Technical note: Common ambiguities in plant hydraulics

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Abstract. Plant hydraulics gains increasing interest in plant eco-physiology and vegetation modeling. However, the hydraulic properties and profiles are often improperly represented thus leading to biased results and simulations, e.g., the neglection of gravitational pressure drop results in overestimated water flux. We highlight the commonly seen ambiguities and/or misunderstandings in plant hydraulics, including (1) distinction between water potential and pressure, (2) differences among hydraulic conductance and conductivity, (3) xylem vulnerability curve formulations, (4) stomatal model representations, (5) bias from analytic estimations, (6) whole plant vulnerability, and (7) neglected temperature dependencies. We recommend careful thinking before using or modifying existing definitions, methods, and models.

1 Introduction

Plant hydraulics gains increasing interest in understanding plants' responses and acclimation/adaptation to the environment (Santiago et al., 2004; McDowell et al., 2008; McDowell, 2011; Meinzer et al., 2010; Allen et al., 2010; Anderegg et al., 2012, 2016; Gleason et al., 2016; Wang et al., 2021a; Liu et al., 2021) and modeling canopy carbon and water fluxes within vegetation and land surface models (Buckley and Mott, 2013; Manzoni et al., 2013; McDowell et al., 2013; Sperry et al., 2017; Kennedy et al., 2019; Liu et al., 2020; Wang et al., 2020, 2021b; Sabot et al., 2022). However, xylem hydraulic properties and flow pressure profile are often improperly represented, due to the ambiguities and misunderstandings of various plant hydraulic parameters, though the plant hydraulic models used in topical research are already dramatically simplified compared to a complicated hydraulic architecture (Tyree and Ewers, 1991). For instance, distinctions between (a) water potential and pressure, (b) hydraulic conductance and conductivity, and (c) division and derivative are often not recognized. Further, the pursuit of simplicity, analytical solution, and novelty consequently results in modifications of known and well tested functional forms. However, while researchers should be encouraged to try "new" approaches, it is important to keep in mind whether these changes or new methods (a) are correct and (b) need to be tested before moving forward. Any research violating the two principles would be unwarranted, not matter how "reasonable" they appear to be.

For example, regarding the modeling of plant hydraulics, since Wolf et al. (2016) and Sperry et al. (2017) advanced the stomatal optimization theory (Cowan and Farquhar, 1977) by quantifying hydraulic risk under a general gain-risk optimization framework, an increasing number of new models or variants have been developed (e.g., Anderegg et al., 2018; Dewar et al., 2018; Eller et al., 2018; Wang et al., 2020, 2021a; Chen et al., 2022); and many plant hydraulics-based models show predictive skills comparable to statistical methods (Anderegg et al., 2018; Venturas et al., 2018; Eller et al., 2020; Wang et al., 2020;

Sabot et al., 2022). Nevertheless, these tested models are not always replicated correctly as researchers tend to mutate the formulations and sometimes hypotheses, such as the neglect of the rhizosphere component that plays an important role in drought stress conditions (Sperry et al., 1998; Sperry and Love, 2015; Sperry et al., 2016; Wang et al., 2020). Although the

30   modifications often resemble tested models, they are often used without being thoroughly tested. Reasons behind the lack of model testing include (a) there is not yet a well established method or database to conveniently benchmark the new model variants, (b) research that focus on varying the formulations and testing the variants are not encouraged by reviewers due to lack of novelty, and (c) one may not recognize the changes or differences that have been made. Here, we list some common ambiguities and misunderstandings in plant hydraulics, and recommend careful thinking before using or modifying existing

35   definitions, methods, and models.

2   Water potential and pressure

Water potential and pressure used in plant hydraulics are both defined as a difference from a reference value: water potential (often denoted as Ψ or ψ in literature) is typically defined as the difference from the potential of pure water in the soil, and water pressure (often denoted as P or p) is typically defined as the difference from the environmental air pressure. Water potential

40   gradients drive water flow through permeable media such as xylem conduits, while water pressure difference between xylem water and surrounding air is responsible for air-seeded conduit cavitation (Sperry and Tyree, 1988; Tyree and Sperry, 1989). Although the Δ values of the two are often interchangeable in many scenarios (e.g., when there is no height change or external air pressure), one needs to be cautious to avoid ambiguity. Note that flow rate calculation from hydraulic conductance, or vice versa, should use water potential (equation 1). For instance, for a 100 m tall tree with no transpiration, leaf water potential is

45   equal to soil water potential; however, leaf xylem water pressure would be approximately 1 MPa more negative than xylem pressure at the tree base; and using pressure drop here to derive flow rate will be incorrect when there is height change. On the other hand, calculation of hydraulic dysfunction caused by xylem cavitation should use water pressure (ΔP between xylem water and surrounding air). In this case, using leaf water potential to estimate xylem conductance loss is incorrect, as there is not a unique conductance for a given water potential.

