



# Nitrogen limitation information retrieved from data assimilation

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15 Abstract: Nitrogen (N) limitation greatly constrains terrestrial ecosystem carbon (C) uptake and its 16 response to climate change and elevated carbon dioxide. Hence, accurate assessments of ecosystem N 17 limitation are crucial for predicting C-N feedbacks, and vital for providing guidance for policy making 18 or ecosystem management as well. This study aims to retrieve N limitation information by data model 19 fusion from one field N addition experiment so that we can better understand N controls on the terrestrial 20 C cycle. We estimated two sets of parameters with one C-only model and one coupled C-N model. Our 21 results showed that the estimated leaf photosynthetic efficiency (LPE) and process rates (e.g., senescence 22 and decomposition rates) of organic C from almost all pools were higher with the coupled C-N model 23 than those with the C-only model at the ambient treatment. However, the differences in the LPE and the 24 C exit rates between the coupled C-N model and the C-only model decreased with the increasing N 25 addition rates. Both the C-only and coupled C-N models simulated similar C pool sizes as observed at 26 every N addition treatment with their respective parameter estimates. However, simulated ecosystem C 27 storage and gross primary productivity (GPP) decreased if we ran the coupled C-N model with the 28 parameters estimated by the C-only model. This decrease was larger at the ambient treatment and became

- 29 smaller with the increase of N addition. In general, we put forward a new method to retrieve N limitation
- 30 information from observations by data model fusion. This method will make it possible to estimate the





- 31 global nutrient limitation and benefit ecosystem management and policy making.
- 32 Keywords: Data assimilation, nitrogen limitation information, nitrogen addition, model structure,
- 33 carbon and nitrogen cycles.
- 34 1. Introduction

35 Nitrogen (N) availability is a key limiting factor for growth in many terrestrial ecosystems, and thus 36 important for both ecosystem productivity and the decay of dead organic material (Keeler et al., 2009; 37 Liu et al., 2019; Pregitzer et al., 2010). As a consequence, plant tissue N content is often highly correlated 38 with key metabolic rates such as photosynthesis (Zong et al., 2018) and respiration (Sun et al., 2014), 39 and an important control on the turnover of soil organic matter (Fog, 2008; Keeler et al., 2009). Manipulative N addition experiments and field studies have demonstrated larger plant growth with 40 41 increasing N deposition (LeBauer and Treseder, 2008; Pregitzer et al., 2010). Hence, the capacity of 42 terrestrial ecosystems to store carbon (C) is limited by its N availability and the C:N stoichiometry of 43 plant tissue (Hungate et al., 2003; Luo et al., 2004), especially under elevated atmospheric CO2 44 concentrations.

45 An accurate assessment of ecosystem N limitation is crucial for predicting C cycle and its feedback 46 to climate change, which remain one of the biggest uncertainties in earth system models (Friedlingstein 47 et al., 2022). One important source of uncertainty in predicting C cycle is the degree to which N limits 48 plant growth (Elser et al., 2007; Hungate et al., 2003). Furthermore, the N limitations in plant growth, 49 photosynthetic capacity, and decomposition rates in litter and soil are poorly understood partially because 50 they are very difficult to be measured (Vicca et al., 2018). Some methods have been used to infer nutrient 51 limitation, including fertilization experiments, leaf nutrient resorption efficiency and the thresholds of 52 leaf N:P ratios (Bracken et al., 2015; Du et al., 2020; Sullivan et al., 2014; Tessier and Raynal, 2003). 53 But most of these methods are either very time-consuming and laborious, or have greater uncertainty, 54 although researchers have invested a lot of efforts to use these methods to retrieve nitrogen limitation 55 information in terrestrial ecosystems.

56 Data assimilation is a statistically rigorous method for estimating the parameter values of a mechanistic 57 model representing rates of transfer of C and N within an ecosystem. Not only does this method allow 58 models to be better calibrated to data, but it also provides great opportunities to understand model 59 parameterization that reflects N-limitation. When data assimilation is applied to calibrate models with





