for the scenario with
635	an air temperature increase of 4 °C, Fig. 6), which might be because the enhanced effect of
636	increasing air temperature on vegetation growth is not unlimited. Once the air temperature
637	exceeds the threshold of the optimum temperature for photosynthesis and vegetation growth, it
638	would have a negative or even harmful impact on plant growth (Chapin, 1983; Schlenker and

Roberts, 2009). The complex response of the particulate C, N, and P losses to air temperature 639 increased, probably because they increased with ER the enrichment ratio and sediment yield, 640 but the enrichment ratio ER decreased with sediment. Therefore, the slightly increasing 641 sediment with increasing air temperature and the corresponding decreasing enrichment ratio 642 might lead to upward or downward fluctuations in particulate C, N, and P losses. However, we 643 644 found that the rate of soil loss increased with increasing precipitation amount and the corresponding increase in heavy rain events. Jiang et al. (2017) also found that the increase in 645 sediment loss was amplified by the increased precipitation, which was directly accompanied by 646 a dramatic and sustained increase in surface runoff. Therefore, the higher GHG emissions 647 scenarios, in which the soil erosion provided a higher increase response to the rising 648 precipitation and a lower and smaller decrease response to the rising air temperature, might 649 provide a greater risk of soil erosion than the low GHG emissions scenario. Overall, our results 650 indicated that the hydrology of the Jieliu catchment is very sensitive to potential future climate 651 changes, especially to the higher GHG emissions scenarios. 652

4.3 Interactive effect of climate and land use change on soil and nutrient losses

Changes in either climate or land use imply considerable influences on water and nutrient cycles in a catchment or region (Labat et al., 2004; Milliman et al., 2008; Piao et al., 2007; Yin et al., 2017). Our simulated results indicated that the reduction extent of the UFL scenario-with FL on soil erosion, especially on sediment yield and <u>associated</u>subsequent nutrient losses, offset the increasing extent caused by the low GHG emissions scenario. However, the UFL scenario-with FL was insufficient to totally offset the sediment and particulate C, N, and P

660	losses caused by the high GHG emissions scenario. Nevertheless, vegetation restoration might
661	still be able to slow the soaring process of soil erosion caused by climate change in the future.
662	Previous studies primarily focused on the effects of human activity and climate change on the
663	changes in surface runoff or stream flow. Wang et al. (2016) demonstrated that human activity
664	contributed to slightly larger effects on stream flow changes than climate (59% versus 41%) by
665	analyzing the long-term records of hydrological data in the Luan River basin in North China.
666	The results in the Heihe River basin in Northwest China showed that human activities were the
667	dominant contributor to the variation in runoff in the upper and middle reaches when compared
668	to climate change (Qiu et al., 2015). However, other studies have shown that the influence of
669	climate change on soil and water loss was greater than that of human activities. Jiang et al.
670	(2017) pointed out that climate change, in comparison with anthropogenic activities, was the
671	primary factor causing the changes in either stream flow or sediment discharge in the Yellow
672	River basin and Yangtze River basin in China. The Huron River catchment in southeastern
673	Michigan in the U.S.A. was more sensitive to climate change than to land use change, as
674	demonstrated by Barlage et al. (2002).

Furthermore, we found that the promoting impacts of both high and low GHG emissions scenarios on surface runoff were greater than those on sediment yield and <u>associated</u>subsequent particulate nutrient losses. In contrast, the reduction effect of the <u>UFL</u> scenarios with FL on sediment yield and <u>subsequentassociated</u> particulate nutrient losses was stronger than that on surface runoff (Table 2). These results demonstrated that human activity, e.g., the conversion from cropland with intensive human disturbance to forest land, resulted in a greater mitigation effect on sediment yield and <u>associated</u>subsequent particulate nutrient losses than on surface runoff. Therefore, further studies should consider the effects of human activity and climate change on surface runoff and on soil erosion as well as the <u>associated</u>subsequent nutrient losses. In summary, reasonable human intervention, such as rational land use change, is expected to be a feasible practice to decelerate soil erosion and <u>subsequentassociated</u> particulate nutrient losses without altering and disturbing the hydrological cycle of a catchment in the context of global warming.

