

1 **Enabling a process-oriented hydro-biogeochemical model to simulate soil**  
2 **erosion and nutrient losses**

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20 **Abstract**

21 Water-induced erosion and associated particulate carbon (C), nitrogen (N) and phosphorus  
22 (P) nutrient losses were the vital parts of biogeochemical cycling. Identifying their intensity  
23 and distribution characteristics is of great significance for the control of soil and water loss  
24 and N/P nonpoint source pollution. This study incorporated the modules of physical soil  
25 erosion and the particulate C, N and P losses into the process-oriented hydro-biogeochemical  
26 model (Catchment Nutrients Management Model coupled Denitrification-Decomposition,  
27 CNMM-DNDC) to enable it to predict soil and water loss. The results indicated that the  
28 upgraded CNMM-DNDC i) performed well in simulating the observed temporal dynamics  
29 and magnitudes of surface runoff, sediment and particulate N/P losses in the lysimetric plot  
30 of the Jieliu catchment in Sichuan Province; ii) successfully predicted the observed monthly  
31 dynamics and magnitudes of stream flow, sediment yield and particulate N losses at the  
32 catchment outlet, with significant univariate linear regressions and acceptable Nash–Sutcliffe  
33 indices higher than 0.74. The upgraded CNMM-DNDC demonstrated that more proportion  
34 of the particulate N to total N during the period with large precipitations than that during the  
35 droughty period (16.2%–26.6% versus 2.3%–12.4%). The intensities of soil erosion and  
36 particulate nutrient losses in the Jieliu catchment was closely related to land use type in the  
37 order of sloping cultivated cropland > residential area > forest land. The scenario analysis  
38 demonstrated that high greenhouse gas (GHG) emissions scenarios provided a greater risk of  
39 soil erosion than did low GHG emissions scenarios and land use change (i.e., from the  
40 sloping upland to forest land) could help to mitigate soil and water loss accelerated by

41 climate change in the future. The upgraded model was demonstrated to have the capability of  
42 predicting ecosystem productivity, hydrologic nitrogen loads, emissions of GHGs and  
43 pollutant gases, soil erosion and particulate nutrient losses, which renders it a potential  
44 decision support tool for soil erosion and nonpoint source pollution control coordinated with  
45 increasing production and reducing GHGs and pollutant gases emissions in a catchment.

## 46 **Keywords**

47 CNMM-DNDC, ROSE, soil erosion, particulate carbon/nitrogen/phosphorus loss

## 48 **1. Introduction**

49 Water-induced erosion and associated particulate carbon (C), nitrogen (N) and phosphorus  
50 (P) nutrient losses are among the primary threats leading to the decline in soil fertility and the  
51 increases in land degradation, channel sedimentation and eutrophication of downstream rivers  
52 and lakes (Berhe et al., 2018; Ekholm and Lehtoranta, 2012; Garcia-Ruiz et al., 2015). This  
53 global environmental issue are becoming serious (Ma et al., 2021; Yang et al., 2003). A  
54 previous study found that the vulnerability of water-induced erosion increased over 51% of the  
55 global surface from 1982 to 2015 (Liu et al., 2019). Climate change and anthropogenic  
56 activities (such as land use change) are the two principal driving forces that have complicated  
57 and altered the hydrological cycle and water-induced erosion during recent decades (Piao et al.,  
58 2007; Zeng et al., 2015).

59 Quantitative assessments of the water-induced soil erosion intensity and identification of  
60 its temporal and spatial distribution characteristics are of great importance for preventing soil  
61 and water loss and have attracted the attention of researchers (e.g., Jetten et al., 2003; Jiang et  
62 al., 2017; Panagos et al., 2015c). Lysimetric plot experiments have been developed as a direct

63 field measurement method for the accurate quantification of surface runoff and water-induced  
64 erosion (e.g., Kosmas et al., 1997; Sumner et al., 1996; Zhu et al., 2009). However, the in situ  
65 field measurements of water-induced soil erosion with high cost of labor and money can only  
66 cover a small piece of the sampling units. It is unrealistic to expect direct field measurements  
67 to quantify water-induced erosion everywhere under various conditions.

68 Simulations of mathematical models are likely to compensate for the deficiency of direct  
69 field measurements on soil erosion. The Universal Soil Loss Equation (USLE, Wischmeier and  
70 Smith, 1978), its revised version (RUSLE) (Renard et al., 1997) and its modified version  
71 (MUSLE) (Williams 1975) have been developed into widely used empirical mathematical  
72 models to directly calculate soil erosion based on rainfall, soil property, topography, cover and  
73 management data. The USLE or RUSLE quantify only the various influencing factors that  
74 impact the soil loss associated with soil erosion, which is not directly related to the process of  
75 surface runoff and does not involve the specific process of sediment transport yet (Donovan,  
76 2022; Meinen and Robinson, 2021). Fortunately, the physical process-based ROSE model  
77 named after the name of developer (Rose et al., 1983) conceptualizes the soil erosion process  
78 by conceiving three continuous and simultaneous physical processes, including rainfall  
79 detachment, sediment entrainment and sediment deposition, thus providing good performance  
80 in estimating sediment yield at the plot scale. However, the ROSE model focuses only on the  
81 physical processes of water-induced erosion without engaging the C and N cycles of the  
82 ecosystem. The Soil and Water Assessment Tool (SWAT) (Arnold et al., 1998), a semi  
83 distributed hydrological model, incorporates the USLE or MUSLE to predict soil erosion at the

84 level of hydrological response units, in which the routing of sediment transportation is not  
85 considered and the modeling of the biogeochemical element cycle is relatively simple and  
86 empirical (Ferrant et al., 2011; Pohlert et al., 2007). However, the transport of particulate C, N,  
87 and P nutrients accompanied by water-induced erosion crucially depends on the C and N cycles  
88 of ecosystems in a catchment. Previous research demonstrated that the C, N, and P contents in  
89 the eroded soil were richer than that in the surface soil, which usually applied the elemental  
90 enrichment module to predict (Sharply, 1980). Therefore, knowledge of the coupling between  
91 the process-oriented hydro-biogeochemical model combined with the complex C and N cycles  
92 and the soil erosion model based on physical processes (e.g., ROSE) is essential to accurately  
93 predict soil erosion and associated particulate C, N, and P nutrient transport. A recently  
94 developed hydro-biogeochemical model (CNMM-DNDC) by Zhang et al. (2018) might  
95 become a realistic tool that can be used to address the abovementioned problem. The  
96 CNMM-DNDC model introduces the complex C and N biogeochemical modules (including  
97 the modules of decomposition, nitrification, denitrification and fermentation) of a widely used  
98 biogeochemical model (DeNitrification-DeComposition model, DNDC, Li et al., 1992) into the  
99 distributed hydrological framework of the Catchment Nutrients Management Model (CNMM,  
100 Li et al., 2017). The adsorption–desorption, immobilization, transposition of P element of the  
101 CNMM-DNDC model were originated from CNMM. The CNMM-DNDC model has been  
102 used to conduct a comprehensive simulation of the complex hydrological and biogeochemical  
103 processes (such as ecosystem productivity, hydrologic N loads, gaseous N losses and  
104 greenhouse gas emissions) of a subtropical catchment with various landscapes (Zhang et al.,

105 2018), a model evaluation of nitrous oxide (N<sub>2</sub>O) and nitric oxide (NO) emissions from a  
106 subtropical tea plantation (Zhang et al., 2020b), a model evaluation and regional simulation of  
107 nitrate leaching in the black soil region of Northeast China (Zhang et al., 2021a) and a  
108 comprehensive model modification and evaluation of NH<sub>3</sub> volatilization from fertilized  
109 croplands (Li et al., 2022b). However, the CNMM-DNDC model still lacks the capacity to  
110 simulate the processes of soil erosion and associated particulate C, N, and P nutrient  
111 transportation.

112 Therefore, we hypothesize that the accurate simulation of soil erosion and associated  
113 particulate C, N, and P nutrient losses can be realized by incorporating the soil erosion physical  
114 model and the element enrichment module into the process-oriented hydro-biogeochemical  
115 model with complex C and N cycles. Based upon the above hypothesis, the objectives of this  
116 study were to i) introduce the ROSE model (a physical soil erosion model) and the enrichment  
117 module of the particulate nutrients into the hydrological process of the CNMM-DNDC model;  
118 ii) evaluate the performance of the CNMM-DNDC model in simulating the temporal and  
119 spatial distributions of soil erosion and associated particulate C, N, and P transportation at the  
120 plot and catchment scales; and iii) investigate the impact of climate change and human  
121 activities (such as land use change) on the losses of soil and particulate nutrients.

## 122 **2. Materials and methods**

### 123 **2.1 Catchment description**

124 The Jieliu catchment (31°16'N, 105°28'E, 400–600 m a.s.l.), located in Sichuan Province  
125 of Southwest China (Zhu et al., 2009), was used for the model calibration and validation. This  
126 catchment is situated in the upper reaches of the Yangtze River and has a typical subtropical

127 monsoon climate. During the period from 2005 to 2018, the annual mean temperature was  
128 16.7 °C, and the average annual precipitation was 720 mm, 75% of which occurred during the  
129 period between June and September (<http://yga.cern.ac.cn>). The soil in the catchment is  
130 dominated by Calcaric purple soil, classified as a Pup-Orthic Entisol in the Chinese Soil  
131 Taxonomy or as an Entisol classified in the U.S. Soil Taxonomy (Zhu et al., 2009). The total  
132 area of the Jieliu catchment is approximately 35 ha, and it is dominated by sloping croplands  
133 (58%), forest lands (31%) and the village residential areas (10%). The primary crops cultivated  
134 in the sloping croplands are maize (*Zea mays* L.), winter wheat (*Triticum aestivum* L.), rape  
135 (*Brassica napus* L.) and rice (*Oryza sativa* L.). The N, P and potassium (K) fertilizers are  
136 applied at rates of 130–330 kg N ha<sup>-1</sup> yr<sup>-1</sup> (ammonium bicarbonate or urea), 72–162 kg P ha<sup>-1</sup>  
137 yr<sup>-1</sup> (calcium superphosphate) and 45–68 kg K ha<sup>-1</sup> yr<sup>-1</sup> (potassium chloride), respectively  
138 (Zhang et al., 2018). Four replicate lysimetric plots (an area of 8 m by 4 m with a slope  
139 gradient of 7%, Fig. 1) were set to measure the surface runoff and the losses of the particulate  
140 N and P (Zhu et al., 2009). To avoid unexpected seepage, each lysimetric plot was  
141 hydrologically isolated with the cement-filled partition walls, which was inserted at least 60 cm  
142 deep into the bedrock. A conflux trough with a bucket was built at the topsoil to collect the  
143 surface runoff flow (Zhu et al., 2009).