60	specific observations, the information in observations is integrated into the model via a set of specific
61	parameters (Luo and Schuur, 2020). All data assimilation studies indicate that the optimal estimated
62	parameters vary across different treatments of global change experiments (Liang et al., 2018; Luo and
63	Schuur, 2020; Wang et al., 2021; Xu et al., 2006). Because there are always some processes at unresolved
64	scales (processes that can't be represented explicitly) that may potentially interact with processes at
65	resolved scales (processes that can be represented explicitly) to influence model results. Varying
66	parameters is a useful modeling approach, which recognizes that the model need not explicitly
67	incorporate all processes on a resolved scale (Luo and Schuur, 2020). Meanwhile, the estimated
68	parameters are the result of retrieving the ecological information from the specific data set. Hence, many
69	studies used data assimilation to understand ecological processes (e.g., coefficients of plant allocation
70	and litter decomposition) by comparing the posterior probability density functions of parameter values
71	among different experimental treatments or sites (Liang et al., 2018; Wang et al., 2021; Xu et al., 2006).
72	Therefore, data assimilation provides the great possibility to retrieve N limitation information from
73	observations since the N limitation information is represented in parameterization as a model is calibrated
74	with the observations (Luo and Schuur, 2020). However, when a N cycle module is incorporated into the
75	C-only model, N processes are explicitly represented and simulated. N limitation influences on C
76	processes are no longer accounted by C-related parameter values (Wang et al., 2022). A study using data
77	assimilation technique found that parameter values change with model structures, while simulated
78	ecosystem C dynamics were similar (Wang et al., 2022), but they didn't further explore the nutrient
79	limitation information behind models with different structures and how it influenced the C cycle
80	predictions. Previous studies also tried to use models with or without a nutrient module to represent
81	whether there was the corresponding nutrient limitation (i.e., nitrogen and phosphorus) with data
82	assimilation (Du et al., 2021). But they ignored the fact that the nutrient limitation information in the C-
83	only model and C-nutrient coupling model is consistent because the observations they used for data
84	assimilation were identical. Hence, it's necessary to retrieve information on nutrient limitation by data
85	assimilation.

86 In this study, we used data assimilation to estimate parameters that reflect N limitation in a C-only 87 model and coupled C-N model from 17 data sets collected at a field N enrichment experiment at an alpine 88 meadow in the Qinghai-Tibet Plateau. The Bayesian probabilistic inversion as the data assimilation





- 89 method was used in this study to estimate the C cycle associated parameters and simulated C pool
- 90 dynamics of different ecosystem components under the integral measurement collected at Hong Yuan
- 91 alpine meadow field site from 2014 to 2020. The specific questions we addressed in this study are: (1)
- 92 how to retrieve N limitation information from experimental data by data assimilation? (2) how does N
- 93 limitation influence the predictions of ecosystem C dynamic?
- 94 2. Materials and methods

## 95 2.1 Site description

96 The Hong Yuan station was located on the eastern Qinghai-Tibet Plateau (32°84'N, 102°58'E), which is 97 high elevation continental plateau with a frigid temperate monsoon climate. The mean annual 98 precipitation is 747 mm, the mean annual temperature is 1.5 °C, the sunshine duration per year is about 99 2000-2400 hours, and the growing season lasts from April to October. The main vegetation type in this 100 study area is alpine meadow, and the soil type here is subalpine meadow and boggy soil (Song et al., 101 2014). This area is dominated by Deschampsia caespitosa (Linn.) Beauv, Koeleria cristata (Linn.) Pers., 102 Gentiana sino-ornata Balf. f., Potentilla anserina L., and Anemone rivularis Buch.-Ham (Quan et al., 103 2018).

## 104 2.2 Data source

105 Data sets used to drive the Grassland ECOsystem (GECO) model and used to estimate parameters in 106 this study both were from a N addition experiment and a co-located eddy-covariance measurement 107 system. Meteorological variables, such as soil volumetric water content (VWC) and soil temperature 108 (Tsoil) simultaneously measured with the eddy covariance system at a depth of 10 cm every hour. 109 Meanwhile, the photosynthetically active radiation, wind speed, relative humidity, and air temperature 110 used for simulating photosynthesis also come from the continuous observation of the eddy covariance system. The N addition experiment near the Eddy covariance tower used a random block design with six 111 112 N addition treatments (N0, N2, N4, N8, N16, N32, representing N addition rates of 0, 2, 4, 8, 16, 32 g  $N \cdot m^{-2} \cdot year^{-1}$ , respectively, with five replications each). The area of each plot is  $8 \times 8$  m, and the distance 113 between adjacent quadrats is 3 m. During the growing season, from May to September every year, N was 114 115 added once a month. The method of N addition was spraying, and ammonium nitrate (NH4NO3, analytical 116 purity, content  $\geq$  99%) was used as N fertilizer. Gross primary productivity (GPP) and soil respiration





- 117 (SR) were measured twice a month with static chambers (LI-6400XT, LI-COR Environmental, Lincoln, 118 Nebraska, USA) in plots with different treatments in the growing season from 2014 to 2020. Biometric 119 measurements were made once a year to determine leaf and root biomass, standing and surface litter 120 quality, and microbes, soil C content, N content of leaves and roots, standing litter, surface litter, total N 121 content of microbe and soil, and soil inorganic N concentration in all plots. 122 The data that used to drive the model includes daily soil moisture, soil temperature, photosynthetically 123 active radiation, wind speed, relative humidity, and air temperature from 2014 to 2020. The data 124 assimilated into the GECO model for parameter estimation include C and N contents in leaf, root, 125 standing litter, surface litter, microbial, soil, and autotrophic and heterotrophic respiration at every N
- addition treatment (Table S1).