688 **Conclusions**

The hydro-biogeochemical model (CNMM-DNDC) was improved by introducing the soil 689 erosion physical model (adopted from the simplified ROSE model) and the element (i.e., 690 carbon, nitrogen and phosphorus) enrichment module to estimate soil erosion and the 691 692 movements of particulate nutrients. The comparability between the simulation and observation, including surface runoff, sediment yield, and particulate nitrogen and phosphorus losses at the 693 lysimetric plot and the stream flow, sediment yield, and particulate N loss at the outlet of Jieliu 694 catchment, demonstrated that the upgraded CNMM-DNDC model could reliably simulate soil 695 erosion and the consequential particulate nutrient losses. The spatial distribution characteristics 696 of sediment yield and the consequential particulate carbon, nitrogen and phosphorus losses 697 were directly related to the spatial distribution of land use type, among which the sloping 698 cultivated cropland areas contributed to the greatest losses. The analysis of climate 699 single-factor change scenarios implied that the high GHG emissions scenarios provided a 700 greater potential risk of soil erosion, which resulted in the larger soil erosion rates than those in 701

the low GHG emissions scenarios. The scenarios with all non-forest land changes into forest
land decreased stream flow, sediment yield and particulate C, N, and P losses compared to the
baseline scenario. Anthropogenic activities (e.g., land use change) might be expected to help
mitigate the processes of soil and water losses accelerated by climate change in the future.

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Code and data availability

The CNMM-DNDC model was originally developed by the Institute of Atmospheric Physics using C++ language, which can be run on a standard PC. The upgraded model is available on the FigShare (https://doi.org/10.6084/m9.figshare.20210546).

710 **Author contribution**

Siqi Li arranged data, improved model and implemented the simulation, prepared the original draft. Yong Li, Xunhua Zheng, Wei Zhang developed the conceptualization and methodology of this study. Bo Zhu, Pengcheng Hu, Jihui Fan, Tao Wang collected and arranged data. Shenghui Han, Rui Wang, Kai Wang analyzed data and verified the results. Zhisheng Yao, Chunyan Liu improved the conceptualization and writing.

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725 **Competing interests**

The authors declare that they have no conflict of interest.

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1011	Table 1 Performance of the <u>upgraded</u> revised CNMM-DNDC model in simulating the stream
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1013	2007 to 2008. Total N refers to the total amount of NH_4^+ , NO_3^- , dissolved organic N and

1014 particulate N.

Variables	Operation	Size	nRMSE	NSI	<u>ULR</u> ZIR		
variables	Operation				Slope	R^2	р
Stream flow	Calibration	12	18.29	0.98	0.9 <u>4</u> 5	0.9 <u>6</u> 8	< 0.001
	Validation	12	34.57	0.89	0. <u>98</u> 85	0.9 <u>8</u> 4	< 0.001
Sediment loss	Calibration	12	34.02	0.94	0.9 <u>6</u> 1	0.9 <u>3</u> 6	< 0.001
	Validation	12	38.23	0.89	0. <u>90</u> 88	0.9 <u>6</u> 2	< 0.05
Particulate N loss	Calibration	12	49.45	0.87	0. <u>78</u> 93	0.8 <u>5</u> 8	< 0.001
	Validation	12	57.75	0.74	0. <u>92</u> 80	0.8 <u>8</u> 5	< 0.001
Total N loss	Calibration	12	56.98	0.86	1. <u>36</u> 21	0.9 <u>8</u> 0	< 0.001
	Validation	12	42.55	0.86	<u>1.53</u> 0.96	0. <u>98</u> 86	< 0.001

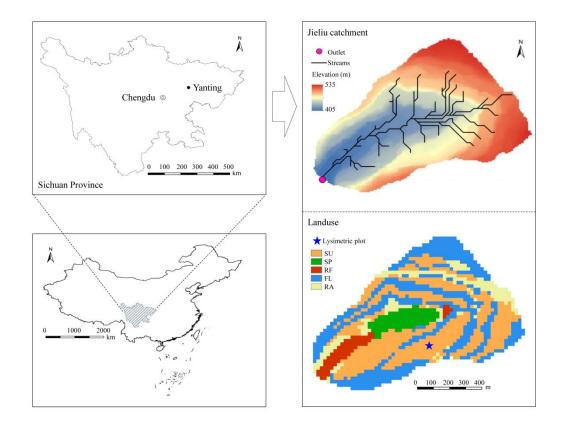
The statistical criteria used to quantify the discrepancy between observations and simulations include the normalized root mean square error (nRMSE), the Nash–Sutcliffe index (NSI) and the slope, determination coefficient (R^2) and significance level (p) of the zero-interceptunivariate linear regression (ULRZIR). Size represents the sample size. The column "Operation" represents the evaluation is conducted for model calibration or validation.

