



1	The effect of forest cover changes on the regional climate conditions in Europe during the period					
2	1986-2015					
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12	Abstract					
13	Afforestation affects the earth's climate system by changing the biogeochemical and biogeophysical					
14	characteristics of the land surface. While the regional effects of afforestation are well understood in					
15	the tropics and the high-latitudes, its climate impact on the mid-latitudes is still subject of scientific					
16	discussions. The general impact of afforestation on the regional climate conditions in Europe during					
17	the last decades is investigated in this study. For this purpose, regional climate simulations are					
18	performed with different forest cover fractions over Europe. In a first simulation, afforestation in					
19	Europe is considered, while this is not the case for a second simulation. We focus on the years 1986-					
20	2015, a period in which the forest cover in Europe increased comparatively strong, accompanied by a					
21	strong general warming over the continent.					
22	Results show that afforestation has both local and non-local effects on the regional climate system in					
23	Europe. Due to an increased transport of turbulent heat (latent + sensible) into the atmosphere,					
24	afforestation leads to a significant reduction of the mean local surface temperatures in summer. In					
25	northern Europe, mean local surface temperatures were reduced about -0.3 K with afforestation, in					
26	central Europe about -0.5 K and in southern Europe about -0.8 K. During heat periods, this local cooling					
27	effect can reach to -1.9 K. In winter, afforestation results in a slight local warming both in northern and					
28	southern Europe, because of the albedo effect of forests. However, this effect is rather small and the					
29	mean temperature changes are not significant. In downwind direction, locally increased					
30	evapotranspiration rates with afforestation increase the general cloud cover, which results in a slight					
31	non-local warming in winter in several regions of Europe, particularly during cold spells. Thus,					
32	afforestation had a discernible impact on the climate change signal in Europe during the period 1986-					
33	2015, which may have mitigated the general warming trend in Europe, especially on the local scale in					

- 34 summer.





# 36 1. Introduction

37 Afforestation is one of the most frequently debated strategies to mitigate the impacts of the 38 anthropogenic climate change (Sonntag et al., 2016; Harper et al., 2018; Roe et al., 2019), because 39 forests are able to remove large amounts of CO<sub>2</sub> from the atmosphere during their growth and store the carbon long-term in their biomass (Luyssaert, et al., 2010; Pan et al., 2011). Besides this beneficial 40 41 biogeochemical effect on the global greenhouse effect, afforestation is also changing the 42 biogeophysical characteristics of the land surface (Pielke et al., 2011; Bright et al., 2017). On the one hand, the sensible heat fluxes between the land surface and the atmosphere are increased, because 43 44 of the higher surface roughness of forests in comparison to other vegetation types (Burakowski et al., 45 2018; Breil et al., 2020). Due to this increased surface roughness, the absorbed solar radiation at the land surface is efficiently transformed into turbulent heat and transported away from the surface into 46 47 the atmosphere. Moreover, the evapotranspiration potential of forests is generally higher than of 48 other vegetation types (Zhang et al., 2001), due to a higher biomass and a deeper root system. Thus, 49 a comparatively large part of the incoming solar radiation is transformed into latent heat instead of 50 heating up the land surface (Strandberg & Kjellström, 2019). This effect of afforestation is particularly 51 relevant in regions with large amounts of available energy for evapotranspiration, like the tropics. 52 Therefore, afforestation is known to have a regional cooling effect in the tropics (Lawrence & 53 Vandecar, 2015; Zeppetello et al., 2020).

54 On the other hand, the surface albedo of forests is lower in comparison to other vegetation types 55 (Bonan, 2008). A larger part of the incoming solar radiation is absorbed, and thus more energy is 56 available to heat up the land surface. This albedo effect is further intensified by the presence of snow, 57 since forests are only partially masked by snow, while other vegetation types are completely covered 58 and reflect more solar radiation (Essery, 2013). The snow masking effect is therefore especially important in the high latitudes, where the land surface is over a large part of the year covered with 59 60 snow. Afforestation has consequently a regional warming effect in the high latitudes (Bala et al., 2007, 61 Li et al., 2015, Duveiller et al., 2018).

62 In the mid-latitudes, both the increased turbulent heat transport (sensible + latent) and the albedo effect are relevant (Bonan, 2008). In this geographical area, solar radiation is sufficiently available and 63 64 thus, the albedo effect has a major impact on the regional climate conditions. In addition, the energy 65 and water supply are generally high in the mid-latitudes, and the increased evaporative potential with afforestation has consequently an important effect on the surface energy balance. The arising question 66 67 whether afforestation leads to a warming or a cooling of the regional climate conditions in Europe is therefore subject of current research and scientific discussions (e.g. Breil et al., 2023a). 68 69 Recent studies indicate that afforestation in Europe leads to a warming in winter, due to the snow

70 masking effect of forests (Lejeune et al., 2017; Davin et al., 2020). In this season, large parts of the land





71 surface are covered with snow in the mid-latitudes, and thus more solar radiation is absorbed by 72 forests than by other vegetation types. In summer, surface temperatures are generally reduced, while 73 boundary layer temperatures are increased with afforestation (Breil et al., 2020). Because of the higher 74 surface roughness of forests, the increased solar radiation with afforestation is efficiently transformed 75 into sensible heat and transported into the atmosphere (Lee et al., 2011; Burakowski et al., 2018). 76 Atmospheric temperatures are consequently increased, and surface temperatures are reduced, 77 although more solar radiation is absorbed (Breil et al., 2020). Moreover, the commonly higher 78 evapotranspiration rates of forests increase the moisture content in the atmosphere and can therefore 79 increase downwind precipitation sums in Europe (Meier et al., 2021).

