- 1 Assessing improvements in global ocean pCO<sub>2</sub> machine learning reconstructions with
- 2 Southern Ocean autonomous sampling
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#### Abstract

The Southern Ocean plays an important role in the exchange of carbon between the atmosphere 11 12 and oceans, and is a critical region for the ocean uptake of anthropogenic CO<sub>2</sub>. However, estimates of the Southern Ocean air-sea CO<sub>2</sub> flux are highly uncertain due to limited data coverage. Increased 13 14 sampling in winter and across meridional gradients in the Southern Ocean may improve machine learning (ML) reconstructions of global surface ocean pCO<sub>2</sub>. Here, we use a Large Ensemble 15 16 Testbed (LET) of Earth System Models and the pCO<sub>2</sub>-Residual reconstruction method to assess improvements in pCO<sub>2</sub> reconstruction fidelity that could be achieved with additional autonomous 17 18 sampling in the Southern Ocean added to existing Surface Ocean CO<sub>2</sub> Atlas (SOCAT) 19 observations. The LET allows for a robust evaluation of the skill of pCO<sub>2</sub> reconstructions in space 20 and time through comparison to 'model truth'. With only SOCAT sampling, Southern Ocean and global pCO<sub>2</sub> are overestimated, and thus the ocean carbon sink is underestimated. Incorporating 21 22 Uncrewed Surface Vehicle (USV) sampling increases the spatial and seasonal coverage of 23 observations within the Southern Ocean, leading to a decrease in the overestimation of pCO<sub>2</sub>. A 24 modest number of additional observations in southern hemisphere winter and across meridional 25 gradients in the Southern Ocean leads to improvement in reconstruction bias and root-mean squared error (RMSE) by as much as 95 % and 16 %, respectively, as compared to SOCAT 26 sampling alone. Lastly, the large decadal variability of air-sea CO<sub>2</sub> fluxes shown by SOCAT-only 27 sampling may be partially attributable to undersampling of the Southern Ocean. 28

## 1. Introduction

The ocean plays an important role in mitigating climate change by sequestering anthropogenic carbon emissions. From 1850 to 2023, the oceans have removed a total of 180 ± 35 Gt of carbon (Friedlingstein et al., 2023). In order to fully understand the climate impacts from rising emissions, it is essential to accurately quantify the air-sea CO<sub>2</sub> flux and the global ocean carbon sink in space and time. The Surface Ocean CO<sub>2</sub> ATlas (SOCAT; Bakker et al., 2016) is the largest global database of surface ocean CO<sub>2</sub> observations, with data starting in 1957. The main synthesis and gridded products contain over 33 million high-quality direct shipboard measurements of fCO<sub>2</sub> (fugacity of CO<sub>2</sub>) with an uncertainty of < 5 μatm (Bakker et al., 2022). However, due to limited resources for ocean observing, limited number of ships/routes, inaccessible regions and unsafe waters, the database covers only about 1% of the global ocean at monthly 1°x1° spatial resolution over the period of 1982-2023, and is highly biased towards the northern hemisphere.

Mapping methods have been developed to estimate full-coverage surface ocean pCO<sub>2</sub> across space and time by extrapolating to global coverage from these sparse SOCAT observations (e.g., Landschützer et al., 2014; Rödenbeck et al., 2015; Gloege et al., 2022; Bennington et al., 2022a,b). Most of these data products utilize machine learning (ML) algorithms to estimate a nonlinear function between a suite of driver variables (i.e., sea surface temperature - SST, sea surface salinity - SSS, mixed layer depth - MLD, Chlorophyll - Chl-a, xCO<sub>2</sub> - atmospheric CO<sub>2</sub>) and surface ocean pCO<sub>2</sub> (the target variable) where these are co-located. The driver variables are proxies for processes influencing ocean pCO<sub>2</sub>. Full-coverage driver variable datasets are then processed through these ML algorithms to produce estimated global full-coverage surface ocean pCO<sub>2</sub>. Since the data products rely on pCO<sub>2</sub> observations to estimate functions between the target and driver variables, data sparsity remains a fundamental limitation to this technique.

It has been suggested that targeted sampling from autonomous platforms combined with ships, filling in the state space of pCO<sub>2</sub>, represents a path forward to improve surface ocean pCO<sub>2</sub> reconstructions (Bushinsky et al., 2019; Gregor et al., 2019; Gloege et al., 2021; Djeutchouang et al., 2022; Landschützer et al., 2023; Hauck et al., 2023). One major obstacle, however, is that the indirect pCO<sub>2</sub> estimates from floats have high uncertainties ( $\pm$  11.4  $\mu$ atm) and may be biased by as much as ~ 4  $\mu$ atm (Bakker et al., 2016; Williams et al., 2017; Fay et al., 2018; Gray et al., 2018; Sutton et al., 2021; Mackay and Watson 2021; Wu et al 2022). These large uncertainties and biases

arise when pCO<sub>2</sub> is not measured directly as in the observations included in SOCAT, but is rather estimated using measurements of pH combined with a regression-derived alkalinity estimate (Williams et al., 2017; Gray et al., 2018). SOCAT includes only direct pCO<sub>2</sub> observations. Biases and uncertainties may have large impacts on global air-sea CO<sub>2</sub> flux estimates, given that the global mean air-sea disequilibrium is only 5-8 μatm (McKinley et al., 2020). It is therefore critical that bias and uncertainty corrections are well-constrained over different oceanic conditions and over time.

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Uncrewed Surface Vehicles (USVs), such as those manufactured and maintained by Saildrone Inc., represent a new type of autonomous platform that can obtain direct pCO<sub>2</sub> observations with significantly lower uncertainties compared to other autonomous methods, and equivalent to the highest-quality shipboard measurements contained in SOCAT (± 2 μatm; Sabine et al., 2020; Sutton et al., 2021). Such improvements in sampling are critically important in the undersampled Southern Ocean. This region is fundamental in terms of the ocean's ability to remove carbon from the atmosphere, being responsible for ~ 40% of the global ocean uptake of anthropogenic CO<sub>2</sub> (Khatiwala et al., 2009). Improved data coverage in the Southern Ocean represents thus a major opportunity to advance our understanding of the global ocean carbon sink (Lenton et al., 2006, 2013; Takahashi et al., 2009; Monteiro et al., 2015; Gregor et al., 2019; Gray et al., 2018; Mongwe et al., 2018; Bushinsky et al., 2019; Sutton et al., 2021; Long et al., 2021; Mackay et al., 2022; Wu et al., 2022; Landschützer et al., 2023; Hauck et al., 2023). A combination of SOCAT and Saildrone USV observations would include high-accuracy data from both the long record and global coverage of ship tracks, and the expanded finer resolution of spatial and seasonal coverage of the poorly sampled Southern Ocean. Importantly, Saildrone USVs are also able to cover the spatial extent and seasonal cycle of the meridional gradients, which has been shown to be critical in order to reduce errors in reconstructing surface ocean pCO<sub>2</sub> (Djeutchouang et al., 2022). A combined approach, with autonomous samples such as those obtained from Saildrone USVs, in addition to high-quality observations collected from ships, represents thus a promising solution to improve surface ocean pCO<sub>2</sub> ML reconstructions.

Here, we assess to what extent surface ocean pCO<sub>2</sub> reconstructions can improve by implementing the pCO<sub>2</sub>-Residual machine learning (ML) reconstruction (Bennington et al., 2022a) with the combined inputs of SOCAT and Saildrone USV coverage. However, instead of using real-

world observations, we sample the target (i.e., surface ocean pCO<sub>2</sub>) and driver variables (i.e., SST, SSS, MLD, Chl-a and xCO<sub>2</sub>) from our Large Ensemble Testbed (LET) of Earth System Models (ESMs) (e.g., Stamell et al., 2020; Gloege et al., 2021; Bennington et al., 2022a). There are two major benefits of using a testbed compared to actual observations. First, in an ESM, the surface ocean pCO<sub>2</sub> field is provided precisely at all model times and 1°x1° points. Therefore, the pCO<sub>2</sub> reconstructed by the ML algorithm can be robustly evaluated in space and time against a known 'truth' (i.e., 'model truth'). The reconstruction evaluation is thus not limited to the availability of sparse real-world ocean observations. Secondly, a testbed can be used to plan and evaluate the impact of different sampling strategies on the reconstructed pCO<sub>2</sub>. It is important to stress that, by using a model testbed, we do not predict real-world surface ocean pCO<sub>2</sub> and air-sea CO<sub>2</sub> fluxes. The goal here is to assess the accuracy with which an ML algorithm can reconstruct the 'model truth' given inputs of samples consistent with real-world data coverage from the SOCAT database and Saildrone USVs.