1020

1022	Table 2 Simulated comprehensive effects of precipitation, air temperature and land use change
1023	on crop yield (Yield), surface runoff, sediment yield, and particulate carbon (C), nitrogen (N)
1024	and phosphorus (P) losses in the validation year of 2008. The low greenhouse gas (GHG)
1025	emission scenario represents the scenario of air temperature increasing by 1.5°C and
1026	precipitation increasing by 10%. The high GHG emission scenario represents the scenario of an
1027	air temperature increase of 4°C and a precipitation increase of 30%. The UFL scenario is the

Change between the scenario and the baseline (%)						
Scenario	Surface runoff	Sediment yield	Particulate C	Particulate N	Particulate P	Yield
Low GHG	21.2	4.1	5.3	5.3	5.3	-6.0
High GHG	72.9	14.8	17.8	18.0	18.1	-16.6
<u>U</u> FL	-12.2	-3.6	-5.6	-7.0	-7.2	_
Low GHG with UFL	5.2	0.2	-0.8	-2.3	-2.5	_
High GHG with <u>U</u> FL	47.9	9.2	9.3	7.8	7.7	_

1028 abbreviation of the scenario of upland change into forest land.



1032

Fig. 1 The location, digital elevation model and land use types of the Jieliu catchment. The land use types are the sloping uplands <u>(SU)</u> with the summer maize–winter wheat rotation (SU), seasonally waterlogged paddy <u>(SP)</u> with the paddy rice–winter wheat rotation or paddy rice–rape rotation (SP), the winter-flooding paddy with the paddy rice-flooding fallow regime (RF), <u>and the</u>-forest land (FL) and the village residential area (RA).

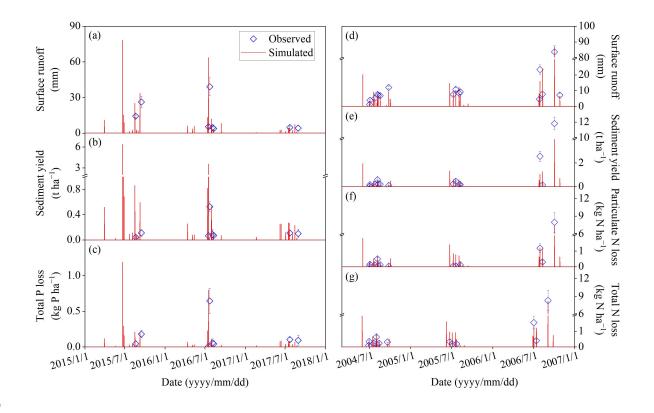


Fig. 2 Observed and simulated surface runoff (a), sediment yield (b) and total phosphorus (P) losses (c) from 2015 to 2017 and surface runoff (d), sediment yield (e), particulate nitrogen (N) loss (f) and total N loss (g) from 2015 to 2017 in the lysimetric plot. Total P refers to the dissolved and particulate P. Total N refers to the total amount of NH_4^+ , NO_3^- , dissolved organic N and particulate N. The vertical bars indicate the standard error of three spatial replicates. The observed data cited from Deng et al. (2011), Zhang et al. (2018), Li et al. (2022) and Hu (2020) were provided by Bo Zhu.

