Assessment of negative and positive CO₂ emissions on global warming metrics using <u>a</u> large ensemble <u>of</u> Earth system model simulations

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Keywords: effective TCRE, carbon-cycle feedback, climate feedback, ocean heat uptake, hysteresis of the Earth system, negative emissions, zero emissions commitment, uncertainty in the Earth system's
feedbacks

Abstract

| | The benefits of implementing negative emission technologies for a century (years 2070-2170) on the global |
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| 25 | warming response to cumulative carbon emissions until year 2420 are assessed following the shared |
| | socioeconomic pathways (SSP)1-2.6, the sustainable development scenario, with a comprehensive set of |
| | intermediate complexity Earth system model integrations. Model integrations include 82-86 different model |
| 1 | realisations covering a wide range of plausible climate states. The global warming response is assessed in terms |
| | of two key climate metrics: the effective transient climate response to cumulative CO ₂ emissions (eTCRE), |
| 30 | measuring the surface warming response to cumulative carbon emissions and associated non-CO2-(RCP4.5) |
| 1 | forcing, and the zero emissions commitment (ZEC), measuring the extent of any continued warming after net zero |
| | is reached. The TCRE is approximated from eTCRE by removing the contributions of non-CO ₂ forcing $\frac{as-of}{as-of}$ |
| | 2.152.2 K ² € EgC ⁻¹ (median value) (with a 10-90 % range of 1.61.75 to 2.83.13 K ² € EgC ⁻¹ in 2100) During the |
| | positive emission phases, the eTCRE decreases from 2.62-71 (1.902.0 to 3.65) to 2.6130 (1.73-91 to 3.2362) Kee |
| 35 | EgC ¹ due to a weakening in the increase in radiative forcing with an increase in atmospheric carbon, which is |
| 1 | partly opposed by an increasing fraction of the radiative forcing warming the surface as the ocean stratifies. During |
| | the <u>net</u> negative and zero emission phases, a progressive reduction of the eTCRE to 2.0 (1.394 to 2.968) Kee EgC |
| | is driven by the reducing airborne fraction as CO ₂ is drawn down <u>mainly</u> by the ocean. The model uncertainty in |
| 1 | the slopes of warming versus cumulative CO2 emissions varies from being controlled by the radiative feedback |
| 40 | parameter during positive emissions to also being affected by ocean circulation and carbon-cycle parameters |
| | during zero or net_negative emissions, consistent with the drivers of uncertainty diagnosed from the coefficient |
| | of variation of the thermal, radiative and carbon contributions in the eTCRE framework. There is hysteresis in |
| | atmospheric CO_2 and surface warming, where atmospheric CO_2 and surface temperature are higher after peak |
| | emissions compared with before peak emissions. The continued warming after CO2 emissions cease defining the |
| 45 | ZEC for the model mean without carbon capture and storage is-0.01 -0.03 K*C at 25 years and decreases in time |
| | to0.15 -0.21 K°C at 90 years after emissions cease. However, there is a spread in the ensemble with a temperature |
| | overshoot occurring in 50% 20% of the ensemble members at year 25. The ZEC only becomes negative positive |
| | in <u>5 % all of the ensemble members if modest carbon capture and storage is included. Hence, incorporating</u> |
| | negative emissions enhances the ability to meet climate targets and avoid risk of continued warming after net zero |
| 50 | is reached. |

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1 Introduction

There is an increasing need to adopt negative emission technologies (Luderer et al., 2013; Rogelj et al., 2015;
Beerling et al., 2020) to enhance the chance of meeting the Paris climate agreement targets of global warming of 1.5 °C or less than 2 °C given the ongoing growth in greenhouse gas concentrations (Boucher et al. 2012; Jeltsch-Thömmes et al., 2020). For a 1.5 °C target, there is 66 % chance of meeting this target only if post-2019 cumulative carbon emissions are limited to less than ~400 GtCO₂ (IPCC, 2021). Two climate metrics of transient climate response to cumulative CO₂ emissions (TCRE) and zero emissions commitment (ZEC) are essential to determine

60 <u>h</u>How much carbon may be emitted while remaining within the warming target.

<u>The remaining carbon budget</u> is inversely proportional to <u>TCRE</u>, the amount of surface warming resulting from cumulative carbon emissions. Thet<u>he</u> increase in the mean-global surface air temperature relative to cumulative CO₂ emission is defined as the transient climate response to cumulative CO₂ emissions (TCRE) (Matthews et al., 2009; Zickfeld et al., 2012; IPCC, 2013; Gillett et al., 2013; Zickfeld et al., 2013; Friedlingstein

et al., 2014; Matthews et al., 2017). Climate model projections reveal a simple near-linear relationship between the global surface air temperature change and cumulative CO₂ emissions between 0 and ~_2000 PgC (MacDougall, 2016). However, despite a similar linear dependence, there is a wide inter-model range in TCRE values (Williams et al., 2017; Spafford and MacDougall, 2020), varying from 1.4 to 2.5 Ke^C TtC⁻¹ in intermediate-complexity Earth system models (Eby et al., 2013), 0.8 to 2.4 K^eC TtC⁻¹ in full-complexity Earth system models (Matthews et al., 2018), and 0.7 to 2.0 K^eC TtC⁻¹ (90 % confidence interval) in observationally-constrained TCRE estimates from a

15-member CMIP5 ensemble (Gillett et al., 2013).

For the case of radiative forcing exclusively from atmospheric CO₂, the TCRE can be related to the dependence of the global mean temperature on the radiative forcing, the dependence of the radiative forcing on the atmospheric CO₂ and the airborne fraction (Sect. 2; Williams et al., 2016; Ehlert et al., 2017; Katavouta et al.,

- 75 2018; Williams et al., 2020). Applying this framework to 7 CMIP5 and 9 CMIP6 models following a 1 % annual increase in atmospheric CO₂, the TCRE is affected by a large inter-model spread in the climate feedback parameter for CMIP6 (Williams et al., 2020) as well as by a larger inter-model spread in the land carbon system for CMIP5 (Jones and Friedlingstein, 2020). The inclusion of non-CO₂ radiative forcing is able to alter the relationship between emissions and surface warming through both direct warming and carbon feedback effects (Tokarska et al.)
- 80 al., 2018). For the more realistic case including non-CO₂ radiative forcing contributions, the TCRE may be estimated by approximately removing the warming linked to non-CO₂ radiative forcing (Matthews et al., 2021).

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Alternatively, an effective TCRE (eTCRE) may be defined to include non-CO₂ warming and the non-CO₂ radiative forcing (Williams et al., 2016; Williams et al., 2017).

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The climate response after net zero emissions is an important climate metric, encapsulated in the zero emissions commitment (ZEC) given by the mean surface air temperature change after CO₂ emissions cease (Hare and Meinshausen, 2006; Matthews and Caldeira, 2008, Froelicher and Paynter, 2015; MacDougall et al., 2020). Quantification of the ZEC is critical for calculating the remaining carbon budget. Whether there is continued 90 surface warming depends on a competition between a cooling effect from the reduction of the radiative forcing from atmospheric CO2 as carbon is taken up by the ocean and terrestrial biosphere versus a surface warming effect from a decline in the heat uptake by the ocean interior (Williams et al., 2017b). In an analysis of Earth system model responses, to idealised CO₂-only forcing, MacDougall et al (2020) found that the multi-model mean for the ZEC was close to zero, but that there was a wide spread in continued warming and cooling responses from 95 individual models. Matthews and Zickfeld (2012) previously analysed the ZEC in the context of a realistic scenario by including contributions from non-CO2 forcings, but these authors did not address uncertainty. We address this gap by applying a perturbed physics ensemble to an experiment which includes non-CO₂ forcing within the framework of a strong mitigation scenario as is most appropriate for negative emissions. Here we examine these two climate metrics, the TCRE and the ZEC -following the shared socioeconomic 100 pathway (SSP) 1-2.6 scenario, which apply the eTCRE framework developed by Williams et al. (2016) to understand the controls of the uncertainty in the slopes of change in temperature versus cumulative emissions for the shared socioeconomic pathway (SSP) 1-2.6 scenario. This SSP1-2.6 scenario combines the realistic socioeconomic conditions for sustainable development with the high mitigation RCP 2.6 scenario assuming large-scale

- employment of a range of greenhouse gas mitigation technologies and strategiesto the intermediate complexity
 Earth system model GENIE-1. For our eTCRE analysis, we chose 850 CE as the preindustrial baseline (Eby et al., 2013) so we can account for both land use change and fossil fuel CO₂ emissions. Our analysis is based on simulations with the intermediate complexity Earth system model GENIE-1.simulations. The use of intermediate complexity enables us to (i) quantify uncertainties through a large ensemble consisting of 86 members that provide a wide range of plausible climate states and (ii) explore long time scales, in both the historical and future periods.
- 110 The pre-industrial baseline is chosen as 850 CE (Eby et al., 2013) rather than 1850 CE to account for both land use change and fossil fuel CO₂ emissions occurring before 1850 CE. The model was spun up to preindustrial and

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integrated from years 850 to 2420 CE, extending several centuries after the emissions cease to reveal whether there is continued warming and to quantify the effectiveness of negative emission applications. Our simulations follow two scenarios of: (a) CO2 emissions-forced RCP4.5 as a potential future medium-level mitigation scenario, in

115 which the radiative forcing sta The TCRE analysis follows anWe then explain the behaviour of thermal and carbon contributions in the eTCRE framework (Williams et al., 2016; Ehlert et al., 2017; Katavouta et al., 2018; Williams et al., 2020) and compares with a correlation analysis between the varied model parameters and the slopes of change in temperature versus cumulative emissions. The ZEC analysis compares addresses the response of the large ensemble during periods of net zero carbon emissions but continued non-CO₂ forcing following the SSP 1 120 2.6 scenario.

