

1 **Differentiation of cognate bacterial communities in thermokarst**
2 **landscapes: implications for ecological consequences of permafrost**
3 **degradation**

4 Running title: Bacterial communities in thermokarst landscape

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15

16 **Abstract**

17 Thermokarst processes likely result in new habitats harboring novel bacterial communities
18 in degraded permafrost soil (PB), thermokarst lake sediments (SB), and lake water (WB).
19 Our study aimed to investigate the paired PB, SB, and WB across the Qinghai-Tibet Plateau
20 (QTP) by assessing the spatial pattern of diversity as well as assembly mechanisms of these
21 bacterial communities. Each habitat had distinct bacterial assemblages, with lower alpha
22 diversity and higher beta diversity in WB than in SB and PB. However, up to 41% of the
23 OTUs were shared by PB, SB, and WB, suggesting that many taxa originate from the same
24 sources via dispersal. SB and WB had reciprocal dispersal effects and both were correlated
25 with PB. Dispersal limitation was the most dominant assembly process shaping PB and SB
26 while homogeneous selection was the most dominant for WB. Bacterial communities of
27 the three habitats correlated differently with environmental variables, but latitude, mean
28 annual precipitation, and pH were the common factors associated with their beta diversity,
29 while total phosphorus was the common factor associated with their assembly processes.
30 Our results imply that thermokarst processes result in diverse habitats that have distinct
31 bacterial communities that differ in diversity, assembly mechanisms, and environmental
32 drivers.

33 **Keywords:** thermokarst; permafrost; bacteria, community assembly, Qinghai-Tibet
34 Plateau

35 **1 Introduction**

36 Permafrost is an important landscape in high latitude and altitude regions, covering 15%
37 of the land area of the Northern Hemisphere (Obu, 2021) and 40% of the Qinghai-Tibet
38 Plateau (QTP) (Zou et al., 2017; Gao et al., 2021), and containing twice as much carbon as

39 is currently present in the atmosphere (Schuur et al., 2009; Hugelius et al., 2014; Mishra et
40 al., 2021). Permafrost is highly sensitive to climate warming (Wu et al., 2007; Jorgenson
41 et al., 2010; Biskaborn et al., 2019), which is expected to reduce 50-90% of permafrost
42 cover by 2100 (Lawrence et al., 2012; Chadburn et al., 2017). As a result of ice-rich
43 permafrost thaw, thermokarst lakes and ponds are formed (Kokelj and Jorgenson, 2013;
44 Farquharson et al., 2016) and extensively distributed across the Arctic and sub-Arctic
45 regions (de Jong et al., 2018) as well as the QTP (Niu et al., 2011; Luo et al., 2020). The
46 initial sediment and water in thermokarst lakes originate from the melting of permafrost,
47 and they are continuously replenished through the collapse of permafrost and precipitation
48 (West and Plug, 2008; de Jong et al., 2018). Thus, thermokarst lake sediments and water,
49 as well as the surrounding degraded permafrost soil, represent three distinct habitats
50 derived from the original permafrost during the process of thermokarst formation (Figure
51 1). It is well known that thermokarst processes substantially influence regional
52 hydrological, ecological, and biogeochemical processes (Chin et al., 2016; In'T Zandt et
53 al., 2020; Manasypov et al., 2021) and initiate a strong positive climate feedback to global
54 warming (Walter et al., 2006; Schuur et al., 2008; Schaefer et al., 2011; Anthony et al.,
55 2018). However, the microbial differences and relationships among these distinct habitats
56 in thermokarst landscapes are largely unknown.

57 Understanding microbes in thermokarst landscapes, and elsewhere, is important because
58 microbial communities play pivotal roles in driving biogeochemical and ecological
59 processes. To understand thermokarst microbial communities, we need to understand the
60 assembly mechanisms structuring them, a central research topic in microbial ecology
61 (Stegen et al., 2012; Nemergut et al., 2013; Zhou et al., 2014; Zhou and Ning, 2017). In

62 the assembly of microbial communities, both deterministic and stochastic processes occur
63 simultaneously but with contributions that can vary (Chase, 2010; Zhou et al., 2013;
64 Vellend et al., 2014; Makoto et al., 2019). Typically, deterministic processes place a strong
65 emphasis on niche-based mechanisms, including ecological selection, environmental
66 filtering, and biotic interactions (Zhou and Ning, 2017). Conversely, stochastic processes
67 involve neutral mechanisms like random birth and death, unforeseen disturbance,
68 probability-based dispersal, and ecological drift (Chave, 2004; Chase, 2010; Zhou et al.,
69 2014). In various ecosystems or habitats, the significance of deterministic and stochastic
70 processes can differ greatly and be shaped by a multitude of environmental factors (Tripathi
71 et al., 2018; Aguilar and Sommaruga, 2020; Jiao and Lu, 2020; She et al., 2021). During
72 thermokarst formation, vast areas of permafrost have been transformed to thermokarst
73 lakes, leading to major changes in physicochemical environments as well as in biological
74 communities of these regions. Thus, it is also expected that the microbial communities
75 experience major changes in occupying degraded permafrost soil, thermokarst lake
76 sediments, and lake water, and in doing so, display different assembly mechanisms (Figure
77 1).

78 Better understanding community assembly in these systems is important because thawing
79 permafrost and thermokarst lakes are greenhouse gas emission hotspots (In'T Zandt et al.,
80 2020; Mu et al., 2020; Elder et al., 2021). Close relationships between biogeochemical
81 processes and microbial community assembly have been generally demonstrated (Bier et
82 al., 2015; Graham et al., 2016; Le Moigne et al., 2020; Ren et al., 2022a). Assembly
83 processes inevitably influence biogeochemical functions by shaping community diversity
84 and composition (Graham et al., 2016; Leibold et al., 2017; Mori et al., 2018). For example,

85 dispersal (a stochastic process) can suppress biogeochemical functioning by increasing the
86 proportion of maladapted taxa (Strickland et al., 2009; Nemergut et al., 2013; Graham and
87 Stegen, 2017), while selection (a deterministic process) may have positive influence on
88 biogeochemical function by facilitating locally adapted taxa (Graham et al., 2016). In
89 particular, stochastic dispersal has been suggested to suppress the mineralization of organic
90 carbon in soil and water (Le Moigne et al., 2020; Luan et al., 2020). Therefore, it is
91 hypothesized that the relative influence of deterministic and stochastic processes on
92 community assembly could impact the biogeochemical functions of microbial
93 communities (Strickland et al., 2009; Nemergut et al., 2013; Pholchan et al., 2013; Graham
94 and Stegen, 2017). Given the importance to understand how microbial community
95 variations affect the biogeochemical cycles in permafrost and thermokarst landscapes, it is
96 necessary to have a deeper understanding of the assembly mechanisms in shaping
97 microbial communities that form following permafrost degradation.

98 In this paper we evaluated these ideas on the Qinghai-Tibet Plateau (QTP), which is known
99 as the “Third Pole” of the Earth and is therefore uniquely positioned as an indicator of
100 global change (Yao et al., 2012). Pronounced environmental changes in response to climate
101 warming on the QTP have been observed and documented, especially in the past half
102 century (Piao et al., 2012; Zhang et al., 2018; Ren et al., 2019a). Major changes are
103 predicted to continue on the QTP and permafrost thawing is among the most prominent but
104 little is known about the microbial communities in these rapidly emerging ecosystems. To
105 fill this gap, we investigated water and sediment in thermokarst lakes across the QTP as
106 well as permafrost soil around the lakes (Figure 1). Our aims were to (1) assess the spatial
107 pattern of alpha and beta diversity of bacterial communities, and (2) evaluate the