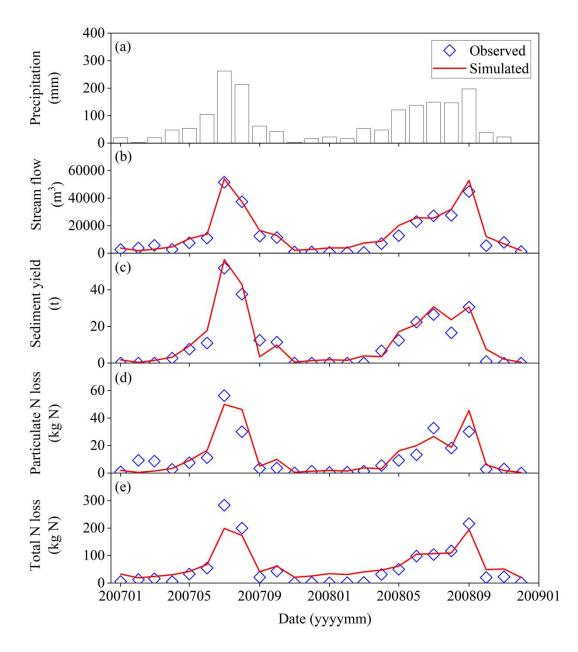




Fig. 3 Monthly observed precipitation (a), observed and simulated stream flow (b), sediment yield (c), particulate nitrogen (N) loss (d) and total N loss (e) at the outlet of the Jieliu catchment from 2007 to 2008. Total N refers to the total amount of NH_4^+ , NO_3^- , dissolved organic N and particulate N. The observed data cited from Deng et al. (2011) and Zhang et al. (2018) were provided by Bo Zhu.

DON m	Original mo r observed and simula odel validation, nRMS $I = 0.80; r^2 = 0.97, p$	ted TN during SE = 51.67%;	Upgraded model For observed and simulated TN during model validation, nRMSE = 42.55%; NSI = 0.86; $r^2 = 0.85$, $p < 0.001$, $n = 12$			RE MB HA HU	MB Components of PN		
Jan 19.2% 32.7%	Feb	Mar 18.2% 45.8%	Jan 18.4% 46.1% 5.5%	Feb 19.7% 26% 49.3% 5%	Mar 16.9% 31.3% 42.5% 9.4%	Jan 91.6% 7.6%	Feb	Mar 92% 7.6%	
Apr 15.5% 45.5% 39%	May 15.7% 43.1% 41.2%	Jun 9.2% 24%	Apr 14.8% 41.1% 37.3% 6.7%	May 11.7% 5 26.6%	Jun 7.6% 52.8% 20.1% 19.5%	Apr 91,6% 7.6%	May	Jun 84.5%	
Jul 68.1% 8.8% 23.1%	Aug 64.2% 9.9% 25.9%	Sep 76.2% 6.6% 17.2%	Jul 6.8% 50.1% 17.9% 25.2%	Aug 49.3% 24.9% 16.2%	Sep	Jul	Aug 83.2%	Sep	
Oct 17.5% 38.1%	Nov 54,9% 32.2% 12.9%	Dec 26.7%	Oct 15.5% 32.6% 39.5% 12.4%	Nov 2,48 ¹⁰ 51.5% 31.9% 3.8%	Dec 64.5% 26.1% 64.5% 2.3%	Oct	Nov 91.6% 7.6%	Dec 91.7%	

Fig. 4 Components of the simulated total nitrogen (TN) of the original CNMM-DNDC and/or
components of the simulated TN and particulate N (PN) of the original and upgraded
CNMM-DNDC-model during the model validation. DON is the abbreviation of the dissolved
organic nitrogen. The components of PN are the N from residue (RE), microbe (MB), labile or
resistant humushumads (HA) and passive humus (HU).

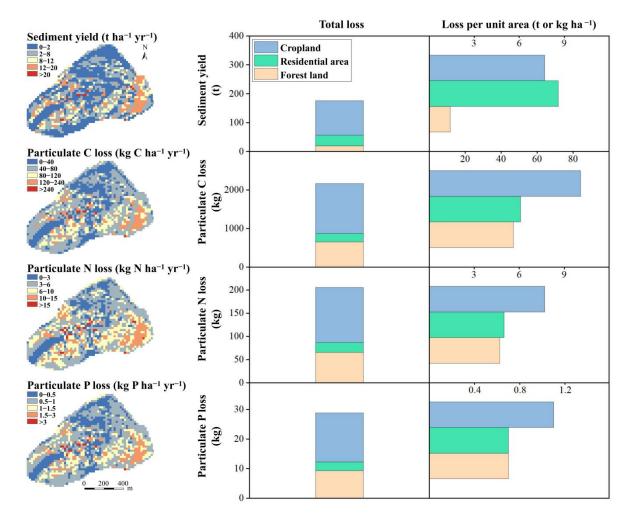


Fig. 5 Simulated spatial distributions of sediment yield, particulate carbon (C), nitrogen (N) and phosphorus (P) losses and the effects of different land uses (i.e., cropland, residential area and forest land) in the validation year of 2008.

