Unprecedented Summer Hypoxia in Southern Cape Cod Bay: An Ecological Response to Regional Climate Change?

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10 **Abstract.** In late summer 2019 and 2020 bottom waters in southern Cape Cod Bay (CCB) became depleted in dissolved oxygen (DO), with documented benthic mortality in both years. Hypoxic conditions formed in relatively shallow water where the strong seasonal thermocline intersected the sea floor, both limiting vertical mixing and concentrating biological oxygen demand (BOD) over a very thin bottom boundary layer. In both 2019 and 2020, anomalously high sub-surface phytoplankton blooms were observed, and the biomass from these blooms provided the fuel to deplete sub-pycnocline waters
15 of DO. The increased chlorophyll fluorescence was accompanied by a corresponding decrease in sub-pycnocline nutrients, suggesting that prior to 2019 physical conditions were unfavorable for the utilization of these deep nutrients by the late summer phytoplankton community. It is hypothesized that significant alteration of physical conditions in CCB during late summer, which is the result of regional climate change, has favored the recent increase in sub-surface phytoplankton production. These changes include rapidly warming waters and significant shifts in summer wind direction, both of which
20 impact the intensity and vertical distribution of thermal stratification and vertical mixing within the water column. These changes in water column structure are not only more susceptible to hypoxia, but also have significant implications for phytoplankton dynamics, potentially allowing for intense late summer blooms of *Karenia mikimotoi*, a species new to the area. *K. mikimotoi* had not been detected in CCB or adjacent waters prior to 2017, however increasing cell densities have been reported in subsequent years, consistent with a rapidly changing ecosystem.

25 **1 Introduction**

The occurrence of coastal hypoxia is increasing worldwide, and this rapid expansion is often linked to anthropogenic nutrient inputs (Diaz, 2001). Hypoxia caused by eutrophication is generally found in coastal regions that receive large freshwater inputs and the associated terrestrial nutrients (Fennel and Testa, 2019). Low dissolved oxygen (DO) also occurs in coastal waters not directly impacted by large freshwater inputs. For example, hypoxia on continental shelves
30 can result from the upwelling of deep, nutrient-rich waters that enhance surface productivity and deliver oxygen-poor bottom

waters to the shelf (Grantham et al. 2004). Long-term changes in hypoxia in these environments may be associated with long-term changes in the wind forcing, and the associated changes in upwelling dynamics (Garcia-Reyes et al. 2015). Even in regions with high anthropogenic nutrient loading, variations in hypoxia are strongly influenced by variations in physical forcing, so that both large-scale and regional climate variability can have important impacts on the occurrence of hypoxia in

35   coastal waters (e.g., Scully, 2010). Processes such as wind forcing, water temperature and vertical density stratification all play important roles in modulating bottom DO in a wide range of coastal environments (Wilson et al. 2008; Forrest et al. 2011; Yu et al. 2015; Scully, 2016). These physical processes control DO saturation (temperature) and horizontal advection and vertical mixing, which have direct impacts on the solubility and physical transport of DO, and hence hypoxia.

        In addition to these direct impacts on physical transport, changes to the physical environment can significantly alter

40   phytoplankton dynamics, which can also lead to hypoxia. Phytoplankton are the base of the marine food web, providing the organic carbon that ultimately drives hypoxia in most systems. Changes in vertical stratification, and its impacts on turbulent mixing, control light exposure and nutrient fluxes through the pycnocline impacting phytoplankton biomass, structure, seasonal dynamics, and taxonomic composition (Winder and Sommer, 2012). Increases in water temperature increase both light-saturated and light-limited rates of photosynthesis (Tilzer et al. 1986; Edwards et al. 2016) and can favour

45   species that are better adapted to warmer waters. Increases in thermal stratification that result from warming surface waters generally decrease nutrient availability, which will favour species that are adapted to lower nutrient concentrations (Falkowski and Oliver, 2007), or can migrate to maintain their vertical position (Huisman et al. 2004). Thus, stronger vertical stratification is generally thought to favour dinoflagellates, including several species that form harmful algal blooms (HABs) (Smayda and Reynolds, 2001; Kudela et al. 2010). In addition to the toxic effects that can result in direct mortality,

50   HABs also have been linked to hypoxia in a number of marine environments (O'Boyle et al. 2016; Griffith and Gobler, 2020).

        Most HAB forming species are dinoflagellates, and there is growing evidence that blooms of dinoflagellates are increasing in frequency, magnitude and geographic extent, particularly in coastal environments (Anderson et al., 2012). Smayda (2002) proposes that the establishment of new bloom species results from a three-step process that includes regional

55   translocation, colonization, and the achievement of competitive dominance. He concludes that translocation is not a sufficient condition for a non-indigenous species to bloom, and that significant habitat disturbance is necessary for a new species to achieve competitive dominance (i.e., bloom). This link between habitat disturbance and bloom expansion is one reason why climate change has been hypothesized to play a key role in the overall increase of dinoflagellate blooms in coastal waters (Wells et al., 2020). In this paper we present observations of unprecedented bottom hypoxia in southern Cape

60   Cod Bay (CCB) that is hypothesized to result from the emergence of a new late summer bloom species—*Karenia mikimotoi*. Prior to 2017, *K. mikimotoi* had not been found in routine sampling, but has become prevalent during the late summer months. This dinoflagellate appears to be well adapted to the environmental conditions found in southern CCB, which have changed significantly over the last several decades. We will present detailed observations of water column structure, and the distribution of DO and chlorophyll fluorescence collected during the late summer of 2020 in order to highlight the physical

65 conditions that favour bottom hypoxia. Long-term changes in chlorophyll fluorescence and species composition detected by ongoing environmental monitoring in CCB will be used to put the 2020 observations into context. Finally, we will demonstrate that CCB is experiencing dramatic changes in physical conditions during the summer months and will speculate on the role that regional climate change has played in this apparent regime shift.