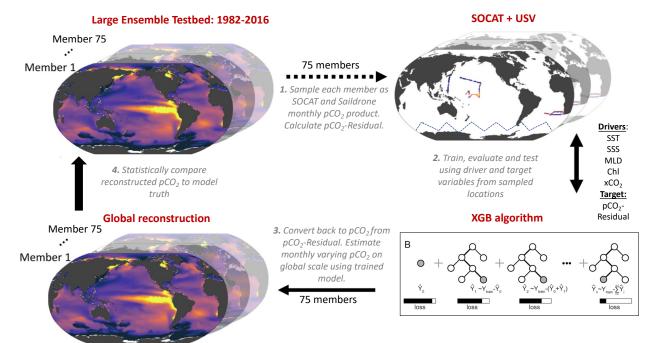
By utilizing the observational coverage of SOCAT and Saildrone USV transects, we assess to what extent the pCO<sub>2</sub>-Residual method accurately reconstructs model surface ocean pCO<sub>2</sub> in space and time. We test the impact of two different USV Southern Ocean sampling schemes, the first based on a sampling campaign completed in 2019 (Sutton et al., 2021), and the second on logistically feasible potential future meridional sampling. Additionally, we explore the timing, magnitude, duration and spatial extent of Southern Ocean USV sample additions that most significantly improve the pCO<sub>2</sub> predictions. Combined, the sampling patterns tested here complements previous studies exploring the impact of additional sampling in the Southern Ocean based on idealized full global coverage of floats, and float observations from recent deployments, including the Southern Ocean Carbon and Climate Observations and Modeling (SOCCOM) project, moorings and sailboats (Bushinsky et al., 2019; Denvil-Sommer et al., 2021; Djeutchouang et al., 2022; Hauck et al., 2023; Behncke et al., 2024; Landschützer et al., 2023).

#### 2. Methods

117 2.1 The Large Ensemble Testbed (LET)

In this study, the Large Ensemble Testbed (LET) includes 25 members from three independent initial-condition ensemble models (i.e., CanESM2, CESM-LENS and GFDL-ESM2M; Kay et al., 2015; Rodgers et al., 2015; Fyfe et al., 2017), giving a total of 75 members within the testbed. We do not use the MPI-GE model that was included in the past LET studies because its Southern Ocean pCO<sub>2</sub> seasonality and decadal variability appear to be anomalously large (Gloege et al., 2021; Fay and McKinley, 2021; Bennington et al., 2022a). Each individual Earth System Model (ESM) is an imperfect representation of the actual Earth system, so the multiple Large Ensembles are used to span different model structures and their representation of internal variability. Each ensemble member undergoes the same external forcing (i.e., historical atmospheric CO<sub>2</sub> before 2005 and Representative Concentration Pathway 8.5 through 2016, plus solar and volcanic forcing), but the spread across the ensemble members gives a unique trajectory of the ocean-atmosphere state over time, i.e., a different state of internal variability as well as the difference across models.

The LET used in this study includes monthly 1°x1° model output from 1982-2016 (Gloege et al., 2021). For each individual ensemble member of the LET, surface ocean pCO<sub>2</sub> and co-located driver variables (i.e., SST, SSS, Chl-a, MLD, xCO<sub>2</sub>) were sampled monthly at a 1°x1° resolution, at times and locations equivalent to SOCAT and Saildrone USV observations (**Fig. 1**; Step 1). While the SOCAT observations were sampled from the testbed matching the actual years of sampling, the USV observations were sampled from the testbed starting in 2007 (for ten-year sampling) or 2012 (for five-year sampling) (see **Sect. 2.4**). As our focus is on reconstruction for the open ocean, testbed output for coastal areas, the Arctic Ocean (>79°N) and marginal seas (Hudson Bay, Caspian Sea, Black Sea, Mediterranean Sea, Baltic Sea, Java Sea, Red Sea and Sea of Okhotsk) were removed prior to algorithm processing.



**Figure 1:** Schematic of the Large Ensemble Testbed (LET; modified from Gloege et al., 2021). **1:** Surface ocean pCO<sub>2</sub> from each of the 75 model members is sampled in space and time mimicking real-world SOCAT and Saildrone USV observations (see **Fig. 2; Table 1; Section 2.5**). Prior to algorithm processing, pCO<sub>2</sub>-Residual is calculated (**Section 2.2**). **2:** The pCO<sub>2</sub>-Residual (target variable) and co-located driver variables (i.e., SST, SSS, MLD, Chl, xCO<sub>2</sub>) sampled from the testbed are processed by the XGBoost (XGB) algorithm (**Section 2.3**). **3:** Based on the full-coverage of driver variables, pCO<sub>2</sub>-Residual is reconstructed globally. This process is repeated 75 times, individually for every single testbed model member. The temperature component (pCO<sub>2</sub>-T) is then added back to the pCO<sub>2</sub>-Residual for each value. **4:** The globally reconstructed pCO<sub>2</sub> is evaluated against the 'model truth' at all 1°x1° grid cells. SST = sea surface temperature. SSS = sea surface salinity. MLD = mixed layer depth. Chl = chlorophyll. xCO<sub>2</sub> = atmospheric concentration of CO<sub>2</sub>.

### 2.2 The pCO<sub>2</sub>-Residual approach

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We used the pCO<sub>2</sub>-Residual approach following Bennington et al. (2022a), which removes the well-studied direct effect of temperature on pCO<sub>2</sub> from the LET model output before algorithm processing. Temperature has both direct and indirect effects on surface ocean pCO<sub>2</sub>. The direct effect of temperature, due to solubility and chemical equilibrium, is that an increase in temperature directly causes an increase in pCO<sub>2</sub> (Takahashi et al., 1993). Indirectly, temperature changes are associated with biological production and wintertime vertical mixing; and these processes tend to result in opposing pCO<sub>2</sub> changes. To build reconstruction algorithms through the data-driven training that occurs in ML, the statistics in all other algorithms developed to date must identify a function that disentangles these competing effects of SST on pCO<sub>2</sub>. Here, the algorithm is assisted by removing this known temperature effect, and it must therefore only learn the pCO<sub>2</sub> impacts

from biogeochemical drivers. The pCO<sub>2</sub>-Residual method leads to physically understandable connections between the input data and output (Bennington et al., 2022a), which mitigates to some degree 'black box' concerns typically associated with ML algorithms (Toms et al., 2020). Bennington et al. (2022a) demonstrate higher skill for reconstructions using pCO<sub>2</sub>-Residual as the target variable as opposed to pCO<sub>2</sub> (Figure S1 in Bennington et al., 2022a), indicating that the removal of the temperature-driven component enhances the performance of the method. Further, the pCO<sub>2</sub>-Residual method has been shown to perform slightly better against independent observations than other common mapping methods (Bennington et al., 2022a). A brief description is provided here, but for further details see Bennington et al. (2022a).

The temperature-driven component of pCO<sub>2</sub> (pCO<sub>2</sub>-T) is calculated using this equation:

where pCO<sub>2</sub><sup>mean</sup> and SST<sup>mean</sup> is the long-term mean of surface ocean pCO<sub>2</sub> and temperature, respectively, using all 1°x1° grid cells from the testbed. Alternative sources of mean pCO<sub>2</sub> were assessed by Bennington et al. (2022a), but they found no significant impact on the test statistics or reconstructed pCO<sub>2</sub>. Once pCO<sub>2</sub>-T is determined, pCO<sub>2</sub>-Residual is calculated as the difference between pCO<sub>2</sub> and the calculated pCO<sub>2</sub>-T:

$$pCO_2-Residual = pCO_2 - pCO_2-T$$

Prior to algorithm processing, pCO<sub>2</sub>-Residual values  $> 250 \, \mu atm$  and  $< -250 \, \mu atm$  from the testbed were filtered out targeting values that are not representative of the real ocean. The majority of the pCO<sub>2</sub>-Residual values that were filtered out correspond to high pCO<sub>2</sub>, above the maximum value in SOCAT (816  $\, \mu atm$ ; Stamell et al., 2020). The excluded data points (less than 0.2 % per member) mostly occurred in output from the CanESM2 model, and were restricted geographically, predominantly along the western coastline of South America.