50   The ambiguity in potential and pressure results in inaccurate terminology in the literature, for example leaf water potential and vulnerability curve. *Leaf water potential* is often estimated using the pressure chamber method (Scholander et al., 1964; Boyer, 1967). However, the term "potential" is not accurate here, as the pressure chamber method gives the applied *pressure* at the free meniscus of the cut end. For example, for a tree with no transpiration, leaf water potential equals soil water potential; however, the measured "potentials" values differ for leaves at different heights because of the gravity. The fact is that the

55   measured "potential" value from pressure chambers does not have a gravity term in it, and it is more accurate to treat it as an equivalent pressure, say leaf water pressure at the end of water flow. Similar logic applies to xylem water potential and xylem water pressure, and so does the thermocouple psychrometers method (Boyer and Knipling, 1965; Boyer, 1968). Given that water potential does not properly represent *in vivo* water pressure, one should avoid using the term water potential to describe plant's vulnerability curve.

3   Hydraulic conductance and conductivity

Hydraulic conductance (k) and conductivity (K) are also often confused in the literature (e.g., Kannenberg et al., 2019; Cardoso et al., 2020; Li et al., 2021). Hydraulic conductance (flow rate divided by potential difference) and electrical conductance (current divided by voltage difference) are bulk properties, and the two are analogous. However, while electrical conductivity is the intrinsic property of a uniform material, hydraulic conductivity is a bulk property given the nonuniform structure of the tissue (e.g., only the sapwood is hydraulically conductive). The most widely used definitions for conductance and conductivity are: (a) hydraulic conductance (namely k) is the ratio between flow rate through the segment (E) and potential difference (ΔP), and segment length (L) and area not accounted for by k, (b) hydraulic conductivity (namely K) is the ratio between flow rate and potential gradient (area not accounted for), (c) sapwood area specific hydraulic conductivity (K_S) is the ratio between hydraulic conductivity and xylem sapwood area (A_S), and (d) leaf area specific hydraulic conductivity (K_L) is the ratio between hydraulic conductivity and leaf area the xylem supports (A_L):

$$k = \frac{E}{\Delta \Psi}, \tag{1}$$

$$K = \frac{E}{\Delta \Psi / L} = k \cdot L, \tag{2}$$

$$K_S = \frac{K}{A_S}, \tag{3}$$

$$K_L = \frac{K}{A_L}. \tag{4}$$

Note that only K_S and K_L are per unit conducting area, and thus can be treated as "intrinsic" properties for comparison purpose: K_S for sapwood water permeability and K_L for leaf water supply capability. However, K_L may not best describe leaf water supply capability. For example, if two branches have the same K_S, leaf area, and sapwood area, but only differ in their length, the computed K and K_L would be the same for the two branches even though the actual leaf water supply capabilities differ. In comparison, conductance of the entire branch divided by leaf area of the branch, i.e., *leaf area specific hydraulic conductance* (k_L) as inspired by leaf area specific whole plant hydraulic conductance, would be a better measure for leaf water supply. The k_L can be estimated using

$$k_L = \frac{k_{branch}}{A_L}, \tag{5}$$

where k_{branch} is hydraulic conductance of the *entire* branch (not a stem segment).