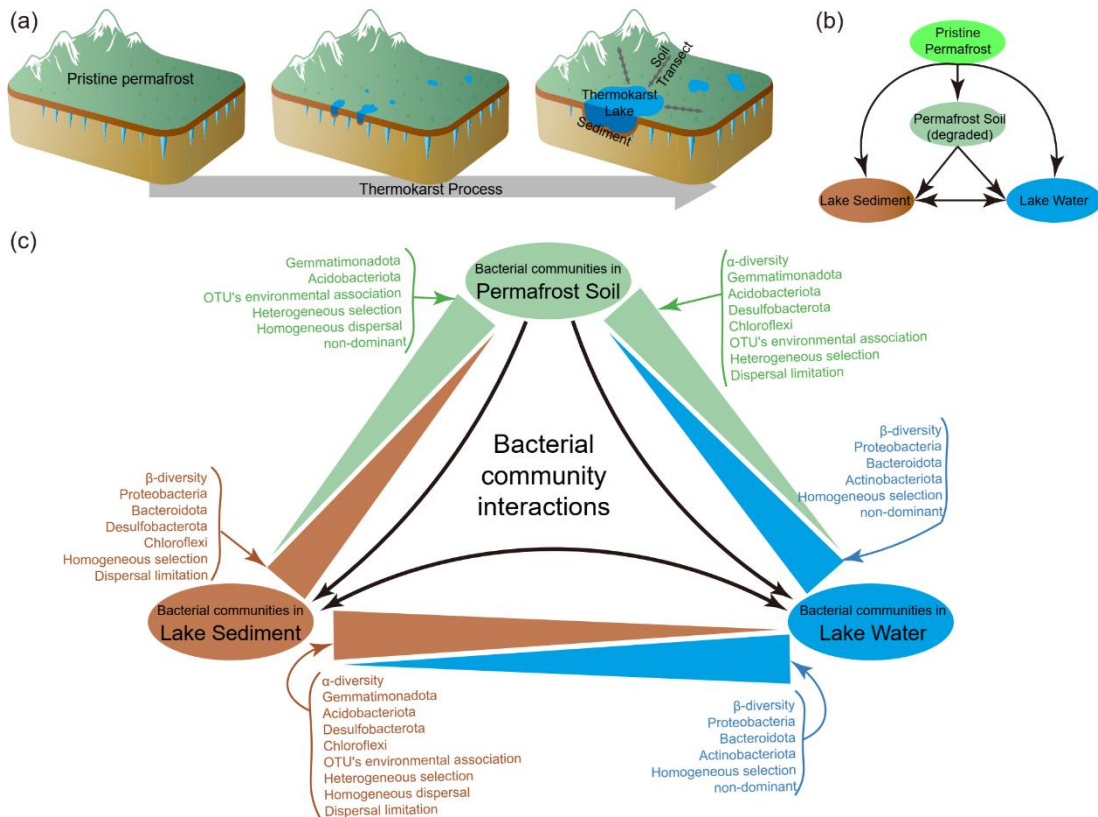
108 community assembly mechanisms and environmental responses of the bacterial
109 communities in degraded permafrost soil, as well as in the sediment and water of
110 thermokarst lakes.

111 **2 Methods**

112 *2.1 Study area, field sampling, and chemical analysis*

113 This work was conducted across the QTP in July 2021 (Figure S1). In total, 44 sites were
114 investigated by collecting paired samples of lake water, lake sediment, and surrounding
115 permafrost soil (Figure 1a) (Ren et al., 2022a). The sampling strategy and chemical
116 analysis methods were described in detail in our previous publications (Ren et al., 2022a,
117 b). For water sampling of each lake, surface water samples were collected at a depth of 0.3
118 to 0.5 m in three acid-clean bottles. For microbial analysis, 200 mL of water from each
119 bottle was filtered using a 0.2- μ m polycarbonate membrane filter (Whatman, UK) for DNA
120 extraction. For each lake, three filters were combined into one composite sample for DNA
121 extraction. The remaining water samples were transported to the lab for other
122 physicochemical measurements. For sediment sampling, the top 15 cm of sediment was
123 collected from 3 points. Sediment samples for microbial analysis were collected in a 45-
124 mL sterile centrifuge tube, and the remaining samples were air-dried for analyzing
125 physicochemical properties. For permafrost sampling, five topsoil cores were collected
126 along three 25-m transects with increasing distances to the lake shore, respectively. The
127 soils from one transects were homogenized. Soil samples for microbial analysis were stored
128 in 45-mL sterile centrifuge tubes and the remaining soils were used for analyzing
129 physicochemical properties. For each sampling site, pH, conductivity (Cond), organic
130 carbon (DOC in water and SOC in sediment and soil), total nitrogen (TN), and total

131 phosphorus (TP) were measured according to our previous publications (Ren et al., 2022a,
 132 b). Moreover, the QTP climate dataset (Zhou, 2018) was obtained from the National
 133 Tibetan Plateau Data Center (<https://data.tpdc.ac.cn/en/>), and was utilized to extract the
 134 mean annual temperature (MAT) and mean annual precipitation (MAP) for each of the
 135 study sites.



136
 137 Figure 1 (a) The process of thermokarst lake formation in ice-rich permafrost (modified
 138 from Ren et al, 2022a). (b) A schematic view of the relationships between permafrost soil,
 139 lake sediment, and lake water. (c) Summary of the differences between distinct habitats of
 140 the bacterial communities in permafrost soil, lake sediment, and lake water.

141 **2.2 DNA extraction, PCR, and sequencing**

142 The Magen Hipure Soil DNA Kit (Magen, China) was used to extract DNA from soil (0.5
143 g frozen soil), sediment (0.5 g frozen sediment), and water (membrane filters by filtering
144 600 mL lake water) samples according to the manufacturer's protocols. Extraction blanks
145 were routinely performed in parallel. The prokaryotic 16S rRNA gene's V3-V4
146 hypervariable regions were amplified using universal primers 343F-
147 TACGGRAGGCAGCAG and 798R-AGGGTATCTAATCCT (Nossa et al., 2010). PCRs
148 were conducted in 25 µl reaction mixture containing 2.5 µl of TransStart buffer, 2 µl of
149 dNTPs, 1 µl of each primer, 0.5 µl of TransStart Taq DNA polymerase, and 20 ng template
150 DNA. The PCR reactions were conducted on a thermal cycler (ABI GeneAmp® 9700,
151 USA) using the followed procedure: initial denaturation at 94 °C for 5 min, 24 cycles of
152 denaturation at 94 °C for 30 s followed by annealing at 56 °C for 30 s and extension at
153 72 °C for 20 s, and a final extension at 72 °C for 5 min. To reduce amplification bias, three
154 individual PCR amplifications were performed for each sample and the triplicate PCR
155 products were combined and purified. DNA libraries were verified on 2% agarose gels and
156 quantified using a Qubit 4 Fluorometer (Thermo Fisher Scientific, Waltham, USA). Next
157 generation sequencing of the amplicon products was conducted on an Illumina Miseq
158 Platform (Illumina, San Diego, CA, USA). Automated cluster generation and 250/300
159 paired-end sequencing with dual reads were performed following the manufacturer's
160 instructions. The forward and reverse reads were joined and assigned to samples based on
161 barcode and truncated by cutting off the barcode and primer sequence. Raw sequences were
162 trimmed of ambiguous bases and low-quality sequences (quality score lower than 20).
163 After trimming, the paired-end reads were joined and de-noised using QIIME1.9.1

164 (Caporaso et al., 2010). The sequences were subjected to the following denoising criteria:
165 sequences with ambiguous or homologous regions, as well as those below 200 bp in length,
166 were excluded; sequences with at least 75% of bases having a quality score above Q20
167 were retained; and chimeric sequences were identified and eliminated. All sequences from
168 extraction blanks were removed. The effective sequences were grouped into Operational
169 Taxonomic Units (OTUs) using a 97% sequence similarity threshold and annotated the
170 taxonomic classifications against the SILVA 138 database (released on 02-Nov-2020)
171 (Quast et al., 2013). The singletons were removed, and the sequences were rarefied to the
172 lowest number of sequences per sample (24,251 sequences) to eliminate the bias from the
173 sampling effort.

