



16 **Abstract**

17 Thermokarst processes likely result in new habitats harboring novel bacterial communities
18 in degraded permafrost soil (PBCs), thermokarst lake sediments (SBCs), and lake water
19 (WBCs). Our study aimed to investigate the paired PBCs, SBCs, and WBCs across the
20 Qinghai-Tibet Plateau (QTP) by assessing the spatial pattern of diversity as well as
21 assembly mechanisms of these bacterial communities. Each habitat had distinct bacterial
22 assemblages, with lower alpha diversity and higher beta diversity in WBCs than in SBCs
23 and PBCs. However, up to 41% of the OTUs were shared by PBCs, SBCs, and WBCs,
24 suggesting that many taxa originate from the same sources via dispersal. SBCs and WBCs
25 had reciprocal dispersal effects and both were correlated with PBCs. Dispersal limitation
26 was the most dominant assembly process shaping PBCs and SBCs while homogeneous
27 selection was the most dominant for WBCs. Bacterial communities of the three habitats
28 correlated differently with environmental variables, but latitude, mean annual precipitation,
29 and pH were the common factors associated with their beta diversity, while total
30 phosphorus was the common factor associated with their assembly processes. Our results
31 imply that thermokarst processes result in diverse habitats that have distinct bacterial
32 communities that differ in diversity, assembly mechanisms, and environmental drivers.

33 **Keywords:** thermokarst; permafrost; bacteria, community assembly, Qinghai-Tibet
34 Plateau

35 **1 Introduction**

36 Permafrost is an important landscape in high latitude and altitude regions, covering 15%
37 of the land area of the Northern Hemisphere (Obu, 2021) and 40% of the Qinghai-Tibet
38 Plateau (QTP) (Zou et al., 2017; Gao et al., 2021), and containing twice as much carbon as



39 is currently present in the atmosphere (Schuur et al., 2009; Hugelius et al., 2014; Mishra et
40 al., 2021). Permafrost is highly sensitive to climate warming (Wu et al., 2007; Jorgenson
41 et al., 2010; Biskaborn et al., 2019), which is expected to reduce 50-90% of permafrost
42 cover by 2100 (Lawrence et al., 2012; Chadburn et al., 2017). As a result of ice-rich
43 permafrost thaw, thermokarst lakes and ponds are formed (Kokelj and Jorgenson, 2013;
44 Farquharson et al., 2016) and extensively distributed across the Arctic and sub-Arctic
45 regions (de Jong et al., 2018) as well as the QTP (Niu et al., 2011; Luo et al., 2020). The
46 initial sediment and water in thermokarst lakes originate from the melting of permafrost,
47 and they are continuously replenished through the collapse of permafrost and precipitation
48 (West and Plug, 2008; de Jong et al., 2018). Thus, thermokarst lake sediments and water,
49 as well as the surrounding degraded permafrost soil, represent three distinct habitats
50 derived from the original permafrost during the process of thermokarst formation (Figure
51 1). It is well known that thermokarst processes substantially influence regional
52 hydrological, ecological, and biogeochemical processes (Chin et al., 2016; In'T Zandt et
53 al., 2020; Manasypov et al., 2021) and initiate a strong positive climate feedback to global
54 warming (Walter et al., 2006; Schuur et al., 2008; Schaefer et al., 2011; Anthony et al.,
55 2018). However, the microbial differences and relationships among these distinct habitats
56 in thermokarst landscapes are largely unknown.

57 Understanding microbes in thermokarst landscapes, and elsewhere, is important because
58 microbial communities play pivotal roles in driving biogeochemical and ecological
59 processes. To understand thermokarst microbial communities, we need to understand the
60 assembly mechanisms structuring them, a central research topic in microbial ecology
61 (Stegen et al., 2012; Nemergut et al., 2013; Zhou et al., 2014; Zhou and Ning, 2017). In



62 the assembly of microbial communities, both deterministic and stochastic processes occur
63 simultaneously but with contributions that can vary (Chase, 2010; Zhou et al., 2013;
64 Vellend et al., 2014; Makoto et al., 2019). Typically, deterministic processes place a strong
65 emphasis on niche-based mechanisms, including ecological selection, environmental
66 filtering, and biotic interactions (Zhou and Ning, 2017). Conversely, stochastic processes
67 involve neutral mechanisms like random birth and death, unforeseen disturbance,
68 probability-based dispersal, and ecological drift (Chave, 2004; Chase, 2010; Zhou et al.,
69 2014). In various ecosystems or habitats, the significance of deterministic and stochastic
70 processes can differ greatly and be shaped by a multitude of environmental factors (Tripathi
71 et al., 2018; Aguilar and Sommaruga, 2020; Jiao and Lu, 2020; She et al., 2021). During
72 thermokarst formation, vast areas of permafrost have been transformed to thermokarst
73 lakes, leading to major changes in physicochemical environments as well as in biological
74 communities of these regions. Thus, it is also expected that the microbial communities
75 experience major changes in occupying degraded permafrost soil, thermokarst lake
76 sediments, and lake water, and in doing so, display different assembly mechanisms (Figure
77 1).

78 Better understanding community assembly in these systems is important because thawing
79 permafrost and thermokarst lakes are greenhouse gas emission hotspots (In'T Zandt et al.,
80 2020; Mu et al., 2020; Elder et al., 2021). Close relationships between biogeochemical
81 processes and microbial community assembly have been generally demonstrated (Bier et
82 al., 2015; Graham et al., 2016; Le Moigne et al., 2020; Ren et al., 2022a). Assembly
83 processes inevitably influence biogeochemical functions by shaping community diversity
84 and composition (Graham et al., 2016; Leibold et al., 2017; Mori et al., 2018). For example,



85 dispersal (a stochastic process) can suppress biogeochemical functioning by increasing the
86 proportion of maladapted taxa (Strickland et al., 2009; Nemergut et al., 2013; Graham and
87 Stegen, 2017), while selection (a deterministic process) may have positive influence on
88 biogeochemical function by facilitating locally adapted taxa (Graham et al., 2016). In
89 particular, stochastic dispersal has been suggested to suppress the mineralization of organic
90 carbon in soil and water (Le Moigne et al., 2020; Luan et al., 2020). Therefore, it is
91 hypothesized that the relative influence of deterministic and stochastic processes on
92 community assembly could impact the biogeochemical functions of microbial
93 communities (Strickland et al., 2009; Nemergut et al., 2013; Pholchan et al., 2013; Graham
94 and Stegen, 2017). Given the importance to understand how microbial community
95 variations affect the biogeochemical cycles in permafrost and thermokarst landscapes, it is
96 necessary to have a deeper understanding of the assembly mechanisms in shaping
97 microbial communities that form following permafrost degradation.