2 Background

70   CCB is located at the southernmost extremity of the Gulf of Maine (GOM) and is influenced by both the offshore waters and by coastal waters moving through the inshore regions of Massachusetts Bay (Signell et al. 2000). CCB experiences significant seasonal variations in vertical density stratification, with well mixed conditions observed for much of the late fall and winter and strong thermal stratification during summer (Geyer et al. 1992). The south-westerly winds that frequently occur during the summer result in upwelling along the western coast of the bay. Phytoplankton dynamics exhibit

75 significant interannual variability, but there is often a late winter—early spring bloom in response to the increase in vertical density stratification in April (Oviatt et al. 2007). Surface chlorophyll is typically low during the summer, when surface waters are generally depleted of inorganic nutrients (Jiang et al. 2007). Strong mixing and destratification in the fall often result in a secondary bloom, driven primarily by the increased vertical flux of nutrients into the surface waters (Oviatt et al. 2007).

80   Long-term measurements of water properties conducted with the support of the Massachusetts Water Resources Authority (MWRA) provide a record of seasonal variability of vertical density stratification and DO in Massachusetts Bay and CCB extending back nearly 30 years (Libby et al. 2020). These data indicate that while there is always a decline in near bottom DO in the late summer and early fall, the Bays have never previously experienced hypoxia prior to the 2019 event. The lowest DO recorded in CCB before 2019 was 3.7 mg/L, and the seasonal minimum is more typically between 5 and 6

85 mg/L (Xue et al. 2014). These long-term data also document a trend of warming surface waters that is consistent with the regional trend in the GOM (Pershing et al. 2015), the implications of which are discussed below.

      CCB is home to a diverse assemblage of phytoplankton species. There are ~300 phytoplankton taxa that have been identified in the region, with a subset of ~30 species commonly reported (Hunt et al. 2010). Small (< 10 μm) unidentified microflagellates are numerically dominant throughout the year. Of the larger identifiable species, diatoms dominate through

90 much of the winter, spring and autumn, but give way to dinoflagellates during the summer months when strong vertical density stratification is present. During the summer, dinoflagellates consist primarily of small species such as *Gymnodinium*, but larger species such as *Ceratium* also are commonly found. In recent years, the previously undetected dinoflagellate species *K. mikimotoi* has been detected with increasing cell densities in samples collected throughout the GOM. *K. mikimotoi* is a planktonic dinoflagellate species responsible for harmful blooms in coastal waters worldwide. It grows well

95 in low-light conditions and is particularly well suited to exploit light-limited environments (Li et al. 2019). It can vertically migrate up to 2.2 m/h (Koizumi et al. 1996), forming concentrated layers within the pycnocline that are < 1m in vertical

thickness during a bloom (Dahl and Brockmann, 1989; Bjornsen and Nielsen, 1991; Brand et al. 2012). It has low nutritional value, and many phytoplankton feeders avoid ingesting this species (Schultz and Kiorboe, 2009). *K. mikimotoi* has strong allelopathy, which is enhanced when high cell concentrations are present (Riegman et al. 1996; Uchida et al. 1999). These allelopathic effects are thought to be an important mechanism that allows *K. mikimotoi* to become the dominant bloom species, despite its relatively slow growth rate.

3 Methods

In late summer 2019, in response to reports of significant lobster mortality in southern CCB by the local lobster fleet, Massachusetts Division of Marine Fisheries (MDMF) staff conducted an initial survey of bottom DO. On this survey, which was conducted on September 25 and 26, 2019, bottom DO was measured with a YSI 6920 V2-2 data sonde. Two subsequent surveys were conducted on September 30 and October 1, 2019, by the staff of the Center for Coastal Studies (CCS) and MDMF to provide greater spatial coverage. CCS staff used a YSI ProDSS Mulitparameter Meter and CastAway-CTD. In response to the hypoxic conditions reported in 2019, increased ship-based sampling was conducted during late summer and early fall in 2020. The 2020 spatial surveys collected vertical profiles with an RBR CTD equipped with a Rinko fast-response optical DO sensor, Turner Designs chlorophyll fluorometer and Seapoint optical backscatter sensor (OBS). The CTD samples at 16 Hz and was lowered slowly by hand to the bottom providing highly resolved vertical profiles of the entire water column. Surveys in 2020 were conducted on August 31, September 3, 10, 16, 24 and October 2. At select CTD profile locations, surface and bottle samples were collected and analysed for extracted chlorophyll and dissolved inorganic nutrients. Extracted chlorophyll data were used to calibrate the vertical profiles of fluorescence and the optical DO sensor was calibrated in the laboratory using a two-point linear regression obtained using fresh water with 100% (obtained using aerating bubbler) and 0% saturation (obtained by removing all DO by adding sodium sulfite).
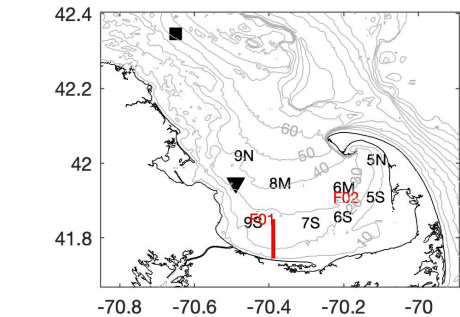
Figure 1: Map of Cape Cod Bay (CCB) showing the locations of the CCS monthly water quality stations (black letters), the MWRA sampling locations in CCB (red letters), NDBC buoy 44013 (black square), MDMF Wreck of the Mars bottom temperature sensor (black triangle), and the 2020 transect shown in figure 3 (red line). Grey contours are depths in meters.