4   Xylem vulnerability curve (VC)

Various formulas have been used to represent xylem VC, and the three most common ones are Weibull cumulative probability function (equation 6) (e.g., Sperry et al., 2016; Love et al., 2019), logistic function (equation 7) (e.g., Feng et al., 2018; Huber

et al., 2019), and power function (equation 8) (e.g., Eller et al., 2018; Liu et al., 2020):

$$\frac{k}{k_{\max}} = \exp\left[-\left(\frac{-P}{B}\right)^C\right] = 2^{-\left(\frac{P}{P_{50}}\right)^C}, \tag{6}$$

$$\frac{k}{k_{\max}} = 1 - \frac{1}{1 + a \cdot \exp(b \cdot P)} = 1 - \frac{1}{1 + \exp[b \cdot (P - P_{50})]}, \tag{7}$$

$$\frac{k}{k_{\max}} = \frac{1}{1 + m \cdot (-P)^n} = \frac{1}{1 + \left(\frac{P}{P_{50}}\right)^n}, \tag{8}$$

where B, C, a, b, m, and n are vulnerability function parameters, and P_{50} is the water pressure at which the tissue loses 50% of its conductance. Note that there are also more complex VC formulations based on the three, such as dual-Weibull function used in hydraulic fiber bridge (Cai et al., 2014; Pan and Tyree, 2019) and cavitation fatigue (Feng et al., 2015; Zhang et al., 2018).

We should be aware that the logistic VC function (equation 7, or formulation based on it) does not always start from 1 when $P = 0$. This problem is minor for sigmoidal VCs (s-shaped); however, the offset at $P = 0$ could introduce bias if the VC becomes more exponential (r-shaped, see Fig. 5 of Huber et al. (2019) for an example). In this case, fitting VC using equation 7 would result in overestimated $k_{\max}$ and less negative P_{50}. Thus, equation 7 should be rescaled to minimize the bias, and the modified formulation is

$$\frac{k}{k_{\max}} = \frac{a \cdot \exp(b \cdot P)}{1 + a \cdot \exp(b \cdot P)} \cdot \frac{1 + a}{a} = \frac{(1 + a) \cdot \exp(b \cdot P)}{1 + a \cdot \exp(b \cdot P)}, \tag{9}$$

$$P_{50} = -\frac{\log(2 + a)}{b}. \tag{10}$$

5 Division and derivative

A common mistake when using plant hydraulics-based models is that one does not follow the original model formula or hypothesis. For example, the Sperry et al. (2017) model defines the risk of stomatal opening (Θ) as

$$\Theta = A_{\max} \cdot \left[1 - \frac{k_{\text{canopy}}(P_{\text{canopy}})}{k_{\text{canopy,ref}}}\right], \tag{11}$$

$$k_{\text{canopy}} = \frac{dE}{dP}, \tag{12}$$

where $A_{\max}$ is the maximal achievable photosynthetic rate at the given setting, P_{canopy} is the leaf xylem end water pressure in the canopy, and $k_{\text{canopy,ref}}$ is the maximum k_{canopy} when transpiration rate is 0. Note here that k_{canopy} is the derivative of a water supply curve at given soil and canopy water potentials, and $k_{\text{canopy}}/k_{\text{canopy,ref}}$ is different from (a) relative conductance of root, stem, or leaf xylem (i.e., k/k_{ref}, where k_{ref} is the maximum k at a reference xylem pressure), and (b) relative whole-plant hydraulic conductance ($k_{\text{plant}} = E/\Delta\Psi$). However, model descriptions from various sources may be contrasting: Sperry et al. (2017) expressed their risk in derivative form; Eller et al. (2018) expressed their risk calculations in three completely

different ways including division (their equation 2.3), derivative (their equation 2.6), and point estimation (their equation 2.8); Mencuccini et al. (2019) interpreted the two models based on k_{plant}; Wang et al. (2020) interpreted the two models based on

115   the derivative forms. See Fig. 1 for how the three quantities differ.
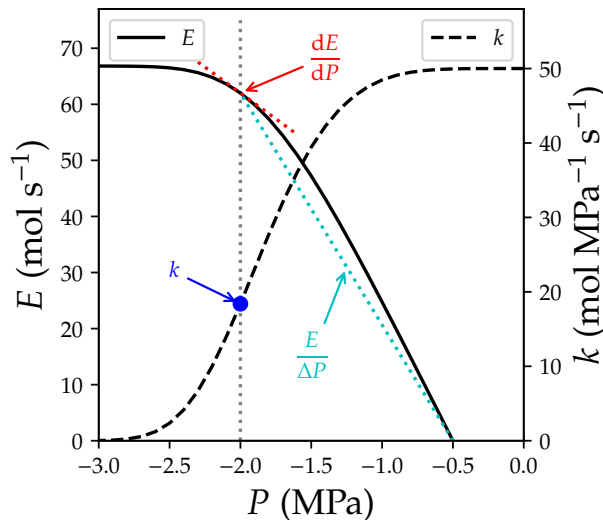
Figure 1. Difference between quantities used in plant hydraulics. E: flow rate through the xylem, P: xylem water pressure, k xylem hydraulic conductance. The simulation is done for a plant with soil water potential of -0.5 MPa, no gravity term, and no drought legacy effect from previous xylem embolism.