98 In this paper we evaluated these ideas on the Qinghai-Tibet Plateau (QTP), which is known
99 as the “Third Pole” of the Earth and is therefore uniquely positioned as an indicator of
100 global change (Yao et al., 2012). Pronounced environmental changes in response to climate
101 warming on the QTP have been observed and documented, especially in the past half
102 century (Piao et al., 2012; Zhang et al., 2018; Ren et al., 2019a). Major changes are
103 predicted to continue on the QTP and permafrost thawing is among the most prominent but
104 little is known about the microbial communities in these rapidly emerging ecosystems. To
105 fill this gap, we investigated water and sediment in thermokarst lakes across the QTP as
106 well as permafrost soil around the lakes (Figure 1). Our aims were to (1) assess the spatial
107 pattern of alpha and beta diversity of bacterial communities, and (2) evaluate the



108 community assembly mechanisms and environmental responses of the bacterial
109 communities in degraded permafrost soil, as well as in the sediment and water of
110 thermokarst lakes.

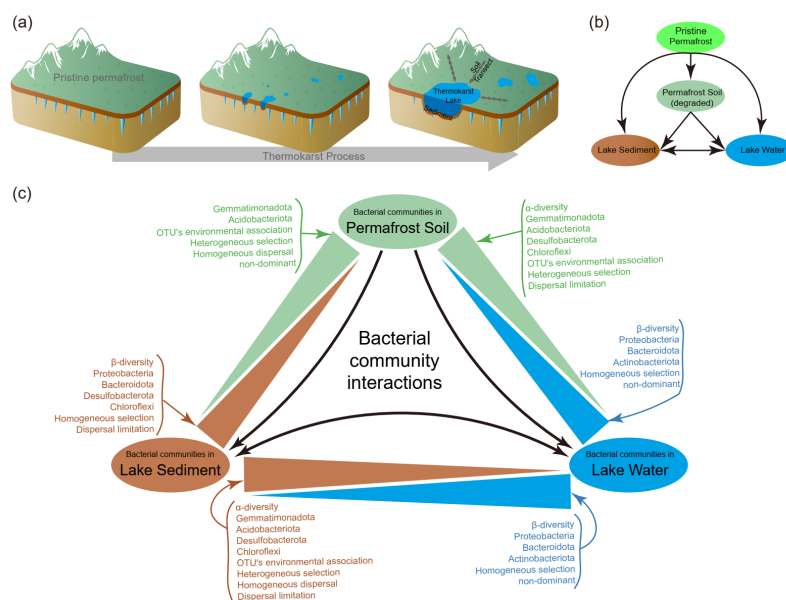
111 **2 Methods**

112 *2.1 Study area, field sampling, and chemical analysis*

113 This work was conducted across the QTP in July 2021 (Figure S1). In total, 44 sites were
114 investigated by collecting paired samples of lake water, lake sediment, and surrounding
115 permafrost soil (Figure 1a) (Ren et al., 2022a). The sampling strategy and chemical
116 analysis methods were described in detail in our previous publications (Ren et al., 2022a,
117 b). For water sampling of each lake, surface water samples were collected at a depth of 0.3
118 to 0.5 m with three replicates. For microbial analysis, 200 mL of water was filtered using
119 a 0.2- μ m polycarbonate membrane filter (Whatman, UK) for DNA extraction. The
120 remaining water samples were transported to the lab for other physicochemical
121 measurements. For sediment sampling, the top 15 cm of sediment was collected from 3
122 points. Sediment samples for microbial analysis were collected in a 45-mL sterile
123 centrifuge tube, and the remaining samples were air-dried for analyzing physicochemical
124 properties. For permafrost sampling, five topsoil cores were collected along three 25-m
125 transects with increasing distances to the lake shore, respectively. The soils from one
126 transects were homogenized. Soil samples for microbial analysis were stored in 45-mL
127 sterile centrifuge tubes and the remaining soils were used for analyzing physicochemical
128 properties. For each sampling site, pH, conductivity (Cond), organic carbon (DOC in water
129 and SOC in sediment and soil), total nitrogen (TN), and total phosphorus (TP) were
130 measured according to our previous publications (Ren et al., 2022a, b). Moreover, the QTP



131 climate dataset (Zhou, 2018) was obtained from the National Tibetan Plateau Data Center
132 (<https://data.tpdc.ac.cn/en/>), and was utilized to extract the mean annual temperature (MAT)
133 and mean annual precipitation (MAP) for each of the study sites.



134

135 Figure 1 (a) The process of thermokarst lake formation in ice-rich permafrost (modified
136 from Ren et al, 2022a). (b) A schematic view of the relationships between permafrost soil,
137 lake sediment, and lake water. (c) Summary of the differences between distinct habitats of
138 the bacterial communities in permafrost soil, lake sediment, and lake water.

139 2.2 DNA extraction, PCR, and sequencing

140 The methods of DNA extraction, PCR, and sequencing were described in detail in our
141 previous publication (Ren et al., 2022a). In brief, the Magen Hipure Soil DNA Kit (Magen,
142 China) was used to extract DNA from soil, sediment, and water samples according to the
143 manufacturer's protocols. The prokaryotic 16S rRNA gene's V3-V4 hypervariable regions
144 were amplified using universal primers 343F-TACGGRAGGCAGCAG and 798R-



145 AGGGTATCTAATCCT (Nossa et al., 2010). To reduce amplification bias, three
146 individual PCR amplifications were performed for each sample and the triplicate PCR
147 products were combined, purified, and quantified. Sequencing of the amplicon products
148 was done on the Illumina MiSeq platform (Illumina, San Diego, CA, USA) following the
149 manufacturer's instructions. Raw sequences were trimmed of ambiguous bases and low-
150 quality sequences, and paired-end reads were joined and de-noised using QIIME1.9.1
151 (Caporaso et al., 2010). The effective sequences were grouped into Operational Taxonomic
152 Units (OTUs) using a 97% sequence similarity threshold against the SILVA 138 database
153 (Quast et al., 2013). The singletons were removed, and the sequences were normalized to
154 24,251 sequences per sample to eliminate the bias from the sampling effort.

155 **2.3 Analyses**

156 Three α -diversity indices, including observed number of OTUs (OTU richness), Shannon
157 diversity, and phylogenetic diversity (PD), were calculated using QIIME 1.9.1 (Caporaso
158 et al., 2010). The “ses.mntd” function in the picante 1.8.2 package was used to calculate
159 the standardized effect size measure of the mean nearest taxon distance (SES.MNTD) for
160 assessing the phylogenetic clustering of bacterial communities (Kembel et al., 2010). The
161 β -diversity was calculated as the Bray-Curtis distance based on the relative abundance of
162 OTUs. Differences in α -diversity and β -diversity among bacterial communities in different
163 habitats, including permafrost soil bacterial communities (PBCs), lake sediment bacterial
164 communities (SBCs), and lake water bacterial communities (WBCs), were assessed using
165 Wilcoxon rank-sum test. The relationships between taxonomic and environmental
166 variables were assessed using Spearman correlation. Mantel tests were performed to
167 examine the correlation between environmental variables and β -diversity. A Non-metric



168 Multidimensional Scaling (NMDS) analysis was conducted to examine the distribution of
169 PBCs, SBCs, and WBCs using the “metaMDS” function in the vegan 2.5-7 package
170 (Oksanen et al., 2020). The distinctiveness of these communities was confirmed through a
171 non-parametric statistical test (ANOSIM) using the “anosim” function in the vegan
172 package. The habitat niche occupied by each species was estimated by calculating Levin’s
173 niche breadth (Levins, 1968) with the use of the spa 0.2.2 package (Zhang, 2016). Species
174 with a broader niche breadth were distributed more evenly across a wider range of habitats,
175 compared to those with a narrower niche breadth.