## 144 **2.2 Overview of the CNMM-DNDC model**

145 The CNMM-DNDC is a process-oriented hydro-biogeochemical model, which was  
146 established following the basic physics, chemistry and biogeochemistry theories, through  
147 incorporating the processes of C and N cycling of the DNDC into the hydrological framework

148 of the CNMM (Zhang et al., 2018). The core processes simulated by CNMM-DNDC include  
149 thermal conduction, energy balance, hydraulic dynamics (e.g., soil evaporation, transpiration,  
150 canopy interception, infiltration, percolation, surface runoff, subsurface flow and water uptake  
151 by plants), C and N cycling (e.g., mineralization, immobilization, decomposition, nitrification,  
152 denitrification, nitrate leaching, urea hydrolysis, plant uptake, and gas emissions), plant growth  
153 (e.g., photosynthesis and respiration) and the discharge and water quality of the river-networks  
154 (Fig. S1).

### 155 **2.3 Model modifications**

156 The CNMM-DNDC model can simulate the lateral movements of water-soluble nutrients  
157 (e.g., ammonium, nitrate, phosphate and dissolved organic matter) by surface and subsurface  
158 runoff, whereas it lacks the capabilities of simulating soil erosion and sediment transport  
159 caused by surface runoff and the associated transportation of particulate C, N, and P. To  
160 address such a deficiency, this study incorporated the modules of soil erosion and element  
161 enrichment into the lateral hydrological framework of the CNMM-DNDC model (Text S1).  
162 Therefore, the upgraded CNMM-DNDC model was equipped with the ability to estimate the  
163 movements of soil particles and particulate nutrients transported with surface runoff in the  
164 lateral dimension (Fig. S1). The soil erosion module adopted the simplified ROSE model (Rose  
165 et al., 1983; Stewart, 1985), which is a process-oriented soil erosion model. The ROSE model  
166 is based on the dynamic equilibrium of three simultaneous processes, including rainfall  
167 detachment, runoff detachment, and sediment deposition. In an individual erosion event, the  
168 process of runoff detachment dominates, and the latter two processes of rainfall detachment



169 and sediment deposition can be generally neglected (Stewart, 1985). Therefore, in the  
170 simplified ROSE module, the sediment yield ( $Y_s$ , kg dry soil ha<sup>-1</sup>) resulting from soil erosion  
171 was driven by the actual surface runoff ( $R_s$ , m) and concomitantly regulated by the coverage  
172 fraction of vegetation ( $C_v$ , fraction) and the land's slope angle, which was represented by the  
173 absolute value of the sine value of the land's slope angle ( $S_i$ , dimensionless), as shown by Eq.  
174 (1). The complete physical processes for soil erosion of the ROSE module (Text S2) was the  
175 reason why we chose it though the two processes which had minor effects on soil erosion in an  
176 individual erosion event were neglected in the simplified ROSE module. The upgraded  
177 CNMM-DNDC was expected to provide the effects of the field managements (e.g., tillage) on  
178 soil chemical or physical properties to influence soil erosion instead of applying the empirical  
179 mathematical formula to predict the effects of the field managements like what the USLE and  
180 its revised or modified versions did (Panagos et al., 2015b; Meinen and Robinson, 2021).

$$Y_s = 27 \times 10^6 (1 - C_v) \eta S_i R_s \quad (1)$$

181 Where  $R_s$  is calculated from the existing hydrological module of the CNMM-DNDC  
182 model, in which  $R_s$  occurs in the following two cases. First,  $R_s$  is caused by the mechanism of  
183 excess infiltration, in which the water input (i.e., precipitation and irrigation) is greater than the  
184 maximum infiltration capacity of the soil. Second,  $R_s$  is derived from the mechanism of excess  
185 storage, in which precipitation or irrigation still occurs when the soil surface water content  
186 exceeds the corresponding saturated water content. The direction of the surface runoff conflux  
187 is estimated by the distributed weights of four neighboring grids (i.e., in the upper, lower, left

188 and right directions), which are calculated based on the elevation of these grids.  $\eta$   
189 (dimensionless) is referred to as the efficiency of sediment entrained by surface runoff, which  
190 depends on soil texture and  $C_v$ , as shown in Eq. (2).

191 .

$$\eta = a_1 e^{-0.15C_v} \quad (2)$$

192 In Eq. (2),  $a_1$  is referred to as the rate of sediment carried by surface runoff on bare land,  
193 which differs for various soil textures and generally needs to be calibrated by the observed data  
194 of sediment loss for a given study area. Loch and Donnollan (1983) reported that  $a_1$  varies  
195 from 1.0% to 8.7% in Middle Ridge clay loam and Irving clay soils. Among the only eight soil  
196 erosion observations conducted in the lysimetric plot from 2015 to 2017, four observations in  
197 2016 were provided for model calibration. More soil erosion observation of the lysimetric plots  
198 with different soil textures were needed to operate the CNMM-DNDC to establish the general  
199 relationship between the  $a_1$  and soil texture (e.g., soil clay, silt and sand contents) in future.  
200 Moreover, the value of  $C_v$  for the natural vegetation (e.g., forest and grass) was addressed as  
201 half of the ratio of the real leaf area index (LAI) and the maximum LAI (which is one of the  
202 model inputs). For the crop system, the LAI was the function of the growing index, which is  
203 estimated by the ratio of the accumulated temperature from sowing to the present time to the  
204 accumulated thermal degree for maturity in the plant growth module. So the  $C_v$  value of the  
205 crop was calculated by the growing index. The  $C_v$  value of the artificial lands (e.g., the urban or  
206 rural residential areas) was calibrated and set to 0.1, which represented the effects of concrete

207 roads and residential buildings on the reduction of the soil area exposed to erosion. The  $C_v$   
208 value of the artificial lands might be generally quantified using the coverage of building and  
209 cement roads according to more observations in future.

210 It is known that the C, N, and P elements of the eroded sediments are usually richer than  
211 those of the in situ soils from which the eroded sediments originate (Massey and Jackson, 1952;  
212 Schiettecatte et al., 2008; Wan and El-Swaify, 1998). The above phenomenon is usually  
213 referred to as sediment enrichment, which can be quantified by an empirically based  
214 enrichment ratio ( $E$ ).  $E$  is usually defined as the ratio of the concentration of C, N, and P  
215 elements in the eroded sediment to that in the source soil (Sharpley, 1980; Teixeira and Misra,  
216 2005). Generally, as more eroded sediment is produced, the richness of the C, N, and P  
217 elements decreases. The enrichment ratio of the C and N nutrients ( $E_{CN}$ ) is estimated by Eq. (3),  
218 which was adapted from McElroy et al. (1976) and Williams and Hann (1978). The  
219 pre-exponential factor ( $k_1$ ) of Eq. (3) was calibrated to 1.2 using the particulate N data  
220 observed at the lysimetric plot in this study. The enrichment ratio of P nutrients ( $E_P$ ) is  
221 calculated by Eq. (4) cited from Sharpley (1980).

$$E_{CN} = k_1(Y_s \times 10^{-4})^{-0.2468} \quad (3)$$

$$E_P = e^{(2.46 - 0.2 \log Y_s)} \quad (4)$$

222 The yields of particulate C ( $P_C$ , kg C ha<sup>-1</sup>), N ( $P_N$ , kg N ha<sup>-1</sup>), and P ( $P_P$ , kg P ha<sup>-1</sup>)  
223 nutrients caused by soil erosion were calculated based on  $E$ ,  $Y_s$  and the content of the  
224 corresponding organic C ( $C_C$ , g C ha<sup>-1</sup>), N ( $C_N$ , g N ha<sup>-1</sup>), and P ( $C_P$ , g P ha<sup>-1</sup>) pools in topsoil

225 using Eqs. (5–7), respectively.  $BD$  ( $\text{g m}^{-3}$ ) and  $D_s$  (m) refer to the soil bulk density and the  
 226 depth of topsoil, respectively. Eight of the soil organic C and N subpools participated in the  
 227 process of soil erosion, including the pools of very labile, labile, and resistant decomposable  
 228 litters, labile and resistant active microbes, labile, resistant and passive humus, whereas five of  
 229 the soil organic P subpools were involved in the process of soil erosion, including the pools of  
 230 active and passive organic P, active and dead microorganism P, and inert stable P. Meanwhile,  
 231 the flows of C, N and P among the pools of the labile and resistant organic and inorganic were  
 232 considered in the CNMM-DNDC. For example, the C and N of the litter and humus pools and  
 233 the P of the pools of the active or passive organic P and the inert stable P could flow into  
 234 inorganic pools and the microbe pools by decomposition. The particulate C, N, and P losses  
 235 calculated by the element enrichment module were also deducted from the corresponding  
 236 subpools of the topsoil. Subsequently, the eroded soil and the particulate C, N, and P nutrients  
 237 are transported with surface runoff and eventually drain into streams. The upgraded model  
 238 considered the mass balances of soil water and the elements of C, N, and P, without  
 239 considering soil body balance.

$$P_C = \sum_{i=1}^8 \frac{10^{-7} E_{CN} C_{C_i} Y_s}{BD \cdot D_s} \quad (5)$$

$$P_N = \sum_{j=1}^8 \frac{10^{-7} E_{CN} C_{N_j} Y_s}{BD \cdot D_s} \quad (6)$$

$$P_P = \sum_{k=1}^5 \frac{10^{-7} E_P C_{P_k} Y_s}{BD \cdot D_s} \quad (7)$$

## 240 **2.4 Preparation for model simulation**

241 The input data for driving the model operation consisted of the meteorological data at the  
242 3-hour scale (including average air temperature, solar radiation, long wave radiation, wind  
243 speed, humidity and total precipitation), the spatialized soil properties (including soil texture,  
244 soil organic carbon, bulk density and pH), the gridded digital elevation model (DEM, Fig. 1)  
245 with a resolution of 5 m × 5 m, the spatial distribution of land use (Fig. 1) and cropping  
246 systems, and the field management practices. Taking the efficiency of the model calculation  
247 and the accuracy of the biogeochemical process description into consideration, the upgraded  
248 CNMM-DNDC model conducted a simulation with a grid of 15 m × 15 m from 2004 to 2017,  
249 with an initial spin-up period of ten years. The DEM, soil properties, land use, cropping  
250 systems, field management practices and meteorological data from 2004 to 2014 were  
251 primarily adapted from Zhang et al. (2018). The remaining meteorological data were adapted  
252 from the hourly observations provided at the National Science & Technology Infrastructure  
253 (<http://rs.cern.ac.cn>). The information about the vertical layered soil properties (e.g., soil bulk  
254 density, pH, clay content, field capacity, wilting point, saturated hydraulic conductivity, organic  
255 C, and total N and P contents) of different land uses were listed in Table S1. The input data of  
256 soil properties, DEM, land use, cropping systems, and field management practices were  
257 resampled to the ASCII grids with a resolution of 15 m × 15 m using the ArcGIS 10.0 software  
258 package (ESRI, Redland, CA, USA). The observation data measured at the lysimetric plot and  
259 the catchment outlet, which were listed in Table S2, contributed to model calibration and  
260 validation. The surface runoff, associated sediment yield, and particulate and total N losses

261 from 2004 to 2006 with three replicates and the surface runoff, associated sediment yield, and  
262 total P loss from 2017 to 2018 with three replicates measured at the lysimetric plots were  
263 adapted from Deng et al. (2011) and Hu (2020), respectively. The monthly stream flow,  
264 sediment yield, and particulate and total N losses from 2007 to 2008 measured at the catchment  
265 outlet were directly cited from Deng et al. (2011). Total N referred to the total amount of  $\text{NH}_4^+$ ,  
266  $\text{NO}_3^-$ , dissolved organic N and particulate N. Total P referred to the total amount of dissolved  
267 organic and inorganic P and particulate P. Among them, the observed data from the lysimetric  
268 plot in 2004 (with seven observation times) and 2016 (with four observation times and a heavy  
269 precipitation event) and the observed data from the catchment outlet in 2007 were used for  
270 model calibration, and the remaining observed data were used for model validation. Previously,  
271 a comprehensive and systematic verification of the CNMM-DNDC simulation on soil  
272 temperature, soil moisture, crop yield, water flows, nitrate loss, fluxes of methane, ammonia,  
273 NO and  $\text{N}_2\text{O}$ , and stream discharges of water and  $\text{NO}_3^-$  had been conducted by Zhang et al.  
274 (2018), which performed statistically in good agreement with the observations.