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Our analysis is based on an intermediate complexity Earth system model GENIE-1 simulations. The use of intermediate complexity enables unables uses to (i) quantify uncertainties through a large ensemble consisting of 82 <u>86</u> members that simulate <u>provide</u> a wide range of plausible climate states and (ii) explore long time scales, in both the historical and future periods. The model was spun up to preindustrial and integrated from years 850 to 2420 CE, extending several centuries after the emissions cease<u>to</u>

- reveal whether there is continued warming and the effectiveness of negative emission applications. This extension of the model allows assessment of continued warming at certain points after emissions cease, referred to as the zero emissions commitment (ZEC).For our eTCRE analysis, we chose 850 CE as the preindustrial baseline (Eby et al., 2013) so we can account for both land use change and fossil fuel CO₂ emissions. Our simulations follow
- 130 two scenarios of: (a) CO2 emissions-forced RCP4.5 as a potential future medium-level mitigation scenario, in which the radiative forcing stabilises at 4.5 Wm⁻² before year 2100, by the employment of a range of greenhouse gas mitigation technologies and strategies; and (b) CO₂ emissions-forced RCP4.5 with additional point-source carbon capture and storage. The carbon capture and storage is applied with 50 years delay in action to allow investigating the controls of uncertainty in TCRE during both positive and net negative emissions phases. The
- 135 sources of uncertainty in the slopes of change in temperature versus cumulative emissions are assessed in terms of their correlations with the varied model parameters. Finally, the extent of continued warming after emissions cease is assessed in terms of the zero emissions commitment and the effect of negative emission applications on reducing any continued warming.

2 Theoretical framework

We first introduce the framework under the assumption of only CO₂ forcing. A climate metric TCRE (K²← PgC⁻¹) is defined as the surface warming response to cumulative CO₂ emissions

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)},\tag{1}$$

where Δ is the change since year 850 CE, $\Delta T(t)$ is the global mean change in surface air temperature (in K°C) and $I_{em}(t)$ is the cumulative CO₂ emissions (in PgC) from the sum of fossil-fuel emissions and land use changes.

The TCRE may be viewed as a product of two terms, the change in global mean air temperature divided by 145 the change in the atmospheric carbon inventory, $\Delta T(t)/\Delta I_{atmos}(t)$, and the airborne fraction, $\Delta I_{atmos}(t)/I_{em}(t)$, given by the change in the atmospheric carbon inventory (in PgC) divided by the cumulative CO₂ emissions (Matthews et al., 2009; Solomon et al., 2009; Gillett et al., 2013; MacDougall, 2016) such that

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)} = \left(\frac{\Delta T(t)}{\Delta I_{atmos}(t)}\right) \left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right),\tag{2}$$

where $\Delta T(t)/\Delta I_{atmos}(t)$ is related to the transient climate response, defined by the temperature change at the time of doubling of atmospheric CO₂ (Matthews et al., 2009). The TCRE is defined in terms of this surface warming 150 response to CO₂ forcing, usually following a 1 % annual rise in atmospheric CO₂.

Alternatively, the TCRE may be linked to an identity involving a thermal dependence on radiative forcing, defined by the change in temperature divided by the change in radiative forcing, $\Delta F(t)$ (in Wm⁻²), and the radiative forcing dependence on CO₂ emissions, defined by the change in radiative forcing divided by the cumulative CO₂ emissions (Goodwin et al., 2015; Williams et al., 2016; Williams et al., 2017) such that

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)} = \left(\frac{\Delta T(t)}{\Delta F(t)}\right) \left(\frac{\Delta F(t)}{I_{em}(t)}\right).$$
(3)

These two viewpoints can be rationalized by rewriting the radiative forcing dependence to CO₂ emissions in Eq. 3 in terms of the radiative forcing dependence on atmospheric CO₂ and the airborne fraction (Ehlert et al., 2017; Katavouta et al., 2018; Williams et al., 2020).

The TCRE is then defined by the product of the thermal dependence, the radiative dependence between radiative forcing and atmospheric carbon, and the carbon dependence involving the airborne fraction:

$$TCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left(\frac{\Delta T(t)}{\Delta F(t)}\right)}_{thermal} \underbrace{\left(\frac{\Delta F(t)}{\Delta I_{atmos}(t)}\right)}_{radiative} \underbrace{\left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right)}_{carbon} \underbrace{\left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right)}_{(4)}$$

160 The thermal response may be further understood from an empirical global radiative balance (Gregory et al., 2004; Forster et al., 2013). The increase in radiative forcing, $\Delta F(t)$, drives an increase in planetary heat uptake, N(t) (in Wm⁻²), plus a radiative response, which is assumed to be equivalent to the product of the increase in global mean surface air temperature, $\Delta T(t)$, and the climate feedback parameter, $\lambda(t)$ (in K^oC⁻¹ Wm⁻²):

$$\underline{\Delta F(t)}_{radiative forcing} = \underbrace{N(t)}_{heat uptake} + \underbrace{\lambda(t)\Delta T(t)}_{radiative response}$$
(5)

The thermal dependence in Eq. 4 given by the dependence of surface warming on radiative forcing, $\Delta T(t)/\Delta F(t)$, is then given by the product of the inverse of the climate feedback, $\lambda^{-1}(t)$, and the planetary heat uptake divided by the radiative forcing, $N(t)/\Delta F(t)$,

$$\frac{\Delta T(t)}{\Delta F(t)} = \frac{1}{\lambda(t)} \left(1 - \frac{N(t)}{\Delta F(t)} \right),\tag{6}$$

where $1 - N(t)/\Delta F(t)$ represents the fraction of the radiative forcing that is lost to space and may be viewed as effectively equivalent to the fraction of the radiative forcing that warms the surface rather than the ocean interior. The carbon dependence in Eq. 4 involving the airborne fraction, $\Delta I_{atmos}(t)/I_{em}(t)$, is related to the changes

170 in the ocean-borne, land-borne and sediment-borne fractions (Jones et al., 2013),

$$\frac{\Delta I_{atmos}(t)}{I_{em}(t)} = 1 - \left(\frac{\Delta I_{ocean}(t)}{I_{em}(t)} + \frac{\Delta I_{land}(t)}{I_{em}(t)} + \frac{\Delta I_{sediment}(t)}{I_{em}(t)}\right),\tag{7}$$

where the changes in the ocean, land and sediment inventories are denoted by $\Delta I_{ocean}(t)$, $\Delta I_{land}(t)$ and $\Delta I_{sediment}(t)$ (in PgC), respectively.

The TCRE is formally defined in terms of the climate response to cumulative CO_2 emissions following a 1 % annual rise in atmospheric CO_2 (Matthews et al., 2009). As the rise in anthropogenic radiative forcing is currently dominated by the radiative forcing from atmospheric CO_2 , the TCRE is a useful climate metric to understand future climate projections. However, in the more realistic framework we apply here, the warming response includes contributions from non-CO₂ forcing. In such experiments, Matthews et al. (2021) advocate estimating the TCRE by approximately removing the warming due to the non-CO₂ radiative forcing by multiplying by a nonlimate dimensional factor $(1 - f_{nc})$, now explicitly acknowledging that $\Delta T(t)$ is not solely driven by $I_{em}(t)$;

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)} (1 - f_{nc}).$$
(8)

Matthews et al. (2021) interpret the non-dimensional factor $(1 - f_{nc})$ to represent the non-CO₂ fraction of total anthropogenic forcing where $f_{nc} = (\Delta F_{(t)} - \Delta F_{CO2}(t))/\Delta F(t)$. This estimation of the TCRE from general

forcing scenarios assumes that the time- and scenario- independence of the TCRE translates to a general response independence from radiative forcing elements.