176 Structural equation modeling (SEM) was conducted to assess the relationships among
177 location (including latitude, longitude, and elevation), climate (including mean annual
178 temperature and mean annual precipitation), and physicochemical parameters (including
179 pH, conductivity, nutrients concentrations and stoichiometric ratios) of each habitat. In the
180 SEM, location, climate, and physicochemical environments were reduced in dimensions
181 by principal component analysis (PCA), respectively, using the “prcomp” function of the
182 vegan package, and the first axis (PCA1) was used. For community structure, the first axis
183 of NMDS was used.

184 Phylogenetic trees of bacteria were constructed in the R package ggtree 3.2.1 (Yu et al.,
185 2017) using the top 1000 abundant OTUs in PBCs, SBCs, and WBCs, respectively. For
186 each phylogenetic tree, a heatmap was built in the inner ring represents Spearman’s
187 correlation between OTUs and environmental variables. The middle ring was built to
188 represent the frequency of the OTUs in our studied sites. The outer ring was built to
189 represent the relative abundance of the OTUs.



190 A null model analysis was performed to investigate the processes shaping the assembly of
191 bacterial communities in permafrost soil, lake sediment, and lake water using the R
192 package picante 1.8.2 (Kembel et al., 2010). This analysis based on the calculation of the
193 beta nearest taxon index (β NTI) to measure the extent of deterministic processes in shaping
194 the phylogenetic composition of the communities, as well as a Bray–Curtis-based Raup-
195 Crick matrix (RC_{Bray}) to assess the relative influences of stochastic processes (Stegen et al.,
196 2013; Zhou and Ning, 2017). Mantel tests were conducted to test the relationships between
197 environmental variables and β NTI.

198 All the statistical analyses were carried out in R 4.1.2 (R Core Team, 2020).

199 **3 Results**

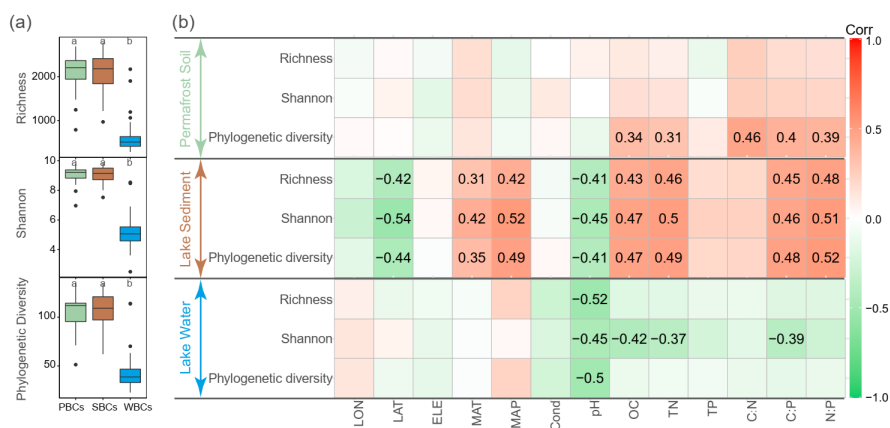
200 ***3.1 General distribution patterns of α -diversity***

201 After quality filtering, 3,201,132 high quality sequences were obtained and clustered into
202 9,361 OTUs, of which, 3870 OTUs were core OTUs shared by bacterial communities in
203 permafrost soil, lake sediment, and lake water (Figure S2). Moreover, a large number of
204 OTUs were shared by PBCs and SBCs ($n=7053$), of which, 16.4% were enriched in lake
205 sediment and 19.3% were enriched in permafrost soil (Figure S2). However, a relatively
206 small number of OTUs were shared by PBCs and WBCs ($n=4007$) and by SBCs and WBCs
207 ($n=4431$), and only a very small proportion of OTUs were enriched in lake water (Figure
208 S2). Bacterial communities had a significantly lower α -diversity in lake water than in lake
209 sediment and permafrost soil (Figure 2a). α -diversity was not significantly different
210 between PBCs and SBCs (Figure 2a). Correlation analyses showed that phylogenetic
211 diversity of PBCs was positively correlated with SOC, TN, and C:N:P ratios (Figure 2b).
212 For SBCs, α -diversity indices were positively correlated with MAT, MAP, SOC, TN, C:P



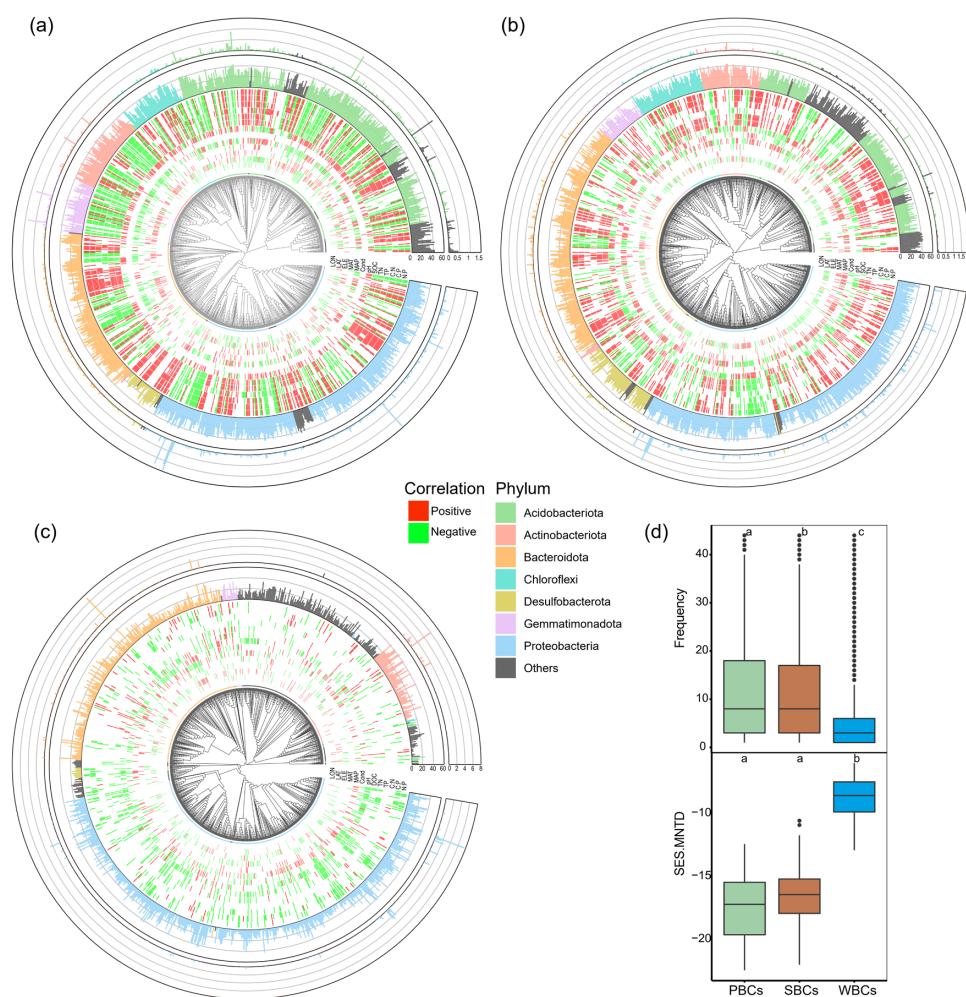
213 and N:P, while negatively correlated with latitude and pH (Figure 2b). For WBCs, α -
 214 diversity indices were negatively correlated with pH, and Shannon diversity was negatively
 215 correlated with DOC, TN, and C:P (Figure 2b).

