

# Assessment of negative and positive CO<sub>2</sub> emissions on global warming metrics using a large ensemble of Earth system model simulations

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## Abstract

The benefits of implementing negative emission technologies on the global warming response to cumulative carbon emissions until year 2420 are assessed following the shared socioeconomic pathways (SSP)1-2.6, the sustainable development scenario, with a comprehensive set of intermediate complexity Earth system model integrations. Model integrations include 86 different model realisations covering a wide range of plausible climate states. The global warming response is assessed in terms of two key climate metrics: the effective transient climate response to cumulative CO<sub>2</sub> emissions (eTCRE), measuring the surface warming response to cumulative carbon emissions and associated non-CO<sub>2</sub> forcing, and the zero emissions commitment (ZEC), measuring the extent of any continued warming after net zero is reached. The TCRE is approximated from eTCRE by removing the contributions of non-CO<sub>2</sub> forcing of 2.2 K EgC<sup>-1</sup> (median value) (with a 10-90 % range of 1.75 to 3.13 K EgC<sup>-1</sup> in 2100). During the positive emission phase, the eTCRE decreases from 2.71 (2.0 to 3.65) to 2.61 (1.91 to 3.62) K EgC<sup>-1</sup> due to a weakening in radiative forcing with an increase in atmospheric carbon, which is partly opposed by an increasing fraction of the radiative forcing warming the surface as the ocean stratifies. During the net negative and zero emission phases, a progressive reduction of the eTCRE to 2.0 (1.39 to 2.96) K EgC<sup>-1</sup> is driven by the reducing airborne fraction as CO<sub>2</sub> is drawn down mainly by the ocean. The model uncertainty in the slopes of warming versus cumulative CO<sub>2</sub> emissions varies from being controlled by the radiative feedback parameter during positive emissions to being affected by carbon-cycle parameters during net negative emissions, consistent with the drivers of uncertainty diagnosed from the coefficient of variation of the contributions in the eTCRE framework. There is hysteresis in atmospheric CO<sub>2</sub> and surface warming, where atmospheric CO<sub>2</sub> and surface temperature are higher after peak emissions compared with before peak emissions. The continued warming after CO<sub>2</sub> emissions cease defining the ZEC for the model mean without carbon capture and storage is -0.03 K at 25 years and decreases in time to -0.21 K at 90 years after emissions cease. However, there is a spread in the ensemble with a temperature overshoot occurring in 20 % of the ensemble members at year 25. The ZEC only becomes positive in 5 % of the ensemble members if carbon capture and storage is included. Hence, incorporating negative emissions enhances the ability to meet climate targets and avoid risk of continued warming after net zero is reached.

## 1 Introduction

There is an increasing need to adopt negative emission technologies (Luderer et al., 2013; Rogelj et al., 2015; Beerling et al., 2020) to enhance the chance of meeting the Paris climate agreement targets of global warming of 1.5 °C or less than 2 °C given the ongoing growth in greenhouse gas concentrations (Boucher et al. 2012; Jeltsch-Thömmes et al., 2020). For a 1.5 °C target, there is 66 % chance of meeting this target only if post-2019 cumulative carbon emissions are limited to less than ~400 GtCO<sub>2</sub> (IPCC, 2021). Two climate metrics of transient climate response to cumulative CO<sub>2</sub> emissions (TCRE) and zero emissions commitment (ZEC) are essential to determine how much carbon may be emitted while remaining within the warming target.

The remaining carbon budget is inversely proportional to TCRE, the increase in the mean-global surface air temperature relative to cumulative CO<sub>2</sub> emission (Matthews et al., 2009; Zickfeld et al., 2012; IPCC, 2013; Gillett et al., 2013; Zickfeld et al., 2013; Friedlingstein et al., 2014; Matthews et al., 2017). Climate model projections reveal a simple near-linear relationship between the global surface air temperature change and cumulative CO<sub>2</sub> emissions between 0 and ~2000 PgC (MacDougall, 2016). However, despite a similar linear dependence, there is a wide inter-model range in TCRE values (Williams et al., 2017; Spafford and MacDougall, 2020), varying from 1.4 to 2.5 K TtC<sup>-1</sup> in intermediate-complexity Earth system models (Eby et al., 2013), 0.8 to 2.4 K TtC<sup>-1</sup> in full-complexity Earth system models (Matthews et al., 2018), and 0.7 to 2.0 K TtC<sup>-1</sup> (90 % confidence interval) in observationally-constrained TCRE estimates from a 15-member CMIP5 ensemble (Gillett et al., 2013).

For the case of radiative forcing exclusively from atmospheric CO<sub>2</sub>, the TCRE can be related to the dependence of the global mean temperature on the radiative forcing, the dependence of the radiative forcing on the atmospheric CO<sub>2</sub> and the airborne fraction (Sect. 2; Williams et al., 2016; Ehlert et al., 2017; Katavouta et al., 2018; Williams et al., 2020). Applying this framework to 7 CMIP5 and 9 CMIP6 models following a 1 % annual increase in atmospheric CO<sub>2</sub>, the TCRE is affected by a large inter-model spread in the climate feedback parameter for CMIP6 (Williams et al., 2020) as well as by a larger inter-model spread in the land carbon system for CMIP5 (Jones and Friedlingstein, 2020). The inclusion of non-CO<sub>2</sub> radiative forcing is able to alter the relationship between emissions and surface warming through both direct warming and carbon feedback effects (Tokarska et al., 2018). For the more realistic case including non-CO<sub>2</sub> radiative forcing contributions, the TCRE may be estimated by approximately removing the warming linked to non-CO<sub>2</sub> radiative forcing (Matthews et al., 2021). Alternatively, an effective TCRE (eTCRE) may be defined to include non-CO<sub>2</sub> warming and the non-CO<sub>2</sub> radiative forcing (Williams et al., 2016; Williams et al., 2017).

The climate response after net zero emissions is an important climate metric, encapsulated in the zero emissions commitment (ZEC) given by the mean surface air temperature change after CO<sub>2</sub> emissions cease (Hare and Meinshausen, 2006; Matthews and Caldeira, 2008, Froelicher and Paynter, 2015; MacDougall et al., 2020).

85 Quantification of the ZEC is critical for calculating the remaining carbon budget. Whether there is continued surface warming depends on a competition between a cooling effect from the reduction of the radiative forcing from atmospheric CO<sub>2</sub> as carbon is taken up by the ocean and terrestrial biosphere versus a surface warming effect from a decline in the heat uptake by the ocean interior (Williams et al., 2017b). In an analysis of Earth system model responses to idealised CO<sub>2</sub>-only forcing, MacDougall et al (2020) found that the multi-model mean for the

90 ZEC was close to zero, but that there was a wide spread in continued warming and cooling responses from individual models. Matthews and Zickfeld (2012) previously analysed the ZEC in the context of a realistic scenario by including contributions from non-CO<sub>2</sub> forcings, but these authors did not address uncertainty. We address this gap by applying a perturbed physics ensemble to an experiment which includes non-CO<sub>2</sub> forcing within the framework of a strong mitigation scenario as is most appropriate for negative emissions.

95 Here we examine these two climate metrics, the TCRE and the ZEC following the shared socioeconomic pathway (SSP) 1-2.6 scenario, which combines the realistic socio-economic conditions for sustainable development with the high mitigation RCP 2.6 scenario assuming large-scale employment of a range of greenhouse gas mitigation technologies and strategies. Our analysis is based on simulations with the intermediate complexity Earth system model GENIE-1. The use of intermediate complexity enables us to (i) quantify uncertainties through

100 a large ensemble consisting of 86 members that provide a wide range of plausible climate states and (ii) explore long time scales, in both the historical and future periods. The pre-industrial baseline is chosen as 850 CE (Eby et al., 2013) rather than 1850 CE to account for both land use change and fossil fuel CO<sub>2</sub> emissions occurring before 1850 CE. The model was spun up to preindustrial and integrated from years 850 to 2420 CE, extending several centuries after the emissions cease to reveal whether there is continued warming and to quantify the effectiveness

105 of negative emission applications. The TCRE analysis follows an eTCRE framework (Williams et al., 2016; Ehlert et al., 2017; Katavouta et al., 2018; Williams et al., 2020) and compares with a correlation analysis between the varied model parameters and the slopes of change in temperature versus cumulative emissions. The ZEC analysis addresses the response of the large ensemble during periods of net zero carbon emissions but continued non-CO<sub>2</sub> forcing following the SSP 1-2.6 scenario.

## 110 2 Theoretical framework

We first introduce the framework under the assumption of only CO<sub>2</sub> forcing. A climate metric TCRE (K PgC<sup>-1</sup>) is defined as the surface warming response to cumulative CO<sub>2</sub> emissions

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)}, \quad (1)$$

where  $\Delta$  is the change since year 850 CE,  $\Delta T(t)$  is the global mean change in surface air temperature (in K) and  $I_{em}(t)$  is the cumulative CO<sub>2</sub> emissions (in PgC) from the sum of fossil-fuel emissions and land use changes.

115 The TCRE may be viewed as a product of two terms, the change in global mean air temperature divided by the change in the atmospheric carbon inventory,  $\Delta T(t)/\Delta I_{atmos}(t)$ , and the airborne fraction,  $\Delta I_{atmos}(t)/I_{em}(t)$ , given by the change in the atmospheric carbon inventory (in PgC) divided by the cumulative CO<sub>2</sub> emissions (Matthews et al., 2009; Solomon et al., 2009; Gillett et al., 2013; MacDougall, 2016) such that

$$TCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \left( \frac{\Delta T(t)}{\Delta I_{atmos}(t)} \right) \left( \frac{\Delta I_{atmos}(t)}{I_{em}(t)} \right), \quad (2)$$

120 where  $\Delta T(t)/\Delta I_{atmos}(t)$  is related to the transient climate response, defined by the temperature change at the time of doubling of atmospheric CO<sub>2</sub> (Matthews et al., 2009). The TCRE is defined in terms of this surface warming response to CO<sub>2</sub> forcing, usually following a 1 % annual rise in atmospheric CO<sub>2</sub>.