The eXtreme Gradient Boosting method (XGB; Chen and Guestrin, 2016) is used to develop an algorithm that allows driver variables (i.e., SST, SSS, Chl-a, MLD, xCO<sub>2</sub>) to predict the pCO<sub>2</sub>-Residual (**Fig. 1**; Step 2). The pCO<sub>2</sub>-Residual and associated feature variables is split into validation, training and testing sets. The test and validation set each account for 20 % of the data, leaving 60 % for training. The validation set is used to optimize the algorithm

hyperparameters, which define the architecture of decision trees used in the model. The training set is used to build the decision trees in XGB, while the test set is used to evaluate the performance of the final algorithm. The XGB algorithm for this study used 4,000 decision trees with a maximum depth of 6 levels, and this was fixed for all experiments (see **Supplementary Text A**). For the final reconstruction of surface ocean pCO<sub>2</sub> across all space and time points, the previously calculated pCO<sub>2</sub>-T values are added back to the reconstructed pCO<sub>2</sub>-Residual (**Fig. 1**; Step 3).

The full XGB process, including 1) training/evaluating/testing and 2) reconstructing globally at a monthly resolution, was repeated individually for each LET member. This process provided therefore a total of 75 unique reconstruction vs. 'model truth' pairs, which can be statistically compared (**Fig. 1**; Step 4).

## 2.3 Statistical Analysis in the Testbed

The statistical comparisons between the test set and the reconstructions are equivalent to what would be derived using real-world data ('seen' values). Here, we calculate error statistics based on the full reconstruction (pCO<sub>2</sub> from all 1°x1° grid cells of the testbed, except for those masked or filtered out). In the full reconstruction, ~ 99 % of the data do not correspond to SOCAT or Saildrone USV observations used to train the algorithm (Fig. S1). Training data would ideally be removed before performance evaluation, but since the training data represent only ~ 1 %, the impact of not removing them is negligible (Fig. S2). A suite of statistical metrics can be used to compare the reconstruction to the 'model truth' in order to assess how well the algorithm can extrapolate from sparse data to full-field coverage (Fig. 1; Step 4). In this study, we focus on bias and root-mean-squared error (RMSE). Bias is calculated as 'mean prediction – mean observation' (i.e., pCO<sub>2</sub> predicted by XGB subtracted by the pCO<sub>2</sub> 'model truth'), and is a measure of over- or underestimation in the reconstructions. RMSE measures the magnitude of the predicted error and is calculated as the square root of the mean of the squared errors. We focus our discussion on the mean across 75 members of the testbed for bias and RMSE. The spread across testbed ensemble members is non-negligible and will be the focus of future work; here, we present the testbed spread primarily in the **Supplement**.

# 2.4 Overview of sampling patterns and model runs

First, we sampled target and driver variables from the LET based on sampling distributions equivalent to that of the SOCAT database ('SOCAT-baseline'). Then, we combined the 'SOCAT-baseline' with testbed output representing additional Saildrone USV coverage in the Southern Ocean. The additional Southern Ocean coverage was based on 1) the Sutton et al. (2021) sampling campaign from 2019 ('one-latitude' track) and 2) realistic potential future meridional USV observations ('zigzag' track) (see Section 2.4.2; Fig. 2). We performed a total of 10 experimental runs (Table 1). These represent different sampling approaches, including: 1) repeating USV sampling over a five- or ten-year period, 2) varying the number of USVs and thus the total number of monthly 1°x1° observations, and 3) restricting all observations to southern hemisphere winter months. By comparing the different runs, we can assess whether or not certain targeted sampling strategies in the Southern Ocean can improve surface ocean pCO2 ML reconstructions. As discussed above, the LET runs to 2016 only (Gloege et al., 2021). Saildrone USV observations were therefore sampled from the testbed starting in year 2006 or 2007 (for the ten-year sampling) or 2012 (for the five-year sampling) until 2016, i.e., the final year of the testbed.

### 237 2.4.1 'One-latitude' runs

Six out of the ten experimental runs include the 'one-latitude' track (**Table 1**). The 2019 Saildrone USV journey (Sutton et al., 2021) covered an 8-month period, from January to August. Since the USV was recovered in early August, it did not cover the entire southern hemisphere winter (**Fig. S3**). We repeated this 'one-latitude' eight-month sampling pattern for five years ('5Y\_J-A'; 2,075 observations) and ten years ('10Y\_J-A'; 4,150 observations). To evaluate year-round ('YR') coverage, the eight-month sampling period (January-August) was shifted by one month each year for ten years ('10Y\_YR'; 4,150 observations). To evaluate the impact of increased sampling, the 2019 Saildrone USV track was repeated 12 times with incremental offsets of 1° from the original track, covering an additional 6° north and south (**Fig. S4**). This 'high-sampling'-run ('x13\_10Y\_J-A'; 44,250 observations) represents a total of 13 USVs. We also performed an additional 13 USV run, but including observations from southern hemisphere winter ('W') months only ('x13\_10Y\_W'; 25,395 observations). Finally, considering the cost of deploying 13 USVs, a downscaled 'multiple-USV-winter-only'-run was tested, including five USVs sampling over a

- period of five years ('x5 5Y W'; 5,022 observations). This run covers an additional 2° north and
- south from the original USV track.
- Four of the ten experimental runs represent realistic potential meridional sampling in the Southern
- Ocean ('zigzag' tracks; **Table 1**) as suggested by Djeutchouang et al. (2022). Saildrone USVs can
- operate at a speed capable of covering the spatial extent of meridional gradients in the Southern
- Ocean (Djeutchouang et al., 2022). However, Saildrone USVs are solar powered, and thus their
- 258 range is restricted by the availability of solar radiation. To account for this and maintain a realistic
- sampling scenario, sampling occurs only to a maximum latitude of 55° S in these experiments.
- 260 This alternative sampling pattern represents USVs sailing west to east in a north/south 'zigzag'
- pattern covering 40° S and 55° S for every 30° of longitude (Fig. 2). We created two scenarios.
- 262 For the first scenario, every 30° of longitude from 40° S and 55° S is visited every three months
- within a single year as suggested by Lenton et al. (2006). Assuming an average Saildrone USV
- speed, this scenario represents four platforms equally spaced around the Southern Ocean. This
- sampling pattern was repeated for 10 years, with year-round coverage ('Zx4 10Y YR'; 7,600
- observations), and for southern hemisphere winter months only ('Zx4 10Y W'; 2,500
- observations). The second scenario represents a 'high-sampling' strategy, where every 30° of
- longitude from 40° S and 55° S is visited approximately monthly. This can be achieved by
- deploying 10 platforms equally spaced around the Southern Ocean running at an average Saildrone
- 270 USV speed. This sampling pattern is repeated for five years, sampling year-round
- 271 ('Z x10 5Y YR'; 11,400 observations) and during southern hemisphere winter months only
- 272 ('Z x10 5Y W'; 3,800 observations).

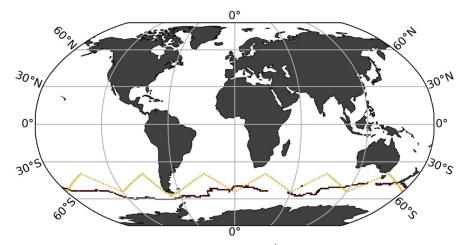


Figure 2: Saildrone Uncrewed Surface Vehicle (USV) tracks representing the first circumnavigation around Antarctica from 2019 in maroon ('one-latitude' track; Sutton et al., 2021) and an alternative virtual route with meridional coverage ('zigzag' track).