216 PBCs and SBCs had a significantly greater phylogenetic diversity than WBCs (Figure 2a
 217 and Figure 3). The OTUs in PBCs had significantly higher frequency than that of SBCs
 218 and WBCs (Figure 3). The top 1000 abundant OTUs in PBCs were highly correlated with
 219 environmental variables, particularly with latitude, MAP, SOC, TN, TP, and C:N:P ratios
 220 (Figure 3a). The top 1000 abundant OTUs in SBCs were more commonly positively
 221 correlated with MAP, SOC, TN, and C:N:P ratios, but more commonly negatively
 222 correlated with latitude and pH (Figure 3b). The top 1000 abundant OTUs in WBCs had
 223 relatively fewer significant relationships with environmental variables in general, but were
 224 negatively correlated with latitude, conductivity, pH, DOC, TN, and C:N:P ratios, while
 225 more positively correlated with MAP (Figure 3c). In addition, WBCs had significantly
 226 higher SES.MNTD than PBCs and SBCs (Figure 3d), suggesting higher phylogenetic
 227 clustering of bacterial taxa in WBCs.





229 Figure 2 (a) Alpha diversity of bacterial communities in permafrost soil (PBC), lake
230 sediment (SBC), and lake water (WBC). The different low-case letters represent significant
231 differences assessed using Wilcoxon rank-sum test. (b) Spearman correlations show the
232 relationships between alpha diversity and environmental factors. The color represents the
233 correlation coefficient, which is shown in number when the result is statistically significant
234 ($p < 0.05$).



235



236 Figure 3 Phylogenetic tree of the top 1000 OTUs in (a) permafrost soil (PBC), (b) lake
237 sediment (SBC), and (c) lake water (WBC). Tree tips are colored by major phylum. The
238 inner ring of the heatmap represents spearman's correlation between OTUs and
239 environmental variables. Only significant ($p < 0.05$) results are shown. The middle ring
240 represents the frequency of the OTUs in our studied sites. The outer ring represents the
241 relative abundance of the OTUs. (d) Boxplots showing differences of OTU's frequency and
242 SES.MNTD values among bacterial communities in permafrost soil (PBCs), lake sediment
243 (SBCs), and lake water (WBCs). The different lower-case letters represent significant
244 differences assessed using Wilcoxon rank-sum test.

245 **3.2 Community composition and β -diversity patterns**

246 PBCs were dominated by Proteobacteria (30.4%), Acidobacteriota (25.3%), Bacteroidota
247 (11.4%), Actinobacteriota (6.8%), Chloroflexi (5.2%), and Gemmatimonadota (5.2%)
248 (Figure 4a). SBCs were dominated by Proteobacteria (35.2%), Bacteroidota (20.0%),
249 Acidobacteriota (11.3%), Desulfobacterota (6.4%), Chloroflexi (6.3%), and
250 Actinobacteriota (5.8%) (Figure 4a). WBCs were dominated by Proteobacteria (46.9%),
251 Bacteroidota (29.2%), and Actinobacteriota (17.4%) (Figure 4a). While Proteobacteria
252 were predominant in all three habitat types, these dominant phyla had significantly
253 different relative abundances among these habitats. Proteobacteria and Bacteroidota had a
254 significantly higher relative abundance in WBCs than in SBCs and PBCs (Figure 4a). The
255 relative abundance of Actinobacteriota was the highest in WBCs but was not significantly
256 different between PBCs and SBCs (Figure 4a). Gemmatimonadota and Acidobacteriota
257 were significantly enriched in PBCs than in SBCs and WBCs. Desulfobacterota and
258 Chloroflexi were significantly enriched in SBCs than in PBCs and WBCs (Figure 4a).



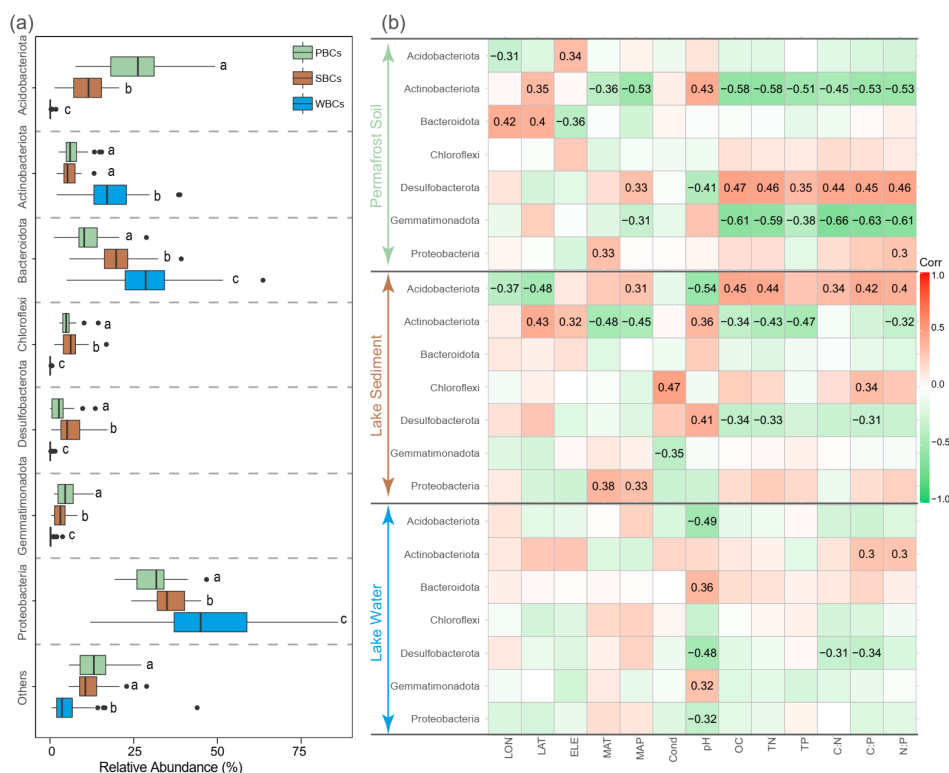
259 These phyla responded differently to environmental variables (Figure 4b). For example,
260 Actinobacteriota and Gemmatimonadota in PBCs and Actinobacteriota and
261 Desulfobacterota in SBCs were negatively correlated with nutrient concentrations and
262 ratios, while Desulfobacterota in PBCs and Acidobacteriota in SBCs were positively
263 correlated with nutrient concentrations and ratios (Figure 4b). pH was a frequent correlate
264 for taxa in various taxonomic groups across all three habitats (Figure 5b)