Alternatively, the TCRE may be linked to an identity involving a thermal dependence on radiative forcing, defined by the change in temperature divided by the change in radiative forcing,  $\Delta T(t)$  (in Wm<sup>-2</sup>), and the radiative forcing dependence on CO<sub>2</sub> emissions, defined by the change in radiative forcing divided by the cumulative CO<sub>2</sub> emissions (Goodwin et al., 2015; Williams et al., 2016; Williams et al., 2017) such that

$$TCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \left( \frac{\Delta T(t)}{\Delta F(t)} \right) \left( \frac{\Delta F(t)}{I_{em}(t)} \right). \quad (3)$$

These two viewpoints can be rationalized by rewriting the radiative forcing dependence to CO<sub>2</sub> emissions in Eq. 3 in terms of the radiative forcing dependence on atmospheric CO<sub>2</sub> and the airborne fraction (Ehlert et al., 2017; Katavouta et al., 2018; Williams et al., 2020).

130 The TCRE is then defined by the product of the thermal dependence, the radiative dependence between radiative forcing and atmospheric carbon, and the carbon dependence involving the airborne fraction:

$$TCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left( \frac{\Delta T(t)}{\Delta F(t)} \right)}_{thermal} \underbrace{\left( \frac{\Delta F(t)}{\Delta I_{atmos}(t)} \right)}_{radiative} \underbrace{\left( \frac{\Delta I_{atmos}(t)}{I_{em}(t)} \right)}_{carbon}. \quad (4)$$

The thermal response may be further understood from an empirical global radiative balance (Gregory et al., 2004; Forster et al., 2013). The increase in radiative forcing,  $\Delta F(t)$ , drives an increase in planetary heat uptake,  $N(t)$  (in  $\text{Wm}^{-2}$ ), plus a radiative response, which is assumed to be equivalent to the product of the increase in global mean surface air temperature,  $\Delta T(t)$ , and the climate feedback parameter,  $\lambda(t)$  (in  $\text{K}^{-1} \text{Wm}^{-2}$ ):

$$\underbrace{\Delta F(t)}_{\text{radiative forcing}} = \underbrace{N(t)}_{\text{heat uptake}} + \underbrace{\lambda(t)\Delta T(t)}_{\text{radiative response}}. \quad (5)$$

135 The thermal dependence in Eq. 4 given by the dependence of surface warming on radiative forcing,  $\Delta T(t)/\Delta F(t)$ , is then given by the product of the inverse of the climate feedback,  $\lambda^{-1}(t)$ , and the planetary heat uptake divided by the radiative forcing,  $N(t)/\Delta F(t)$ ,

$$\frac{\Delta T(t)}{\Delta F(t)} = \frac{1}{\lambda(t)} \left( 1 - \frac{N(t)}{\Delta F(t)} \right), \quad (6)$$

where  $1 - N(t)/\Delta F(t)$  represents the fraction of the radiative forcing that is lost to space and may be viewed as effectively equivalent to the fraction of the radiative forcing that warms the surface rather than the ocean interior.

140 The carbon dependence in Eq. 4 involving the airborne fraction,  $\Delta I_{atmos}(t)/I_{em}(t)$ , is related to the changes in the ocean-borne, land-borne and sediment-borne fractions (Jones et al., 2013),

$$\frac{\Delta I_{atmos}(t)}{I_{em}(t)} = 1 - \left( \frac{\Delta I_{ocean}(t)}{I_{em}(t)} + \frac{\Delta I_{land}(t)}{I_{em}(t)} + \frac{\Delta I_{sediment}(t)}{I_{em}(t)} \right), \quad (7)$$

where the changes in the ocean, land and sediment inventories are denoted by  $\Delta I_{ocean}(t)$ ,  $\Delta I_{land}(t)$  and  $\Delta I_{sediment}(t)$  (in  $\text{PgC}$ ), respectively.

145 The TCRE is formally defined in terms of the climate response to cumulative  $\text{CO}_2$  emissions following a 1 % annual rise in atmospheric  $\text{CO}_2$  (Matthews et al., 2009). As the rise in anthropogenic radiative forcing is currently dominated by the radiative forcing from atmospheric  $\text{CO}_2$ , the TCRE is a useful climate metric to understand future climate projections. However, in the more realistic framework we apply here, the warming response includes contributions from non- $\text{CO}_2$  forcing. In such experiments, Matthews et al. (2021) advocate estimating the TCRE  
150 by approximately removing the warming due to the non- $\text{CO}_2$  radiative forcing by multiplying by a non-dimensional factor  $(1 - f_{nc})$ , now explicitly acknowledging that  $\Delta T(t)$  is not solely driven by  $I_{em}(t)$ ;

$$TCRE = \frac{\Delta T(t)}{I_{em}(t)} (1 - f_{nc}). \quad (8)$$

Matthews et al. (2021) interpret the non-dimensional factor  $(1 - f_{nc})$  to represent the non- $\text{CO}_2$  fraction of total anthropogenic forcing where  $f_{nc} = (\Delta F(t) - \Delta F_{\text{CO}_2}(t))/\Delta F(t)$ . This estimation of the TCRE from general

forcing scenarios assumes that the time- and scenario- independence of the TCRE translates to a general response  
 155 independence from radiative forcing elements.

In order to allow for possible changes in the thermal and carbon responses from the non-CO<sub>2</sub> forcing, we prefer to define an eTCRE including the effect of the radiative forcing from non-CO<sub>2</sub> and CO<sub>2</sub> radiative components using a series of mathematical identities (Williams et al., 2016 and 2017), where

$$eTCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left( \frac{\Delta T(t)}{\Delta F(t)} \right)}_{thermal} \underbrace{\left( \frac{\Delta F(t)}{\Delta F_{CO_2}(t)} \right)}_{radiative\ from\ CO_2\ and\ non-CO_2} \underbrace{\left( \frac{\Delta F_{CO_2}(t)}{\Delta I_{atmos}(t)} \right)}_{carbon} \underbrace{\left( \frac{\Delta I_{atmos}(t)}{I_{em}(t)} \right)}_{carbon}. \quad (9)$$

By including the effect of the non-CO<sub>2</sub> radiative forcing, the eTCRE in Eq. 9 is larger than the TCRE with  
 160 non-CO<sub>2</sub> radiative forcing removed in Eq. 8 whenever the positive radiative effect of non-CO<sub>2</sub> greenhouse gases exceeds the negative effect from aerosols. Our subsequent model diagnostics focus on evaluating the eTCRE and the thermal, radiative and carbon dependences using Eq. 9.

### 3 Methods

#### 3.1 GENIE model description and experiment design

165 Our analysis is based on an Earth system model simulations for SSP1-2.6, the scenario with the least socioeconomic challenges to adaptation and mitigation of climate change (O'Neill et al., 2017; Riahi et al., 2017) which allows large-scale deployment of negative emissions technologies (NETs). Here, we investigate the implications of NETs for atmospheric CO<sub>2</sub> removal over 400 years by applying the net negative emissions of ~ 156 PgC between the years 2077 to 2250 (Fig. 1a-b).

170 We employed the global intermediate complexity Earth system model, GENIE-1 (release 2.7.7) (Holden et al., 2013a), consisting of the 3-D frictional geostrophic ocean model (GOLDSTEIN) (36° × 36° resolution with 16 depths levels in the ocean) coupled to the 2-D energy moisture balance model of the atmosphere (EMBM) and a thermodynamic-dynamic sea-ice model (Edwards and Marsh, 2005). The land surface module is the dynamic model of terrestrial carbon and land use change ENTSML (Holden et al., 2013a). Ocean biochemistry, deep-sea  
 175 sediments and rock weathering are modelled by BIOGEM (Ridgwell et al., 2007), SEDGEM (36° × 36° resolution) and ROCKGEM (Colbourn et al., 2013) modules, respectively.

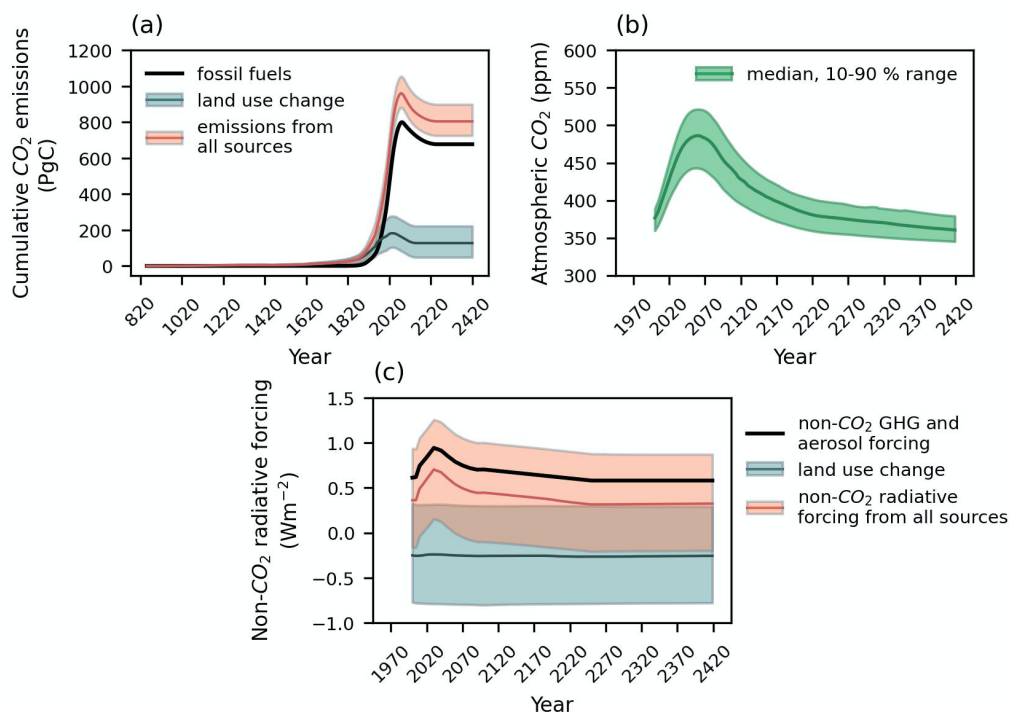
Simulations start from pre-industrial spin-ups (Holden et al., 2013b) and follow historical transient forcing from 850 to 2005 CE (Eby et al., 2013). In this setting, the land use change emissions start from 850 CE and emissions from other sources including fossil fuels are introduced from 1750 CE. The historical forcing includes

180 CO<sub>2</sub> emissions, non-CO<sub>2</sub> radiative forcings, and land use changes, including both anthropogenic and natural sources (volcanic eruptions and solar variability).