These effects of afforestation in the mid-latitudes are generally derived either from point 80 81 measurements of adjacent eddy covariance stations in forests and grasslands (e.g. Lee et al., 2011), 82 from satellite data (e.g. Li et al., 2015), from coarsely resolved global climate simulations (e.g. Bala et 83 al., 2007), or from idealized modeling studies (e.g. Davin et al., 2020). However, it is not possible on 84 the basis of these methods to quantify the effects of afforestation on the regional climate conditions 85 in the mid-latitudes. Although satellite data provide a high spatial coverage, they are not suitable to 86 analyze the underlying land-atmosphere interactions. Such interactions can be investigated with point 87 measurements of flux towers, but the arising atmospheric feedback processes cannot be analyzed with 88 such observations. While all these processes can be simulated with global climate models, the spatial 89 resolution of these simulations is generally too low to investigate all relevant processes in the 90 necessary detail. Although regional climate simulations have higher resolution, regional climate 91 models were until now, to our knowledge, only applied in idealized afforestation scenarios (e.g. Davin 92 et al., 2020; Breil et al., 2020). The actual effects of afforestation on the regional climate conditions in 93 Europe are therefore not yet comprehensively analyzed. This is especially the case for the impact of afforestation on the European climate trend since the 1980s. During this period, the strongest 94 95 temperature increase in the last 2000 years took place (Gulev et al., 2021), while at the same time, the 96 forest cover increased comparatively strong.

97 Therefore, the goal of this study is to quantify how strong afforestation affected the regional climate 98 conditions during this period of intense regional warming in Europe, by considering the actual 99 afforestation between 1986-2015 in higher resolved simulations with a Regional Climate Model (RCM). 100 In this RCM experiment, a simulation is performed in which all land use changes during this 30 year 101 period (including afforestation) are implemented, and compared to an RCM simulation in which 102 afforestation is not considered. In this way, we are able to explicitly quantify the impact of afforestation on the recent climate conditions in Europe, and analyze whether afforestation regionally 103 104 counteracted the general climate trend by e.g., an increased evapotranspiration rate and an enhanced





- 105 turbulent heat exchange, or if the increased absorption of solar radiation with afforestation even
- 106 intensified the regional climate trend in Europe.
- 107 The design of the modeling experiment is described in section 2. In section 3, the local (section 3.1)
- 108 and non-local (section 3.2) effects of afforestation on the climate conditions in Europe are assessed,
- 109 with a special focus on extremes (section 3.3) and climate variability (section 3.4). Results are discussed
- in section 4 and conclusions are drawn in section 5.
- 111

## 112 2. Methods

#### 113 2.1. Model simulations

In the framework of this study, regional climate simulations with the RCM COSMO-CLM (CCLM, Rockel et al., 2008) coupled to the Land Surface Model VEG3D (Breil & Schädler, 2021) are used to analyze the impact of afforestation on the regional climate conditions in Europe between 1986-2015. The simulations are performed for the Coordinated Downscaling Experiment – European Domain (EURO-CORDEX; Jacob et al., 2014) on a horizontal resolution of 0.11° (~12.5 km). The simulations are driven by the ERA5 reanalysis (Hersbach et al., 2020) at the lateral boundaries and the lower boundary over sea. The simulation period is 1986–2015, with a spin-up of 7 years before 1986.

During the first simulation, yearly updated land use maps of the land cover conditions in Europe are implemented in CCLM-VEG3D in which all historical land use changes between 1986-2015 are considered, excluding afforestation (Fig. 1a). This experiment constitutes the reference simulation (REF). In the second simulation, the same land use dataset is used as in REF, but now afforested areas are additionally implemented (AFF). Fig. 1b shows all grid cells, in which afforestation took place between 1986-2015.

The underlying land use dataset was developed within the Land Use and Climate Across Scales (LUCAS)
project (Davin et al., 2020), based on the European Space Agency Climate Change Initiative Land Cover
(ESA-CCI LC) dataset (European Space Agency, 2017). The yearly changes in the land use map during
the simulation period are derived from the Land-Use Harmonization 2 (LUH2) dataset (Hurtt et al.,
2020). More information on how the applied land use map was constructed can be found in Hoffmann
et al., (2022).

In CCLM-VEG3D, only the dominant land use class in a grid cell is considered. Thus, afforestation is only considered in our model setup in grid cells in which forest is becoming the dominant land use class. The land use information in these grid cells is then completely assigned to forest. Although the spatial resolution of the grid cells is rather small in our modeling experiment, this results in an overestimation of the forest fraction in afforested grid cells. In return, afforested areas in which forest is not the dominant land use class are not considered and the forest fraction is consequently underestimated in the model.