## 275 **2.5 Climate and land use scenario settings**

276 Scenario analysis was adopted to assess the impact of climate change and land use change  
277 on water-induced erosion and its accompanying nutrient losses. The baseline scenario was set  
278 as the traditional land use types and managements in 2008 (the year for model validation) with  
279 local and historical meteorology. Two groups of climate change and land use change scenarios  
280 were designed: single-factor change and multifactor change scenarios (Table S3). The  
281 single-factor change scenarios altered only one factor while keeping the others constant. The

282 single-factor change scenarios of climate change consisted of two parts. One part for air  
283 temperature change was altered within the range of  $-4\text{ }^{\circ}\text{C}$  to  $+4\text{ }^{\circ}\text{C}$  with an interval of  $0.2\text{ }^{\circ}\text{C}$ .  
284 The other part for precipitation change was altered by the range from  $-30\%$  to  $+30\%$  with an  
285 interval of  $2\%$ . For the sake of argument, we divided air temperature and precipitation  
286 single-factor scenarios into four groups: lower and higher warming group (i.e., air temperature  
287 increased from  $0^{\circ}\text{C}$  to  $2^{\circ}\text{C}$  and from  $2^{\circ}\text{C}$  to  $4^{\circ}\text{C}$ ), lower and higher cooling group (i.e., air  
288 temperature decreased from  $0^{\circ}\text{C}$  to  $2^{\circ}\text{C}$  and from  $2^{\circ}\text{C}$  to  $4^{\circ}\text{C}$ ); lower and higher rain-enhanced  
289 group (i.e., precipitation increased from  $0\%$  to  $20\%$  and from  $20\%$  to  $30\%$ ), lower and higher  
290 rain-reduced group (i.e., precipitation decreased from  $0\%$  to  $20\%$  and from  $20\%$  to  $30\%$ ). A  
291 single-factor change scenario of land use was designed as the sloping upland changed into  
292 forest land with the lower soil erosion rate (i.e., UFL scenario). The existing land use  
293 conversion to another type, such as the change from cropland to forest land or some other land  
294 use, is a kind of compromise and required a sensitivity analysis to the model simulation rather  
295 than representing the conditions of the real natural system (Dey and Mishra, 2017). The IPCC's  
296 Summary for Policy-makers (IPCC, 2021) points out that the average annual global land  
297 precipitation is projected to increase by  $10.5\%$  and  $30.2\%$  at the  $1.5\text{ }^{\circ}\text{C}$  and  $4\text{ }^{\circ}\text{C}$  warming  
298 levels, respectively. According to the correspondence between climate warming and increasing  
299 precipitation in the IPCC's AR6, the multifactor change scenarios were designed into two  
300 multiple climate change scenarios: the low and high greenhouse gas (GHG) emissions  
301 scenarios. The low GHG emissions scenario represents air temperature and precipitation  
302 increasing by  $1.5\text{ }^{\circ}\text{C}$  and  $10\%$ , respectively, while the high one represents air temperature and

303 precipitation increasing by 4 °C and 30%, respectively. Furthermore, we also explored the  
304 effect of the low and high GHG emissions scenarios in a combination of land use change  
305 scenarios (i.e., UFL scenario) on sediment yield and particulate nutrient yields. The tillage  
306 scenario analysis was involved in the scenario analysis of alternative management practices,  
307 which were conducted as the no tillage operations in 2008. The relative change deviations of  
308 the simulated annual accumulated sediment and particulate nutrient losses at the catchment  
309 outlet of the designed scenarios from the baseline were provided as the quantitative evaluation  
310 index for scenario analysis (Abdalla et al., 2020; Dubache et al., 2019). Moreover, the crop  
311 yield changes between the designed scenarios and the baseline were evaluated in the scenario  
312 analysis.

## 313 **2.6 Evaluation of model performance and statistical analysis**

314 The performance of the upgraded CNMM-DNDC model in simulating sediment and  
315 particulate nutrient losses was evaluated using the normalized root mean square error (nRMSE),  
316 the Nash–Sutcliffe index (NSI) and the slope, determination coefficient ( $R^2$ ) and significance  
317 level ( $p$ ) of the univariate linear regression (ULR) between the simulation and observation. The  
318 nRMSE and NSI values are calculated by Eq. 8 and Eq. 9, respectively.  $O_i$  and  $S_i$  are the  
319 observed and simulated values, respectively.  $\bar{O}$  is the mean value of the observed data, and  $n$   
320 is the number of paired samples. If the value of nRMSE is closer to 100, the values simulated  
321 by the model are more coincident with the observed values (Cui et al., 2014; Smith et al., 1997).  
322 The value of the NSI provides the discrepancy between the simulated values and the mean of  
323 the observed values, with a positive value indicating an acceptable simulation (Li et al., 2022a).



324 The closer to 1 the slope and  $R^2$  of the ULR are, the better the simulated values match the  
325 observed values. The Origin 8.0 (OriginLab Ltd., Guangzhou, China) and ArcGIS 10.0  
326 software packages were used for graph drawing.

$$\text{nRMSE} = \frac{100}{\bar{O}} \sqrt{\frac{\sum_{i=1}^n (S_i - O_i)^2}{n}} \quad (8)$$

$$\text{NSI} = 1 - \frac{\sum_{i=1}^n (S_i - O_i)^2}{\sum_{i=1}^n (O_i - \bar{O})^2} \quad (9)$$

327 In addition, Pearson correlations were carried out to study the relationships between the  
328 variables relevant to soil erosion and that related to the biogeochemical process. The Pearson  
329 correlation coefficient ( $r$ ) is used to measure the correlation between two variables, with the  
330 value ranging from  $-1$  to  $1$ . The R project was applied for the graph drawing of the correlation  
331 matrix.

### 332 **3. Results**

#### 333 **3.1 Model performance in simulating soil erosion in the lysimetric plot**

334 Given the limited size of the samples, the performance of the upgraded CNMM-DNDC  
335 model was revealed using only the graph of the predictions and observations (Fig. 2a–c),  
336 without a quantitative evaluation with the above statistical criteria. The temporal dynamic  
337 patterns of the simulated surface runoff, sediment and concomitant particulate P yields were in  
338 accordance with the observed values when either model calibration or validation was  
339 performed (Fig. 2 a–c). Nevertheless, on July 23, which was a heavy precipitation event (213  
340 mm precipitation during the seven days prior to the observation day) in 2016, the upgraded  
341 model overestimated the observed sediment yield by approximately 6 times (3.6 versus 0.6 t

342  $\text{ha}^{-1}$ , Fig. 2b). However, the simulated surface runoff and total P loss were only approximately  
343 60% and 20% larger than the observed values, respectively. Unfortunately, the simulated peaks  
344 of surface runoff and sediment yield at the end of June 2015 lacked the support of the  
345 observations. Moreover, we conducted an evaluation of the simulated and observed  $\text{NH}_4^+$  and  
346  $\text{NO}_3^-$  losses accompanied by surface runoff in the lysimetric plot (Fig. S2). The upgraded  
347 model generally captured the temporal variation and magnitude of the observed  $\text{NH}_4^+$  and  
348  $\text{NO}_3^-$  loss, although discrepancies existed in the magnitude of the peak loss (i.e., the model  
349 underestimated  $\text{NH}_4^+$  loss caused by approximately 100 mm precipitation on September 4,  
350 2006; Fig. S2).

### 351 **3.2 Model performance in simulating soil erosion at the catchment outlet**

352 The monthly observed and simulated stream flow, sediment yield, particulate and total N  
353 losses at the outlet of the Jieliu catchment from 2007 to 2008 are illustrated in Fig. 3. The  
354 observed stream flow and sediment yield began to increase dramatically with the concentrated  
355 precipitation in summer and early autumn but rarely occurred in winter and spring (Fig. 3a–c).  
356 The upgraded CNMM-DNDC model successfully predicted the above temporal pattern of the  
357 stream flow and sediment yield at the catchment outlet with acceptable NSI values of 0.89 and  
358 0.89 and significant ULRs with  $R^2$  values of 0.98 and 0.96 and slope values of 0.98 and 0.90  
359 for model validation, respectively (Table 1). Moreover, model validation of sediment yield  
360 resulted in a larger nRMSE (38.23%) than that of stream flow simulation (34.57%).

361 The observed particulate and total N losses revealed a similar temporal pattern to that of  
362 sediment yield (Fig. 3d–e) ranged from 0 to 56.3  $\text{kg mon}^{-1}$  and 0.9 to 283.1  $\text{kg N mon}^{-1}$  with a

363 mean value of 10.5 and 55.9 kg N mon<sup>-1</sup>, respectively. The corresponding simulated particulate  
364 and total N losses resulted in ranges of 0.5 to 50.4 kg N mon<sup>-1</sup> and 18.8 to 196.0 kg N mon<sup>-1</sup>  
365 with averages of 12.0 and 65.1 kg N mon<sup>-1</sup>, respectively. The upgraded model provided an  
366 overestimation of the particulate N loss in August 2007 and September 2008 by 11.3 and 14.8  
367 kg N mon<sup>-1</sup>, respectively. The particulate N losses in February 2007, March 2007, July 2007  
368 and July 2008 and total N loss in summer were underestimated. However, in terms of the  
369 validation, statistical comparisons between the simulated particulate and total N losses yielded  
370 significant ULRs with  $R^2$  values of 0.88 and 0.98 and slope values of 0.92 and 1.53, nRMSE  
371 values of 57.75% and 42.55%, and NSI values of 0.74 and 0.86, respectively ( $n = 12$ ; Table 1).  
372 Meanwhile, the upgraded CNMM-DNDC model successfully predicted the temporal variation  
373 and magnitudes of NO<sub>3</sub><sup>-</sup> loss at the catchment outlet, although the model slightly  
374 underestimated the peak loss in July and August of 2007 and in September of 2008 (Fig. S3).  
375 The successfully prediction of the particulate N and NO<sub>3</sub><sup>-</sup> losses and the underestimation of the  
376 total N loss in July of 2007 might illustrate that the model underestimated NH<sub>4</sub><sup>+</sup> or dissolved  
377 organic N losses in July of 2007. As the above results demonstrated, the simulated and  
378 observed particulate and total N losses at the catchment outlet indicated good agreement  
379 despite the slight underestimation of the individual large values when heavy precipitation  
380 occurred.