The future forcing scenario (2005 to 2420) follows SSP1-2.6 (Riahi et al., 2017) to the year 2100, and is extended to 2420. Negative emissions are applied as a reduction in anthropogenic CO<sub>2</sub> emissions from the late 2020s, giving net-negative emissions from 2077. To extend the SSP1-2.6 from 2100, we follow a similar protocol  
185 to Meinshausen et al. (2020). Land-use change CO<sub>2</sub> emissions are reduced to zero by 2150 with non-CO<sub>2</sub> land-use emissions held fixed from 2100. Fossil fuel emissions, including non-CO<sub>2</sub> greenhouse gases, and negative CO<sub>2</sub> emissions are all brought to zero by 2250 (Fig. 1a and c). This protocol differs slightly from Meinshausen et al. (2020) who reduce negative emissions to zero by 2200; we prefer to avoid a second period of positive emissions from 2200 to 2250. Therefore, we have three CO<sub>2</sub> emission phases: positive emissions from 2020 to 2077, net  
190 negative emissions from 2077 to 2250 and zero emissions from 2250 to 2420 (Fig. 1a).

We assume that the carbon removed leaves the system permanently, which is the representation of NETs with long-lived and permanent carbon storage such as carbon capture and storage. This assumption also approximates enhanced rock weathering, except that the effects of weathering products are neglected, such as the effect of bicarbonate changes on ocean biogeochemistry which drive co-benefits for the ocean and marine ecosystems  
195 (Vakilifard et al., 2021).

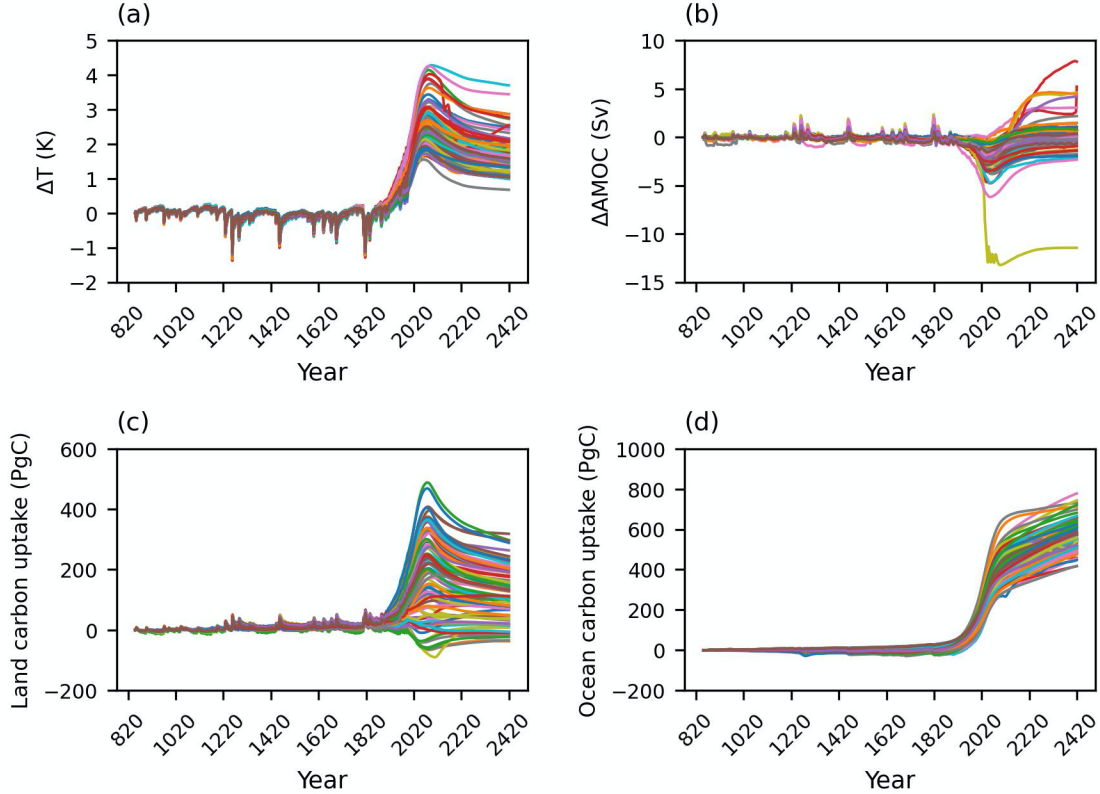




**Figure 1: (a) The cumulative CO<sub>2</sub> emissions from 850 CE till the end of the model integration at year 2420, (b) the evolution of the atmospheric CO<sub>2</sub> and (c) non-CO<sub>2</sub> radiative forcing from year 2000 for SSP1-2.6 scenario. Solid lines show the median values, and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles.**

To quantify the uncertainty in climate and carbon-cycle responses, we used an 86-member ensemble, a subset of a calibrated 471-member ensemble varying 28 model parameters (Holden et al., 2013a). The selection of 24 of these parameters (Holden et al., 2013b) covers oceanic, atmospheric, sea-ice, ocean biogeochemistry and terrestrial vegetation processes that are thought to contribute to variability of atmospheric CO<sub>2</sub> on glacial/interglacial timescales (Kohfeld and Ridgwell, 2009). The remaining four parameters are relevant to the modern state of the climate carbon-cycle, describing uncertainties in soil under land management, crop albedo, climate sensitivity and CO<sub>2</sub> fertilization. The 86 ensemble members are perturbed to cover uncertainty in the 28 parameters and are all constrained to simulate plausible preindustrial values of global temperature, Atlantic overturning circulation, sea ice coverage, vegetative and soil carbon, sedimentary calcium carbonate and dissolved ocean oxygen (Holden et al., 2013b). They additionally simulate reasonable values of atmospheric CO<sub>2</sub> at snapshots (1620, 1770, 1850, 1970 and 2005 CE) through the historical transient (Foley et al., 2016). The varied parameters are summarised in Supplementary Table 4 and are fully detailed, along with the ensemble design methodology, in Holden et al. (2013a, 2013b).

The ensembles span a wide range of responses. At the end of the positive emission phase at year 2077, the increase in surface air temperature ranges from 1.5 to 4.2 K, the Atlantic meridional overturning circulation change from -12.3 to 0.6 Sv, the land carbon change from a loss of 78 PgC to a gain of 488 PgC, and the ocean carbon uptake from a gain of 247 to 586 PgC (Fig. 2).



**Figure 2: Inter-model spread of 86-member ensemble for change in (a) the surface air temperature, (b) Atlantic meridional overturning circulation (AMOC), (c) land carbon pool and (d) ocean carbon pool from year 850 CE until year 2420 following the SSP1-2.6 scenario.**

### 3.2 Carbon feedback

The distribution of carbon between carbon inventories is diagnosed (Fig. 3), and carbon conservation ensures that at all times the sum of the change in the carbon content of the atmosphere,  $\Delta I_{atmos}(t)$ , ocean,  $\Delta I_{ocean}(t)$ , land,  $\Delta I_{land}(t)$ , and ocean sediment,  $\Delta I_{sediment}(t)$ , equals the cumulative  $\text{CO}_2$  emissions from both land use change and fossil fuels,  $I_{em}(t)$ , with all inventories in PgC,

$$\Delta I_{atmos}(t) + \Delta I_{ocean}(t) + \Delta I_{land}(t) + \Delta I_{sediment}(t) = I_{em}(t) \quad (10)$$

230 Aside from the ocean sediments, which lose carbon, there is an increase in the carbon content of all inventories between the years 2020 and 2077, the positive emission phase (Fig. 3). During this emission phase, the carbon release from the sediment reservoir is  $\sim 14$  PgC on average, equivalent to a sedimentary  $\text{CaCO}_3$  dissolution flux of  $\sim 21 \text{ TmolC yr}^{-1}$  consistent with Archer (1996), Ridgwell and Hargreaves (2007) and Sulpis et al. (2018). The application of carbon capture and storage from year 2077 decreases the total carbon inventory until year 2250.

235 During the zero emission phase, the increase in ocean storage is associated with a decrease in carbon content in the atmosphere, land and sediment.

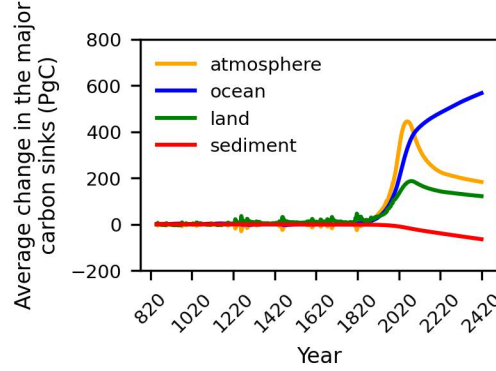


Figure 3: The ensemble average change in the major carbon inventories from 850 CE until year 2420 for SSP1-2.6 scenario.

### 240 3.3 Thermal feedback

For the thermal analysis, a global energy balance (Eq. 5) is diagnosed,  $\Delta F(t) = N(t) + \lambda(t)\Delta T(t)$ , in which the energy balance is expressed as the relationship between radiative forcing,  $\Delta F(t)$  ( $\text{Wm}^{-2}$ ), planetary heat uptake,  $N(t)$  ( $\text{Wm}^{-2}$ ) and radiative response,  $\lambda(t)\Delta T(t)$  ( $\text{Wm}^{-2}$ ).