174 **2.3 Analyses**

175 Three α -diversity indices, including observed number of OTUs (OTU richness), Shannon
176 diversity, and phylogenetic diversity (PD), were calculated using QIIME 1.9.1 (Caporaso
177 et al., 2010). The “ses.mntd” function in the picante 1.8.2 package was used to calculate
178 the standardized effect size measure of the mean nearest taxon distance (SES.MNTD) for
179 assessing the phylogenetic clustering of bacterial communities (Kembel et al., 2010). The
180 β -diversity was calculated as the Bray-Curtis distance based on the relative abundance of
181 OTUs. In order to determine the habitat niche occupied by each taxon, we utilized the
182 "spaa" package (Zhang, 2016) in R to calculate the Levin's niche width (Levins, 1968). The
183 formula of niche breadth is $B_i = 1 / \sum_1^n p_i^2$, where B_i represents the niche breadth of OTU_{*i*}
184 across the communities, n is the total number of communities, and p_i is the proportion of
185 OTU_{*i*} in each community. Differences in α -diversity and β -diversity among bacterial
186 communities in different habitats, including permafrost soil bacterial communities (PB),

187 lake sediment bacterial communities (SB), and lake water bacterial communities (WB),
188 were assessed using Wilcoxon rank-sum test. The relationships between taxonomic and
189 environmental variables were assessed using Spearman correlation, and the P-values were
190 corrected using the FDR method (Benjamini and Hochberg, 1995). Mantel tests were
191 performed to examine the correlation between environmental variables and β -diversity. A
192 Non-metric Multidimensional Scaling (NMDS) analysis was conducted to examine the
193 distribution of PB, SB, and WB using the “metaMDS” function in the vegan 2.5-7 package
194 based on the Bray-Curtis distance using the relative abundance of OTUs. (Oksanen et al.,
195 2020). The distinctiveness of these communities was confirmed through a non-parametric
196 statistical test (ANOSIM) using the “anosim” function in the vegan package. The habitat
197 niche occupied by each species was estimated by calculating Levin’s niche breadth (Levins,
198 1968) with the use of the spa 0.2.2 package (Zhang, 2016). Species with a broader niche
199 breadth were distributed more evenly across a wider range of habitats, compared to those
200 with a narrower niche breadth.

201 Structural equation modeling (SEM) was conducted to assess the relationships among
202 location (including latitude, longitude, and elevation), climate (including mean annual
203 temperature and mean annual precipitation), and physicochemical variables (including pH,
204 conductivity, nutrients concentrations and stoichiometric ratios) of each habitat
205 (permafrost soil, lake sediment, and lake water), as well as their bacterial communities (PB,
206 SB, and WB). In model building, the SEM incorporated prior knowledges: (a) location and
207 climate factors potentially influence all the studied bacterial communities, (b)
208 physicochemical factor of each habitat potentially influences the corresponding bacterial
209 communities, and (c) permafrost soil potentially influences thermokarst lake sediment and

210 water, while lake sediment and water interact with each other. In the SEM, location, climate,
211 and physicochemical environments were reduced in dimensions by principal component
212 analysis (PCA), respectively, using the “prcomp” function of the vegan package, and the
213 first axis (PCA1) was used in SEM. For community structure, the first axis of NMDS was
214 used. SEM was constructed using the lavaan package (Rosseel, 2012). The fit of SEM was
215 assessed using standard indices, including chi-square (χ^2), goodness-of-fit index (GFI),
216 comparative fit index (CFI), root mean square residual (RMR), and root mean squared error
217 of approximation (RMSEA) (Hu and Bentler, 1999; Barrett, 2007).

218 Phylogenetic trees of bacteria were constructed in the R package ggtree 3.2.1 (Yu et al.,
219 2017) using the top 1000 abundant OTUs in PB, SB, and WB, respectively. For each
220 phylogenetic tree, a heatmap was built in the inner ring represents Spearman’s correlation
221 between OTUs and environmental variables. The middle ring was built to represent the
222 frequency of the OTUs in our studied sites. The outer ring was built to represent the relative
223 abundance of the OTUs.

224 A null model analysis was performed to investigate the processes shaping the assembly of
225 bacterial communities in permafrost soil, lake sediment, and lake water using the R
226 package picante 1.8.2 (Kembel et al., 2010). This analysis based on the calculation of the
227 beta nearest taxon index (β NTI) to measure the extent of deterministic processes in shaping
228 the phylogenetic composition of the communities, as well as a Bray–Curtis-based Raup-
229 Crick matrix (RC_{Bray}) to assess the relative influences of stochastic processes (Stegen et al.,
230 2013; Zhou and Ning, 2017). Because homogeneous selection results in communities that
231 share greater phylogenetic similarity, the proportion of homogeneous selection was
232 calculated as the fraction of pairwise comparisons with β NTI < -2. On the other hand,

233 heterogeneous selection, leading to communities with lesser phylogenetic similarity, was
234 measured as the fraction of pairwise comparisons with $\beta\text{NTI} > +2$. Because homogeneous
235 dispersal results in communities exhibiting greater taxonomic resemblance, the extent of
236 its impact was measured as the proportion of pairwise comparisons with $-2 < \beta\text{NTI} < 2$
237 and $\text{RC}_{\text{Bray}} < -0.95$. Conversely, communities constrained by dispersal limitation display
238 lesser taxonomic similarity, and the measure of dispersal limitation was derived from the
239 fraction of pairwise comparisons with $-2 < \beta\text{NTI} < 2$ and $\text{RC}_{\text{Bray}} > 0.95$. Finally, the
240 fraction of the pairwise comparisons with $-2 < \beta\text{NTI} < 2$ and $-0.95 < \text{RC}_{\text{Bray}} < 0.95$ was
241 identified as “undominated”. Mantel tests were conducted to test the relationships between
242 environmental variables and βNTI .