140 By comparing the results of the AFF simulation with the results of the REF simulation, the effects of 141 afforestation on the regional climate conditions in Europe during the simulation period are assessed. 142 For the analysis, we differentiate between local effects and non-local effects. As local effects, we define 143 changes in the climate conditions in a grid cell in which afforestation took place. A non-local effect is defined as a change in the climate conditions in non-afforested areas, which is indirectly caused by 144 145 changes in the surface energy balance in afforested grid cells. Beside the effects of afforestation on 146 the general climate conditions in Europe, we also investigate its impact on climate extremes and the 147 interannual climate variability. Changes in heat extreme intensities are expressed as differences in the 148 days above the 90<sup>th</sup> percentile of the daily maximum temperatures in 2 m height in summer (JJA). In 149 this context, we define the heat period intensities as the mean daily maximum 2 m temperature for 150 these warmest 10 % of summer days, and compare these mean values for AFF and REF with each other. 151 Changes in cold extreme intensities are expressed as differences in the mean daily maximum 2 m 152 temperature for the coldest 10 % of winter days (DJF). Effects on the climate variability are analyzed 153 by calculating the standard deviation of the mean seasonal surface temperatures.

154

# 155 2.2. Afforested areas

156 According to the land use dataset derived in the LUCAS project (Hoffmann et al., 2022), about 1.1% of 157 the land mass in the EURO-CORDEX domain was afforested during the period 1986-2015. By converting 158 these land use change information into CCLM-VEG3D with its dominant land use class approach, about 159 1,7% of the CCLM-VEG3D model domain was afforested. These land use changes were not homogeneous and evenly distributed, but were carried out on small-scales and on isolated locations. 160 161 In Figure 1, all regions in CCLM-VEG3D are shown which were afforested during the 30 year period in 162 Europe. Larger areas were afforested in the Balkan region, central and north-eastern Europe, while in 163 Scandinavia and south-eastern Europe almost no afforestation took place. All over Europe, 63% of the 164 afforested areas were converted from croplands, 31% from grasslands.

165 The main differences in the vegetation characteristics between different forest types and croplands 166 and grasslands are summarized in table 1. While the surface albedo of forests is lower and the surface roughness is higher, croplands and grasslands are characterized by a shallow root system and a lower 167 168 leaf area index (LAI). In this context, the vegetation characteristics of different deciduous tree species 169 (e.g. beech, oak, etc.) and different coniferous tree species (pine, spruce, etc.) are all combined in one 170 representative forest class, respectively. This means that for the different vegetation parameters, 171 describing the characteristics of these different tree species, the mean values over the parameter 172 space of the respective deciduous and coniferous trees are used. In CCLM-VEG3D, therefore, only one 173 deciduous forest class and one coniferous forest class are considered. For the deciduous forest class, 174 only deciduous broadleaved trees are taken into account, while in the coniferous forest class, only





- 175 evergreen needleleaved trees are included. Evergreen broadleaved trees (e.g., Mediterranean oaks)
- 176 or deciduous needleleaved trees (e.g. larch) are consequently not considered.
- 177

# 178 3. Results

First, we analyze the capability of CCLM-VEG3D to reproduce the general climate conditions in Europe. Figure 2 shows the differences between the reference simulation (REF) and the ERA5-Land reanalysis (Muñoz-Sabater et al., 2021) for the yearly mean 2 m temperature during the period 1986-2015. A certain warm bias is simulated over most parts of Europe in the reference simulation, extending from Southern Europe over Central Europe to Eastern Europe. However, simulation results in Northern Europe and the British Isles agree well with the reanalysis data. Thus, although a certain model bias exists, CCLM-VEG3D is able to properly reproduce the general climate conditions in Europe.

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### 187 3.1. Local effects

# 188 3.1.1 Winter

The local effects of afforestation in Europe on different components of the surface energy balance are analyzed for the period 1986-2015 (Figure 3). Since afforestation in Europe took place only on smallscales and on isolated locations, local effects are summarized for three geographical sub-regions, northern Europe (NE), central Europe (CE) and southern Europe (SE) for visualization purposes, which are highlighted in Figure 1.

194 In winter, an important change with afforestation is that trees (particularly coniferous trees) maintain 195 a dense vegetation throughout the whole season (characterized by a high leaf area index (LAI)), while 196 the original vegetation types have only a low vegetation cover (especially croplands). Therefore, 197 forests are generally able to transpire more water than grasslands and particularly croplands during 198 winter (Fig. 3b). As a consequence, more energy is transformed into latent heat and less energy is 199 transformed into sensible heat in forests (Fig. 3c).

This feature is especially pronounced in central Europe. Within the period 1986-2015, mean local latent heat fluxes were increased about 5.1 W/m<sup>2</sup> in winter (Fig. 3b), while mean local sensible heat fluxes were reduced about -5.5 W/m<sup>2</sup> (Fig. 3c). At the same time, mean local net short-wave radiation was slightly increased about 0.7 W/m<sup>2</sup> (Fig. 3a), leading to a positive surface energy budget (+1.1 W/m<sup>2</sup>, Fig. 3d). Thus, afforestation led in central Europe to a slight local warming in winter for the period 1986-2015 (+0.2 K, Fig. 4a).