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In order to allow for possible changes in the thermal and carbon responses from the non-CO₂ forcing, we prefer to define an <u>effective TCRE</u> (eTCRE) including the effect of the radiative forcing from non-CO₂ and CO₂ radiative components using a series of mathematical identities (Williams et al., 2016 and 2017), where

$$eTCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left(\frac{\Delta T(t)}{\Delta F(t)}\right)}_{thermal} \underbrace{\left(\frac{\Delta F(t)}{\Delta F_{CO2}(t)}\right)}_{radiative from CO_2 and & non-CO_2} \underbrace{\left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right)}_{carbon} \underbrace{\left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right)}_{carbon}.$$
(9)

By including the effect of the non-CO₂ radiative forcing, the eTCRE in Eq. 9 is larger than the TCRE with non-CO₂ radiative forcing removed in Eq. 8 whenever the positive radiative effect of non-CO₂ greenhouse gases exceeds the negative effect from aerosols. Our subsequent model diagnostics focus on evaluating the eTCRE and the thermal, radiative and carbon dependences using Eq. 9.

3 Methods

3.1 GENIE model description and experiment design

Our analysis is based on an Earth system model simulations for SSP1-2.6, the scenario with the least socioeconomic challenges to adaptation and mitigation of climate change (O'Neill et al., 2017; Riahi et al., 2017) which allows The implications of negative emissions technologies deployed for large-scale large-scale deployment of negative emissions technologies (NETs). Here, we investigate the implications of NETs for atmospheric CO₂ carbon dioxide-removal are investigated over 400 years by applying the net negative emissions of ~ 156 PgC between the years 2077 to 2250 (Fig. 1a-b) by developing two scenarios of RCP4.5 as the baseline and earbon capture and storage in which annual negative emissions of 2 PgC are applied from year 2070 for 100 years.

We employed The the global intermediate complexity Earth system model, GENIE-1 (release 2.7.7) (Holden et al., 2013a) is employed, consisting of the 3-D frictional geostrophic ocean model (GOLDSTEIN) (36° × 36° resolution with 16 depths levels in the ocean) coupled to the 2-D energy moisture balance model of the atmosphere (EMBM) and a thermodynamic-dynamic sea-ice model (Edwards and Marsh, 2005). The land surface module is
 the dynamic model of terrestrial carbon and land use change ENTSML (Holden et al., 2013a). Ocean biochemistry, deep-sea sediments and rock weathering are modelled by BIOGEM (Ridgewell et al., 2007), SEDGEM (36° × 36° resolution) and ROCKGEM (Colbourn et al., 2013) modules, respectively.

The future scenarios were built upon the RCP4.5 GENIE-1 forcing as implemented in Zickfeld et al. (2013). Simulations start from pre-industrial spin-ups (Holden et al., 2013b) and follow historical transients forcing from 850 to 2005 CE (Eby et al., 2013). In this setting, the land use change emissions start from 850 CE and emissions from other sources including fossil fuels are introduced from 1750 CE. The historical forcing includes CO₂ emissions, non-<u>CO₂ CO2</u>-radiative forcings, and land use changes, including both anthropogenic and natural sources (volcanic eruptions and solar variability). From the year 2005, the model is forced with CO2 emissions consistent with RCP4.5 until 2100 (Meinshausen et al., 2011), held constant until 2120 and then set to zero for the remainder of the simulation to year 2420 (Fig.1). In the carbon capture and storage (CCS) scenario, CO2 emissions are reduced by 2 PgC from year 2070 to 2170, so applying net negative emissions from 2120 to 2170. In both scenarios, land use change and non-CO2 forcing were held fixed at RCP4.5 values from year 2020. The land use change emissions in Fig. 1 were diagnosed as the difference in land carbon relative to a third 850 to 2420 ensemble that applied RCP4.5 forcing with no land-use change (i.e. natural vegetation everywhere).

- 220 The future forcing-emission scenario (2005 to 2420); SSP1-2.6, is built based on follows SSP1-2.6 (Riahi et al., 2017) totill the year 2100, and is and extended to 2420. basedN-on (Meinshausen et al., 2020). The model is forced with fossil fuels and land use change CO₂-emissions which last till the year 2250 and 2150, respectively. The negative emissionss are applied as athe reduction in the anthropogenic CO₂ emissions from the late 2020s, year-giving net-negative emissions from 2077. To extend the SSP1-2.6 from 2100, we follow a similar protocol to
- 225 Meinshausen et al.₅ (2020). Land-use change CO₂ emissions are reduced to zero by 2150 with non-CO₂ land-use emissions held fixed from 2100. Fossil fuel emissions, including non-CO₂ greenhouse gases, and negative CO₂ emissions are all brought to zero by 2250 (Fig. 1a and c). and are continued till the end of the positive emission phase in 2250. This protocol differs slightly from Meinshausen et al. (2020) who reduce negative emissions to zero by 2200; we prefer to avoid a second period of positive emissions from 2200 to 2250. Therefore, we have three
- 230 CO₂ emission phases: positive emissions from 2020 to 2077 (positive emissions), net negative emissions from 2077 to 2250 (net negative emissions) and zero emissions from 2250 to 2420 (zero emissions) (Fig. 1a).

We assume that the carbon removed leaves the system permanently, which is the representation of the NETs with long-lived and permanent carbon storage such as carbon capture and storage. This eanassumption also be applicable for approximates enhanced rock weathering, (ERW), which equally removes CO₂ from the atmosphere.

235 However, to accurately represent this technology in the model, it is required to explicitly incorporatexcept thate it neglects the effects of weathering its by-products are neglected, such as the effect of bicarbonate changes on ocean biogeochemistry to account for its which drive co-benefits for the ocean and marine ecosystems (Vakilifard et al., Commented [MOU12]: Comment 2.2.3

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2021). All CO₂ emissions are set to zero from 2250. Therefore, three CO₂ emission phases are 2020 to 2077 (positive emissions), 2077 to 2250 (net negative emissions) and 2250 to 2420 (post emissions). The non- CO₂
 240 fossil greenhouse gas emissions are ramped down to zero by 2250 and non-CO₂ land use change emissions continued till 2250 and then held fixed till 2420 (Fig. 1a).



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245 Figure 1: (a) The cumulative CO₂ emissions from 850 CE till the end of the model integration at year 2420, (b) the evolution of the atmospheric CO₂ and (c) non-CO₂ radiative forcing from year 2000_-ffor (a) RCP4.5 (baseline) and (b) earbon capture and storage SSP1-2.6 scenarios. Solid lines show the median values, and shaded areas indicate the values between the 10th and 90th percentiles. The dashed line shows the beginning of the carbon capture and storage application (year 2079).

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Year

To quantify the uncertainty in climate and carbon-cycle responses, we used an ensemble of 86 members 250 generated from different combinations of 28 model parameters (Foley et al., 2016). These parameters were selected for their importance for climate, ocean dynamics and carbon cycle and create diverse plausible climate states by varying over the entire range of possible inputs rather than the best values (Holden et al., 2013a, 2013b). Eightytwo of the 86 parameter sets successfully completed both simulations, and these 82 members are used in all the subsequent analyses, an 86-member ensemble, a subset of a calibrated 471-member ensemble varying 28 model 255 parameters (Holden et al., 2013a). The selection of 24 of these parameters (Holden et al., 2013b) covers oceanic, atmospheric, sea-ice, ocean biogeochemistry and terrestrial vegetation processes that are thought to contribute to variability of atmospheric CO₂ on glacial/interglacial timescales (Kohfeld and Ridgwell, 2009). The remaining four parameters are relevant to the modern state of the climate carbon-cycle, describing uncertainties in soil under land management, crop albedo, climate sensitivity and CO₂ fertilization. The 86 ensemble members are perturbed 260 to cover uncertainty in the 28 parameters and are all constrained toall -simulate plausible preindustrial values of global temperature, Atlantic overturning circulation, sea ice coverage, vegetative and soil carbon, sedimentary calcium carbonate and dissolved ocean oxygen (Holden et al., 2013b). and They additionally simulate reasonable values of atmospheric CO2 at snapshots (1620, 1770, 1850, 1970 and 2005 CE) through the historical transient (Foley et al., 2016). The varied parameters are summarised in Supplementary Table 4 and are fully detailed, along 265 with the ensemble design methodology, in Holden et al. (2013a, 2013b).