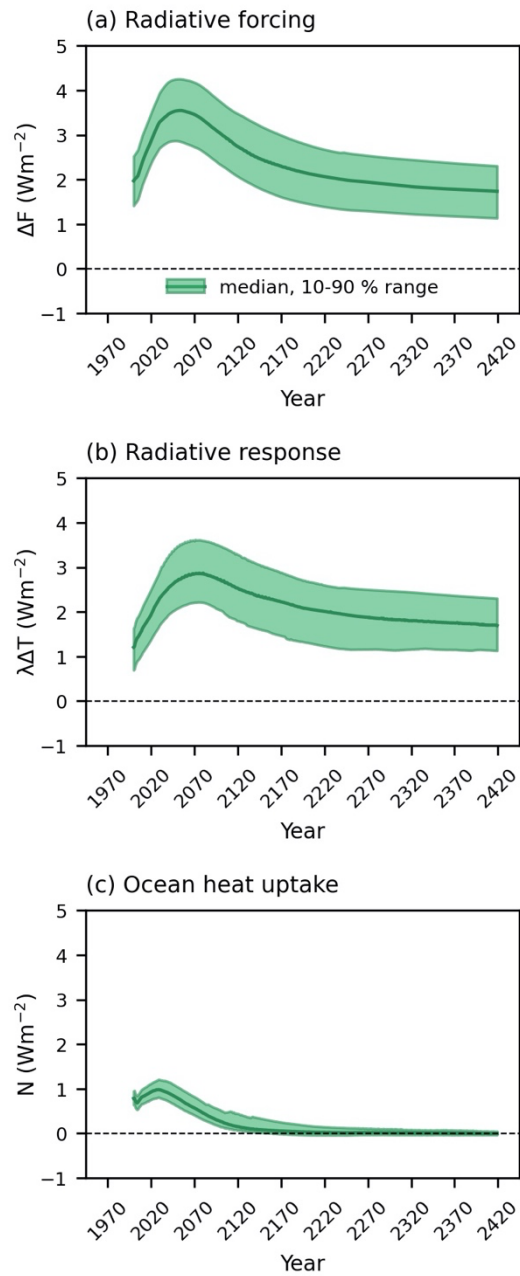
The radiative forcing,  $\Delta F(t)$ , is the sum of non- $\text{CO}_2$ -induced radiative forcing (including land use change albedo) and  $\text{CO}_2$ -induced radiative forcing. The non- $\text{CO}_2$  radiative forcing,  $\Delta F_{non-\text{CO}_2}(t)$  ( $\text{Wm}^{-2}$ ) is a prescribed model forcing input, besides land use change which is diagnosed as the change in reflected surface insolation under land use change relative to that with natural vegetation, averaged annually across all grid cells. The land use change maps were also fixed from year 2005 and these were associated with a global forcing of  $-0.53$  to  $0.05 \text{ Wm}^{-2}$  (25<sup>th</sup> to 75<sup>th</sup> percentile range) and mean and median values of  $-0.23$  and  $-0.26 \text{ Wm}^{-2}$ , respectively, across the ensemble.

250 The uncertainty is driven primarily by crop albedo which varies between 0.12 and 0.18 across the ensemble (Holden et al., 2013a). The CO<sub>2</sub>-induced radiative forcing,  $\Delta F_{CO_2}(t)$  (Wm<sup>-2</sup>), was calculated individually for each simulation based on the atmospheric CO<sub>2</sub> concentration (C(t) (ppm)) as outlined in IPCC (2001):

$$\Delta F_{CO_2}(t) = \alpha \ln \left( \frac{C(t)}{C(t_0)} \right) \quad (11)$$

where  $\alpha$  is a constant equal to 5.35 Wm<sup>-2</sup> and C(t<sub>0</sub>) equals 278 ppm.

255 The ocean heat uptake is used to estimate the planetary heat uptake, given that 90 % of the Earth's total energy increase is due to the ocean warming (Church et al., 2011). The climate feedback parameter,  $\lambda(t)$  (K<sup>-1</sup> (Wm<sup>-2</sup>)) is diagnosed from the ocean heat uptake and the change in surface air temperature (Eq. 5). Most of the radiative forcing drives a radiative response involving a rise in surface air temperature, rather than an increase in ocean heat uptake (Fig. 4).



260 **Figure 4: The evolution of (a) radiative forcing, (b) radiative response and (c) ocean heat uptake in SSP1-2.6 scenario from year 2000. Solid lines show the median values and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles.**

## 4 The sensitivity of surface air temperature to cumulative CO<sub>2</sub> emissions

In this section, we evaluate the Earth system response to cumulative CO<sub>2</sub> emissions in terms of the thermal, radiative and carbon feedbacks to understand the reason for the inter-model spread in the slopes of the surface warming versus cumulative CO<sub>2</sub> emissions curve over different emission phases.

The results of GENIE-1 simulations show a linear relationship between the change in the surface air temperature and cumulative CO<sub>2</sub> emissions over the positive emission phases (Fig. 5), with the slopes of this relationship varying between  $\sim 1.62$  and  $3.42 \text{ K EgC}^{-1}$  (based on the 10 % and 90 % percentile values). The range of slopes of the  $\Delta T$  versus  $I_{em}$  curve, calculated by linear regression, over the net negative emission phase is larger than in the positive emission phase by a factor of  $\sim 2$  due to decrease in non-CO<sub>2</sub> radiative forcing leading to additional cooling during this period. Over this emission phase, the warming relationship is not linear in all ensemble members, and exhibits a hysteresis behaviour, as previously identified in Zickfeld et al. (2016) and Jeltsch-Thömmes et al. (2020). Differences in the rates of surface air temperature change over the net negative emission phase are mainly due to the terrestrial carbon uncertainty (discussed in Sect. 4.2.2).

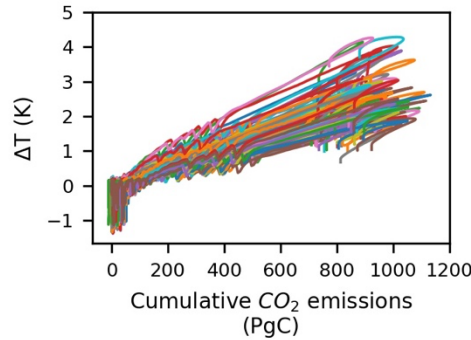


Figure 5: Change in the surface air temperature versus cumulative CO<sub>2</sub> emissions from 850 CE until year 2420 in SSP1-2.6 scenario.

### 4.1 Drivers of the eTCRE

Following Sect. 2, the eTCRE is evaluated in terms of the product of (i) the dependence of surface warming on the radiative forcing, referred to as the thermal dependence,  $\Delta T(t)/\Delta F(t)$ ; (ii) the dependence of the radiative forcing on the cumulative CO<sub>2</sub> emissions, referred to as a radiative dependence,  $\Delta F(t)/\Delta I_{atmos}(t)$ ; and (iii) the airborne fraction,  $\Delta I_{atmos}(t)/I_{em}(t)$ , referred to as a carbon dependence (Eq. 9):

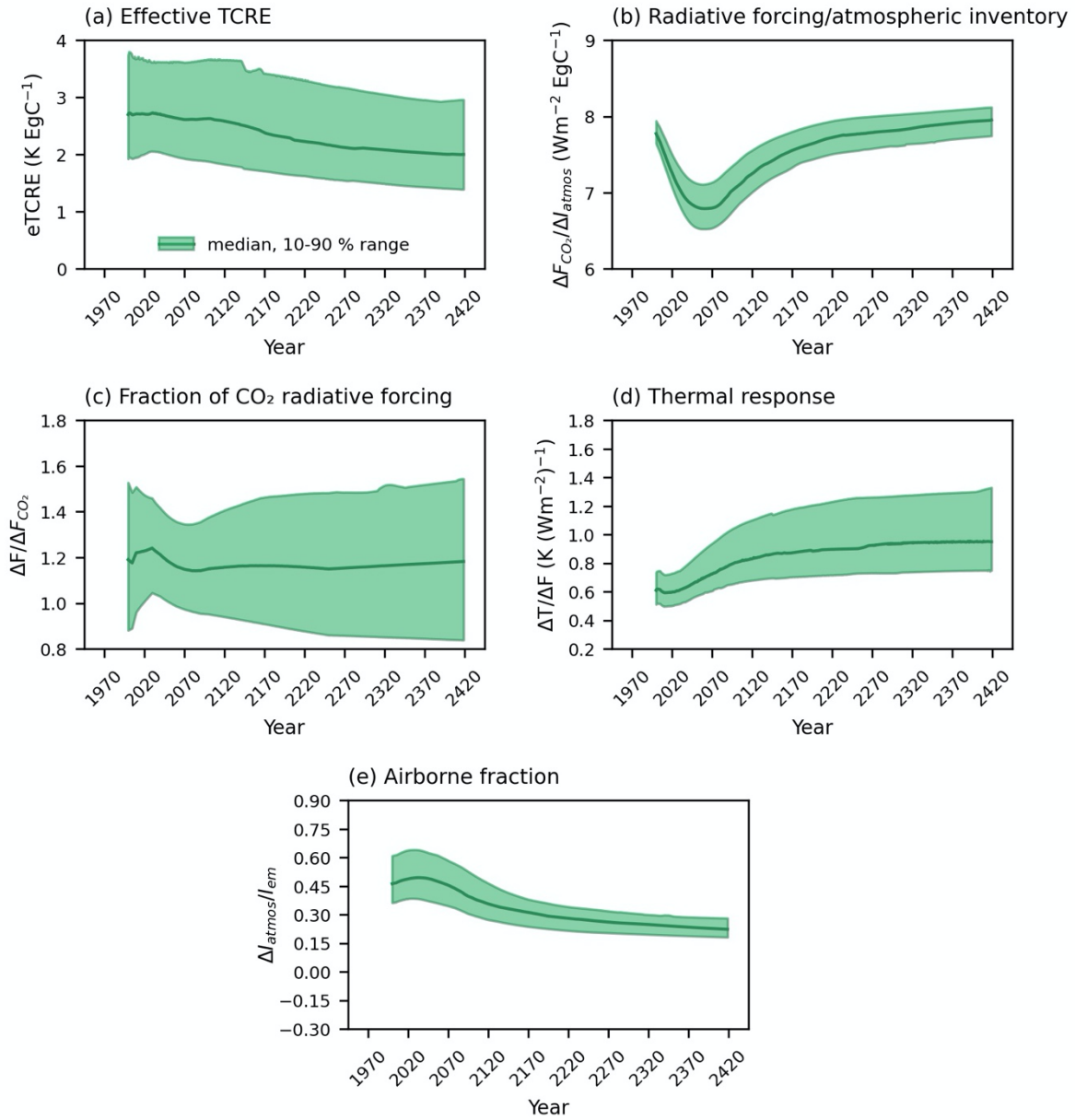
$$eTCRE \equiv \frac{\Delta T(t)}{I_{em}(t)} = \underbrace{\left( \frac{\Delta T(t)}{\Delta F(t)} \right)}_{thermal} \underbrace{\left( \frac{\Delta F(t)}{\Delta F_{CO_2}(t)} \right) \left( \frac{\Delta F_{CO_2}(t)}{\Delta I_{atmos}(t)} \right)}_{radiative \text{ from } CO_2 \text{ and non-}CO_2} \underbrace{\left( \frac{\Delta I_{atmos}(t)}{I_{em}(t)} \right)}_{carbon}.$$