- The same processes were also simulated in northern Europe. The mean local latent heat fluxes in winter were increased (+1.9 W/m<sup>2</sup>, Fig. 3b), while the mean local sensible heat fluxes were reduced (-2.3 W/m<sup>2</sup>, Fig. 3c). The increase in the mean local net short-wave radiation was with 0.1 W/m<sup>2</sup> (Fig. 20) are smaller than in central Europe. The impact of the reduced surface albede on the mean
- 209 3a) even smaller than in central Europe. The impact of the reduced surface albedo on the mean





radiative energy input, associated with the snow masking effect of forests in winter, must therefore
be rather small. The generally low insolation in this season consequently impeded stronger differences
in the mean local radiative energy input in central and particularly in northern Europe. As a
consequence, the surface energy budget was slightly increased in northern Europe (+0.5 W/m<sup>2</sup>, Fig.
3d) and the mean warming with afforestation was small (+0.1 K, Fig. 4a).
Since the general insolation in southern Europe in winter is higher than in central and northern Europe,

216 a comparatively strong increase in the mean local net short-wave radiation was simulated with 217 afforestation (+2.0 W/m<sup>2</sup>, Fig. 3a), due to the lower albedo values. Therefore, one could assume that 218 this enhanced radiative energy input should also have led to the strongest temperature increase in 219 Europe during winter. But this is not the case. On the contrary, afforestation resulted in a slight 220 reduction of the mean local surface temperature in southern Europe in winter within the simulated 30 year period (-0.1 K, Fig. 4a). This is because in southern Europe, not only the mean local latent heat 221 222 fluxes were increased with afforestation (+2.7 W/m<sup>2</sup>, Fig. 3b), but also the mean local sensible heat 223 fluxes were high and on a level comparable to croplands and grasslands (-0.1 W/m<sup>2</sup>, Fig. 3c). That 224 means the increased local radiative energy input was transformed into high latent heat fluxes as well 225 as high sensible heat fluxes. As a result, the surface energy budget was slightly negative (-0.6 W/m<sup>2</sup>, 226 Fig. 3d), resulting in a slight local cooling in southern Europe in winter (Fig. 4a).

Although these slight temperature changes in northern, central and southern Europe can be explained
 consistently with changes in the surface energy budget, the local temperature effects of afforestation
 are statistically not significant in winter, as calculated by a Wilcoxon-Rank-Sum-Test at a 95 % level.
 Thus, random causes for the temperature changes cannot be excluded.

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### 232 3.1.2 Summer

233 In summer, the most striking effect of afforestation is the general increase in absorbed solar radiation. 234 Due to the lower surface albedo of forests in comparison to the original vegetation types (table 1), the 235 mean local net short-wave radiation was increased all over Europe within the period 1986-2015 (Fig. 236 3a). However, this increased radiative energy input at the surface did not result in a warming of the surface temperatures. Because of the higher surface roughness of forests in comparison to croplands 237 238 and grasslands (table 1) the absorbed solar radiation is, in general, more efficiently transformed into 239 turbulent heat with afforestation (e.g. Breil et al., 2020). Therefore, both the mean local latent heat fluxes and the mean local sensible heat fluxes were enhanced in all subregions (Fig. 3b+c). As a result, 240 more energy was released as turbulent heat into the atmosphere than was additionally absorbed by 241 242 solar radiation. Thus, the surface energy budget became negative (Fig. 3d), although the mean local 243 net short-wave radiation was increased. Afforestation led consequently to a cooling of the mean local 244 surface temperatures all over Europe in summer for the period 1986-2015 (Fig. 4b).





245 The strongest cooling was simulated in southern Europe, with a mean temperature reduction of -0.8 K 246 (Fig. 4b). However, at single locations, the cooling was much stronger pronounced. The maximum cooling effect was about -3.1 K, whereby 20 % of the afforested areas showed a mean cooling larger 247 248 than -1.3 K with afforestation. This strong cooling was reached, although the albedo effect of afforestation was highest in southern Europe, due to the high solar altitude in summer. But the 249 250 increase in mean local net short-wave radiation of 5.0 W/m<sup>2</sup> (Fig. 3a) was completely counteracted by 251 a considerably increased mean local sensible heat flux (+11.0 W/m<sup>2</sup>, Fig. 3c) and a slightly increased 252 mean local latent heat flux (+0.6 W/m<sup>2</sup>, Fig. 3b). The comparatively small increase in latent heat fluxes 253 and the pronounced increase in sensible heat fluxes were caused by the generally low soil water 254 contents in summer and the resulting soil moisture limitation of evapotranspiration in southern Europe 255 (Seneviratne et al., 2010).

In central and northern Europe, the soil moisture limitation in summer was not as strongly pronounced 256 257 as in southern Europe. The mean local latent heat fluxes were consequently on a higher level (+4.9 258 W/m<sup>2</sup> in CE and +3.3 W/m<sup>2</sup> in NE, Fig. 3b), although the additional radiative energy input with afforestation was not as high as in southern Europe (+4.1 W/m<sup>2</sup> in CE and + 2.9 W/m<sup>2</sup> in NE, Fig. 3a). 259 260 Since the mean local sensible heat fluxes were also increased (+2.1 W/m<sup>2</sup> in CE and + 1.0 W/m<sup>2</sup> in NE, 261 Fig. 3c), afforestation in central and northern Europe led to a mean local surface cooling of -0.5 K and 262 -0.3 K, respectively. The maximum mean local cooling effect in central Europe was about -2.6 K, and -263 1.6 K in northern Europe.