The ensembles span a wide range of responses<u>at At</u> the end of the positive emission phase at year 21202077.[±] the increase in surface air temperature ranges from 1.8-5 to 5-4.2 K°C₃[±] the strength of the Atlantic meridional overturning circulation<u>extends change from -12.3 to 0.6from 6.7 to 24.4</u> Sv₃[±] the land carbon uptake varieschange from a loss of 94-<u>78</u> PgC to a gain of 621-488 PgC₃[±] and the ocean carbon uptake ranges from a gain of 347-247 to 785-586 PgC (Fig. 2). Commented [MOU17]: Comment 2.3.2

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Figure 2: Inter-model ensemble spread of 86-member ensemble for change in (a) change in the surface air temperature, (b) Atlantic meridional overturning circulation (AMOC), (c) change in-land carbon pool and (d) change in ocean carbon pool from year 850 CE until year 2420 following the <u>SSP1-2.6 RCP4.5</u> (baseline) (left column), and carbon capture and storage scenario_s (right column). The dashed line shows the beginning of the carbon capture and storage application (year 2070).

3.2 Carbon feedback

The distribution of carbon between carbon inventories is diagnosed (Fig. 3), and carbon conservation ensures that 280 at all times the sum of the change in the carbon content of the atmosphere, $\Delta I_{atmos}(t)$, ocean, $\Delta I_{ocean}(t)$, land, $\Delta I_{land}(t)$, and ocean sediment, $\Delta I_{sediment}(t)$, equals the cumulative CO₂ emissions from both land use change and fossil fuels, $I_{em}(t)$, with all inventories in PgC,

$$\Delta I_{atmos}(t) + \Delta I_{ocean}(t) + \Delta I_{land}(t) + \Delta I_{sediment}(t) = I_{em}(t)$$
⁽¹⁰⁾

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Aside from the ocean sediments, which lose carbon, there is an increase in the carbon content of all inventories between the years 2020 and 21202077, the positive emission phase in both the baseline and carbon capture and

storage scenarios (Fig. 3). Dduring this emission phase, the carbon release from the sediment reservoir is ~ 14 PgC on average, equivalent to a sedimentary CaCO₃ dissolution flux of ~ 21 TmolC yr ⁻¹ consistent with Archer (1996), Ridgwell and Hargreaves (2007) and Sulpis et al. (2018). The application of carbon capture and storage from year 207<u>7</u>0 decreases the total carbon inventory until year 2170<u>2250</u>. During the zero post-emissions phase, in both scenarios, the increase in ocean storage is associated with a decrease in carbon content in the atmosphere, land and sediment.



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Figure 3: The ensemble average change in the major carbon inventories from 850 CE until year 2420 for <u>SSP1-2.6 (a) RCP4.5</u> (baseline) and (b) carbon capture and storage scenarios. The dashed line shows the beginning of the carbon capture and storage application (the year 2070).

3.3 Thermal feedback

300 For the thermal analysis, a global energy balance (Eq. 5) is diagnosed, $\Delta F(t) = N(t) + \lambda(t)\Delta T(t)$, in which the energy balance is expressed as the relationship between radiative forcing, $\Delta F(t)$ (Wm⁻²), planetary heat uptake, N(t) (Wm⁻²) and radiative response, $\lambda(t)\Delta T(t)$ (Wm⁻²).

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The radiative forcing, $\Delta F(t)$, is the sum of non-CO₂-induced radiative forcing (including land use change albedo) and CO₂-induced radiative forcing. For both scenarios, the <u>The</u> non-CO₂ radiative forcing, $\Delta F_{non-CO_2}(t)$ (Wm⁻²) is a prescribed model forcing input, besides land use change which is diagnosed as the change in reflected surface insolation under land use change relative to that with natural vegetation, averaged annually across all grid cells. The prescribed term, which includes non-CO2 trace gases, volcanic aerosols and anthropogenic aerosols, was fixed to 0.69 Wm⁻², the value in RCP4.5 at year 2020, for the remainder of the simulations. The land use change maps were also fixed from year 2020-2005 and these were associated with a global forcing of -0.46 53 to 0.056 310 Wm⁻² (25th to 75th percentile range) and mean and median values of -0.18-23 and -0.21-26 Wm⁻², respectively, across the ensemble. The uncertainty is driven primarily by crop albedo which varies between 0.12 and 0.18 across the ensemble (Holden et al., 2013a). The CO₂-induced radiative forcing, $\Delta F_{CO_2}(t)$ (Wm⁻²), was calculated individually for each simulation based on the atmospheric CO2 concentration (C(t) (ppm)) as outlined in (IPCC (2001):

$$\Delta F_{co_2}(t) = \alpha \ln \left(\frac{C(t)}{C(t_0)} \right) \tag{11}$$

where α is a constant equal to 5.35 Wm⁻² and C(t₀) equals 278 ppm. 315

The ocean heat uptake is used to estimate the planetary heat uptake, given that 90 % of the Earth's total energy increase is due to the ocean warming (Church et al., 2011). The climate feedback parameter, $\lambda(t)$ (K²C⁻¹ (Wm²)) is diagnosed from the ocean heat uptake and the change in surface air temperature (Eq. 5). Most of the radiative forcing drives a radiative response involving a rise in surface air temperature, rather than an increase in ocean heat uptake (Fig. 4).



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Figure 4: The evolution of (a) radiative forcing, (b) radiative response and (c) ocean heat uptake in <u>RCP4.5 (baseline), and carbon</u> capture and storage<u>SSP1-2.6</u> scenarios from year 2000. Solid lines show the median values and shaded areas indicate the values between the 10th and 90th percentiles in baseline (orange) and carbon capture and storage (blue) scenarios.

325 4 The sensitivity of surface air temperature to cumulative CO₂ emissions

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In this section, we evaluate the Earth system response to cumulative CO_2 emissions in terms of the thermal, radiative and carbon feedbacks to understand the reason for the inter-model spread in the slopes of the surface warming versus cumulative CO_2 emissions curve over different emissions phases.

- The results of GENIE-1 simulations for both scenarios show a linear relationship between the change in the surface air temperature and cumulative CO₂ emissions over the positive emissions phases (Fig. 5), with the slopes of this relationship varying between ~_1.79-62 and 3.39-42 K°C EgC⁻¹ (based on the 10 % and 90 % percentile values). The range of slopes of the Δ*T* versus *I_{em}* curve, calculated by linear regression, over the net negative emissions phase (i.e. in the carbon capture and storage scenario) is similar to that is larger than in the positive emissions phase by a factor of ~ 2 due to decrease in non-CO₂ radiative forcing leading to additional cooling during this
- 335 <u>period</u>. <u>During Over the net negativethis</u> emissions phase, the warming relationship is not linear in all ensemble members, and exhibits a hysteresis behaviour, as previously identified in Zickfeld et al. (2016) and Jeltsch-Thömmes et al. (2020). Differences in the rates of surface air temperature change over the <u>net</u> negative emissions phase are <u>mainly</u> due to the <u>terrestrial carbon uncertainty</u> <u>comparable contribution from the carbon cycle</u> <u>uncertainties in our emissions forced experiment</u> (discussed in Sect. 4.2.2).



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Figure 5: Change in the surface air temperature versus cumulative CO₂ emissions from 850 CE until year 2420 in (a) RCP4.5 (baseline) and (b) earbon capture and storageSSP1-2.6 scenarios. Note that the panel (b) only shows data from after year 2020.

4.1 Drivers of the effective eTCRE

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Following Sect. 2, the <u>effective c</u>TCRE is evaluated in terms of the product of (i) the dependence of surface warming on the radiative forcing, referred to as the thermal dependence, $\Delta T(t)/\Delta F(t)$; (ii) the dependence of the radiative forcing on the cumulative CO₂ emissions, referred to as a radiative dependence, $\Delta F(t)/\Delta I_{atmos}(t)$; and (iii) the airborne fraction, $\Delta I_{atmos}(t)/I_{em}(t)$, referred to as a carbon dependence (Eq. 9):

$$eTCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left(\frac{\Delta T(t)}{\Delta F(t)}\right)}_{thermal} \underbrace{\left(\frac{\Delta F(t)}{\Delta F_{CO2}(t)}\right)}_{radiative from CO_2 and \& non-CO_2} \underbrace{\left(\frac{\Delta I_{atmos}(t)}{I_{em}(t)}\right)}_{carbon}.$$

The model ensemble for both baseline and carbon capture scenarios-reveals a decrease in eTCRE from the median value of 2.62-71 K°C EgC⁻¹ in year 2020 to 2.01.96 K°C EgC⁻¹ in year 2420 (with 10-90 % range of 1.902.0 to 3.65 and 1.43-39 to 2.78-96 K°C EgC⁻¹, respectively) (Fig. 6a). During the positive emission phase (to year 21202077) this reduction is driven by a weakening in the increase in the radiative forcing with an increase in atmospheric carbon (Fig. 6b), which dominates over the increase in the thermal dependence (Fig. 6d). During the net negative and zero emission phases (from year 21202077), the eTCRE reduction is driven by the reducing airborne fraction as CO₂ is drawn down by the ocean (Fig. 6e).