For a xylem that does not have height change or VC segmentation, $\mathrm{d}E/\mathrm{d}P = k(P)$. Otherwise, using $k(P)$ to proxy $\mathrm{d}E/\mathrm{d}P$ could result in biases, particularly when gravity is not negligible and when tissue VCs differ dramatically (Sperry et al., 2016; McCulloh et al., 2019). Using the parameters of a real plant as an example (data from Wang et al., 2019), it is obvious that none of the root, stem, leaf, or whole-plant hydraulic conductance is a good $\mathrm{d}E/\mathrm{d}P$ proxy (Fig. 2). Therefore, researchers should

120   test the models that differ from the original forms. To note, the primary reason that Sperry et al. (2017) used $\mathrm{d}E/\mathrm{d}P$ was to account for the VC segmentation. Using stem VC (easiest to measure; typically more resistant than roots and leaves) to proxy root and leaf VCs in stomatal models would likely result in less sensitive stomatal response to environmental stimuli such as soil moisture (Fig. 2).

6   Analytic solution and estimation

125   The pursuit of simplicity and analytic solution often leads to biased results, for example, using leaf or stem VC as a proxy for $\mathrm{d}E/\mathrm{d}P$ (e.g., Fig. 2) and ignoring the impact of gravity (e.g., Fig. 3). As a result, it is important to distinguish true analytic *solution* from analytic *estimation*. For example in a xylem water supply curve, when gravitational pressure drop is neglected, flow rate at a given canopy water pressure will be overestimated (Fig. 3). The more the height changes, the more E
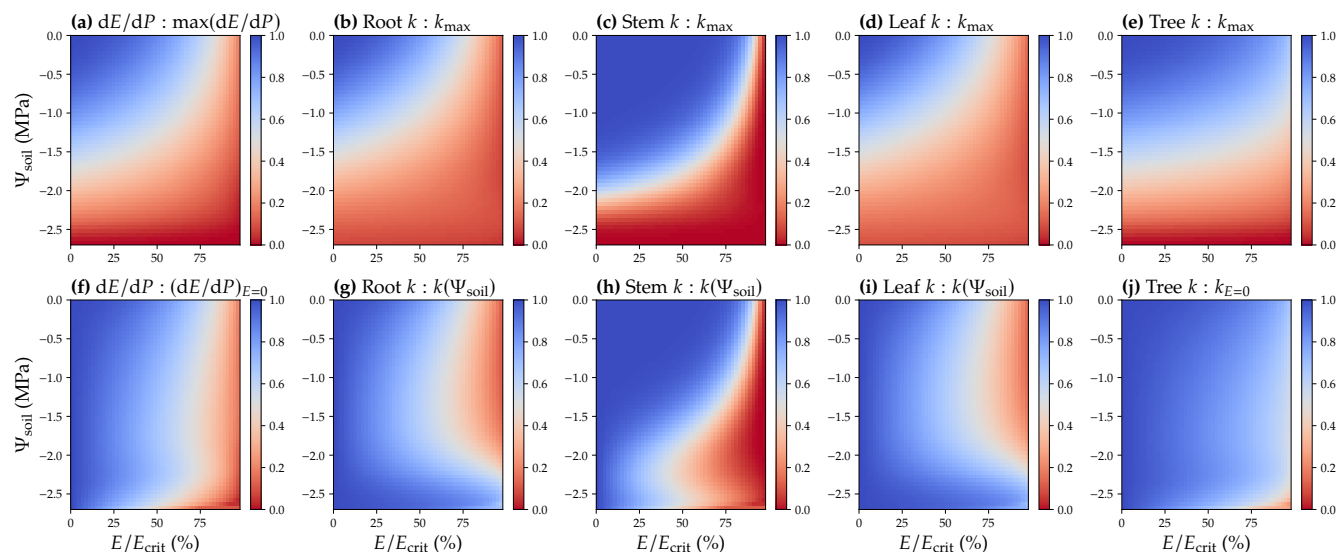
Figure 2. Comparison of different risk measures of stomatal opening. **(a)–(e)** Values are relative to the maximum when soil water potential is 0 and transpiration rate is 0. **(f)–(j)** Values are relative to the maximal when transpiration rate is 0 at the given soil water potential. Ψ_{soil}: soil water potential, E: transpiration rate of the whole plant, E_{crit}: maximum transpiration rate of the whole plant beyond which the plant desiccate, $\text{d}E/\text{d}P$: derivative of a water supply curve (a plot of E vs. top of canopy xylem water pressure, P), k: hydraulic conductance at a given xylem water pressure, k_{max}: maximum k when there is no cavitation. The simulation is done assuming there is no drought legacy effect from previous xylem embolism. For the simulation, the plant has a root:stem:leaf resistance ratio of 2:1:1; root and stem height change are 1 and 10 m, respectively; VCs are represented using a Weibull function; Weibull B in MPa and C are 1.879 and 2.396 for root, 2.238 and 9.380 for stem, and 1.897 and 2.203 for leaf (data from Wang et al., 2019).