264 In contrast to the local effects of afforestation in winter, local temperature changes in summer are in fact statistically significant, as calculated by a Wilcoxon-Rank-Sum-Test at a 95 % level. In northern 265 Europe, 22 % of the afforested areas show statistically significant temperature changes. In central 266 Europe, 34 % of the temperature changes with afforestation are statistically significant, in southern 267 268 Europe as much as 63 %. However, this also means that for 78 % of the afforested areas in northern 269 Europe, for 66 % in central Europe, and for 37 % in southern Europe simulated temperature changes 270 are not significant. Although for these non-significant regions afforestation has the same physical 271 effects and the same process chain is simulated as for the significant areas, random causes for the temperature changes in the non-significant regions cannot be excluded. 272

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# 274 3.2. Non-local effects

# 275 3.2.1 Winter

The non-local effects of afforestation in Europe on the mean climate conditions in winter are now investigated (Fig. 5). In the period 1986-2015, local afforestation led to a slight warming in Scandinavia, central Europe and parts of southern Europe, more precisely Italy and the Balkan region (Fig. 5a). The locally increased evapotranspiration rates with afforestation (Fig. 3b) enhanced the moisture content





280 in the atmosphere, with the consequence that the mean cloud cover in winter was slightly increased 281 over these regions (Fig. 5b). From the perspective of the surface energy balance, the effects of clouds 282 are stronger in winter on the outgoing long-wave radiation than on the incoming short-wave radiation, 283 due to generally short sunshine duration. Therefore, the net short-wave radiation was just slightly reduced in these regions (Fig. 5c), while the reduction in the net long-wave radiation was stronger (Fig. 284 285 5d). This reduction in outgoing long-wave radiation led consequently to a decreased nocturnal cooling 286 and thus, to higher mean surface temperatures in Scandinavia, central Europe and parts of southern Europe for the period 1986-2015. The mean non-local warming in these regions was +0.06 K, whereby 287 288 the warming in 90 % of the area was below +0.14 K. However, only a small proportion of these non-289 local temperature changes are statistically significant. Only in southern Europe, the non-local warming 290 with afforestation was significant at 15 % of the affected area. For the other regions, no statistically 291 significant temperature changes were simulated. Thus, random causes for the differences between 292 AFF and REF cannot be excluded.

The local temperature changes with afforestation are clearly larger than the surrounding non-local changes, as visible in Figure 5. In addition, the local temperature changes show often an opposite sign and thus, are detached from the large-scale temperature patterns.

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#### 297 3.2.2 Summer

As already described for the winter season, the locally increased evapotranspiration rates in afforested areas (Fig. 3b) enhanced also the atmospheric moisture content in summer under the dominant westwind circulation. The mean downwind cloud cover (Fig. 6b) and precipitation sums (Fig. 6c) were consequently slightly increased over large parts of central and eastern Europe in the period 1986-2015. Exceptions were an area north of the Black Sea and parts of north-eastern Europe. In the upwind areas of western Europe, however, no systematic changes with afforestation were simulated for the mean seasonal cloud cover and the mean seasonal precipitation sums.

The increased mean precipitation sums in downwind direction slightly enlarged the amount of available water for evapotranspiration in these regions. As a result, the mean seasonal evapotranspiration rates were also enhanced in non-afforested regions of Europe (Fig. 6d), and thus, more radiative energy could be transformed into latent heat instead of heating up the land surface in summer.

In addition, the increased mean cloud cover slightly reduced the incoming mean solar radiation in summer (Fig. 6e) and thus, the radiative energy input in the respective regions. Therefore, the local afforestation in Europe led mainly to a slight cooling in the non-afforested areas of central and eastern Europe in summer for the period 1986-2015 (Fig. 6a). The mean non-local cooling effect in these regions was -0.06 K, whereby the cooling in 10 % of the area was below -0.13 K. Exceptions are the





areas north of the Black Sea and parts of north-eastern Europe where the mean cloud cover and the mean precipitation sums were reduced. The mean non-local warming in these areas was +0.05 K, whereby the warming in 90 % of the area was below +0.11 K. Just like in winter, the non-local temperature changes in summer are not statistically significant, although these non-local effects can be explained by a physically consistent process chain. Therefore, random causes for the temperature changes cannot be excluded. Furthermore, the local temperature changes are again stronger pronounced than non-local changes and detached from the large-scale temperature pattern.

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#### 323 3.3. Extremes

### 324 3.3.1. Temperature extremes

The non-local effects of afforestation on heat extremes (Fig. 7a) showed the same spatial patterns as for the mean temperature effects in summer (Fig. 6a). The daily maximum temperatures during heat extremes were slightly reduced over large parts of Europe, but slightly increased in an area north of the Black Sea and in parts of north-eastern Europe. However, the regional warming in these areas is stronger pronounced than for the mean conditions in summer.

During heat periods, the surface energy budget strongly depends on the available amount of soil water for evapotranspiration. A reduction of the soil water availability has the consequence that less solar radiation can be transformed into latent heat and more energy is used to heat up the surface. The reduction of the mean seasonal precipitation sums north of the Black Sea and in north-eastern Europe during summer (Fig. 6c), leads in these regions to such a soil water limitation. The heat period intensities were therefore enhanced in these areas.