243 All the statistical analyses were carried out in R 4.1.2 (R Core Team, 2020).

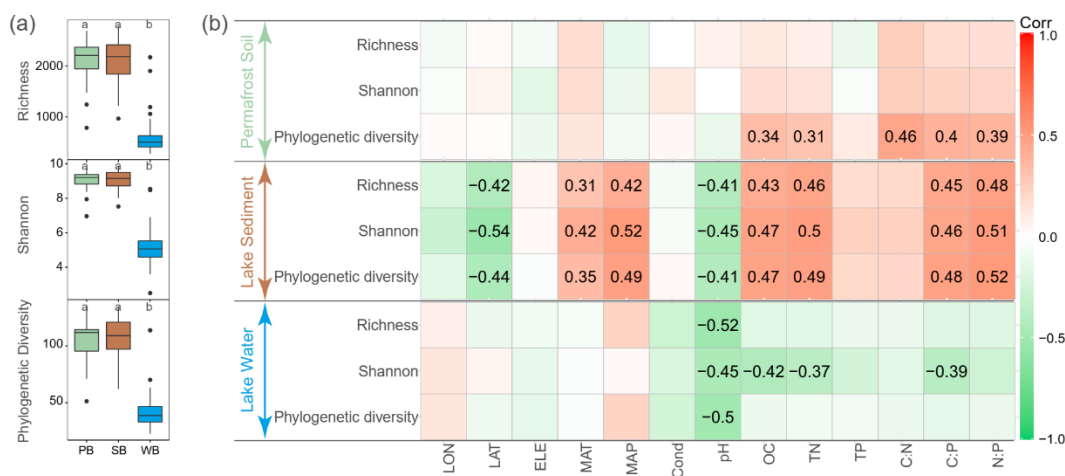
244 **3 Results**

245 *3.1 General distribution patterns of α -diversity*

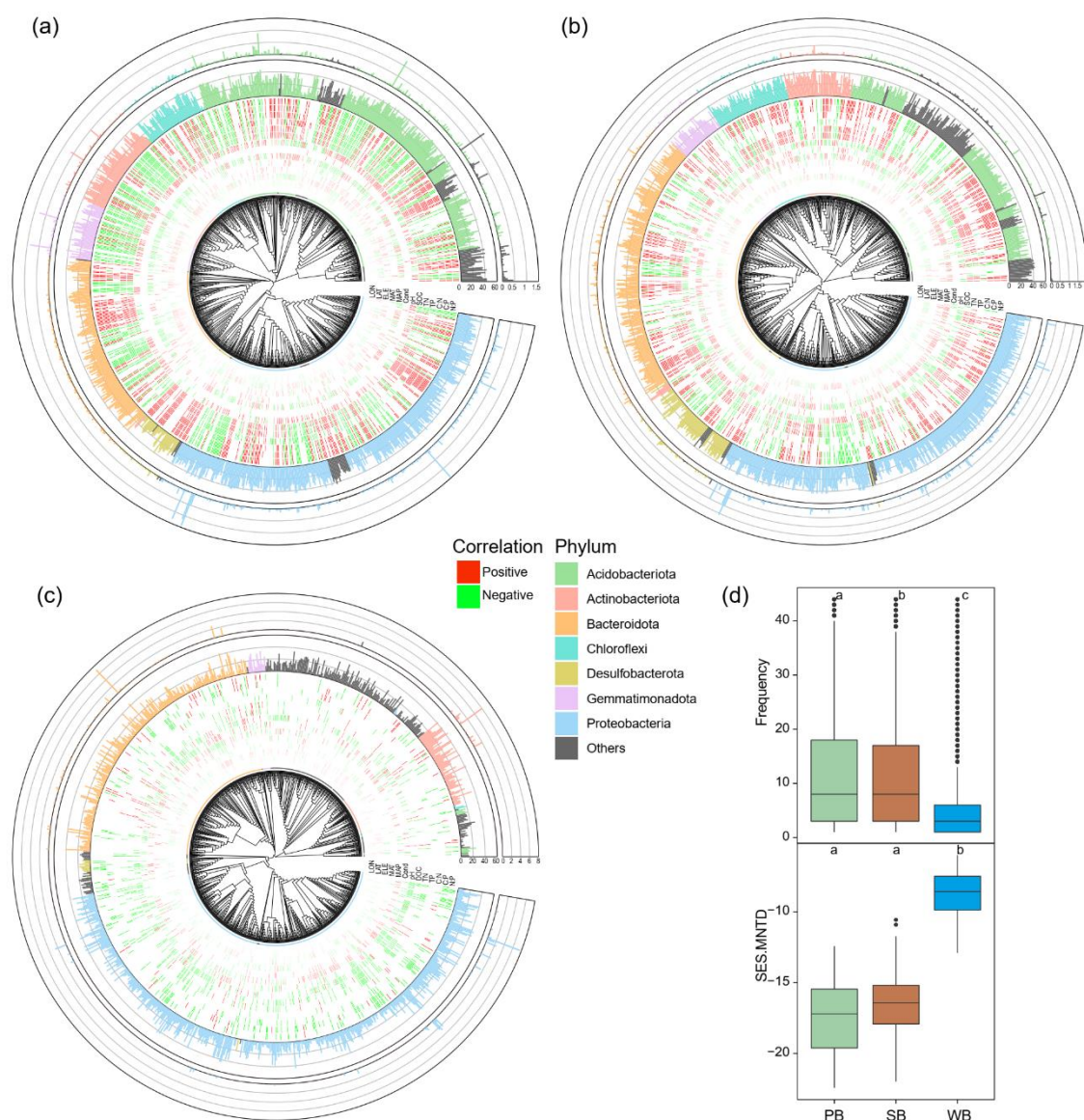
246 After quality filtering, 3,201,132 high quality sequences were obtained and clustered into
247 9,361 OTUs, of which, 3870 OTUs were core OTUs shared by bacterial communities in
248 permafrost soil, lake sediment, and lake water (Figure S2). Moreover, a large number of
249 OTUs were shared by PB and SB ($n=7053$), of which, 16.4% were enriched in lake
250 sediment and 19.3% were enriched in permafrost soil (Figure S2). However, a relatively
251 small number of OTUs were shared by PB and WB ($n=4007$) and by SB and WB ($n=4431$),
252 and only a very small proportion of OTUs were enriched in lake water (Figure S2).
253 Bacterial communities had a significantly lower α -diversity in lake water than in lake
254 sediment and permafrost soil (Figure 2a). α -diversity was not significantly different
255 between PB and SB (Figure 2a). Correlation analyses showed that phylogenetic diversity

256 of PB was positively correlated with SOC, TN, and C:N:P ratios (Figure 2b). For SB, α -
 257 diversity indices were positively correlated with MAT, MAP, SOC, TN, C:P and N:P,
 258 while negatively correlated with latitude and pH (Figure 2b). For WB, α -diversity indices
 259 were negatively correlated with pH, and Shannon diversity was negatively correlated with
 260 DOC, TN, and C:P (Figure 2b).

261 PB and SB had a significantly greater phylogenetic diversity than WB (Figure 2a and
 262 Figure 3). The OTUs in PB had significantly higher frequency than that of SB and WB
 263 (Figure 3). The top 1000 abundant OTUs in PB were highly correlated with environmental
 264 variables, particularly with latitude, MAP, SOC, TN, TP, and C:N:P ratios (Figure 3a). The
 265 top 1000 abundant OTUs in SB were more commonly positively correlated with MAP,
 266 SOC, TN, and C:N:P ratios, but more commonly negatively correlated with latitude and
 267 pH (Figure 3b). The top 1000 abundant OTUs in WB had relatively fewer significant
 268 relationships with environmental variables in general, but were negatively correlated with
 269 latitude, conductivity, pH, DOC, TN, and C:N:P ratios, while more positively correlated
 270 with MAP (Figure 3c). In addition, WB had significantly higher SES.MNTD than PB and
 271 SB (Figure 3d), suggesting higher phylogenetic clustering of bacterial taxa in WB.



273 Figure 2 (a) Alpha diversity of bacterial communities in permafrost soil (PB), lake
 274 sediment (SB), and lake water (WB). The different low-case letters represent significant
 275 differences assessed using Wilcoxon rank-sum test. (b) Spearman correlations show the
 276 relationships between alpha diversity and environmental factors. The color represents the
 277 correlation coefficient, which is shown in number when the result is statistically significant
 278 ($p < 0.05$).



279

280 Figure 3 Phylogenetic tree of the top 1000 OTUs in bacterial communities in (a) permafrost
281 soil, (b) lake sediment, and (c) lake water. Tree tips are colored by major phylum. The
282 inner ring of the heatmap represents spearman's correlation between OTUs and
283 environmental variables. Only significant ($p < 0.05$) results are shown. The middle ring
284 represents the frequency of the OTUs in our studied sites. The outer ring represents the
285 relative abundance of the OTUs. (d) Boxplots showing differences of OTU's frequency and
286 SES.MNTD values among bacterial communities in permafrost soil (PB), lake sediment
287 (SB), and lake water (WB). The different lower-case letters represent significant
288 differences assessed using Wilcoxon rank-sum test.

289 ***3.2 Community composition and β -diversity patterns***

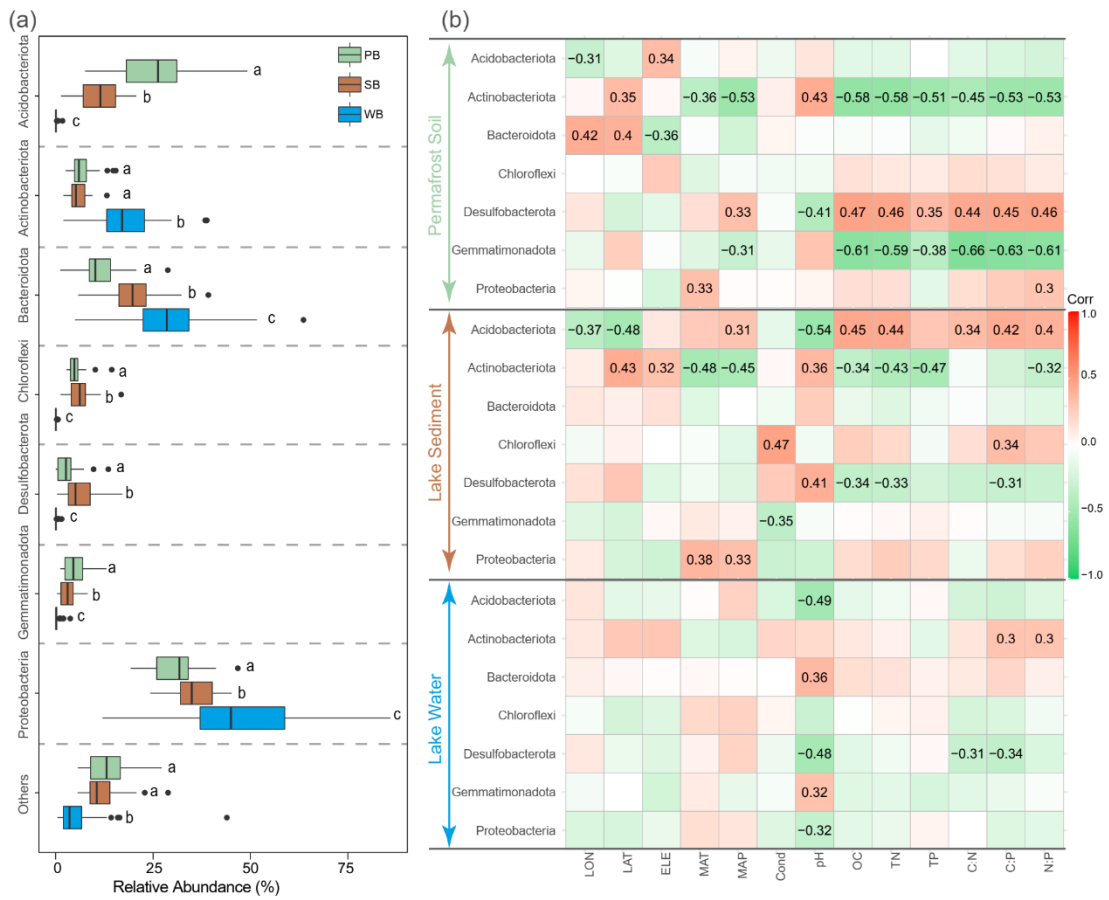
290 PB were dominated by Proteobacteria (30.4%), Acidobacteriota (25.3%), Bacteroidota
291 (11.4%), Actinobacteriota (6.8%), Chloroflexi (5.2%), and Gemmatimonadota (5.2%)
292 (Figure 4a). SB were dominated by Proteobacteria (35.2%), Bacteroidota (20.0%),
293 Acidobacteriota (11.3%), Desulfobacterota (6.4%), Chloroflexi (6.3%), and
294 Actinobacteriota (5.8%) (Figure 4a). WB were dominated by Proteobacteria (46.9%),
295 Bacteroidota (29.2%), and Actinobacteriota (17.4%) (Figure 4a). While Proteobacteria
296 were predominant in all three habitat types, these dominant phyla had significantly
297 different relative abundances among these habitats. Proteobacteria and Bacteroidota had a
298 significantly higher relative abundance in WB than in SB and PB (Figure 4a). The relative
299 abundance of Actinobacteriota was the highest in WB but was not significantly different
300 between PB and SB (Figure 4a). Gemmatimonadota and Acidobacteriota were
301 significantly enriched in PB than in SB and WB. Desulfobacterota and Chloroflexi were
302 significantly enriched in SB than in PB and WB (Figure 4a).