In addition to these detailed data collected in 2019 and 2020, CCS staff have been collecting monthly water quality data at eight locations in CCB since 2006 (fig. 1). While a variety of parameters were measured beginning in 2006, collection of full water column profiles of chlorophyll fluorescence and photosynthetically active radiation (PAR) began in 2011, when surveys included a Seabird SBE-19*plus* CTD equipped with an SBE-43 dissolved oxygen sensor, WET Labs ECO-FL fluorometer, and Biospherical QSP PAR sensor. The CTD samples at 4Hz and is hand-lowered at a speed of ~ 0.5 m/s, stopping approximately 2-3m above the bottom. In

135   addition to the CTD data, CCS collects discrete bottle samples for inorganic nutrient analysis. Bottles at both the surface and 2-3m above the bottom are collected. These samples are passed through a 0.4 μm membrane filter and frozen for subsequent laboratory analysis on an Astoria 2 Autoanalyzer following the methods outlined in Costa et al. (2020).

        The MWRA has been collecting water samples for phytoplankton identification and quantification at 34-48 locations throughout Boston Harbor, Massachusetts Bay and CCB, roughly every month since 1992. Each month, surface

140   water samples are collected from two locations in CCB (F01 and F02). These samples are collected with a Niskin bottle, and a 1 litre subsample is preserved with Lugol's solution. Phytoplankton samples are concentrated via gravitational setting by a factor of approximately 16:1 as described by Borkman et al. (1993), Borkman (1994), and Turner et al. (1995). A 1-mL aliquot of concentrate is transferred to a gridded Sedgwick–Rafter chamber and phytoplankton are counted using an Olympus BH-2 research microscope with phase contrast optics following methods outlined in Costa et al. (2020). Species

145   abundance estimates are derived from these counts following the methods outlined in Hunt et al. (2010).

        To place these data into the context of regional climate change in CCB, we analyse several other sources of long-term data from the region. The National Data Buoy Center (NDBC) Boston (44013) buoy has measured surface water temperature and wind (speed and direction) hourly since August 1984, providing over 36 years of nearly continuous data. The surface water temperature and wind measurements are made 1.1m below and 3.2m above the mean water surface,

150   respectively. Similarly, the MDMF has maintained several bottom temperature loggers in CCB beginning in July 1991. These temperature loggers sample every two hours, and here we analyse over 3 decades of bottom temperature measured at the Wreck of Mars location in the NW corner of CCB, where the local water depth is ~ 33m (fig. 1). While this bottom sensor and the NDBC station are not co-located, they provide information about the long-term trends in both surface and bottom temperature in the region. In the analysis presented below, we take averages over the summer months (June-

155   September), and only include years where over 90% of the data are available.

4 Results

        The late summer 2019 surveys conducted by MDMF and CCS staff revealed a broad area of hypoxic water in southern CCB (fig.2a). The region of hypoxia extended ~20km in the along-isobath direction and at least 6km in the across-isobath direction, spanning waters depths from approximately 9 to 24m. In interviews conducted by MDMF staff, mortality

160   in lobster traps was reported beginning on September 20, 2019, and local scallop fishers reported significant mortality in scallop trawls conducted as early as September 15, 2019. A broad survey conducted on October 8, 2019 (not shown), following a period of relatively energetic winds from the north, found no hypoxic water in the region, suggesting that low DO bottom waters either vertically mixed or were advected offshore. Increased sampling was conducted in 2020, which demonstrated that a broad region of bottom hypoxia formed for a second consecutive summer (fig. 2b). Hypoxic conditions

165   were first detected during an across shelf survey conducted on August 31, 2020, which found bottom DO levels below 2mg/L centred on the 20m isobath (fig. 3b). A more comprehensive spatial survey conducted on September 3, 2020, revealed that the hypoxic water extended at least 10km in the along-isobath direction, with a general distribution largely consistent with late summer 2019. Hypoxic bottom waters persisted through September 16, 2020, but a survey conducted on
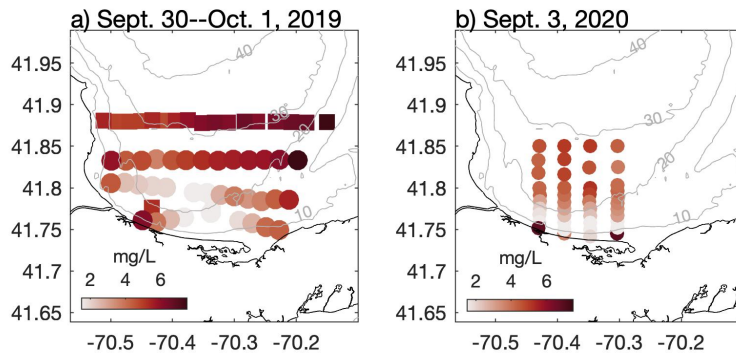
Figure 2: Bottom dissolved oxygen measured in southern CCB during late summer a) 2019 and b) 2020. In 2019 data were collected on September 30 (squares) and October 1 (circles). In both years a broad region of hypoxic bottom water developed in relatively shallow water where the thermocline intersects the seafloor. Grey contours are depths in meters.

September 24, 2020, after a period of sustained strong winds from the north, found bottom DO levels had increased to well above hypoxic levels.