#### 127 2.3 Model

128 The GECO model was used in this study (Wang et al., 2021), which has evolved from the Terrestrial 129 ECOsystem (TECO) model (Xu et al. 2006, Shi et al. 2016) with a distinct standing litter pool for grassland ecosystems. The GECO model has both coupled C-N and C-only version. There are seven C 130 131 and N pools and one more mineral nitrogen pool in the coupled C-N model. The pools are leaf (X1, N1), 132 roots (X2, N2), standing litter (X3, N3), surface litter (X4, N4), fast (X5, N5), slow (X6, N6), passive 133 soil organic matter (SOM, X7, N7) and mineral N pool (Fig. 1). But the C-only model just has the 134 counterpart C pools. In the GECO model, CO2 in the atmosphere enters the ecosystem by canopy 135 photosynthesis which is simulated by a two-leaf model (Wang et al., 1998). The plant photosynthetic capacity is limited by the leaf N concentration in the coupled C-N model, reflecting plant investment in 136 137 photosynthetic machinery for light harvesting and carboxylation rates (Leuning et al., 1995; Walker et 138 al., 2015). Leaf photosynthetic efficiency (LPE) limited by foliage N content as a scalar:

139 
$$SNvcmax = \max\left(\min\left(\exp\left(-\frac{cN_{leaf}-cN_{leaf,0}}{cN_{leaf,0}}\right), 1\right), 0\right)$$
(1)

where  $CN_{leaf}$  is the estimated C:N ratio of foliage and  $CN_{leaf,0}$  is the defined C:N ratio of foliage without N limitation. Some of the photosynthates were used for plants' respiration, and the remaining assimilated C was allocated to leaf (X1) and root (X2) pools. Detritus from the dead plants then flowed into the litter pool, which contained standing litter (X3) and surface litter (X4). Some of the subsurface litter was respired by microbes, while the rest was converted to fast SOM (X5) and slow SOM (X6). The CO<sub>2</sub>





released by the decomposition of soil C eventually re-enters the atmosphere. Similarly, plants uptake N from the mineral soil. Subsequently, the uptaken N is distributed to the plant pools and then transferred to the litter and soil pools. The organic N in the seven pools returns to the soil through microbial mineralization. The GECO model uses a matrix-based 1st-order differential equation approach to describe the process of carbon transfer between ecosystem carbon pools as:

150 
$$\frac{d}{dt}\boldsymbol{X}(t) = \boldsymbol{A}\boldsymbol{\xi}(t)\boldsymbol{K}\boldsymbol{N}_{s}\boldsymbol{X}(t) + \boldsymbol{b}\boldsymbol{u}(t) \tag{2}$$
151 
$$\boldsymbol{X}(0) = \boldsymbol{X}_{0}$$

152 where  $X = (x_1 x_2 x_3 x_4 x_5 x_6 x_7)^T$ , in which  $x_{(t)}$  represents the C pools in leaves, roots, standing litter, surface litter, fast, slow and passive SOM at time t. The matrix A represents C transfer between pools 153 154 (Xu et al., 2006). K is a 7×7 diagonal matrix with diagonal entries. The elements on the diagonal indicate 155 the C processing rates of each pool (i = 1, 2, ..., 7).  $N_s$  is a 7×7 diagonal matrix with diagonal entries, 156 elements on the diagonal indicate the N limiting effects on the pools decomposition rates, which is represented by  $N_s(i) = \exp((\text{CN0-CN}(i))/\text{CN0})$  (i = 1, 2, ..., 7). For the C-only model, there is no any 157 158 diagonal matrix to represent the N limitation effects. u represents the C produced by canopy 159 photosynthesis, which is constrained by equation (1). b is a vector of partitioning coefficients of 160 photosynthetic products to leaves and roots.  $\xi(t)$  is an environmental scalar to account for effects of 161 temperature and humidity on decomposition (Luo et al., 2003).