303 These phyla responded differently to environmental variables (Figure 4b). For example,
304 Actinobacteriota and Gemmatimonadota in PB and Actinobacteriota and Desulfobacterota
305 in SB were negatively correlated with nutrient concentrations and ratios, while
306 Desulfobacterota in PB and Acidobacteriota in SB were positively correlated with nutrient
307 concentrations and ratios (Figure 4b). pH frequently correlated with taxa in various
308 taxonomic groups across all three habitats (Figure 4b)

309 Nonmetric multidimensional scaling (NMDS) analysis along with non-parametric
310 statistical tests showed that bacteria in different habitats formed distinct communities
311 (Figure 5a). The extent of difference was larger for WB vs PB ($\beta=0.98$; $R_{ANOSIM} = 0.989$,
312 $P<0.001$) than the differences for WB vs SB ($\beta=0.96$; $R_{ANOSIM} = 0.967$, $P<0.001$). There
313 was the least dissimilarity between PB and SB ($\beta=0.81$; $R_{ANOSIM} = 0.384$, $P<0.001$). The
314 fitted SEM model showed that PB had direct effects on SB and WB, and the latter two had
315 reciprocal effects on each other (Figure 5b). In addition, location, climate, and permafrost
316 soil physicochemical environments had direct effects on PB. Climate had direct effects on
317 SB while lake water physicochemical environments had direct effects on WB (Figure 5b).

318 WB had a higher β -diversity than SB and PB, suggesting that bacterial communities were
319 more spatially heterogeneous in lake water than in lake sediment and permafrost soil
320 (Figure 5c). Taxa in PB had higher habitat niche breadths than taxa in SB and WB (Figure
321 5d). We estimated the distance decay relationship of bacterial community similarity.
322 Significant distance-decay relationships were observed for all communities but the fitness
323 values were relatively low (Figure S3), indicating weak decay of community similarity
324 with geographic distance in thermokarst landscape. We also explored the main
325 environmental variables that influence the variations of the bacterial communities (Figure

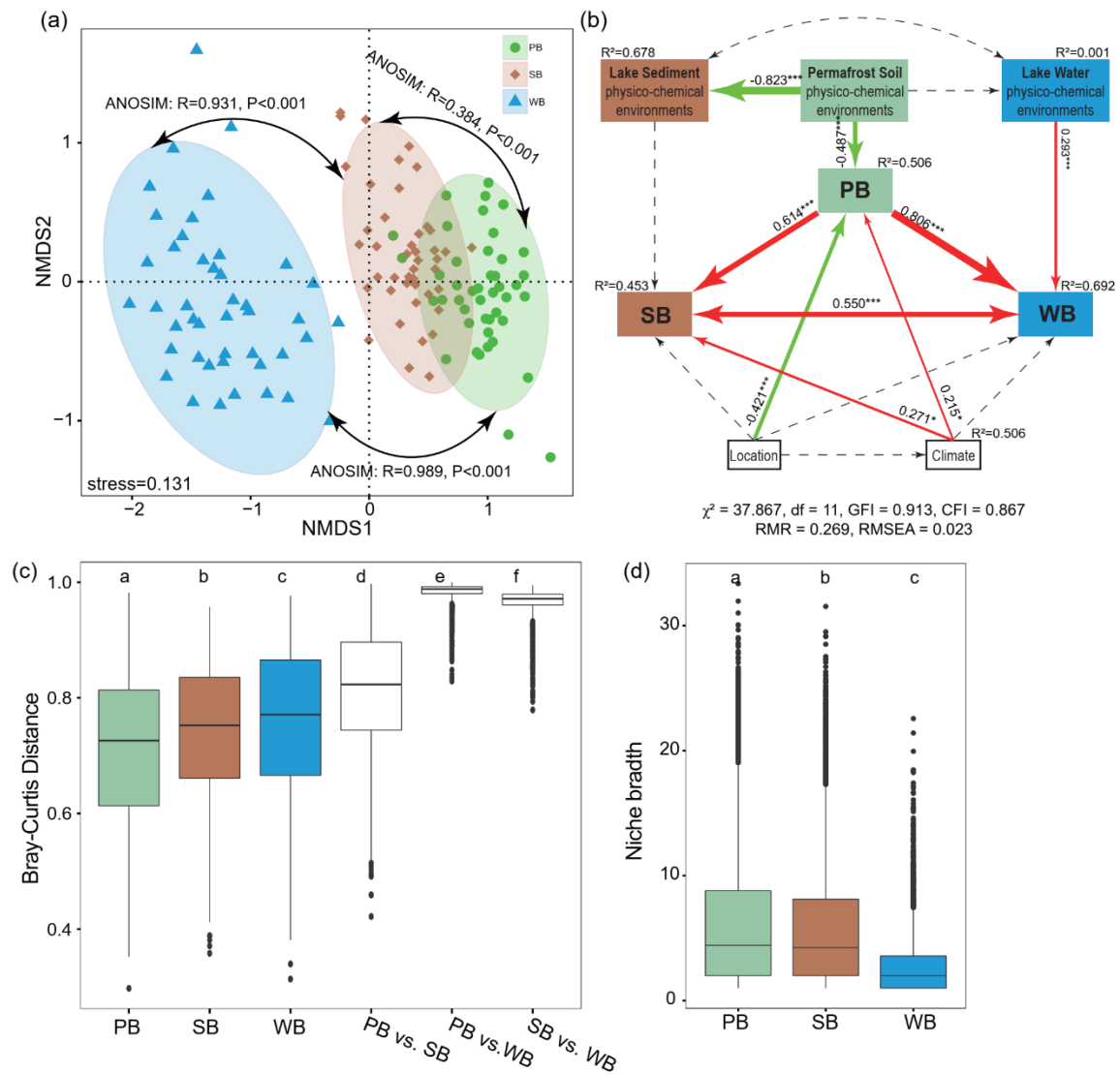
326 6). β -diversities of PB, SB, and WB were all significantly correlated with latitude, MAP,
 327 and pH (Figure 6). In addition, β -diversity of PB was also significantly correlated with all
 328 the other environmental variables except MAT and conductivity. β -diversity of SB was
 329 also significantly correlated with conductivity and C:N (Figure 6). β -diversity of WB was
 330 also significantly correlated with elevation, MAT, conductivity, DOC, TN, and TP (Figure
 331 6). The results suggested that the compositional variation among PB, SB, and WB was
 332 differentially structured by spatial, climatic, and physicochemical variables.