The high-resolution sampling conducted during 2020 provided a detailed description of the distribution of DO, chlorophyll fluorescence, optical backscatter and water column density structure (fig. 3). On August 31, 2020, strong temperature stratification was present with a horizontal density front that intersected the bathymetry (fig. 3b,c,d). The isotherms were approximately horizontal, capping a thin bottom boundary layer (BBL), that increased in height with increasing depth offshore. Low oxygen water was confined to the thin BBL, with the lowest oxygen levels roughly coincident with the thinnest BBL heights associated with the bottom density front. A sub-surface chlorophyll maximum (>100 µg/L) was present in the pycnocline at a depth of roughly 20m. The August 31st survey followed a period of moderate winds from the north (fig. 3a). A second survey on September 3, following a period of moderate winds from the south, demonstrated that the low DO water was still present, but had been advected shoreward with the lowest bottom DO centred on the 15m isobath (fig. 3e). The upwelling associated with southerly winds pulled colder bottom waters onshore and increased the thickness of the BBL. Weak southerly winds continued for most of the next week, providing sustained upwelling conditions, and the centre of hypoxic water had advected even closer to shore on September 10 (fig. 3h). Bottom DO levels in water depths between 20 and 30m increased and the total volume of hypoxic water decreased relative to the prior survey (table 1). The survey conducted on September 16, 2020, followed a period of moderate northerly winds, and a strong bottom density front had formed during these downwelling-favourable conditions, with a much thinner BBL than was observed 6 days prior (fig. 3k). Following this northerly wind event, the overall volume of hypoxic water increased by
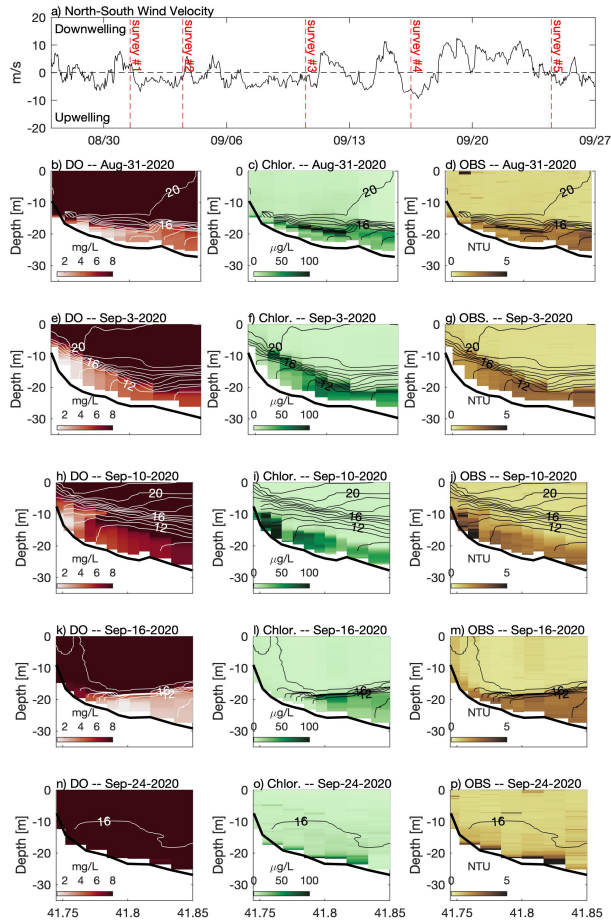
Figure 3: a) North-South component of wind measured at NDBC buoy 44013. Panels on the left (b,e,h,k,n) show across-shelf transects of dissolved oxygen, panels in the centre (c,f,i,l,o) show chlorophyll fluorescence and panels on the right (d,g,j,m,p) show optical backscatter measured during a series of cruises in late summer 2020. For each transect, temperature contours (1°C interval) are shown. In the top panel the vertical red dashed lines indicate the approximate timing of each cruise. Note that Aug. 31 and Sept. 16 followed downwelling winds (positive) and Sept. 3 and 10 were during a period of prolonged upwelling-favourable winds (negative). See figure 1 for location of transect and wind measurements.

roughly a factor of 2, as compared to the previous survey. Chlorophyll fluorescence was concentrated in a much thinner layer following winds from the north (e.g., Aug. 31 and Sept. 16), and a much sharper thermocline was observed. A strong and prolonged northerly wind event in late September largely mixed the water column and no hypoxia was observed during a survey on September 24, 2020 (fig. 3n).

During all cruises, optical backscatter was highest in sub-pycnocline waters (fig. 3), and there was a statistically significant (p<0.01) positive correlation between optical backscatter and chlorophyll fluorescence (table 1). These data suggest that phytoplankton in the water column were the primary component of the suspended particulate material detected by the OBS. However the nature of the relationship between the OBS data and fluorescence changed between the cruises, and the slope of the linear regression between these two quantities decreased by more than a factor of 2 between the September 10 and September 16 surveys. This decrease in slope is consistent with the wanning stages of a bloom, where the suspended particulate matter is composed primarily of dead or weakly fluorescing cells. The optical backscatter data suggests that these cells are found almost exclusively below the thermocline, and may be the primary source of the biological oxygen demand (BOD) that is depleting bottom waters of DO.

Survey Date [2020]	Preceding wind forcing	Mean BBL Height [m]	Normalized Hypoxic Volume [m³/m]	Mean $\partial T/\partial z$ [°C/m]	Max. $\partial T/\partial z$ [°C/m]	Correlation OBS --Fluorometer [r]	Slope OBS --Fluorometer [μg/L/NTU]
8/31	Downwelling	4.23	11400	0.28	3.45	0.80	25.3
9/03	Upwelling	8.09	19760	0.39	2.90	0.83	21.9
9/10	Upwelling	11.47	11970	0.56	3.00	0.91	27.3
9/16	Downwelling	6.09	27930	0.18	4.92	0.52	12.4
9/24	Downwelling	18.43	N/A	0.07	0.98	0.84	6.4

Table 1. Summary of conditions for 2020 cruises. Wind forcing is based on the mean north-south component of the wind over the preceding 1.5 days (~inertial period) prior to the survey. Mean winds from the south are considered upwelling and mean winds from the north are considered downwelling. Only data from the central transect shown in figure 1 are reported. Mean BBL height, mean $\partial T/\partial z$ and max $\partial T/\partial z$ are the average values for all profiles collected on the transect (n~11). BBL height is estimated from vertical location where maximum value $\partial T/\partial z$ is observed. The normalized hypoxic volume is calculated as the vertical and across-shelf extent of water with DO concentration < 3 mg/L and is normalized by distance in the along isobath direction (e.g. units of area).

The hydrographic surveys highlight that the across-shelf density structure in CCB responds in manner that is consistent with upwelling/downwelling following winds from the south/north. This could be a local response where winds from the north-south are balanced by the sea-surface slope, which drives a geostrophic along-isobath interior flow. This along-isobath interior flow would drive bottom Ekman transport that results in upwelling for winds from south and downwelling for winds from north. Alternatively, the across-shelf density structure in CCB may be driven remotely by the response along the open coastline to the north. Allen (1976) suggests that upwelling/downwelling propagates as a coastally trapped wave; thus

CCB may be driven by the larger scale response along the open New England coastline. Over this region, the coastline is orientated roughly parallel to SW-NE winds, with SW winds driving upwelling and NE winds driving downwelling. While the exact dynamics that drive this response are beyond the scope of this paper, our data clearly demonstrate that the density structure responds strongly to the north/south component of the wind.