The eTCRE is scenario dependent and varies with both CO₂ and non-CO₂ portions of the total-radiative forcing. Following the analysis of Matthews et al. (2021), we quantify the spread of the non-CO₂ fraction of total anthropogenic forcing, f_{nc} (from Eq. 8), between 2020 and 2100_<u>for RCP4.5 as well as the other three RCP</u> scenarios (2.6, 6.0 and 8.5) (Table S1) to investigate the extent of scenario dependency of the cTCRE. The results

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| 365 | showed that f_{nc} range varies from ~ 10 % to ~ 26 % (25 -%-75 -% percentile values) in 2020 to ~ 5 % to ~ 21 % |
|-----|---|
| | in 2100, corresponding to ~ 6 % decrease (mean value) over the course of 80 years. |
| | that the change in f_{nc} across all RCP scenarios and times ranges from ~6 % to ~17 % (25 to 75 % range) with |
| | the mean and median value of ~11 % (Table S1). The results could be in part due to the fixed non-CO2-radiative |
| | forcing from 2020 onwards in the fne calculations which diminishes the effect of non-CO2 radiative forcing. The |
| 370 | TCRE diagnosed by removing the non-CO ₂ warming factor (from Eq. 8) remains constant at ~2.2 K EgC ⁻¹ (median Commented [MOU28]: Comment 2.3.1 |
| | values) over the entire periodvaries from 1.6 to 2.8 °C EgC ⁺ (10 to 90 % range) with a median value of 2.2 °C |
| | EgC ⁺ However, the uncertainty increases towards the end of the century varying from 1.75 to 2.82 K EgC ⁻¹ (10 Commented [MOU29]: Comment 2.3.1 |
| | % -90 % percentile values) in 2020 to up to ~ 3.13 K EgC ⁻¹ in 2100 between the years 2020 to 2100 (Fig. S1). |
| | |



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| | |



Figure 6: Effective transient climate response to the cumulative CO₂ emissions <u>(eTCRE)</u> and its components for <u>RCP4.5</u> (baseline) and carbon capture and storage (<u>CCS)SSP1-2.6</u> scenarios from year 2000. (a) <u>Effective TCRE</u> (eTCRE), (b) <u>dependence of the</u> radiative forcing on atmospheric <u>CO₂fractional radiative</u> forcing contribution from atmospheric <u>CO₂</u>, (c) <u>fractional radiative</u> forcing contribution from atmospheric <u>CO₂dependence of the</u> radiative forcing on atmospheric <u>CO₂</u>, (d) airborne fraction and (e) thermal dependence. Solid lines show the median values, and shaded areas indicate the values between the 10th and 90th percentiles in baseline (orange) and carbon capture and storage (blue) scenarios.

385 The uncertainty in the eTCRE, and its dependencies for the model ensemble, is assessed based on the nondimensional coefficient of variation, given by the inter-model standard deviation divided by the ensemble mean (Williams et al., 2020). The uncertainty in the eTCRE varies from 0.23 to 0.27-3_over the course of the model integrations and is marginally-larger by 0.051 for the <u>net_negative emissions phase compared to the positive emission phase (Table 1</u>\$2).

During the positive and net negative emissions. In both scenarios, thethe coefficients of variation for the thermal dependence (~ 0.18 to ~ 0.2) and airborne fraction (~ 0.2) -provide the dominant contributions to the cTCRE uncertainty, with their values ranging from 0.17 to 0.20 and 0.18 and 0.21 respectively (Table 1S2). During the zero emission phase, however, These contributions are larger than the coefficient of variation for the fractional radiative forcing contribution from atmospheric CO₂, ΔF(t)/ΔF_{CO2}(t) (~ 0.22), ranging from 0.11 to 0.14 overweights larger than the contribution from the airborne fraction (~ 0.16). The last contribution to the dependence of the radiative forcing on atmospheric CO₂, ΔF_{CO2}(t)/ΔI_{atmos}(t), has the least contribution to the eTCRE uncertainty (~ 0.02) only ranging from 0.04 to 0.05.

 Table 1: Effective transient climate response to the cumulative CO2 emissions (eTCRE) and its components for the different emission phases in the SSP1-2.6 scenario. The coefficient of variation (σ_x/x) is defined by the inter-model standard deviation (σ_x) divided by the inter-model mean (x).

 400
 divided by the inter-model mean (x).

| Variable | 2020-2077 | | 2077-2250 | | 2250-2420 | | | | |
|--|---------------|-------------|--------------|-------------|-------------|--------------|---------------|--------------|--------------|
| Variable | x | σ_x | σ_x/x | x | σ_x | σ_x/x | x | σ_x | σ_x/x |
| I _{em} (PgC) | <u>855.29</u> | 72.63 | <u>0.08</u> | 856.45 | 72.63 | <u>0.08</u> | <u>806.38</u> | <u>72.63</u> | <u>0.09</u> |
| <u>ΔT (K)</u> | <u>2.33</u> | <u>0.51</u> | <u>0.22</u> | <u>2.17</u> | <u>0.59</u> | <u>0.27</u> | <u>1.77</u> | <u>0.55</u> | <u>0.31</u> |
| eTCRE (K EgC ⁻¹) | <u>2.74</u> | <u>0.63</u> | <u>0.23</u> | 2.54 | <u>0.71</u> | <u>0.28</u> | <u>2.20</u> | <u>0.67</u> | <u>0.30</u> |
| $\Delta T/\Delta F (K (Wm^{-2})^{-1})$ | <u>0.68</u> | <u>0.12</u> | <u>0.18</u> | <u>0.89</u> | <u>0.18</u> | <u>0.20</u> | <u>0.97</u> | <u>0.22</u> | <u>0.23</u> |
| <u>λ⁻¹ (K (Wm⁻²)⁻¹)</u> | <u>0.92</u> | <u>0.22</u> | <u>0.24</u> | <u>1.01</u> | <u>0.41</u> | <u>0.41</u> | <u>1.04</u> | <u>0.38</u> | <u>0.37</u> |
| <u>1-N/ΔF</u> | <u>0.75</u> | <u>0.06</u> | <u>0.08</u> | <u>0.93</u> | <u>0.11</u> | <u>0.12</u> | <u>0.97</u> | <u>0.12</u> | <u>0.12</u> |
| $\Delta I_{atmos}/I_{em}$ | 0.49 | <u>0.10</u> | 0.20 | 0.33 | 0.07 | <u>0.21</u> | 0.25 | <u>0.04</u> | <u>0.16</u> |
| $\Delta F / \Delta F_{CO2}$ | <u>1.20</u> | <u>0.14</u> | <u>0.12</u> | <u>1.18</u> | <u>0.20</u> | <u>0.17</u> | <u>1.19</u> | <u>0.26</u> | <u>0.22</u> |
| ΔF _{CO2} / I _{atmos} (Wm ⁻² EgC ⁻¹) | <u>6.91</u> | <u>0.21</u> | <u>0.03</u> | <u>7.45</u> | <u>0.19</u> | <u>0.03</u> | <u>7.86</u> | <u>0.15</u> | <u>0.02</u> |

4.1.1 Carbon dependence for the effective eTCRE

The fraction of emitted CO₂ that remains in each carbon inventory (based on Eq. 7) varies over the course of the integrations. The carbon dependence for the eTCRE is given by the airborne fraction of carbon emissions, $\Delta I_{atmos}(t)/I_{em}(t)$. In both scenarios, theBy the year 2077, the end of the positive emission phase, the atmosphere is the largest carbon sink with airborne fraction of ~ 49 % (mean value) (Fig. 7a and Table S2) airborne fraction strengthens by ~7% (based on the median values) from years 2020 to 2070 (Fig. 7a), likely as a result of increasing CO₂ emissions and weakening terrestrial carbon sinks. After year 2077,0 and a cessation of CO₂ emissionsduring the net negative and zero emission phases, the ocean becomes the dominant carbon sink with an increase in the ocean-borne fraction, $\Delta I_{ocean}(t)/I_{em}(t)$, up to ~.675 % (median mean_value) by 2420 (Fig. 7b_and Table S2). The land-borne fraction, $\Delta I_{land}(t)/I_{em}(t)$ decreases from ~_0.2419 % (median mean_value) in 2020 to the minimum value of ~_156 % in 2420 (Fig. 7c_and Table S2). The sediment-borne fraction, $\Delta I_{sediment}(t)/I_{em}(t)$, remains negative at ~-0.043 (median mean_value) over the entire period (Fig. 7d_and Table S2), and therefore acts as a weak carbon source.