### 381 **3.3 Components of the simulated TN and PN at the catchment outlet**

382 The monthly components of TN and/or PN simulated from the original and upgraded  
383 CNMM-DNDC model during the model validation of 2008 at the catchment outlet were

384 illustrated in Fig. 4. Among the TN components including PN,  $\text{NH}_4^+$ , dissolved organic N  
385 (DON) and  $\text{NO}_3^-$ , the simulation from both of the original and upgraded CNMM-DNDC  
386 demonstrated that the proportion of  $\text{NO}_3^-$  at the catchment outlet was larger than that of  $\text{NH}_4^+$   
387 during the period from May to September when the larger precipitations appeared. Moreover,  
388 the upgraded CNMM-DNDC demonstrated that the PN accounted for up to 16.2%–26.6% of  
389 the TN components during the period with larger precipitations. Meanwhile, the labile or  
390 resistant humus N accounted for 11.3%–20.3% of the PN components, though the passive  
391 humus N accounted for the largest of the PN components. In addition, compared with the  
392 original model, the upgraded model simulated the observed TN with smaller nRMSE (42.55%  
393 versus 51.67%), better NSI (0.86 versus 0.80) and slightly improved  $r^2$  of the ULRs (0.98  
394 versus 0.97) though no significant difference was found between the original and upgraded  
395 model (Fig. 4).

### 396 **3.4 Spatial distributions of sediment yield and particulate C, N, and P losses**

397 Figure 5 illustrated the simulated spatial distributions of the sediment yield and particulate  
398 C, N, and P losses and the effects of different land uses on those in the validation year 2008.  
399 The annual accumulated sediment yield simulated by the upgraded model amounted to 0–106.6  
400  $\text{t ha}^{-1} \text{ yr}^{-1}$  with an average of  $5.0 \text{ t ha}^{-1} \text{ yr}^{-1}$  in 2008, which was a moderate rainfall year (952  
401 mm) with eight large rainstorm events (exceeding 50 mm rainfall within 24 hours). The  
402 simulated annual accumulated particulate C, N, and P losses yielded 0–595.7  $\text{kg C ha}^{-1} \text{ yr}^{-1}$ ,  
403 0–56.0  $\text{kg N ha}^{-1} \text{ yr}^{-1}$ , and 0–7.9  $\text{kg P ha}^{-1} \text{ yr}^{-1}$  with averages of  $63.6 \text{ kg C ha}^{-1} \text{ yr}^{-1}$ ,  $6.1 \text{ kg N}$   
404  $\text{ha}^{-1} \text{ yr}^{-1}$  and  $0.9 \text{ kg P ha}^{-1} \text{ yr}^{-1}$ , respectively. The sloping cultivated cropland areas contributed

405 to the greatest losses of sediment and particulate C, N, and P nutrients, with 68%, 60%, 58%  
406 and 57% of the total, respectively. Approximately 21% of sediment loss came from the  
407 residential areas as the second largest contributor to sediment loss, while the forest areas were  
408 the secondary sources to particulate C, N, and P losses, with 30%, 32%, and 32% of total losses,  
409 respectively. Meanwhile, the highest rates of the particulate C, N, and P losses per unit area  
410 occurred in the sloping cultivated cropland areas, with 84.1 kg C ha<sup>-1</sup> yr<sup>-1</sup>, 7.7 kg N ha<sup>-1</sup> yr<sup>-1</sup>  
411 and 1.1 kg P ha<sup>-1</sup> yr<sup>-1</sup>, respectively. However, the residential areas yielded to the highest rates  
412 of sediment, i.e., 8.6 t ha<sup>-1</sup> yr<sup>-1</sup>. The second largest loss rates per unit area of the particulate C,  
413 N, and P appeared in the residential areas. These results demonstrated that sediment yield and  
414 particulate C, N, and P losses caused by surface runoff in the Jieliu catchment were directly  
415 relevant to the type of land use, and the sloping cultivated cropland area became the primary  
416 source of sediment yield and particulate C, N, and P losses. Meanwhile, sediment and  
417 particulate C, N, and P losses from the residential areas could not be neglected.

418 Moreover, the upgraded CNMM-DNDC model coupled the biogeochemical processes  
419 with soil erosion, which was able to predict the crucial variables relevant to biogeochemical  
420 processes, including the productivity, greenhouse gases, contaminated gases and NO<sub>3</sub><sup>-</sup> loss and  
421 the variables related to soil erosion, including the losses of sediment and particulate C, N and P  
422 (Fig. S4, Text S1).

### 423 **3.5 Sediment yield and particulate C, N, and P losses under different scenarios**

424 The simulated results of the single-factor change scenarios of precipitation and  
425 temperature were presented in Figure 6. The sediment yield and particulate C, N, and P losses

426 (i.e., the target variables) increased with precipitation or air temperature which was reflected by  
427 the positive values. The more positive the slope value is, the greater the target variables  
428 increase and vice versa. The slopes between the air temperature changes of the higher and  
429 lower cooling and warming scenarios and the sediment yield changes yielded  $-1.25$ ,  $-1.00$ ,  
430  $-0.38$  and  $-0.40$ , respectively. Compared to the slopes of the lower warming scenarios and the  
431 lower cooling scenarios, the slopes of the higher warming scenarios and the higher cooling  
432 scenarios provided 21% and 5% higher yields of sediment, respectively. Meanwhile, the  
433 changes in particulate C, N, and P losses provided similar but stronger responses to the higher  
434 cooling scenarios. However, the particulate nutrient losses showed a complicated response to  
435 the warming scenarios. The changes in the particulate nutrient losses provided an increasing  
436 tendency in response to the increase of air temperature. For the lower warming scenarios, the  
437 particulate nutrient losses increased with air temperature. In terms of the higher warming  
438 scenarios, the particulate nutrient losses were still increasing, but the rates of increase rate  
439 decreased. Compared to the baseline scenario, the scenarios with the air temperature change  
440 from  $0\text{ }^{\circ}\text{C}$  to  $-1\text{ }^{\circ}\text{C}$  provided a slightly raising in crop yields, but the crop yields were  
441 decreased as the air temperature continued to reduce. And the crop yields were reduced with  
442 the increasing air temperature. These results proved that the increase in air temperature  
443 decreased the losses of sediment but increased the particulate C, N, and P losses, although the  
444 promoting effect became weaker for the higher warming scenarios.

445 The slopes between the precipitation changes of the higher and lower rain-reduced and  
446 rain-enhanced scenarios and the sediment yield changes resulted in the values of  $0.27$ ,  $0.37$ ,

447 0.52 and 0.65, respectively. In comparison with the lower rain-enhanced and rain-reduced  
448 scenarios, the slopes of the higher rain-enhanced and rain-reduced scenarios provided 24%  
449 higher and 34% lower yields of sediment, respectively. Meanwhile, the changes in particulate  
450 nutrient losses provided similar but weaker responses to the changes in precipitation. The  
451 above results demonstrated that the losses of sediment and particulate nutrients increased with  
452 the increasing precipitation. In addition, the contribution from such an elevation role of  
453 precipitation tended to be stronger for the higher rain-enhanced scenarios. Furthermore, the  
454 changes in sediment and particulate C, N, and P losses were more sensitive to the precipitation  
455 scenarios than to the temperature scenarios. The precipitation altered by the range from -30%  
456 to +30% posed a minor influence on crop yields (within  $\pm 0.03\%$ ). Comparison with the  
457 baseline, the scenarios with the precipitation increasing within 18% yielded to a slightly  
458 increased crop yields, while crop yields slightly decreased with the scenarios of the reducing  
459 precipitation and over 20% increased precipitation.

460 Table 2 illustrated the results of the multifactor change scenarios and the land use change  
461 single-factor scenario (UFL scenario). Compared to the baseline scenario, the UFL scenario  
462 reduced stream flow, sediment yield, and particulate nutrient losses by -12.2%, -3.6%, -5.6%,  
463 -7.0%, and -7.2%, respectively. In comparison with the baseline scenario, the low GHG  
464 emissions scenario with air temperature increasing by 1.5 °C and precipitation increasing by  
465 10% increased the stream flow, sediment yield and particulate C, N, and P losses by 21.2%,  
466 4.1%, 5.3%, 5.3% and 5.3%, respectively. The increasing effects of the high GHG emissions  
467 scenarios on the sediment and particulate nutrient losses were more than three times those of

468 the low GHG emissions scenarios. The crop yield change between the low GHG emissions  
469 scenario and the baseline scenario yielded to  $-6.0\%$ , while the crop yield of the high GHG  
470 emissions scenario accounted for  $16.6\%$  lower than the baseline. The low GHG emissions  
471 under the UFL scenario increased the stream flow and sediment yield by  $5.2\%$  and  $0.2\%$ ,  
472 respectively, but decreased the particulate C, N, and P losses by  $-0.8\%$ ,  $-2.3\%$ , and  $-2.5\%$ ,  
473 respectively. Moreover, the high GHG emissions under the UFL scenario increased the stream  
474 flow, sediment yield, and particulate C, N, and P losses by  $47.9\%$ ,  $9.2\%$ ,  $9.3\%$ ,  $7.8\%$ , and  $7.7\%$ ,  
475 respectively. The no-tillage scenario decreased the losses of particulate nutrients by  
476 approximately  $2.5\%$ , but provided almost no effect on sediment yield compared with the  
477 baseline scenario (Fig. S5).

### 478 **3.6 Relationship among the variables relevant to soil erosion, productivity and C/N losses**

479 Figure 7 illustrated the relationships between the variables relevant to soil erosion and  
480 biogeochemistry for different land use types, which were derived from model simulation. No  
481 soil erosion in the winter-flooding paddy with the paddy rice-flooding fallow regime (RF)  
482 because of the year-round flooding regime. For the other three land use types, the significant  
483 positive correlations ( $r > 0.88$ ) between sediment yield and particulate nutrients were found,  
484 because they were entrained by water and moved with water flow. With regard to the sloping  
485 uplands (SU), the particulate nutrients were significantly correlated with  $\text{NO}_3^-$  losses through  
486 leaching ( $r > 0.6$ ), though the correlation coefficient between sediment yields and  $\text{NO}_3^-$  losses  
487 through leaching only yielded to  $0.26$  (insignificantly). For the seasonally waterlogged paddy,  
488 the variables related to soil erosion (including sediment yields and particulate nutrients) were



489 negatively correlated with NH<sub>3</sub> emissions ( $r > 0.65$ ), while they were positively correlated with  
490 NO<sub>3</sub><sup>-</sup> losses through runoff ( $r < -0.61$ ). As to the forest land (FL), significantly positive  
491 correlations between the variables related to soil erosion and NO<sub>3</sub><sup>-</sup> losses through  
492 leaching/runoff were found ( $r > 0.72$ ), which might be because all these variables were related  
493 to the precipitation. The productivity performed negative impacts on sediment yield and  
494 particulate nutrients in the RF and FL systems while the productivity provided a slightly  
495 negative impact on sediment yield but a slightly positive impact on the particulate nutrients in  
496 the SP system.