333
 334 Figure 4 (a) Relative abundances of major phyla in bacterial communities in permafrost
 335 soil (PB), lake sediment (SB), and lake water (WB). The different low-case letters represent
 336 significant differences assessed using Wilcoxon rank-sum test. (b) Spearman correlations

337 show the relationships between the relative abundance of major phyla and environmental
 338 factors. The color represents the correlation coefficient, which shown in number when the
 339 result is significant ($p < 0.05$).

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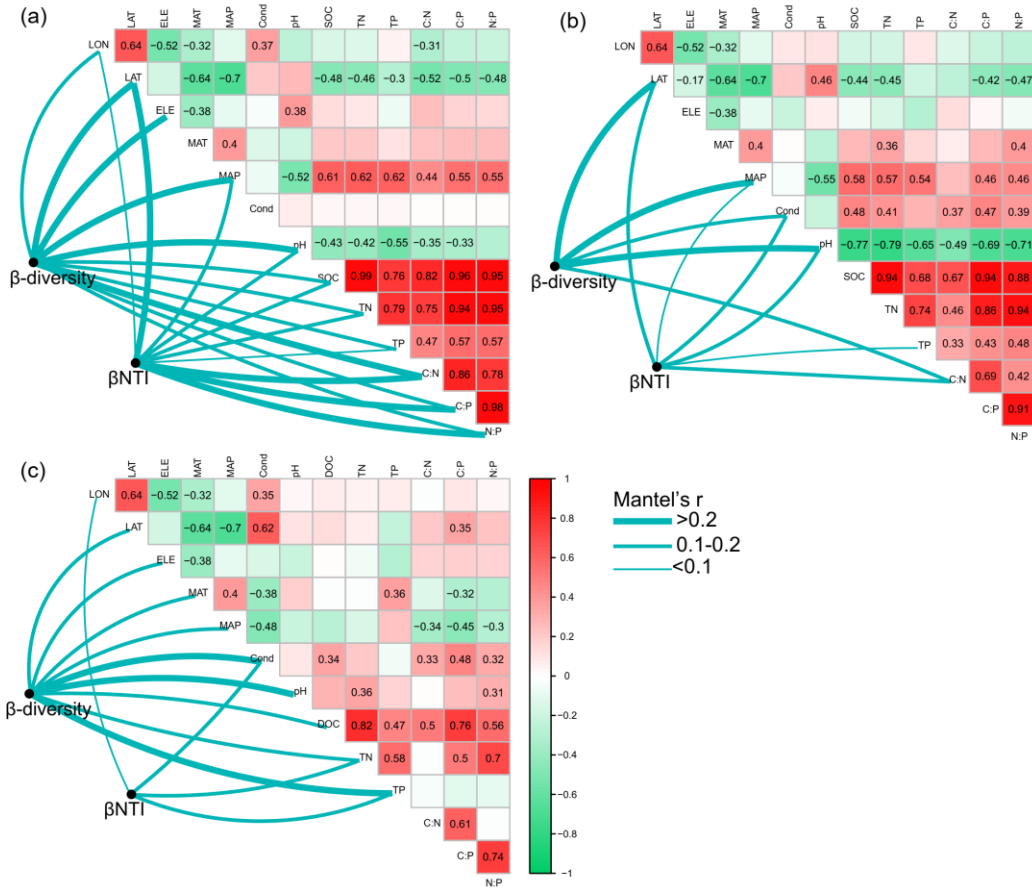
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342 Figure 5 (a) Non-metric multidimensional scaling (NMDS) ordination showing the
 343 distribution of bacterial communities in permafrost soil (PB), lake sediment (SB), and lake
 344 water (WB). The differences between these communities are confirmed by the non-

345 parametric statistical test (ANOSIM). (b) Structural equation modeling analysis depicting
346 the relationships between location (including latitude, longitude, and elevation), climate
347 (including mean annual temperature and mean annual precipitation), physicochemical
348 environments (pH, conductivity, nutrients concentrations and stoichiometric ratios) of each
349 habitat. Solid and dashed arrows represent the significant and nonsignificant relationships,
350 respectively. Red and green arrows represent positive and negative relationships,
351 respectively. Significant path coefficients are shown adjacent to the path with *, **, and
352 *** denoting the significant level of $p < 0.05$, $p < 0.01$, and $p < 0.001$, respectively. (c) β -
353 diversities within and between PB, SB, and WB. (d) Habitat niche breadth of the bacterial
354 communities.

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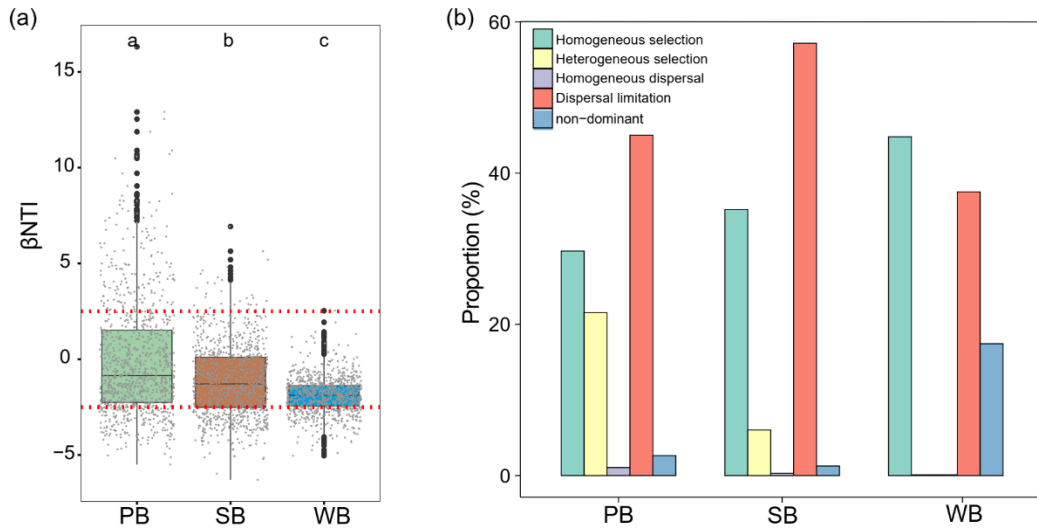
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358 Figure 6 Pairwise correlations between environmental variables as well as the Mantel tests
 359 between environmental variables and β -diversity and beta nearest taxon index (β NTI) for
 360 (a) bacterial communities in permafrost soil, (b) bacterial communities in lake sediment,
 361 and (c) bacterial communities in lake water. β -diversity was calculated as Bray-Curtis
 362 distance. The lines denote significant relationships while the line width represents the
 363 Mantel's r statistic. Pairwise correlations between environmental variables are shown in
 364 color gradient matrix. The color represents Pearson's correlation coefficient, which shown
 365 in number when the result is significant ($p < 0.05$). The abbreviations of the environmental
 366 variables are explained in the Methods section.

367 **3.3 Assembly processes**

368 To explore the mechanisms underlining the observed distribution patterns, a null-model-
369 based framework was employed to quantify the deviation of phylogenetic turnover. PB had
370 significantly higher β NTI than SB and WB (Figure 7a). Deterministic processes
371 contributed 51.3%, 41.2%, and 44.9% to community variations for the bacterial
372 communities in permafrost soil, lake sediment, and lake water, respectively (Figure 7b). In
373 particular, the results showed that homogeneous selection contributed a larger fraction to
374 the assembly of the WB (44.8%), followed by SB (35.2%) and PB (29.7%) (Figure 7b).
375 Heterogeneous selection influenced PB (21.6%) more than SB (6.0%) and WB (0.1%).
376 Dispersal limitation contributed a larger fraction to SB (57.2%) than to PB (45%) and WB
377 (37.5%).

378 The relationships between β NTI and major environmental variables were used to estimate
379 changes in the relative influences of deterministic and stochastic assembly processes.
380 Mantel tests showed that the assembly processes of bacterial communities in permafrost
381 soil, lake sediment, and lake water had similarities and differences in the responses to
382 environmental variables (Figure 6). Particularly, differences of TP were significantly
383 associated with β NTI of PB, SB, and WB, implying that an increasing divergence of TP
384 could contribute to a shift from homogeneous selection to heterogeneous selection in the
385 assembly of bacterial communities in the QTP thermokarst landscape. Moreover, β NTI of
386 PB was also significantly associated with other environmental variables, except elevation,
387 MAT, and conductivity. β NTI of SB was also significantly associated with latitude, MAP,
388 conductivity, pH, and C:N, while β NTI of WB was significantly associated with longitude,
389 conductivity, and TN.