265 Nonmetric multidimensional scaling (NMDS) analysis along with non-parametric
266 statistical tests showed that bacteria in different habitats formed distinct communities
267 (Figure 5a). The extent of difference was larger for WBCs vs PBCs ($\beta=0.98$; $R_{ANOSIM} =$
268 0.989 , $P<0.001$) than the differences for WBCs vs SBCs ($\beta=0.96$; $R_{ANOSIM} = 0.967$,
269 $P<0.001$). There was the least dissimilarity between PBCs and SBCs ($\beta=0.81$; $R_{ANOSIM} =$
270 0.384 , $P<0.001$). The fitted SEM model showed that PBCs had direct effects on SBCs and
271 WBCs, and the latter two had reciprocal effects on each other (Figure 5b). In addition,
272 location, climate, and permafrost soil physicochemical environments had direct effects on
273 PBCs. Climate had direct effects on SBCs while lake water physicochemical environments
274 had direct effects on WBCs (Figure 5b).

275 WBCs had a higher β -diversity than SBCs and PBCs, suggesting that bacterial
276 communities were more spatially heterogeneous in lake water than in lake sediment and
277 permafrost soil (Figure 6a). Taxa in PBCs had higher habitat niche breadths than taxa in
278 SBCs and WBCs (Figure 6b). We estimated the distance decay relationship of bacterial
279 community similarity. Significant distance-decay relationships were observed for all
280 communities but the fitness values were relatively low (Figure S3), indicating weak decay
281 of community similarity with geographic distance in thermokarst landscape. We also



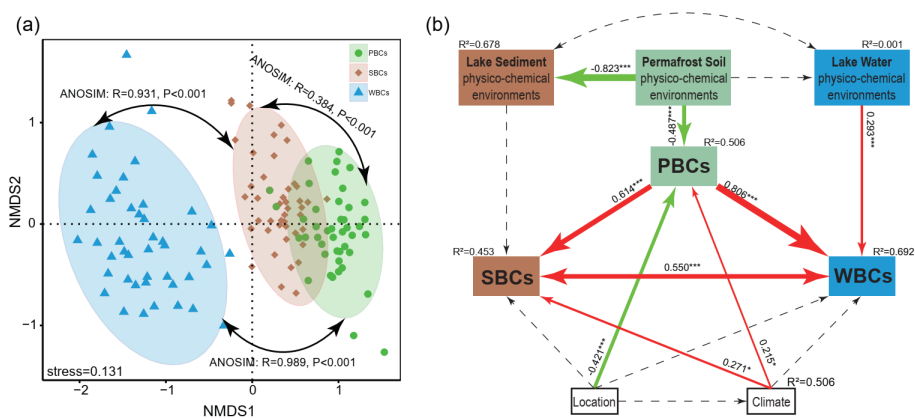
282 explored the main environmental variables that influence the variations of the bacterial
 283 communities (Figure 7). β -diversities of PBCs, SBCs, and WBCs were all significantly
 284 correlated with latitude, MAP, and pH (Figure 7). In addition, β -diversity of PBCs was also
 285 significantly correlated with all the other environmental variables except MAT and
 286 conductivity. β -diversity of SBCs was also significantly correlated with conductivity and
 287 C:N (Figure 7). β -diversity of WBCs was also significantly correlated with elevation, MAT,
 288 conductivity, DOC, TN, and TP (Figure 7). The results suggested that the compositional
 289 variation among PBCs, SBCs, and WBCs was differentially structured by spatial, climatic,
 290 and physicochemical variables.



291



292 Figure 4 (a) Relative abundances of major phyla in bacterial communities in permafrost
 293 soil (PBCs), lake sediment (SBCs), and lake water (WBCs). The different low-case letters
 294 represent significant differences assessed using Wilcoxon rank-sum test. (b) Spearman
 295 correlations show the relationships between the relative abundance of major phyla and
 296 environmental factors. The color represents the correlation coefficient, which shown in
 297 number when the result is significant ($p < 0.05$).

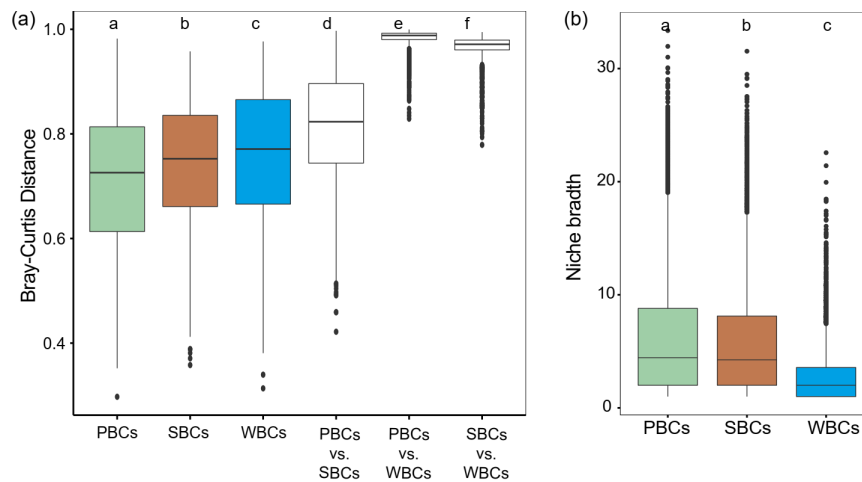


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299 Figure 5 (a) Non-metric multidimensional scaling (NMDS) ordination showing the
 300 distribution of bacterial communities in permafrost soil (PBCs), lake sediment (SBCs), and
 301 lake water (WBCs). The differences between these communities are confirmed by the non-
 302 parametric statistical test (ANOSIM). (b) Structural equation modeling analysis depicting
 303 the relationships between location (including latitude, longitude, and elevation), climate
 304 (including mean annual temperature and mean annual precipitation), physicochemical
 305 environments (pH, conductivity, nutrients concentrations and stoichiometric ratios) of each
 306 habitat. Solid and dashed arrows represent the significant and nonsignificant relationships,
 307 respectively. Red and green arrows represent positive and negative relationships,