In the regions in which afforestation had a non-local cooling effect, the daily maximum temperatures during heat extremes were reduced in mean by -0.1 K, whereby in 90 % of the area the cooling was not below -0.2 K. Comparable temperature effects were simulated for the regions in which afforestation had a non-local warming effect. North of the Black Sea and in parts of north-eastern Europe, heat extremes were in mean intensified by +0.1 K with a 90<sup>th</sup> percentile of +0.2 K. The nonlocal effects of afforestation on heat extreme intensities were consequently low.

The local effects of afforestation on the daily maximum temperatures during heat extremes were partly stronger. All over Europe, the intensities of heat extremes were locally reduced with afforestation. Although the mean local cooling effect was with -0.2 K comparable to the non-local effect, at some locations in southern Europe, temperature reductions as strong as -1.9 K were simulated during heat extremes.

Fig. 7b shows the effects of afforestation on cold extreme intensities in Europe for the period 19862015. In general, afforestation had the same spatial effects on cold extreme intensities as on the mean
surface temperatures in winter (Fig. 5a). In Scandinavia, central Europe and parts of southern Europe





(Italy and the Balkan region) cold extremes were reduced, while they were slightly increased in eastern
Europe. However, the warming effect of afforestation on cold extreme intensities in Scandinavia,
central Europe and southern Europe was more pronounced than the changes in the mean temperature
conditions. Although the mean non-local warming was with +0.1 K rather small, maximum warming
effects of up to +0.8 K were simulated in these regions.
Furthermore, the local effects of afforestation on the mean cold extreme temperatures were

intensified. Particularly, the intensification of the local winter cooling in southern Europe is clearly evident during cold extremes. On average, the local daily minimum temperatures were reduced by -0.3 K in this region, while 10 % of the local temperature reduction were even larger than -0.8 K. Thus, local temperature responses had an opposite sign and were detached from the large-scale temperature pattern in southern Europe (Fig. 7b).

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### 362 3.3.2. Precipitation extremes

The effects of afforestation on precipitation and its extremes are shown in Figure 7c and 7d. The probability distribution of daily precipitation sums in summer for the period 1986 to 2015 is shown in Fig. 7c and the probability distribution of daily precipitation sums in winter during this 30 year period is shown in Fig. 7d.

367 In both seasons, the number of small and moderate precipitation intensities was just slightly increased 368 with afforestation. As shown in Fig. 3, evapotranspiration rates were locally increased with 369 afforestation throughout the year all over Europe and particularly in central Europe. The atmospheric 370 moisture content in Europe was consequently increased and downwind precipitation events became 371 slightly more extensive. However, these increased evapotranspiration rates with afforestation did not 372 affect the number and intensity of extreme precipitation events themselves. For precipitation events larger than 10 mm/day, no significant changes between AFF and REF were simulated over the 373 374 simulated 30 years, indicating that the contribution of the slightly increased evapotranspiration rates 375 with afforestation to the total precipitated water amount is negligible for such strong events.

376

### 377 3.4. Variability

The effects of afforestation in Europe on the interannual climate variability in winter and summer for the local and the non-local scales are shown in Figure 8. On average, afforestation did not change the interannual climate variability in Europe within the period 1986-2015. In both seasons, the mean change in the standard deviation was almost zero, both for the local and the non-local effects. However, a wider range of interannual variability were simulated for both, the summer and the winter season. On the local scale, the spread in variability is higher in summer than in winter. But in both cases, positive as well as negative variability changes with afforestation are evenly distributed and do





not show any consistent spatial patterns. Thus, interannual variability changes with afforestation are balanced on the local scale, indicating on random effects caused by the natural climate variability. On the non-local scale, the changes in the interannual variability are almost negligible. Therefore, afforestation did not have systematic effects on the interannual climate variability in Europe in our experiments.

390

#### 391 4. Discussion

The results of our study reflect the well-known effects of afforestation on the surface temperatures 392 393 (e.g. Bonan et al., 2008), which are already documented in several measurements (e.g. Li et al., 2015; 394 Duveiller et al., 2018) and idealized modeling studies (e.g. Strandberg & Kjellström, 2019; Davin et al., 395 2020). On the local scale, European afforestation led to a slight warming of the surface temperatures 396 in winter within the period 1986-2015, with the strongest warming effect in central Europe (Fig. 4a). 397 However, statistically significant local effects of afforestation could only be simulated in summer, 398 where afforestation resulted in a slight local cooling of the surface temperatures, with the strongest 399 cooling effect in southern Europe (Fig. 4b).

400 The small local warming effect in winter is quite astonishing, since it is generally assumed that 401 afforestation is associated with a pronounced warming in the mid-latitudes in this season, as for 402 example shown by Lejeune et al., (2017) for North America. The impact of the snow-masking effect on 403 the surface temperatures, which is generally supposed to be the reason for the local warming in winter 404 (e.g. Essery, 2013), must therefore be comparatively small in Europe. Similar results where already derived by Asselin et al., (2022), within the framework of an idealized afforestation experiment for 405 Europe and North America. They could show that snow-masking reduces the surface albedo on both 406 407 continents in a similar way, but the effect of the reduced surface albedo on the surface temperatures 408 is in North America much stronger than in Europe. At the same latitude, European climate is warmer 409 than the climate in North America, and snow cover in winter is consequently restricted only to higher 410 latitudes, notably central and northern Europe. There, insolation is low in winter and thus, the albedo 411 effect on surface temperatures is small (Strandberg & Kjellström, 2019).