390

391 Figure 7 (a) The values of β NTI with horizontal dashed red lines indicate upper and lower
 392 significance thresholds at β NTI = +2 and -2, respectively in the three habitat types. (b) The
 393 contribution of deterministic (homogeneous and heterogeneous selection) and stochastic
 394 (dispersal limitations and homogenizing dispersal) processes to turnover in the assembly
 395 of bacterial communities in permafrost soil (PB), lake sediment (SB), and lake water (WB).
 396 “Non-dominant” indicates that the fraction was not dominated by any single process.

397 4 Discussion

398 4.1 Alpha diversity and community composition

399 Thermokarst lakes are known to have sediments that derive from the permafrost soil and
 400 are constantly replenished by the collapse of nearby permafrost (Payette et al., 2004; West
 401 and Plug, 2008; Veremeeva et al., 2021). This suggests that permafrost soil and lake
 402 sediments are likely to have high levels of similarity in bacterial diversity and community
 403 composition. Thus, there is no doubt that permafrost soil, lake sediments, and lake water
 404 should share a certain number of bacteria. Indeed, our study showed that 41% OTUs were
 405 shared among PB, SB, and WB, while 75% OTUs were shared between PB and SB.

406 Additionally, our prior research has shown that there are close correlations between the
407 abiotic features of the two environments (Ren et al., 2022b). However, despite these
408 similarities and connections, we found substantial differences in the bacterial communities
409 of permafrost soil and lake sediments. As proposed by the Baas-Becking hypothesis (Baas-
410 Becking, 1934), environmental selection is partially responsible for variation in microbial
411 communities, which are also shaped by other ecological processes, such as diversification
412 and dispersal limitation. In our study, alpha diversity and the dominant phyla found in PB
413 and SB responded differently to various environmental variables. In addition, there were
414 significant differences in composition and structure among PB, SB, and WB, while the
415 dissimilarities between PB and SB were the lowest.

416 Bacterial communities in lake water had significantly lower alpha diversity as well as
417 distinct community composition and structure in comparison to bacterial communities in
418 permafrost soil and lake sediment. However, PB and SB had direct influence on WB. For
419 thermokarst lakes, the water first originates from the thawing of the ice-rich permafrost
420 and the lake is then fed by precipitation-derived and permafrost-derived water (Yang et al.,
421 2016a; Narancic et al., 2017; Wan et al., 2019). Microorganisms present in lake water have
422 a diverse range of sources, including terrestrial inputs and other sources such as bacteria
423 distributed with the atmosphere, associated with plants and animals, and carried by
424 migratory birds and animals (Ruiz-Gonzalez et al., 2015). Thus, there was a relatively small
425 proportion of OTUs shared between permafrost soil and lake water, as well as between
426 lake sediment and water, and only a few shared OTUs were enriched in lake water. It is a
427 well-established fact that different habitats often support distinct microbial communities
428 (Fierer et al., 2012; Hugerth et al., 2015; Louca et al., 2016). The contrast in bacterial

429 community composition between lake sediments and water has been extensively
430 documented (Briee et al., 2007; Gough and Stahl, 2011; Yang et al., 2016b; Ren et al.,
431 2017). In addition, sediment generally harbor a higher species-level diversity of bacteria
432 compared to lake water (Lozupone and Knight, 2007; Ren et al., 2019b). For example, in
433 a permafrost thaw pond of Andes, it was also found that water samples had lower alpha
434 diversity than lake sediment and permafrost samples (Aszalós et al., 2020). Permafrost soil
435 and lake sediment may provide more habitat heterogeneity for bacterial taxa than the water
436 column, supported by our observation that the bacterial taxa had higher niche breadth in
437 permafrost soil and lake sediment than in lake water. Moreover, in hydrologically
438 connected terrestrial-aquatic ecosystems, bacterial communities can present distinct but
439 directional spatial structure driven by terrestrial recruited taxa (Ruiz-Gonzalez et al., 2015).
440 Thus, these community similarities between distinct bacterial habitats might be the result
441 of common bacterial source (original permafrost) and the differences are likely caused by
442 subsequent environmental selection, colonization from multiple other bacterial sources,
443 and distinct assembly mechanisms.

444 Despite connections driven by dispersal, distinct thermokarst habitats had different
445 bacterial community composition, as seen in previous work (Ottoni et al., 2022). All the
446 dominant phyla were significantly different in relative abundance among PB, SB, and WB.
447 In this study, Proteobacteria, Bacteroidota, Actinobacteriota, Gemmatimonadota,
448 Acidobacteriota, Desulfobacterota, and Chloroflexi dominated bacterial communities in
449 permafrost soil and/or thermokarst lakes despite high variabilities. Similar dominance of
450 these taxa has also been found in permafrost and thermokarst landscapes in other areas
451 (Aszalós et al., 2020; Belov et al., 2020; Wu et al., 2022). The most commonly reported

452 bacterial groups in permafrost environments include members of Proteobacteria,
453 Acidobacteria, Actinobacteria, Bacteroidetes, Firmicutes, and Chloroflexi (Steven et al.,
454 2009; Altshuler et al., 2017; Ottoni et al., 2022), as observed in our samples.

455 ***4.2 Beta diversity and assembly processes***

456 In our studied regions across the QTP, PB, SB, and WB all had a high beta diversity
457 (average values > 0.7), with WB showing the highest, suggesting that bacterial
458 communities shifted substantially across the large spatial scale of our sampling. Moreover,
459 beta diversities of PB, SB, and WB were significantly correlated with each other, further
460 suggesting that the bacteria in different habitats had a considerable proportion of members
461 from the same source, the original pristine permafrost soil. The significantly lower mean
462 SES.MNTD for PB indicate that bacterial communities in permafrost soil were more
463 closely phylogenetically clustered and suffered stronger environmental filtering than those
464 in lake sediment and water (Langenheder et al., 2017), consistent with the observation that
465 PB had lower beta diversity than SB and WB. SES.MNTD is sensitive to changes in lineage
466 close to the phylogenetic tips (Kembel et al., 2010). The higher SES.MNTD observed for
467 SB and WB suggest the possibility that the bacteria in lake sediment and water exhibit a
468 substantial divergence in the co-occurring species, and thermokarst lakes have experienced
469 colonization by bacterial species originating from distinct clades or lineages from external
470 sources following permafrost thaw (Webb et al., 2002; Stegen et al., 2013).

471 The structure of bacterial communities can vary across spatiotemporal scales and different
472 habitats (Ren et al., 2017; Aguilar and Sommaruga, 2020; Pearman et al., 2020). A key
473 objective in the field of microbial ecology is to determine the relative influence of
474 stochastic and deterministic processes in shaping the assembly of communities (Stegen et

475 al., 2013; Zhou and Ning, 2017). In this study, bacterial communities in lake water
476 displayed a higher influence of homogeneous selection but lower influence of
477 heterogeneous selection compared to those in lake sediments and permafrost soil. Long-
478 term changes in thermokarst lakes result in homogenized habitats and consequently strong
479 homogenous selection on bacterial communities (Ning et al., 2019). In contrast, permafrost
480 soil is a highly heterogeneous environment across spatial scales (Etzelmüller, 2013;
481 Nitzbon et al., 2021), creating a wide range of habitats which can impose strong
482 heterogeneous selection pressures on bacterial communities. Furthermore, permafrost soil
483 is characterized by limited nutrient availability due to the frozen state of organic matters
484 (Beermann et al., 2017; Zhang et al., 2023), while lake water offers a more diverse and
485 abundant array of dissolved organic compounds and nutrients. As a result, bacterial
486 communities in permafrost soil might be more sensitive to variations in resource
487 availability, rendering them more strongly influenced by heterogeneous selection. In
488 addition, dispersal limitation contributed a larger fraction to SB (57.2%) than to PB (45%)
489 and WB (37.5%). The dispersal of microorganisms is often considered as a passive process
490 that results in community variation and turnover coupled with the function of
491 environmental filtering (Cline and Zak, 2014; Stegen et al., 2015; Custer et al., 2022). The
492 high dispersal limitation of microbial communities in thermokarst lakes may be attributed
493 to several factors. These include the isolated nature of thermokarst lakes, which are
494 endorheic and therefore have limited connectivity, as well as the strong restriction on
495 microbial dispersal and the presence of strong environmental filtering processes.
496 Additionally, the prolonged frozen phase of thermokarst lakes and permafrost soil restrict
497 the movement of microorganisms (Vargas Medrano, 2019; Vigneron et al., 2019).