Run name	SOCAT-baseline	5Y J-A	10Y J-A	10Y YR	x13 10Y J-A	x13 10Y W	x5 5Y W	Z x4 10Y YR	Z x4 10Y W	Z x10 5Y YR	Z x10 5Y W
Saildrone track	NA	One-lat	One-lat	One-lat	One-lat	One-lat	One-lat	Zigzag	Zigzag	Zigzag	Zigzag
Years of sampling	NA	5	10	10	10	10	5	10	10	5	5
Duration of sampling	NA	Jan-Aug	Jan-Aug	Year-round	Jan-Aug	SO winter	SO winter	Year-round	SO winter	Year-round	SO winter
Additional observations	NA	2,075	4,150	4,150	44,250	25,395	5,022	7,600	2,500	11,400	3,800
Global coverage increase (%)	NA	0.01	0.02	0.02	0.3	0.1	0.03	0.04	0.01	0.07	0.02
Mean bias (µatm)											
Testbed period (1982-2016)											
Globally	0.63	0.59	0.59	0.52	0.53	0.39	0.57	0.51	0.51	0.45	0.44
NORTH (35°N-90°N)	0.11	0.24	0.20	0.25	0.20	0.17	0.16	0.16	0.16	0.12	0.20
MID (35°S-35°N)	0.23	0.21	0.22	0.14	0.20	0.15	0.23	0.20	0.18	0.13	0.18
SOUTH (90°S-35°S)	1.4	1.3	1.2	1.1	1.1	0.80	1.2	1.1	1.1	1.0	0.87
SO winter months (JJA)	1.3	1.2	1.2	1.1	1.1	0.90	1.2	0.93	1.0	0.94	0.95
SO summer months (DJF)	0.070	0.11	0.15	0.10	0.15	0.019	0.11	0.25	0.073	0.16	0.066
2006/2012-2016											
Globally	0.51*	0.27	0.34	0.28	0.19	0.03	0.21	0.23	0.24	0.17	0.07
SOUTH (90°S-35°S)	1.6*	0.93	1.1	1.0	0.72	0.37	0.73	0.89	0.92	0.67	0.55
SOUTH (90°S-35°S) Jun, Jul, Aug	4.2*	2.6	2.7	2.8	2.2	1.8	2.5	1.8	2.4	1.2	2.0
Mean RMSE (µatm)											
Testbed period (1982-2016)											
Globally	11.8	11.7	11.8	11.7	11.7	11.6	11.7	11.5	11.6	11.5	11.6
NORTH (35°N-90°N)	13.0	13.0	13.0	13.0	13.0	13.0	13.1	13.0	13.0	13.0	13.0
MID (35°S-35°N)	11.7	11.7	11.7	11.7	11.7	11.7	11.7	11.7	11.7	11.7	11.7
SOUTH (90°S-35°S)	11.5	11.3	11.4	11.2	11.1	11.0	11.3	10.7	11.0	10.6	11.0
2006/2012-2016											
Globally	11.6*	11.6	11.4	11.3	11.3	11.2	11.6	11.0	11.2	11.1	11.4
SOUTH (90°S-35°S)	11.4*	11.1	11.0	10.7	10.6	10.4	10.9	10.0	10.6	9.7	10.6
SOUTH (90°S-35°S) Jun, Jul, Aug	12.0*	11.3	11.2	10.9	10.5	10.3	11.1	10.3	10.6	9.6	10.3

**Table 1.** Overview of the different sampling experiments tested in this study, and mean bias and RMSE (in μatm) for various time periods, latitude bands for all runs. Bold values represent the best score for each category. 'One-lat' = 'one-latitude' track; incorporates the Saildrone USV route from Sutton et al. (2021). 'Zigzag' = potential meridional sampling. 'Additional observations = number of 1°x1° monthly Saildrone USV observations in addition to SOCAT. J-A= January-August. YR = year-round. W = southern hemisphere winter. x4, x5, x10 and x13 = four, five, ten and 13 USVs. SO winter = Southern Ocean winter months, i.e., June, July, August and also including September. \*Average value of the mean of 2006-2016 and 2012-2016. The global coverage increase was calculated based on the total number of available 1982-2016 monthly 1°x1° observations from SOCAT (262,204 observations) and the Large Ensemble Testbed (17,290,470 observations).

## 2.5 Air-sea CO2 flux

To assess the global ocean carbon sink associated with our pCO<sub>2</sub> reconstructions, air-sea CO<sub>2</sub> exchange was calculated for 1985 onward. Here, we computed air-sea CO<sub>2</sub> fluxes using the bulk

formulation with python package Seaflux.1.3.1 (https://github.com/lukegre/SeaFlux; Gregor et al. 2021; Fay et al., 2021). We calculated global and Southern Ocean flux in the same manner for 1) the testbed 'model truth', 2) the 'SOCAT-baseline' and 3) the 10 experimental USV runs.

The net sea-air CO<sub>2</sub> flux was estimated using:

Flux= $k_w \cdot sol \cdot (pCO_2^{ocn} - pCO_2^{atm}) \cdot (1 - ice)$ 

where 'k<sub>w</sub>' is the gas transfer velocity, 'sol' is the solubility of CO<sub>2</sub> in seawater (in units of mol m<sup>-3</sup> μatm<sup>-1</sup>), 'pCO<sub>2</sub><sup>ocn</sup>' is the partial pressure of surface ocean carbon (in μatm), either from the 'model truth' or from the reconstructions, and pCO<sub>2</sub><sup>atm</sup> (in μatm) is the partial pressure of atmospheric CO<sub>2</sub> in the marine boundary layer. For GFDL, we used direct model output of pCO<sub>2</sub><sup>atm</sup>, while for CESM and CanESM2, pCO<sub>2</sub><sup>atm</sup> was calculated individually, as the product of surface xCO<sub>2</sub> and sea level pressure (the contribution of water vapor pressure was corrected for in CESM). Finally, to account for the seasonal ice cover in high latitudes, the fluxes were weighted by 1 minus the ice fraction ('ice'), i.e., the open ocean fraction.

Winds have the largest impact on flux calculations (Fay et al., 2021), and temporally high-resolution output is not available for the LET. Monthly output is available, but this is not sufficient for the flux calculation due to the square dependency of wind speed (Wanninkhof, 2014). Given the necessity to use observed winds, for consistency, we use observations for all necessary variables for the flux calculation. Inputs to the calculation include EN4.2.2 salinity (Good et al., 2013), SST and ice fraction from NOAA Optimum Interpolation Sea Surface Temperature V2 (OISSTv2) (Reynolds et al., 2002), and surface winds and associated wind scaling factor from the European Centre for Medium-Range Weather Forecasts (ECMWF ERA5 sea level pressure (Hersbach et al., 2020). Results presented show the global and Southern Ocean (< 35° S) fluxes in units of Pg C yr<sup>-1</sup>.

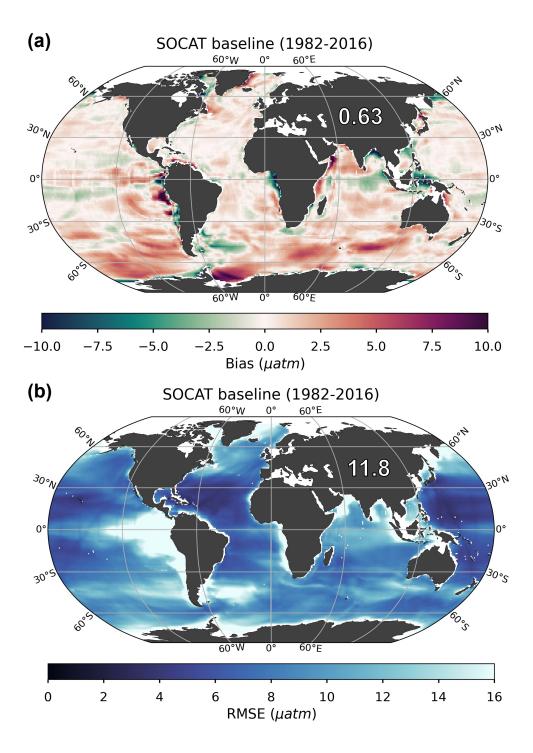
Note that, reconstructions of pCO<sub>2</sub> for the 'SOCAT-baseline' and the experimental USV runs are limited in their spatial extent to the open ocean (see Sect. 2.1; excluding coastal areas, the Arctic Ocean and marginal seas). The same mask was thus also applied when calculating the flux of the 'model truth', prior to comparison with the reconstructions.

#### 3. Results

319

320 3.1 Performance metrics for the 'SOCAT-baseline' reconstruction

The mean bias for the entire testbed period (i.e., 1982-2016) is 0.63 µatm globally (Fig. 3a) and 321 1.4 µatm for the Southern Ocean (< 35° S; Table 1). Bias is much closer to zero for the mid-322 latitudes (between 35° S and 35° N; 0.23 µatm) and northern latitudes (> 35° N; 0.11 µatm) (Fig. 323 3a). There is a significant difference in bias considering southern hemisphere winter months (June, 324 325 July, August) versus summer months (December, January, February), with a global mean bias (for 1982-2016) of 1.3 μatm compared to 0.07 μatm, respectively (Table 1), due to the sparseness of 326 327 SOCAT observations from the southern hemisphere during the harsh winter season (Fig. S5a). The mean RMSE for the entire testbed period (i.e., 1982-2016) is 11.8 µatm globally (Fig. 3b) and 328 329 11.5 µatm for the Southern Ocean (Table 1). RMSE is highest in the Eastern Tropical and 330 Southeastern Pacific Ocean and in the Southern Ocean, where the algorithm generally 331 overestimates pCO<sub>2</sub> (i.e., positive bias; Fig. 3a), with some exceptions in the Atlantic section. This 332 is consistent with the areas significantly undersampled by SOCAT (Fig. S5b). Except for these 333 areas, RMSE and bias is generally low (close to zero) in the open ocean, but show higher values along coastlines (Fig. 3b). The predicted pCO<sub>2</sub> is thus more accurate in areas similar to and 334 335 surrounding the SOCAT "observations" (i.e., monthly 1°x1° grid cells equivalent to SOCAT 336 coverage, but sampled from the LET). Figure 3 shows mean bias and RMSE for the full reconstruction (see Section 2.3), but note that there is a statistically significant difference between 337 the train and test set errors (Fig. S6). This indicates potential overfitting in our ML model (i.e., 338 339 higher errors for the 'unseen' reconstruction), and that further tuning of the hyperparameters could 340 increase generalization skill (see Supplementary Text A).