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The impact of upwelling/downwelling on the density structure can be seen more clearly by comparing individual profiles collected on September 10th (after upwelling) and September 16th (after downwelling) from the same mid-shelf location (fig. 4). Although winds from the south and the resulting upwelling increased the overall top-to-bottom temperature difference, the resulting thermocline was much more diffuse, with a maximum local temperature gradient in the thermocline of ~ 2 °C/m. In contrast, after downwelling conditions, the overall top-to-bottom temperature difference was reduced, but

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the thermocline was both locally more intense (> 10 °C/m) and closer to the seabed. These trends are not limited to this one station, but are reflected in the across-shelf average of all stations (table 1). On average, upwelling conditions (Sept. 3 and 10) increase the mean temperature gradient, but downwelling winds (Aug. 31 and Sept. 16) increase the maximum value of the temperature gradient in the thermocline and decrease the BBL height. In these data, a discrete sub-surface chlorophyll maximum was associated with a sharper and more intense thermocline following downwelling, and low DO bottom waters

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were associated with a thin BBL. Thus, moderate downwelling events may result in conditions that are more favourable to both sub-surface phytoplankton production and bottom hypoxia.
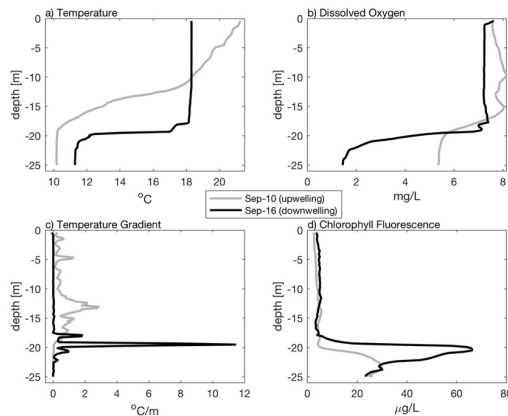
Figure 4: Vertical profiles from the mid-shelf (~41.82°N) collected during late summer 2020 including a) temperature, b) dissolved oxygen, c) vertical temperature gradient, and d) chlorophyll fluorescence highlighting the difference following upwelling (Sept-10 grey line) and downwelling (Sept-16 black line) conditions. Note that even though the top-to-bottom temperature difference is greater following upwelling, downwelling results in a stronger temperature gradient, thinner bottom boundary layer, lower dissolved oxygen and higher sub-surface chlorophyll fluorescence.

A notable feature of the 2020 data is the sub-surface chlorophyll maximum that is seen in the across-shelf surveys. To put these data into context, we compare them to the longer time series of chlorophyll fluorescence collected by CCS in CCB, which covers the period 2011-2020. The data from 2019 and 2020 are remarkable because they have some of the highest fluorescence values observed during the 10-year record, and these values are often found in discrete sub-surface

245   maxima (fig. 5). For example, in September 2020 a sub-surface maximum with chlorophyll fluorescence ~ 100 µg/L was observed at station 9N (fig. 5g). Prior to 2019, the highest value recorded anywhere in the water column in September at this station was ~8 µg/L. While no CCS data were collected in August 2019, the August 2020 bay-wide, eight-station average of depth-integrated chlorophyll fluorescence was the highest ever recorded. Both September 2019 and 2020 had more than 3 times the integrated chlorophyll, on average, than the September mean prior to 2019 (fig. 6a). This dramatic increase in the

250   late summer integrated chlorophyll values for 2019-2020 is likely a key contributor to the occurrence of hypoxia during those years. If we convert the average Aug-Sept depth-integrated chlorophyll to carbon biomass, using a carbon-to-chlorophyll ratio of ~60 (see below), the average values for the periods 2011-2018 and 2019-2020 are 225 and 745
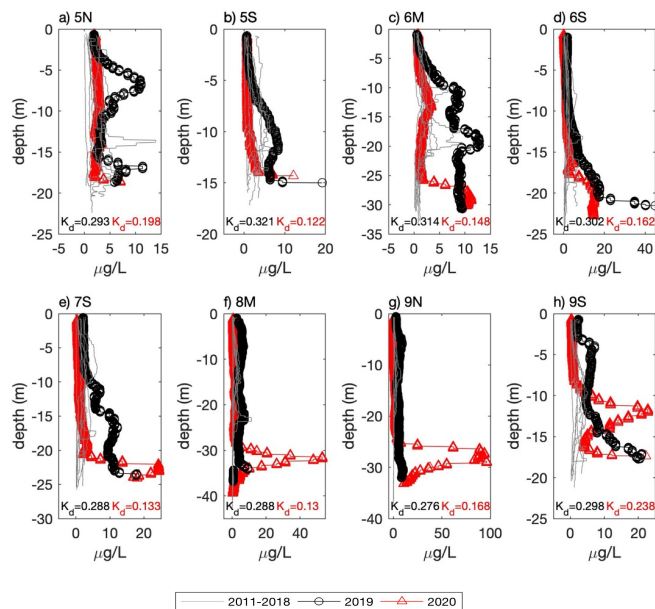
Figure 5. Vertical profiles of chlorophyll fluorescence from the September CCS cruises for all eight CCB stations (see fig. 1 for locations). Data from 2011-2018 are plotted as grey lines and 2019 and 2020 as circles and triangles, respectively. Estimates of the light attenuation coefficient (K_d) for the 2019 (black) and 2020 (red) profiles are reported at the bottom of each plot.

mmolesC/m², respectively. If we further assume that all of this biomass is respired in a BBL that is ~5m thick, this represents a BOD of 45 and 149 mmolesO₂/m³ (1.4 and 4.8 mg/L), respectively. Given that bottom summer DO levels typically average 156-188 mmolesO₂/m³ (5-6 mg/L), this dramatic increase in chlorophyll biomass readily explains the switch from normoxic to hypoxic conditions given the major increase in BOD starting in 2019. It is important to note that it doesn't matter whether the respiration of this excess organic matter occurs in the sub-pycnocline water column or the benthos. Both will deplete bottom waters of DO when a strong thermocline is present. The 2020 survey data show elevated levels of optical backscatter below the thermocline that are likely dominated by post bloom phytoplankton cells, which are the most likely source of BOD in the BBL.