415 The coefficient of variation is the largest for the land-borne fraction (~_0.7), followed by the sediment-borne fraction (~_-0.5) and then the airborne and ocean-borne fractions decreasing _(~_from ~_0.2_over the positive emission phase to ~_0.15) during the zero emission phase (Table S23). The main contribution to the model ensemble spread is, therefore, , implying that the land carbon system provides the main contribution to the model ensemble spread.









4.1.2 Radiative forcing dependence on atmospheric CO₂ for the effective eTCRE

By the end of the positive emissions at year 21202077, the radiative forcing dependence on atmospheric CO₂ emissions, $\Delta F(t)/\Delta I_{atmos}(t)$, weakens due to a saturation in the radiative forcing with an increase in atmospheric CO₂ (Gillett et al. 2013; William et al., 2020) (Fig. 1b and Fig. 8a-b). Over During the net negative emissions and 2200 (Fig. 1b and Fig. 8a-b). Over During the net negative emissions and due to a decrease in atmospheric CO₂ associated with the decrease in the airborne fraction (Fig. 8c).



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435 Figure 8: Radiative forcing dependence for the effective TCRE and its components in RCP4.5 (baseline) and carbon capture and storage (CCS)SSP1-2.6 scenarios from year 2000. (a) Fractional radiative forcing contribution from atmospheric CO₂, (b) dependence of the radiative forcing on atmospheric CO₂ and (c) airborne fraction. Solid lines show the median values, and shaded areas indicate the values between the 10th and 90th percentiles-in baseline (orrange) and carbon capture and storage (blue) scenarios.

4.1.3 Thermal dependence for the effective eTCRE

- 440 For both scenarios, tThe thermal dependence of the eTCRE, involving the dependence of the surface warming on the radiative forcing, ΔT(t)/ΔF(t), increases in all emissions phases (Fig. 9a) due to the reinforcing contributions of the inverse of the climate feedback parameter, λ(t)⁻¹ (Fig. 9b) and the fraction of the radiative forcing warming the surface, 1 N(t)/ΔF(t) (Fig. 9c). The increase in λ(t)⁻¹ is equivalent to a slight decrease in the climate feedback λ(t). The temporal evolution of the climate feedback parameter is mirrored in other climate model
 445 studies as climate feedbacks evolve on different timescales for a myriad of reasonsaccording to the nature of the controlling processes (e.g., Gregory et al., 2004; Armour et al., 2013; Knutti and Rugenstein, 2015; Goodwin, 2018). The fraction of the radiative forcing warming the surface increases by ~.3022 % (based on the median mean
- values, <u>Table 1</u>) from years 2020 to 2420 and with a corresponding reduction in the heat transfer into the deep ocean; by year 2420, nearly all the radiative forcing is warming the surface with the ratio 1 N(t)/ΔF(t) reaching
 0.979 (median-mean values, <u>Table 1</u>) (Fig. 9c-d). This response is probably due to an increase in ocean stratification from the rise in surface ocean temperature (Figs. S2-S4) from the increased radiative forcing.

In both scenarios with and without carbon capture and storage, the <u>The</u> coefficient of variation for the thermal dependence remains <u>~</u> around 0.2 over the future scenariosentire period (<u>Table 1</u>). Within the thermal dependence, the term relating to the climate feedback parameter $\lambda(t)^{-1}$ has a coefficient of variation more than <u>~</u> twice <u>3</u> times that of the fraction of the radiative forcing warming the surface $1 - N(t)/\Delta F(t)$ -(<u>0.24 versus 0.1</u>, Table <u>S21</u>). As the thermal dependence terms_x $\lambda(t)^{-1}$ and $1 - N(t)/\Delta F(t)$ -are strongly anti-correlated (Fig. S5), the relative spread in the thermal response is thus mitigated by the feedback between the climate feedback parameter and the fraction of the radiative forcing warming the surface.



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Figure 9: The evolution of (a) thermal dependence for the effective TCRE given by the dependence of the surface warming on the radiative forcing, $\Delta T(t)/\Delta F(t)$, and the contributions from (b) the inverse of the climate feedback, (c) the fraction of the radiative forcing warming the surface and (d) the fraction of the radiative forcing warming the occan interior in RCP4.5 (baseline) and carbon capture and storageSSP1-2.6 scenarios from year 2000. Solid lines show the median values, and shaded areas indicate the values between the 10th and 90th percentiles in baseline (orange) and carbon capture and storage (blue) scenarios.

4.2 The asymmetry of the Earth system response to positive and negative emissions

4.2.1 Hysteresis

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The relationship between the surface air temperature and atmospheric CO₂ exhibits hysteresis behaviour in most ensemble members, consistent with climate change reversibility studies (Fig. 10a-b) (Tokarska and Zickfeld, 2015; Jeltsch-Thömmes et al., 2020). The temperature remains at high levels after high atmospheric CO₂ concurrent with a decrease in the ocean heat uptake, N(t) (Fig. 10be-d). The ability of the ocean interior in taking up heat diminishes in time, probably due to increasing stratification and weakening ventilation. The fraction of the radiative forcing warming the ocean interior, $N(t)/\Delta F(t)$ (Fig. 10ce-f) then continues to decrease after the peak in atmospheric CO₂ leading to higher surface air temperatures even after the lower CO₂ concentrations are restored. In the carbon capture and storage scenario, the The atmospheric CO₂ declines during the net_negative emissions phase from year 20770 (Fig. 1bS6) (associated with the cumulative CO₂ emissions of ~1050-960.4 PgC (median value) (Figs. 1a and 10dHb). After the cessation of the emissions, the atmospheric CO₂ continues to decrease in both scenarios (Fig. 101da-b) mainly due to uptake by the ocean and to a lesser extent the land (Fig. 10eHe-f). The ocean carbon uptake is governed by the air-sea flux of CO₂ and thermocline ventilation, with uncertainties dominated by ventilation processes transferring carbon from the surface ocean to the main thermocline and deep ocean (Holden et al., 2013b₃₇ Goodwin et al., 2015; Zickfeld et al., 2016; Jeltsch-Thömmes et al., 2020). The ocean continues to take up carbon after the peak in atmospheric CO₂ as there is continuing long-term adjustment and ventilation of the deep ocean (Fig. 10eHe-d). The complex responses of land carbon (Fig. 10He-f) are driven by a range of competing processes, most notably carbon uptake through CO₂ fertilization and the carbon release through historical land use changes and accelerated respiration under warming.



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Figure 10: The thermal (upper row) and carbon (lower row) variables versus atmospheric CO₂ in RCP4.5 (baseline) (left column) and the carbon capture and storage scenarios (right column)<u>SSP1-2.6 scenario</u> from year 2000, $(-(a)_{-}, (b)_{-}, (d)_{-}, ($

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Figure 11: The carbon variables versus atmospheric CO₂ in RCP4.5 (baseline) (left column) and the carbon capture and storage scenarios (right column) from year 2000: (a), (b) Cumulative CO₂ emissions?(c), (d) change in the ocean carbon pool; and (c), (f) change in the land carbon pool. In each panel the solid black lines show the median, 10^{th} and 90^{th} percentile values of the atmospheric CO₂ on the x axis versus the median, 10^{th} and 90^{th} percentile values of the carbon variables on the y-axis.