162

163 Figure 1 Carbon and nitrogen pools and flux pathways in GECO model. Blue arrows show carbon transfer 164 processes, yellow arrows indicate nitrogen transfer processes, and green arrows represent C and N coupling





## 165 processes. SOM, soil organic matter.

166	In the coupled C-N model ,the N processes can be described by this formula:

167 
$$\frac{d}{dt}N(t) = A\xi(t)KN_s R^{-1}X(t) + \kappa_{\mu}N_{min}(t)\pi$$
(3)

168  $N(0) = N_0$ 

169 where  $N = (n_1 n_2 n_3 n_4 n_5 n_6 n_7)^{T}$ , in which  $n_{(t)}$  represents the N pools in leaves, roots, standing litter, 170 surface litter, fast, slow and passive SOM at time *t*. **R** is a 7×7 diagonal matrix with diagonal elements 171 indicating the C:N ratio of each pool.  $\mathbf{\pi} = (\pi_1 1 - \pi_1 0 0 0 0 0)^T$  is an allocation coefficient vector of N from 172 mineral soil to leaves and roots.  $\kappa_{\mu}$  is N uptake rate of plants,  $N \min(t)$  is the amount of available N in 173 the soil at time *t*. The dynamic equilibrium of mineral N in soil is determined by the input of 174 mineralization, biological fixation, atmospheric deposition, the output of plant input, leaching, and 175 gaseous N fluxes, which can be described by:

176 
$$\frac{d}{dt}N_{min}(t) = -(\kappa_u + \kappa_L)N_{min}(t) + A\xi(t)\varphi_1^*KN_sR^{-1}X(t) + F(t)$$
(4)

$$N_{min}(0) = N_{min},$$

178 In formula (4),  $\kappa_{\mu}$  and  $\kappa_{L}$  represent rates of N uptake and N loss, respectively.  $A\xi(t)\varphi_{1}^{*}KN_{s}R^{-1}X(t)$ 179 represents N mineralization,  $\varphi_{1}^{*}$  represents mineralization rate, and F(t) represents N input by biological 180 fixation and atmospheric deposition.

181 In this study, the initial pool sizes of leaves, roots, standing litter, surface litter, fast soil, slow soil, and 182 passive soil pools were constrained using ambient treatment data. The same values used in the ambient 183 treatment were used for initial pool sizes in the N addition treatment, assuming that there was no 184 significant difference between the ambient and supplemental N treatments before treatment began.

Compared with the coupled C-N model, the C-only model doesn't contain any N-cycle process. In this study, the C exit rates of 7 pools, the C allocation coefficients of GPP, and the C transfer coefficients were estimated using both the C-only model and the coupled C-N model. Meanwhile, N-related parameters such as N partitioning coefficient, N uptake, N loss, external N input, initial mineral N pool, and C:N ratios of different ecosystem components were estimated in the coupled C-N model.

# 190 **2.4 Data assimilation**

191 We used Markov-Chain Monte-Carlo (MCMC) to estimate parameters values of the GECO model. In 192 this method, the targeted parameters are considered as random variables within to a certain prior 193 probability distribution. According to the Bayesian theorem, the prior knowledge about the parameters