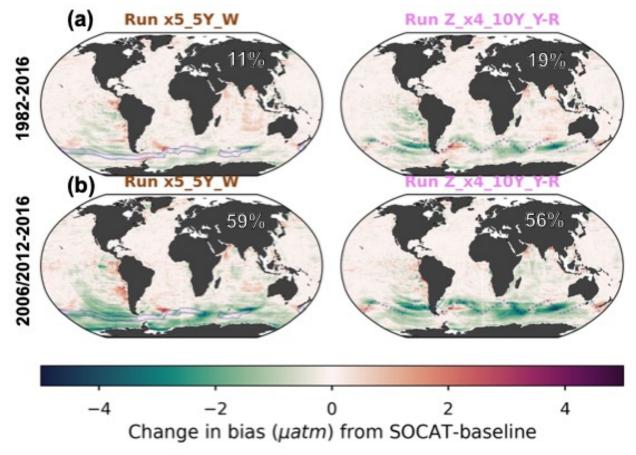
**Figure 3:** Bias (a) and root-mean-squared error (RMSE) (b) for the 'SOCAT-baseline' (i.e., no USV) over the period of 1982 through 2016. The global mean bias and RMSE is 0.63 μatm and 11.8 μatm, respectively. Note that only the open ocean was considered in the reconstruction, so several areas were masked out prior to algorithm processing, such as the Arctic Ocean, coastal areas and marginal seas (no data; white areas in figures).

# 3.2 Reconstruction improvements with Saildrone USV additions

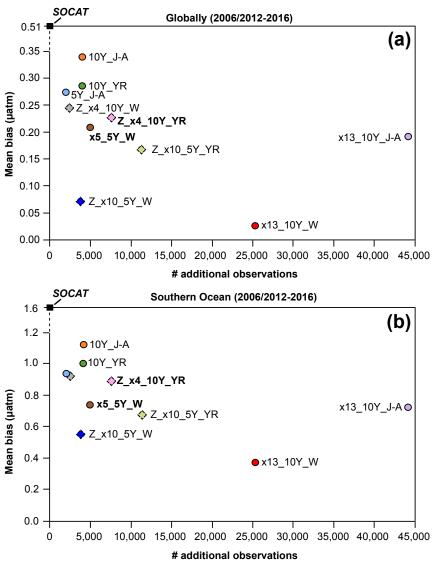
- Our presentation of global maps is limited to runs 'x5\_5Y\_W' (5,022 monthly 1°x1° observations)
- and 'Z\_x4\_10Y\_YR' (7,600 monthly 1°x1° observations). These runs were selected as they
- represent observational schemes that are realistic in the near-term future considering logistics and
- cost level, both non-meridional and meridional sampling, and different approaches to observing
- duration and seasonal coverage. For the remaining runs, equivalent maps can be found in the
- 353 **Supplement**.
- 354 *3.2.1 Bias*
- 355 All Saildrone USV runs show a reduction in bias compared to the global mean 1982-2016
- 356 'SOCAT-baseline' (Figs. 4a, S7). The improvement in bias is mainly due to lower reconstructed
- 357 pCO<sub>2</sub> values at southern latitudes, where the 'SOCAT-baseline' reconstruction generally
- overestimates pCO<sub>2</sub> (Fig. 3a). The global mean bias for 'zigzag' run 'Z x4 10Y YR' is 0.51
- 359 μatm, a higher improvement (19 %) over the 'SOCAT-baseline' compared to the 'one-latitude'
- run 'x5 5Y W' (11 % mean improvement; mean bias = 0.57 μatm;) (Fig. 4a; Table 1). Generally,
- the 'zigzag' runs show higher improvements from the 'SOCAT-baseline' (19-31 % improvement;
- resulting mean bias =  $0.44-0.51 \mu atm$ ) compared to the 'one-latitude' runs (7-19 % improvement;
- resulting mean bias =  $0.52-0.59 \mu atm$ ) (Fig. S6; Table 1). However, the 'one-latitude'-run
- 364 'x13 10Y W' that samples southern hemisphere winter months only, stands out with the lowest
- global mean (1982-2016) bias of 0.39 µatm, representing a 39 % mean improvement from the
- 366 'SOCAT-baseline' (Table 1; Fig. S7). This run, however, has three and five times more
- observations (25,395) than 'Z x4 10Y YR' and 'x5 5Y W', respectively.
- 368 Compared to the entire testbed period, even larger improvements in global mean bias are
- shown for the period of Saildrone USV additions (2006-2016 and 2012-2016; Figs. 4a vs. 4b,
- Figs. S7 vs. S8). Compared to the 'SOCAT-baseline', run 'x13 10Y W' results in a mean bias
- improvement of 95 %, while the remaining 'one-latitude' runs and the 'zigzag' runs show mean
- improvements up to 63 % and 85 %, respectively (Fig. S8). The spread in mean bias (2006/2012-
- 2016) across the 75 testbed members for each experiment is shown in Figure S9.
- Perhaps surprisingly, there is not a strong connection between the global or Southern Ocean
- mean bias and the number of added USV observations (Fig. 5). The 'one-latitude' 'high-sampling'
- run 'x13 10Y J-A' (44,250 observations) show similar mean bias or is outperformed by all

'zigzag' runs as well as the 'one-latitude'-runs that restrict sampling to southern hemisphere winter months (i.e., 'x5\_5Y\_W' and 'x13\_10Y\_W').

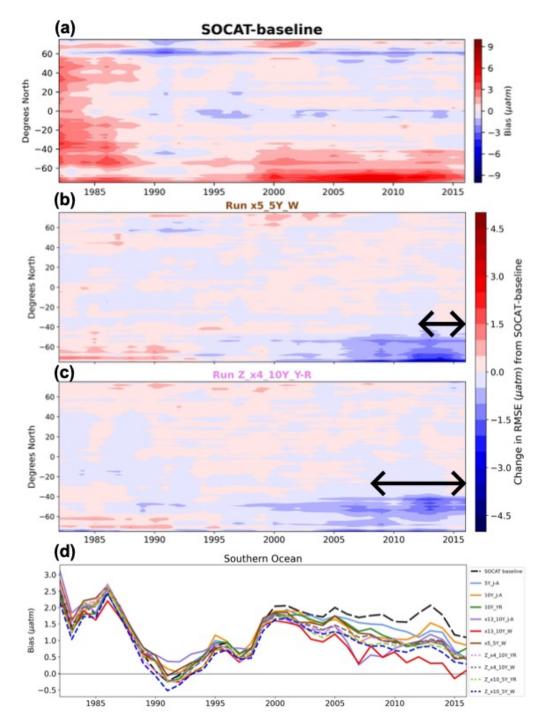
Considering the change in bias from year-to-year, the 'SOCAT-baseline' shows positive bias at all latitudes in the beginning of the testbed period, before improvement occurs around 1990 (Fig. 6a). This is consistent with increasing SOCAT sampling with time for the period considered here (i.e., up to 2016; Fig. S5c). As SOCAT observations are biased towards the northern hemisphere (Fig. S5a, b), bias in the Southern Ocean (< 35° S) increases significantly starting in the 2000s and remains high until the end of the testbed period (Fig. 6a). By adding USV sampling, bias in the Southern Ocean improves over the 'SOCAT-baseline' around year 2000 (Fig. 6b-d; Fig. S10), up to 6-12 years before to the introduction of additional samples in either 2006 or 2012. This improvement is shown for the majority of the 75 ensemble members (Fig. S11). Run 'Z\_x10\_5Y\_W', which has the lowest mean bias out of the 'zigzag' runs (Fig. 5), shows improvement even further back in time, until the beginning of the testbed period (Fig. S10). While the annual mean bias of the 'zigzag' runs varies rather consistently, there is a larger spread across the 'one-latitude' runs (Fig. 6d).