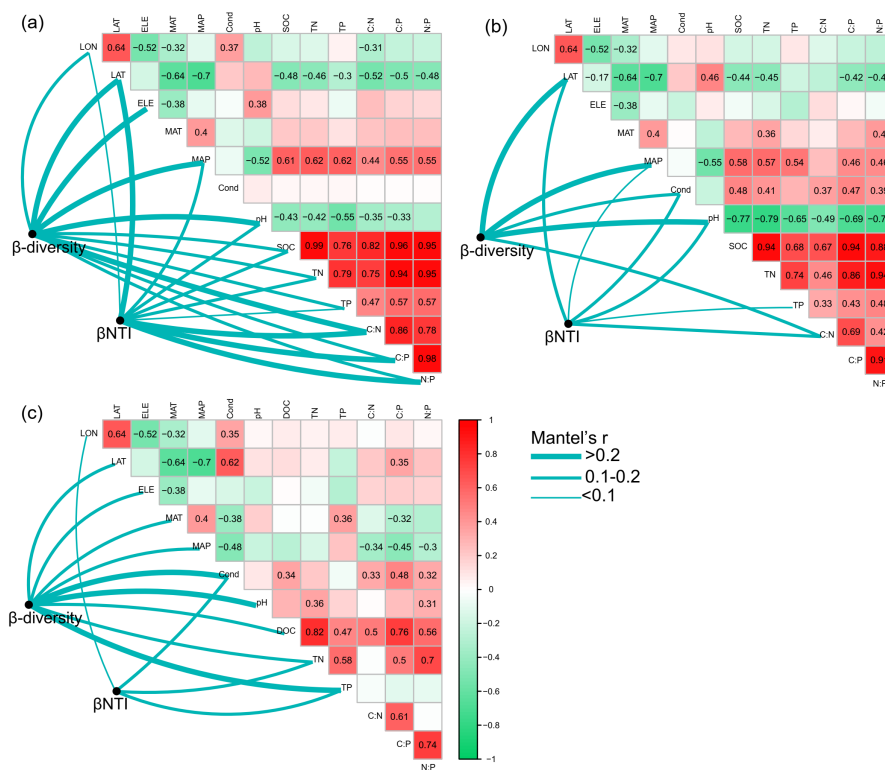
308 respectively. Significant path coefficients are shown adjacent to the path with *, **, and
309 *** denoting the significant level of $p < 0.05$, $p < 0.01$, and $p < 0.001$, respectively.



310

311 Figure 6 (a) β -diversities within and between PBCs, SBCs and WBCs. (b) Habitat niche

312 breadth of the bacterial communities.



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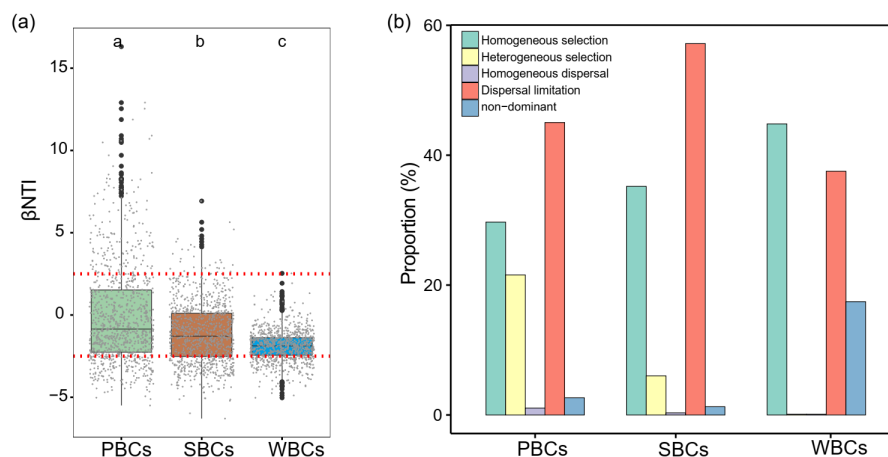
314 Figure 7 Pairwise correlations between environmental variables as well as the Mantel tests
 315 between environmental variables and β -diversity and beta nearest taxon index (β NTI) for
 316 (a) bacterial communities in permafrost soil, (b) bacterial communities in lake sediment,
 317 and (c) bacterial communities in lake water. β -diversity was calculated as Bray-Curtis
 318 distance. The lines denote significant relationships while the line width represents the
 319 Mantel's r statistic. Pairwise correlations between environmental variables are shown in
 320 color gradient matrix. The color represents Pearson's correlation coefficient, which shown
 321 in number when the result is significant ($p < 0.05$). The abbreviations of the environmental
 322 variables are explained in the Methods section.



323 **3.3 Assembly processes**

324 To explore the mechanisms underlining the observed distribution patterns, a null-model-
325 based framework was employed to quantify the deviation of phylogenetic turnover. PBCs
326 had significantly higher β NTI than SBCs and WBCs (Figure 8a). Deterministic processes
327 contributed 51.3%, 41.2%, and 44.9% to community variations for the bacterial
328 communities in permafrost soil, lake sediment, and lake water, respectively (Figure 8b). In
329 particular, the results showed that homogeneous selection contributed a larger fraction to
330 the assembly of the WBCs (44.8%), followed by SBCs (35.2%) and PBCs (29.7%) (Figure
331 8b). Heterogeneous selection influenced PBCs (21.6%) more than SBCs (6.0%) and WBCs
332 (0.1%). Dispersal limitation contributed a larger fraction to SBCs (57.2%) than to PBCs
333 (45%) and WBCs (37.5%).

334 The relationships between β NTI and major environmental variables were used to estimate
335 changes in the relative influences of deterministic and stochastic assembly processes.
336 Mantel tests showed that the assembly processes of bacterial communities in permafrost
337 soil, lake sediment, and lake water had similarities and differences in the responses to
338 environmental variables (Figure 7). Particularly, differences of TP were significantly
339 associated with β NTI of PBCs, SBCs, and WBCs, implying that an increasing divergence
340 of TP could contribute to a shift from homogeneous selection to heterogeneous selection
341 in the assembly of bacterial communities in the QTP thermokarst landscape. Moreover,
342 β NTI of PBCs was also significantly associated with other environmental variables, except
343 elevation, MAT, and conductivity. β NTI of SBCs was also significantly associated with
344 latitude, MAP, conductivity, pH, and C:N, while β NTI of WBCs was significantly
345 associated with longitude, conductivity, and TN.



346

347 Figure 8 (a) The values of β NTI with horizontal dashed red lines indicate upper and lower
348 significance thresholds at β NTI = +2 and -2, respectively in the three habitat types. (b) The
349 contribution of deterministic (homogeneous and heterogeneous selection) and stochastic
350 (dispersal limitations and homogenizing dispersal) processes to turnover in the assembly
351 of PBCs, SBCs and WBCs. “Non-dominant” indicates that the fraction was not dominated
352 by any single process.

353 4 Discussion

354 Thermokarst lakes and degraded permafrost are distinct habitats derived from original
355 permafrost during the process of thermokarst formation. Degraded permafrost can be
356 further converted to thermokarst lake sediment during the continuous process of
357 thermokarst formation (Figure 1). In our studied thermokarst landscapes across the QTP,
358 bacterial communities in degraded permafrost soil (PBCs), thermokarst lake sediment
359 (SBCs), and thermokarst lake water (WBCs) differed in multiple aspects, such as α -
360 diversity, β -diversity, community composition, community assembly rules, and
361 environmental responses (Figure 1c), supporting a view in which thermokarst formation



362 generates novel habitat conditions and microbial communities in landscapes formerly
363 occupied by permafrost.