285

The model ensemble reveals a decrease in eTCRE from the median value of 2.71 K EgC<sup>-1</sup> in year 2020 to 2.0 K EgC<sup>-1</sup> in year 2420 (with 10-90 % range of 2.0 to 3.65 and 1.39 to 2.96 K EgC<sup>-1</sup>, respectively) (Fig. 6a). During the positive emission phase (to year 2077) this reduction is driven by a weakening the radiative forcing with an increase in atmospheric carbon (Fig. 6b), which dominates over the increase in the thermal dependence (Fig. 6d).

290 During the net negative and zero emission phases (from year 2077), the eTCRE reduction is driven by the reducing airborne fraction as CO<sub>2</sub> is drawn down by the ocean (Fig. 6e).

The eTCRE is scenario dependent and varies with both CO<sub>2</sub> and non-CO<sub>2</sub> portions of the radiative forcing. Following the analysis of Matthews et al. (2021), we quantify the spread of the non-CO<sub>2</sub> fraction of total anthropogenic forcing,  $f_{nc}$  (from Eq. 8), between 2020 and 2100 (Table S1) to investigate the extent of scenario  
 295 dependency of the eTCRE. The results show that  $f_{nc}$  range varies from ~ 10 % to ~ 26 % (25 %-75 % percentile values) in 2020 to ~ 5 % to ~ 21 % in 2100, corresponding to ~ 6 % decrease (mean value) over the course of 80 years. The TCRE diagnosed by removing the non-CO<sub>2</sub> warming factor (from Eq. 8) remains constant at ~ 2.2 K EgC<sup>-1</sup> (median values) over the entire period. However, the uncertainty increases towards the end of the century varying from 1.75 to 2.82 K EgC<sup>-1</sup> (10 % -90 % percentile values) in 2020 to up to ~ 3.13 K EgC<sup>-1</sup> in 2100  
 300 (Fig. S1).



**Figure 6: Effective transient climate response to the cumulative CO<sub>2</sub> emissions (eTCRE) and its components for SSP1-2.6 scenario from year 2000. (a) eTCRE, (b) dependence of the radiative forcing on atmospheric CO<sub>2</sub>, (c) fractional radiative forcing contribution from atmospheric CO<sub>2</sub>, (d) airborne fraction and (e) thermal dependence. Solid lines show the median values, and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles.**

The uncertainty in the eTCRE, and its dependencies for the model ensemble, is assessed based on the non-dimensional coefficient of variation, given by the inter-model standard deviation divided by the ensemble mean (Williams et al., 2020). The uncertainty in the eTCRE varies from 0.23 to 0.3 over the course of the model



integrations and is larger by 0.05 for the net negative emission phase compared to the positive emission phase (Table 1).

During the positive and net negative emissions, the coefficients of variation for the thermal dependence ( $\sim 0.18$  to  $\sim 0.2$ ) and airborne fraction ( $\sim 0.2$ ) provide the dominant contributions to the eTCRE uncertainty (Table 1). During the zero emission phase, however, the coefficient of variation for the fractional radiative forcing contribution from atmospheric  $\text{CO}_2$ ,  $\Delta F(t)/\Delta F_{\text{CO}_2}(t)$  ( $\sim 0.22$ ), is larger than the contribution from the airborne fraction ( $\sim 0.16$ ). In all emission phases, the dependence of the radiative forcing on atmospheric  $\text{CO}_2$ ,  $\Delta F_{\text{CO}_2}(t)/\Delta I_{\text{atmos}}(t)$ , has the least contribution to the eTCRE uncertainty ( $\sim 0.02$ ).

**Table 1: Effective transient climate response to the cumulative  $\text{CO}_2$  emissions (eTCRE) and its components for the different emission phases in the SSP1-2.6 scenario. The coefficient of variation ( $\sigma_x/\bar{x}$ ) is defined by the inter-model standard deviation ( $\sigma_x$ ) divided by the inter-model mean ( $\bar{x}$ ).**

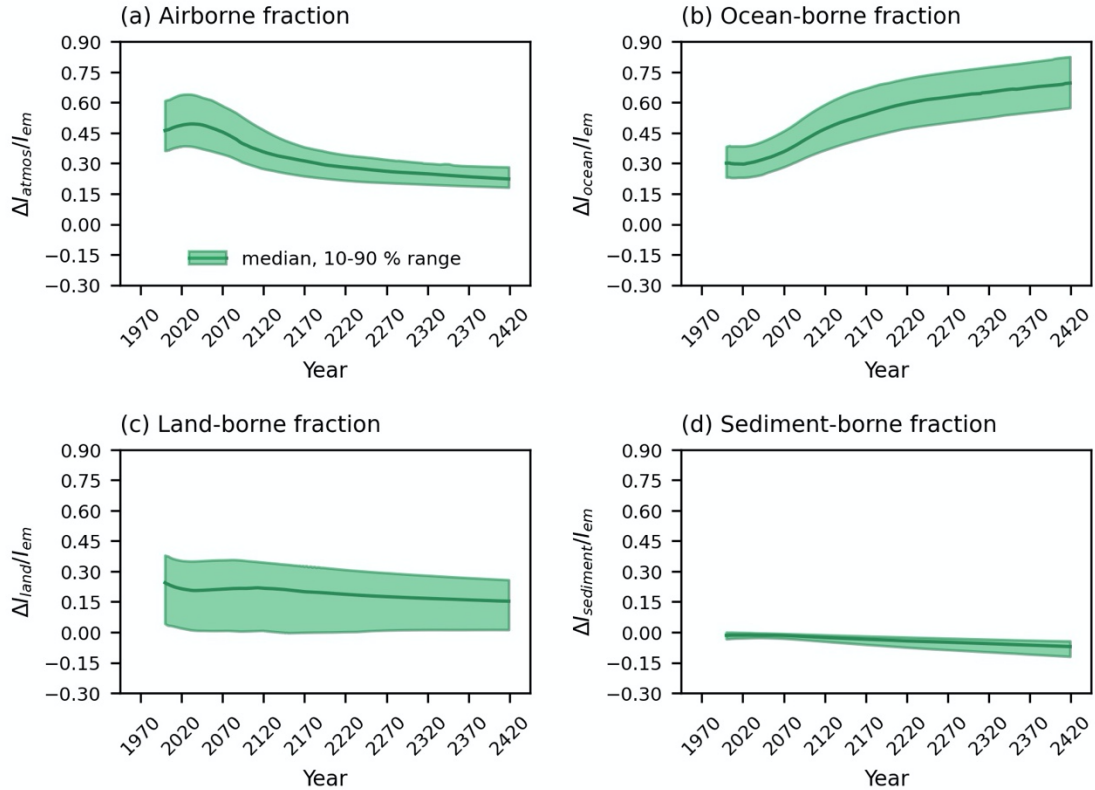
Variable	2020-2077			2077-2250			2250-2420		
	$\bar{x}$	$\sigma_x$	$\sigma_x/\bar{x}$	$\bar{x}$	$\sigma_x$	$\sigma_x/\bar{x}$	$\bar{x}$	$\sigma_x$	$\sigma_x/\bar{x}$
$I_{\text{em}}$ (PgC)	855.29	72.63	0.08	856.45	72.63	0.08	806.38	72.63	0.09
$\Delta T$ (K)	2.33	0.51	0.22	2.17	0.59	0.27	1.77	0.55	0.31
eTCRE (K EgC <sup>-1</sup> )	2.74	0.63	0.23	2.54	0.71	0.28	2.20	0.67	0.30
$\Delta T/\Delta F$ (K (Wm <sup>-2</sup> ) <sup>-1</sup> )	0.68	0.12	0.18	0.89	0.18	0.20	0.97	0.22	0.23
$\lambda^{-1}$ (K (Wm <sup>-2</sup> ) <sup>-1</sup> )	0.92	0.22	0.24	1.01	0.41	0.41	1.04	0.38	0.37
1-N/ $\Delta F$	0.75	0.06	0.08	0.93	0.11	0.12	0.97	0.12	0.12
$\Delta I_{\text{atmos}}/I_{\text{em}}$	0.49	0.10	0.20	0.33	0.07	0.21	0.25	0.04	0.16
$\Delta F/\Delta F_{\text{CO}_2}$	1.20	0.14	0.12	1.18	0.20	0.17	1.19	0.26	0.22
$\Delta F_{\text{CO}_2}/I_{\text{atmos}}$ (Wm <sup>-2</sup> EgC <sup>-1</sup> )	6.91	0.21	0.03	7.45	0.19	0.03	7.86	0.15	0.02

#### 4.1.1 Carbon dependence for the eTCRE

The fraction of emitted  $\text{CO}_2$  that remains in each carbon inventory (based on Eq. 7) varies over the course of the integrations. The carbon dependence for the eTCRE is given by the airborne fraction of carbon emissions,

$\Delta I_{atmos}(t)/I_{em}(t)$ . By the year 2077, the end of the positive emission phase, the atmosphere is the largest carbon sink with airborne fraction of  $\sim 49\%$  (mean value) (Fig. 7a and Table S2). After year 2077, during the net negative and zero emission phases, the ocean becomes the dominant carbon sink with an increase in the ocean-borne fraction,  $\Delta I_{ocean}(t)/I_{em}(t)$ , up to  $\sim 67\%$  (mean value) by 2420 (Fig. 7b and Table S2). The land-borne fraction,  $\Delta I_{land}(t)/I_{em}(t)$  decreases from  $\sim 19\%$  (mean value) in 2020 to the minimum value of  $\sim 15\%$  in 2420 (Fig. 7c and Table S2). The sediment-borne fraction,  $\Delta I_{sediment}(t)/I_{em}(t)$ , remains negative at  $\sim -0.04$  (mean value) over the entire period (Fig. 7d and Table S2), and therefore acts as a weak carbon source.