**Figure 4:** Change in bias when comparing run 'x5\_5Y\_W' and 'Z\_x4\_10Y\_YR' to the 'SOCAT-baseline' reconstruction, averaged over the duration of the testbed period (**a**; 1982-2016) and the period of USV additions (**b**; 2006-2012 or 2012-2016). The percent global improvement in absolute bias is shown on each panel. The USV Saildrone tracks are shown in blue.



**Figure 5:** Mean bias globally (a) and for the Southern Ocean (b) for the duration of Saildrone USV sampling (2006-2016 or 2012-2016) for all runs presented in **Table 1**. Circles represent runs using the 'one-latitude' track, while diamonds represent 'zigzag' runs. Runs highlighted in bold correspond to the two selected runs mapped in **Figure 4**, **6**, **7** and **9**. Global (0.51 μatm) and Southern Ocean (1.6 μatm) bias values shown for the 'SOCAT-baseline' (black squares) represent a mean of values for 2006-2016 (global = 0.52 μatm, S. Ocean = 1.63 μatm) and 2012-2016 (global = 0.51 μatm, S. Ocean = 1.56 μatm). '# additional observations' = number of monthly 1°x1° USV observations in addition to SOCAT. Box plots illustrating the spread across the 75 ensemble members are shown in **Fig. S9**.



**Figure 6:** Zonal mean, annual mean Hovmöller of bias for the 'SOCAT-baseline' (a). Change in bias for run 'x5\_5Y\_W' (b) and 'Z\_x4\_10Y\_YR' (c) compared to the 'SOCAT-baseline' shown in (a). Improvement in bias in the Southern Ocean expands back in time well beyond the duration of USV additions for both runs (shown by arrows on each panel). Annual mean bias for the Southern Ocean (> 35° S) for all runs (d).

# 3.2.2 Root-mean squared error (RMSE)

414 Similar to bias, improvements in RMSE are most significant during the period of USV additions and within the Southern Ocean (Fig. 7a vs. 7b). For the duration of USV additions, the 'one-415 416 latitude' runs show improvements in global mean RMSE of 1-3 % (0.1-1 % for 1982-2016), while the 'zigzag' runs show higher improvements between 2-5 % (1-3 % for 1982-2016) (Figs. 7, S12, 417 S13). Mean RMSE is further reduced in the Southern Ocean by up to 16 %, and during southern 418 hemisphere winter months (JJA) up to 21 % (run 'Z x10 5Y YR'; mean RMSE of 9.6 µatm; 419 420 **Table 1**). There is minimal change in RMSE (or bias) during southern hemisphere summer months (DJF; Fig. S14). The two 'zigzag' runs sampling year-round ('Z x4 10Y YR' and 421 'Z x10 5Y YR') have the lowest RMSE values both globally and in the Southern Ocean (Fig. 8). 422 The spread across the 75 testbed members for each experiment is shown in Figure S15. 423

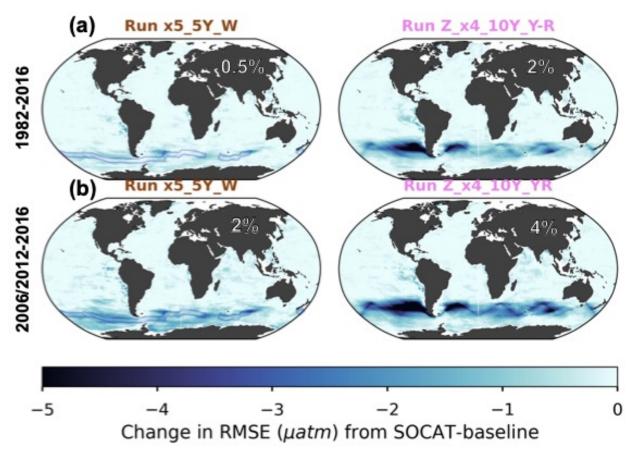
The 'zigzag' runs, as well as the 'high-sampling' 'one-latitude'-runs (i.e., 'x13\_10Y\_J-A' and 'x13\_10Y\_W'), show improvements compared to the 'SOCAT-baseline' from the initiation of sampling (**Figs. 9**, **S16**, **S17**). The year-round 'zigzag' runs, however, show improvement in the Southern Ocean from the beginning of the testbed period (**Figs. 9c**, **d**, **S16**). RMSE improvements back in time are greater for all runs in the southern hemisphere winter months (**Fig. S18**).

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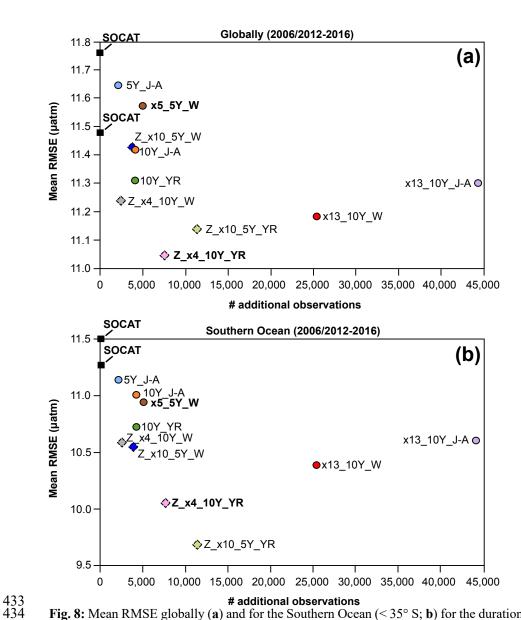
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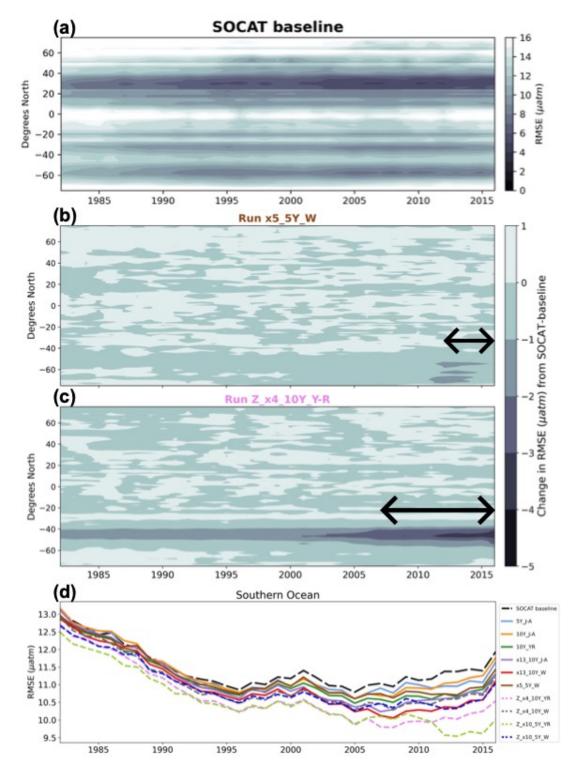
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**Figure 7:** Change in RMSE when comparing run 'x5\_5Y\_W' and 'Z\_x4\_10Y\_YR' to the 'SOCAT-baseline', averaged over the duration of the testbed period (**a**; 1982-2016) and the period of Saildrone USV additions (**b**; 2006-2012 or 2012-2016). The percent global improvement is shown on each panel.



**Fig. 8:** Mean RMSE globally (a) and for the Southern Ocean ( $< 35^{\circ}$  S; b) for the duration of Saildrone USV sampling (2006-2016 or 2012-2016) for all runs presented in **Table 1**. Circles represent runs using the 'one-latitude' track, while diamonds represent 'zigzag' runs. Runs highlighted in bold correspond to the two selected runs mapped in **Figure 4**, **6**, **7** and **9**. RMSE values shown for the 'SOCAT-baseline' (black squares) represent a mean of values for 2006-2016 (global = 11.5 μatm, S. Ocean = 11.3 μatm) and 2012-2016 (global = 11.8 μatm, S. Ocean = 11.5 μatm). '# additional observations' = number of monthly 1°x1° USV observations in addition to SOCAT. Box plots illustrating the spread across the 75 ensemble members are shown in **Fig. S15**.