is overestimated (Fig. 3). The absolute value of E overestimation decreases with more negative soil water potential, whereas the

130   relative E overestimation increases with more negative soil water potential (Fig. 3). Thus, given the potentially great biases, it is recommended to verify any analytic or numerical estimations against true numerical solution before using them in research.

7   Whole-plant vulnerability "curve"

The ideas of whole-plant conductance and VC largely advance the understanding of how plant traits coordinate as they provide a simple way to correlate different traits (see McCulloh et al. (2019) for an overview). Whole-plant hydraulic conductance

135   (k_{plant}) depends on not only the upstream water potential (namely Ψ_{soil}) but also the downstream water potential (Ψ_{canopy}):
$k_{\text{plant}} = f(\Psi_{\text{soil}}, \Psi_{\text{canopy}}) = \dfrac{E}{\Psi_{\text{canopy}} - \Psi_{\text{soil}}}$. However, one should be aware of the hidden assumptions when using the term *whole-plant hydraulic conductance* (or any similar terms): upstream water potential (soil water potential in this scenario) is the same everywhere, and downstream water *potential* (leaf water potential in this scenario) is the same everywhere, regardless of plant height, canopy light conditions, and root/stem/leaf network. Therefore, in the practice of modeling or research, the
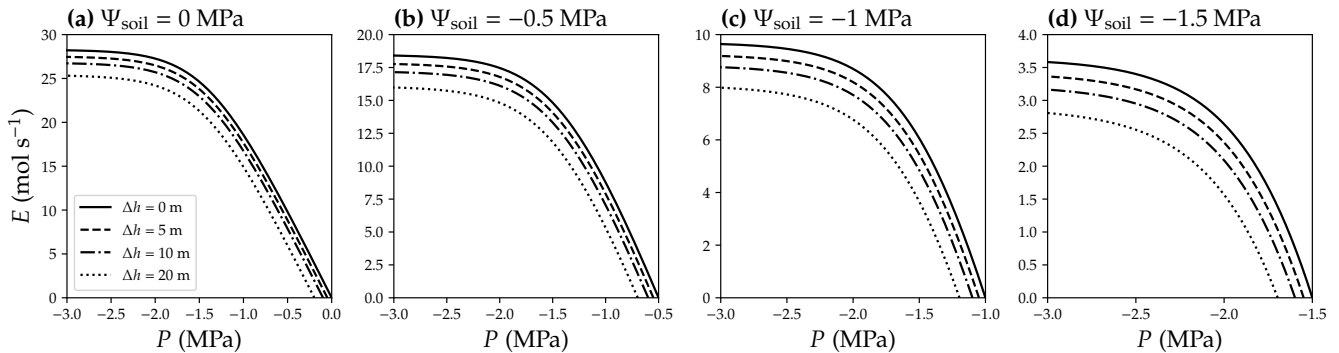
Figure 3. Water supply curve at different soil water potential for xylem with different height change. E: xylem flow rate, P: xylem water pressure. **(a)–(d)** Water supply curves when soil water potential is 0, -0.5, -1, and -1.5 MPa, respectively. The simulation is done assuming there is no drought legacy effect from previous xylem embolism.