194	and the information contained in the data are fused to generate posterior distributions of the parameters
195	(Xu et al., 2006) as
196	$P(p Z) \propto P(Z p)P(p) \tag{5}$
197	In formula 5, $P(p)$ and $P(p Z)$ represent the prior probability density function (PDF) and posterior
198	probability density function (PPDF) of parameters, respectively. $P(Z \mid p)$ represents conditional
199	probability density of observation under the prior parameters, which is also called the likelihood function
200	of $p$ . We assume that the random error is normally distributed and has a mean of zero, so the likelihood
201	function can be represented as follows:
202	$P(Z p) \propto \exp\left\{-\sum_{i=1}^{17} \sum_{t \in Z_i} \frac{[Z_i(t) - \varphi_i X(t)]^2}{2\sigma_i^2(t)}\right\} $ (6)
203	In formula 6, $Z_i(t)$ and $\varphi_i X(t)$ =represent the measured value and simulated values of the observed
204	variable <i>i</i> at time <i>t</i> , and $\sigma_i$ is the standard deviation of the observed variable <i>i</i> . In this study, <i>i</i> from 1 to
205	17 represents seventeen data sets, which are the C or N contents of leaves, roots, standing litter, surface
206	litter, microbes, mineral soil and heterotrophic respiration, inorganic N in soil, soil mineralization, N
207	uptake by plant and external N input. $\varphi_i$ is the mapping vector that maps the simulated state variables to
208	the observed data. For example, the observation operator $\boldsymbol{\phi}$ is expressed as follows:
209	Leaf C and N: $\phi_1 = (1 \ 0 \ 0 \ 0 \ 0 \ 0)$
210	Root C and N: $\varphi_2 = (0 \ 1 \ 0 \ 0 \ 0 \ 0)$
211	Standing litter C and N: $\phi_3 = (0 \ 0 \ 1 \ 0 \ 0 \ 0 \ 0)$
212	Surface litter C and N: $\phi_4 = (0 \ 0 \ 0 \ 0.5 \ 0 \ 0 \ 0)$
213	Microbial C and N: $\varphi_5 = (0 \ 0 \ 0 \ 1 \ 0 \ 0)$
214	Mineral soil C and N: $\phi_6 = (0 \ 0 \ 0 \ 1 \ 1 \ 1)$
215	The Metropolis-Hastings (M-H) algorithm was used as the (Hastings, 1970; Metropolis et al., 1953)
216	MCMC sampler. The initial parameter set was randomly selected within the priori parameter ranges. At
217	each iteration, a set of parameters $(p_{new})$ is proposed based on the accepted parameters from the previous
218	iteration $(p_{k-1})$ . We accept $p_{new}$ only if $R = \frac{P(p^{new} Z)}{P(p^{k-1} Z)} \Rightarrow$ a random number between 0 and 1.
219	Otherwise, $p_{new}$ will be rejected, and we let $p_k = p_{k-1}$ to start the sampling of next iteration. The M-H
220	algorithm is repeated until 300,000 sets of parameter values have been accepted, and then all accepted
221	parameter values are used to construct the probability distribution functions (PDFs) (Weng and Luo,
222	2011; Xu et al., 2006).

223 **3. Results** 





## 224 3.1 Model performance on simulating C cycle under different N addition gradients

225 We selected 100 sets of parameter values from the PPDFs to run the GECO model and simulate the C 226 dynamics from 2014 to 2020 using the C-only model and the coupled C-N model, respectively. The two 227 models simulated the C pools in leaves, standing litter, surface litter, microbes, soil, and GPP well 228 compared to observations under the different N addition treatments (Figure 2 and Figure S1-S2). 229 Meanwhile, among 19 C-related parameters in both the C-only and coupled C-N models, nine were 230 well constrained by observations according to their posterior PDFs. These nine well-constrained 231 parameters are baseline leaf maximum carboxylation rate, C exit rates of root, leaf, standing litter, surface 232 litter, fast SOM, slow SOM, the allocation coefficients of C to root and leaf under all treatments. While 233 the C exit rate of the passive SOM and the transfer coefficients among pools (fi,j) were poorly constrained. 234 In this study, we used the comparison of well estimated parameters between two models to indicate the 235 N limitation in the C cycle processes represented by these parameters, and used the comparison of 236 different C pool sizes between different models to indicate N limitation in the C pools as well.





Figure 2 Comparison of observed and model-simulated GPP simulated by the coupled C-N model. A is the overall comparison under different addition rate; b, c, d, e, f, g are the time dynamics of observed (dots) and model-simulated (red lines) GPP simulated by the coupled C-N model under N0, N2, N4, N8, N16, N32 addition rate, respectively.

## 242 3.2 Estimated parameters between model structures and N addition treatments

The C-only and coupled C-N models led to different posterior PDFs of these well-constrained parameters under the different treatments (Figures 3 and 4). The leaf photosynthetic efficiency (LPE) was higher in the coupled C-N model than in the C-only model. But changes in estimated parameters between the Conly and coupled C-N models differed with different N addition treatments. The estimated LPE decreased with increasing N addition in the coupled C-N model, but the estimated LPE increased first





- 248 and then decreased with the increase of N addition in the C-only model. The divergent responses to N 249 addition treatments led to the results that the differences in the LPE between the coupled C-N model and 250 the C-only model got smaller with the increase of N addition rates (Figure 3). 251 C exit rates of all the pools were higher in the coupled C-N model than in the C-only model at the 252 ambient treatment (Figure 4). And with the increase of N addition rate (from N0 to N8), the differences 253 in the C exit rates of all the pools except the surface litter and fast SOM pools between the coupled C-N 254 model and the C-only model got smaller. But with the continuous increase of nitrogen addition (N16 & 255 N32), the differences of parameters between the C-only model and the coupled C-N model no longer 256 decreased, or even became greater. In general, the N addition effects on these parameters were consistent 257 in the C-only and coupled C-N model. N addition effects on parameterization varied among parameters. 258 C exit rate of the root pool decreased with the N addition rates, whereas the C exit rates of the standing 259 litter, fast SOM and slow SOM pools decreased first and then increased with the N addition rates. For
- 260 the remaining parameters, N addition didn't significantly change them (Figure 4).