The anomalously high chlorophyll fluorescence in 2019 and 2020 was accompanied by anomalously low bottom nutrients (fig. 6b). Bottom nutrient data collected by CCS for the period 2011-2020 were used to estimate the total integrated inorganic nitrogen (NO₂⁻ +NO₃⁻ + NH₄⁺) within the BBL by multiplying the bottom nutrient concentration by the
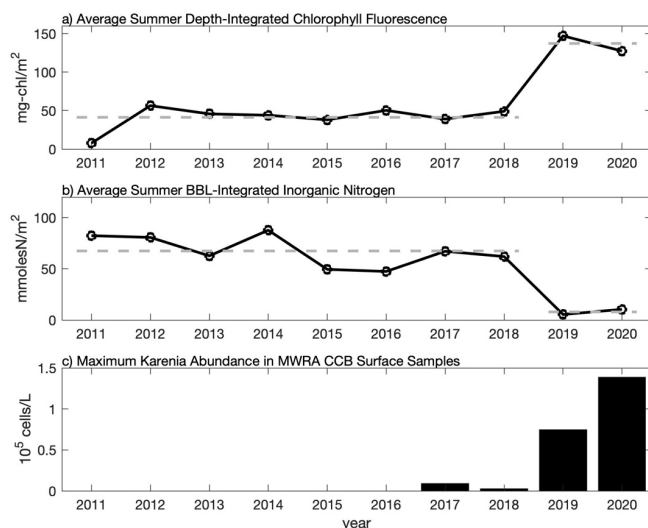
Figure 6: Time series of the average summer a) depth-integrated chlorophyll fluorescence from CCS surveys, b) bottom boundary layer (BBL) integrated inorganic nitrogen from CCS surveys, c) maximum abundance of *K. mikimotoi* from MWRA late-summer (August and September) surface samples in CCB (F01 and F02). In a) and b) each point represents the average over the August and September CCS cruises and over all eight CCB stations. Average values for 2011-2018 and 2019-2020 are shown with the thick dashed line highlighting that the 2019-2020 data differ by more than a factor of 3 from the 2011-2018 average. In c), maximum abundance is based on the highest value reported during late summer at either F01 or F02 (see table S1 for data).

estimated height of the BBL. BBL height was estimated from vertical CTD profiles as the first location above the seabed

265 where the vertical temperature gradient exceeds 1°C/m. Prior to 2019, the bay-wide (eight station average) BBL-integrated nutrient concentration averaged over August and September was ~67 mmolesN/m^2. This value drops by more than a factor of 8, on average, for 2019-2020 (~8 mmolesN/m^2) consistent with the large increase in integrated fluorescence. There is a significant (p<0.05) negative relationship (r = -0.89) between depth-integrated chlorophyll and BBL-integrated nutrients, consistent with increased nutrient consumption resulting in higher chlorophyll biomass. The significance of this relationship

270 is clearly driven by the anomalously high chlorophyll, and anomalously low nutrients, in late summer 2019 and 2020, and the negative correlation is not significant if these two years are excluded (r = -0.28, p = 0.5). The slope of the linear regression between the integrated nutrients and chlorophyll is -1.32 mg-chl/mmoles-N. If nutrient uptake is consistent with the Redfield ratio, this analysis implies a carbon-to-chlorophyll ratio of ~ 60 mg-C/mg-chl, which falls well within the range of reported values (e.g., Sathyendranath et al. 2009).

275   This relatively abrupt shift in sub-pycnocline nutrient utilization is consistent with a significant physical alteration of the late summer phytoplankton niche in CCB, which we hypothesize to have resulted in the recent emergence of a new phytoplankton species—*K. mikimotoi*. The MWRA has been sampling and quantifying phytoplankton species since 1992 at ~40 stations throughout the region. Prior to 2017, *K. mikimotoi* had never been found in any sample collected as part of this monitoring program. In September 2017, *K. mikimotoi* was first detected in samples collected in CCB (F01 and F02, see fig.

280 1 for locations). Low levels (~2500 cell/L) of *K. mikimotoi* were again detected in summer 2018, before increasing by over an order of magnitude in late summer 2019 and 2020 (fig. 6c and table S1). Maximum counts of *K. mikimotoi* at station F01 in late summer surface samples were 74,676 and 138,559 cell/L in 2019 and 2020, respectively. The MWRA only conducts phytoplankton counts on surface samples collected from CCB. However, one bottom sample was collected from station F01 on August 31, 2020 and the *K. mikimotoi* count exceeded 771,000 cell/L, accounting for over 70% of the identifiable species

285 at this location. This was the same day that the across-shelf survey documented an intense sub-surface fluorescence maximum (fig. 3c).

     PAR data collected in during the CCS cruises suggest that the deep chlorophyll maximum is located near the base of the euphotic zone. Estimates of the light attenuation coefficient (K_d) have a mean value of 0.218 m^{-1} for late summer (August and September), averaged over all stations and all years. This corresponds to a 1% light level at a depth of ~-21m. There is

290 inter-annual variability and no clear long-term trends in the estimates of K_d. However, September 2019 had the highest values (mean = 0.298 m^{-1}) and September 2020 had the lowest values (mean = 0.165 m^{-1}). This is generally consistent with the intense deep chlorophyll fluorescence observed in 2020 when waters were generally clearer, in contrast to the broadly elevated profiles observed in 2019.