| 505 | 4.2.2 Correlation between the model parameters and the slope of the change in surface air temperature versus cumulative CO ₂ emissions $(\Delta T / \Delta I_{em})$ | |
|-----|--|----------------------------------|
| | We calculated the coefficients of determination (R ²) between- $\Delta T/\Delta I_{em}$ and the 28 model parameters across the | |
| | ensemble during both positive and net negative emissions phases. For this purpose, four of the $\frac{82-\underline{86}}{\underline{81}}$ simulations | |
| I | were omitted as outliers because they were undergoing substantial re-organisation of ocean circulation during the | |
| 510 | period of <u>net</u> negative emissions (Fig. S <u>6</u> 7), significantly perturbing ocean heat uptake. | |
| | During the positive emissions phase, uncertainty in $\Delta T / \Delta I_{em}$ is dominated by the radiative feedback | |
| | parameter (OL1) (R ² _{-~} <u>64-61</u> %) (Table <u>2</u> 1), which perturbs outgoing longwave radiation proportionally to ΔT | |
| 1 | (Matthews and Caldeira, 2007). This parameter is primarily designed to capture unmodelled cloud responses to | |
| | global average temperature change, and it has previously been shown to drive $81~\%$ of the variance in GENIE-1 | |
| 515 | climate sensitivity (Holden et al., 2010). The parameter links to the climate feedback parameter in the eTCRE | |
| | framework (Sect. 4.1) which was shown to be the dominant driver of uncertainty in the thermal response and | |
| | therefore eTCRE values. | Commented [MOU39]: Comment 2.2.6 |
| | Although radiative forcing uncertainty dominates, carbon-cycle parameters also drive $\Delta T / \Delta I_{em}$ variance via | |
| | the land use change soil carbon parameter (KC) (R^2_{-12} %) through its control on soil carbon losses under land | |
| 520 | use change that continue after land use change is held fixed from 2020 due to the long (multi-decadal) soil time | |
| | scales. The fractional vegetation parameter (VFC) (R^2_{-10-11} %) drives additional carbon-cycle uncertainty | |
| | through its control on terrestrial carbon surface density. The results are associated with the airborne fraction in the | |
| | eTCRE framework diagnosed as another factor controlling the uncertainty in eTCRE during this emission phase | |
| | (Sect. 4.1). | Commented [MOU40]: Comment 2.2.6 |
| 525 | During net negative emissions within the carbon capture and storage experiment (21202077-21702250), | |
| | uncertainty in $\Delta T / \Delta I_{em}$ is affected again mainly by the CO ₂ fertilisation (VPC) ($\mathbb{R}^2 \sim 35$ %) which is a major | |
| | source of terrestrial carbon uncertainty and to a lesser extent the parameter that controls the rate of carbon loss | |
| | from soils under land use change soil carbon parameter (KC, ~11 %). The effect of the carbon contribution in the | |
| | uncertainty is expressed through airborne fraction in the eTCRE framework, which was revealed to be the main | |
| 530 | reason behind the large spread of eTCRE over the net negative emission phase (Sect. 4.1). radiative feedback | Commented [MOU41]: Comment 2.6.6 |
| | parameter (OL1, ~15 %) but now also by the effects of ocean transport and the carbon cycle. In the ocean, | |
| | uncertainty is dominated by the wind stress sealing parameter (WSF, ~16 %), which drives circulation strength | |
| | and is the dominant control of uncertainty in ocean carbon uptake (Holden et al 2013b). On land, the dominant | |
| | control is via CO ₂ fertilisation (VPC) (~14 %), a major source of terrestrial carbon uncertainty. | |

Table 24: <u>Correlation between model parameters and $\Delta T/\Delta I_{em}$ in SSP1-2.6 scenario over different emission phases based on the coefficients of determination (R²) (%)Coefficient of determination R² between model parameters and $\Delta T/\Delta I_{em}$ in RCP4.5 (baseline) and carbon capture and storage scenarios over different emissions phases based on the coefficients of determination (R²) (%). RCP4.5 (baseline) and carbon capture and storage scenarios over different emissions phases based on the coefficients of determination (R²) (%). RCP4.5 (baseline) and carbon capture and storage scenarios over different emissions phases based on the coefficients of determination (R²) (%). R2>50 % denotes strong correlation, and R²>10 % moderate correlation. The values less than 10 % are shown in Table S34.</u>

| 540 | | | | | |
|-----|----------------------|----------------|---|-----------------|-----------------|
| | Emissions phase | Parameter | Description | baseline | CCS |
| | | OL1 | Radiative feedback parameter | 63.7 | 63.0 |
| | 2020 2120 | KC | Land use change soil carbon | 12.0 | 12.3 |
| | 2020-2120 | MEG | Fractional vegetation dependence on vegetation carbon | 0.0 | 10.1 |
| | | VFC | density | 9.9 | 10.1 |
| | | WSF | Wind-scale factor | - | 16.4 |
| | 2120-2170 | OL1 | OLR feedback parameter | - | 14.6 |
| | | VPC | CO ₂ -fertilisation | - | 14.0 |
| | | | | | |

| Emission phase | Parameter | Description | Coefficient of determination (R ²) |
|----------------|------------|--|--|
| | | | <u>(%)</u> |
| | OL1 | Radiative feedback parameter (W m ⁻²) | <u>61.4</u> |
| 2020 2077 | <u>KC</u> | Land use change soil carbon | <u>11.7</u> |
| 2020-2077 | <u>VFC</u> | Fractional vegetation dependence on vegetation carbon density (m ² kgC ⁻¹) | <u>10.9</u> |
| 2077 2250 | VPC | CO ₂ fertilisation (ppm) | <u>34.9</u> |
| 2077-2250 | <u>KC</u> | Land use change soil carbon | <u>11.2</u> |

4.3 The Zero Emissions Commitment

The climate response after net zero emissions is an important climate metric, encapsulated in the The zero emissions commitment (ZEC) is now assessed given by the mean surface air temperature change after CO₂ emissions cease
 (Hare and Meinshausen, 2006; Matthews and Caldeira, 2008, Froelicher and Paynter, 2015; MacDougall et al., 2020). Quantification of the ZEC is critical for calculating the remaining carbon budget. Whether there is continued surface warming depends on a competition between a cooling effect from reduction in atmospheric CO₂ due to the ocean and land sequestration of carbon the reduction of the radiative forcing from atmospheric CO₂ as carbon is taken up by the ocean and terrestrial biosphere-versus a surface warming effect from a decline in the heat uptake

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- 550 by the ocean interior (Williams et al., 2017b). In an analysis of Earth system model responses, MacDougall et al (2020) found that the model mean for the ZEC was close to zero, but that there was a wide spread in continued warming and cooling responses from individual models.
- In our analysisOur baseline experiment, we define the reference scenario, which applies RCP4.5SSP1-2.6 CO₂ emissions until year 2120-2077 and zero emissions thereafter, with cumulative emissions of ~_1360961 PgC GtC (median value) (Fig. S7), . is approximately comparable to the 1000 PgC experiment of the multi-model intercomparison of MacDougal et al (2020), in which emissions were derived to drive a 1 % yr⁺ rise in atmospheric CO₂ concentration from pre-industrial until cumulative emissions of 1000 GtC.
- In the baseline experiment, when emissions cease the surface temperature continues to rise in 50 % of the ensemble members (the upward vertical lines in Fig. 5). Following the analysis of MacDougall et al. (2020), we define ZEC₂₅, ZEC₅₀, ZEC₅₀, as the mean surface air temperature anomalies (relative to the year that emissions eease) at the 25th, 50th and 90th years after the cessation of emissions, to account for the implications of ZEC over a range of multi-decadal times scales relevant to climate policy.
- Diagnosed ZEC values are illustrated in Fig. 112 (the baseline-reference plotted as orange bars). In the baselinethe reference scenario, the distribution of the ZEC display an uncertain sign. There is a temperature overshoot in 50 20% of ZEC₂₅ values (10-90% range from -0.08 -0.07 to 0.02 0.05 K°C) and in 2411% of ZEC₅₀ values (range from -0.17 -0.14 to 0.01 0.04 K°C) and 5% of ZEC₉₀ values (range from -0.31 to -0.05 K). The values of ZEC decrease over time so that the ZEC₉₀ remains at or below zero in all ensemble members, ranging from -0.26 to 0.00 °C. The ensemble means of ZEC₂₅, ZEC₅₀ and ZEC₉₀ are -0.03, -0.10 and -0.21 K, respectively, and compare to values of -0.01, -0.07 and -0.12 K in the 1000 PgC experiment of MacDougall et al. (2020) (grey bars). The additional cooling is likely due to ongoing reductions of non-CO₂ forcing from 0.3 Wm⁻² in 2077 (Fig.
- 1c), noting that MacDougall et al. (2020) performed an idealised experiment that only considered CO₂ emissions forcingThe ensemble means of ZEC₂₅, ZEC₅₀ and ZEC₉₀ are -0.01, -0.06 and -0.15 °C respectively, consistent with values of -0.01, -0.07 and -0.12 °C in the 1000 PgC experiment of MacDougall et al (2020). We realise that our
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5 uncertainties are lower than MacDougall et al. (2020), which at least in part reflects the absence of internal (decadal) variability in the EMBM of GENIE-1, noting that inter-annual, but not decadal, variability was removed from MacDougall et al. (2020) through 20-year averaging.