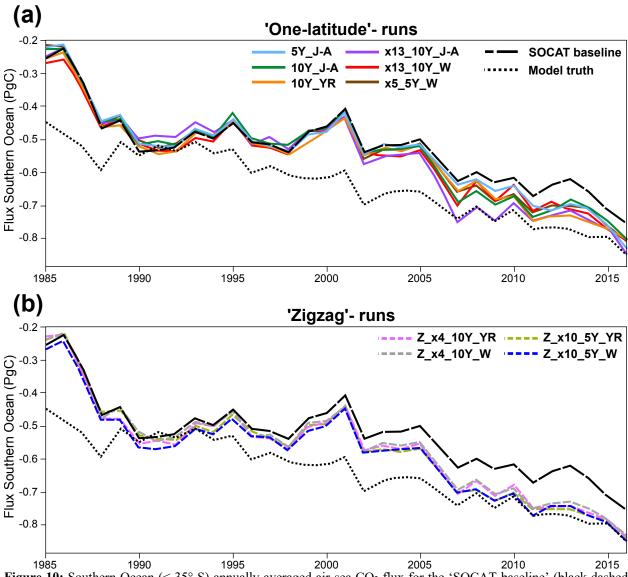
**Figure 9:** Zonal mean, annual mean Hovmöller of RMSE for the 'SOCAT-baseline' (a). Change in RMSE for run 'x5\_5Y\_W' (b) and 'Z\_x4\_10Y\_YR'(c) compared to the 'SOCAT-baseline'. Run 'Z\_x4\_10Y\_YR' shows improvement in RMSE within the Southern Ocean, which expand well beyond the duration of Saildrone USV additions (shown by arrow on panel). Annual mean RMSE for the Southern Ocean (> 35° S) for all runs (d).

3.3 Impact on the air-sea CO<sub>2</sub> flux with Saildrone USV additions

Air-sea flux was calculated in the same manner for both the ML reconstructions and the 'model truth', which allows for the isolation of the impact of different sampling strategies, as mediated by the pCO<sub>2</sub> reconstruction, on fluxes (see **Sect. 2.5**). These flux estimates are made to inform understanding of the errors that may exist in CO<sub>2</sub> flux estimates derived from pCO<sub>2</sub> reconstructions, and how new sampling could address these errors. Flux estimates represent the average of the 75 members of the LET in each case, and are not estimates of real-world fluxes.

Compared to the 'model truth', the 'SOCAT-baseline' reconstruction underestimates the global and Southern Ocean sink by 0.11-0.13 Pg C yr<sup>-1</sup> over 1982-2016 (**Fig. 10**; **Table S1**). Regardless of sampling pattern, adding Saildrone USV observations increases both the global and Southern Ocean mean sink compared to the 'SOCAT-baseline' (**Figs. 10**, **S19**). The 'one-latitude' runs show an increase of 0.01-0.03 Pg C yr<sup>-1</sup> (2-6 % strengthening) of the Southern Ocean sink (1982-2016), while the 'zigzag' runs lead to an even stronger sink by 0.04-0.06 Pg C yr<sup>-1</sup> (7-11 % strengthening) (**Table S2**). When averaging over the years of Saildrone USV sampling addition (i.e., 2006-2012 and 2012-2016), the Southern Ocean sink increases up to 0.09 Pg C yr<sup>-1</sup> (14 % strengthening) for the 'one-latitude' runs and up to 0.1 Pg C yr<sup>-1</sup> (15 % strengthening) for the 'zigzag' runs (**Table S2**). These same features are found for the global ocean (**Fig. S19**; **Table S2**).

All of the 'zigzag' runs quite closely match both the global and Southern Ocean 'model truth' air-sea CO<sub>2</sub> flux for the duration of sample additions (**Figs. 10**, **S19**). Except for the first couple of years of sample addition for the 'high-sampling'-run 'x13\_10Y\_J-A', none of the 'one-latitude' runs can match the 'model truth' air-sea CO<sub>2</sub> flux, instead they all underestimate the flux (**Figs. 10**, **S19**). The 'zigzag' runs have impact on the air-sea flux from an earlier date, starting to pull the results away from the 'SOCAT-baseline' and toward the 'model truth' already in the late-1990s, while the 'one-latitude' runs do the same about a decade later (**Figs. 10**, **S19**).



**Figure 10:** Southern Ocean (< 35° S) annually averaged air-sea CO<sub>2</sub> flux for the 'SOCAT-baseline' (black dashed line), 'model truth' (black dotted line) 'one-latitude' runs (**a**; solid lines) and 'zigzag' runs (**b**; dashed lines).

## 4. Discussion

We have tested the pCO<sub>2</sub>-Residual reconstruction method with the Large Ensemble Testbed (LET) to estimate its fidelity and understand how new samples could increase skill. We find that, regardless of the chosen Saildrone USV sampling pattern, the reduction in mean bias and mean RMSE compared to the 'SOCAT-baseline' is most prominent within the Southern Ocean (< 35° S) during the period of which Saildrone USV observations were added (**Figs. 4, 6, 7, 9**). However, it is important to mention that the additional Southern Ocean sampling also impacts (improves)

the pCO<sub>2</sub> reconstructions globally (**Figs. 5a, 8a**). Based on our experiments, a combination of factors improve global and Southern Ocean pCO<sub>2</sub> reconstructions, including the type of sampling pattern and seasonality of sampling, and to some extent, the number of additional observations. Importantly, increasing the number of observations or duration of sampling (5 vs. 10 years) is not the sole determining factor for improving the reconstructions (**Figs. 5, 8**). This is best demonstrated by the 'high-sampling'-run 'x13\_10Y\_J-A' (44,250 observations), which does not provide significantly better reconstructions, or is even outperformed, by runs with 2-18 times fewer observations. The runs that produce lower mean RMSE do include data throughout southern hemisphere winter (**Fig. 8**). Run 'x13\_10Y\_J-A' does not include more than a few observations in the month of August, as it follows the temporal pattern of the real-world 'one-latitude' Saildrone USV expedition (**Figs. S3, S4**; Sutton et al., 2021). The 'one-latitude' runs '10Y\_J-A' and '10Y\_YR' are directly comparable in terms of sample duration, spatial extent and number of observations (**Table 1**), but the latter, which covers all months, always shows lower mean RMSE and bias (**Figs. 5, 6d, 8, 9d**). These examples attest to the importance of addressing the issue of significant undersampling in the Southern Ocean during the winter season (**Fig. S5a**).

Another important comparison is the 'one-latitude'-run 'x5\_5Y\_W' (5,022 observations) and 'zigzag'-run 'Z\_x10\_5Y\_W' (3,800 observations) that both sample during southern hemisphere winter months over a five-year period (**Table 1**), where the 'zigzag'-run consistently performs better even though it includes fewer observations (**Figs. 5, 8**). Most of the runs that perform similar to, or outperform, the above-mentioned 'high-sampling'-run 'x13\_10Y\_J-A' (44,250 observations), sample in a 'zigzag' pattern. Out of all 10 runs, the 'year-round' 'zigzag' runs ('Z\_x4\_10Y\_YR' and 'Z\_x10\_5Y\_YR') are most able to reduce the mean error as shown by the lowest RMSE values (**Figs. 8, 9d**). A recent study performed similar sampling experiments as shown here, by comparing sampling from different types of autonomous platforms to a 'SOCAT-baseline' (Djeutchouang et al., 2022). They emphasized the importance of capturing the significant differences in pCO<sub>2</sub> that exist across meridional gradients during summer and winter months (up to 15 μatm; Djeutchouang et al., 2022). The meridional coverage provided by the 'zigzag' runs could explain why these runs generally outperform the 'one-latitude' runs in our study, and show significant reduction in both RMSE and bias, even though the global pCO<sub>2</sub> data density is raised by as little as 0.01-0.07 %.

The greatest reduction in mean bias out of all runs is shown by run 'x13\_10Y\_W' (Figs. 5, 6d), which represents 'one-latitude' 'high-sampling' (i.e., 25,395 observations) during southern hemisphere winter months only. This sampling strategy seems thus to have a higher ability to reduce the ML model's tendency to overestimate pCO<sub>2</sub> in the Southern Ocean compared to any of the meridional ('zigzag') runs. However, it should be noted that run 'x13\_10Y\_W' covers areas south of 55° S (Fig. S4), and its improvement in mean bias (and mean RMSE) is particularly prevalent at these high latitudes (e.g., Figs. S8, S10, S13, S16). Whether or not this run is, in fact, feasible with current or future technology is uncertain as parts of the southernmost tracks potentially cover the Southern Ocean ice zone (Fig. S20), and solar radiation for solar-powered platforms and sensors becomes very limited during winter south of 55° S. Furthermore, this particular sampling strategy requires 13 USVs, and so would be the most costly of the observing scenarios. Although run 'x13\_10Y\_W' demonstrates the highest reduction in mean bias out of all runs, the 'zigzag' runs still reduce absolute mean bias (for 2006/2012-2016) in the Southern Ocean by 44-65 % (vs. 77 % for run 'x13\_10Y\_W').