261

262 Figure 3 Posterior distributions of estimated leaf photosynthetic efficiency (LPE) of the C-only and coupled

263 C-N models under N0, N2, N4, N8, N16, N32 addition rate, respectively.

264







265Figure 4 Posterior distributions of estimated key parameters of the C-only and coupled C-N models under266different N addition treatments. Baseline senescence rates of fine root (a) and leaf (b); baseline decomposition267rates of standing litter (c), surface litter (d), fast SOM (e), slow SOM (f),and passive SOM (g). The red and268blue boxes represent the distributions of estimated parameters of the C-only and coupled C-N models,269respectively.

## 270 3.3 Simulations of C dynamics with the C-only and coupled C-N models

The different ecosystem C pools exhibited divergent responses to N addition treatments in the simulations 271 272 by both the C-only and coupled C-N models. Simulated passive SOM pools showed no N addition effects 273 (Figure 5g). Except for passive SOM pool, most of the rest carbon pool size increased first and then 274 decreased with the increase of N addition (Figure 5). Simulated leaf and surface litter C pools reached 275 the maximum pool size at N16 treatment (Figures 5a, 5d). Simulated standing litter reached the maximum 276 pool size at N8 treatment (Figure 5c). However, simulated fast and slow SOM C pools reached the 277 maximum pool size at N4 treatment (Figures 5e, 5f). Because the slow SOM C accounts for about 90% 278 of the total soil C and accounts for about 70% of the total ecosystem C, total soil C and ecosystem pools 279 responded similarly with slow SOM under the N addition treatments (Figure 5h, 5i). Meanwhile, 280 simulated GPP also showed a unimodal response with the increase of N addition and the maximum GPP 281 values appeared at N16 treatment in both the C-only and coupled C-N model (Figure 6). 282 To further elucidate the N effects in the C only model, we tested the response of the coupled C-N 283 model without retuning parameters to compare the N limitation of ecosystem carbon pools and flux due

to the addition of N coupling components. The results showed that the simulated ecosystem C storage and GPP decreased if we ran the coupled C-N model with the parameters estimated by the C-only model (Figures 5 and 6). In addition, the decreased simulations by the coupled C-N model with the parameters estimated by the C-only model were greater at the ambient treatment, and the decreases were reduced

294





with the increase of N addition (Figures 5 and 6). However, when the N addition reached at the N8 treatment, the difference between simulations by the coupled C-N model with the parameters estimated by the C-only model and simulations by the coupled C-N model with its count part parameters became very small. Hence, the simulations of the C-only, coupled C-N models and the coupled C-N model with the parameters estimated by the parameters estimated by the C-only model were consistent at high N addition treatments (Figures 5 and 6).



Figure 5 Model simulated ecosystem C storage under different N addition treatments in 2020. They are root (a), leaf (b), standing litter (c), surface litter (d), fast SOM (e), slow SOM (f), passive SOM (g), total soil C storage (g) and total ecosystem (i) simulated by the C-only model with the C only parameters (blue bars), the coupled C-N model with the coupled C-N parameters (red bars) and the coupled C-N model with the C only parameters (green bars) under different N addition rates







Figure 6 Model simulated GPP under different N addition treatments in 2020. Blue bar is ecosystem C storage
 simulated by the C-only model with C-only parameters; Red bar is ecosystem C storage dynamic simulated
 by the coupled C-N model with coupled C-N parameters.

305 4. Discussion

300

## 306 4.1 Retrieving N limitation information by data assimilation and its implications

307 In this study, we used data assimilation to retrieve N limitation information from observations. Our results 308 indicated that N limitation information can be retrieved by the following ways. First, the differences 309 between the parameters estimated by the C-only model and the coupled C-N model with the same 310 observation set. The parameters estimated by models with the data assimilation technique can represent 311 the C cycle processes of an ecosystem, e.g., GPP allocation to leaf and root are represented by two 312 allocation coefficients, and soil decomposition is represented by the soil exit rate. Because in the C-only 313 model, the N-related information contained in the observation is implicitly represented in the estimated 314 parameters. But when a coupled C-N model is calibrated using the same observations, the N-related 315 information is no longer implicitly represented in the C-related parameters (Wang et al., 2022). Second, 316 the differences between the C pool dynamic simulated by the coupled C-N model with parameters from 317 C-only model and the C pool dynamic simulated by the coupled C-N model with its counterpart 318 parameters (Figure 7), because the N limitation information behind parameters estimated by the C-only 319 model can be intuitively expressed by the simulations of ecosystem C pools. And our method in