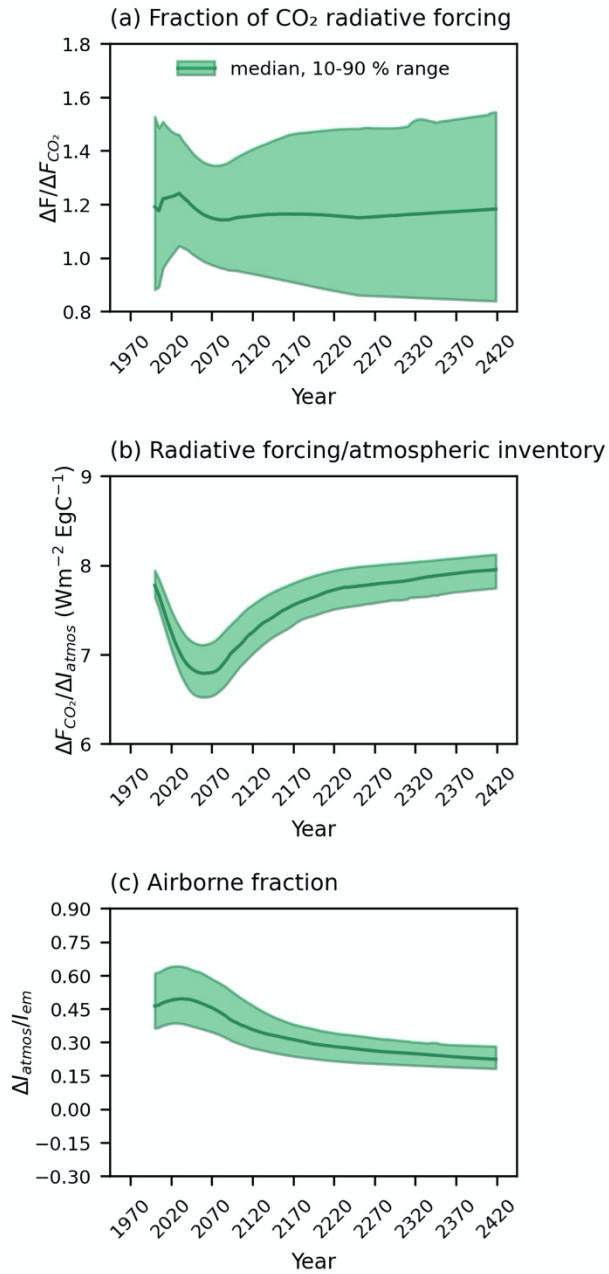
The coefficient of variation is the largest for the land-borne fraction ( $\sim 0.7$ ), followed by the sediment-borne fraction ( $\sim -0.5$ ) and then the airborne and ocean-borne fractions decreasing from  $\sim 0.2$  over the positive emission phase to  $\sim 0.15$  during the zero emission phase (Table S2). The main contribution to the model ensemble spread is, therefore, the land carbon system.



**Figure 7: The evolution of (a) airborne fraction, (b) ocean-borne fraction, (c) land-borne fraction and (d) sediment-borne fraction in SSP1-2.6 scenario. Solid lines show the median values, and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles from year 2000.**

#### 4.1.2 Radiative forcing dependence on atmospheric CO<sub>2</sub> for the eTCRE

By the end of the positive emissions at year 2077, the radiative forcing dependence on atmospheric CO<sub>2</sub> emissions,  $\Delta F(t)/\Delta I_{atmos}(t)$ , weakens due to a saturation in the radiative forcing with an increase in atmospheric CO<sub>2</sub> (Gillett et al., 2013; William et al., 2020) (Fig. 1b and Fig. 8b). During the net negative emissions and zero  
345 emissions phases over the next few centuries from year 2077 onwards,  $\Delta F(t)/\Delta I_{atmos}(t)$  rises again due to a decrease in atmospheric CO<sub>2</sub> associated with the decrease in the airborne fraction (Fig. 8c).

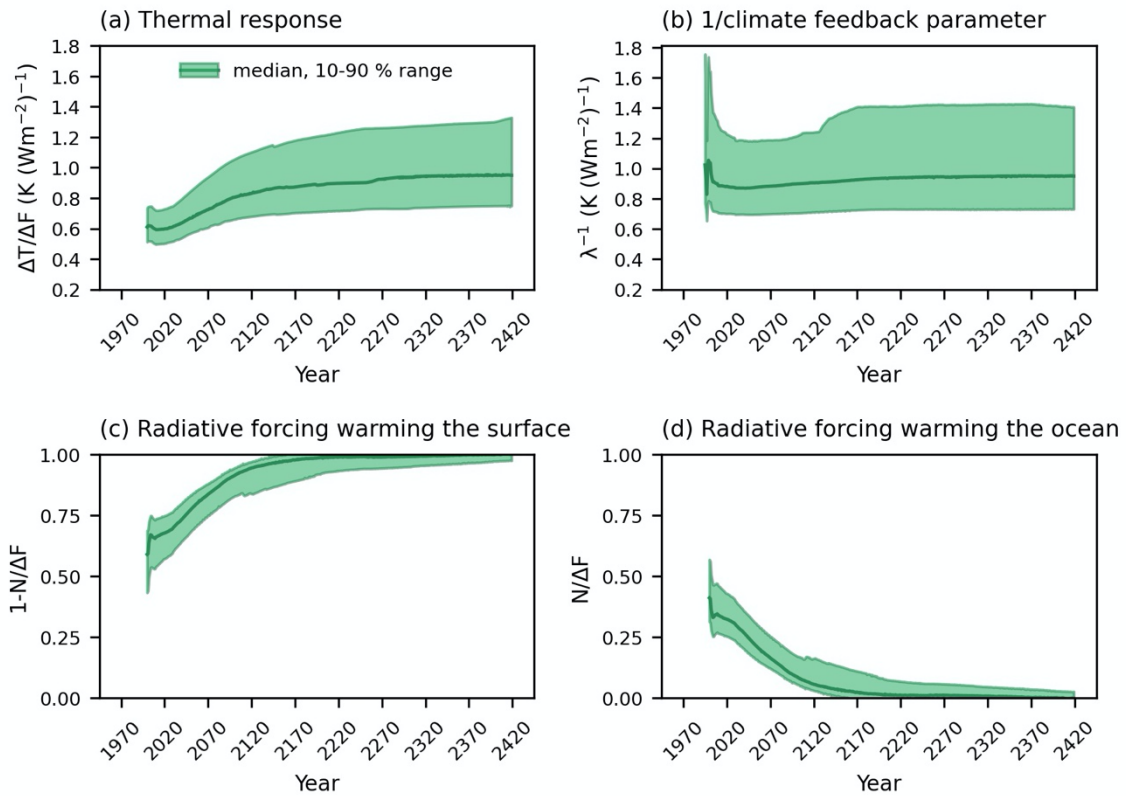


350 **Figure 8: Radiative forcing dependence for the effective TCRE and its components in SSP1-2.6 scenario from year 2000. (a) Fractional radiative forcing contribution from atmospheric CO<sub>2</sub>, (b) dependence of the radiative forcing on atmospheric CO<sub>2</sub> and (c) airborne fraction. Solid lines show the median values, and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles.**

### 4.1.3 Thermal dependence for the eTCRE

The thermal dependence of the eTCRE, involving the dependence of the surface warming on the radiative forcing,  $\Delta T(t)/\Delta F(t)$ , increases in all emission phases (Fig. 9a) due to the reinforcing contributions of the inverse of the climate feedback parameter,  $\lambda(t)^{-1}$  (Fig. 9b) and the fraction of the radiative forcing warming the surface,  $1 - N(t)/\Delta F(t)$  (Fig. 9c). The increase in  $\lambda(t)^{-1}$  is equivalent to a slight decrease in the climate feedback  $\lambda(t)$ . The temporal evolution of the climate feedback parameter is mirrored in other climate model studies as climate feedbacks evolve on different timescales according to the nature of the controlling processes (Gregory et al., 2004; Armour et al., 2013; Knutti and Rugenstein, 2015; Goodwin, 2018). The fraction of the radiative forcing warming the surface increases by  $\sim 22\%$  (based on the mean values, Table 1) from years 2020 to 2420 and with a corresponding reduction in the heat transfer into the deep ocean; by year 2420, nearly all the radiative forcing is warming the surface with the ratio  $1 - N(t)/\Delta F(t)$  reaching 0.97 (mean values, Table 1) (Fig. 9c-d). This response is probably due to an increase in ocean stratification from the rise in surface ocean temperature (Figs. S2-S4) from the increased radiative forcing.

The coefficient of variation for the thermal dependence remains  $\sim 0.2$  over the entire period (Table 1). Within the thermal dependence, the term relating to the climate feedback parameter  $\lambda(t)^{-1}$  has a coefficient of variation more than  $\sim 3$  times that of the fraction of the radiative forcing warming the surface  $1 - N(t)/\Delta F(t)$  (Table 1). As the thermal dependence terms,  $\lambda(t)^{-1}$  and  $1 - N(t)/\Delta F(t)$ , are strongly anti-correlated (Fig. S5), the relative spread in the thermal response is thus mitigated by the feedback between the climate feedback parameter and the fraction of the radiative forcing warming the surface.