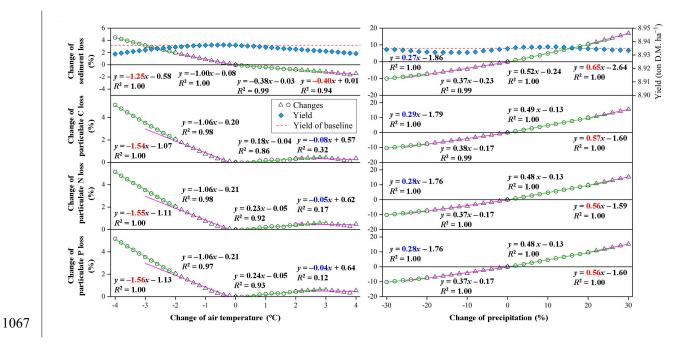


Fig. 6 Simulated effects of precipitation and air temperature change on sediment yield and 1068 particulate carbon (C), nitrogen (N) and phosphorus (P) losses in the validation year of 2008. 1069 The air temperature and precipitation single-factor scenarios were divided into four sets. The 1070 1071 scenarios with air temperature reductions and increases 0°C~2°C and greater than 2°C were defined as the lower and higher cooling and warming scenarios, respectively. Similarly, the 1072 scenarios with precipitation reductions and increases 0%~20% and greater than 20% were 1073 1074 defined as the lower and higher rain-reduced and rain-enhanced scenarios, respectively. The numbers in blue and red in front of the letter x represent that the higher warming or cooling 1075 scenarios (or the higher rain-enhanced or rain-reduced scenarios) result in more and lower 1076 effects on sediment yield and particulate C, N and P losses than the lower ones, respectively. 1077 The green and violet lines are referred to the linear regressions between the changes of the 1078 climate variables (i.e., air temperature and precipitation) and the changes of the variables 1079 associated to soil erosion. The lines are color-coded to distinguish the results of the different 1080 1081 scenarios.

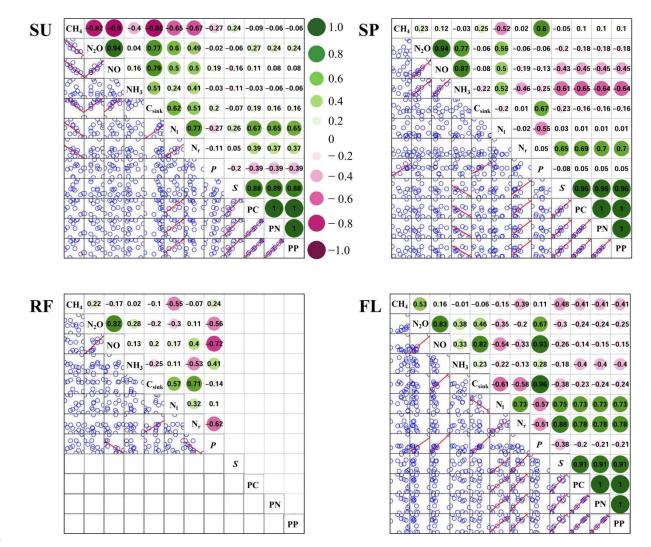


Fig. 7 Correlation analysis among the simulated sediment (S), particulate carbon (PC), nitrogen 1083 1084 (PN) and phosphorus (PP) losses, productivity (P), C sink density (C_{sink}), methane (CH₄), 1085 nitrous oxide (N₂O), nitric oxide (NO) and ammonia (NH₃) emissions, losses of nitrate through leaching (N₁) and surface runoff (N_r) for different land use types. The land use types are the 1086 1087 sloping uplands (SU) with the summer maize-winter wheat rotation (SU), seasonally waterlogged paddy (SP) with the paddy rice-winter wheat rotation or paddy rice-rape rotation-1088 1089 (SP), the winter-flooding paddy with the paddy rice-flooding fallow regime (RF) and the forest land (FL). No losses of S, PC, PN, and PP in the RF crop system because of the year-round 1090 flooding regime. The figures in the circles stand for the correlation coefficients. The-1091

1092	correlations with the level of $p < 0.05$ are considered as significant and the linear regression
1093	lines are exhibited. The scatter plots of the bottom left are relating to the correlation coefficients
1094	and the linear regression curves (i.e., the red line) are provided when the correlations with the
1095	<u>level of $p < 0.05$ are considered as significant.</u>