295 **5 Discussion**

     The data presented above indicate that increased sub-surface chlorophyll production during late summer in 2019 and 2020 has provided the increased biomass to deplete bottom oxygen to hypoxic levels. The CCS nutrient data

demonstrate that in the eight years prior to 2019 there were ample sub-pycnocline nutrients in CCB to support higher productivity, yet late summer sub-surface blooms of similar magnitude did not develop. We hypothesize that the intense sub-surface blooms that were observed in late summer 2019 and 2020 were *K. mikimotoi*—a dinoflagellate species that had not been detected in the region prior to 2017. The emergence of this new species is consistent with a physical environment

305   that has undergone significant changes. As we will discuss below, these changes include warmer waters, increased thermal stratification and important shifts in summer wind direction. These changes potentially have resulted in an environment that: 1) favours enhanced sub-surface phytoplankton production by motile species, and 2) is more physically susceptible to hypoxia.

5.1 Emergence of *K. mikimotoi*

310   *K. mikimotoi* had never been detected in MWRA samples prior to 2017, but was detected at numerous locations throughout the GOM in late summer 2017 (Libby et al., 2018). The near synchronous appearance of this new species most likely reflects a broad introduction by regional circulation. Since 2017, *K. mikimotoi* has been detected consistently in samples collected in CCB. Despite its recent emergence in CCB, we did not collect water samples for species identification from the sub-surface chlorophyll maxima we observed in 2020. The only sub-surface sample collected for phytoplankton identification

315   during late summer 2020 had the highest *K. mikimotoi* counts ever observed in CCB. This sample was collected on the same day (Aug. 31, 2020) as the data shown in figure 3c, just west of the end of this transect. During this survey, chlorophyll fluorescence was extremely high (>150 µg/L) and almost exclusively contained in a very thin (~2m) layer at the base of the thermocline. This intense sub-surface chlorophyll maximum is consistent with a species that is well adapted to growth in low light conditions and that can vertically maintain its position in the water column to take advantage of sub-pycnocline nutrients.

320   *K. mikimotoi* is particularly well adapted to exploit low light environments (Honjo, 2004) and can vertically migrate at rates up to 2 m/hr (Koizumi et al., 1996). Under stratified conditions migration ceases and it is often observed in very thin layers within the thermocline (Brand et al., 2012). The recent emergence of late summer hypoxia also is broadly consistent with the establishment of *K. mikimotoi* as a late summer bloom species. Hypoxia has been attributed to blooms of *K. mikimotoi* in regions around the world including Japan, China, Norway, Scotland, and Ireland (Tangen, 1977; Matsuyama, 2008; O'Boyle

325   et al., 2016; Li et al. 2019). Oxygen utilization studies indicate that most of the potential BOD from decaying *K. mikimotoi* is realized in the first five days of bloom collapse (O'Boyle et al., 2016). This rapid depletion of DO following a bloom results from the highly labile nature of *K. mikimotoi* cells. *K. mikimotoi* has an athecate cell that lacks an armored wall and is easily ruptured, allowing quick release of organic carbon for bacterial respiration (Brand et al., 2012).

5.2 Regional Climate Change in Cape Cod Bay

330   The emergence of a new late summer bloom species is a strong indication that CCB has undergone significant habitat alteration. Consistent with Smayda's (2002) "bloom paradigm," we hypothesize that changes to the physical environment in CCB have allowed *K. mikimotoi* to bloom in late summer. Waters in the GOM are warming more rapidly than nearly any other region in the world's oceans (Pershing et al. 2015). Surface temperature data from the NDBC Boston buoy (44013) show that surface waters are warming even more rapidly in CCB, and 2019 and 2020 were among the warmest

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summers recorded over the past 36 years (fig. 7a). Both light-saturated and light-limited growth rates in phytoplankton are sensitive to temperature (Edwards et al. 2016), and the warming of CCB may have increased overall levels of primary production. However, it seems unlikely that the dramatic increase in chlorophyll fluorescence in later summer 2019 and 2020 can be explained simply by increased primary production without significant changes to the late summer phytoplankton species composition. Species transitions are expected to occur in association with increases in temperature (Eppley, 1972; Norberg, 2004) and the emergence of *K. mikimotoi* may be the result of increased summer water temperature. *K. mikimotoi* can grow over a wide range of temperatures, but the optimal range for blooms is thought to be 20-24°C (Baohong et al. 2021). Prior to 2015, water in excess of 20°C at the Boston buoy (44013) averaged roughly 6 days a summer and only exceeded 20 days once (2011). However, during four of the last five summers (2016, 2018, 2019, and 2020), surface temperatures have exceeded this threshold for more than 40 days (fig. 7b).

Bottom waters at the MDMF Wreck of the Mars location (depth ~33m) also demonstrate significant increases in temperature. However, during the summer months surface water temperatures at the Boston buoy are increasing at nearly twice the rate as bottom waters in northern Cape Cod Bay (at the Wreck of the Mars site), over the period 1991-2020. While the data collected at the Boston buoy and the Wreck of the Mars are not co-located, the long-term trends at these two sites
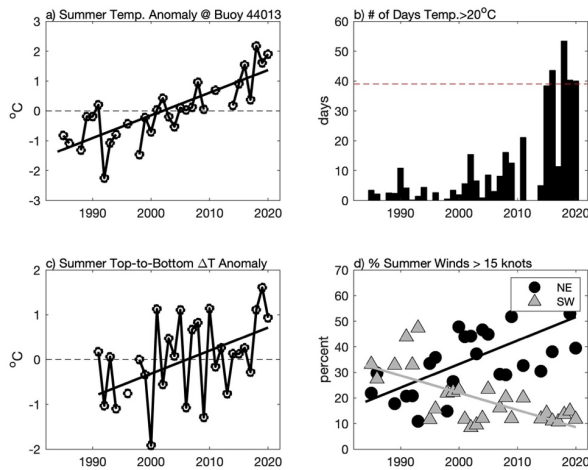
Figure 7. Changes in summer (June—Sept) conditions in CCB including: a) mean surface water temperature anomaly at the NDBC buoy 44013, b) total number of days where surface water temperature exceeded 20°C at the NDBC buoy 44013, c) temperature difference anomaly between NDBC buoy 44013 (surface) and MDMF Wreck of the Mars (~33m depth), d) percentage of time that summer winds in excess of 15 knots (7.7 m/s) are from the NE (black circles) and from SW (grey triangle), and. Solid lines in a, c and d are linear regressions, all of which are significant at p<0.05. In a and b, the anomalies are simply calculated by removing the mean over the period of record.