- 320 quantifying N limitation degree was confirmed by the N addition gradient in this study. 321 We highlight two main advantages of our method to retrieve nutrient limitation. On the one hand, by 322 comparing the parameters shift and pools size, we can evaluate the N limitation effect on any specific C 323 cycle processes of an ecosystem. And the N limitation degree can be quantitatively reflected by the 324 differences between estimated parameters or simulated pool size. On the other hand, the data set used for 325 data assimilation is no longer limited to nitrogen addition experiments. All of the observations which 326 contain the basic C and N condition can be used to evaluate the N limitation by a C-only model and the 327 coupled C-N model with data assimilation technique. In addition, there have been studies doing inverse 328 analysis using global data products (Bloom et al., 2016; Yang et al., 2021), it will become possible to 329 estimate the global nutrient limitation distribution by our method with increasing global data products 330 with high reliability. 331 Due to the important effect of N on ecosystem C dynamics, it is vital to evaluate N limitation degree 332 of an ecosystem. Understanding the N limitation condition at different areas can provide guidance for 333 policy making and ecosystem management. Different managements should be conducted according to
- their N restriction condition of a specific ecosystem, so as to manage an ecosystem most efficiently. Besides, the N limitation in different C cycle processes and pools were poorly understood because it is hard to measure (Vicca et al., 2018). Our method can retrieve N limitation information of any specific ecosystem C cycle processes or pools, by which we can adjust ecosystem functions and services more accurately by precise management.
- 339



340

341 Figure 7. A concept figure about how to map the global N limitation of a specific C pool.

342 **4.2** Retrieve nitrogen limitation information from different C cycle processes





343	In this study, we used two models: The C-only and coupled C-N models, to retrieve information on N
344	limitation. Our results show that the senescence rate from leaf and root, the decomposition rates of
345	standing litter, surface litter, fast SOM, slow SOM and passive SOM, the allocation coefficients of GPP
346	to leaf, and the LPE were higher in the C-N model than these in the C-only model at the ambient treatment
347	and lower in the N addition treatments (Figures 3, 4 and Figure S3). The N limitation degree was the
348	strongest at the ambient treatment, but the N regulations of C cycle processes are not explicitly simulated
349	and, thus, considered to be unresolved processes in the C-only model (Wang et al., 2022). Hence, the N
350	limitation on photosynthesis was reflected in a smaller value of LPE in the C-only model than that in the
351	coupled C-N model which incorporated a N module into the C model. Because N processes were
352	explicitly simulated in the coupled C-N model, N limitation effects on C processes were no longer
353	accounted by C-related parameter values (Figure 3). Meanwhile, the N limitation degree at the high N
354	addition treatments was much less than that at the ambient treatment (Figure S4). Therefore, differences
355	in the LPE between the coupled C-N model and the C-only model got smaller with the increase of N
356	addition rates (Figure 3), indicating that N limitation degree was reduced or even disappeared with the
357	increase of N addition rates. It needed to be specifically pointed out that we didn't consider any toxic
358	effect at the high N addition treatment because there was usually less N deposition in the real world than
359	in most field experiments (Adams et al., 2021).

360 Similarly, the senescence rates from leaf and root, the decomposition rates of standing litter, surface 361 litter, fast SOM, slow SOM and passive SOM were regulated by their counterpart C:N ratios (Niu et al., 362 2010), and this regulation was also reflected in this model (Wang et al., 2022). The N limitation on their 363 senescence rates and decomposition rates were reflected in smaller values in the C-only model than that in the coupled C-N model. Different from LPE, N limitation degree in those variables between the 364 365 coupled C-N model and the C-only model got similar at the middle N addition rates (N2, N4, N8). Because low-level N additions could alleviate N limitation but high-level N addition would harm plants 366 367 due to the ionic toxicity (Aber et al., 1998; LeBauer and Treseder, 2008; Niu et al., 2016). That was why the differences in some parameters estimated by the coupled C-N model and the C-only model even got 368 369 larger at high N addition treatments. However, some other parameters estimated by different models had 370 no significant difference in different N addition treatments. This may be due to the fact that these 371 parameters contain little N limitation information, and therefore these C cycle related processes are not

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373 C-N models. 374 4.3 Retrieve nitrogen limitation information from different ecosystem C pools 375 Although the N limitation information behind many estimated parameters was different, two models 376 simulated similar pool sizes when two sets of parameters were used in accordance with their different 377 structures (Figure 5). This was reasonable as models with different structures were supposed to similarly 378 simulate the dynamics of the same ecosystem under the same conditions (at every N addition treatment). 379 When we incorporated an N module into the C model, N processes are explicitly simulated, estimated 380 C-related parameters no longer contain N processes for the coupled C-N model, and the N limitation

regulated by N processes and can be considered to be resolved processes in both the C-only and coupled

381 information was retrieved from the C-only model as well.