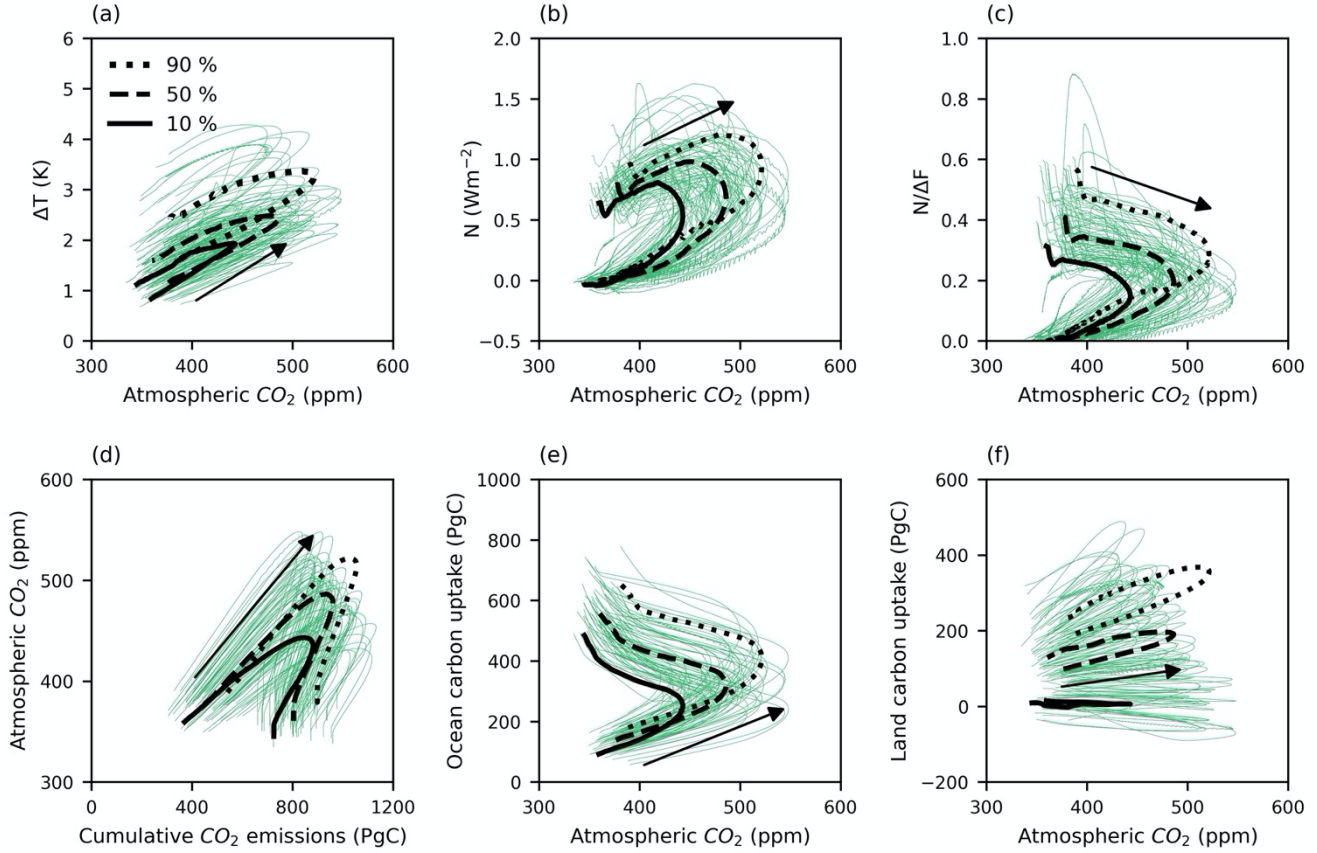
**Figure 9: The evolution of (a) thermal dependence for the effective TCRE given by the dependence of the surface warming on the radiative forcing,  $\Delta T(t)/\Delta F(t)$ , and the contributions from (b) the inverse of the climate feedback, (c) the fraction of the radiative forcing warming the surface and (d) the fraction of the radiative forcing warming the ocean interior in SSP1-2.6 scenario from year 2000. Solid lines show the median values, and shaded areas indicate the values between the 10<sup>th</sup> and 90<sup>th</sup> percentiles.**

## 4.2 The asymmetry of the Earth system response to positive and negative emissions

### 4.2.1 Hysteresis

The relationship between the surface air temperature and atmospheric CO<sub>2</sub> exhibits hysteresis behaviour in most ensemble members, consistent with climate change reversibility studies (Fig. 10a) (Tokarska and Zickfeld, 2015; Jeltsch-Thömmes et al., 2020). The temperature remains at high levels after high atmospheric CO<sub>2</sub> concurrent with a decrease in the ocean heat uptake,  $N(t)$  (Fig. 10b). The ability of the ocean interior in taking up heat diminishes in time, probably due to increasing stratification and weakening ventilation. The fraction of the radiative forcing warming the ocean interior,  $N(t)/\Delta F(t)$  (Fig. 10c) then continues to decrease after the peak in atmospheric CO<sub>2</sub> leading to higher surface air temperatures even after the lower CO<sub>2</sub> concentrations are restored.

The atmospheric CO<sub>2</sub> declines during the net negative emission phase from year 2077 (Fig. 1b) (associated with the cumulative CO<sub>2</sub> emissions of ~ 960.4 PgC (median value) (Figs. 1a and 10d). After the cessation of the emissions, the atmospheric CO<sub>2</sub> continues to decrease (Fig. 10d) mainly due to uptake by the ocean and to a lesser extent the land (Fig. 10e-f). The ocean carbon uptake is governed by the air-sea flux of CO<sub>2</sub> and thermocline ventilation, with uncertainties dominated by ventilation processes transferring carbon from the surface ocean to the main thermocline and deep ocean (Holden et al., 2013b; Goodwin et al., 2015; Zickfeld et al., 2016; Jeltsch-Thömmes et al., 2020). The ocean continues to take up carbon after the peak in atmospheric CO<sub>2</sub> as there is continuing long-term adjustment and ventilation of the deep ocean (Fig. 10e). The complex responses of land carbon (Fig. 10f) are driven by a range of competing processes, most notably carbon uptake through CO<sub>2</sub> fertilization and the carbon release through historical land use changes and accelerated respiration under warming.



**Figure 10: The thermal (upper row) and carbon (lower row) variables versus atmospheric CO<sub>2</sub> in SSP1-2.6 scenario from year 2000. (a) Change in surface air temperature; (b) ocean heat uptake; and (c) fraction of the radiative forcing warming the ocean interior; (d) cumulative CO<sub>2</sub> emissions; (e) change in the ocean carbon pool; and (f) change in the land carbon pool. In each panel the black lines show the median, 10<sup>th</sup> and 90<sup>th</sup> percentile values of the atmospheric CO<sub>2</sub> versus the median, 10<sup>th</sup> and 90<sup>th</sup> percentile values of the thermal and carbon variables.**

#### 4.2.2 Correlation between the model parameters and the slope of the change in surface air temperature versus cumulative CO<sub>2</sub> emissions ( $\Delta T/\Delta I_{em}$ )

We calculated the coefficients of determination ( $R^2$ ) between  $\Delta T/\Delta I_{em}$  and the 28 model parameters across the ensemble during both positive and net negative emission phases. For this purpose, four of the 86 simulations were omitted as outliers because they were undergoing substantial re-organisation of ocean circulation during the period of net negative emissions (Fig. S6), significantly perturbing ocean heat uptake.

During the positive emission phase, uncertainty in  $\Delta T/\Delta I_{em}$  is dominated by the radiative feedback parameter (OL1) ( $R^2 \sim 61\%$ ) (Table 2), which perturbs outgoing longwave radiation proportionally to  $\Delta T$  (Matthews and



Caldeira, 2007). This parameter is primarily designed to capture unmodelled cloud responses to global average temperature change, and it has previously been shown to drive 81 % of the variance in GENIE-1 climate sensitivity (Holden et al., 2010). The parameter links to the climate feedback parameter in the eTCRE framework (Sect. 4.1) which was shown to be the dominant driver of uncertainty in the thermal response and therefore eTCRE values.

415 Although radiative forcing uncertainty dominates, carbon-cycle parameters also drive  $\Delta T/\Delta I_{em}$  variance via the land use change soil carbon parameter (KC) ( $R^2 \sim 12\%$ ) through its control on soil carbon losses under land use change. The fractional vegetation parameter (VFC) ( $R^2 \sim 11\%$ ) drives additional carbon-cycle uncertainty through its control on terrestrial carbon surface density. The results are associated with the airborne fraction in the eTCRE framework diagnosed as another factor controlling the uncertainty in eTCRE during this emission phase  
420 (Sect. 4.1).

During net negative emissions (2077-2250), uncertainty in  $\Delta T/\Delta I_{em}$  is affected mainly by the CO<sub>2</sub> fertilisation (VPC) ( $R^2 \sim 35\%$ ) which is a major source of terrestrial carbon uncertainty and to a lesser extent the parameter that controls the rate of carbon loss from soils under land use change (KC,  $\sim 11\%$ ). The effect of the carbon contribution in the uncertainty is expressed through airborne fraction in the eTCRE framework, which was  
425 revealed to be the main reason behind the large spread of eTCRE over the net negative emission phase (Sect. 4.1).

**Table 2: Correlation between model parameters and  $\Delta T/\Delta I_{em}$  in SSP1-2.6 scenario over different emission phases based on the coefficients of determination ( $R^2$ ) (%).  $R^2 > 50\%$  denotes strong correlation, and  $R^2 > 10\%$  moderate correlation. The values less than 10 % are shown in Table S3.**

Emission phase	Parameter	Description	Coefficient of determination ( $R^2$ ) (%)
2020-2077	OL1	Radiative feedback parameter ( $\text{W m}^{-2}$ )	61.4
	KC	Land use change soil carbon	11.7
	VFC	Fractional vegetation dependence on vegetation carbon density ( $\text{m}^2 \text{ kgC}^{-1}$ )	10.9
2077-2250	VPC	CO <sub>2</sub> fertilisation (ppm)	34.9
	KC	Land use change soil carbon	11.2

430

### 4.3 The Zero Emissions Commitment

The zero emissions commitment (ZEC) is now assessed given by the mean surface air temperature change after CO<sub>2</sub> emissions cease (Hare and Meinshausen, 2006; Matthews and Caldeira, 2008, Froelicher and Paynter, 2015;

MacDougall et al., 2020). Whether there is continued surface warming depends on a competition between a cooling  
435 effect from reduction in atmospheric CO<sub>2</sub> due to the ocean and land sequestration of carbon versus a surface  
warming effect from a decline in the heat uptake by the ocean interior (Williams et al., 2017b).