364 ***4.1 Alpha diversity and community composition***

365 Permafrost soil and lake sediments on the QTP had significantly higher alpha diversity than
366 lake water. A considerable proportion (41%) of OTUs were shared among PCBs, SCBs,
367 and WCBs. However, besides a small number of unique OTUs, only a small proportion of
368 OTUs were enriched in lake water. In addition, bacterial communities were also
369 significantly different in composition and structure among permafrost soil, lake sediment,
370 and lake water, but with lower dissimilarities between PCBs and SBCs. Due to the origin
371 of thermokarst lakes from permafrost, there is no doubt that permafrost soil, lake sediments,
372 and lake water should share a certain number of OTUs.

373 Thermokarst lakes are known to have sediments that derive from the permafrost soil and
374 are constantly replenished by the collapse of nearby permafrost (Payette et al., 2004; West
375 and Plug, 2008; Veremeeva et al., 2021). This suggests that permafrost soil and lake
376 sediments are likely to have high levels of similarity in bacterial diversity and community
377 composition. Additionally, our prior research has shown that there are close correlations
378 between the abiotic features of the two environments (Ren et al., 2022b). However, despite
379 these similarities and connections, we found substantial differences in the bacterial
380 communities of permafrost soil and lake sediments. As proposed by the Baas-Becking
381 hypothesis (Baas-Becking, 1934), environmental selection is partially responsible for
382 variation in microbial communities, which are also shaped by other ecological processes,
383 such as diversification and dispersal limitation. Indeed, in our study, alpha diversity and



384 the dominant phyla found in PBCs and SBCs responded differently to various
385 environmental variables.

386 Bacterial communities in lake water had significantly lower alpha diversity as well as
387 distinct community composition and structure in comparison to bacterial communities in
388 permafrost soil and lake sediment. However, PBCs and SBCs had direct influence on
389 WBCs. For thermokarst lakes, the water first originates from the thawing of the ice-rich
390 permafrost and the lake is then fed by precipitation-derived and permafrost-derived water
391 (Yang et al., 2016a; Narancic et al., 2017; Wan et al., 2019). Microorganisms present in
392 lake water have a diverse range of sources, including terrestrial inputs and other sources
393 such as bacteria distributed with the atmosphere, associated with plants and animals, and
394 carried by migratory birds and animals (Ruiz-Gonzalez et al., 2015). Thus, there was a
395 relatively small proportion of OTUs shared between permafrost soil and lake water, as well
396 as between lake sediment and water, and only a few shared OTUs were enriched in lake
397 water. It is a well-established fact that different habitats often support distinct microbial
398 communities (Fierer et al., 2012; Hugerth et al., 2015; Louca et al., 2016). The contrast in
399 bacterial community composition between lake sediments and water has been extensively
400 documented (Briee et al., 2007; Gough and Stahl, 2011; Yang et al., 2016b; Ren et al.,
401 2017). In addition, sediment generally harbor a higher species-level diversity of bacteria
402 compared to lake water (Lozupone and Knight, 2007; Ren et al., 2019b). For example, in
403 a permafrost thaw pond of Andes, it was also found that water samples had lower alpha
404 diversity than lake sediment and permafrost samples (Aszalós et al., 2020). Permafrost soil
405 and lake sediment may provide more habitat heterogeneity for bacterial taxa than the water
406 column, supported by our observation that the bacterial taxa had higher niche breadth in



407 permafrost soil and lake sediment than in lake water. Moreover, in hydrologically
408 connected terrestrial-aquatic ecosystems, bacterial communities can present distinct but
409 directional spatial structure driven by terrestrial recruited taxa (Ruiz-Gonzalez et al., 2015).
410 Thus, these community similarities between distinct bacterial habitats might be the result
411 of common bacterial source (original permafrost) and the differences are likely caused by
412 subsequent environmental selection, colonization from multiple other bacterial sources,
413 and distinct assembly mechanisms.

414 Despite connections driven by dispersal, distinct thermokarst habitats had distinct bacterial
415 community composition, as seen in previous work (Ottoni et al., 2022). All the dominant
416 phyla were significantly different in relative abundance among permafrost soil (PBCs),
417 lake sediment (SBCs), and lake water (WBCs). In this study, Proteobacteria, Bacteroidota,
418 Actinobacteriota, Gemmatimonadota, Acidobacteriota, Desulfobacterota, and Chloroflexi
419 dominated bacterial communities in permafrost soil and/or thermokarst lakes despite high
420 variability. Similar dominance of these taxa has also been found in permafrost and
421 thermokarst landscapes in other areas (Aszalós et al., 2020; Belov et al., 2020; Wu et al.,
422 2022). The most commonly reported bacterial groups in permafrost environments include
423 members of Proteobacteria, Acidobacteria, Actinobacteria, Bacteroidetes, Firmicutes, and
424 Chloroflexi (Steven et al., 2009; Altshuler et al., 2017; Ottoni et al., 2022), as observed in
425 our samples.

426 *4.2 Beta diversity and assembly processes*

427 In our studied regions across the QTP, PBCs, SBCs, and WBCs all had a high beta diversity
428 (average values > 0.7), with WBCs showing the highest, suggesting that bacterial
429 communities shifted substantially across the large spatial scale of our sampling. Moreover,



430 beta diversities of PBCs, SBCs, and WBCs were significantly correlated with each other,
431 further suggesting that the bacteria in different habitats had a considerable proportion of
432 members from the same source, the original permafrost soil. The significantly lower mean
433 SES.MNTD for PBCs indicate that bacterial communities in permafrost soil were more
434 closely phylogenetically clustered than those in lake sediment and water (Langenheder et
435 al., 2017), consistent with the observation that PBCs had lower beta diversity than SBCs
436 and WBCs.

437 The structure of bacterial communities can vary across spatiotemporal scales and different
438 habitats (Ren et al., 2017; Aguilar and Sommaruga, 2020; Pearman et al., 2020). A key
439 objective in the field of microbial ecology is to determine the relative influence of
440 stochastic and deterministic processes in shaping the assembly of communities (Stegen et
441 al., 2013; Zhou and Ning, 2017). In this study, deterministic processes contributed 51.3%,
442 41.2%, and 44.9% to community variation for the bacterial communities in permafrost soil,
443 lake sediment, and lake water, respectively. Homogeneous selection contributed a larger
444 fraction to the assembly of the WBCs (44.8%), followed by SBCs (35.2%) and PBCs
445 (29.7%). Heterogeneous selection influenced PBCs (21.6%) more strongly than SBCs
446 (6.0%) and WBCs (0.1%). Dispersal limitation contributed a larger fraction to SBCs
447 (57.2%) than to PBCs (45%) and WBCs (37.5%). The dispersal of microorganisms is often
448 considered as a passive process that results in community variation and turnover coupled
449 with the function of environmental filtering (Cline and Zak, 2014; Stegen et al., 2015). The
450 high dispersal limitation of microbial communities in thermokarst lakes could be
451 potentially explained that the isolated nature of thermokarst lakes being endorheic results
452 in limited connectivity and strong restriction of microbial dispersal, as well as strong