382 By using the coupled C-N model with parameters estimated by the C-only model to simulate the 383 terrestrial ecosystem C dynamic, the N limitation information behind parameters can be intuitively 384 expressed. Our results showed that GPP, ecosystem C storage in plant and soil pools simulated by the 385 coupled C-N model with the parameters estimated by the C-only model all decreased at the N0 treatment 386 (Figures 5 and 6), but these C pools and GPP change got smaller with the increase of N addition (Figures 387 5 and 6). These results indicated that the parameters estimated in the C-only model did contain the N 388 limitation information, and the limitation degree reduced with increasing N addition rates. If there was 389 no N limitation in observations, the parameters estimated by either the C-only model or coupled C-N 390 model would not contain any N limitation information. Therefore, the C dynamic simulated by the 391 coupled C-N model with the parameters estimated by the C-only model would be consistent to the result 392 simulated by the C-only model. If there was N limitation in observations, the parameters estimated by 393 the C-only model would contain the N limitation information but the parameters estimated by the coupled 394 C-N model would not. Our results that the C fluxes and pools simulated by the coupled C-N model with 395 the parameters estimated by the C-only model was smaller than that simulated by the C-only model, 396 indicate clear N limitation information. The stronger the N limitation, the greater the decrease of the 397 simulation by the coupled C-N model with the parameters estimated by the C-only model (Figures 5 and 398 6).

Given that parameter values in their original C-only models were kept or manually tuned for the
new models with N processes (Koven et al., 2013; Sokolov et al., 2008; Zaehle and Friend, 2010),





401 predictions by the newly modified ESMs with the N modules mostly predict lower photosynthesis rates, 402 lower C sequestration, and lower ecosystem C storage than their C-only counterpart models. Our results 403 in this study reveal that such predictions of lower C storage with the coupled C-N models than their 404 original models may not reflect reality (Figure 8). In contrast, ESMs may underestimate both future 405 terrestrial C sequestration and the potential C-climate feedback because they overestimate N constraints.



<sup>407</sup>Figure 8 The influence of different N limitation degree on the parameterization of the C-only model and the408coupled C-N model. Blue line is the C storage simulated by the C-only model or coupled C-N model with data409assimilation, orange line is the C storage simulated by the coupled C-N model with parameters estimated by410the C-only model.

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412 Based on a 7-year field N addition experiment and data assimilation method, this study carried out an 413 inverse analysis with the C-only model and the coupled C-N model. We found that data assimilation technique could be used to retrieve N limitation information from observations. N limitation information 414 415 of some C cycle processes can be retrieved by comparing the differences between the parameters estimated by the C-only model and the coupled C-N model with the same observation. N limitation 416 417 information of the C pools and fluxes can be retrieved by comparing the differences between the C pool 418 dynamic simulated by the coupled C-N model with C-only parameters and the C pool dynamic simulated 419 by the coupled C-N model with its counterpart parameters. In addition, N additions had a unimodal 420 response on most ecosystem component C storage which was mainly determined by their exit rates in

<sup>411 5.</sup> Conclusion





421	this study. In general, we put forward a new method to retrieve N limitation information from
422	observations by data assimilation technique. With the increase of global data products, our method will
423	make it possible to estimate ecosystem nutrient limitation with high reliability and thus provide guidance
424	for policy making or ecosystem management.
425	
426	Data availability
427	All additional data produced in this study will be published FigShare (10.6084/m9.figshare.22094111)
428	
429	Author contribution: SW, JW and SN designed the experiments, evaluated the data and wrote the
430	manuscript. CS, YL were involved in the writing (review and editing) of the paper before submissions.
431	WC collected and arranged data. YZ, AY developed the conceptualization and methodology of this study.
432	
433	Competing interests: The authors declare that they have no conflict of interests.
434	Acknowledgments
435	This study was supported by National Key R & D Program of China (2022YFF0802102) and National
436	Science Foundation of China (31988102)
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