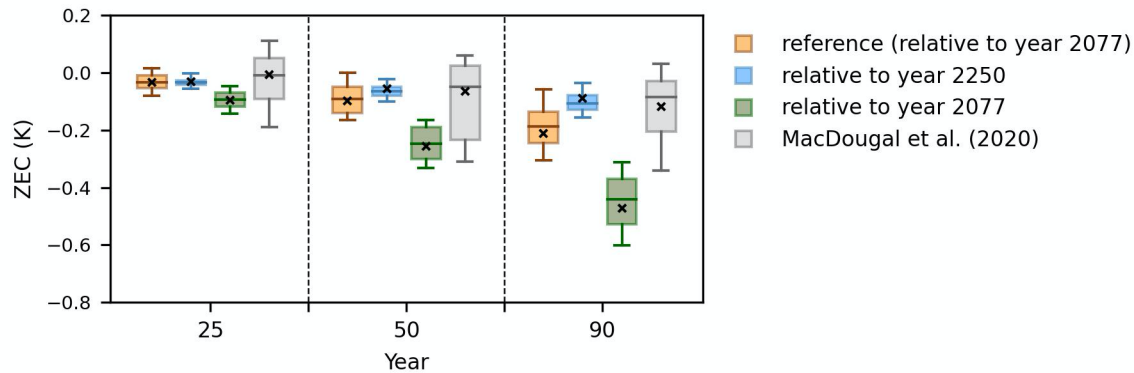
In our analysis, we define the reference scenario, which applies SSP1-2.6 CO<sub>2</sub> emissions until year 2077 and  
zero emissions thereafter, with cumulative emissions of ~ 961 PgC (median value) (Fig. S7). Following the  
analysis of MacDougall et al. (2020), we define ZEC<sub>25</sub>, ZEC<sub>50</sub>, ZEC<sub>90</sub> as the mean surface air temperature  
440 anomalies at the 25<sup>th</sup>, 50<sup>th</sup> and 90<sup>th</sup> years after the cessation of emissions, to account for the implications of ZEC  
over a range of multi-decadal times scales relevant to climate policy.

Diagnosed ZEC values are illustrated in Fig. 11 (the reference plotted as orange bars). In the reference  
scenario, the distribution of the ZEC display an uncertain sign. There is a temperature overshoot in 20 % of ZEC<sub>25</sub>  
values (10-90 % range from -0.08 to 0.02 K) and in 11 % of ZEC<sub>50</sub> values (range from -0.17 to 0.01 K) and 5 %  
445 of ZEC<sub>90</sub> values (range from -0.31 to -0.05 K). The ensemble means of ZEC<sub>25</sub>, ZEC<sub>50</sub> and ZEC<sub>90</sub> are -0.03, -0.10  
and -0.21 K, respectively, and compare to values of -0.01, -0.07 and -0.12 K in the 1000 PgC experiment of  
MacDougall et al. (2020) (grey bars). The additional cooling is likely due to ongoing reductions of non-CO<sub>2</sub> forcing  
from 0.3 Wm<sup>-2</sup> in 2077 (Fig. 1c), noting that MacDougall et al. (2020) performed an idealised experiment that only  
considered CO<sub>2</sub> emissions forcing. We realise that our uncertainties are lower than MacDougall et al. (2020),  
450 which at least in part reflects the absence of internal (decadal) variability in the EMBM of GENIE-1, noting that  
inter-annual, but not decadal, variability was removed from MacDougall et al. (2020) through 20-year averaging.

In contrast to the reference scenario, surface temperatures decrease in all the ensemble members after  
cessation of positive emissions in SSP1-2.6 scenario. We consider two alternative interpretations of the ZEC, the  
warming after the cessation of positive emissions (in 2077) and the warming after the cessation of net negative  
455 emissions (in 2250). The former may be more relevant from a policy perspective (as the time of likely peak  
warming), while the latter is theoretically useful to quantify committed warming when emissions are precisely  
zero.

The blue bars in Fig. 11 illustrate the ZEC results for SSP1-2.6 scenario calculated relative to 2250. There is  
a temperature overshoot in 5 % of the ZEC<sub>25</sub> values, however, the values remain at or below zero within 10-90 %  
460 range (-0.06 to 0 K). The values of ZEC<sub>25</sub> and ZEC<sub>90</sub> are robustly negative, ranging from -0.1 to -0.01 K and -0.16  
to -0.03 K (10<sup>th</sup>-90<sup>th</sup> percentile range), respectively. Ensemble means are -0.03 K for ZEC<sub>25</sub>, -0.06 K for ZEC<sub>50</sub>  
and -0.09 K for ZEC<sub>90</sub>.

The green bars in Fig. 11 illustrate the ZEC values from 2077 (which includes the period of ongoing net negative emissions). The average values of ZEC are significantly lower than from 2250, being -0.1, -0.26, and -0.47 K, due to the additional cooling driven by net negative emissions. All ZEC values are again robustly negative, varying between -0.14 and -0.05 for ZEC<sub>25</sub>, -0.34 and -0.16 for ZEC<sub>50</sub>, and -0.61 and -0.31 for ZEC<sub>90</sub> (10 %-90 % percentile values), confirming that no ensemble member exhibits a temperature overshoot after the cessation of positive emissions.



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**Figure 11:** The distribution of the zero emissions commitment (ZEC) in the reference scenario at 25<sup>th</sup>, 50<sup>th</sup> and 90<sup>th</sup> years relative to year 2077 (orange bars) and in SSP1-2.6 relative to year 2250 (blue bars) and relative to year 2077 (green bars) versus the results of MacDougall et al. (2020) (grey bars). The mean values are shown with cross marks. Note that the year 2077 is the end of the positive emission phase, and the year 2250 is the end of the net negative emission phase.

## 475 5 Conclusions

To remain within the Paris climate agreement, there is an increasing need to develop and implement carbon capture and sequestration techniques. However, it is unclear how these negative emissions affect the climate response, as represented by two key climate metrics: the eTCRE, defining the relationship between surface warming and cumulative CO<sub>2</sub> emissions, and the ZEC, defining the anticipated warming after CO<sub>2</sub> emissions cease. The effect of negative emissions is assessed here using a large GENIE-1 ensemble, following SSP1-2.6 with the net negative CO<sub>2</sub> emissions of ~ 156 PgC over 173 years. The model responses include 86 members that span a wide range of climate and carbon-cycle feedback strengths. This large ensemble analysis is enabled by employing low resolution and intermediate complexity, with most notable simplifications of the fixed wind-field energy-moisture balance atmosphere, neglecting dynamic atmosphere-ocean feedbacks, and the simple model of terrestrial carbon, which

480

485 neglects nutrient limitation, does not represent permafrost (or methane), and has a 1-level description of soil carbon.

The eTCRE decreases in time due to a combination of the weakening in the radiative forcing with an increase in atmospheric carbon during positive emissions and with a reduction in the airborne fraction after emissions cease, which together outweigh the strengthening thermal dependence.

490 The comparison of the coefficient of variation for the eTCRE and its dependencies show that the thermal dependence and airborne fraction almost equally contribute to the uncertainty in the eTCRE during the positive emission phase. The results are consistent with those from the model parameter correlation analysis in which different slopes of the change in surface air temperature versus emissions are due to primarily to the uncertainty in radiative feedbacks and to a lesser extent carbon-cycle feedbacks. Our results differ from the analysis of CIMIP5  
495 and CMIP6 ensembles in which the radiative forcing response and thermal response were the main contributors to the uncertainty in the TCRE, respectively (Williams et al., 2020). During the net negative emission phase, both analyses show that the carbon dependence causes the main uncertainty in the values of eTCRE.

The relationship between thermal and carbon feedbacks with an increase in atmospheric CO<sub>2</sub> exhibits hysteresis behaviour. The fraction of the radiative forcing warming the surface continues to increase after peak  
500 atmospheric CO<sub>2</sub> as the ocean is stratified, leading to higher surface air temperatures after lower atmospheric CO<sub>2</sub> values are restored. The increase in the ocean storage after the peak in atmospheric CO<sub>2</sub> is associated with the long-term adjustment and ventilation of the deep ocean while the reason for the continued terrestrial carbon storage relates to competing processes such as carbon uptake through CO<sub>2</sub> fertilization and carbon release through historical land use changes and accelerated respiration under warming.

505 The zero emission commitment is close to zero. In the model mean of the integrations that exclude carbon capture and storage, the ZEC is -0.03 K at 25 years and decreases to -0.21 K at 90 years after emissions cease. However, even assisted by gradual reductions in non-CO<sub>2</sub> forcing as in this scenario, the distribution of ZEC after 25 years from the cessation of emissions shows continued warming in ~ 20 % of ensemble members. Including carbon capture and storage reduces the probability of continued warming after net zero, with 95 % ensemble  
510 members exhibiting a ZEC close to or below zero. Hence, implementing negative emissions is required to reduce the risk of over-shoot and continued warming after net zero is reached and increase the probability of meeting the Paris targets. NETs with naturally long CO<sub>2</sub> removal lifetimes, such as enhanced rock weathering (Beerling et al., 2020) may be especially well suited for this purpose as the legacy effects of the repeated application of this

technology increase the rate of carbon drawdown per unit area for years after implementation at no incremental  
515 cost (Beerling et al., 2020; Vakilifard et al., 2021).

*Data availability.* The data that support the findings of this study are available from the corresponding author upon reasonable request.

*Author contributions.* NV undertook model experimental design, all simulations, and analyses. All authors were involved in the design of the model experiments, led by NV and RGW. All authors contributed to writing, led by NV and RGW.

*Competing interests.* The authors declare that they have no conflict of interest.

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