are consistent with increasing levels of thermal stratification (fig. 7c). Increased vertical density stratification reduces vertical mixing and the associated nutrient fluxes, favouring motile species such as dinoflagellates that can maintain their vertical position (Winder and Sommer, 2012). Thermal stratification also is significantly impacted by wind forcing. As demonstrated in figure 4 and table 1, moderate downwelling-favourable winds result in a deep and sharp thermocline, and a thin BBL. In contrast, winds from the south (upwelling) generally result in a more diffuse thermocline with a thicker BBL.

360 At the Boston buoy (44013) the likelihood of summer winds from the northeast stronger than 7.7 m/s (15 knots) has increased by nearly 10% per decade (fig. 7d). This increase in stronger winds from the northeast is largely at the expense of stronger winds from the southwest, which have become much less common during the summer months. This significant increase in downwelling winds during late summer is likely to enhance deeper and stronger near bed thermal stratification—

365 an environment that may favour a light-adapted motile dinoflagellate species such as *K. mikimotoi*. In 2020, the highest chlorophyll fluorescence was observed when there was a sharp well-defined thermocline; conditions that were observed following downwelling-favourable winds (August 31 and September 16). Upwelling conditions resulted in not only a more diffuse thermocline, but a more diffuse vertical distribution of fluorescence as well.

Blooms of the genus *Karenia* are commonly found in coastal regions with strong horizontal density fronts, and it

370 has been suggested that physical convergence is important to bloom formation (Brand et al. 2012). The allelopathy of *K. mikimotoi* increases significantly at high cell concentration (Li et al., 2019), so convergent physical processes may give this relatively slow growing species a competitive advantage. Downwelling-favourable winds drive convergence in bottom Ekman transport, which act to generate a bottom density front (Allen and Newberger, 1996). We observe a strong bottom temperature front following downwelling conditions in CCB (e.g., fig. 3b and 3k), consistent with strong physical

375 convergence in this region. The significant increase in moderate downwelling conditions during late summer may have provided a mechanism that has helped physically concentrate *K. mikimotoi,* allowing it to bloom. In contrast, upwelling-favourable conditions generate a surface front and bring nutrients from depth to the surface—conditions that are likely to favour faster growing, immotile species. Thus, the long-term shifts in summer wind patterns, combined with increases in water temperature may have resulted in an environment that is much more conducive to blooms of this new species. It is

380 worth noting that for large parts of the GOM southwest winds are upwelling-favourable, and the long-term reduction in summer upwelling conditions most likely has contributed to the unprecedented warming of surface waters in the region.

5.3 Physical Susceptibility to Hypoxia

The overall increase in thermal stratification combined with the significant increase in downwelling-favourable summer winds may have resulted in an environment that also is more physically susceptible to hypoxia. The data collected

385 in 2020 demonstrate that hypoxia develops where strong thermal stratification intersects the seafloor, suppressing vertical mixing and confining the BOD to a very thin BBL (Fennel et al. 2016). The thinnest BBLs and strongest local thermoclines in 2020 were observed following moderate downwelling conditions (e.g., fig. 4), which helps explain why the overall volume of hypoxic water was reduced following upwelling conditions and increased following downwelling (e.g., fig. 3). Irrespective of whether the remineralization of organic matter occurs primarily in the water column or via benthic

respiration, a thin BBL effectively concentrates BOD over a smaller volume of water, resulting in a more rapid drawdown of bottom DO. Upwelling brings bottom waters into shallower water where greater vertical mixing can take place, potentially ventilating hypoxic bottom waters. We hypothesize that more frequent downwelling events interrupt this process and promote an environment that is more susceptible to hypoxia due to intense near bed stratification. Even though strong

395 downwelling winds eventually mixed the water column ending hypoxia in both 2019 and 2020, long-term increases in moderate downwelling-favourable winds during the summer, combined with increased overall levels of thermal stratification, may enhance hypoxia by favouring a deep thermocline and thin BBL. The fact that such a thin BBL can be maintained in southern CCB is likely related to the fact that it is a semi-enclosed embayment, which limits the near bed currents and hence the bottom stress. Along open coastlines, the along-shelf bottom stress evolves to balance the along-shelf

400 wind stress to first order (Lentz and Fewings, 2012). However, in CCB the presence of the shoreline prevents this acceleration, and the wind stress can be balanced by the sea-level set-up to first order, significantly reducing the bottom stress. This weak bottom stress, and the corresponding reduction in vertical mixing, is likely a key reason why hypoxia can develop in this environment.

6 Conclusion

405   For two consecutive summers, bottom waters in CCB have become hypoxic. This unprecedented occurrence appears to be the result of a change in physical conditions that favours the growth of *K. mikimotoi*, a bloom forming dinoflagellate linked to hypoxia in a number of other coastal environments. Increased water temperatures and thermal stratification, combined with more frequent downwelling-favourable winds, have created an environment where strong vertical stratification is maintained very close the seabed. This results in conditions that are more physically susceptible to

410 hypoxia and may allow certain phytoplankton to more effectively utilize the reservoir of deep sub-pycnocline nutrients. These dramatic changes in CCB illustrate how the complex response to climate change can significantly alter bottom oxygen dynamics and play an important role in controlling the floral composition of a coastal marine ecosystem.

Data Availability

All of the data presented in this manuscript are available upon request. Please direct all inquiries to the corresponding author.

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