In southern Europe, where insolation is higher, snow cover plays a minor role for the surface energy balance. Surface temperatures are higher than for central and northern Europe, and therefore, buoyancy is stronger in this region. By means of its higher surface roughness, a forest is consequently able to transform this increased energy input from solar radiation efficiently into turbulent heat and release the energy into the atmosphere (e.g. Burakowski et al., 2018; Breil et al., 2020), counteracting the increased solar radiation. Thus, afforestation did not have a warming effect in southern Europe in winter (Fig. 4). These described general effects of afforestation on the different components of the





419 surface energy balance are intensified in summer and also take place in central and northern Europe

420 (Fig. 3; Breil et al., 2020).

421 Beyond these local effects, afforestation affects the climate conditions in Europe also on the non-local 422 scale (Fig. 5 and Fig. 6). As already demonstrated by Meier et al., (2021), afforestation can increase downwind cloud cover and precipitation sums in Europe by increased evapotranspiration rates and 423 424 thus, a higher moisture content in the atmosphere. These findings are confirmed by the results of this 425 study (Fig. 6b-d). In winter, the increased cloud cover led non-locally to a slight warming over large parts of Europe, due to the reduced outgoing longwave radiation (Fig. 5+d). In summer, changes in 426 427 downwind precipitation sums affected the non-local evapotranspiration rates and thus, the surface 428 temperatures (Fig. 6a+d). The resulting temperature changes were strengthened by changes in solar 429 radiation, which are caused by changes in downwind cloud cover (Fig. 6b+e). Although the non-local effects of afforestation can be explained by a physically consistent process chain, simulated non-local 430 431 temperature changes are statistically not significant in Europe.

However, a missing significance does not necessarily mean that there is no causal relationship 432 433 (Wasserstein & Lazar, 2016) between afforestation and the simulated non-local temperature changes. 434 On the contrary, the traceability of the complete physical process chain is, from our point of view, a strong indicator that the non-local afforestation effects are not random. Particularly downwind 435 436 processes are spatially and temporally highly variable. Thus, locally induced changes in the 437 atmospheric moisture conditions do not always lead to precipitation and cloud cover at the same 438 downwind locations (Perugini et al., 2017). This high spatial and temporal variability, has the consequence that the mean downwind effects are small and difficult to detect, resulting in not 439 significant temperature changes. Nevertheless, during extreme events, like heat periods in summer or 440 cold spells in winter, the described effects of afforestation on the local and the non-local surface 441 442 energy and water balance are stronger pronounced than for the mean climate conditions, so that 443 afforestation had a notable impact on the characteristics of these extremes within the period 1986-2015 (Fig. 7, Breil et al., 2023b). 444

445 However, the presented work is a modeling study and therefore associated with certain modeling uncertainties. Even though CCLM-VEG3D is able to properly reproduce the observed regional climate 446 447 conditions in Europe during the simulated 30 years (Fig. 2), the effects of afforestation on the surface temperatures may locally differ from measurement studies (e.g. Li, et al., 2015; Duveiller et al., 2018). 448 These differences to observations might result from the fact that in CCLM-VEG3D only the dominant 449 land use class is considered within a model grid box. This means that the local effects of afforestation 450 451 on the surface temperatures are overestimated at some places, and underestimated at other places. However, the total afforested area in CCLM-VEG3D has with 1,7 % of the European continent nearly 452 453 the same extent as the real one with 1.1 % (Hoffman et al., 2022). The simulated total effects of





afforestation on the regional surface energy balance in Europe are therefore reasonable, and the
applied modeling approach is suitable to analyze the general impact of afforestation on the European
climate for the period 1986-2015. Nonetheless, regional variations in the described local and non-local
process chains have to be acknowledged.

On the other hand, the advantage of an idealized modeling study like this is that the effects of afforestation on the surface energy balance can be locally isolated and comprehensively analyzed, by performing and comparing simulations with and without afforestation. This is not possible in observation based studies. Thus, the analyzed effects of afforestation on the surface energy balance are in such measurement studies potentially superimposed by other processes, which are not easy to separate from each other.

464

### 465 5. Conclusions

466 In this study, we analyzed the general effects of afforestation on the regional climate conditions in Europe for the period 1986-2015, by performing long-term regional climate simulations, whereby in 467 468 one simulation forest cover changes were considered, and in another simulation forest cover changes 469 were not considered. The comparison of these simulations reveals that afforestation led to a 470 discernible reduction of the mean local surface temperatures all over Europe in summer in the 471 simulated 30 years. In northern and central Europe local surface temperatures were reduced by -0.3 K 472 and -0.5 K, respectively. In southern Europe, this cooling effect is particularly pronounced and a mean 473 local cooling of -0.8 K was simulated. During heat extremes, the local cooling effect of afforestation is 474 intensified. At certain locations in Europe, temperature reductions reached as high as -1.9 K. In winter, 475 afforestation did not have a significant local effect, due to a small general impact of the snow masking 476 effect.