Overall, the 'zigzag' runs include significantly fewer observations, require fewer USVs, collect samples over the same duration, or even half the time as run 'x13\_10Y\_W', cover areas north of 55°S and within the ice-free zone, and show major improvement in the reconstruction of pCO<sub>2</sub>, attested to by reductions in both bias and RMSE. The 'zigzag' runs also closely match both the global and Southern Ocean 'model truth' air-sea CO<sub>2</sub> flux for the duration of sample additions (Figs. 10, S19). It also appears that the 'zigzag' runs generally have a greater impact on both the pCO<sub>2</sub> reconstruction and the air-sea flux further back in time, starting to deviate from the 'SOCAT-baseline' earlier compared to the 'one-latitude' runs (Figs. 6, 9, 10, S10, S16, S18, S19). Even the 'zigzag' scenarios with the least number of USVs (e.g., 'Z\_x4\_10Y\_YR') reduces Southern Ocean reconstruction absolute mean (2006-2016) bias and RMSE by up to 46 % and 11 %, respectively, and could provide a basis for realistic future Southern Ocean pCO<sub>2</sub> sampling campaigns.

The main motivation for improving surface ocean pCO<sub>2</sub> reconstructions is so that we can more accurately estimate the current and future oceanic uptake of anthropogenic carbon. The Southern Ocean is a significant carbon sink, but estimates of the air-sea CO<sub>2</sub> flux diverge substantially in this region (Takahashi et al., 2009; Landschützer et al., 2014, 2015; Rödenbeck et al., 2015; Williams et al., 2017; Gray et al., 2018; Gruber et al., 2019; Bushinsky et al., 2019; Long

et al., 2021; Fay and McKinley, 2021; Wu et al., 2022). Southern Ocean estimates incorporating observations from biogeochemical floats have shown a significantly weaker sink compared to those based only on observations from ships (Williams et al., 2017; Gray et al., 2018; Bushinsky et al., 2019). Bushinsky et al. (2019) and Hauck et al. (2023) performed similar sampling experiments as presented here, by comparing ML surface ocean pCO<sub>2</sub> reconstructions based on SOCAT vs. additional SOCCOM or ideal virtual floats. These studies showed that SOCAT sampling alone overestimates the CO<sub>2</sub> uptake in the Southern Ocean, and that additional floats reduce this overestimation, leading to a decreased (weakened) ocean carbon sink. In contrast, we find that the pCO<sub>2</sub>-Residual method underestimates the CO<sub>2</sub> uptake with only SOCAT sampling, and that adding USVs increased (strengthened) the Southern Ocean and global ocean sink by up to 0.1 Pg C yr<sup>-1</sup> (Figs. 10, S19; Table S2).

Going forward, additional studies are needed to better understand why these results suggest a different direction of the sink change with additional sampling. These differences could stem from the use of different reconstruction methods assessed. Hauck et al. (2023) used the MPI-SOM-FFN and CarboScope/Jena-MLS reconstruction methods, while we use the pCO<sub>2</sub>-Residual method. Another substantial difference between the studies is the models and numbers of ensemble members used as the testbed. Hauck et al. (2023) use a single hindcast model, while we use 25 members each from three Earth System Models. We find substantial spread across these 75 members (**Figs. S9 S15**), indicating that model structure and internal variability significantly impact results. Our study and Hauck et al. (2023) use different sampling masks and approaches for the calculation of fluxes, which could also be a factor. Targeted, coordinated studies using multiple reconstruction approaches with consistent testbed structures, sampling masks and experimental approaches are clearly needed (Rödenbeck et al., 2015). Despite this need for this additional work, studies do agree that additional Southern Ocean observations could significantly improve reconstructions of air-sea CO<sub>2</sub> fluxes.

What else can we learn using the model testbed? The 'SOCAT-baseline' demonstrates a weakening of the global and Southern Ocean carbon sink starting in the 1990s with a peak around year 2000 (**Figs. 10**, **S19**), which is in broad agreement with various data products using real-world SOCAT data (e.g., Gruber et al., 2019; Landschützer et al., 2015; Bushinsky et al., 2019; Bennington et al., 2022; Gloege et al., 2022). Peaks in bias and RMSE coincide in time with the

weakening sink (**Figs. 6d, 9d**). As shown by **Figure 10**, this 'low sink' is significantly exaggerated compared to the 'model truth'. To better understand this discrepancy, we performed an additional experiment based on run 'Z\_x10\_5Y\_YR', but assumed sampling every year for the entire testbed period (i.e., 1982-2016). There is now a significant reduction in the temporal variability of reconstruction bias; with the additional 35-year USV sampling, the reconstructed Southern Ocean air-sea CO<sub>2</sub> flux closely matches the 'model truth' for the entire testbed duration (**Fig. S21**). This suggests that the large decadal variability of air-sea CO<sub>2</sub> fluxes since the 1980s, and the weak anomaly in the Southern Ocean carbon sink in the early 2000s (Le Quéré et al., 2007; Landschützer et al., 2015; Gruber et al., 2019; Bennington et al., 2022a,b; Friedlingstein et al., 2023), may be at least partially attributable to undersampling of the Southern Ocean. This is in agreement with the float sampling experiments performed by Hauck et al. (2023), attributing the strong decadal variability to sparse and skewed SOCAT data distributions. We will further explore this issue in future work. Still, this preliminary experiment suggests that interpretations of trends and variability of the global and Southern Ocean carbon sink should be considered with caution.

## 5. Conclusions

By using the Large Ensemble Testbed (LET), we show that targeted meridional and winter sampling in the Southern Ocean can improve global and Southern Ocean ML surface ocean pCO<sub>2</sub> reconstructions. Significant improvements are possible by raising the global pCO<sub>2</sub> data density by as little as 0.01-0.07 %. Further, we find that this modest amount of additional Saildrone USV sampling increases the global and Southern Ocean air-sea CO<sub>2</sub> flux by up to 0.1 Pg C yr<sup>-1</sup>, a quantity equivalent to 25 % of the uncertainty in the ocean carbon sink (0.4 Pg C yr<sup>-1</sup>; Friedlingstein et al., 2023). Our findings are consistent with previous studies suggesting that additional observations during southern hemisphere winter months and covering meridional gradients can reduce uncertainties and biases in the reconstructions (Lenton et al., 2006; Monteiro et al., 2010; Djeutchouang et al., 2022; Mackay et al., 2022). As opposed to other autonomous platform approaches, Saildrone USVs obtain in situ pCO<sub>2</sub> observations with uncertainties equivalent to the highest-quality observations collected by research ships (± 2 μatm; Sabine et al., 2020; Sutton et al., 2021), and can operate at a high speed so that the spatial extent and seasonal cycle of meridional gradients can be covered. The approach of combining high-accuracy Saildrone USV and SOCAT observations represents thus a promising solution to improve future surface

604 ocean pCO<sub>2</sub> reconstructions and the accuracy of the ocean carbon sink. Lastly, we show that the 605 large variability in bias, and the weakening of the global and Southern Ocean carbon sink in the 606 2000s, may be partially an artefact of Southern Ocean undersampling. 607 **Code availability** 608 Data analysis scripts and supporting files are publicly available in GitHub repository 609 https://github.com/hatlenheimdalthea/Sampling experiments LET USV. **Data availability** 610 611 The Ensemble Testbed publicly available Large is at 612 https://figshare.com/collections/Large ensemble pCO2 testbed/4568555. 613 614 **Author contribution** 615 THH, GAM and AJS designed the experiments, and THH performed the simulations. THH, ARF 616 and LG developed the code. THH and ARF calculated the air-sea fluxes. THH prepared the manuscript with contributions from all co-authors. 617 618 **Competing interests** 619 The authors declare that they have no conflict of interest. 620 Acknowledgements We acknowledge funding from NOAA through the Climate Observations and Monitoring Program 621 (Award #NA20OAR4310340) and from NSF through the LEAP STC (Award #2019625). This is 622 623 PMEL contribution 5549. We would also like to acknowledge and thank Val Bennington, Julius Busecke, Devan Samant and Abby Shaum for providing technical support, and Viviana Acquaviva 624 625 for discussions regarding the manuscript. Lastly, we wish to thank two anonymous reviewers, 626 whose contributions greatly improved the manuscript. 627

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