140 two assumptions are barely met. Further, note that k_{plant} is a bulk parameter from root to leaves, and xylem water pressure and xylem hydraulic conductance are profiles rather than being constant along the flow path. Therefore, by definition, there is not a whole-plant vulnerability *curve*; instead, $f(\Psi_{\text{soil}}, \Psi_{\text{canopy}})$ is a whole-plant vulnerability *surface* (Fig. 4). It is obvious that none of Ψ_{soil}, P_{canopy}, or a mean pressure can predict a unique k_{plant} (although the change of k_{plant} is relatively smaller for the mean pressure; dotted line in Fig. 4). Further, drought legacy effect from previous non-refillable xylem embolism (Anderegg et al.,

145 2015) would further complicate the scenario as the "surface" changes with drought legacy. Therefore, it is not recommended to use the term *whole-plant vulnerability curve* in research.

8 Temperature effects

When modeling plant hydraulics, the temperature effects on viscosity (η), surface tension (γ), and osmotic potential are typically ignored. However, when water temperature decreases from 25 °C to 10 °C (298.15 K to 283.15 K), (1) viscosity of water

150 increases by 43.8%, meaning a > 40% increase in pressure drop along the flow path for a given flow rate; (2) surface tension of water increases by 3.1%, meaning that capillary force withholding the air-water interface at the pit membrane increases by 3.1% for a given curvature radius (xylem becomes more resistant to cavitation) and that soil metric potential becomes 3.1% more negative for a given soil water content; (3) soil osmotic water potential would be 5.0% less negative for a given ion concentration. Therefore, a more reasonable way to describe a xylem VC (e.g., using Weibull function) should be:

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$$k = k_{\text{max,25}} \cdot \frac{\eta_{25}}{\eta} \cdot \exp\left[-\left(\frac{-P}{B_{25}} \cdot \frac{\gamma_{25}}{\gamma}\right)^{C_{25}}\right], \tag{13}$$

where the subscript $_{25}$ denotes the values are at a reference temperature of 25 °C. In other words, k_{max} needs to be scaled to $k_{\text{max,25}} \cdot \eta_{25}/\eta$, and P needs to be scaled to $P \cdot \gamma_{25}/\gamma$.
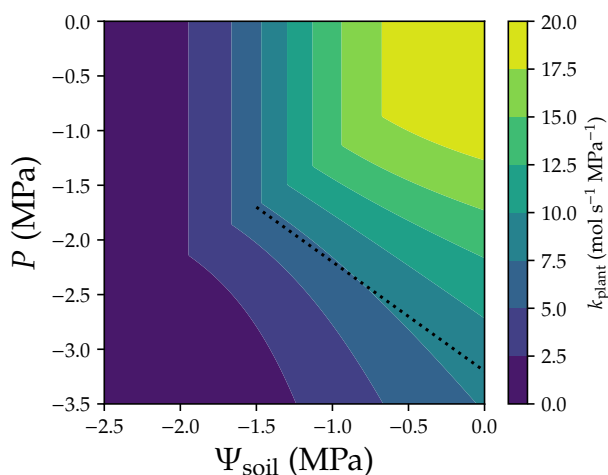
Figure 4. Whole-plant vulnerability surface. Whole-plant hydraulic conductance is computed using $k_{\text{plant}} = \dfrac{E}{\Psi_{\text{soil}} - \Psi_{\text{canopy}}}$, where Ψ_{soil} is soil water potential and Ψ_{canopy} is canopy water potential. Ψ_{canopy} differs from canopy xylem water pressure (P) in that P is more negative than Ψ_{canopy} by the gravitational pressure drop. The dotted line plots the scenario when mean xylem water pressure is -1.6 MPa. The simulation is done assuming there is no drought legacy effect from previous xylem embolism.

9 Conclusions

Plant hydraulics is often improperly represented in research, potentially resulting in ambiguities to those who are not familiar
160 with the terminologies. This paper documents differences among commonly seen ambiguous and miscellaneous terms that are often not recognized, and the mistakes and misunderstandings researchers may make when using established methods and models. The mathematics and visualizations of the documented items will help researchers in their research and teaching associated with plant hydraulics.

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165 *Competing interests.* No competing interests

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