## 497 **4. Discussion**

### 498 **4.1 Effect of land use on soil erosion and particulate C, N, and P losses**

499 Land use change has been considered one of the most important factors affecting the  
500 intensity and distribution of surface runoff and soil erosion (Dunjó et al., 2004; Kosmas et al.,  
501 1997; Wei et al., 2007; Zhang et al., 2021b). Our study also provided consistent results, which  
502 indicated that the intensity of soil erosion and the corresponding particulate C, N, and P losses  
503 in the Jieliu catchment were closely related to land use, with the following order: sloping  
504 cultivated cropland > residential area > forest land. The residential area with the waterproofed  
505 concrete roads and residential buildings, which was the secondary source to soil erosion, might  
506 be because it provided the largest surface runoff among these three land use types in the  
507 concerned year of 2008 (Fig. S6), though the limited soil was exposed for erosion. There were  
508 three major reasons why forest land contributed to the lowest losses of sediment and particulate  
509 nutrients among the above three land uses. First, canopy interception reduced the amount of  
510 rainfall reaching the ground, which directly decreased the occurrence of runoff and associated

511 erosion (Greene and Hairsine, 2004; Hou et al., 2020; Vasquez-Mendez et al., 2010). Several  
512 previous studies also reported that forest land with a thick canopy exhibited a lower amount of  
513 runoff than did other land uses (Mehri et al., 2018; Mohammad and Adam, 2010; Nunes et al.,  
514 2011). Fortunately, the direct protection mechanism by canopy interception was involved in  
515 the CNMM-DNDC model, which was calculated using the leaf area index (Zhang et al., 2018).  
516 Second, the litter cover of forest land protects the soil surface from the direct splash and  
517 detachment of raindrops, which can decrease the formation of mechanical crusts and increase  
518 the infiltration capacity and hence diminish the potential for surface runoff and soil erosion  
519 (Casermeiro et al., 2004; Lemenih et al., 2005; Wainwright et al., 2002). However, the  
520 CNMM-DNDC did not take the protection of litter cover on the soil surface into consideration.  
521 Further observation data and studies are needed to introduce the mechanism of the effect of  
522 litter cover on surface runoff and soil sediment into the CNMM-DNDC model. Last, forest  
523 land is equipped with higher soil organic matter and hydraulic conductivity than other land  
524 uses, which can indirectly enhance soil infiltration and reduce surface runoff (Abrishamkesh et  
525 al., 2011; Fu et al., 2000; Lemenih et al., 2004). The excellent soil properties of forest land soil  
526 (e.g., higher soil organic matter and vertical saturated hydraulic conductivity) have been  
527 involved in the CNMM-DNDC model inputs. Moreover, as the forest litterfall returned to the  
528 soil and participated in further C and N cycling, the content of soil organic matter was  
529 enhanced and accumulated. With regard to the scenario analysis, we found that the scenarios  
530 related to the forest land contributed to greater decreases in sediment yield than surface runoff  
531 (Table 2). The results of the lysimetric plot experiments by Chen et al. (2012) also

532 demonstrated that vegetation types and human interference had a relatively small impact on  
533 surface runoff but had an appreciable effect on sediment yield.

534 The canopy of the cultivated cropland served as a weaker hindrance to rainfall, which  
535 suffered from more surface runoff, than that of the forest canopy. However, the different  
536 effects on soil erosion and rainfall interception by various crop planting density (Panagos et al.,  
537 2015a), e.g., the wide row maize and the dense grass-like wheat, and different crop types  
538 (Williamm, 1990) needed more observations to modify and evaluate the CNMM-DNDC in  
539 future. Furthermore, frequent agricultural activities (i.e., tillage) loosen the subsurface soil and  
540 nutrients, which raises the risk of soil erosion and the associated loss of particulate nutrients  
541 (Gregorich et al., 1998; Moldenhauer et al., 1967; Muukkonen et al., 2009). The  
542 CNMM-DNDC model has taken the vertical mixing effect of tillage on the chemical soil  
543 properties into consideration, and this process left the subsurface soil organic nutrients  
544 unprotected and prone to erosion. This explained the reduction in particulate C, N, and P  
545 nutrient losses under the no-tillage scenarios (Fig. S5). However, several studies found that  
546 tillage disturbed the soil structure and pore size distribution (Carof et al., 2007; Castellini and  
547 Ventrella, 2012; Kay and VandenBygaart, 2002; Nunes et al., 2010), which made the effect of  
548 agricultural activities on surface runoff and soil erosion difficult to model (Leitinger et al.,  
549 2010). Given that the vertical mixing effect of tillage on soil chemical properties instead of soil  
550 physical properties was considered in the CNMM-DNDC, the yields of surface runoff and  
551 sediment resulting from the no-tillage scenario were not decreased compared with the baseline  
552 scenario with tillage (Fig. S5).

## 553 4.2 Effect of climate change on soil erosion and particulate C, N, and P losses

554 In past decades, the frequent occurrence of warming and extreme weather events (e.g.,  
555 extreme precipitation events) has been irrefutable (IPCC, 2019). From 1998 to 2021, the  
556 observed annual average air temperature and annual precipitation in the Jieliu catchment also  
557 presented an increasing trend but did not have a significant regression relationship (Fig. S7). In  
558 CNMM-DNDC, the biogeochemical processes were strongly influenced by air or soil  
559 temperature (Table S4). There were two reasons why the simulated soil erosion responded to  
560 the air temperature changes. On one hand, the vegetation growth was sensitive to the air  
561 temperature changes, which affected the  $C_v$  which was the effect factor of the soil erosion in Eq.  
562 1. The increasing air temperature provided a positive effect on the vegetation growth (e.g., leaf  
563 area index, Fig. S8), which increased the precipitation interception by canopy to direct decrease  
564 the soil erosion. However, the raising air temperature might shorten the duration of the  
565 vegetation growth period, which directly shortened the period of the soils protected by crop  
566 canopy and lengthened the time of the bare soils exposed to the surface runoff increasing the  
567 risk of erosion (Fig. S8). This increasing risk of sediment yield when air temperature increased  
568 was not shown in this case might because that the heavy rainfall events almost occurred the  
569 duration with vegetation growth (Fig. S8). Besides, the decreasing air temperature weakened  
570 the processes of the respiration and photosynthesis, which led to a slower vegetation growth  
571 (Fig. S8). On the other hand, compared to the baseline scenarios, the climate warming  
572 scenarios, with a better vegetation growth, conducted a higher evapotranspiration, which led to  
573 a reduction on soil moisture content, to indirectly reduce the surface runoff and soil erosion.

574 The asymmetric response of sediment yield and particulate nutrient losses to the cooling and  
575 warming scenarios might result from the different effects of the cooling and warming of air  
576 temperature on the vegetation growth. The growth of vegetation was strongly inhibited by the  
577 low temperature in the cooling scenarios through affecting the duration and start time of the  
578 phenological stages. Our results of the scenario analysis indicated that the losses of sediment  
579 slightly decreased with the scenarios treated with climate warming alone, which lay in the  
580 higher  $C_v$  caused by the enhanced vegetation growth (Ficklin et al., 2009; Zhang et al., 2020a;  
581 Zhou et al., 2003). We found that the decreasing effect of increasing air temperature on  
582 sediment loss decreased (especially for the scenario with an air temperature increase of 4 °C,  
583 Fig. 6), which might be because the enhanced effect of increasing air temperature on vegetation  
584 growth is not unlimited. Once the air temperature exceeds the threshold of the optimum  
585 temperature for photosynthesis and vegetation growth, it would have a negative or even  
586 harmful impact on plant growth (Chapin, 1983; Schlenker and Roberts, 2009). The complex  
587 response of the particulate C, N, and P losses to air temperature increased, probably because  
588 they increased with the enrichment ratio and sediment yield, but the enrichment ratio decreased  
589 with sediment. Therefore, the slightly increasing sediment with increasing air temperature and  
590 the corresponding decreasing enrichment ratio might lead to upward or downward fluctuations  
591 in particulate C, N, and P losses. However, we found that the rate of soil loss increased with  
592 increasing precipitation amount and the corresponding increase in heavy rain events. Jiang et al.  
593 (2017) also found that the increase in sediment loss was amplified by the increased  
594 precipitation, which was directly accompanied by a dramatic and sustained increase in surface

595 runoff. Therefore, the higher GHG emissions scenarios, in which the soil erosion provided a  
596 higher increase response to the rising precipitation and a lower and smaller decrease response  
597 to the rising air temperature, might provide a greater risk of soil erosion than the low GHG  
598 emissions scenario. Overall, our results indicated that the hydrology of the Jieliu catchment is  
599 very sensitive to potential future climate changes, especially to the higher GHG emissions  
600 scenarios.

#### 601 **4.3 Interactive effect of climate and land use change on soil and nutrient losses**

602 Changes in either climate or land use imply considerable influences on water and nutrient  
603 cycles in a catchment or region (Labat et al., 2004; Milliman et al., 2008; Piao et al., 2007; Yin  
604 et al., 2017). Our simulated results indicated that the reduction extent of the UFL scenario on  
605 soil erosion, especially on sediment yield and associated nutrient losses, offset the increasing  
606 extent caused by the low GHG emissions scenario. However, the UFL scenario was insufficient  
607 to totally offset the sediment and particulate C, N, and P losses caused by the high GHG  
608 emissions scenario. Nevertheless, vegetation restoration might still be able to slow the soaring  
609 process of soil erosion caused by climate change in the future. Previous studies primarily  
610 focused on the effects of human activity and climate change on the changes in surface runoff or  
611 stream flow. Wang et al. (2016) demonstrated that human activity contributed to slightly larger  
612 effects on stream flow changes than climate (59% versus 41%) by analyzing the long-term  
613 records of hydrological data in the Luan River basin in North China. The results in the Heihe  
614 River basin in Northwest China showed that human activities were the dominant contributor to  
615 the variation in runoff in the upper and middle reaches when compared to climate change (Qiu

616 et al., 2015). However, other studies have shown that the influence of climate change on soil  
617 and water loss was greater than that of human activities. Jiang et al. (2017) pointed out that  
618 climate change, in comparison with anthropogenic activities, was the primary factor causing  
619 the changes in either stream flow or sediment discharge in the Yellow River basin and Yangtze  
620 River basin in China. The Huron River catchment in southeastern Michigan in the U.S.A. was  
621 more sensitive to climate change than to land use change, as demonstrated by Barlage et al.  
622 (2002).