498 Furthermore, geographical barriers, exemplified by prominent mountain ranges like the
499 Tanggula Mountains, Kunlun Mountains, Nyenchen Tanglha Mountains, and Bayan Har
500 Mountains, serve as impediments to the dispersal of both macro- and microorganisms (Wan
501 et al., 2016; Yu et al., 2019; Ren et al., 2022c). Particularly in lake sediment, where
502 bacterial communities are more isolated over distances and will not disperse as far as those
503 in lake water and permafrost soil, resulting in stronger influence of dispersal limitation
504 (Martiny et al., 2006; Xiong et al., 2012). Although the “everything is everywhere”
505 hypothesis suggests that many microorganisms have a cosmopolitan distribution, their
506 slow mobility allows for the development of regional phylogenetic differences and the
507 emergence of specialized, endemic taxa in isolated habitats, resulting in a low likelihood
508 of microorganisms dispersing to suitable distant sites (Telford et al., 2006). Therefore,
509 dispersal processes in this thermokarst landscape may be restricted by the lack of
510 hydrological connection, limited movement of water, short duration since thawing, and
511 strong environmental filtering, contributing to the observed high dispersal limitation in the
512 studied permafrost soil and thermokarst lakes. This inference is supported by many
513 previous studies showing that dispersal limitation plays a major role in structuring
514 microbial communities in lakes (Telford et al., 2006). Strong dispersal limitation for
515 bacterial communities in permafrost has also been documented across an Alaskan boreal
516 forest landscape (Bottos et al., 2018).

517 ***4.3 Environmental influences***

518 Understanding how environmental factors shape bacterial communities is a crucial aspect
519 in the field of microbial ecology (Fierer and Jackson, 2006; Pla-Rabes et al., 2011). In our
520 study, bacterial communities were differentially correlated with various measured

521 environmental variables. In our data, pH was consistently identified as a strong correlate
522 of microbial community structure and diversity, as is often observed in terrestrial and
523 aquatic ecosystems worldwide (Fierer and Jackson, 2006; Xiong et al., 2012). While such
524 correlations between pH and bacterial communities have been widely found, the regulation
525 mechanisms still remain unknown (Malard and Pearce, 2018). Moreover, the influences of
526 pH are often species- and location-specific (Malard and Pearce, 2018; Egelberg et al.,
527 2021). In this study, pH had significantly negative relationships with alpha diversity of
528 bacterial communities in lake sediment and water, and had negative or positive correlations
529 with some phyla. Moreover, differences in pH might drive community variation observed
530 between PB, SB, and WB, and shift community assembly processes for PB and SB.
531 Moreover, with permafrost degrading and thermokarst developing, nutrient status will be
532 strongly altered in permafrost areas. Organic carbon and nutrient stocks in permafrost are
533 decreasing (Turetsky et al., 2020; Wu et al., 2021) and thermokarst lakes are developing,
534 leading to dynamic environmental change (Luo et al., 2015; Vucic et al., 2020). These
535 environmental disruptions likely impose strong influences on bacterial communities in
536 thermokarst landscapes. Our study showed that nutrient (C, N, and P) concentrations and
537 stoichiometric ratios were strongly related to alpha diversity (particularly for SB) and
538 community variation and assembly (particularly for PB). High organic matter content, for
539 instance, has been shown to support diverse and complex microbial communities (Garrido-
540 Benavent et al., 2020; Ren and Gao, 2022). The role of nutrient availability in shaping
541 bacterial communities has also been well established (Torsvik et al., 2002; Lee et al., 2017;
542 Zhou et al., 2020). For example, Actinobacteria and Gemmatimonadota have a negative,
543 while Gemmatimonadota has a positive relationship with organic carbon and nutrients in

544 permafrost (Romanowicz and Kling, 2022; Fu et al., 2023), in line with our results. The
545 fact that different bacterial phyla exhibited varied responses to changes in organic carbon
546 and nutrient further emphasizes the intricate interplay between microorganisms and their
547 environment. Due to their ecological strategies, metabolic features, and environmental
548 preferences, bacteria in permafrost respond differentially to nutrient status and other
549 stressors, driving adaptive changes in community composition and function (Mackelprang
550 et al., 2017). In addition, compared to permafrost soil and lake water, lake sediment can
551 exhibit more stable physicochemical conditions. However, permafrost soil and lake water
552 experience more dynamic and extreme environmental changes, which drive the bacterial
553 communities. The results of SEM also in line with bacterial community assembly that
554 deterministic processes had stronger influences on PB and WB than on SB. In addition,
555 thermokarst lakes have sediment directly formed from permafrost soil, and thus, permafrost
556 soil environments and bacterial communities had strong associations with that of lake
557 sediment.

558 In addition to physicochemical environments, location and climate were also suggested to
559 influence bacterial communities in distinct habitats. On the QTP in particular, air
560 temperature and precipitation are increasing in most regions (Xu et al., 2008; Lu et al.,
561 2018). Warming and altered precipitation regimes under climate change have been
562 demonstrated to affect alpha diversity and composition of stream microbial communities
563 at continental scales (Picazo et al., 2020). Our study indicates that location (particularly
564 latitude) and climate (particularly MAP) factors are important in shifting bacterial
565 communities in thermokarst landscapes. Particularly for bacterial communities in
566 permafrost soil, location and climate have been evidenced as strong factors in shaping

567 microbial communities (Taş et al., 2018; Barbato et al., 2022). Understanding large-scale
568 pattern of bacterial communities is increasingly important to offer insights into the impacts
569 of climate change (Picazo et al., 2020; Ren et al., 2021). As global climate changes, QTP
570 is getting warmer and more humid (Xu et al., 2008; Lu et al., 2018). Therefore, significant
571 alterations to the physical, chemical, and biological properties of thermokarst lakes on the
572 QTP can be expected in the coming decades. Based on “space-for-time” substitution, our
573 study serves as a foundation for predicting the potential impact of climate change on
574 bacterial communities in thermokarst landscapes.

575 **5 Conclusion**

576 In this study, we investigated bacterial communities in paired water and sediment samples
577 in thermokarst lakes as well as permafrost soil around the lakes across the QTP. esults
578 showed that each habitat had distinct bacterial assemblages, with lower alpha diversity in
579 lake water and higher beta diversity in lake sediment and permafrost soil. There was
580 considerable overlap in OTUs across habitats. Bacterial communities in permafrost soil
581 and lake sediment were influenced by dispersal limitation, while those in lake water were
582 driven by homogeneous selection. Environmental variables, including latitude, mean
583 annual precipitation, and pH, affected bacterial community variations in all habitats. The
584 study highlights the unique bacterial communities and ecological impacts of permafrost
585 degradation in diverse habitats created by thermokarst processes.

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597 The authors declare no competing interests.

598 **Author's contributions:**

599 Z.R. designed the study, did the analyses, and prepared the manuscript, performed the field
600 work and laboratory work. All the authors prepared the manuscript.

601 **Ethics approval statement:**

602 Not applicable

603 **Permission to reproduce material from other sources:**

604 Not applicable

605 **Originality-Significance Statement:**

606 This is our original study and not submitted to elsewhere

607 **Supplementary Information**

608 Figure S1 Map of the 44 sampling sites of permafrost soil and thermokarst lakes across the
609 Qinghai-Tibet Plateau. The distribution of the permafrost was cited from Zou et al., 2017.
610 This map was cited from Ren et al, 2022a.

611 Figure S2 (a) Venn diagram showing the unique and shared OTUs among distinct bacterial
612 communities in permafrost soil (PB), lake sediment (SB), and lake water (WB). (b) The
613 volcano plot showing the shared OTUs that significantly (t-test, $P < 0.05$) enriched in a
614 certain habitat. The volcano plot was constructed using \log_2 (fold change) on x-axis and –
615 \log_{10} (p-values of t-test) on y-axis.

616 Figure S3 Distance-decay curves showing community similarity of bacterial communities
617 in permafrost soil (PB), lake sediment (SB), and lake water (WB) against geographic
618 distances between sampling sites. Solid lines denote the ordinary least-squares linear
619 regressions.

620

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