453 environmental filtering. Additionally, the prolonged frozen phase of thermokarst lakes and
454 permafrost soil restrict the movement of microorganisms (Vargas Medrano, 2019;
455 Vigneron et al., 2019). Although the “everything is everywhere” hypothesis suggests that
456 many microorganisms have a cosmopolitan distribution, their slow mobility allows for the
457 development of regional phylogenetic differences and the emergence of specialized,
458 endemic taxa in isolated habitats, resulting in a low likelihood of microorganisms
459 dispersing to suitable distant sites (Telford et al., 2006). Therefore, dispersal processes in
460 this thermokarst landscape may be restricted by the lack of hydrological connection,
461 limited movement of water, short duration since thawing, and strong environmental
462 filtering, contributing to the observed high dispersal limitation in the studied permafrost
463 soil and thermokarst lakes. This inference is supported by many previous studies showing
464 that dispersal limitation plays a major role in structuring microbial communities in lakes
465 (Lindstrom and Langenheder, 2012; Yang et al., 2019; Liu et al., 2021). Strong dispersal
466 limitation for bacterial communities in permafrost has also been documented across an
467 Alaskan boreal forest landscape (Bottos et al., 2018). In addition, bacterial communities in
468 lake water displayed a higher influence of homogeneous selection compared to those in
469 lake sediments and permafrost soil in our study. The reason for this might be that long-
470 term changes in thermokarst lakes result in homogenized habitats and consequently strong
471 homogenous selection on bacterial communities (Ning et al., 2019). Deterministic
472 processes could also cause the communities to be more dissimilar through heterogeneous
473 selection, which also imposed strong control on PBCs.



474 **4.3 Environmental influences**

475 Understanding how environmental factors shape bacterial communities is a crucial aspect
476 in the field of microbial ecology (Fierer and Jackson, 2006; Pla-Rabes et al., 2011). With
477 global warming, climatic and physicochemical environments will be strongly altered in
478 permafrost areas. On the QTP in particular, air temperature and precipitation are increasing
479 in most regions (Xu et al., 2008; Lu et al., 2018). Moreover, organic carbon and nutrient
480 stocks in permafrost are decreasing (Turetsky et al., 2020; Wu et al., 2021) and thermokarst
481 lakes are developing, driving dynamic environmental change (Luo et al., 2015; Vucic et
482 al., 2020). These environmental disruptions likely impose strong influences on bacterial
483 communities in thermokarst landscapes. In our study, bacterial communities were
484 differentially correlated with various measured environmental variables. In our data, pH
485 was consistently identified as a strong correlate of microbial community structure and
486 diversity, as is often observed in terrestrial and aquatic ecosystems worldwide (Fierer and
487 Jackson, 2006; Xiong et al., 2012). While such correlations between pH and bacterial
488 communities have been widely found, the regulation mechanisms still remain unknown
489 (Malard and Pearce, 2018). Moreover, the influences of pH are often species- and location-
490 specific (Malard and Pearce, 2018; Egelberg et al., 2021). In this study, pH had
491 significantly negative relationships with alpha diversity of bacterial communities in lake
492 sediment and water, and had negative or positive correlations with some phyla. Moreover,
493 differences in pH might drive community variation observed between PBCs, SBCs, and
494 WBCs, and shift community assembly processes for PBCs and SBCs. Our study also
495 showed that nutrient (C, N, and P) concentrations and stoichiometric ratios were strongly
496 related to alpha diversity (particularly for SBCs) and community variation and assembly



497 (particularly for PBCs). The role of nutrient availability in shaping bacterial communities
498 has been well established (Torsvik et al., 2002; Lee et al., 2017; Zhou et al., 2020). High
499 organic matter content, for instance, has been shown to support diverse and complex
500 microbial communities (Garrido-Benavent et al., 2020; Ren and Gao, 2022). Due to their
501 ecological strategies, metabolic features, and environmental preferences, bacteria respond
502 differentially to nutrient status (Carbonero et al., 2014). Thermokarst lakes have sediment
503 directly formed from permafrost soil, and thus, permafrost soil environments and bacterial
504 communities had strong associations with that of lake sediment.

505 In addition to physicochemical environments, location and climate were also suggested to
506 influence bacterial communities in distinct habitats. Warming and altered precipitation
507 regimes under climate change have been demonstrated to affect alpha diversity and
508 composition of stream microbial communities at continental scales (Picazo et al., 2020).
509 Our study indicates that location (particularly latitude) and climate (particularly MAP)
510 factors are important in shifting bacterial communities in thermokarst landscapes.
511 Understanding large-scale pattern of bacterial communities is increasingly important to
512 offer insights into the impacts of climate change (Picazo et al., 2020; Ren et al., 2021). As
513 global climate changes, QTP is getting warmer and more humid (Xu et al., 2008; Lu et al.,
514 2018). Therefore, significant alterations to the physical, chemical, and biological properties
515 of thermokarst lakes on the QTP can be expected in the coming decades. Based on “space-
516 for-time” substitution, our study serves as a foundation for predicting the potential impact
517 of climate change on bacterial communities in thermokarst landscapes.



518 **5 Conclusion**

519 In this study, we investigated bacterial communities in paired water and sediment samples
520 in thermokarst lakes as well as permafrost soil around the lakes across the QTP. results
521 showed that each habitat had distinct bacterial assemblages, with lower alpha diversity in
522 lake water and higher beta diversity in lake sediment and permafrost soil. There was
523 considerable overlap in OTUs across habitats. Bacterial communities in permafrost soil
524 and lake sediment were influenced by dispersal limitation, while those in lake water were
525 driven by homogeneous selection. Environmental variables, including latitude, mean
526 annual precipitation, and pH, affected bacterial community variations in all habitats. The
527 study highlights the unique bacterial communities and ecological impacts of permafrost
528 degradation in diverse habitats created by thermokarst processes.

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538 **Conflict of interest disclosure:**



539 The authors declare no competing interests.

540 **Author's contributions:**

541 Z.R. designed the study, did the analyses, and prepared the manuscript, performed the field
542 work and laboratory work. All the authors prepared the manuscript.

543 **Ethics approval statement:**

544 Not applicable

545 **Permission to reproduce material from other sources:**

546 Not applicable

547 **Originality-Significance Statement:**

548 This is our original study and not submitted to elsewhere

549 **Supplementary Information**

550 Figure S1 Map of the 44 sampling sites of permafrost soil and thermokarst lakes across the
551 Qinghai-Tibet Plateau. The distribution of the permafrost was cited from Zou et al., 2017.
552 This map was cited from Ren et al, 2022a.

553 Figure S2 (a) Venn diagram showing the unique and shared OTUs among distinct bacterial
554 communities. (b) The volcano plot showing the shared OTUs that significantly (t-test, $P <$
555 0.05) enriched in a certain habitat. The volcano plot was constructed using \log_2 (fold
556 change) on x-axis and $-\log_{10}$ (p-values of t-test) on y-axis.

557 Figure S3 Distance-decay curves showing community similarity against geographic
558 distances between sampling sites. Solid lines denote the ordinary least-squares linear
559 regressions.



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