623 Furthermore, we found that the promoting impacts of both high and low GHG emissions  
624 scenarios on surface runoff were greater than those on sediment yield and associated  
625 particulate nutrient losses. In contrast, the reduction effect of the UFL scenarios on sediment  
626 yield and associated particulate nutrient losses was stronger than that on surface runoff (Table  
627 2). These results demonstrated that human activity, e.g., the conversion from cropland with  
628 intensive human disturbance to forest land, resulted in a greater mitigation effect on sediment  
629 yield and associated particulate nutrient losses than on surface runoff. Therefore, further  
630 studies should consider the effects of human activity and climate change on surface runoff and  
631 on soil erosion as well as the associated nutrient losses. In summary, reasonable human  
632 intervention, such as rational land use change, is expected to be a feasible practice to decelerate  
633 soil erosion and associated particulate nutrient losses without altering and disturbing the  
634 hydrological cycle of a catchment in the context of global warming.

## 635 **Conclusions**

636 The hydro-biogeochemical model (CNMM-DNDC) was improved by introducing the soil

637 erosion physical model (adopted from the simplified ROSE model) and the element (i.e.,  
638 carbon, nitrogen and phosphorus) enrichment module to estimate soil erosion and the  
639 movements of particulate nutrients. The comparability between the simulation and observation,  
640 including surface runoff, sediment yield, and particulate nitrogen and phosphorus losses at the  
641 lysimetric plot and the stream flow, sediment yield, and particulate N loss at the outlet of Jieliu  
642 catchment, demonstrated that the upgraded CNMM-DNDC model could reliably simulate soil  
643 erosion and the consequential particulate nutrient losses. The spatial distribution characteristics  
644 of sediment yield and the consequential particulate carbon, nitrogen and phosphorus losses  
645 were directly related to the spatial distribution of land use type, among which the sloping  
646 cultivated cropland areas contributed to the greatest losses. The analysis of climate  
647 single-factor change scenarios implied that the high GHG emissions scenarios provided a  
648 greater potential risk of soil erosion, which resulted in the larger soil erosion rates than those in  
649 the low GHG emissions scenarios. The scenarios with all non-forest land changes into forest  
650 land decreased stream flow, sediment yield and particulate C, N, and P losses compared to the  
651 baseline scenario. Anthropogenic activities (e.g., land use change) might be expected to help  
652 mitigate the processes of soil and water losses accelerated by climate change in the future.

### 653 **Code and data availability**

654 The CNMM-DNDC model was originally developed by the Institute of Atmospheric  
655 Physics using C++ language, which can be run on a standard PC. The upgraded model is  
656 available on the FigShare (<https://doi.org/10.6084/m9.figshare.20210546>).

### 657 **Author contribution**

658 Siqi Li arranged data, improved model and implemented the simulation, prepared the



659 original draft. Yong Li, Xunhua Zheng, Wei Zhang developed the conceptualization and  
660 methodology of this study. Bo Zhu, Pengcheng Hu, Jihui Fan, Tao Wang collected and  
661 arranged data. Shenghui Han, Rui Wang, Kai Wang analyzed data and verified the results.  
662 Zhisheng Yao, Chunyan Liu improved the conceptualization and writing.

## 663 **Acknowledgments**

664 This work was supported by the Chinese Academy of Sciences (grant number:  
665 ZDBS-LY-DQC007; XDA23070100), the National Key R&D Program of China (grant number:  
666 2022YFE0209200), the special fund of State Environmental Protection Key Laboratory of  
667 Formation and Prevention of Urban Air Pollution Complex (grant number:  
668 SEPAir-2022080590), the National Key Scientific and Technological Infrastructure project  
669 “Earth System Science Numerical Simulator Facility” (EarthLab), the National Natural Science  
670 Foundation of China (grant number: 41907280, U22A20562), and the China Postdoctoral  
671 Science Foundation (grant number: 2019M650808).

## 672 **Competing interests**

673 The authors declare that they have no conflict of interest.

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958 Table 1 Performance of the upgraded CNMM-DNDC model in simulating the stream flow,  
 959 sediment, and particulate and total nitrogen (N) losses at the Jieliu catchment outlet from 2007  
 960 to 2008. Total N refers to the total amount of  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , dissolved organic N and particulate  
 961 N.

Variables	Operation	Size	nRMSE	NSI	ULR		
					Slope	$R^2$	$p$
Stream flow	Calibration	12	18.29	0.98	0.94	0.96	< 0.001
	Validation	12	34.57	0.89	0.98	0.98	< 0.001
Sediment loss	Calibration	12	34.02	0.94	0.96	0.93	< 0.001
	Validation	12	38.23	0.89	0.90	0.96	< 0.05
Particulate N loss	Calibration	12	49.45	0.87	0.78	0.85	< 0.001
	Validation	12	57.75	0.74	0.92	0.88	< 0.001
Total N loss	Calibration	12	56.98	0.86	1.36	0.98	< 0.001
	Validation	12	42.55	0.86	1.53	0.98	< 0.001

962 The statistical criteria used to quantify the discrepancy between observations and simulations  
 963 include the normalized root mean square error (nRMSE), the Nash–Sutcliffe index (NSI) and  
 964 the slope, determination coefficient ( $R^2$ ) and significance level ( $p$ ) of the univariate linear  
 965 regression (ULR). Size represents the sample size. The column “Operation” represents the  
 966 evaluation is conducted for model calibration or validation.

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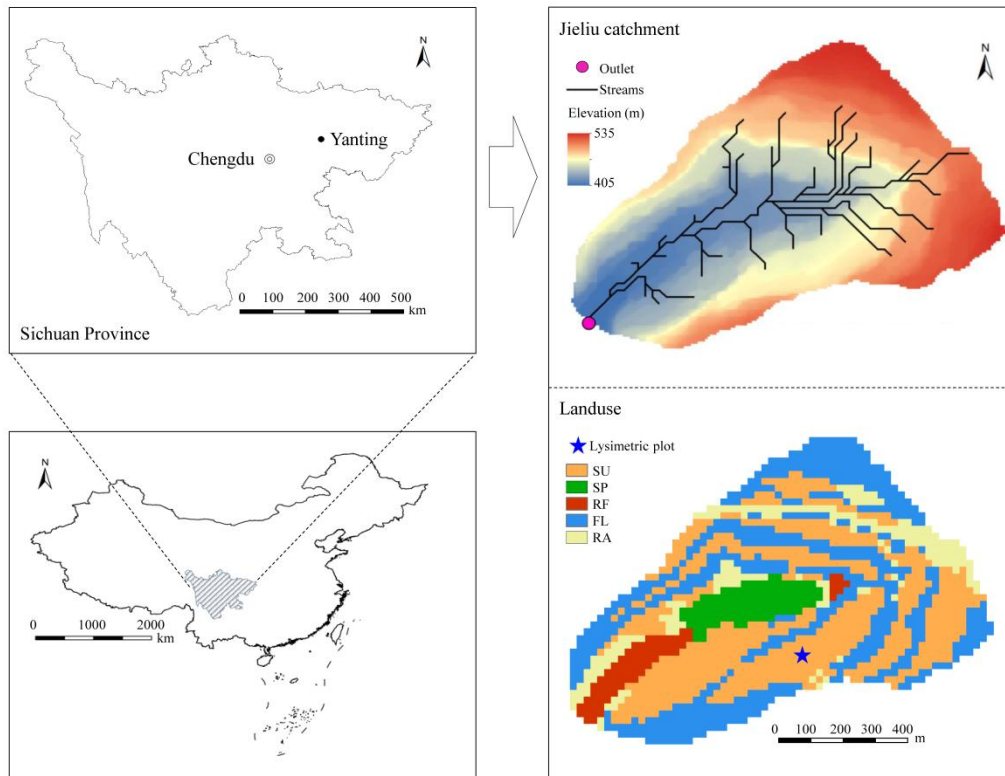


969 Table 2 Simulated comprehensive effects of precipitation, air temperature and land use change  
 970 on crop yield (Yield), surface runoff, sediment yield, and particulate carbon (C), nitrogen (N)  
 971 and phosphorus (P) losses in the validation year of 2008. The low greenhouse gas (GHG)  
 972 emission scenario represents the scenario of air temperature increasing by 1.5°C and  
 973 precipitation increasing by 10%. The high GHG emission scenario represents the scenario of an  
 974 air temperature increase of 4°C and a precipitation increase of 30%. The UFL scenario is the  
 975 abbreviation of the scenario of upland change into forest land.

Scenario	Change between the scenario and the baseline (%)					
	Surface runoff	Sediment yield	Particulate C	Particulate N	Particulate P	Yield
Low GHG	21.2	4.1	5.3	5.3	5.3	-6.0
High GHG	72.9	14.8	17.8	18.0	18.1	-16.6
UFL	-12.2	-3.6	-5.6	-7.0	-7.2	-
Low GHG with UFL	5.2	0.2	-0.8	-2.3	-2.5	-
High GHG with UFL	47.9	9.2	9.3	7.8	7.7	-

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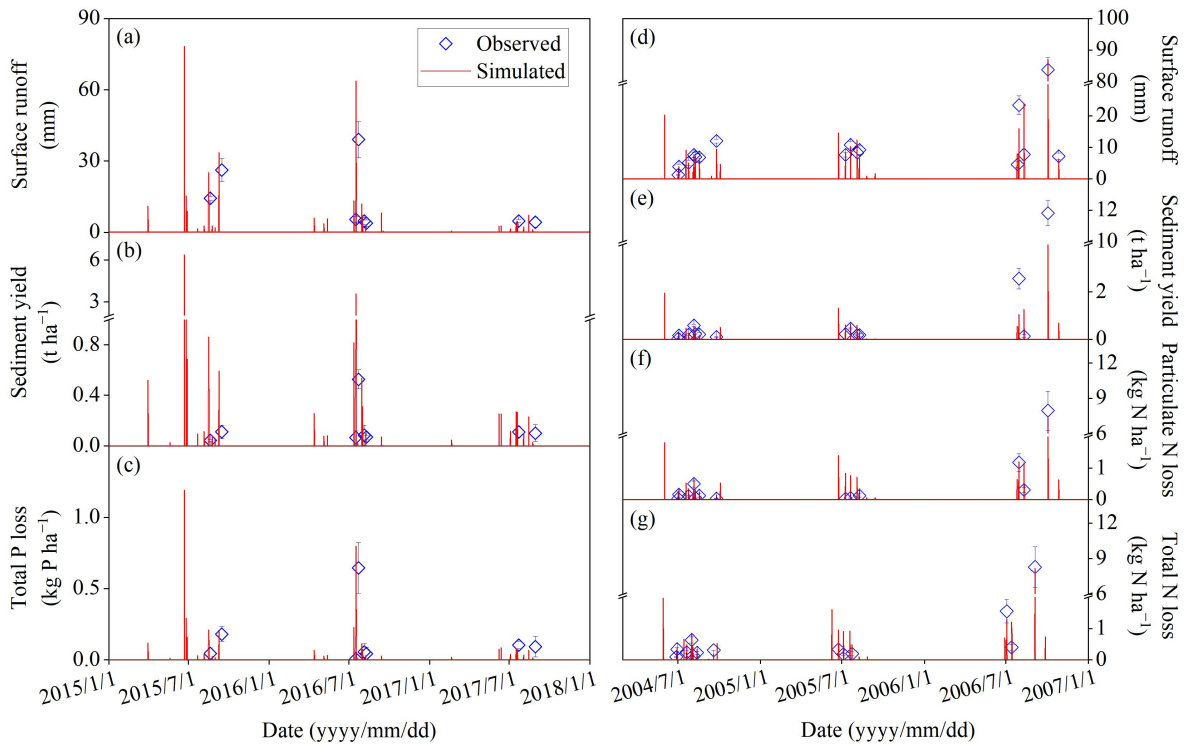
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979