We additionally consider ZEC metrics for the ensemble including carbon capture and storage. In contrast to the baselinereference scenario, surface temperatures decrease in all the ensemble members after cessation of

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- 580 positive emissions in SSP1-2.6 scenario. We consider two alternative interpretations of the ZEC, the warming after the cessation of positive emissions (in 21202077) and the warming after the cessation of net negative emissions (in 21702250). The former may be more relevant from a policy perspective (as the time of likely peak warming), while the latter is theoretically useful to quantify committed warming when emissions are precisely zero.
- 585 The blue bars in Fig. 12-11 illustrate the ZEC results for SSP1-2.6 scenario for the carbon capture and storage scenariocalculated relative to from 21702250. Ensemble means are -0.08 °C, -0.13 °C and -0.19 °C for ZEC₂₅₇, ZEC₅₀ and ZEC₉₀, respectivelyThere is a temperature overshoot in 5 % of the ZEC₂₅ values, however, the values remain at or below zero within 10-90 % range (-0.06 to 0 K). The values of ZEC₂₅ and ZEC₉₀ are robustly negative, ranging from -0.1 to -0.01 K and -0.16 to -0.03 K (10th-90th percentile range), respectively. Ensemble means are 0.03 K for ZEC₂₅, -0.06 K for ZEC₅₀ and -0.09 K for ZEC₉₀.

Notably, the values are robustly negative, ranging from -0.12 to -0.04 °C for ZEC₂₅, -0.19 to -0.07 °C for ZEC₅₀, and -0.31 to -0.09 °C for ZEC₉₀ (10th-90th percentile range). consistent with values of -0.01, -0.07 and -0.12 °C in the 1000 PgC experiment of MacDougall et al (2020). We note that our uncertainties are lower than MacDougall et al. (2020), which at least in part reflects the absence of internal (decadal) variability in the EMBM of GENIE-1, noting that inter-annual, but not decadal, variability was removed from MacDougall et al. (2020) through 20-year averaging. The green bars in Fig. 12-11 illustrate the ZEC values from 2120 2077 (which includes the period of ongoing net negative emissions). The average values of ZEC are significantly lower than from 21702250, being -0.1-0.11 °C, -0.26 °C, and -0.437 K°C, due to the additional cooling driven by net negative emissions. All ZEC values are again robustly negative, varying between -0.14 and -0.05 for ZEC₂₅, -0.343 and -0.164 for ZEC₅₀, and -0.49-61 and -0.2-31 for ZEC₉₀ (10 %-90 % percentile values), confirming that no ensemble member exhibits a temperature overshoot after the cessation of positive emissions.

in the carbon capture and storage scenario.



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Figure 1<u>1</u>2: The distribution of the zero emissions commitment (ZEC) in the <u>reference scenario_RCP4.5 (baseline)</u>at 25th, 50th and 90th years <u>relative to year 2077 (orange bars) and in SSP1-2.6</u> relative to year <u>2120-2250 (orange blue bars) and)</u> and in the carbon enture and storage scenario relative to year <u>2170-2077 (blue green bars)</u> and relative to year <u>2120-2250 (orange blue bars)</u> versus the results of MacDougal et al. (2020) (grey bars). The mean values are shown with cross marks. Note that the year <u>2120-2077</u> is the end of the (net) positive emissions phase in both scenarios, and the year <u>2170-2250</u> is the end of the net negative emissions phase in the carbon capture and storage scenario.

5 Conclusions

To remain within the Paris climate agreement, there is an increasing need to develop and implement carbon capture and sequestration techniques. However, it is unclear how these <u>effective-o</u>TCRE, defining the relationship between fresponse, as represented by two key climate metrics: the <u>effective-o</u>TCRE, defining the relationship between surface warming and cumulative CO₂ emissions, and the ZEC, defining the anticipated warming after CO₂ emissions cease. The effect of negative emissions is assessed here using a large GENIE-1 ensemble, following <u>RCP4.5SSP1-2.6</u> as the baseline case, and then including carbon capture and storage as an alternative scenario (with the CO₂ removal rate of 2 PgCyr⁻¹over 100 yearswith the net negative CO₂ emissions of ~ 156 PgC over 173 years). The model responses include 82-86 members that span a wide range of climate and carbon-cycle feedback

strengths. This large ensemble analysis is enabled by employing low resolution and intermediate complexity, with most notable simplifications of the fixed wind-field energy-moisture balance atmosphere, neglecting dynamic atmosphere-ocean feedbacks, and the simple model of terrestrial carbon, which neglects nutrient limitation, does not represent permafrost (or methane), and has a 1-level description of soil carbon.

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The effective <u>c</u>TCRE decreases in time in scenarios with and without carbon capture due to a combination of the weakening in the radiative forcing with an increase in atmospheric carbon during positive emissions and with a reduction in the airborne fraction after emissions cease, which together outweigh the strengthening thermal dependence. The controls on the effective TCRE are similar in model integrations both with and without carbon eapture and storage. This similar response implies that information on the behaviour of early 21* century warming ean be extended to projections with moderate amounts of carbon capture and storage.

The comparison of the coefficient of variation for the <u>effective c</u>TCRE and its dependencies show that the thermal dependence and airborne fraction almost equally contribute to the uncertainty in the <u>effective c</u>TCRE <u>during the positive emission phase</u>. The results are consistent with those from the model parameter correlation analysis in which different slopes of the change in surface air temperature versus emissions are due to primarily to

635 the uncertainty in radiative feedbacks and to a lesser extent carbon-cycle feedbacks. Our results differ from the analysis of <u>CIMIP5 and</u> CMIP6 ensembles in which_the radiative forcing response and thermal response were the main contributors to the uncertainty in the TCRE, <u>respectively</u>_(Williams et al., 2020). During the net negative emission phase, both analyses show that the carbon dependence causes the main uncertainty in the values of <u>cTCRE</u>. These inferences are consistent with a model parameter correlation analysis attributing the weakening in warming slopes versus emissions to radiative feedbacks during net positive emissions, and also affected by changes

in the airborne fraction of CO2 during the negative and zero emission phases

The relationship between thermal and carbon feedbacks with an increase in atmospheric CO₂ exhibits hysteresis behaviour. The fraction of the radiative forcing warming the surface continues to increase after peak atmospheric CO₂ as the ocean is stratified, leading to higher surface air temperatures after lower atmospheric CO₂ values are restored. The increase in the ocean and terrestrial earbon storage after the peak in atmospheric CO₂ is associated with the long-term <u>adjustment and ventilation of the deep ocean response while the reason for the continued of each of these carbon sinks as well as the carbon storage from their past carbon uptake. terrestrial carbon storage relates to competing processes such as carbon uptake through CO₂ fertilization and carbon release through historical land use changes and accelerated respiration under warming.</u> Commented [MOU45]: Comment 2.2.6

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650 The zero emission commitment is close to zero. In the model mean of the integrations that exclude carbon capture and storage, the ZEC is -0.01-0.03 K°C at 25 years and decreases to -0.15-0.21 -K °C-at 90 years after emissions cease. However, even assisted by gradual reductions in non-CO₂ forcing as in this scenario,earbon capture the distribution of ZEC after 25 years from the cessation of emissions shows continued warming in ~20 %50% of ensemble members. Including carbon capture and storage reduces the probability of continued warming after net zero, with 95% ensemble members exhibiting a ZEC close to or below zero. Hence, implementing net negative emissions is required to reduce the risk of over-shoot and continued warming after net zero is reached and increase the probability of meeting the Paris targets. Negative emissions technologiesNETs with naturally long CO₂ removal lifetimes, such as -agricultural enhanced rock weatheringERWenhanced rock weathering

(Beerling et al., 2020) may be especially well suited for this purpose as the legacy effects of the repeated application of this technology increase the rate of carbon drawdown per unit area for years after implementation at no incremental cost (Beerling et al., 2020; Vakilifard et al., 2021). Commented [MOU47]: Comment 1.3

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Data availability. The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Author contributions. NV undertook model experimental design, all simulations, and analyses. All authors were involved in the design of the model experiments, led by NV and RGW. All authors contributed to writing, led by NV and RGW.

670 Competing interests. The authors declare that they have no conflict of interest.

Acknowledgments. The authors acknowledge funding with a Leverhulme Research Centre Award, RC-2015-029, from the Leverhulme Trust. RGW is supported by a UK Natural Environment Research Council grant NE/T007788/1.

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