477 Beyond these local effects, afforestation had also an impact on the downwind climate conditions. By 478 increasing the local evapotranspiration rates, afforestation led to an increase in the atmospheric 479 moisture content, and thus to a non-locally enhanced cloud cover and precipitation sums in 480 Scandinavia, central Europe and parts of southern Europe. These changes in the atmospheric water cycle resulted in a slight warming of the mean non-local surface temperatures in winter and a slight 481 482 cooling in these regions in summer. Although these mean non-local temperature changes are not 483 statistically significant, non-local afforestation effects can be consistently explained by non-local changes in the energy and water balance, which had especially during temperature extremes a notable 484 impact on the non-local climate conditions in Europe. 485

At first sight, the temperature changes with afforestation seem to be rather small in Europe. However, in comparison to the mean temperature changes during the investigation period 1986-2015, the impact of afforestation on the climate change signal is considerable. While the mean temperatures in





489	winter rose about 1.7 K in Europe during the simulated 30 years (Twardosz et al., 2021), mean summer					
490	temperatures between 1986-2015 were 1.3 K warmer compared to pre-industrial levels (Luterbacher					
491	et al., 2016). During the last decade of the investigation period, mean annual temperatures were 1.5					
492	K above pre-industrial levels (European Environment Agency, 2017). Thus, the simulated non-local					
493	warming of up to 0.1 K in Scandinavia, central Europe and parts of southern Europe in winter,					
494	additionally contributed to the general winter warming signal in these regions. On the other hand, the					
495	local cooling effect of afforestation of about -0.3 K in northern Europe and about -0.8 K in southern					
496	Europe in summer, may have mitigated the general warming trend in summer. That means that					
497	without afforestation, the climate change signal would have been much stronger in these regions for					
498	the period 1986-2015, especially in summer.					
499						
500	Data availability					
501	The applied land use dataset is accessible at the World Data Center for Climate (WDCC) at DKRZ					
502	(https://doi.org/10.26050/WDCC/LUC hist EU v1.1). The ERA-5 reanalysis data are obtained from					
503	the ECMWF ( <u>https://apps.ecmwf.int/data-catalogues/era5/?class=ea</u> ). The CCLM-VEG3D data is					
504	available upon request from the corresponding author.					
505						
506	Author contributions					
506 507	Author contributions MB designed the study, performed the CCLM-VEG3D simulations and wrote the paper. MB and VKMS					
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733 Table 1: Maximum parameter values of the surface roughness, leaf area index (LAI), surface albedo,

734 and root depth used in CCLM-VEG3D for deciduous forests, coniferous forests, croplands, and

735 grasslands.

		LAI	root depth (density < 2%)	albedo	surface roughness
	deciduous forest	8	2.0 m	0.15	0.8 m
	coniferous forest	9	1.0 m	0.11	1.0 m
	croplands	3.5	1.0 m	0.2	0.07 m
720	grasslands	4	0.5 m	0.2	0.03 m
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Figure 1: (a) CCLM-VEG3D land use classes. (b) grid cells in which afforestation took place between 1986-2015 in the AFF simulation. The black boxes show the locations of the three geographical subregions, northern Europe (NE), central Europe (CE) and southern Europe (SE). 







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Figure 2: Differences in the yearly mean 2 m temperature between REF and the ERA5 reanalysis forthe period 1986-2015.

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Figure 3: Local effects of afforestation (AFF-REF) on (a) the mean net short-wave radiation (R), (b) the
mean latent heat fluxes (L), (c) the mean sensible heat fluxes (H), and (d) the available energy budget
at the surface (defined as R – (L+H)), for the three subregions NE, CE and SE. Local effects in winter are
shown in blue, local effects in summer are shown in red.







Figure 4: Local effects of afforestation (AFF-REF) on the mean surface temperature in (a) winter, and(b) summer for the three subregions NE, CE and SE.







Figure 5: Non-local effects of afforestation in Europe on (a) the mean surface temperatures, (b) the
mean cloud cover, (c) the mean net short-wave radiation, and (d) the mean net long-wave radiation in
winter between AFF and REF.









Figure 6: Non-local effects of afforestation in Europe on (a) the mean surface temperatures, (b) the mean cloud cover, (c) the mean precipitation sums, (d) the mean evapotranspiration rates, and (e) the mean net short-wave radiation in summer between AFF and REF.





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Figure 7: Effects of afforestation on (a+b) temperature extreme intensities and (c+d) precipitation extremes in Europe for the period 1986-2015. Changes in temperature extreme intensities are expressed as the mean temperature differences in the days (a) above the 90<sup>th</sup> percentile of the daily maximum temperatures in 2 m height in summer and (b) below the 10<sup>th</sup> percentile of the daily maximum temperatures in 2 m height in the winter season between AFF and REF. Differences in precipitation extremes with afforestation are shown with the probability distribution of daily precipitation sums in (c) summer and (d) winter.







Figure 8: The effects of afforestation in Europe on the interannual climate variability in winter and
summer for the local and the non-local scales, derived from the standard deviation of the mean
seasonal surface temperatures.