980 Fig. 1 The location, digital elevation model and land use types of the Jieliu catchment. The land  
 981 use types are the sloping uplands (SU) with the summer maize–winter wheat rotation,  
 982 seasonally waterlogged paddy (SP) with the paddy rice–winter wheat rotation or paddy  
 983 rice–rape rotation, the winter-flooding paddy with the paddy rice-flooding fallow regime (RF),  
 984 forest land (FL) and the village residential area (RA).

985



986

987 Fig. 2 Observed and simulated surface runoff (a), sediment yield (b) and total phosphorus (P)

988 losses (c) from 2015 to 2017 and surface runoff (d), sediment yield (e), particulate nitrogen (N)

989 loss (f) and total N loss (g) from 2015 to 2017 in the lysimetric plot. Total P refers to the

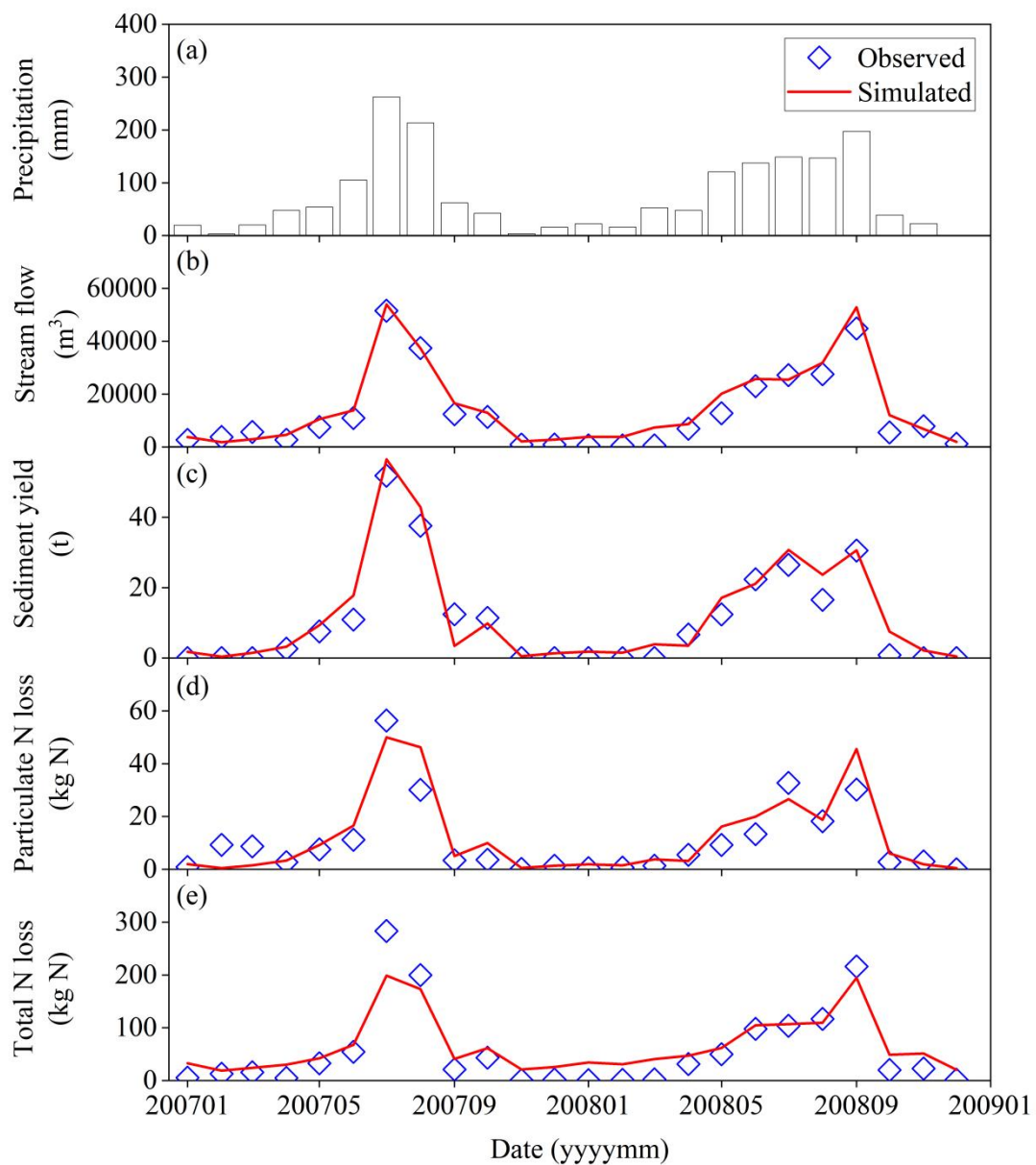
990 dissolved and particulate P. Total N refers to the total amount of  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , dissolved organic

991 N and particulate N. The vertical bars indicate the standard error of three spatial replicates. The

992 observed data cited from Deng et al. (2011), Zhang et al. (2018), Li et al. (2022) and Hu (2020)

993 were provided by Bo Zhu.

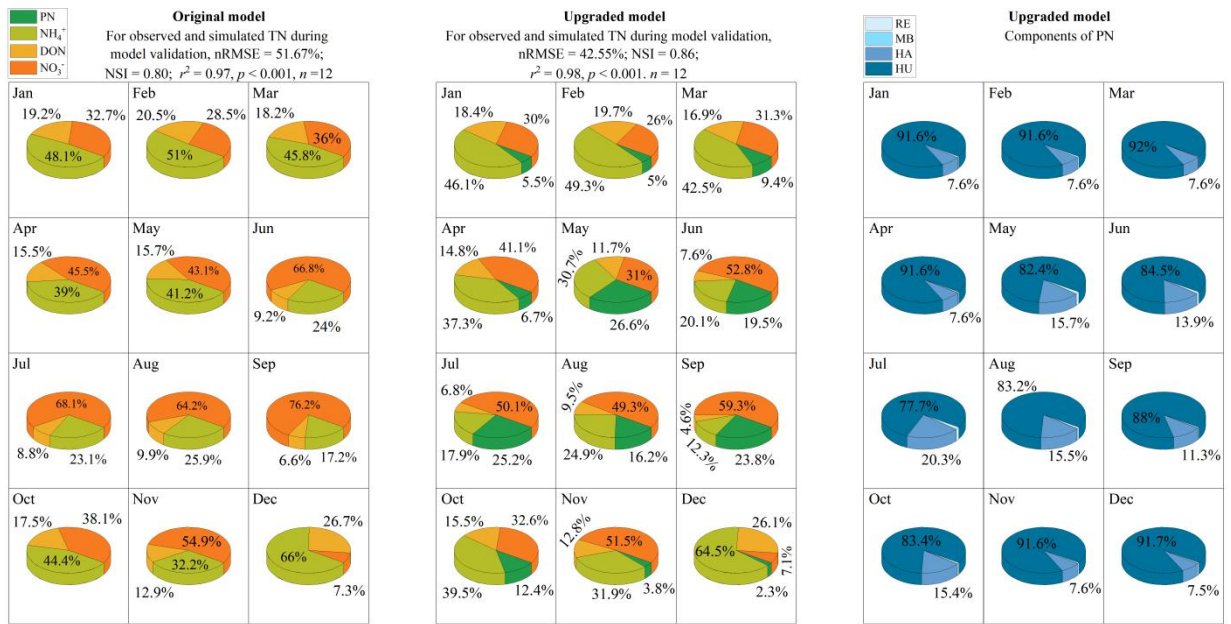
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996 Fig. 3 Monthly observed precipitation (a), observed and simulated stream flow (b), sediment  
 997 yield (c), particulate nitrogen (N) loss (d) and total N loss (e) at the outlet of the Jieliu  
 998 catchment from 2007 to 2008. Total N refers to the total amount of  $\text{NH}_4^+$ ,  $\text{NO}_3^-$ , dissolved  
 999 organic N and particulate N. The observed data cited from Deng et al. (2011) and Zhang et al.  
 1000 (2018) were provided by Bo Zhu.

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1003 Fig. 4 Components of the simulated total nitrogen (TN) of the original CNMM-DNDC and

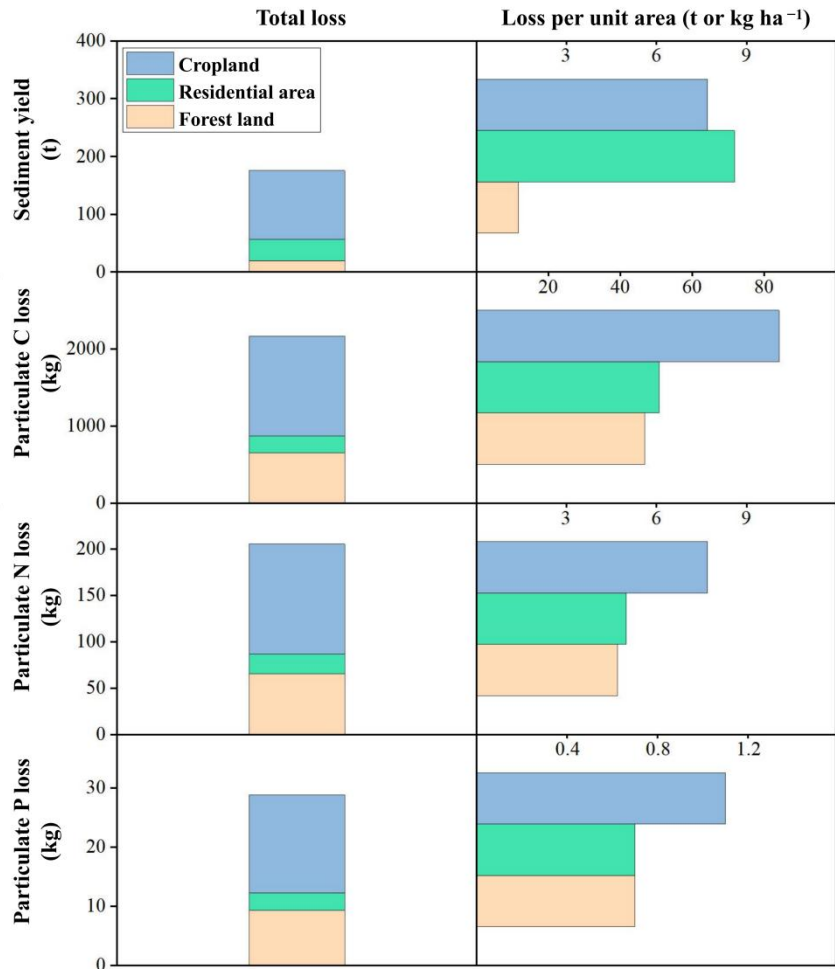
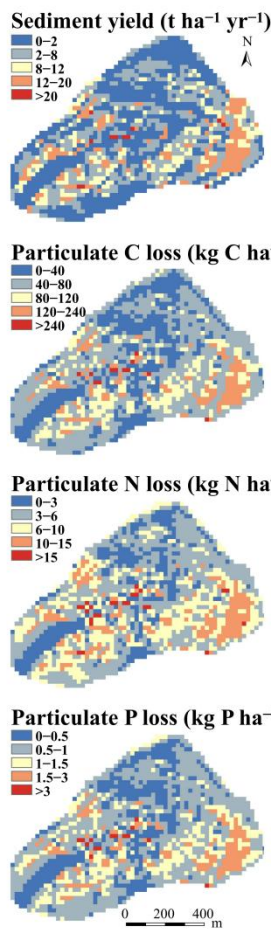
1004 components of the simulated TN and particulate N (PN) of the upgraded model during the

1005 model validation. DON is the abbreviation of the dissolved organic nitrogen. The components

1006 of PN are the N from residue (RE), microbe (MB), labile or resistant humus (HA) and passive

1007 humus (HU).

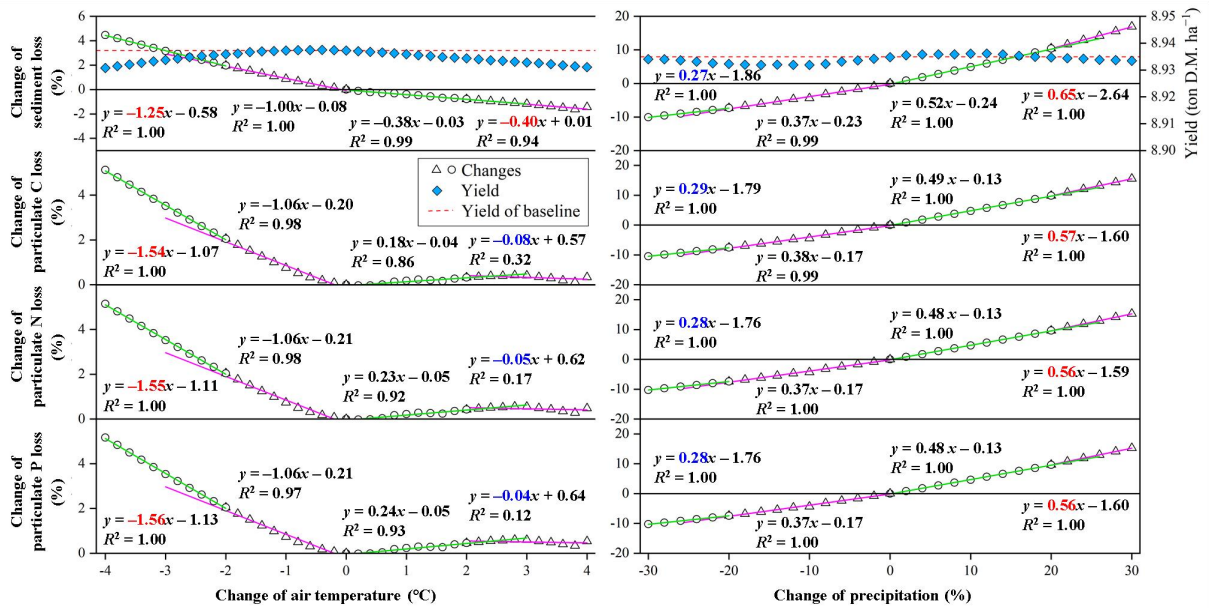
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1009

1010 Fig. 5 Simulated spatial distributions of sediment yield, particulate carbon (C), nitrogen (N)  
 1011 and phosphorus (P) losses and the effects of different land uses (i.e., cropland, residential area  
 1012 and forest land) in the validation year of 2008.

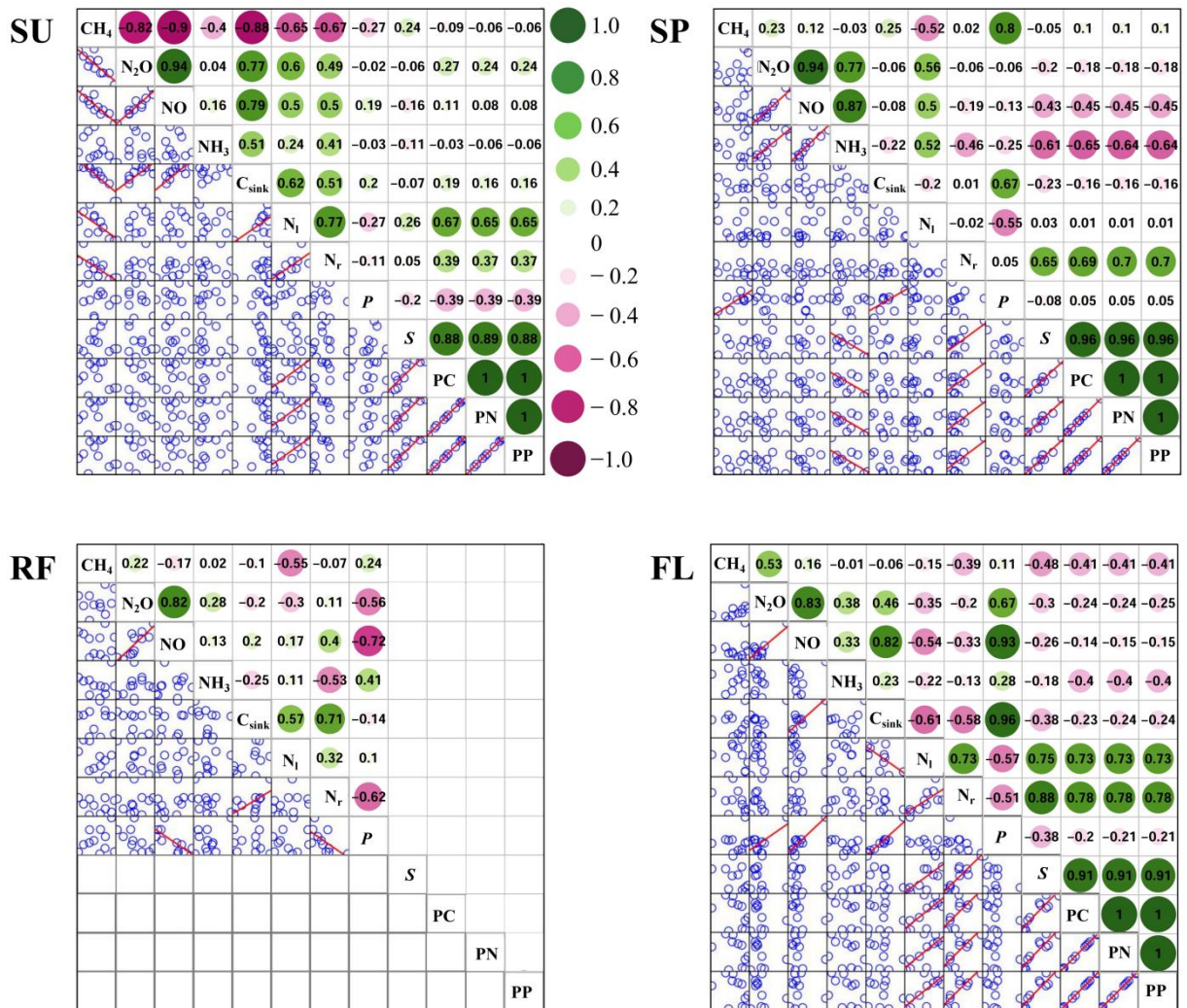
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1014

1015 Fig. 6 Simulated effects of precipitation and air temperature change on sediment yield and  
 1016 particulate carbon (C), nitrogen (N) and phosphorus (P) losses in the validation year of 2008.

1017 The air temperature and precipitation single-factor scenarios were divided into four sets. The  
 1018 scenarios with air temperature reductions and increases 0°C~2°C and greater than 2°C were  
 1019 defined as the lower and higher cooling and warming scenarios, respectively. Similarly, the  
 1020 scenarios with precipitation reductions and increases 0%~20% and greater than 20% were  
 1021 defined as the lower and higher rain-reduced and rain-enhanced scenarios, respectively. The  
 1022 numbers in blue and red in front of the letter *x* represent that the higher warming or cooling  
 1023 scenarios (or the higher rain-enhanced or rain-reduced scenarios) result in more and lower  
 1024 effects on sediment yield and particulate C, N and P losses than the lower ones, respectively.  
 1025 The green and violet lines are referred to the linear regressions between the changes of the  
 1026 climate variables (i.e., air temperature and precipitation) and the changes of the variables  
 1027 associated to soil erosion. The lines are color-coded to distinguish the results of the different  
 1028 scenarios.



1029

1030 Fig. 7 Correlation analysis among the simulated sediment (S), particulate carbon (PC), nitrogen  
 1031 (PN) and phosphorus (PP) losses, productivity (P), C sink density (C<sub>sink</sub>), methane (CH<sub>4</sub>),  
 1032 nitrous oxide (N<sub>2</sub>O), nitric oxide (NO) and ammonia (NH<sub>3</sub>) emissions, losses of nitrate through  
 1033 leaching (N<sub>i</sub>) and surface runoff (N<sub>r</sub>) for different land use types. The land use types are the  
 1034 sloping uplands (SU) with the summer maize–winter wheat rotation, seasonally waterlogged  
 1035 paddy (SP) with the paddy rice–winter wheat rotation or paddy rice–rape rotation, the  
 1036 winter-flooding paddy with the paddy rice-flooding fallow regime (RF) and the forest land  
 1037 (FL). No losses of S, PC, PN, and PP in the RF crop system because of the year-round flooding  
 1038 regime. The figures in the circles stand for the correlation coefficients. The scatter plots of the



1039 bottom left are relating to the correlation coefficients and the linear regression curves (i.e., the  
1040 red line) are provided when the correlations with the level of  $p < 0.05$  are considered as  
1041 significant.